

# MAINE STATE LEGISLATURE

The following document is provided by the  
**LAW AND LEGISLATIVE DIGITAL LIBRARY**  
at the Maine State Law and Legislative Reference Library  
<http://legislature.maine.gov/lawlib>



Reproduced from scanned originals with text recognition applied  
(searchable text may contain some errors and/or omissions)

**REPORT OF THE COMMISSION ON GOVERNMENTAL  
ETHICS AND ELECTION PRACTICES  
TO THE JOINT STANDING COMMITTEE  
ON LEGAL AND VETERANS AFFAIRS**

**DOCUMENTING, EVALUATING AND MAKING  
RECOMMENDATIONS RELATING TO THE  
ADMINISTRATION, IMPLEMENTATION AND  
ENFORCEMENT OF THE MAINE CLEAN ELECTION  
ACT AND MAINE CLEAN ELECTION FUND**



**AUGUST 8, 2001**



**REPORT OF THE COMMISSION ON GOVERNMENTAL  
ETHICS AND ELECTION PRACTICES  
TO THE JOINT STANDING COMMITTEE  
ON LEGAL AND VETERANS AFFAIRS**

**DOCUMENTING, EVALUATING AND MAKING  
RECOMMENDATIONS RELATING TO THE  
ADMINISTRATION, IMPLEMENTATION AND  
ENFORCEMENT OF THE MAINE CLEAN ELECTION ACT  
AND THE MAINE CLEAN ELECTION FUND**

**21A M.R.S.A. § 1128. Study Report**

By January 30, 2002 and every four years after that date, the commission shall prepare for the joint standing committee of the Legislature having jurisdiction over legal affairs a report documenting, evaluating and making recommendations relating to the administration, implementation and enforcement of the Maine Clean Election Act and Maine Clean Election Fund.

This Report fulfills the statutory requirement. It serves as a basis for consideration of possible revision of the Maine Clean Election Act.

Peter B. Webster, Esq.  
Chair

Honorable Michael E. Carpenter

Dr. Linda W. Cronkhite

Honorable Harriet P. Henry

Commission Members

William C. Hain, III, Esq.  
Director

RECEIVED

NOV 14 2002





## **PART I**

### **ADMINISTRATION**

#### **DOCUMENTATION**

Following Part I is a sample of the instructions, forms, and informational materials used to administer the Maine Clean Election Act in 2000. The documentation applied only to candidates for the State Senate and House of Representatives. There was no gubernatorial election in 2000.

#### **EVALUATION**

The documentation used to administer the Maine Clean Election Act worked satisfactorily. The Commission will periodically review the materials in an effort to improve them.

On July 30, 1999, the Commission published a booklet entitled, "A Candidate's Guide to the Maine Clean Election Act," for the public in printed form and as a document available on the Commission's web site, [www.state.me.us/ethics](http://www.state.me.us/ethics).

It contains a "plain language" explanation of the Act to help candidates become certified. It contains a "question and answer" format concerning the Act; an outline of data regarding the many numbers to which the Act refers; an explanation of funding sources; a time chart comparing the State's election cycle, campaign finance reporting requirements, and the Act; and, finally, a Clean Election Fund distribution table.

The Commission received many favorable comments regarding the helpfulness of the Guide and will update it for application to the 2002 elections that will also include gubernatorial candidates.

In addition to the Guide, the Commission co-published with the Secretary of State's Office a booklet, "A Candidate's Guide to Running for Office in Maine." Along with information regarding the process of becoming a candidate, the booklet included campaign finance reporting requirements and suggestions for candidates and treasurers to establish record keeping procedures, maintain records, and file timely reports.

For candidates who were considering public or private financing and how to handle surplus funds or unpaid debt from a previous campaign, the Commission published "How To Manage Your Campaign Surplus Or Debt If You Want To Run As A Maine Clean Election Act Candidate In 2000."

Study Report - August 8, 2001

The Commission also published Clean Election Act Candidate Forms that included an introductory letter and the forms necessary to become certified, including a Declaration of Intent, Request for Certification, Qualifying Contributions Receipt and Acknowledgment forms, and Expenditures Guidelines.

The Commission prepared "Maine Clean Election Act Seed Money Report" to assist candidates to account for their seed money contributions and, upon certification, to transfer to the fund any such contributions.

The Commission also published the Expenditure Guidelines that outlined permissible campaign-related expenditures. Experience gained by the Commission from the application of the guidelines suggests the need to more clearly state what specific expenditures are permissible as campaign-related as opposed to personal expenses and not payable with public funds.

### RECOMMENDATIONS

1. The Commission will review all informational materials and update them for the 2002 elections.

2. Review all forms for clarity and simplicity, ensuring the submission of only that information for which there is an appropriate basis.

3. Sections 1121 through 1124 and 1128 of Title 21A are administrative provisions, and we would propose the following amendments:

- **Section 1121. Short Title:**

Amend title and all references thereto to the "Maine Alternative Public Financing of Elections Act."

- **Section 1122. Definitions:**

✓ **Subsection 7** - Amend the definition of "qualifying contribution" to permit a candidate to accept cash from a qualifying contributor in exchange for a money order, as long as the contributor pays \$5 in cash and signs the money order and the qualifying contribution form. The candidate may pay the purchase price for the money order from seed money contributions, but the cost must be itemized as an expenditure on the report with the candidate's request for certification. The \$5 cash may be deposited to the candidate's campaign account as reimbursement for the face value of the money order.

✓ **Subsection 8** - Amend the definition of "qualifying period" to provide a longer period of time in which to qualify. Many candidates have recommended a starting date as early

as December 1st for State Senate and House candidates and an ending date of April 15th for all candidates. The Commission recommends adopting a longer qualifying period.

- **Section 1124. The Maine Clean Election Fund established; sources of funding:**
  - ✓ **Subsection 2, paragraph E** - Amend the requirements for the return of unspent fund revenues to include the liquidation at fair market value of campaign property purchased with public fund revenues, after an unsuccessful primary election or after all general elections when such property no longer serves a campaign-related purpose with the repayment of the value to the Fund.
  - ✓ **Subsection 2, paragraph E-1 (new)** - Add: "Fund revenues that were distributed to a Maine Clean Election Act candidate as advanced matching funds, but which had not been authorized to be spent as of the date of the primary and general elections."
  - ✓ **Subsection 2, paragraph H** - Change the reference to "fines" in this paragraph and elsewhere in the Act to "penalties."



# A CANDIDATE'S GUIDE TO THE MAINE CLEAN ELECTION ACT



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
Mail: 135 State House Station  
Office: 242 State Street  
Augusta, Maine 04333-0135  
July 30, 1999

Dear Prospective Candidate:

Thank you for taking the time to read this Candidate's Guide to the Maine Clean Election Act. We hope it will help you decide whether to participate as a Maine Clean Election Act candidate. Regardless of that decision, the Ethics Commission staff is available to answer your campaign finance reporting questions. Please contact the staff for any assistance you may require during your campaign.

If you have any questions about anything included in these materials, or about the Maine Clean Election Act or the Ethics Commission's implementing rules, please call the Commission at (207) 287-4179 or (207) 287-6219. You also may send correspondence by facsimile to the Commission at (207) 287-6775; write to the Commission at 135 State House Station, Augusta, Maine 04333; or visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

The Ethics Commission and its staff encourage anyone interested in suggesting improvements to these materials or any of the documents or forms the Commission uses to submit suggestions or recommendations to the Commission staff in any of the ways listed above.

## A CANDIDATE'S GUIDE TO THE MAINE CLEAN ELECTION ACT

Welcome to the world of political campaigning! You've made the decision to run for State Representative or State Senator, or maybe even Governor, but you've heard from former candidates or incumbents that one of the hardest obstacles to running for political office is raising money. In any event, it is very likely that money will become important in your decision to run for office. That's simply because it costs money to become known among your prospective constituents and to publicize your ideas so they can compete with those of your opponents. That combination of name recognition and agreement with your political philosophy is what generally will get the votes you will need to be elected.

Traditionally, candidates have raised money in many different, time-tested ways. Some may have gone door-to-door, introducing themselves to neighbors and friends and asking for a small contribution to fund their campaigns. Others, less comfortable with that more personal approach, may

have sent letters to registered voters in their districts asking for contributions. Still more venturesome campaigners may have hosted group fundraisers at the local community center and, after introducing themselves to their guests and making a brief presentation of the issues, may have asked for contributions to support their candidacies in the coming election. And other, maybe more experienced, officeholders may have financed their campaigns by combining a variety of sources including contributions from personal supporters and political party committees, as well as from political action committees that make financial contributions to support various candidates and issues.

No single way of raising contributions necessarily guarantees success. Each candidate generally must decide which method may best suit that candidate's personality or which one may work best. Virtually all candidates agree, however, that you simply cannot run a campaign without some financial support from somewhere. But, if you're not comfortable asking other people for money, or you don't have time to go door-to-door, or you don't have many connections with the political establishment, or you simply do not want to get onto the fundraising treadmill (or you want to get off), and you think your ideas are worth asking others to vote for, then what can you do to raise money to compete financially in the marketplace of ideas?

That's where the Maine Clean Election Act (the "Act") comes in! In 1996, Maine voters decided they wanted another way for candidates to be able to raise the money necessary to run for state office. So, beginning with the elections in 2000, an alternative campaign financing option is available to candidates running for State Senator and State Representative. (The option will be available for gubernatorial candidates in 2002.) The Act doesn't take anything away from those candidates who may want to continue to raise their campaign funds the way they always have done. Those candidates may still do that, and the way they raise and spend money and report those contributions and expenditures will not substantially change.

For these purposes, we will refer to those "traditional" candidates as "nonparticipating candidates," meaning simply that they do not choose to participate in the Act. All that means is that the "nonparticipating candidate" will not be eligible to receive public funds from the Maine Clean Election Fund (the "Fund") to help finance that candidate's campaign. "Nonparticipating candidates" simply choose to raise their campaign funds the old-fashioned way, just like they always have done. The Act does not label one candidate as better or worse than another based on whether the candidate participates in the Act or not.

### **THE MECHANICS:**

If you decide that you want to fund your campaign by accepting public financial support from the Fund, who do you tell and how do you do that? The Maine Commission on Governmental Ethics and Election Practices (the "Ethics Commission") is responsible for administering the Act and the Fund. The Ethics Commission has all of the forms and instructions that candidates will need to participate in the Act and become eligible to receive campaign money from the Fund.

It might be helpful here to explain some terms. A candidate is first a "participating candidate," and then becomes a "certified candidate" after he or she meets all of the qualification requirements required by law and the Ethics Commission approves that candidate's application to receive public financing. The Ethics Commission's certification of any candidate is not an endorsement of that candidate. The certification is simply an acknowledgment by the Fund administrator that the candidate has complied with all of the statutory requirements to be eligible to receive public financing for that candidate's campaign from the Fund.

