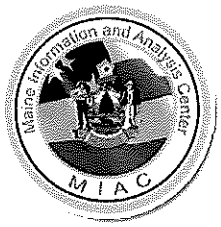

MAINE STATE POLICE
MAINE INFORMATION & ANALYSIS CENTER

2019 Privacy Audit Report

28 October 2019

INTRODUCTION

This report accounts for the privacy audit that was conducted by a Maine Information & Analysis ("MIAC") audit team ("Team") on 10 and 12 September 2019. The members of the Team were LT Michael Johnston, MIAC Director; SGT Mathew Casavant, MIAC Compliance Officer; and Christopher Parr, MIAC Privacy Officer. The period the audit covered was 01 March 2019 through 01 September 2019. The audit is conducted pursuant to the MIAC Privacy Policy, specifically Part X(3)(B).

GENERAL AUDIT PROCESS

To conduct the audit, the Team:

1. Identified the timeframe for which the audit would account;
2. Determined that a random sample of 3% of the total MIAC Activity Report entries during the timeframe identified would be evaluated, as well as all ISE-SARs that were entered into the Federal eGuardian system during that timeframe;
3. Used the "Research Randomizer" website (www.randomizer.org) to determine which Activity Report entries would be evaluated and ensure that the sample was randomized;
4. After reviewing the Department of Homeland Security *Privacy, Civil Rights, and Civil Liberties (P/CRCL) Audit Guidance for the State, Local, Tribal, and Territorial Intelligence Component* (Audit Guidance)[1] product for guidance, we prepared and used a MIAC-designed evaluation form to assess each Activity Report entry to be evaluated;
5. Over the course of two days, reviewed and discussed each Activity Report entry that was evaluated, using the evaluation form to guide the Team's work.

COMMENTS: The process the Team designed and followed worked very well overall. As the audit occurred, the Team determined that some questions on the evaluation form might be reworded for future audits to account for certain presumptions that generally guided the Team in its work. Those presumptions included, as examples:

- That, generally, records that are the subject of such audits are commonly understood to be for law enforcement ("LE") only;

[1] "The *Privacy, Civil Rights, and Civil Liberties (P/CRCL) Audit Guidance for the State, Local, Tribal, and Territorial Intelligence Component* (Audit Guidance) is designed to help state, local, tribal, and territorial (SLTT) agencies, including state and major urban area fusion centers, conduct a privacy, civil rights, and civil liberties (P/CRCL) audit of records within the agency's intelligence component. A P/CRCL audit will support agency leadership in ensuring the protection of community members' privacy, civil rights, and civil liberties in the agency' intelligence-related activities, including intelligence collection, analysis, and dissemination."

- That, generally, records that are the subject of such audits are typically confidential/nonpublic by law, and that their dissemination is therefore restricted by law;
- That conclusions stated or asserted in records prepared by a LE agency ("LEA") are presumed to be factually based;
- That, currently, no records subject to such audits that are maintained in MIAC-administered systems are required by law to be purged;
- That certain databases in which records are maintained or through which records are disseminated are accessible only by authorized LEAs and LE officers ("LEOs");
- That the MIAC Compliance Officer reviews and approves each Activity Report entry on a weekly – if not daily – basis, and that all bulletins are peer-reviewed before their dissemination.

One way the Team determined that future audits ought to be conducted in a more timely and efficient manner would be to conduct them more than once per year – ideally, every four (4) months – if possible.

KEY FINDINGS

1. The audit found no evidence of nonconformance by the MIAC with the Center's Privacy Policy, revised 20 March 2019.
2. The audit process itself benefitted from and was informed by discussions that occurred not only during the record evaluation process, but during the development of this audit report as well. Such discussions should be made part of the process when conducting future MIAC privacy audits, at least until the audit process is more defined. In relation to that, having two stages of audit findings – a "preliminary findings" stage and a "final findings" stage – would strengthen the audit process, at least as the process continues to be developed.
3. As reflected in part in the evaluation forms related to them (*see* attached), two records (2019-1335 and ME-FCU-0000-2019-00005) were the subject of discussion not only during the Team's record evaluation process, but also in discussions that occurred in the development of this report. This is because the records related to incidents that, while not criminal in nature, were suspicious, and thus, to some extent, a "judgment call" needed to be made in the decisions to disseminate the records. Ultimately, the Team finds that the dissemination of those records was justified, given the potential risks to public safety that were involved and the fact that a limited audience (namely, law enforcement) was to be the recipients of the records.

