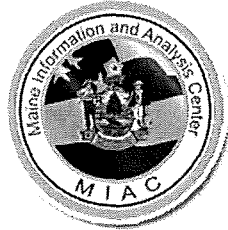


# MAINE STATE LEGISLATURE

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**MAINE STATE POLICE  
MAINE INFORMATION & ANALYSIS CENTER**

**Privacy/Civil Liberties/Civil Rights Audit Report**

**For the Period**

**01 July 2022 - 31 December 2022**

## **INTRODUCTION**

This report accounts for the privacy/civil liberties/civil rights (P/CL/CR) audit that was conducted by the Maine Information & Analysis (MIAC) audit team (Team) for the period of 01 July 2022 through 31 December 2022.

The members of the Team were MIAC Board Member James Landau; MIAC Board Member, Michael Feldman; LT Tyler Stevenson, MIAC Director, SGT Mathew Casavant, MIAC Deputy Director/MIAC Compliance Officer and Kent Avery, Assistant Attorney General/MIAC Privacy Officer. The audit is conducted pursuant to the MIAC Privacy Policy, specifically Part X(3)(B).

## **GENERAL AUDIT PROCESS**

To conduct the audit, the Team followed the “MIAC Privacy Audit Policy”.

As a general note, certain presumptions generally guided the Team in its work. Those presumptions included, as examples:

- That, generally, records that are the subject of such audits are commonly understood to be restricted for law enforcement (LE) use only.
- That, generally, records that are the subject of such audits are typically confidential/nonpublic by law, and that their dissemination is therefore restricted by law.
- That conclusions stated or asserted in records prepared by a LE agency (LEA) are presumed to be factually based.
- That, currently, no records subject to such audits that are maintained in MIAC information technology systems (ITS)-are required by law to be purged.
- That certain databases in which records are maintained or through which records are disseminated (for example, eGuardian) are accessible only by authorized LEAs and LE officers (LEOs).
- That the MIAC Compliance Officer reviews and approves each entry in the MIAC ITS on a weekly - if not daily - basis, that information is reviewed and approved prior to its entry into the eGuardian system, and that all bulletins are peer-reviewed before their dissemination.
- That “personally identifying information” was to be construed broadly for the purposes of question 6 on the Record Evaluation Form, and not to be construed to mean “personal information” (as defined in 10 M.R.S. c. 210-B), which is more narrowly defined.

## **POINTS OF DISCUSSION FOR FURTHER REVIEW WITH THE ADVISORY BOARD**

Points of discussion during the audit that will be further discussed by the Board included:

1. The recommendation that analysts don't assume or include their opinions regarding an individual's mental health status in the record unless there is evidence that it is directly related to criminal activity or a threat to public safety.
2. There was robust discussion on what the "record" is when applying the audit template. The MIAC receives information directly from our law enforcement partners, the public and private partners and is then entered into the MIAC ITS. The discussion focused on whether the audit template should only be applied specifically to what the MIAC produced in the report, or the if record should include the total information received and included in the report. At this time the audit template is being applied to the *total* information received and stored in the MIAC ITS and included in the report.
3. Recommend that the MIAC produced, State of Maine Threat Assessment, be selected for audit in addition to the regular audit requirements.

## **CONCLUSION**

- The audit found no evidence of nonconformance by the MIAC with the Center's Privacy Policy, revised 04 October 2021.
- As in previous audits, the inclusion of two MIAC Advisory Board Members in the P/CR/CL Audit was found to be constructive and beneficial to this process. They provided valuable feedback and engaged in meaningful discussion on a variety of matters relating to P/CR/CL.

## **ATTACHMENTS**

- Individual evaluation forms accounting for the Team's work during the privacy audit.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-588

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Automated license plate reader request for individual wanted for out-of-state human trafficking investigation.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the record contains the victim's gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-601

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Compilation of a law enforcement unit's weekly activities.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |



|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |          |
|--------------------------------------|----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-616 |
|--------------------------------------|----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Request from federal agency regarding terrorism investigation.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the subject's gender and PII is included in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-621

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Assistance provided to local agency regarding missing persons investigation in Maine.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   | x   |    |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   | x   |    |    |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 6, the record contained PII for all missing persons, including a minor. Referencing question 11, the record contained the missing subject's gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-641

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Request from out-of-state agency regarding a terroristic threat.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|   |  |   |   |   |
|---|--|---|---|---|
|   | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11  | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|   | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12  | Does the record discuss or reference religion?   |   | x |   |
|   | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13  | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|   | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|   | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|   | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |
| <b>NOTES/COMMENTS</b>   |  |   |   |   |
| Referencing question 11, the record contained the subject's gender and PII. |  |   |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-648

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Duplicate of entry 2022-588 (audited).