### **SEED MONEY:**

Before we go on, we should talk about a very important concept -- that of "seed money contributions" -- because in order to become certified, a candidate must attest that he or she has not accepted any

contributions, except for seed money contributions, and has otherwise complied with seed money restrictions.

This is a very important part of eligibility for public-financing of a campaign. The whole concept of public funding is the exclusion of the potential influence of private money on campaigns. But, you may wonder, how do prospective candidates who may not have run for political office in the past and, therefore, may not be very well known in the community get their names before the public so they can qualify to receive the benefit of public funds for their campaigns? Part of the answer to that question is "seed money contributions."

A "participating candidate" (before certification) is permitted to accept a limited amount of money from private sources -- called "seed money contributions" -- to help that candidate collect "qualifying contributions." In other words, depending upon the office the candidate is seeking, he or she may accept limited contributions from private sources for a limited time and for a limited purpose. No single contribution may exceed \$100 per individual (including the candidate and the candidate's family), and the total amount of "seed money contributions" a candidate may accept is limited to \$500 for candidates for State Representative, \$1,500 for candidates for State Senate, and \$50,000 for gubernatorial candidates. The "participating candidate" may not accept any more seed money contributions after being certified by the Ethics Commission as eligible to receive public financing. In fact, any seed money contributions that the candidate has not already spent when the candidate is "certified" must be deposited in the Fund.

#### **DECLARATION OF INTENT:**

Let's assume you've decided to run a publicly-financed campaign and you've received some "seed money contributions" to publicize your candidacy and to help you collect "qualifying contributions." The next step is to file a "declaration of intent" to seek certification as a Maine Clean Election Act candidate with the Ethics Commission. The declaration of intent form must be filed either before or during the "qualifying period" and before a participating candidate collects any "qualifying contributions."

#### **QUALIFYING CONTRIBUTIONS:**

Two new concepts have been the subject of much confusion among prospective candidates. A "qualifying contribution" is simply a way for registered voters to show support for a candidate's participation in the public financing option by making a relatively small financial contribution to help that candidate qualify to receive public financing for his or her campaign. "Qualifying contributions" must be in the amount of \$5 each in the form of a check or money order payable to the Maine Clean Election Fund and are deposited in the Fund. Qualifying contributions may be made only by registered voters within the electoral division for the office the candidate is seeking. They may be made only during a specified period of time -- the "qualifying period" -- and with the knowledge and approval of the candidate. All qualifying contributions must be acknowledged by a written receipt that identifies the name and address of the donor. The Ethics Commission will provide the necessary forms to collect and provide receipts for qualifying contributions.

If each "qualifying contribution" must be \$5, how much money must a candidate raise in the form of qualifying contributions? That brings us to the next requirement imposed on "participating candidates." In order for registered voters in the candidate's electoral division to show their support for a candidate's decision to run a publicly-funded campaign (**not to be confused with their support for a candidate's qualification to be on the ballot by signing the candidate's nomination petition**), a minimum number of supporters (depending upon the office the candidate is seeking) must make qualifying contributions to that candidate.

A candidate for State Representative must receive a \$5 qualifying contribution from each of at least 50 verified registered voters from the candidate's electoral division (at least \$250 total). A candidate



for State Senate must receive a \$5 qualifying contribution from each of **at least** 150 verified registered voters from the candidate's electoral division (**at least** \$750 total). And, a candidate for Governor must receive a \$5 qualifying contribution from each of **at least** 2,500 verified registered voters of Maine (at least \$12,500 total). Candidates may accept more than the minimum total amount indicated as a show of support for that candidate, but no individual qualifying contribution may be more than \$5. All "qualifying contributions" must be made payable to the Fund and be submitted by the candidate to the Ethics Commission for deposit into the Fund.

### **QUALIFYING PERIOD:**

That brings us back to the "qualifying period" -- the time period during which the "participating candidate" may accept "qualifying contributions" to enable that candidate to become "certified" to receive public money from the Fund. What is important to remember here is that the candidate may accept "qualifying contributions" **only** during the "qualifying period."

The "qualifying period" for "participating candidates" running for State Representative or State Senator who are enrolled members of a designated political party begins January 1st of the election year (January 1, 2000), and ends at 5:00 p.m. on March 16th of the election year (March 16, 2000). The "qualifying period" for "participating candidates" who are not members of a designated political party ends at 5:00 p.m. on June 2nd of the election year (June 2, 2000).

The "qualifying period" for "participating candidates" running for Governor who are members of a designated political party begins November 1st immediately preceding the election year (November 1, 2001), and ends at 5:00 p.m. on March 16th of the election year (March 16, 2002). If the "participating candidate" is not a member of a designated political party, the period ends at 5:00 p.m. on June 2nd of the election year (June 2, 2002).

### **A REVIEW:**

You've qualified as a candidate by petition or other means, and you've decided you want to try to participate as a candidate in the alternative campaign financing option. The only contributions you have accepted are "seed money contributions" that do not exceed the permissible individual or total limits so you can start to get your name and ideas publicized and to collect "qualifying contributions." You've filed with the Ethics Commission a "declaration of intent" to become a "certified candidate." And, you've accepted the required number of "qualifying contributions" during the "qualifying period" to permit you to become a "certified candidate." When you submit the final qualifying contributions, the Ethics Commission will determine whether you have met the requirements of the Act. Assuming you do, the Commission will issue its certification as soon as possible, but not later than 3 days after you submit your final qualifying contributions.

### **FUND DISTRIBUTION:**

As a "certified candidate," you are now eligible to receive revenues from the Fund in amounts that the Commission determines for each election. The initial Fund distribution for each candidate will be based on the average amount of campaign expenditures reported by each candidate during the type of election (contested or uncontested primary election, or contested general election) for the immediately preceding 2 election cycles. The initial computations are based on the average expenditures for the elections in 1996 and 1998, reduced by 25% to determine the amounts of the initial distributions for the 2000 elections. Those funds will be distributed to certified candidates by the most expeditious means that ensures accountability and safeguards the integrity of the Fund. The Commission is exploring the feasibility of electronic fund transfers from the Fund to the candidate's campaign committee account. The initial distribution amounts are published elsewhere in this Guide.

The timing of that initial Fund distribution is important. To encourage "participating candidates" to become certified early, the Commission will distribute funds to candidates who are certified **before**

**March 16th** within 3 days after certification as if those candidates are in an uncontested primary election (a lower amount than for a contested primary election). For all primary election certified candidates, revenues from the Fund will be distributed within 3 days after March 16th according to whether the candidate is in a contested or an uncontested primary election. That disbursement will be reduced by the amounts of any distributions that may have been made before that date.

Finally, for general election certified candidates, revenues from the Fund will be distributed within 3 days after the primary election to candidates in contested general election races. No revenues from the Fund will be distributed to candidates in uncontested general election races.

### **MATCHING FUNDS:**

Many prospective "participating candidates" have asked how they will be able to compete against a "nonparticipating" opponent who may be able to raise more money privately (or who may be personally financed) than a "certified" candidate receives from the Fund. That possibility would seem to give the "nonparticipating candidate" a potential financial advantage over the publicly-funded "certified candidate." However, the Act anticipates that possibility and provides a mechanism -- called "matching funds" -- for the "certified candidate" to try to stay financially competitive with the opposing "nonparticipating candidate."

The Commission will release additional amounts of "matching funds" that are equivalent to the amount a "nonparticipating" opponent raises or spends when that amount becomes greater than the initial distribution that the "certified candidate" receives from the Fund.

An example will illustrate how that provision works. Assume the "certified candidate" receives an initial Fund distribution of \$5,000. If the opposing "nonparticipating candidate" receives or spends an amount that is more than 1% above that \$5,000 (\$5,050), that fact must be reported to the Ethics Commission within 48 hours after the receipt or expenditure of the excess amount -- called a "101% report." The Commission then will release an additional amount of Fund revenues to the "certified candidate" that is equivalent to the amount reported as excess by the "nonparticipating candidate."

The opposing "nonparticipating candidate" who has filed a "101% report" must also file updated reports with the Commission by the 21st day and the 12th day before the election. The total amount of "matching funds" that may be distributed, however, will not exceed 2 times the amount originally distributed to the "certified candidate." In this example the original distribution was \$5,000, and the total amount of additional matching funds released to the "certified candidate" would be limited to \$10,000, for a total distribution from the Fund of \$15,000 for that election.

### **UNENROLLED (INDEPENDENT) CANDIDATES:**

The discussion so far has assumed that the "certified candidate" is enrolled as a member of a designated political party. But what about those "certified candidates" who are not enrolled as a member, for example, of the Democrat, Independent Green, or Republican Party? Are such "unenrolled" candidates eligible to receive public funding and, if so, how much? If an unenrolled candidate is certified **by March 16th** before the primary, that candidate will receive the same amount, and at the same time, as an unopposed primary election candidate and a general election candidate. An unenrolled candidate who is certified **after March 16th** is eligible for revenues from the Fund in the same amounts as a general election candidate.

So, political party membership (or non-membership) is not a factor in determining whether a "certified candidate" receives public funding to finance an election campaign. The only factor that will affect the amount of money the unenrolled "certified candidate" will receive from the Fund is when that candidate is certified (before or after March 16th). The earlier the unenrolled candidate is certified (i.e., before March 16th) the more money that candidate will receive to finance the campaign.

**ANOTHER REVIEW:**

You've been certified by the Ethics Commission as eligible to receive public financing for your campaign. You've received an initial distribution of funds based on the Commission's computation of the average amount of expenditures by candidates for the same office (Senator, Representative, or Governor), in the same election (primary or general), and under the same circumstances (opposed or unopposed). And, your "nonparticipating" opponent has been informed of the time at which any additional reports for the release of "matching funds" must be filed.

You are now in a position to spend your time campaigning rather than fundraising. The alternative campaign financing option is intended to relieve you of that time-consuming burden. Participating and certified candidates are required to report any money collected (seed money and qualifying contributions) and all campaign expenditures, obligations and related activities. The campaign finance reporting requirements that were applicable to previous elections continue to apply to all candidates.

**APPEALS:**

But, what if you were denied certification by the Commission for eligibility to receive public funding, or your opponent disagrees with the Commission's decision to certify you? The Act provides a process that permits you or your opponent to appeal to the full Commission within 3 days of the certification decision, with a hearing to be held within 5 days after notice to all parties. The Commission will issue its decision within 3 days after the hearing is completed. The Commission's decision then may be appealed by commencing an action in Superior Court.

Candidates whose certifications are revoked must return any unspent revenues they may have received from the Fund. Finally, frivolous appeals or those intended to cause delay or hardship may result in the moving party being required to pay costs of the Commission, court, and opposing parties, if any.