PRE-PRIVACY AUDIT DUE DILIGENCE REVIEW

To add another level of review to the MIAC's work and processes, LT Joseph Villers and Deputy Director Deidre Boulter of the New Hampshire Information & Analysis Center visited the MIAC on 29 August 2019 to conduct a general review of MIAC's operations and

procedures. The following excerpts are from an explanation and summary of that visit was composed by MIAC Director LT Michael Johnston:

> It was their opinion that MIAC's current operations, policies and procedures are in good shape with no issues or concerns. Many of our operations and procedures are either like or the same as New Hampshire's particularly as it relates to data retention. As part of this review we showed them not only the procedure but how they are applied practically with actual case examples.
>
> > The goal [of the review was] to have member(s) of the New Hampshire Information and Analyst Center review MIAC's operations and procedures to determine if they are generally compliant with MIAC's privacy policy in addition to nationally recognized guidelines and practices and to identify any perceived areas of noncompliance and possible corrective actions and or suggested guidance. **The purpose [was] not to conduct a full professional and technical audit based on standards identified in the Generally Accepted Government Auditing Standards or other professional standards.**
> >
> > The review specifically included the following;
> >
> > - Review situational awareness product(s)
> > - Review of analytical products
> > - Review of the use of MIAC's activity report
> > - Review of the use of MIAC's Netsential Portal/Website
> > - Review of the practices and procedures surrounding the use of open source monitoring and research for analysis and case support
> > - Review of the intake and processing of requests for information and analytical support
> > - Review the intake and review process for suspicious activity reporting and E-Guardian entries
> > - Review of information sharing practices with federal, State, county and local law enforcement agencies.
> > - Responses to Requests for Information (RFIs).
> > - Physical inspection of MIAC's work area and systems as needed

ATTACHMENTS

- Individual evaluation forms accounting for the Team's work during the privacy audit.

AUDIT TEAM SIGNATURES

11/12/2019
DATE

LT Michael P. Johnston
MIAC Director

11-14-2019
DATE

SGT Mathew R. Casavant
MIAC Compliance Officer

11/4/2019
DATE

Christopher Parr
MIAC Privacy Officer

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD I.D.#:	2019-0606	BRIEF DESCRIPTION:	CASH REGISTER RECOVERY BULLETIN

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?			X
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0700	BRIEF DESCRIPTION:	DRUG SEIZURE REPORT

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0752	BRIEF DESCRIPTION:	JAIL BOOKING REPORT/FORM

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?	X		
20	Is the RECORD neutral in its discussion of religion?	X		
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0767	BRIEF DESCRIPTION:	RISS-SAFE DECONFLICTION REPORT

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?			X
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?			X
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0789	BRIEF DESCRIPTION:	WATCH-DUTY ENTRY

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			X
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			X
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?			X
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?			X
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?			X
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?			X
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?			X
12	Does the RECORD identify the audience for whom the RECORD is intended?			X
13	Does the RECORD state whether the RECORD may be further disseminated?			X

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?			X
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?			X
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?			X
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0795	BRIEF DESCRIPTION:	CASE ANALYSIS RE: DRUG OVERDOSE FOR LE USE

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?		X	
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?			X
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0819	BRIEF DESCRIPTION:	JAIL BOOKING FORM (DRUG ARREST)

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?	X		
20	Is the RECORD neutral in its discussion of religion?	X		
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0838	BRIEF DESCRIPTION:	ANALYTICAL PRODUCT RE: GANGS

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?			X
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?			X
12	Does the RECORD identify the audience for whom the RECORD is intended?			X
13	Does the RECORD state whether the RECORD may be further disseminated?			X

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?			X
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-0851	BRIEF DESCRIPTION:	OUT-OF-STATE WEEKLY REPORT

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES / COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-878	BRIEF DESCRIPTION:	Information documented in record

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			X
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			X
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?			X
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?			X
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?			X
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?			X
13	Does the RECORD state whether the RECORD may be further disseminated?			X

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?			X
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?			X
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?			X
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-934	BRIEF DESCRIPTION:	Background information/pre-employment research

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			X
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			X
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?		X	
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?		X	
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
RE: 1: The MIAC has been tasked with conducting some pre-employment background research regarding MSP applicants. RE: 3, 4: The record is a pre-employment/background check record. RE: 13: Waiver included in the record limits the use of the record/sets forth the parameters of the use of the record.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID #:	2019-984	BRIEF DESCRIPTION:	Intelligence disseminated re: File 13

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?	X		
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?	X		
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?		X	
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

RE: 5: The record was a METRO-related product.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1040	BRIEF DESCRIPTION:	Troop Weekly Report

