

# MAINE STATE LEGISLATURE

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January 31, 2014

The University of Maine

**The Honorable Justin Alford**

**President of the Senate**

**3 State House Station**

**Augusta, ME 04333-0003**

University of Maine  
at Augusta

University of Maine  
at Farmington

**Representative Mark Eves**

**Speaker of the House of Representatives**

**2 State House Station**

**Augusta, ME 04333-0002**

University of Maine  
at Fort Kent

University of Maine  
at Machias

**Dear President Alford and Speaker Eves:**

University of Maine  
at Presque Isle

**In accordance with 5 MRSA, Sections 12021 through 12024 (2011 Public Law, Chapter 616), attached you will find the report of the University of Maine System as required by section 12023.**

University of  
Southern Maine

**Please don't hesitate to contact us if we can answer any questions or provide any additional information.**

Sincerely,

James H. Page, Chancellor  
University of Maine System

## **Annual report of the University of Maine System as required by section 12023**

The report that follows includes information required to be submitted annually to the Legislature including: 1) A list of all procurements exceeding \$10,000 in the preceding year for which competitive procurement was waived under the policies adopted pursuant to section 12022, subsection 3; 2) a list of all persons to which UMS made contributions greater than \$1,000 in the preceding year and the total amount contributed to each; and 3) a description of changes made in the preceding year to the written policies and procedures required by section 12022 or to the procedures used by the governing body to monitor compliance with those policies and procedures. In the process of creating the list of procurements exceeding \$10,000, a series of purchases pursuant to standing orders were identified. While technically compliant with the requirements of section 12022 and therefore not on the list that follows, these numerous, individual and relatively low-value purchases across 28 standing orders aggregated to \$644,000. This may present an opportunity to improve by conducting aggregated bidding, which the University intends to pursue.

**Waivered Procurements over \$10,000:** A list of available waivers from the competitive procurement process, including a brief description of each waiver, is contained in *Attachment A*. Of that list, the waivers subject to section 12023 reporting requirements include; waiver C - Goods and/or services specifically named in grant awards, waiver E - Purchase of materials for addition to a library collection, waiver F - Purchase for Resale, waiver G – Honoraria, waiver H - Investment Related Services, waiver I – Emergency Purchases, and Waiver J – Sole Source Purchases. The list of procurements exceeding \$10,000 for the period of July 1, 2013 to December 31, 2013 and subject to reporting under section 12023 is contained in *Attachment B*.

**Contributions Greater than \$1,000:** A list of all persons to which UMS made contributions greater than \$1,000 in the preceding year and the total amount contributed to each is contained in *Attachment C*.

**Changes to Written Policies and Procedures:** The University reported the changes which it implemented to achieve compliance with 12022 when it reported to the Legislature in January 2013 pursuant to section 12023. The University has since made additional updates to its policies and procedures as it does from time to time, but there have been no changes since its last report under this Chapter which directly or adversely impact its previously reported compliance with the requirements under section 12022.

### **Attachment A - Available waivers from the competitive procurement process**

- A. **UMS Contracts.** Purchases from UMS-approved contracts that were established as a result of a competitive process administered by UMS or which are available under the auspices of another UMS-approved cooperative procurement group.
- B. **Cooperative Purchases.** Cooperative purchases with the State, a state agency, or another governmental entity where it is possible for the University to purchase from an established competitively bid contract.
- C. **Goods and/or services specifically named in grant awards.** When these goods and/or services are available from multiple sources the competitive procurement standard applies unless the grant requires, was contingent upon, or was otherwise awarded with the explicit expectation that a specific good or service would be procured to carry out the award.
- D. **Cooperative Projects.** Contracts or agreements for cooperative projects pursuant to 5 MRSA §1825-B.
- E. **Library Books, Periodicals.** Purchase of materials for addition to a library collection including costs of books, catalogs, periodicals, audiovisual and electronic media, and other publications.
- F. **Purchase for Resale.** Textbooks, course related materials, food, beverages and other items purchased for resale.
- G. **Honoraria.** One-time payments or awards to a non-employee without the usual employment or consultant requisites or obligations, e.g., guest speakers.
- H. **Investment Related Services.** Manager, custodian, transition, or other investment services. These services will be obtained and terminated using a search or other due diligence process working with the competitively-procured UMS investment consultant and/or the Board of Trustees Investment Committee.
- I. **Emergency Purchases.** These may be made only to meet bona fide emergencies arising from unforeseeable causes. Emergency purchases should be made on the basis of competitive procurement and approved in advance whenever practicable.
- J. **Sole Source Purchases.** Sole source purchases are made when goods or services, because of unique characteristics or other reasons, are available from only one source. In cases where an alternate supplier for a similar product or service cannot be identified,

the requestor must document that a good faith effort has been made in seeking other sources. A listing of the unique technical specifications required of the product and the companies that were contacted in the search for alternate sources is necessary. Sole source justification cannot be based on quality, price, mere preference or urgency created by inadequate planning or untimely action by the requestor, such as the imminent expiration of a contract that could have been foreseen.