**IMPLEMENTING RULES:**

The Commission has adopted rules establishing procedures for the implementation of the provisions of the Maine Clean Election Act. Those rules were developed through an extensive process of public participation, including "stakeholder" sessions to identify the underlying issues to be addressed by Commission regulations and to develop draft proposals for the public's and Commission's consideration. Those work sessions were followed by the Commission's publication of draft regulations. A series of three public hearings were held in Augusta, Portland, and Bangor during which members of the public provided comments and suggestions regarding the draft rules. Public comments and written suggestions were incorporated into the final rule for implementing the Clean Election Act that was adopted by the Commission and became effective on November 1, 1998. Those rules are published as part of Chapter 1 (Section 7) and Chapter 3 (entitled "Maine Clean Election Act and Related Provisions") of the Commission's Regulations.

The implementing rules include additional definitions, including "campaign deficit" and "surplus." They also provide procedures for participation in the Act; certification of participating candidates; Fund administration; distribution of funds to certified candidates; record keeping and reporting requirements; and provisions for participation in the event of recounts, vacancies, or special elections.

**ENFORCEMENT:**

The Commission is responsible for enforcing the provisions of the Act and may assess a civil penalty not to exceed \$10,000 per violation against any person who violates any provision of the Act. In

addition to a penalty, a candidate found in violation of the Act **may be** required to return to the Fund all amounts distributed to the candidate from the Fund. Finally, willful or knowing violations of the Act or the Commission's rules or willful or knowingly false statements in any report required by the Act is a Class E (misdemeanor) crime and, if committed by a certified candidate, all amounts distributed to that candidate **must be** returned to the Fund.

### **INSUFFICIENT FUND RESOURCES?**

What if the Fund doesn't have enough money to finance all of the candidates who decide to participate in the alternative campaign financing option? By September 1st before each election year, the Commission will publish an estimate of revenue in the Fund that is available for distribution to certified candidates during the upcoming year's elections. The Commission may not distribute more than the total amount of money deposited in the Fund.

If the total amount in the Fund is not sufficient to meet the initial distribution and matching fund requirements, certified candidates then will be permitted to accept and spend private contributions with the same contribution limitations that apply to nonparticipating candidates (\$250 per donor per election for Senate and House candidates and \$500 per donor per election for gubernatorial candidates). The sum of any Fund revenues and private contributions that a "certified candidate" may receive under that circumstance may not exceed the total amounts of the initial distributions and any matching fund amounts that may apply (reduced by any seed money contributions). In other words, the "certified candidate" will not be permitted to raise or spend more than the amount raised or spent by an opposing "nonparticipating candidate," up to the limit of the total of the amount of the initial Fund distribution plus the amount of any matching funds that may apply.

### **LEGISLATIVE MONITORING:**

Finally, by January 30, 2002, and every 4 years after that, the Commission will submit a report to the Legislature documenting, evaluating and making recommendations regarding the administration, implementation and enforcement of the Act and the Fund. The purpose of that report will be to provide a detailed analysis of the operations of the Act and the Fund to enable the Legislature to make informed decisions about any changes that may be appropriate to improve the administration of the Act and the Fund.

## **QUESTIONS AND ANSWERS CONCERNING THE MAINE CLEAN ELECTION ACT**

### WHAT IS THE MAINE CLEAN ELECTION ACT AND WHAT DOES IT DO?

- The Act establishes an alternative campaign financing option for candidates desiring to avail themselves of public funds to support their candidacies.
- The option is open to Gubernatorial candidates and candidates for the State Senate and State House of Representatives.
- Candidates who choose this option must comply with the terms of the Maine Clean Election Act (MCEA) as well as all other applicable provisions of the election and campaign finance laws.
- NOTE: The option is NOT available to either county or municipal candidates.

### WHEN WILL ALTERNATIVE CAMPAIGN FINANCING FUNDS BECOME AVAILABLE UNDER THE MCEA?

- The alternative campaign financing fund option will become available to eligible candidates for elections to be held beginning in the year 2000.

- Funds will be available to candidates when certified after January 1, 2000.

WHAT IS THE FIRST STEP A CANDIDATE MUST TAKE TO BECOME ELIGIBLE FOR THE ALTERNATIVE CAMPAIGN FINANCING OPTION?

- A candidate who wishes to participate must file a "declaration of intent" to seek certification as a MCEA candidate. The declaration must be filed with the Commission on forms prescribed by and according to procedures developed by the Commission. The declaration must be filed prior to or during the qualifying period and must be filed before the candidate can collect any qualifying contributions.

NOTE: A candidate seeking certification as a MCEA candidate is referred to as a "participating candidate," while a candidate not seeking certification is called a "nonparticipating candidate." Another distinction not made by the Act, but sometimes informally used to refer to the two types of fundraising options is that of a "publicly financed" ("participating") candidate compared to a "traditionally financed" ("nonparticipating") candidate.

WHAT ELSE MUST A CANDIDATE DO TO QUALIFY FOR MAINE CLEAN ELECTION FUNDS?

- The candidate must meet certain criteria, including collecting a specified number of valid "qualifying contributions."

MAY A PARTICIPATING CANDIDATE USE PRIVATE FUNDS TO SUPPORT HIS OR HER EFFORTS TO COLLECT QUALIFYING CONTRIBUTIONS?

- Yes. In order to collect qualifying contributions, a candidate will need money to defray incidental expenses, such as for postage, gas, printing, etc. The Act therefore permits candidates to collect some private funds and these are to be used primarily for the purpose of obtaining qualifying contributions. Those funds are defined in the Act as "seed money contributions." To be eligible for certification, a participating candidate may collect seed money contributions only after becoming a candidate and throughout the qualifying period. A "seed money contribution" is defined as a contribution of no more than \$100 per individual made to a candidate, including a contribution from the candidate or the candidate's family. A candidate may not collect or spend seed money contributions after being certified as a MCEA candidate.

ARE THERE OVERALL LIMITS ON HOW MUCH A CANDIDATE MAY COLLECT IN SEED MONEY?

- Yes. Candidates may not accept "seed money" contributions totaling more than:
  - \$50,000 for gubernatorial candidates
  - \$1,500 for State Senate candidates
  - \$500 for State House candidates

The Commission may, by rule, revise these amounts to ensure the effective implementation of the law.

**(The following questions and answers apply only to former candidates who still have a surplus or debt from a previous campaign.)**

HOW DO I MANAGE MY CAMPAIGN SURPLUS OR DEBT FROM A PREVIOUS CAMPAIGN IF I WANT TO RUN AS A MAINE CLEAN ELECTION ACT CANDIDATE IN 2000?

Q: What is a campaign "surplus?"

A: Your campaign "surplus" is any funds (\$) you have left over after paying off all of your campaign expenses.

Q: What am I allowed to do with the surplus?

A: The law [21-A M.R.S.A. § 1017(8)] gives you eight options for disposing of surplus funds. You may:

1. Carry forward the surplus to a political committee (not the same as a political action committee) established to promote your election in 2000. This is the most common choice, but it gets a little more complicated if you intend to receive public funding under the Clean Election Act (see below).
2. Use the money to retire campaign debts.
3. Use the money to pay "for any expense incurred in the proper performance of the office" to which you were elected. This is another popular option (providing that you won your race!). It means that you may use your leftover funds to do such things as communicate with your constituents, purchase equipment or supplies, or hire an assistant.
4. Give the money back to your contributors on a pro-rated basis.
5. Give the money to a political party.
6. Give the money to the State.
7. Give the money to other candidates, subject to contribution limits.
8. Give the money to charitable or educational organizations.

Q: But, what if I want to run as a Clean Election Act candidate in 2000?

A: The Clean Election Act did not change the law on surpluses, but the restrictions on raising private money mean you are limited in how much of your surplus you may carry forward to your next campaign.

Q: May I roll the surplus forward and count it toward my "seed money?"

A: Yes, but only to the extent that you can trace that surplus to contributions of **\$100 or less from individuals**. Under the Clean Election Act, you are allowed to raise seed money in amounts of \$100 or less from individuals to help you start your campaign. House candidates may raise up to \$500 in seed money; Senate candidates, up to \$1,500. Subject to that cap, you may use your 1998 surplus as seed money, as long as you raised that much money in contributions of \$100 or less from individuals in your last campaign. If you do intend to use 1998 surplus funds as "seed money" for your 2000 Clean Election Act campaign, you should start a new account for those funds and report their disposition on your 1998 account's campaign finance report.

Q: When do I have to decide what to do with my surplus?

A: If you want to run as a Clean Election Act candidate in 2000, you must dispose of your surplus before filing your "Declaration of Intent" to participate. That could be as soon as the Declaration of Intent forms are available from the Ethics Commission or as late as March 16, 2000 (the last day of the "qualifying period), depending upon when you want to declare. In the meantime, you will have to file the standard Campaign Termination Report for your 1998 campaign not later than July 15, 1999 (covering the period ending June 30). In that report, the Ethics Commission will ask you if you have a surplus. If you want to keep your options open for 2000, you may keep the surplus in your 1998 campaign account for the time being. Just remember that you will have to keep filing semiannual reports until you have disposed of the surplus using one or more of the options listed above.

Q: May I give my surplus to other candidates?

A: Yes, but only to non-participating candidates (candidates who will not be participating in the Clean Election Act) and subject to the contribution limit of \$250 per candidate. Participating candidates cannot accept contributions from "political committees," which includes candidate committees. Any surplus from a 1998 campaign account should come from that separate account and be properly reported on campaign finance reports.

Q: If I participate in the Clean Election Act in 2000, may I use leftover campaign equipment or property from my past campaigns?

A: Yes. You may carry forward signs, supplies, computer equipment, or other campaign property. Just remember to report it on your campaign finance forms.

Q: What if I have a campaign debt from 1998?

A: You should make every effort to repay that debt before you file your Declaration of Intent to participate in the Clean Election Act.

Q: May I raise money to pay off my debt while I am a participating candidate?

A: No. Once you are a participating candidate, you may only accept seed money contributions for your 2000 campaign. You may, however, forgive any campaign loans you have from yourself.

**(The remaining questions apply to all candidates.)**

#### HOW MUST SEED MONEY EXPENDITURES BE REPORTED BY THE CANDIDATE?

- Seed money contributions must be reported according to procedures developed by the Commission, including affirmation on the Declaration of Intent (to become a MCEA candidate) that the candidate has not accepted any contributions (except for seed money contributions) after becoming a candidate and that the candidate will continue to comply with applicable seed money restrictions.

#### WHAT ARE "QUALIFYING CONTRIBUTIONS?" WHEN MAY A CANDIDATE COLLECT THEM? WHAT PROCEDURES MUST THE CANDIDATE FOLLOW IN COLLECTING THEM?

