

MAINE STATE LEGISLATURE

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March 20, 2012

Dear Senators Rosen and Representatives Flood and Members of the Joint Standing Committees on Appropriations and Financial Services, I am here to provide a summary regarding the implementation of fiscal year 2011-12 funding for mental health services, including housing, for individuals not eligible for MaineCare pursuant to Public Law 2011, c. 380, Part XX.

During the biennial budgeting process for fiscal years 2011-12 and 2012-13, the Department of Human Services submitted a funding request, as part of the Governor's biennial budget proposal, to meet mental health service and housing needs of individuals not eligible for MaineCare. The request, made in order to conform with Consent Decree requirements to provide services to people with mental illness, was based on a June 25, 2010 Progress Report to the Court from the court master in *Bates v. DHHS* (the so-called AMHI Consent Decree case). The funding request was for an allocation of \$4,664,250 to restore prior mental health services for non-MaineCare clients and \$995,000 in additional funds for housing through the Bridging Rental Assistance Program (BRAP) in FY12 and FY13. The final appropriation was \$4,664,250 for mental health services and \$995,000 for housing in FY 12, and \$995,000 for housing in FY 13.

Public Law 2011, c. 380, Part XX, required the Commissioner of DHHS to report to the Legislature's Committee on Appropriations and Financial Affairs and Committee on Health and Human Services no later than February 1, 2012 "regarding the implementation of fiscal year 2011-12 funding for mental health services for individuals not eligible for MaineCare and for housing services."

The attached report demonstrates how these "Additional Grant" (AG) funds for mental health services, including housing, were allocated by service, and the number of new people served over the first six months of FY 12:

Below are highlights of the details regarding use of Additional Grant funds in FY 12.

- 1,020 individuals have received a variety of mental health services with Additional Grant funds over the first six months of FY12. Those services include community integration, assertive community treatment, daily living supports, medication management, and wraparound funds, as well as BRAP subsidies.
- Additional Grant funds have assisted in meeting the unique, previously unmet needs of 22 forensic individuals covered by the Consent Decree.
- A wait list remains for Community Integration (CI) services, despite 315 people having received this service in the first six months of FY 12. Community Integration is often the entry point into community mental health services and assistance with applying for MaineCare based on disability.
- Due to staffing changes at the Department, not all Additional Grant funds were encumbered by the beginning of the fiscal year. Additional Grant funds were quickly disseminated once new leadership was established. Funds for medication management services, for example, were encumbered in October. Thus the 167 individuals who received those services reflect needs for only one quarter.
- Additional Grant contracts require mental health service providers to assist consumers in applying for MaineCare based on disability. Within the first six months, 38 people qualified to receive full MaineCare services for the foreseeable future based on disability.
- Flexibility in managing Additional Grant funds has enabled the Department to shift Additional Grant funding from one kind of service to another in order to meet needs evidenced by existing wait list and utilization data.

As noted above, the final appropriation included in PL 2011, c. 380 continued the appropriation of \$995,000 in fiscal year 2013, representing only the BRAP housing component of the initial request. The need for community mental health services for those not eligible for MaineCare has not decreased over the last year (see attached trend report from APS Healthcare). The Department requests the continued appropriation of \$4,664,250 to address the ongoing unmet need of those in the community. This proposal would continue to provide funding for mental health community services received in FY 12 into FY 13.

Public Law 2011, c. 380, Part XX anticipated that the Department's report to the Legislature would include recommendations from the court master. Department representatives have met with the court master, and understand that the Department's request is consistent with his recommendation. He has told the Department that he intends to write separately to the Committees.

MaineCare and Grant Expenditures by Service Area
 Fiscal Year 2011 (July 2010 through June 2011)
 Consent Decree Compliance Standard II.5

Categories of Expenditures	MaineCare Expenditures*	MaineCare Number of Distinct Users**	Other Expenditures***	Category Totals	Notes
Assertive Community Treatment	8,981,911.88	997	387,338.00	9,369,249.88	
Community Integration	34,691,148.28	12130	884,260.00	35,575,408.28	Community Support & Case Management
Intensive Case Management			2,715,784.00	2,715,784.00	The program, which focuses primarily on correctional facilities and outreach, is funded through state line positions. Approximate cost, figured from average salaries and benefits for 32 ICMs, 1 mental health worker III, 3 supervisors and 1 Social Services Program Manager. Program served 5748 distinct individuals in FY11
Community Rehabilitation Services	2,289,843.06	123	24,539.00	2,314,382.06	
Crisis Services	7,465,213.94	5527	4,975,105.00	12,440,318.94	Crisis Intervention (MaineCare); Mobile Outreach (other expenditures)
Crisis Stabilization Units	5,109,491.83	1593	1,519,820.00	6,629,311.83	Out of Home Crisis Stabilization
Daily Living Supports	11,224,753.37	1234	6,680.00	11,231,433.37	
Skills Development	812,619.91	242		812,619.91	
Day Support Services	2,855,500.47	772	45,500.00	2,901,000.47	
Specialized Groups	278,735.14	345		278,735.14	
Medication Management	7,433,428.17	13501	1,590,670.00	9,024,098.17	
Outpatient	21,470,341.07	25388	261,803.00	21,732,144.07	Day Treatment & Habilitation; Comprehensive Assessment, Individual, Group and Family Counseling (includes Substance Abuse OP Treatment); Professional Services.
Residential (PNMI)	43,884,454.00	1163	2,367,084.00	46,251,538.00	Out of State; Residential Treatment; Community Residential; Supported Housing
Medical Remedial (PNMI) ****	9,824,849.76	433		9,824,849.76	
Residential - other			853,697.00	853,697.00	Emergency Shelter; Mortgage; Rent; Repairs/modifications; Security Deposits; Utilities; Supported Housing; Other; Rental Subsidies; Professional Services-Client Related; Family Based Residences; Skilled Nursing Homes
Vocational Long Term Supports			289,675.00	289,675.00	Supported Education & Employment
Vocational Employment Specialists			565,659.00	565,659.00	Maine Medical Contract
Vocational - Other					Funding for Benefits specialists journaled to DOL as of FY11
Peer Services			2,801,814.00	2,801,814.00	Peer services and recreation, social, and avocational services; Consumer Council System of