**Attachment B - Waived procurements exceeding \$10,000 for the period July 1 to December 31, 2013**

Creation Date	PO #	Supplier Name	Amount	Waiver	Campus
7/3/2013	5100130542	ACJN CONSULTING	\$ 25,000.00	Waiver C - Named in Grant	UM
7/11/2013	5100131768	MAINE MARINE COMPOSITES LLC	\$ 56,600.00	Waiver C - Named in Grant	UM
7/11/2013	5100131794	GULF OF MAINE RESEARCH INST	\$ 67,168.00	Waiver C - Named in Grant	UM
7/16/2013	5100132300	IBERDROLA RENOVABLES ENERGIA SA	\$ 39,000.00	Waiver C - Named in Grant	UM
7/17/2013	5100132505	ROGER WILLIAMS UNIV	\$ 23,306.00	Waiver C - Named in Grant	UM
7/18/2013	5100132914	BARRY DANA	\$ 20,200.00	Waiver C - Named in Grant	UM
7/22/2013	5100133381	BIGELOW LAB FOR OCEAN SCIENCE	\$ 45,000.00	Waiver C - Named in Grant	UM
7/24/2013	5100133732	INST FOR BROADENING PARTICIPATION	\$ 51,142.00	Waiver C - Named in Grant	UM
7/30/2013	2100134867	SVPC	\$ 180,000.00	Waiver C - Named in Grant	UMF
8/5/2013	5100135814	BATES COLLEGE	\$ 18,907.00	Waiver C - Named in Grant	UM
8/13/2013	5100137389	WOODS HOLE OCEANOGRAPHIC INST	\$ 11,360.00	Waiver C - Named in Grant	UM
8/20/2013	6100138717	CAMBRIDGE LEADERSHIP ASSOC	\$ 77,602.00	Waiver C - Named in Grant	USM
8/21/2013	5100138960	UNIV OF MASSACHUSETTS	\$ 120,215.00	Waiver C - Named in Grant	UM
8/26/2013	6100139660	EAT LOCAL FOODS COALITION	\$ 12,900.00	Waiver C - Named in Grant	USM
8/26/2013	6100139685	HORNBY ZELLER ASSOC INC	\$ 14,000.00	Waiver C - Named in Grant	USM
8/29/2013	5100140264	GULF OF MAINE RESEARCH INST	\$ 19,307.00	Waiver C - Named in Grant	UM
9/12/2013	5100143047	MAINE AQUACULTURE ASSN	\$ 10,497.00	Waiver C - Named in Grant	UM
9/19/2013	5100144364	RAINSTORM INC	\$ 20,000.00	Waiver C - Named in Grant	UM
9/24/2013	5100145225	HARBOR TECHNOLOGIES LLC	\$ 43,150.00	Waiver C - Named in Grant	UM
9/26/2013	5100146084	TECH VALLEY CONNECT INC	\$ 69,000.00	Waiver C - Named in Grant	UM
10/1/2013	5100146632	ISLAND INST	\$ 33,836.00	Waiver C - Named in Grant	UM
10/3/2013	5100147427	MAINE MARITIME ACADEMY	\$ 35,000.00	Waiver C - Named in Grant	UM
10/8/2013	6100148043	JO ANN LAMM	\$ 30,834.00	Waiver C - Named in Grant	USM
10/10/2013	5100148733	PENOBSCOT E RESOURCE CENTER	\$ 133,160.00	Waiver C - Named in Grant	UM
10/16/2013	6100149454	CRYSTAL COLLINS-CAMARGO	\$ 11,214.00	Waiver C - Named in Grant	USM
10/16/2013	6100149564	RUTH A HUEBNER	\$ 46,124.00	Waiver C - Named in Grant	USM
10/16/2013	6100149565	MARY M O'BRIEN	\$ 43,630.00	Waiver C - Named in Grant	USM