- A "qualifying contribution" is defined as a \$5 donation in the form of a check or money order made payable to the Maine Clean Election Fund. The contribution must be made by a registered voter within the electoral division for the office the candidate is seeking. The law specifies that qualifying contributions must be collected during the designated qualifying

period and must be obtained with the knowledge and approval of the candidate. A qualifying contribution must be acknowledged by a written receipt that identifies the name and address of the donor on a form prescribed by the Commission for that purpose.

NOTE: Town officials (voter registrar, etc.) will be involved in verifying whether the qualifying contribution donor is a registered voter within the candidate's electoral division. (They already verify the signatures needed to qualify by petition.)

#### HOW MANY QUALIFYING CONTRIBUTIONS MUST THE CANDIDATE COLLECT TO BE ELIGIBLE FOR MAINE CLEAN ELECTION FUNDS?

- If the candidate is a gubernatorial candidate, the candidate must obtain qualifying contributions from at least 2,500 verified registered voters in this State.
- If the candidate is a State Senate candidate, the candidate must obtain qualifying contributions from at least 150 verified registered voters from the candidate's electoral division.
- If the candidate is a State House candidate, the candidate must obtain qualifying contributions from at least 50 verified registered voters from the candidate's electoral division.

#### WHAT IS THE DURATION OF THE "QUALIFYING PERIOD"?

- For a gubernatorial candidate, the qualifying period begins November 1st immediately preceding the election year and ends at 5:00 p.m. on March 16th of the election year.
- For State Senate and House candidates, the qualifying period begins January 1st of the election year and ends at 5:00 p.m. on March 16th of that election year.
- For unenrolled gubernatorial candidates ("unenrolled" is the legal term for someone who is not registered (enrolled) as a member of a political party, i.e., a "non-party" or "independent" candidate) the qualifying period begins November 1st immediately preceding the election year and ends at 5:00 p.m. on June 2nd of the election year
- For unenrolled State Senate and House candidates, the qualifying period begins January 1st of the election year and ends at 5:00 p.m. on June 2nd of the election year.

NOTE: For Senate and House candidates, qualifying periods coincide closely with the periods in which candidates must qualify by petition under election laws; i.e., January 1 through March 15 for party candidates; and January 1 through June 1 for unenrolled candidates. ("Qualifying by petition" means to obtain a specified number of voter signatures in order to be eligible for placement on the ballot.) Because of the coinciding periods, the Commission staff will coordinate their certification procedures with Election Division officials.

#### WHAT IS THE QUALIFYING PERIOD FOR A CANDIDATE RUNNING IN A SPECIAL ELECTION RACE OR A CANDIDATE CHOSEN TO FILL A VACANCY AFTER THE PRIMARY ELECTION?

- The Commission has adopted rules establishing the qualifying period and specifying procedures for certifying and disbursing funds to candidates in unusual situations.

#### ONCE A CANDIDATE HAS OBTAINED THE REQUIRED NUMBER OF VALID QUALIFYING CONTRIBUTIONS, WHAT IS THE NEXT STEP?

- The candidate must submit qualifying contributions to the Commission during the qualifying



period on forms provided by the Commission for that purpose, together with a request for certification by the Commission as a MCEA candidate.

#### WHEN DOES CERTIFICATION TAKE PLACE?

- A "participating candidate" will be certified as a "certified candidate" as soon as possible after requesting certification, but no later than 3 days after the candidate submits the required number of qualifying contributions to the Commission.

#### WHAT ARE THE CRITERIA TO BECOME CERTIFIED AS ELIGIBLE TO RECEIVE CLEAN ELECTION ACT FUNDS?

The Commission must determine eligibility to receive MCEA funds on the basis of whether or not the candidate has:

- 1) Signed and filed a declaration of intent to participate in the Act;
- 2) Submitted the appropriate number of qualifying contributions;
- 3) Qualified as a candidate by petition or other means;

NOTE: Other ways in which a candidate may qualify include nomination by caucus (to fill a vacancy after the primary election or in a special election) and, as a write-in candidate, by obtaining a sufficient number of votes in the primary election.

- 4) Not accepted contributions, except for seed money contributions, and otherwise complied with seed money restrictions; and
- 5) Otherwise met the requirements for participating in the Act (for example, complied fully with rules the Commission has promulgated).

#### CAN A CANDIDATE WHO IS DENIED CERTIFICATION OR THE OPPONENT OF A CERTIFIED CANDIDATE APPEAL THE COMMISSION'S DECISION?

- A candidate who has been denied certification as a MCEA candidate, or the opponent of a candidate who has been granted certification, may challenge a certification decision by the Commission by appealing to the full Commission within 3 days of the certification decision. The Commission's final decision may be appealed to the Superior Court. Strict time limits are provided for the appellate process.

#### MAY A MCEA CANDIDATE COLLECT OR SPEND ANY PRIVATE FUNDS AFTER BECOMING CERTIFIED?

- A candidate who becomes eligible for MCEA funds may not accept private contributions **unless authorized by the Commission** (i.e., changes in seed money limitations and insufficient MCEA fund revenues). The candidate must limit his or her expenditures and obligations to the revenues distributed to from the MCEA fund.

#### WHAT HAPPENS TO ANY UNSPENT SEED MONEY AND THE QUALIFYING CONTRIBUTIONS COLLECTED BY THE CANDIDATE?

- Before certification, the candidate must turn over **all qualifying contributions** and, upon certification, **any leftover seed money** to the Commission for deposit into the MCEA fund.

### HOW SOON AFTER BEING CERTIFIED MAY A CANDIDATE OBTAIN CLEAN ELECTION FUNDS?

- For primary election certified candidates, the funds are to be distributed (depending upon whether the candidacy is contested or uncontested) within 3 days after March 16th of the election year; and for contested general election candidates, the distribution is to be made 3 days after the primary election.
- However, a special provision permits earlier distribution of funds within 3 days after certification to candidates who become certified before the March 16th certification deadline. In those cases, the funds must be distributed as if the candidate were in an uncontested race. (Generally, the amounts to be distributed depend on whether the candidate is opposed or unopposed.) Adjustments will then be made following the March 16th deadline after it is determined whether the candidate is opposed or unopposed.

NOTE: In an attempt to ease the administrative burden caused by last-minute applications for certification, thereby delaying the distribution of funds to certified candidates, the Act includes the foregoing provision as an incentive to encourage candidates to seek early certification in order to qualify for an earlier distribution of funds.

### HOW MUCH MONEY MAY A CERTIFIED MCEA CANDIDATE RECEIVE?

- The level of funding available to MCEA candidates is determined by the Commission by calculating the average amount of campaign expenditures made by each candidate for the particular office sought during the previous two elections.
- By July 1, 1999, and at least every 4 years after that date, the Commission will determine the amount of funds to be distributed to participating candidates based on the type of election and office as follows:
- For contested primary elections, the amount of revenues to be distributed will be the average amount spent by each candidate during all contested primary election races for the immediately preceding 2 primary elections as reported in the initial filing period after the primary election for the respective offices of Governor, State Senate and State House of Representatives.
- For uncontested primary elections, the amount of revenues to be distributed will be the average amount spent by each candidate during all uncontested primary election races (or for contested races, if that amount is lower) for the immediately preceding 2 primary elections as reported in the initial filing period after the primary election for the respective offices of Governor, State Senate and State House of Representatives.

NOTE: In the unlikely event that the average amount spent in the preceding contested races is less than the amount spent in uncontested races, candidates in uncontested races will get the lesser amount.

- For contested general elections, the amount of revenues to be distributed will be the average amount spent by each candidate during all contested general election races for the immediately preceding 2 general elections as reported in the initial filing period after the general election for the respective offices of Governor, State Senate and State House of Representatives.
- A certified candidate is eligible to receive funds whether or not he or she is opposed in the primary election. However, unopposed general election candidates are not eligible to receive MCEA funds.

- For the initial computations conducted by the Commission by July 1, 1999, the Commission must reduce the amounts to be distributed by 25% of the average amounts computed for the immediately preceding 2 elections.

NOTE: Because the amounts available for distribution from the MCEA fund are based solely on estimates at this point, the drafters of the MCEA thought it prudent to reduce the initial distribution amounts by 25% in an attempt to ensure the availability of adequate funds to encourage and enable the participation of the largest number of candidates.

#### ARE THERE RESTRICTIONS ON HOW MCEA CANDIDATES MAY SPEND MCEA FUNDS?

- Revenues from the MCEA fund must be used for campaign-related purposes. The Commission will establish and publish guidelines outlining permissible campaign-related expenditures.

NOTE: Guidelines do not currently exist for "nonparticipating" (i.e. "traditional") Maine candidates, except with respect to the use of surplus campaign funds.

#### MAY ADDITIONAL MAINE CLEAN ELECTION ACT FUNDS BE DISTRIBUTED TO A MCEA CANDIDATE AFTER THE INITIAL DISTRIBUTION?

- Yes, under certain circumstances. For instance, when reports show that the sum of a "nonparticipating" candidate's expenditures or obligations, or funds raised or borrowed, whichever is greater, alone or in conjunction with independent expenditures, exceeds the initial distribution amount, the Commission immediately will release to the opposing MCEA candidate an additional amount equivalent to the reported excess. These "matching funds" will be limited to 2 times the amount originally distributed to the MCEA candidate.

#### HOW WILL THE COMMISSION KNOW WHEN A MATCHING FUND DISTRIBUTION IS WARRANTED?

- The law requires that whenever a "nonparticipating" candidate receives, spends, or obligates more than 1% in excess of the primary or general election distribution amount made to that candidate's "participating" MCEA opponent, the "nonparticipant" must file a report with the Commission detailing that candidate's campaign contributions, obligations and expenditures to date. The report must be filed within 48 hours of the triggering event by means acceptable to the Commission. After this filing, the "nonparticipating" candidate must comply with the expedited reporting schedule that the Commission has established. The Commission will provide forms to facilitate compliance with this provision.
- In addition to the foregoing, the law requires that persons who make independent expenditures on behalf of a nonparticipant must file special reports with the Commission according to the reporting schedule that the Commission has established.
- Reports required by accelerated reporting schedule provisions must be on forms that the Commission will prescribe, prepare, and provide to "nonparticipating" candidates.

#### IS THERE ANY PENALTY IF A CANDIDATE WITHDRAWS FROM PARTICIPATING AS A MCEA CANDIDATE OR IN ANY OTHER WAY VIOLATES THE ACT?

- A candidate who violates any provision of the MCEA (including withdrawal) is subject to a civil penalty not to exceed \$10,000 per violation payable to the MCEA Fund. In addition, a candidate **MAY** be required to return to the MCEA fund all amounts distributed to the candidate from the fund. A person who willfully or knowingly violates the Act or rules of the

Commission, or willfully or knowingly makes a false statement in any report required by the Act, commits a Class E crime and **MUST** return to the fund all amounts distributed to the candidate.

