

MAINE STATE LEGISLATURE

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**MAINE STATE POLICE
MAINE INFORMATION & ANALYSIS CENTER**

Privacy Audit Report

For the Period

02 SEP 2019 through 31 DEC 2019

06 MAY 2020

INTRODUCTION

This report accounts for the privacy audit that was conducted by a Maine Information & Analysis (“MIAC”) audit team (“Team”) on 4 and 9 March 2020. The members of the Team were LT Michael Johnston, MIAC Director; SGT Mathew Casavant, MIAC Compliance Officer; and Christopher Parr, MIAC Privacy Officer. The period the audit covered was 02 September 2019 through 31 December 2019. The audit is conducted pursuant to the MIAC Privacy Policy, specifically Part X(3)(B).

GENERAL AUDIT PROCESS

To conduct the audit, the Team:

1. Identified the timeframe for which the audit would account;
2. Determined that a random sample of 3% of the total MIAC Activity Report entries during the timeframe identified would be evaluated, as well as all ISE-SARs that were entered into the Federal eGuardian system during that timeframe;
3. Used the “Research Randomizer” website (www.randomizer.org) to determine which Activity Report entries would be evaluated and ensure that the sample was randomized;
4. After reviewing the Department of Homeland Security *Privacy, Civil Rights, and Civil Liberties (P/CRCL) Audit Guidance for the State, Local, Tribal, and Territorial Intelligence Component* (Audit Guidance)¹ product for guidance, we prepared and used a MIAC-designed evaluation form to assess each Activity Report entry to be evaluated;
5. Over the course of two days, reviewed and discussed each Activity Report entry that was evaluated, using the evaluation form to guide the Team’s work.

COMMENTS: The process the Team designed and followed worked very well overall. As a general note, certain presumptions generally guided the Team in its work. Those presumptions included, as examples:

- That, generally, records that are the subject of such audits are commonly understood to be for law enforcement (“LE”) only;
- That, generally, records that are the subject of such audits are typically confidential/nonpublic by law, and that their dissemination is therefore restricted by law;

¹ “The *Privacy, Civil Rights, and Civil Liberties (P/CRCL) Audit Guidance for the State, Local, Tribal, and Territorial Intelligence Component* (Audit Guidance) is designed to help state, local, tribal, and territorial (SLTT) agencies, including state and major urban area fusion centers, conduct a privacy, civil rights, and civil liberties (P/CRCL) audit of records within the agency’s intelligence component. A P/CRCL audit will support agency leadership in ensuring the protection of community members’ privacy, civil rights, and civil liberties in the agency’ intelligence-related activities, including intelligence collection, analysis, and dissemination.”

- That conclusions stated or asserted in records prepared by a LE agency (“LEA”) are presumed to be factually based;
- That, currently, no records subject to such audits that are maintained in MIAC-administered systems are required by law to be purged;
- That certain databases in which records are maintained or through which records are disseminated (for example, eGuardian) are accessible only by authorized LEAs and LE officers (“LEOs”);
- That the MIAC Compliance Officer reviews and approves each Activity Report entry on a weekly – if not daily – basis, that information is reviewed and approved prior to its entry into the eGuardian system, and that all bulletins are peer-reviewed before their dissemination.


KEY FINDINGS

1. The audit found no evidence of nonconformance by the MIAC with the Center’s Privacy Policy, revised 20 March 2019.
2. Although some incidents accounted for in audited records involved some degree of First Amendment-protected activity, that activity was incidental to the focus of the records, which was on the potential (if not actual) criminal activity and/or suspicious activity documented in the record.

ATTACHMENTS

- Individual evaluation forms accounting for the Team’s work during the privacy audit.