11/5/2013	6100153114	NICANTE INC	\$ 18,000.00	Waiver C - Named in Grant	USM
11/18/2013	5100155056	FARO TECHNOLOGIES INC	\$ 59,682.00	Waiver C - Named in Grant	UM
11/18/2013	5100155164	MEDORA CORP	\$ 57,925.00	Waiver C - Named in Grant	UM
12/3/2013	5100157082	ERIC W WELCH	\$ 37,000.00	Waiver C - Named in Grant	UM
12/4/2013	5100157478	JULIA MELKERS	\$ 37,000.00	Waiver C - Named in Grant	UM
12/4/2013	5100157572	SCHOODIC EDUC & RESEARCH CTR INST	\$ 44,865.00	Waiver C - Named in Grant	UM
12/4/2013	5100157583	UNIV OF DELAWARE	\$ 95,157.00	Waiver C - Named in Grant	UM
12/5/2013	5100157866	UNIV OF NEW ENGLAND	\$ 37,135.00	Waiver C - Named in Grant	UM
12/10/2013	5100158556	RESEARCH FNDTN FOR ST UNIV OF NY	\$ 58,118.00	Waiver C - Named in Grant	UM
12/13/2013	6100159337	JOBS FOR MAINES GRADUATES	\$ 31,469.00	Waiver C - Named in Grant	USM
12/30/2013	6100161292	NMDC	\$ 63,500.00	Waiver C - Named in Grant	USM
8/5/2013	6100135815	FRIENDS OF CASCO BAY	\$ 60,000.00	Waiver C - Named in Grant	USM
8/21/2013	6100139012	BIODIVERSITY RESEARCH INST	\$ 15,000.00	Waiver C - Named in Grant	USM
7/11/2013	4100132000	DOWNEAST INSTITUTE	\$ 41,690.21	Waiver C - Named in Grant	UMM
7/24/2013	5100134037	ACJN CONSULTING	\$ 24,320.00	Waiver C - Named in Grant	UM
8/21/2013	5100138802	SOUTHWORTH CO	\$ 45,100.35	Waiver C - Named in Grant	UM
9/30/2013	5100146415	7 TREE SOLUTIONS LLC	\$ 15,500.00	Waiver C - Named in Grant	UM
10/8/2013	6100148042	KRYSTINE L LANGE	\$ 16,400.00	Waiver C - Named in Grant	USM
12/9/2013	4100158437	AC INC	\$ 29,700.00	Waiver C - Named in Grant	UMM
12/9/2013	4100158436	DOWNEAST INSTITUTE	\$ 37,961.75	Waiver C - Named in Grant	UMM
12/9/2013	4100158439	DOWNEAST INSTITUTE	\$ 31,767.12	Waiver C - Named in Grant	UMM
7/3/2013	6100130662	SAFARI BOOKS ONLINE LLC	\$ 13,860.00	Waiver E - Library Coll	USM
7/18/2013	5100132755	AMAZON COM LLC	\$ 65,000.00	Waiver E - Library Coll	UM
8/12/2013	5100137184	BERKELEY ELECTRONIC PRESS	\$ 35,105.00	Waiver E - Library Coll	UM
9/9/2013	5100142253	PROQUEST LLC	\$ 23,685.00	Waiver E - Library Coll	UM
9/12/2013	5100143132	PROQUEST LLC	\$ 102,515.00	Waiver E - Library Coll	UM
9/23/2013	5100145134	PROQUEST LLC	\$ 17,245.00	Waiver E - Library Coll	UM
10/8/2013	5100148062	JSTOR	\$ 12,206.00	Waiver E - Library Coll	UM
11/7/2013	5100153643	ATLAS SYSTS INC	\$ 14,040.00	Waiver E - Library Coll	UM
11/19/2013	3100155257	FACTS ON FILE INC	\$ 42,181.00	Waiver E - Library Coll	UMFK
12/5/2013	5100157864	EBSCO INFO SVCS EBSCO SUBSCRIP	\$ 47,995.00	Waiver E - Library Coll	UM

