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January 29, 2019

Honorable Sara Gideon
Speaker of the House
2 State House Station
Augusta, ME 04333-0002

RE: Statutory Citation P.L. 2011 c. 616: An Act to Implement the Recommendations of the Office of Program Evaluation and Government Accountability and the Government Oversight Committee Regarding Quasi-Independent State Entities

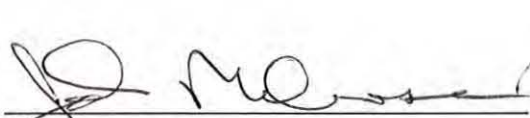
Dear Speaker Gideon:

Please find enclosed the Northern New England Passenger Rail Authority's annual report as required under section 12023 (2) "Ongoing Reports" of the Statutory Citation referenced above for NNEPRA's Fiscal Year 2018.


Required Reports:

- 2A. A list of all procurements exceeding \$10,000 in the preceding year (NNEPRA Fiscal Year 2018) for which competitive procurement was waived under the policies adopted pursuant to section 12022, subsection 3 - Attached.
- 2B. A list of all persons to which the entity made contributions greater than \$1,000 in the preceding year (NNEPRA Fiscal Year 2018) and the total amount contributed to each - Attached.
- 2C. There were changes made to NNEPRA's written Procurement Policy required by Section 12022 - Attached. There were no changes to the procedures used by the governing body to monitor compliance with those policies and procedures.

Sincerely,



Mr. John Melrose
Chairman
Northern New England Passenger Rail Authority



Ms. Patricia Quinn
Executive Director
Northern New England Passenger Rail Authority



January 29, 2019

Honorable Troy D. Jackson
President of the Senate
3 State House Station
Augusta, ME 04333-0003

RE: Statutory Citation P.L. 2011 c. 616: An Act to Implement the Recommendations of the Office of Program Evaluation and Government Accountability and the Government Oversight Committee Regarding Quasi-Independent State Entities

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Sincerely,


Mr. John Melrose
Chairman
Northern New England Passenger Rail Authority


Ms. Patricia Quinn
Executive Director
Northern New England Passenger Rail Authority

Northern New England Passenger Rail Authority

§ 12023 Reports to the Legislature Subsection 2A

Procurements Exceeding \$10,000 - Competitive Procurement Waived

July 1, 2017 to June 30, 2018

Date	Vendor Name	Amount	Procurement Method (Justification is on file at NNEPRA's Office)	Funding Source
7/1/2017	Farrell, Rosenblatt, Russell	\$95,000.00	Legal Services - Available only from one source	CMAQ
8/18/2017	LB Foster	\$73,568.00	Construction Sole Source - Available from only one source	5337 Formula Grant
8/18/2017	LB Foster	\$73,568.00	Construction Sole Source - Available from only one source	5307 Formula Grant
8/22/2017	AMTRAK	\$450,487.00	Construction Sole Source - Available from only one source	5307 Formula Grant
9/19/2017	Alstom Signalling	\$10,086.55	Construction Sole Source - Available from only one source	FRA, Layover grant
9/19/2017	Siemens	\$26,784.13	Construction Sole Source - Available from only one source	FRA, Layover grant
9/25/2017	Ansaldo	\$27,112.95	Construction Sole Source - Available from only one source	FRA, Layover grant
9/25/2017	PTMW, Inc	\$19,928.00	Construction Sole Source - Available from only one source	FRA, Layover grant
9/28/2017	Alstom Signalling	\$15,655.20	Construction Sole Source - Available from only one source	FRA, Layover grant
11/9/2017	Progress Rail	\$11,323.72	Construction Sole Source - Available from only one source	FRA, Layover grant
11/9/2017	Thermal-Flex Systems	\$23,891.00	Construction Sole Source - Available from only one source	FRA, Layover grant
1/19/2018	Alstom Signalling	\$22,293.85	Construction Sole Source - Available from only one source	5307 Formula Grant
1/26/2018	Alstom Signalling	\$68,645.86	Construction Sole Source - Available from only one source	5307 Formula Grant
1/26/2018	Ansaldo	\$179,588.02	Construction Sole Source - Available from only one source	5307 Formula Grant
1/26/2018	Mikulsky	\$20,640.00	Construction Sole Source - Available from only one source	5307 Formula Grant
1/26/2018	Siemens	\$33,244.05	Construction Sole Source - Available from only one source	5307 Formula Grant
4/18/2018	Dixie Pre-cast	\$10,308.00	Construction Sole Source - Available from only one source	5307 Formula Grant
4/18/2018	Lindsay	\$167,045.00	Construction Sole Source - Available from only one source	5307 Formula Grant
5/11/2018	Mikulsky	\$35,630.00	Construction Sole Source - Available from only one source	5307 Formula Grant
5/24/2018	Progress Rail	\$23,862.88	Construction Sole Source - Available from only one source	5307 Formula Grant

Northern New England Passenger Rail Authority

§ 12023 Reports to the Legislature Subsection 2B

Contributions Exceeding \$1,000

July 1, 2017 to June 30, 2018

Date	Trans Description	Over \$1,000 - Sponsorship	Over \$1,000 - Dues
9/1/17	Girl Scouts of America - Women of Distinction Champion Sponsorship	\$ 1,500	
12/4/17	TrainRiders Northeast - Platinum Sponsor Annual Meeting	\$ 1,500	
4/18/18	Maine State Music Theatre - Maine State Music Theater 2018 Sponsor	\$ 2,000	
6/1/18	Greater Dover Chamber of Commerce - Children's Series Sponsor	\$ 1,500	
3/1/18	States for Passenger Rail Coalition - 2018 Dues		\$ 5,000
3/28/18	Maine Development Foundation - Champion Circle	\$ -	\$ 5,000

Northern New England Passenger Rail Authority
§ 12023 Reports to the Legislature Subsection 2C
Written Policies and Procedures Changes
July 1, 2017 to June 30, 2018

Changes made to the written policies and procedures required by section 12022 are as follows:

Procurement Policy Added Language:

- a) Clarified when and which federal clauses are to be included with procurement solicitations and contracts;
- b) Reduced the acceptance of gifts, which is highly discouraged, from \$50.00 to \$25.00 per person per calendar year;
- c) Strengthened the language for NNEPRA to include small and minority businesses, women's business enterprises and labor surplus area firms in solicitations;
- d) Added the requirement for a "Responsibility Determination Form" for applicable procurements;
- e) Updated the circumstances when NNEPRA can use a non-competitive procurement in accordance with updated federal guidance;
- f) Added requirements for an Assigned Contract Rights/Piggybacking procurement; and,
- g) Updated the language in the Disputes and Protests in accordance with updated federal guidance.

There were no changes to the procedures used by the governing body to monitor compliance with those policies and procedures.