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.=:	2019-1064	BRIEF DESCRIPTION:	Information sharing re: arrest

=	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES / COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1068	BRIEF DESCRIPTION:	Open-source research/threat assessment

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			X
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			X
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?	X		
20	Is the RECORD neutral in its discussion of religion?	X		
21	Does the RECORD relate to First Amendment-protected activity?	X		
	A. If so, was there a compelling reason to create the RECORD?	X		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?	X		

NOTES/COMMENTS

The record documents Westboro Baptist Church research that was conducted at the request of the Portland PD; RE: 3, 4: The record documents open-source research; RE: 25: There was discussion about whether a notice should have been included in the record regarding the fact that lawful protests are First Amendment-protected activity.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1117	BRIEF DESCRIPTION:	RFI: Cold case homicide

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES, COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID #:	2019-1122	BRIEF DESCRIPTION:	Open source/Google alert

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1153	BRIEF DESCRIPTION:	MA information forwarded by MIAC to LEAs

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID #:	2019-1209	BRIEF DESCRIPTION:	Situational awareness record re: social media posting

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?	X		
	A. If so, was there a compelling reason to create the RECORD?	X		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
RE: 15: The record was forwarded to MIAC as a matter of situational awareness.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID #:	2019-1267	BRIEF DESCRIPTION	Portland PD tip line-based product

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?		X	
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?	X		
	A. If so, was there a compelling reason to create the RECORD?	X		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?	X		

NOTES/COMMENTS
RE: 15, 21: The record was regarding an Instagram post that could have been/be criminal activity.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1274	BRIEF DESCRIPTION:	Weekly Troop Report

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1278	BRIEF DESCRIPTION:	Pass-through bulletin re: recovered property

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?			X
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1304	BRIEF DESCRIPTION:	Social media info/situational awareness

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?	X		
	A. If so, was there a compelling reason to create the RECORD?	X		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?	X		

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1335	BRIEF DESCRIPTION:	Lincoln County SO suspicious activity bulletin

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?	X		
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?			X
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
RE: 15, 21: There was discussion about whether the activity might be First Amendment-protected activity that should have been labeled as such in the record, as well as about whether the record should have been issued. [10.11.2019: For more discussion about this record, *see* paragraph 3 of the "Findings" section of the Privacy Audit report.]

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1370	BRIEF DESCRIPTION:	RFI from another fusion center

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			X
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			X
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?			X
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
The record related to a "SEAR2 events" inquiry from another fusion center.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1408	BRIEF DESCRIPTION:	Social media video with possible threat

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?			X
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?	X		
	A. If so, was there a compelling reason to create the RECORD?	X		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1422	BRIEF DESCRIPTION:	Drug seizure report; information distribution re: drugs

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1490	BRIEF DESCRIPTION:	HSIN-RFI from Cleveland, OH

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?			X
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?			X
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?			X
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID #:	2019-1518	BRIEF DESCRIPTION:	Bulletin request from Fairfied PD

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?			X
12	Does the RECORD identify the audience for whom the RECORD is intended?			X
13	Does the RECORD state whether the RECORD may be further disseminated?			X

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
This record related to a request by another law enforcement agency for a bulletin to be issued; the requesting agency, however, did not provide additional information that MIAC requested, and therefore the record/product was incomplete.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1524	BRIEF DESCRIPTION:	Sovereign citizen-related intelligence

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?	X		
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?	X		
	A. If so, was there a compelling reason to create the RECORD?	X		
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?	X		

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1638	BRIEF DESCRIPTION:	Drug seizure report

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1660	BRIEF DESCRIPTION:	RFI Orange County CA - Fraud matter

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1674	BRIEF DESCRIPTION:	Socia media tip

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
RE: 12: The record is regarded as a tip. RE: 13: The tip was received and maintained.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD I.D.#:	2019-1688	BRIEF DESCRIPTION:	MDEA RFI

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD I.D.#:	2019-1728	BRIEF DESCRIPTION:	Situational awareness information from a South Carolina LEA

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1736	BRIEF DESCRIPTION:	Franklin County SO weekly report

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?		X	
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1762	BRIEF DESCRIPTION:	Request for LPR via HSIN

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
HSIN is accessible only to approved law enforcement.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID, #:	2019-1799	BRIEF DESCRIPTION:	RFI - Sanford PD re: a drug case

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	2019-1849	BRIEF DESCRIPTION:	Pass-through bulletin re: a hit and run