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |



|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**  
Duplicate of 2022-588. Referencing question 11, the record contains the victim's gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |          |
|--------------------------------------|----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-650 |
|--------------------------------------|----------|

| SUMMARY OF RECORD(S) EVALUATED*  |
|--|
| <i>*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated</i> |
| Northeast Regional Intelligence Group (NRIG) meeting message log.  |

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  |     |    | x  |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   |     |    | x  |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |

|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity ( <i>"Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances."</i> ) |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-672

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Request from out-of-state agency looking to locate wanted subject with possible ties to Maine.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  |     |    | x  |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   |     |    | x  |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   | x   |    |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 10, the information provided to the MIAC included vague descriptors of the subject and their gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-673

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated*  
Bulletin request from state agency regarding domestic violence suspect.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |

|    |  |  |   |   |
|----|--|--|---|---|
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12 | Does the record discuss or reference religion?   |  | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |

**NOTES/COMMENTS**

Received a request for a bulletin, but bulletin was cancelled before dissemination.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |          |
|--------------------------------------|----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-678 |
|--------------------------------------|----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Request for information from state agency regarding a criminal investigation.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |



|    |  |  |   |   |
|----|--|--|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12 | Does the record discuss or reference religion?   |  | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity ( <i>"Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances."</i> ) |  | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |

**NOTES/COMMENTS**

In reference to question 6, the record contained the subject's PII.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-694

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

MIAC 2022 statewide threat assessment.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   | x   |    |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |   | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   | x |   |   |
|    | A. If so, is the discussion of or reference to religion neutral?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") | x |   |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  | x |   |   |
|    | B. If so, was there a compelling reason to create the RECORD?  | x |   |   |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  | x |   |   |

**NOTES/COMMENTS**

Referencing question 10, the record discusses the topics of homegrown violent extremism, domestic violence extremism, and racially-motivated violent extremism. Referencing question 12, the product discusses the topic of foreign terrorism.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-710

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Out-of-state Homeland Security Information Network (HSIN) request regarding suspicious letters to state courts.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |   | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   | x |   |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   | x |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   | x |   |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") | x |   |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  | x |   |   |
|    | B. If so, was there a compelling reason to create the RECORD?  | x |   |   |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  | x |   |   |

**NOTES/COMMENTS**

Referencing question 12, the record contained references to religion written by the subject.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |          |
|--------------------------------------|----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-739 |
|--------------------------------------|----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

**Request for information from federal agency regarding ransomware threats made towards the elderly.**

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-770

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated*

Pass through bulletin from local agency regarding wanted person for felony crimes.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |



|    |  |  |   |   |
|----|--|--|---|---|
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12 | Does the record discuss or reference religion?   |  | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |

**NOTES/COMMENTS**

Referencing question 6, the record contained the subject's PII.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-782

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

RISSafe (Officer Safety Event Deconfliction System) entry for a criminal investigation.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |  |   |   |
|----|--|--|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12 | Does the record discuss or reference religion?   |  | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |

**NOTES/COMMENTS**

RISSafe is a deconfliction system that assists officers by entering and monitoring events and notifying the affected parties. The system prevents officers or citizens from being unintentionally hurt or killed by preventing interference with other agency's officers or investigations.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-811

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

RISSafe (Officer Safety Event Deconfliction System) entry for a criminal investigation.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |  |   |   |
|----|--|--|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12 | Does the record discuss or reference religion?   |  | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |

**NOTES/COMMENTS**

RISSafe is a deconfliction system that assists officers by entering and monitoring events and notifying the affected parties. The system prevents officers or citizens from being unintentionally hurt or killed by preventing interference with other agency's officers or investigations.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-813

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Pass through for local agency regarding fraudulent purchases.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  |     | x  |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   |     |    | x  |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |          |
|--------------------------------------|----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-843 |
|--------------------------------------|----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

**Request from federal agency seeking information on a suspect impersonating a federal officer.**

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |



|   |  |   |   |   |
|---|--|---|---|---|
|   | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11  | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|   | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12  | Does the record discuss or reference religion?   |   | x |   |
|   | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13  | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|   | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|   | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|   | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |
| <b>NOTES/COMMENTS</b>   |  |   |   |   |
| Referencing question 11, the record contained the subject's gender and PII. |  |   |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |          |
|--------------------------------------|----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-992 |
|--------------------------------------|----------|

| SUMMARY OF RECORD(S) EVALUATED*   |
|---|
| <i>*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.</i> |
| A compilation of a law enforcement unit's weekly activities.  |