8/26/2013	6100139657	OCLC ONLINE COMPUTER LIB CTR INC	\$ 42,000.00	Waiver E - Library Coll	USM
7/31/2013	2100135060	IEC ELECTRICAL & DATA SVCS INC	\$ 13,109.69	Waiver I - Emergency Purch	UMF
8/16/2013	6100138180	ATA PIPING INC	\$ 15,050.00	Waiver I - Emergency Purch	USM
9/13/2013	6100143239	ATA PIPING INC	\$ 19,325.00	Waiver I - Emergency Purch	USM
9/13/2013	6100143311	COLLINS PIPE & SUPPLY CO INC	\$ 47,791.30	Waiver I - Emergency Purch	USM
9/13/2013	6100143357	PETER D PINKHAM INC	\$ 15,000.00	Waiver I - Emergency Purch	USM
9/16/2013	6100143411	NORTHEAST SPECIALTY	\$ 15,160.00	Waiver I - Emergency Purch	USM
9/24/2013	6100145164	ATA PIPING INC	\$ 133,564.33	Waiver I - Emergency Purch	USM
11/4/2013	5100152921	BRUCE MAU DESIGN INC	\$ 350,000.00	Waiver I - Emergency Purch	UM
8/5/2013	6100135980	CAPOZZA TILE CO INC	\$ 14,550.00	Waiver I - Emergency Purch	USM
8/19/2013	2100138434	BIOSAFE ENVIRONMENTAL SVCS INC	\$ 26,561.00	Waiver I - Emergency Purch	UMF
8/22/2013	6100139126	NORTHEAST SPECIALTY	\$ 11,460.00	Waiver I - Emergency Purch	USM
9/27/2013	6100146218	PETER D PINKHAM INC	\$ 52,500.35	Waiver I - Emergency Purch	USM
10/10/2013	6100148838	TRADESMEN INTRNTL INC	\$ 36,000.00	Waiver I - Emergency Purch	USM
12/12/2013	2100159092	KENYON BARSTOW CO LLC	\$ 14,880.00	Waiver I - Emergency Purch	UMF
7/1/2013	5100130022	AMS IMAGING LLC	\$ 12,506.55	Waiver J - Sole Source Purch	UM
7/1/2013	5100130154	SCHOODIC EDUC & RESEARCH CTR INST	\$ 13,282.50	Waiver J - Sole Source Purch	UM
7/2/2013	5100130262	OFFTECH NEW ENGLAND	\$ 10,212.32	Waiver J - Sole Source Purch	UM
7/2/2013	5100130402	HONEYWELL INTRNTL INC	\$ 61,600.00	Waiver J - Sole Source Purch	UM
7/8/2013	5100130933	OLYMPUS AMERICA INC	\$ 12,350.20	Waiver J - Sole Source Purch	UM
7/9/2013	5100131190	MAC GRAY SVCS INC	\$ 45,246.60	Waiver J - Sole Source Purch	UM
7/9/2013	6100131357	PITNEY BOWES INC	\$ 11,728.56	Waiver J - Sole Source Purch	USM
7/12/2013	5100131892	CANON SOLUTIONS AMERICA (OCE)	\$ 39,500.00	Waiver J - Sole Source Purch	UM
7/16/2013	6100132411	IMODULES SOFTWARE INC	\$ 12,349.00	Waiver J - Sole Source Purch	USM
7/17/2013	5100132723	AGILYSYS NV LLC	\$ 24,711.29	Waiver J - Sole Source Purch	UM
7/18/2013	5100132867	PASSAMAQUODDY TRIBE PLEASANT PT	\$ 15,000.00	Waiver J - Sole Source Purch	UM
7/19/2013	5100133050	NEW ENGLAND WOODCRAFT INC	\$ 12,750.00	Waiver J - Sole Source Purch	UM
7/22/2013	6100133346	ADVANCE TECHNOLOGY INC	\$ 25,080.00	Waiver J - Sole Source Purch	USM
7/23/2013	1100133682	OVATION FUNDRAISING COUNSEL LLC	\$ 19,800.00	Waiver J - Sole Source Purch	UMA
7/23/2013	5100133617	TELEDYNE WEBB RESEARCH	\$ 29,808.90	Waiver J - Sole Source Purch	UM
7/24/2013	5100133901	MAINE HELICOPTERS INC	\$ 17,925.00	Waiver J - Sole Source Purch	UM



7/25/2013	5100134097	LECO CORP	\$ 69,190.00	Waiver J - Sole Source Purch	UM
7/25/2013	5100134257	DECAGON DEVICES INC	\$ 15,947.50	Waiver J - Sole Source Purch	UM
7/25/2013	5100134316	BLACKBOARD INC	\$ 12,000.00	Waiver J - Sole Source Purch	UM
7/26/2013	5100134446	SWANK MOTION PICTURES INC	\$ 18,000.00	Waiver J - Sole Source Purch	UM
7/30/2013	5100134798	PERCIVAL SCIENTIFIC INC	\$ 12,175.00	Waiver J - Sole Source Purch	UM
8/2/2013	6100135491	GILDNER & OFFUTT ASSOC INC	\$ 41,558.00	Waiver J - Sole Source Purch	USM
8/5/2013	5100135685	POWER ENGINEERS INC	\$ 12,000.00	Waiver J - Sole Source Purch	UM
8/5/2013	5100135686	POWER ENGINEERS INC	\$ 11,000.00	Waiver J - Sole Source Purch	UM
8/5/2013	5100135687	FROST SEPTIC & SONS LLC	\$ 10,884.00	Waiver J - Sole Source Purch	UM
8/6/2013	5100136123	STERICYCLE	\$ 20,000.00	Waiver J - Sole Source Purch	UM
8/12/2013	5100137100	ECOGENICS BMBH	\$ 11,623.50	Waiver J - Sole Source Purch	UM
8/12/2013	5100137273	STRATEGIC MARKETING INNOVATIONS	\$ 65,000.00	Waiver J - Sole Source Purch	UM
8/12/2013	6100137288	HOLIDAY INN BY THE BAY	\$ 17,880.00	Waiver J - Sole Source Purch	USM
8/13/2013	5100137358	AIR GREENLAND	\$ 25,000.00	Waiver J - Sole Source Purch	UM
8/21/2013	1100138800	PANOPTO	\$ 15,408.80	Waiver J - Sole Source Purch	UMA
		SOCIETY FOR HUMAN RESOURCE			
8/21/2013	6100139042	MGMT	\$ 15,000.00	Waiver J - Sole Source Purch	USM
8/23/2013	6100139394	PERKIN ELMER HEALTH SCIENCES	\$ 11,844.50	Waiver J - Sole Source Purch	USM
8/29/2013	5100140278	TRANE	\$ 14,193.00	Waiver J - Sole Source Purch	UM
8/29/2013	5100140279	TRANE	\$ 14,553.00	Waiver J - Sole Source Purch	UM
8/29/2013	5100140327	NATIONAL RENEWABLE ENERGY LAB	\$ 50,000.00	Waiver J - Sole Source Purch	UM
9/3/2013	5100141017	STANDARD ELECTRIC	\$ 12,578.96	Waiver J - Sole Source Purch	UM
9/4/2013	5100141178	MAINE LOBSTERMENS ASSN INC	\$ 37,172.49	Waiver J - Sole Source Purch	UM
9/6/2013	5100141758	REGIONAL ECONOMIC MODELS INC	\$ 24,500.00	Waiver J - Sole Source Purch	UM
9/10/2013	5100142323	KEITH HUTCHISON	\$ 40,000.00	Waiver J - Sole Source Purch	UM
9/11/2013	2100142897	LI COR BIOSCIENCES	\$ 46,000.00	Waiver J - Sole Source Purch	UMF
9/13/2013	5100143193	MARGUERITE A MACDONALD	\$ 20,000.00	Waiver J - Sole Source Purch	UM
9/16/2013	1100143599	SEQUOIA RETAIL SYSTS INC	\$ 16,540.00	Waiver J - Sole Source Purch	UMA
9/17/2013	6100143942	CBORD GROUP INC	\$ 32,668.01	Waiver J - Sole Source Purch	USM
9/18/2013	1100144031	KEWL ASL	\$ 26,800.00	Waiver J - Sole Source Purch	UMA
9/18/2013	1100144032	KEWL ASL	\$ 12,400.00	Waiver J - Sole Source Purch	UMA