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID#:	ME FCU 0000-2019-00005	BRIEF DESCRIPTION:	Houlton Sector Border Patrol Request to Identify and SAR re: individuals shopping for a pressure cooker

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?		X	
	A. If so, was the inclusion of the PII necessary?			X
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?			X
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		

13	Does the RECORD state whether the RECORD may be further disseminated?	X		
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?		X	
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

The audit committee had discussion about this product, focusing on how and whether -- if at all -- race and ethnicity should be weighed when preparing and disseminating an ISE-SAR, as well as whether, in retrospect, there was an adequate foundation to include the record in eGuardian. [10.11.2019: For more discussion about this record, see paragraph 3 of the "Findings" section of the Privacy Audit report.]

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	ME FCU 0000-2019-00007	BRIEF DESCRIPTION:	ISE-SAR regarding a person who made threatening statements toward priests

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?		X	
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?			X
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?	X		
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?	X		
13	Does the RECORD state whether the RECORD may be further disseminated?	X		

#				
14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?		X	
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?			X
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?			X
20	Is the RECORD neutral in its discussion of religion?			X
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS

RE: 8(B): The allegation was determined not to be credible. RE: 15: The Facebook message arguably constitutes a criminal threat, which would not be First Amendment-protected speech.

MAINE INFORMATION & ANALYSIS CENTER ("MIAC") PRIVACY AUDIT

RECORD EVALUATION FORM

01 March 2019 - 01 Sept. 2019

RECORD ID.#:	ME FCU 0000-2019-00008	BRIEF DESCRIPTION:	Suspicious threatening email forwarded to MSP Trooper

#	QUESTION	YES	NO	NA
1	Does the RECORD provide information that is consistent with MIAC's mission?	X		
2	If the RECORD originated from another source (e.g., another law enforcement agency ("LEA")), did MIAC review and approve the RECORD in the same manner in which MIAC would review and approve its own RECORDs prior to their dissemination?	X		
3	Are the information sources included in the RECORD labeled or rated for their confidence or reliability?		X	
4	Are there necessary qualifiers in the RECORD regarding the confidence or reliability of the information included in the RECORD?		X	
5	Are there any use or dissemination limitations or restrictions (legal or otherwise) stated in the RECORD that should be stated in the RECORD given its content?	X		
6	If the RECORD was repurposed or revised by MIAC for a new audience, was the RECORD appropriately re-labeled or labeled as necessary prior to MIAC's dissemination of the repurposed or revised RECORD?		X	
7	Are opinions of MIAC personnel that are stated in the RECORD labeled or otherwise identified as such?			X
8	Does the RECORD contain personally identifying information ("PII")?	X		
	A. If so, was the inclusion of the PII necessary?	X		
	B. Does the PII included in the RECORD relate to minors, victims of domestic violence, victims of sexual abuse, participants in substance abuse programs, or participants in mental health treatment programs?		X	
9	When it was originally reviewed, was the RECORD found to include erroneous data?		X	
	A. If so, was the RECORD amended or rescinded as a result?			X
10	Are conclusions stated or asserted in the RECORD factually-based?	X		
11	Is there documentation evidencing that the RECORD was reviewed by MIAC prior to its dissemination?	X		
12	Does the RECORD identify the audience for whom the RECORD is intended?		X	
13	Does the RECORD state whether the RECORD may be further disseminated?		X	

14	If necessary, does the RECORD state when the RECORD should be disregarded or otherwise purged?			X
15	Does the RECORD include any necessary and appropriate qualifiers or context regarding First Amendment-protected activities to which the RECORD relates?			X
16	Does the RECORD avoid broad, vague descriptors (e.g., "extremist," "radical," "far left," "far right," etc.) of persons and organizations?	X		
17	Does the RECORD include demographic descriptors pertaining to one or more individual's race, ethnicity, gender, national origin, religion, sexual orientation, or gender identity?	X		
	A. If so, were those descriptors appropriate to include, given the information being provided in the RECORD?	X		
18	Is the information provided in the RECORD based on individual behavior or objective facts, and not on stereotypes, generalizations, or assumptions?	X		
19	Is the RECORD neutral in its use of religious terminology?	X		
20	Is the RECORD neutral in its discussion of religion?	X		
21	Does the RECORD relate to First Amendment-protected activity?		X	
	A. If so, was there a compelling reason to create the RECORD?			X
	B. If so, was the RECORD narrowly-tailored to achieve that purpose?			X

NOTES/COMMENTS
eGuardian is known and understood to be a system that is accessible only to law enforcement that have been approved for access to the system.