## THE MAINE CLEAN ELECTION ACT

### 1. CANDIDATE? [SEE DEFINITION AT 21-A M.R.S.A. § 1(5)]

- FILED PETITION
- QUALIFIED AS CANDIDATE
- RECEIVED CONTRIBUTIONS OR MADE EXPENDITURES OR GIVEN CONSENT

### 2. SEED MONEY CONTRIBUTIONS NOT TO EXCEED: [§ 1125(2)]

- GOVERNOR: \$50,000
- SENATE: \$ 1,500
- HOUSE: \$ 500

(MAY BE REVISED BY COMMISSION FOR EFFECTIVE IMPLEMENTATION OF MCEA)

### 3. QUALIFYING CONTRIBUTIONS [§ 1125(3)] [\$5 EACH]

- GOVERNOR: 2,500 (\$12,500)
- SENATE: 150 (\$ 750)
- HOUSE: 50 (\$ 250)

### 4. FILE WITH COMMISSION [§ 1125(4)]

- SUBMIT QUALIFYING CONTRIBUTIONS DURING QUALIFYING PERIOD:
- GOVERNOR: NOV 1 - MAR 16

NOV 1 - JUN 2 (UNENROLLED)

- SENATE / HOUSE: JAN 1 - MAR 16

JAN 1 - JUN 2 (UNENROLLED)

### 5. CERTIFICATION AS MCEA CANDIDATE [§ 1125(5)]

- SIGN/FILE DECLARATION OF INTENT
- SUBMIT QUALIFYING CONTRIBUTIONS
- QUALIFY AS CANDIDATE
- ONLY SEED MONEY CONTRIBUTIONS
- OTHER REQUIREMENTS
- COMMISSION CERTIFY WITHIN 3 DAYS
- CANDIDATE TRANSFER UNSPENT SEED MONEY CONTRIBUTIONS TO FUND

### 6. CONTRIBUTION / EXPENDITURE RESTRICTIONS [§ 1125(6)]

- NO OTHER CONTRIBUTIONS UNLESS SPECIFICALLY AUTHORIZED BY

## COMMISSION

- ONLY CAMPAIGN-RELATED EXPENDITURES (COMMISSION GUIDELINES)

## 7. MCEA FUND

- SOURCES: [§ 1124(2)]
- \$2 MILLION ANNUALLY FROM GENERAL FUND STARTING JAN 1, 1999
- TAX CHECKOFF PROGRAM (OPTIONAL AT \$3 PER TAXPAYER)
- QUALIFYING CONTRIBUTIONS
- UNSPENT SEED MONEY CONTRIBUTIONS
- UNSPENT MCEA FUNDS AFTER UNSUCCESSFUL PRIMARY, ALL GENERALS, AND ALL WITHDRAWALS
- VOLUNTARY DONATIONS
- PENALTIES (LATE REPORT FILINGS AND MCEA CIVIL)
- AMOUNT: [§ 1124(3)]
- BY SEPTEMBER 1 PRECEDING ELECTION, COMMISSION PUBLISH ESTIMATE

## 8. FUND DISTRIBUTION: [§ 1125(7)]

- CERTIFIED BEFORE MARCH 16: 3 DAYS AFTER CERTIFICATION (AS IF UNCONTESTED)
- PRIMARY CERTIFIED CANDIDATES: WITHIN 3 DAYS AFTER MARCH 16
- CONTESTED PRIMARY: YES (LESS PREVIOUS DISTRIBUTION)
- UNCONTESTED PRIMARY: YES (LESS PREVIOUS DISTRIBUTION)
- GENERAL CERTIFIED CANDIDATES: WITHIN 3 DAYS AFTER PRIMARY
- CONTESTED GENERAL: YES
- UNCONTESTED GENERAL: NO

## 9. AMOUNT OF INITIAL DISTRIBUTION: [§ 1125(8)]

- CONTESTED PRIMARY: AVERAGE OF ALL CONTESTED RACES OF PREVIOUS 2 PRIMARY ELECTIONS
- UNCONTESTED PRIMARY: AVERAGE OF ALL UNCONTESTED RACES OF PREVIOUS 2 PRIMARY ELECTIONS (UNLESS CONTESTED AVERAGE IS LOWER)
- CONTESTED GENERAL: AVERAGE OF ALL CONTESTED RACES OF PREVIOUS 2 GENERAL ELECTIONS
- UNCONTESTED GENERAL: NO DISTRIBUTIONS
- FOR JULY 1, 1999, AMOUNT DISTRIBUTED TO BE REDUCED BY 25%

## 10. MATCHING FUNDS [§ 1017(3-B) AND § 1125(9)]

- TRIGGERED BY NONPARTICIPATING OPPONENT SPENDING 1% MORE THAN INITIAL DISTRIBUTION TO MCEA CANDIDATE
- LIMITED TO 2 TIMES AMOUNT ORIGINALLY DISTRIBUTED (E.G., \$1,000 ORIGINAL DISTRIBUTION, PLUS MATCHING FUNDS NOT TO EXCEED \$2,000)

## 11. INSUFFICIENT MCEA FUNDS? [§ 1125(13)]

- MCEA CANDIDATE MAY ACCEPT / SPEND CONTRIBUTIONS (LESS SEED MONEY CONTRIBUTIONS) AGGREGATING:
- GOVERNOR: \$500 / DONOR / ELECTION

- SENATE / HOUSE: \$250 / DONOR / ELECTION UP TO TOTAL OF INITIAL DISTRIBUTION PLUS MATCHING FUNDS

## 12. APPEALS [§ 1125(14)]

- CERTIFICATION DENIED (APPEAL BY CANDIDATE) OR GRANTED (APPEAL BY OPPONENT):
- TO FULL COMMISSION: WITHIN 3 DAYS
- HEARING: WITHIN 5 DAYS
- DECISION: WITHIN 3 DAYS
- TO SUPERIOR COURT: WITHIN 5 DAYS
- TRIAL: WITHIN 10 DAYS
- DECISION: WITHIN 20 DAYS
- TO LAW COURT: WITHIN 3 DAYS
- TRANSMIT RECORD: WITHIN 3 DAYS
- FILE BRIEFS: WITHIN 4 DAYS
- DECISION: WITHIN 14 DAYS

## 13. VIOLATIONS [§ 1127]

- CIVIL
- UP TO \$10,000 CIVIL PENALTY PER VIOLATION
- MAY BE REQUIRED TO RETURN ALL DISTRIBUTIONS
- CRIMINAL
- CLASS E CRIME FOR WILLFUL / KNOWING VIOLATION OF ACT OR RULES OR FALSE STATEMENT
- IF CERTIFIED CANDIDATE, MUST RETURN ALL DISTRIBUTIONS

### MAINE CLEAN ELECTION FUND SOURCES OF FUNDING (21-A M.R.S.A. § 1124)

The Maine Clean Election Fund is established to finance the election campaigns of certified Maine Clean Election Act candidates running for Governor, State Senator and State Representative and to pay administrative and enforcement costs of the Commission on Governmental Ethics and Election Practices related to the Act. The Fund is a special, dedicated, nonlapsing fund and any interest generated by the Fund is credited to the Fund. The Commission is responsible for administering the Fund.

The following sources of funding must be deposited in the Fund, and presently have credited to the Fund the amounts indicated:

1. **Two million dollars** of the revenues from **sales and use taxes and income taxes** (imposed under Title 36, Parts 3 and 8) and credited to the General Fund, transferred to the Clean Election Fund by the Treasurer of State on or before January 1st of each year, beginning January 1, 1999.

- The \$2.0 million must be offset in an equitable manner by an equivalent reduction within the administrative divisions of the legislative branch and executive branch agencies. The Commission has been advised that the Governor took this provision into account in preparing and presenting his entire budget package, and that no specific action was taken with respect to any particular administrative division.

- The transfer and offset may not affect the funds distributed to the Local Government Fund (for State-Municipal Revenue Sharing under 30-A M.R.S.A. § 5681).
- The Deputy State Controller and the Director, Office of Fiscal and Program Review, have indicated that the **\$2.0 million was journalled over to the Fund from individual income and sales tax revenues for the month of January 1999**. An additional \$2.0 million is expected to be appropriated and credited to the Fund in January 2000.

2. Revenue from a **tax checkoff program** allowing a resident of Maine to designate that **\$3** (husband and wife filing jointly may each designate) be paid into the fund.

- The amounts designated for the Fund must be appropriated from the General Fund and credited to the Clean Election Fund. LD50 will amend this provisions to allow revenue from the \$3 tax checkoff program to be transferred by the State Controller to the Fund, rather than receiving General Fund appropriations by the legislature. If enacted, the new provision will read, "The State Tax Assessor shall report annually the amounts designated for the fund to the State Controller, who shall transfer that amount to the fund."
- As of **January 13, 1999**, the State Tax Assessor reports that **\$267,807** has been designated by tax year 1997 filers for transfer to the Clean Election Fund for 1998.
- Based on the above, it is estimated that a **total of \$750,000** in tax checkoffs will have been transferred to the Fund for distribution in the year 2000 elections.

3. The **qualifying contributions** obtained by participating candidates when those contributions are submitted to the Commission.

- Each participating candidate must obtain qualifying contributions of **\$5 each totaling at least** the following amounts:
- Gubernatorial candidates: \$12,500 (2,500 contributions @ \$5)
- State Senate candidates: \$ 750

(150 contributions @ \$5)

- State Representative candidates: \$ 250

(50 contributions @ \$5)

- For 1998, the following number of candidates would have deposited the totals indicated if the Clean Election Act had been in effect and all candidates had participated:

- 12 Gubernatorial candidates: \$150,000
- 77 State Senate candidates: \$ 57,750
- 326 State Representative candidates: \$ 81,500
- **100% Participation TOTAL: \$289,250**

- The above TOTAL assumes the **minimum required number of contributions** for each candidate and the **maximum number of participating candidates**. Each candidate may obtain more than the minimum number of qualifying contributions, and it is unlikely that all candidates will participate in the public funding Act. At a **participation rate of 50%**, qualifying contributions totaling approximately **\$150,000** would not be unreasonable.

4. **Penalties** collected under 21-A M.R.S.A. § 1020-A (**failure to file required reports on time**) and § 1127 (**Clean Election Act violations**). The amount is unpredictable. No historical data exists upon which to base an estimate on penalty amounts for Clean Election Act violations. Late filing penalties may result in deposits of approximately \$10,000 to \$20,000 annually, but with a continued educational emphasis on filing deadlines and the advent of electronic filing capabilities, the **number of penalties for late filings is expected to significantly decrease**, thereby **reducing the revenues** received from late filing penalties.

5. **Voluntary donations** made directly to the Fund. The amount is **unpredictable**. No historical data exists upon which to base an estimate.

6. **Seed money contributions remaining unspent** after being certified as a Clean Election Act candidate. The primary purpose of seed money contributions is to enable a participating candidate to collect qualifying contributions. Therefore, it is likely that most candidates will spend all of their seed money contributions. The result would be **little, if any, revenue** to be deposited in the Fund from this source.