AUDIT TEAM SIGNATURES

<u>5/7/2020</u> DATE	<u><i>Lt. Michael Johnston</i></u> LT Michael P. Johnston MIAC Director
<u>5/7/2020</u> DATE	<u><i>Sgt Mathew Casavant</i></u> SGT Mathew R. Casavant MIAC Compliance Officer
<u>07 MAY 2020</u> DATE	<u></u> Christopher Parr MIAC Privacy Officer

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

eGuardian entry: MEFCU0000-2019-00013

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?		x	
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?		x	
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?	x		
20	Is the RECORD neutral in its discussion of religion?	x		
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an eGuardian database entry and supporting documentation. // eGuardian database is accessible only by law enforcement. // Although the incident that is the subject of the record involves some degree of First Amendment-protected activity, that activity is incidental to the focus of the record, which is on the potential (if not actual) criminal activity and/or suspicious activity documented in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

eGuardian entry: MEFCU0000-2019-00014

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?		x	
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?		x	
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?		x	
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?	x		
	A. If so, was there a compelling reason to create the RECORD?	x		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?	x		

NOTES/COMMENTS

The records reviewed were an eGuardian database entry and supporting documentation. // eGuardian database is accessible only by law enforcement. // Although the incident that is the subject of the record involves some degree of First Amendment-protected activity, that activity is incidental to the focus of the record, which is on the potential (if not actual) criminal activity and/or suspicious activity documented in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

eGuardian entry: MEFCU0000-2019-00015

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?		x	
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?	x		
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?		x	
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an eGuardian database entry and supporting documentation. // eGuardian database is accessible only by law enforcement. // Although the incident that is the subject of the record involves some degree of First Amendment-protected activity, that activity is incidental to the focus of the record, which is on the potential (if not actual) criminal activity and/or suspicious activity documented in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

eGuardian entry: MEFCU0000-2019-00016

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?		x	
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?	x		
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?		x	
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?	x		
20	Is the RECORD neutral in its discussion of religion?	x		
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an eGuardian database entry and supporting documentation. // eGuardian database is accessible only by law enforcement. // Although the incident that is the subject of the record involves some degree of First Amendment-protected activity, that activity is incidental to the focus of the record, which is on the potential (if not actual) criminal activity and/or suspicious activity documented in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

eGuardian entry: MEFCU0000-2019-00017

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?		x	
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?		x	
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an eGuardian database entry and supporting documentation. // eGuardian database is accessible only by law enforcement. // Although the incident that is the subject of the record involves some degree of First Amendment-protected activity, that activity is incidental to the focus of the record, which is on the potential (if not actual) criminal activity and/or suspicious activity documented in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

1910 (INTEL/INFO RECEIVED)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

The record reviewed was an MIAC Activity Report ("AR") entry.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

1978 (Bulletin - Pass Through)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

The records reviewed were an AR entry and a pass-through bulletin. The pass-through bulletin indicated that the bulletin was law enforcement sensitive.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2002 (Bulletin - Pass Through)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?	X		
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

The records reviewed were an AR entry and a pass-through bulletin. The pass-through bulletin indicates that the bulletin is law enforcement sensitive.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2004 (Intel/Info Received)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			Unkn.
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?			x
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?	x		
20	Is the RECORD neutral in its discussion of religion?	x		
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an AR entry and an NYPD terrorism bulletin. The bulletin included qualifiers regarding the reliability of the information, and a statement restricting further dissemination of the bulletin.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2044 (RFI)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were four (4) AR entries and a Weymouth, MA PD report.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2050 (Intel/Info Received)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?	x		
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?	x		
20	Is the RECORD neutral in its discussion of religion?	x		
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were three (3) AR entries. // The records accounted for an investigation that resulted from a report of an overheard conversation that sounded suspicious. Investigation showed the conversation was substantially misunderstood by the person who overheard it.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2088 (Other)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry documenting a call from a former DPS employee who was seeking the names of personnel who worked in particular DPS bureaus and units.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2093 (HSIN inquiry)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			x
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?		x	
	A. If so, was the inclusion of the PII necessary?			x
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			x
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?			x
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry documenting a policy-related inquiry from DPS.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2096 (Intel/Info Received)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?		x	
	A. If so, was the inclusion of the PII necessary?			x
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			x
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry and information provided by Central Maine Power related to thefts of copper from the company. The CMP information includes a general email disclaimer.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2117 (Watch Desk Duty entry)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			x
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			x
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			x
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?			x
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?		x	
	A. If so, was the inclusion of the PII necessary?			x
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			x
9	When it was originally reviewed, was the RECORD found to include erroneous data?			x
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?			x
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?			x
13	Does the RECORD state whether the RECORD may be further disseminated?			x