9/18/2013	5100144198	LAVISION INC	\$ 22,677.50	Waiver J - Sole Source Purch	UM
9/18/2013	5100144253	TA INSTRUMENTS WATERS LLC	\$ 31,520.00	Waiver J - Sole Source Purch	UM
9/24/2013	5100145372	WOODS HOLE OCEANOGRAPHIC INST	\$ 16,000.00	Waiver J - Sole Source Purch	UM
9/25/2013	6100145651	NIKON INSTRUMENTS INC	\$ 15,600.00	Waiver J - Sole Source Purch	USM
9/25/2013	6100145752	UNIV OF CONNECTICUT	\$ 11,375.00	Waiver J - Sole Source Purch	USM
10/1/2013	5100146794	NRCCUA	\$ 15,042.50	Waiver J - Sole Source Purch	UM
10/2/2013	5100146965	GEA PROCESS ENGINEERING	\$ 18,000.00	Waiver J - Sole Source Purch	UM
10/2/2013	5100147130	REED & REED INC	\$ 12,782.00	Waiver J - Sole Source Purch	UM
10/3/2013	6100147294	ASSESSMENT TECHNOLOGIES INST LLC	\$ 24,697.00	Waiver J - Sole Source Purch	USM
10/8/2013	5100148306	HOUSTON OFFSHORE ENGINEERING LLC	\$ 120,460.00	Waiver J - Sole Source Purch	UM
10/8/2013	6100148314	CITY OF AUGUSTA	\$ 18,000.00	Waiver J - Sole Source Purch	USM
10/16/2013	5100149404	POLYTANK INC	\$ 10,504.00	Waiver J - Sole Source Purch	UM
10/18/2013	5100149842	ADVISORY BOARD CO	\$ 33,075.00	Waiver J - Sole Source Purch	UM
10/18/2013	5100149944	SAS INST INC	\$ 15,610.00	Waiver J - Sole Source Purch	UM
10/21/2013	8100150284	CAEL	\$ 23,550.00	Waiver J - Sole Source Purch	UMS
10/22/2013	5100150309	DIGITAL WORKSHOP	\$ 15,000.00	Waiver J - Sole Source Purch	UM
10/23/2013	5100150654	NORTEKUSA LLC	\$ 17,610.00	Waiver J - Sole Source Purch	UM
10/24/2013	5100150872	LEADING EDGE GEOMATICS LTD	\$ 25,000.00	Waiver J - Sole Source Purch	UM
10/29/2013	1100151965	MAINE INSTRUMENT FLIGHT INC	\$ 119,272.00	Waiver J - Sole Source Purch	UMA
10/29/2013	5100151856	RICKES ASSOCS INC	\$ 107,992.50	Waiver J - Sole Source Purch	UM
10/29/2013	5100151857	TAF CONSULTING	\$ 67,200.00	Waiver J - Sole Source Purch	UM
10/31/2013	5100152264	LAB AIDS INC	\$ 78,651.30	Waiver J - Sole Source Purch	UM
11/4/2013	1100152903	COMMUNITY BROADCASTING SVC	\$ 11,985.00	Waiver J - Sole Source Purch	UMA
11/4/2013	1100152910	TIME WARNER CABLE MEDIA SALES	\$ 26,647.50	Waiver J - Sole Source Purch	UMA
11/4/2013	5100152922	SASAKI ASSOC INC	\$ 198,000.00	Waiver J - Sole Source Purch	UM
11/11/2013	5100153903	METROHM USA INC	\$ 19,255.65	Waiver J - Sole Source Purch	UM
11/18/2013	5100155011	WILD IVY FARM	\$ 12,000.00	Waiver J - Sole Source Purch	UM
11/21/2013	5100155921	HAMPDEN ELECTRICAL	\$ 25,000.00	Waiver J - Sole Source Purch	UM
11/25/2013	5100156406	LITZ USA STUDENT SVCS LTD	\$ 50,000.00	Waiver J - Sole Source Purch	UM
12/3/2013	5100157085	GRACE NOONAN KAYE & ASSOC	\$ 40,000.00	Waiver J - Sole Source Purch	UM
12/3/2013	5100157089	CYR BUS LINE	\$ 22,380.00	Waiver J - Sole Source Purch	UM