7. **Fund revenues** that were distributed to a Maine Clean Election Candidate **that remain unspent** after that candidate has lost a primary election or after all general elections. The amount to be deposited from this source will depend upon the amounts spent by candidates. However, since these revenues originated from the Fund, their return to the Fund would **not** be viewed as **additional revenues**, but merely a repayment of an unspent distribution.

8. **Other unspent Fund revenues** distributed to any Maine Clean Election Act candidate who does not remain a candidate throughout a primary or general election cycle. The amount is unpredictable. As with the previous item, since these revenues originated from the Fund, their return to the Fund would **not** be viewed as **additional revenues**, but merely a repayment of an unspent distribution.

**MAINE ELECTION LAW  
CAMPAIGN FINANCE REPORTING  
AND CLEAN ELECTION ACT  
PROCESS CYCLES**

MONTH/YEAR	ELECTION CYCLE	CAMPAIGN FINANCE CYCLE	CLEAN ELECTION ACT CYCLE
November 1999		Note 1	Seed money contributions may be accepted after becoming a candidate and before being certified as a MCEA candidate
December 1999			
January 2000	Petition papers become available from Secretary of State's Office (may not be signed before January 1, 2000)		Qualifying period begins for House and Senate candidates -- January 1, 2000
February 2000			
March 2000	Deadline for qualifying by petition -- Party Candidates -- March 15, 2000		Qualifying period ends for Senate and House Party Candidates -- March 16, 2000
April 2000			



May 2000		12th day before election -- 48-hr. campaign finance reporting begins (June 1, 2000)	Same -- finance reports due
June 2000	<b>Primary Election -- 2nd Tues. in June (June 13, 2000)</b>	6th day before election -- 6-day pre-primary campaign finance reports due (June 7, 2000)	Same -- finance reports due  Qualifying period ends for Unenrolled Candidates --  June 2, 2000

Note 1: A person becomes a candidate for a new election at any time he/she accepts contributions with the intent to become a new candidate or after retiring campaign surplus or deficit.

July 2000	Deadline for Withdrawing as a candidate -- 2nd Mon. in July (July 10, 2000)  Deadline for party replacement candidates -- 4th Mon. in July (July 24, 2000)	42nd day after election -- 42-day post-primary campaign finance reports due (July 25, 2000)	Same -- finance reports due
August 2000			
September 2000			
October 2000		12th day before election -- 48-hr. campaign finance reporting begins (October 26, 2000)	Same -- finance reports due
November 2000	<b>General Election -- 1st Tues. after 1st Mon. in Nov. (November 7, 2000)</b>	6th day before election -- 6-day pre-election campaign finance reports due (November 1, 2000)	Same -- finance reports due
December 2000		42nd day after election -- 42-day post-election campaign finance reports due (December 19, 2000)	Same -- finance reports due
January 2001		Semi-annual finance reports due (January 15, 2001)	
February 2001			
March 2001			
April 2001			
May 2001			
June 2001			

July 2001		Semi-annual finance reports due (July 15, 2001)  Campaign Termination Reports due (complete through June 30th) -- July 15, 2001 -- Must show campaign surplus or deficit	
-----------	--	--	--

**MAINE CLEAN ELECTION FUND  
DISTRIBUTIONS FOR  
STATE SENATORS & REPRESENTATIVES**

<b>PRIMARY*</b>				<b>GENERAL</b>	
<i>Contested</i>		<i>Uncontested</i>		<i>Contested</i>	
House	Senate	House	Senate	House	Senate
\$1,141	\$4,334	\$511	\$1,785	\$3,252	\$12,910

\* Does not include unenrolled (independent) candidates.

Initial distributions may be supplemented by additional "matching funds," not to exceed two times the amounts listed above, if an opponent of a "certified candidate" raises or spends (including "independent expenditures" that benefit that opponent) more than the "certified candidate" receives (including "independent expenditures" that benefit the "certified candidate").



# THE MAINE CLEAN ELECTION ACT

## CANDIDATE FORMS YEAR 2000 ELECTION CYCLE



STATE OF MAINE

COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

August 6, 1999

Dear Prospective Maine Clean Election Act Candidate:

Thank you for taking the time to read the Commission booklet entitled "*A Candidate's Guide to the Maine Clean Election Act*" before using these forms. Enclosed are the following forms:

**Declaration of Intent (2 blue)** (Submit 1 original to Ethics Commission before accepting any Qualifying Contributions.)

**Qualifying Contributions Receipt and Acknowledgment (30 white)** (After receiving contributions and obtaining required signatures, submit to Registrar of electoral division for office sought, and after verification of signatures by Registrar submit to Ethics Commission.)

**Request for Certification as a Maine Clean Election Act Candidate (2 green)** (Submit 1 original to Ethics Commission upon completion of qualification requirements.)

**Expenditure Guidelines for Certified Maine Clean Election Act Candidates (1 salmon)** (Please read carefully and retain for reference.)

The Ethics Commission staff is available to provide general campaign finance reporting information and any assistance you may require regarding these forms during your campaign.

If you have any questions about anything included in these materials, or about the Maine Clean Election Act or the Ethics Commission's implementing rules, please call the Commission at (207) 287-4179. You also may send correspondence by facsimile to the Commission at (207) 287-6775; write to the Commission at 135 State House Station, Augusta, Maine 04333; or visit the Commission office at 242 State Street, Augusta, between 8:00 a.m. and 5:00 p.m.

The Ethics Commission and its staff encourage anyone interested in suggesting improvements to these materials or any of the documents or forms the Commission uses to submit suggestions or recommendations to the Commission staff in any of the ways listed above.



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775

STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
135 State House Station  
Augusta, Maine 04333-0135  
Tel: (207) 287-4179 Fax: (207) 287-6775

**DECLARATION OF INTENT**

Candidate's Name: \_\_\_\_\_  
(Please Print)

Candidate's Address: \_\_\_\_\_

Office Sought: \_\_\_\_\_ District #: \_\_\_\_\_ Party: \_\_\_\_\_

Treasurer: \_\_\_\_\_ Political Committee: \_\_\_\_\_

Campaign Finance Account No.: \_\_\_\_\_ SSN/FTIN: \_\_\_\_\_

I hereby declare my intent to be certified as a Maine Clean Election Act candidate and to comply with the requirements of the Maine Clean Election Act; I authorize the Commission, its agents or representatives, to conduct financial audits of my campaign financial records and account(s); and I affirm the following in support of this Declaration of Intent:

- That I am seeking certification as a Maine Clean Election Act candidate.
- That I have not collected any qualifying contributions before signing this Declaration of Intent.
- That I have not accepted any contributions, except for seed money contributions, since becoming a candidate.
- That I have disposed of any campaign surplus before becoming a candidate for this election.
- That, if I have any campaign deficit, I will not accept contributions to repay that deficit as a participating candidate or certified candidate, except that I may forgive any campaign loans to myself made during the previous campaign.
- That I will continue to comply with applicable seed money restrictions and other requirements of the Act including, but not limited to, procedures for collecting qualifying contributions.

\_\_\_\_\_ Date \_\_\_\_\_ Candidate's Signature

\*\*\*\*\*

**OATH AND NOTARIZATION**

I, \_\_\_\_\_, a Notary Public/Attorney, hereby acknowledge that the above-named candidate personally appeared before me, affirmed the truth of the contents hereof, and affixed his/her signature as his/her own true act and deed.

\_\_\_\_\_ Date \_\_\_\_\_ Signature \_\_\_\_\_ Commission Expires \_\_\_\_\_

STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
135 State House Station  
Augusta, Maine 04333-0135  
Tel: (207) 287-4179 Fax: (207) 287-6775

**REQUEST FOR CERTIFICATION AS A  
MAINE CLEAN ELECTION ACT CANDIDATE**

Candidate's Name: \_\_\_\_\_  
(Please Print)

Office Sought: \_\_\_\_\_ District #: \_\_\_\_\_ Party: \_\_\_\_\_

I hereby request to be certified as a Maine Clean Election Act Candidate. I will comply with all requirements of the Act and the Commission's rules, and acknowledge that as long as I remain a candidate I may not discontinue participation under the Act without violating the Act and becoming obligated to return all amounts distributed to me from the Fund. I have:

- Signed and filed a Declaration of Intent to Participate in the Maine Clean Election Act.
- Submitted the appropriate number of valid qualifying contributions.
- Qualified as a candidate by petition or other means (confirmed by Secretary of State's Office).
- Complied with seed money restrictions and filed a seed money report showing \$ \_\_\_\_\_ in unspent seed money.
- Otherwise met the requirements for participation in the Maine Clean Election Act.
- Submitted an alphabetical listing of "qualifying contribution" donors.
- Submitted the required number of qualifying contribution forms as follows:

\_\_\_\_\_ To Registrar on \_\_\_\_\_ To Commission on \_\_\_\_\_

\_\_\_\_\_ Date \_\_\_\_\_ Candidate's Signature

\*\*\*\*\*

CERTIFICATION: The Candidate named above is hereby **GRANTED DENIED** certification as a Maine Clean Election Act Candidate.

\_\_\_\_\_ Date \_\_\_\_\_ Executive Director

APPEAL: Upon appeal, the above decision was <b>UPHELD OVERTURNED</b> .	
_____ Date	_____ Executive Director

STATE OF MAINE  
 COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
 135 State House Station  
 Augusta, Maine 04333-0135  
 Tel: (207) 287-4179 Fax: (207) 287-6775

## QUALIFYING CONTRIBUTIONS RECEIPT AND ACKNOWLEDGMENT

Candidate's Name: \_\_\_\_\_  
(Please Print)

Office Sought: \_\_\_\_\_ District #: \_\_\_\_\_

The candidate named above acknowledges receipt of a \$5 QUALIFYING CONTRIBUTION from each of the undersigned donors. By signing this receipt, each donor affirms that he/she received nothing of value in exchange for his/her signature and contribution.