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			x
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?			x
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?			x
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an administrative AR entry.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2135 (Intel/Info Disseminated)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry accounting for intelligence relating to illegal drug-related activity.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2236 (ALPR request)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?		x	
	A. If so, was the inclusion of the PII necessary?			x
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			x
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry documenting an ALPR request.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2253 (Intel/Info (Disseminated))

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an AR entry and a seizure form.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2261 (Intel/Info Received)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were two (2) AR entries documenting an inquiry about a subject.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2301 (RFI)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?		x	
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?	x		
	A. If so, was there a compelling reason to create the RECORD?	x		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?	x		

NOTES/COMMENTS

The records reviewed were two (2) AR entries and two (2) Clear reports. // The records document investigation of a report of suspicious activity occurring at properties that had been sold after foreclosure. The circumstances suggested that possible human trafficking could have been occurring, hence the investigation.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2336 (RFI)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were two (2) AR entries, an image of the subject of the AR entries, and background-check queries relating to the subject and associates.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2374 (RFI)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were two (2) AR entries, an image of the subject of the AR entries, and two (2) MDEA supplemental reports.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2408 (RFI)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			Unkn.
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an AR entry, a Clear report, and three (3) "TLO" reports. The TLO reports indicated they were for law enforcement purposes only.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2457 (MSP Pre-Employment Background Check)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were an AR entry and an MSP pre-employment background check form. The background check form had labels on it regarding use of the form.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2641 (Intel Meetings (online-meeting dialogue))

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?		x	
	A. If so, was the inclusion of the PII necessary?			x
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			x
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?	x		
20	Is the RECORD neutral in its discussion of religion?	x		
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry documenting an online-meeting dialogue.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2666 (RFI)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry and two (2) wage-related database reports.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2494 (Watch Desk Duty entry)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			x
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			x
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			x
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?			x
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?		x	
	A. If so, was the inclusion of the PII necessary?			x
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			x
9	When it was originally reviewed, was the RECORD found to include erroneous data?			x
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?			x
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?			x
13	Does the RECORD state whether the RECORD may be further disseminated?			x

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			x
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?			x
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?			x
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The records reviewed were administrative AR entries.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2520 (RFI)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?	x		
	A. If so, was the inclusion of the PII necessary?	x		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		x	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	x		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	x		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry documenting an RFI. // Whether the eGuardian entry made in this matter should have been made is a fair question, but deference will be given to the agency that made the entry. // Although the incident that is the subject of the record involves some degree of First Amendment-protected activity, that activity is incidental to the focus of the record, which is on the potential (if not actual) criminal activity and/or suspicious activity documented in the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

RECORD IDENTIFICATION NUMBER:

2591 (HSIN (Pass-through Bulletin))

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	x		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	x		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		x	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		x	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		x	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			x
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			x
8	Does the RECORD contain personally identifying information ("PII")?		x	
	A. If so, was the inclusion of the PII necessary?			x
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			x
9	When it was originally reviewed, was the RECORD found to include erroneous data?		x	
	A. If so, was the RECORD amended or rescinded as a result?			x
10	Are conclusions stated or asserted in the RECORD factually-based?	x		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	x		
12	Does the RECORD identify the audience for whom the RECORD is intended?		x	
13	Does the RECORD state whether the RECORD may be further disseminated?		x	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			x
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			x
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	x		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		x	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			x
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	x		
19	Is the RECORD neutral in its use of religious terminology?			x
20	Is the RECORD neutral in its discussion of religion?			x
21	Does the RECORD relate to First Amendment-protected activity?		x	
	A. If so, was there a compelling reason to create the RECORD?			x
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			x

NOTES/COMMENTS

The record reviewed was an AR entry and an officer safety-/situational awareness-related bulletin. // The bulletin was labeled as law enforcement sensitive.