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Flexible Funds (wrap around)			403,949.00	403,949.00	Flexible Funds; Interpretation & Communication Services; Professional Services-Client Related
Housing Subsidies (BRAP and Shelter Plus Care)					
Shelter Plus Care			6,553,370.00	6,553,370.00	Federal Dollars; 1099 tenants
BRAP			3,095,963.00	3,095,963.00	Persons served: 868
PATH and Homeless Outreach			200,000.00	200,000.00	not able to accurately report # of adults served by PATH Funds (\$200,000)
Transportation			268,560.00	268,560.00	
Inpatient					
General Community Hospitals*****			190,795.00	190,795.00	St. Mary's, NMMC, PenBay, MGMC, MMC, Mid-Coast, SMMC, Maine Medical Center (P6); adult, age 18 and over, all MH/SA diagnosis; grant funds for non-insured emergency involuntary admissions for Maine General Medical Center (prior years bill)
Acadia and Spring Harbor*****				0.00	
Riverview and Dorothea Dix			60,295,029.00		Includes personnel costs 594 admissions and 579 discharges (total, not unduplicated)
Information & Referral, Family Support & Community Education & Training Services			292,671.00	292,671.00	NAMI & University of Maine
Family Respite				0.00	NAMI -- no longer offers respite as a service
Totals by Funding Source	156,322,290.88	37237	90,589,765.00	246,912,055.88	

* Data based on MaineCare paid claims extracted from COGNOS; data extracted by date of services and includes all claims paid through October 2011; includes both State and Federal Medicaid expenditures; payment amount equals the sum of 'Payment Amount' from all identified claim lines including adjustments and reversals.

** Member counts for individual procedures are unduplicated and the total is unduplicated across all procedures; Note -- summing of member counts in each service category will produce a duplicated count since members often use multiple services.

*** Other Expenditures: State General and Federal Funds

**** Includes adults with primary mental health diagnosis; does not include room and board, coding changes have impacted calculating and amounts are not yet available.

***** Inpatient Psychiatric counts and expenditures are not included for community hospitals, Acadia and Spring Harbor as the methodology for determining inpatient stays and expenditures needs to be refined and tested with the new MaineCare paid claims system

Gender: Figure 1

GENDER: FIGURE 1	2011				
	Response Population	Class Member	Non Class Member	Class Status Unknown	Actual Population
Male	560	97	463	0	4182
Female	961	96	865	0	6436
Subtotal	1521	193	1328	0	10618
Missing	24	0	0	24	0
TOTAL	1545	193	1328	24	10618

Age: Figure 2

AGE: FIGURE 2	2011				
	Response Population	Class Member	Non Class Member	Class Status Unknown	Actual Population
19-24	76	2	74	0	1147
25-34	201	22	179	0	2208
35-54	754	105	649	0	5122
55-64	359	49	310	0	1436
65+	127	15	112	0	571
Subtotal	1517	193	1324	0	10484
Missing	28	0	4	24	134
TOTAL	1545	193	1328	24	10618

DHHS District: Figure 3

DISTRICT	COUNTY	2011					
		COUNTY TOTAL	Response Population	Class Member	Non Class Member	Class Status Unknown	Actual Population
1	York	154	154	16	138	0	1097
2	Cumberland	284	284	45	239	0	2109
3	Androscoggin	160	287	54	233	0	1953
	Franklin	38					
	Oxford	89					
4	Knox	39	107	16	91	0	751
	Lincoln	22					
	Sagadahoc	29					
	Waldo	17					
5	Kennebec	205	273	47	226	0	1927
	Somerset	68					
6	Penobscot	239	258	12	246	0	1847
	Piscataquis	19					
7	Hancock	28	46	1	45	0	330
	Washington	18					
8	Aroostook	111	111	2	109	0	547
	Subtotal	1520	1520	193	1327	0	10561
	Missing	25	25	0	1	24	57
	TOTAL	1545	1545	193	1328	24	10618

Domain Averages: Figure 4