12/3/2013	5100157090	CYR BUS LINE	\$ 13,425.00	Waiver J - Sole Source Purch	UM
12/3/2013	5100157092	CYR BUS LINE	\$ 38,930.00	Waiver J - Sole Source Purch	UM
12/3/2013	8100157349	N DEAN MEYER & ASSOC INC	\$ 98,160.00	Waiver J - Sole Source Purch	UMS
12/4/2013	5100157383	FENRIS INC	\$ 24,600.00	Waiver J - Sole Source Purch	UM
12/10/2013	5100158458	CYR BUS LINE	\$ 32,950.00	Waiver J - Sole Source Purch	UM
12/10/2013	6100158469	HILTON GARDEN INN FREEPORT	\$ 14,850.00	Waiver J - Sole Source Purch	USM
12/10/2013	6100158499	BOWDOIN COLLEGE	\$ 35,867.25	Waiver J - Sole Source Purch	USM
12/10/2013	6100158738	YELLOW ARROWS LLC	\$ 19,400.00	Waiver J - Sole Source Purch	USM
7/1/2013	5100129921	EXACTITUDE INC	\$ 50,500.00	Waiver J - Sole Source Purch	UM
7/2/2013	5100130164	WHITE SIGN	\$ 12,000.00	Waiver J - Sole Source Purch	UM
7/3/2013	6100130657	MAINE OXY	\$ 12,500.00	Waiver J - Sole Source Purch	USM
7/5/2013	5100130750	CYR BUS LINE	\$ 18,245.00	Waiver J - Sole Source Purch	UM
7/5/2013	5100130753	CYR BUS LINE	\$ 21,130.00	Waiver J - Sole Source Purch	UM
7/5/2013	5100130754	CYR BUS LINE	\$ 21,460.00	Waiver J - Sole Source Purch	UM
7/5/2013	5100130752	CYR BUS LINE	\$ 25,680.00	Waiver J - Sole Source Purch	UM
7/5/2013	5100130751	CYR BUS LINE	\$ 27,920.00	Waiver J - Sole Source Purch	UM
7/23/2013	5100133621	BLACKBOARD INC	\$ 13,912.00	Waiver J - Sole Source Purch	UM
8/12/2013	5100137221	EMERSON SWAN INC	\$ 29,480.00	Waiver J - Sole Source Purch	UM
8/12/2013	5100137172	IAT INTERACTIVE LLC	\$ 13,710.64	Waiver J - Sole Source Purch	UM
8/12/2013	5100137171	IAT INTERACTIVE LLC	\$ 34,832.96	Waiver J - Sole Source Purch	UM
8/16/2013	5100138090	PATRIOT FIRE PROTECTION	\$ 12,000.00	Waiver J - Sole Source Purch	UM
8/27/2013	5100139735	BANGOR DAILY NEWS	\$ 13,000.00	Waiver J - Sole Source Purch	UM
10/2/2013	5100147129	ASYLUM RESEARCH CORP	\$ 33,000.00	Waiver J - Sole Source Purch	UM
10/23/2013	5100150663	TELEDYNE INSTRUMENTS INC	\$ 152,986.00	Waiver J - Sole Source Purch	UM
10/28/2013	5100151636	DIGITAL WORKSHOP	\$ 13,500.00	Waiver J - Sole Source Purch	UM
10/28/2013	5100151742	FIRE ENGINE RED	\$ 15,000.00	Waiver J - Sole Source Purch	UM
12/4/2013	5100157379	CYR BUS LINE	\$ 35,905.00	Waiver J - Sole Source Purch	UM
12/10/2013	5100158457	CYR BUS LINE	\$ 14,300.00	Waiver J - Sole Source Purch	UM
12/10/2013	1100158746	HEADLIGHT AUDIO VISUAL INC	\$ 11,389.88	Waiver J - Sole Source Purch	UMA
12/17/2013	5100159704	SUSTAINAMETRIX	\$ 25,000.00	Waiver J - Sole Source Purch	UM
12/17/2013	6100159886	HARRASEEKET INN INC	\$ 101,654.00	Waiver J - Sole Source Purch	USM