**1** \_\_\_\_\_  
 Date Check/M.O. #

\_\_\_\_\_  
 Donor's Name (Please Print)  
 \_\_\_\_\_  
 Residence Address (No PO Box)  
 \_\_\_\_\_  
 Donor's Signature

**2** \_\_\_\_\_  
 Date Check/M.O. #

\_\_\_\_\_  
 Donor's Name (Please Print)  
 \_\_\_\_\_  
 Residence Address (No PO Box)  
 \_\_\_\_\_  
 Donor's Signature

**3** \_\_\_\_\_  
 Date Check/M.O. #

\_\_\_\_\_  
 Donor's Name (Please Print)  
 \_\_\_\_\_  
 Residence Address (No PO Box)  
 \_\_\_\_\_  
 Donor's Signature

**4** \_\_\_\_\_  
 Date Check/M.O. #

\_\_\_\_\_  
 Donor's Name (Please Print)  
 \_\_\_\_\_  
 Residence Address (No PO Box)  
 \_\_\_\_\_  
 Donor's Signature

**5** \_\_\_\_\_  
 Date Check/M.O. #

\_\_\_\_\_  
 Donor's Name (Please Print)  
 \_\_\_\_\_  
 Residence Address (No PO Box)  
 \_\_\_\_\_  
 Donor's Signature

\*\*\*\*\*

Received by Ethics Commission:

\_\_\_\_\_  
 Date Received By

<p><b>These Qualifying Contributions were received with my knowledge and consent. I have not submitted any duplicate signatures.</b></p>					
Date	Signature of Candidate				
*****	*****				
1	2	3	4	5	
<p>I have verified that the donors circled above are registered to vote in the Candidate's electoral division.</p>					
_____ Signature of Registrar					
Date	Printed Name of Registrar				



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
135 State House Station  
Augusta, Maine 04333-0135  
Tel: (207) 287-4179 Fax: (207) 287-6775

**EXPENDITURE GUIDELINES FOR CERTIFIED  
MAINE CLEAN ELECTION ACT CANDIDATES**

---

All revenues distributed to certified candidates from the Maine Clean Election Fund must be used for campaign-related purposes. The Commission has determined that the following expenditure guidelines apply to permissible campaign-related expenditures:

- ◆ Traditionally in Maine, campaign expenses have included:
  - Printing and mailing costs;
  - Political advertising expenses;
  - Campaign supplies such as signs, bumper stickers, etc.;
  - Office supplies for managing the campaign;
  - Campaign events (e.g., food, rent of tent or hall, etc.);
  - Campaign staff expenses;
  - Campaign travel expenses such as fuel and tolls.
  
- ◆ Maine Clean Election Funds may not be:
  - Used to repay previous loans or debts;
  - Contributed to another candidate, political committee, or party;
  - Used to make independent expenditures supporting or opposing any candidate, ballot measure, or political committee;
  - Used in connection with the election of any candidate or campaign other than the one for whom the funds were originally designated.
  
- ◆ Personal expenses are not permissible campaign-related expenditures. For the purpose of these guidelines, the term "personal expenses" means expenses that exist irrespective of the candidate's campaign. "Personal expenses" include, but are not limited to:
  - Day-to-day household food items and supplies;
  - Mortgage, rent, a utility payment for the candidate's personal residence, even if part of the residence is being used by the campaign;
  - Clothing, including specialized attire for political functions, but allowing clothing of *de minimis* value such as T-shirts or caps imprinted with a campaign slogan;
  - Tuition payments, other than training of campaign staff to perform campaign tasks;
  - Salary payments to the candidate's family, unless those payments reflect the fair market value of *bona fide* services rendered to the campaign.
  
- ◆ Unspent funds from a losing primary campaign and all general election campaigns must be returned to the Maine Clean Election Fund.

The Commission will determine the permissibility of other expenses on a case-by-case basis, using the general definition of personal expenses noted above, and periodically will amend these guidelines to reflect those determinations.

**STATE OF MAINE**  
**COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES**  
135 State House Station, Augusta, Maine 04333-0135  
Office: 242 State Street, Augusta, Maine  
Tel: (207) 287-4179 Fax: (207) 287-6775

**MAINE CLEAN ELECTION ACT SEED MONEY REPORT**

(Please Complete ALL Entries)

Name of CANDIDATE \_\_\_\_\_

Mailing address \_\_\_\_\_

CHECK IF CHANGED  
SINCE PREVIOUS  
REPORT

City, zip code \_\_\_\_\_

Telephone number \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Name of Candidate's Committee, if any \_\_\_\_\_

Election Year \_\_\_\_\_ Office Sought \_\_\_\_\_ District Number \_\_\_\_\_

Name of TREASURER \_\_\_\_\_

Mailing address \_\_\_\_\_

CHECK IF CHANGED  
SINCE PREVIOUS  
REPORT

City, zip code \_\_\_\_\_

Telephone number \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

I CERTIFY THAT THE INFORMATION IN THIS REPORT IS TRUE, CORRECT AND COMPLETE.

\_\_\_\_\_  
Treasurer's Signature      Date

\_\_\_\_\_  
Candidate's Signature      Date



CANDIDATE'S FULL NAME \_\_\_\_\_

**SCHEDULE A (Summary)  
SUMMARY OF CASH CONTRIBUTIONS**

Enter total of all Schedule A pages. Summarize and enter total for each Schedule A key code. Enter aggregate of cash contributions \$50 or less each. Total from all pages must equal total from all key codes.

TOTAL ALL SCHEDULE A PAGES .....

Must Equal Key Code Total Below

Total Number of Schedule A Pages \_\_\_\_\_

**Key  
Codes**

**Totals by Key Code from  
Schedule A pages**

1 Candidate and Candidate's Family .....

2 Other Individuals .....

TOTAL ALL KEY CODES .....

Enter on Schedule G, line 2(a)

Aggregate Cash Contributions \$50 or Less Each  
(Not Itemized by Key Code) .....

Enter on Schedule G, line 2(b)

CANDIDATE'S FULL NAME \_\_\_\_\_

**SCHEDULE A-1  
IN-KIND CONTRIBUTIONS/EXPENDITURES**

Itemize all goods, services, facilities, or discounts received and expended, including their estimated fair market value. Enter contributor information if the fair market value of donated item or service is more than \$50. Total contributions (along with cash contributions) may not exceed \$500 for House candidates and \$1,500 for Senate candidates. No more than \$100 per individual may be given to a candidate, including a contribution from the candidate or the candidate's family.

DATE RECEIVED	CONTRIBUTOR (Name, address, zip code, occupation, principal place of business)	DESCRIPTION (of goods, services, facilities, or discounts received and expended)	TYPE (Use Key Code)	VALUE (Estimated fair market value)	TOTAL (From same source)

1. Total In-kind contributions/expenditures more than \$50 each this page only .....

**Key Codes:**  
**1 = Candidate and Candidate's Family**  
**2 = Other Individuals**  
 CGEEP Form C-1/A-1 (Rev. 11/99) (Duplicate as needed)

CANDIDATE'S FULL NAME

**SCHEDULE A-1 (Summary)  
SUMMARY OF IN-KIND CONTRIBUTIONS/EXPENDITURES**

Enter total of in-kind contributions/expenditures more than \$50 from all pages. Summarize and enter total for each in-kind contribution/expenditure more than \$50 for each Schedule A-1 key code. Enter aggregate total of all in-kind contributions/expenditures of \$50 or less each. Total from all pages must equal total of all key codes.

TOTAL ALL SCHEDULE A-1 PAGES .....

Must Equal Key Code Total Below

Total Number of Schedule A-1 Pages \_\_\_\_\_

**Key  
Codes**

**Totals by Key Code from  
Schedule A-1 Pages**

1 Candidate and Candidate's Family .....

2 Other Individuals .....

TOTAL ALL KEY CODES .....

Enter on Schedule G, lines 2(c) & 7(f)

Aggregate In-Kind Contributions/Expenditures \$50  
or Less Each (Not Itemized by Key Code) .....

Enter on Schedule G, lines 2(d) & 7(g)

CANDIDATE'S FULL NAME

**SCHEDULE B  
EXPENDITURES**

Page \_\_\_\_ of \_\_\_\_  
(Schedule B only)

Itemize each expenditure made or authorized during the report filing period by category of the purpose for that expenditure. Use "Other" and "Remarks" to include and explain any expenditure that is not clearly itemized under one of the other categories.

DATE EXPENDITURE MADE OR AUTHORIZED	NAME OF EACH PAYEE OR CREDITOR	GENERAL OPERATIONS (Fundraising, travel, equipment, etc.)	ADVERTISING (Radio, TV, newspaper, etc.)	PRINTING / POSTAGE, etc. (Direct mail, campaign lit., signs, etc.)	SALARIES & COMPENSATION	OTHER (Describe purpose in remarks)	REMARKS

1. Total expenditures this page only  
(Total each column) .....

(Complete lines 2 and 3 on last page of Schedule B only)

2. Total from attached Schedule B pages (\_\_\_\_ to \_\_\_\_)

3. TOTAL EXPENDITURES THIS REPORT  
(add line 1 and 2) .....

Enter on Schedule G, line 7(a)	Enter on Schedule G, line 7(b)	Enter on Schedule G, line 7(c)	Enter on Schedule G, line 7(d)	Enter on Schedule G, line 7(e)
a.	b.	c.	d.	e.

**SCHEDULE C  
LOANS/LOAN REPAYMENTS**

List loans from the candidate or candidate's spouse, other sources, and from any financial institution in this State.  
A loan that is forgiven must also be reported as a contribution on Schedule A.

**PART I - LOANS/LOAN REPAYMENTS - CANDIDATE OR CANDIDATE'S SPOUSE**

	COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	
DATE OF LOAN/LOAN REPAYMENT	IDENTITY OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAYD/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
1. Total Loan Activity This Period . . . . .			Enter on Schedule G, line 3(a)	Enter on Schedule G, line 8(a)	

**PART II - LOANS/LOAN REPAYMENTS - OTHER SOURCES**

DATE OF LOAN/LOAN REPAYMENT	FULL NAME AND ADDRESS OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAYD/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
2. Total Loan Activity This Period . . . . .			Enter on Schedule G, line 3(b)	Enter on Schedule G, line 8(b)	

**PART III - LOANS/LOAN REPAYMENTS - FINANCIAL INSTITUTIONS**

DATE OF LOAN/LOAN REPAYMENT	FULL NAME AND ADDRESS OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAYD/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
3. Total Loan Activity This Period . . . . .			Enter on Schedule G, line 3(c)	Enter on Schedule G, line 8(c)	

4. UNPAID LOAN BALANCE AT CLOSE OF THIS PERIOD  
(Add lines 1, 2 and 3 of column 4) . . . . .

--



CANDIDATE'S FULL NAME

**SCHEDULE D  
PLEDGES**

Page \_\_\_\_\_ of \_\_\_\_\_  
(Schedule D only)

Pledges from one person totaling more than \$50 must be itemized. No more than \$100 per individual may be given to candidate, including a contribution from the candidate or the candidate's family.

DATE OF PLEDGE	NAME AND ADDRESS OF PERSON MAKING PLEDGE	OCCUPATION, PRINCIPAL PLACE OF BUSINESS	AMOUNT

1. Total pledges this page only .....

(Complete lines 2 through 4 on last page of Schedule D only)

2. Total from attached Schedule D pages ( \_\_\_\_\_ to \_\_\_\_\_ ) .....

3. Aggregate pledges \$50 or less each (not itemized) .....

4. TOTAL PLEDGES THIS PERIOD (add lines 1 through 3) .....


CANDIDATE'S FULL NAME \_\_\_\_\_

**SCHEDULE E**

**TOTAL OUTSTANDING BILLS (OTHER THAN LOANS)**

List unpaid bills at close of this period. List bills previously reported if still unpaid.  
 Do not include actual expenditures on this schedule.