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  |     | x  |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   |     |    | x  |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-1020

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Report from public citizen regarding perceived gang threat to government building.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |  |   |   |
|----|--|--|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12 | Does the record discuss or reference religion?   |  | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |

**NOTES/COMMENTS**

Referencing question 5, the record should have included articulable reasoning for referencing the subject's believed mental health status.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1028 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated eGuardian regarding a school threat of violence.*

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |

|    |  |   |   |   |
|----|--|---|---|---|
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, information regarding sexual orientation was included in the threat made by the subject.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1041 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Report received from law enforcement agency regarding possible criminal activity.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |



|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the subject's gender was included in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1085 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Pre-employment law enforcement background check.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the applicant's gender is listed in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1120 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Pass-through bulletin from federal agency referencing election security threats.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1155 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated eGuardian related to active shooter threat against school.*

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   | x   |    |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   | x   |    |    |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |

|    |  |   |   |   |
|----|--|---|---|---|
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

In reference to question 6, the record contained PII provided by police reports, including the minor subject's PII.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1180 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Report from private sector partner of felony retail theft.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  |     | x  |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   |     |    | x  |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |



|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the record contained the subject's gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1209 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated eGuardian regarding social media threat made towards Maine governor.*

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   | x   |    |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   | x   |    |    |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |

|    |  |   |   |   |
|----|--|---|---|---|
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 6, the record contained PII related to a minor subject. Referencing question 11, the record included the subject's gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1220 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated eGuardian related to multiple reports of active shooter incidents at Maine schools.*

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |

|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1232 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated eGuardian relating to threat against schools.*

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   | x   |    |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   | x   |    |    |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |

|    |  |   |   |   |
|----|--|---|---|---|
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 6, the record contains the subject's date of birth, which indicated the subject was a minor (DOB provided by the subject). The DOB given by the subject is believed to be fictitious. Referencing question 11, the record does indicate the subject had a British accent.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1262 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated eGuardian related to illegal explosives found at a subject's residence.*

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |



|  |  |  |   |   |
|--|--|--|---|---|
|  | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11   | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|  | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|  | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12   | Does the record discuss or reference religion?   |  | x |   |
|  | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|  | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13   | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|  | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|  | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|  | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b>  |  |  |   |   |
| Referencing question 6, the record does contain the subject's date of birth. |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1287 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Maine drug monitoring initiative product.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the record contains gender pertaining to arrest information.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1329 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Out-of-state Homeland Security Information Network (HSIN) request regarding a special event for the purposes of situational awareness and planning.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  |     |    | x  |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   |     |    | x  |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity ( <i>"Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances."</i> ) |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

In reference to question 11, demographic descriptors were included in the title of the event.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1341 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

eGuardian related to bomb threat against school.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g. another law enforcement agency), was it repurposed or revised by MIAC for a new audience?  | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, any information related to sexual orientation or gender identity was written by the subject and included in the subject's threat.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1344 |
|--------------------------------------|-----------|

| SUMMARY OF RECORD(S) EVALUATED*   |
|---|
| <i>*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.</i> |
| Maine drug monitoring initiative product.   |

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |



|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the record contains gender pertaining to arrest information.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

RECORD IDENTIFICATION NUMBER:

2022-1350

SUMMARY OF RECORD(S) EVALUATED\*

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Pass through bulletin from local agency attempting to identify a subject involved in felony crimes.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

In reference to question 11, the record contained the subject's gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1352 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

A compilation of a law enforcement unit's weekly activities.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   |     | x  |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   |     |    | x  |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? |     |    | x  |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     |    | x  |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  |     | x  |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   |     |    | x  |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|                       |  |  |   |   |
|-----------------------|--|--|---|---|
|                       | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 11                    | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   |  | x |   |
|                       | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 12                    | Does the record discuss or reference religion?   |  | x |   |
|                       | A. If so, is the discussion of or reference to religion neutral?   |  |   | x |
|                       | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |  |   | x |
| 13                    | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |  | x |   |
|                       | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |  |   | x |
|                       | B. If so, was there a compelling reason to create the RECORD?  |  |   | x |
|                       | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |  |   | x |
| <b>NOTES/COMMENTS</b> |  |  |   |   |
|                       |  |  |   |   |

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |           |
|--------------------------------------|-----------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-1359 |
|--------------------------------------|-----------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated publicly in the interest of promoting transparency and clarity on MIAC's activities.*

Report received from county agency regarding threats made against a business.