12/20/2013	5100160732	MELINDA MORISSETTE	\$ 15,000.00	Waiver J - Sole Source Purch	UM
12/20/2013	5100160615	MARIKO CHANG	\$ 30,000.00	Waiver J - Sole Source Purch	UM
12/23/2013	5100160960	NEW ENGLAND WOODCRAFT INC	\$ 158,250.00	Waiver J - Sole Source Purch	UM
12/24/2013	8100160991	TAF CONSULTING	\$ 177,975.00	Waiver J - Sole Source Purch	UMS
12/30/2013	5100161282	INPRO INC	\$ 18,574.58	Waiver J - Sole Source Purch	UM

University of Maine System  
OPEGA Reporting - Contribution Expense  
July 1, 2013 to December 31, 2013

	Total
Membership Dues	\$ 832,976.22
Charitable Contributions	65,000.00
Sponsorships	77,710.33
Total Contributions	<u>\$ 975,686.55</u>

For purposes of these OPEGA reporting requirements , the University of Maine follows the definition of "Contributions" as set forth in Subchapter 3, §12021:

"Contributions" means payments for membership dues and fees, gifts, donations and sponsorships, including those that result in public advertisement of the entity.

Generally, for all other reporting purposes, the University of Maine follows these definitions:

**Membership dues:** payments to clubs or organizations for inclusion in certain groups which includes value for benefits received.

**Charitable contributions:** payments to nonprofit organizations , charities or private foundations with no expectation of receiving something in return.

**Sponsorships:** payments to organizations as financial backing of public-interest programs or projects as a means of enhancing the University's image. There is no substantial benefit other than the use of the University's name, logo or product lines in connection with the programs' or projects' activities.

University of Maine System  
 OPEGA Contributions Reporting 07/01/2013-12/31/2013  
 Membership Dues

<u>Payee</u>	<u>Amount</u>
AAC & U	\$ 5,351.00
AACSB	2,550.00
AACSB INTL	7,050.00
AAL	5,142.50
AASHE	1,220.00
ACCED I	1,145.00
ACUHO I	1,299.00
ADHA	2,470.00
ADVISORY BOARD CO	57,750.00
AMER ASSN OF COLLEGES OF NURSI	11,394.00
AMERICA EAST CONFERENCE	50,000.00
AMERICAN ASSN COLLEGES FOR TEA	5,865.00
AMERICAN ASSN OF STATE COLLEGE	38,280.00
AMERICAN BAR ASSN	9,375.00
AMERICAN COUNCIL ON EDUCATION	6,863.00
AMERICAN LIBRARY ASSOC	1,004.00
AMERICAN MATHEMATICAL SCTY	1,158.00
AMERICAN PSYCHOLOGICAL ASSN	2,535.50
AROOSTOOK PARTNERSHIP FOR PROG	2,500.00
ASEE CONVENTIONS & SEMINARS CO	3,701.00
ASSN OF AMER LAW SCHOOLS	5,270.00
ASSN OF PUBLIC & LAND GRANT UN	1,591.22
ASSOCIATION OF SMALL BUSINESS	1,759.00
ATLAS SYSTS INC	2,302.00
BLACK BEAR SPORTS PROPERTIES L	1,500.00
CAA FOOTBALL	30,000.00
CAATE	1,850.00
CAEP	3,300.00
CENGAGE LEARNING	9,560.25
CENTER FOR COMPUTER ASSISTED L	7,500.00
COMMISSION ON ENGLISH LANG PRO	6,500.00
COMMON APPLICATION INC	2,000.00
COMPUTING RESEARCH ASSN	1,236.00
CONSORTIUM FOR OCEAN LEADERSHI	13,500.00
CONSTANT CONTACT INC	2,142.00
CONVENT & VISITORS BUREAU GTR	2,478.40
COUNCIL FOR ADVANCE AND SUPPOR	7,655.00
COUNCIL FOR OPPORTUNITY IN EDU	6,436.00
COUNCIL OF PUBLIC LIBERAL ARTS	9,500.00
COUNCIL ON GOVERNMENTAL RELATI	8,650.00
COUNCIL ON SOCIAL WORK EDUC	11,331.00

University of Maine System  
 OPEGA Contributions Reporting 07/01/2013-12/31/2013  
 Membership Dues