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   |     | x  |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  |     |    | x  |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  |     | x  |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  |     |    | x  |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   |     | x  |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  |     |    | x  |
| 6  | Does the RECORD contain personally identifying information ("PII")?  | x   |    |    |
|    | A. If so, was the inclusion of the PII necessary?  | x   |    |    |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     | x  |    |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |

|    |  |   |   |   |
|----|--|---|---|---|
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11 | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|    | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12 | Does the record discuss or reference religion?   |   | x |   |
|    | A. If so, is the discussion of or reference to religion neutral?   |   |   | x |
|    | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 13 | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|    | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|    | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|    | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |

**NOTES/COMMENTS**

Referencing question 11, the record contained the subject's gender.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT  
RECORD EVALUATION FORM

Updated 12/5/2022

|                                      |              |
|--------------------------------------|--------------|
| <b>RECORD IDENTIFICATION NUMBER:</b> | 2022-9001324 |
|--------------------------------------|--------------|

**SUMMARY OF RECORD(S) EVALUATED\***

*\*The content summary should be a de-identified information regarding each activity report that can lawfully be disseminated eGuardian related to bomb threat to a public utility.*

| #  | QUESTION   | YES | NO | NA |
|----|--|-----|----|----|
| 1  | Does the RECORD provide information that is consistent with the MIAC's mission?  | x   |    |    |
| 2  | Was the RECORD disseminated by the MIAC to any agency or person?   | x   |    |    |
|    | A. If the RECORD was disseminated, is there documentation evidencing that it was reviewed and approved prior to its dissemination and ultimately approved by a supervisor?   | x   |    |    |
|    | B. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination? | x   |    |    |
|    | C. If the RECORD was disseminated and it originated from another source (e.g., another law enforcement agency), was it repurposed or revised by MIAC for a new audience?   | x   |    |    |
|    | (1) If so, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?  | x   |    |    |
| 3  | Does the RECORD require labels or ratings relating to the confidence or reliability of the information in the RECORD?  | x   |    |    |
|    | A. If so, are the appropriate labels or ratings included in the RECORD?  | x   |    |    |
| 4  | Does the RECORD require any use or dissemination limitations or restrictions (legal or otherwise), given its content?  | x   |    |    |
|    | A. If so, are such limitations or restrictions expressly stated in the RECORD?   | x   |    |    |
| 5  | Are any opinions of MIAC personnel stated in the RECORD?   | x   |    |    |
|    | A. If so, are the opinions expressly labeled or otherwise identified as such?  | x   |    |    |
| 6  | Does the RECORD contain personally identifying information ("PII")?  |     | x  |    |
|    | A. If so, was the inclusion of the PII necessary?  |     |    | x  |
|    | B. If so, does the PII included in the RECORD relate to minors, victims of crimes, witnesses, participants in substance abuse programs, or participants in mental health treatment programs?   |     |    | x  |
|    | (1) If so, was the PII necessary to include in the RECORD, given the information being provided in the RECORD?   |     |    | x  |
| 7  | When it was originally reviewed, was the RECORD found to include erroneous data?   |     | x  |    |
|    | A. If so, was the RECORD amended or rescinded as a result?   |     |    | x  |
| 8  | Does the RECORD expressly identify or expressly imply the audience for whom the RECORD is intended?  | x   |    |    |
| 9  | Does the RECORD appropriately state when the RECORD should be disregarded or otherwise purged?   |     | x  |    |
| 10 | Does the RECORD use broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?   |     | x  |    |
|    | A. If so, was the use of the descriptors appropriate given the purpose of the information provided in the RECORD?  |     |    | x  |



|   |  |   |   |   |
|---|--|---|---|---|
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 11  | Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?   | x |   |   |
|   | A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?   | x |   |   |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   |   | x |
| 12  | Does the record discuss or reference religion?   | x |   |   |
|   | A. If so, is the discussion of or reference to religion neutral?   |   | x |   |
|   | B. If the response to "A" is "NO," was the RECORD prepared by the MIAC?  |   | x |   |
| 13  | Does the RECORD relate to First Amendment-protected activity (" <i>Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of the people peaceably to assemble, and to petition the government for a redress of grievances.</i> ") |   | x |   |
|   | A. If so, does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?  |   |   | x |
|   | B. If so, was there a compelling reason to create the RECORD?  |   |   | x |
|   | C. If so, was the RECORD narrowly-tailored to achieve that purpose?  |   |   | x |
| <b>NOTES/COMMENTS</b>   |  |   |   |   |
| Referencing question 12, the record contains religious references written by the subject and not generated by the MIAC. |  |   |   |   |