<u>Payee</u>	<u>Amount</u>
COUNCIL ON UNDERGRADUATE RESEA	4,025.00
CUPA HR	1,450.00
DEPT OF HOMELAND SECURITY USCI	2,375.00
EASTERN COLLEGE ATHLETIC CONF	4,800.00
Eastern Intercollegiate Associ	1,835.00
EDUCAUSE INC	5,955.00
ENTREPRENEUR EDU, INC	3,225.00
EQUAL JUSTICE WORKS	2,000.00
Estrn Coll. Athl Conf membrshp	2,600.00
EXCHNG VISITOR PROG	3,982.00
FOODCORPS INC	25,000.00
Gen Assembly Dues	4,026.10
GRC	7,337.00
HARVARD UNIV	7,258.34
HIGHER EDUCATION USER G	1,600.00
HOCKEY EAST	14,284.00
IACBE	2,950.00
InCommon FY14 Prepay	1,350.00
INDEPENDENT COLLEGE BOOKSTORE	3,190.00
INTERNET2	39,420.00
LITTLE EAST CONFERENCE	22,000.00
MAINE BOARD OF OVERSEERS OF TH	3,602.00
MAINE CAMPUS COMPACT	42,700.00
MAINE DEVELOPMENT FNDTN	7,290.00
MAINE HIGHER EDUC CNCL	6,167.00
MAINE INTL TRADE CTR	1,950.00
MAINE STATE BAR ASSN	2,774.00
MAINE YOUTH CAMPING FNDTN	2,108.61
NABITA	1,199.00
NACA NE	1,170.00
NACCU	1,280.00
NACDA AND AFFILIATES	2,200.00
NASAD	1,595.00
NASM	4,090.00
NASPA STUDENT AFFAIRS ADMIN I	1,337.00
NATA ONLINE	1,440.00
NATIONAL ACADEMIC ADVISING ASS	1,015.00
NATIONAL ASSN COLLEGE ADMISSIO	1,470.00
NATIONAL ASSN OF COLLEGE & UNI	2,320.00
NATIONAL ASSN OF COLLEGE STORE	4,450.00
NATIONAL BLACK MBA BOSTON CHAP	5,000.00

University of Maine System  
 OPEGA Contributions Reporting 07/01/2013-12/31/2013  
 Membership Dues

<u>Payee</u>	<u>Amount</u>
NATIONAL LEAGUE FOR NURSING	1,390.00
NATIONAL SOCCER COACHES	1,279.00
NATL ASSN OF DEANS & DIRECS SC	1,200.00
NATL COLLEGIATE ATHLETIC ASSN	4,500.00
NC STATE BIORESOURCES JOURNAL	1,716.00
NE INTERCOLLEGIATE AMATEUR ATH	1,800.00
NERACOOS	3,500.00
NERCOMP INC	3,514.00
NEREN INC	5,000.00
NEW ENGLAND ASSN OF SCHOOLS &	86,530.00
NORTH ATLANTIC CONFERENCE	13,500.00
NORUMBEGA MEDICAL SPECIALISTS	3,154.50
NSCAA	1,170.00
OHIO STATE UNIV	1,070.00
PAYPAL *NNPHI '	1,500.00
PI GENTLEMENS HOCKEY LEAGUE	2,450.00
PINE TREE ADMISSION CONSORTIUM	4,800.00
PORTLAND REGIONAL CHAMBER	1,180.00
PRESTOSPORTS INC	2,500.00
SECOND NATURE INC	11,500.00
SHEEO	9,596.00
SPRINGSHARE LLC	2,198.00
STUDENT HEALTH 101	7,300.00
TRIBUNE MEDIA SVCS	1,653.54
UNIV OF ARKANSAS	2,500.00
UNIV OF MIAMI	3,300.00
UNIV OF VERMONT & STATE AGRIC	7,799.26
UNIV PROFESSIONAL & CONTINUING	2,500.00
US GREEN BUILD COUNCIL	1,500.00
US INTERCOLLEGIATE LACROSSE AS	1,250.00
US TRACK & FIELD CRS CNTRY COA	1,400.00
USA TODAY	1,500.00
USCAA	13,500.00
WHEA	7,142.00
WICHE	1,500.00
WILSON LAKE COUNTRY CLUB	2,500.00
YANKEE SMALL COLLEGE CONFERENC	4,050.00
Total Membership Dues	<u>\$ 832,976.22</u>



University of Maine System  
OPEGA Contributions Reporting 07/01/2013-12/31/2013  
Charitable Contributions

<u>Payee</u>	<u>Amount</u>
NATL ORG DISORDERS OF CORPUS CALLOSIUM	\$ 15,000.00
MAINE MATHEMATICS & SCIENCE ALLIANCE	50,000.00
Total Charitable Contributions	<u>\$ 65,000.00</u>

University of Maine System  
OPEGA Contributions Reporting 07/01/2013-12/31/2013  
Sponsorships

<u>Payee</u>	<u>Amount</u>
AMERICAN CHEMICAL SCTY DIV OF	\$2,000.00
BLACK BEAR SPORTS PROPERTIES	1,500.00
Maine & Co	6,250.00
MAINE DEVELOPMENT FNDTN	30,000.00
MAINE GROCERS ASSN	1,250.00
MITCHELL INSTITUTE	4,366.33
MPBN	6,144.00
OPPORTUNITY ALLIANCE	5,000.00
PORTLAND REGIONAL CHAMBER	16,200.00
RIPPLEEFFECT INC	5,000.00
Total Sponsorships	<u>\$77,710.33</u>