DATE OBLIGATION INCURRED	CREDITOR'S NAME AND ADDRESS	PURPOSE	AMOUNT
1. Total outstanding bills this page only .....			
(Complete lines 2 and 3 on last page of Schedule E only)			
2. Total from attached Schedule E pages ( _____ to _____ ) .....			
3. TOTAL OUTSTANDING BILLS (add lines 1 and 2) .....			

**SCHEDULE F  
CAMPAIGN EQUIPMENT/PROPERTY INVENTORY**

List items with an aggregate value in excess of \$50 at close of this period. Items must be listed until fair market aggregate value is \$50 or less, or until item is reported in part II. Include only equipment or property that may be converted to personal use and is not exclusive to the campaign such as a computer, telephone/fax, photocopier, automobile, etc. Exclude signs, stationery, campaign literature, etc.

**PART I - ONGOING INVENTORY OF CAMPAIGN PROPERTY**

DATE RECEIVED (from Schedule A) or DATE PURCHASED (from Schedule B)	DESCRIPTION OF EQUIPMENT OR PROPERTY	PURCHASE PRICE OR ESTIMATED VALUE WHEN ACQUIRED	FAIR MARKET VALUE (at close of this reporting period)
1. Total estimated value of campaign property at close of this period .....			

**PART II - SALES OR TRANSFERS OF CAMPAIGN PROPERTY THIS PERIOD**

DATE SOLD, DONATED OR TRANSFERRE D	NAME AND ADDRESS OF PURCHASER, DONEE, OR TRANSFEREE	DESCRIPTION OF PROPERTY	Column 1	Column 2
			SALE PRICE OR FAIR MARKET VALUE	VALUE OF DONATION TO CHARITABLE OR EDUCATIONAL ORGANIZATION
2. TOTAL ACTIVITY FROM EQUIPMENT/PROPERTY DISPOSALS THIS PERIOD .....			Enter on Schedule G, line 4	

CANDIDATE'S FULL NAME

SCHEDULE G
(Page 1)
SUMMARY SECTION

DATE SUBMITTED

NOTE: Please read the instructions for completion of this Schedule carefully. Complete other applicable schedules before completing this schedule. Enter column 3 figures from last report in column 1 below. Enter column 2 figures for this reporting period as indicated for each line. Add column 1 to column 2 for each row and enter in column 3. If this is your first report, leave column 1 blank; amounts in columns 2 and 3 will be the same.

RECEIPTS

COLUMN 1 FROM COLUMN II LAST REPORT
COLUMN 2 TOTALS THIS REPORT PERIOD
COLUMN 3 CAMPAIGN TOTALS TO DATE (add cols. 1 & 2)

1. ACCOUNT BALANCE BROUGHT FORWARD FROM LAST REPORT

Table with 3 columns: Column 1 (From Summary p. 1, Line 5), Column 2, Column 3.

(Line 1 applies only if transferring surplus funds from a previous campaign to this new campaign.)

2. CONTRIBUTIONS WITHOUT LOAN

(a) More than \$50 cash each -- All Key Codes

Table with 3 columns: Column 1 (Total of Summary p. 2, Lines 11(a) thru (f), col. II), Column 2 (From Schedule A (Summary)), Column 3.

(b) Aggregate \$50 or less cash each

Table with 3 columns: Column 1, Column 2 (From Schedule A (Summary)), Column 3.

(c) In-kind more than \$50 each -- All Key Codes

Table with 3 columns: Column 1, Column 2 (From Schedule A-1 (Summary)), Column 3.

(d) Aggregate in-kind \$50 or less each

Table with 3 columns: Column 1, Column 2 (From Schedule A-1 (Summary)), Column 3.

(e) TOTAL CONTRIBUTIONS WITHOUT LOANS [add lines 2(a) - (d)]

Table with 3 columns: Column 1, Column 2, Column 3.

3. LOANS

(a) Candidate and Candidate's Spouse

Table with 3 columns: Column 1 (From Summary p. 2, Line 12(a), col. II), Column 2 (From Schedule C, line 1, col. 2), Column 3.

(b) Other Sources

Table with 3 columns: Column 1 (From Summary p. 2, Line 12(c), col. II), Column 2 (From Schedule C, line 2, col. 2), Column 3.

(c) Financial Institutions

Table with 3 columns: Column 1 (From Summary p. 2, Line 12(b), col. II), Column 2 (From Schedule C, line 3, col. 2), Column 3.

(d) TOTAL LOANS [add lines 3(a) - (c)]

Table with 3 columns: Column 1 (From Summary p. 2, Line 12(d), col. II), Column 2, Column 3.

4. SALE OF CAMPAIGN EQUIPMENT/PROPERTY

Table with 3 columns: Column 1 (From Summary p. 2, Line 13, col. II), Column 2 (From Schedule F, line 2, col. 1), Column 3.

5. OTHER RECEIPTS (interest, etc. not included elsewhere)

Table with 3 columns: Column 1 (From Summary p. 2, Line 14, col. II), Column 2, Column 3.

6. TOTAL RECEIPTS WITH LOANS [add lines 1, 2(e), 3(d), 4 & 5]

Table with 3 columns: Column 1, Column 2, Column 3.

CANDIDATE'S FULL NAME

SCHEDULE G
(Page 2)
SUMMARY SECTION

DATE SUBMITTED

EXPENDITURES

COLUMN 1 FROM COLUMN II LAST REPORT
COLUMN 2 TOTALS THIS REPORT PERIOD
COLUMN 3 CAMPAIGN TOTALS TO DATE (add cols. 1 & 2)

7. EXPENDITURES WITHOUT LOAN REPAYMENTS

- (a) General Operations
(b) Advertising
(c) Printing/Postage, etc.
(d) Salaries & Other Compensation
(e) Other
(f) In-kind more than \$50 each
(g) Aggregate in-kind \$50 or less each
(h) TOTAL EXPENDITURES WITHOUT LOAN REPAYMENTS [add lines 7(a) - (g)]

Table with 3 columns: Column 1 (Source), Column 2 (Totals This Report Period), Column 3 (Campaign Totals to Date). Rows correspond to expenditure categories (a) through (h).

8. LOAN REPAYMENTS

- (a) Candidate and Candidate's Spouse
(b) Other Sources
(c) Financial Institutions
(d) TOTAL LOAN REPAYMENTS [add lines 8(a) - (c)]

Table with 3 columns: Column 1 (Source), Column 2 (Totals This Report Period), Column 3 (Campaign Totals to Date). Rows correspond to loan repayment categories (a) through (d).

9. TOTAL EXPENDITURES WITH LOAN REPAYMENTS [add lines 7(h) & 8(d)]

Table with 3 columns: Column 1, Column 2, Column 3. Row for total expenditures with loan repayments.

10. ACCOUNT BALANCE (subtract line 9 from line 6)

Table with 3 columns: Column 1, Column 2, Column 3. Row for account balance.

## **PART II**

### **IMPLEMENTATION**

#### **DOCUMENTATION**

Following Part II is a sample of the correspondence used to implement the Act in 2000. The documentation applied only to candidates for the State Senate and the State House of Representatives.

#### **EVALUATION**

The Commission believes the documentation used to implement the Act accomplished its intended purposes. Improvements will be accomplished by periodically reviewing the materials.

One of the earliest communications from the Commission was to all Elections Registrars regarding the process of verifying the voter registration status of qualifying contributors to participating candidates. The letter explained the use of the "Qualifying Contributions Receipt and Acknowledgment" form and the part qualifying contributions play in the certification process. The Registrars had expressed anxiety concerning their role in the certification process. In retrospect, however, very few problems resulted during the voter-verification process. The "Qualifying Contribution" form will be changed for 2002 to reflect that only signatures of voters from the same town should be included on the same form and that signatures should not be cut from one form and appended to another.

Another problem arose with the qualifying contribution payment process. The Act defines a qualifying contribution as a \$5 check or money order for which a payment, gift or anything of value may not be given in exchange. The reference to "anything of value" seems to preclude the purchase by the candidate of blank money orders for use by qualifying contributors. Some candidates expressed frustration, given the fact that prospective qualifying contributors did not have checks or money orders available, but could have made a cash contribution. Therefore, the Commission recommends that Section 1125, Subsection 3 be amended to permit a candidate to purchase money orders, provided the candidate receives \$5 in cash from a qualifying contributor who is a registered voter and signs the "Qualifying Contributions Receipt and Acknowledgment" form. The cost to the candidate to purchase the blank money orders should be paid from and be reported as a seed money expenditure. The \$5 cash should be deposited in the seed money account and credited as a reimbursement for the money order.

## Study Report - August 8, 2001

The first communication from the Commission to all candidates for the Senate and House transmitted the candidate forms including Registration, Maine Code of Fair Campaign Practices, Declaration of Intent Concerning Voluntary Expenditures Limits, and Declaration of Intent (for MCEA participation). The letter notified all candidates of the requirements regarding registration, completion of the forms, and availability for participation in the public financing option.

The initial communication from the Commission to participating candidates acknowledged receipt of the candidate's Declaration of Intent and forwarded the necessary. Also included were the forms to elect a method of payment of Fund distributions and to establish an electronic fund transfer capability, and a Seed Money Report form. The Commission provided an acknowledging receipt and approval of the request for certification and the amount of the initial distribution.

Another communication informed certain candidates that their certification had not been approved due to the candidate's failure to satisfy the requirements, and appeal provisions. The staff worked very closely with any candidate who requested to be certified, but had not completed the requirements, provided the requirements could be satisfied within the qualifying period. Virtually every candidate who declared an intent to participate in the Act, communicated any certification problems to the staff, and followed the staff's recommendations to correct any problems that may have prevented certification eventually was certified as a Clean Election Act candidate.

The Commission considered six (6) requests for waivers based upon problems in complying with the "seed money" requirements. In three (3) of the cases, candidates were able to repay or otherwise divest themselves of contributions to bring them into compliance. In the other three (3) cases, candidates did not have sufficient funds to repay contributions in violation of the seed money limitations, and waivers could not be granted. The Commission also granted an appeal from a staff denial of certification based on several "technical" deficiencies.

When the Commission staff identified a nonparticipating candidate with a Clean Election Act certified opponent, the Commission communicated to the nonparticipating candidate to notify the candidate of the reporting requirements and enable the candidate to satisfy the Act's filing requirements. The Nonparticipating Candidate Accelerated Report required only the listing of total campaign receipts as of the report's date and the total campaign expenditures and obligations. Itemization was not required, but did have to be included on the regular candidate campaign finance report for the specific contributions received or expenditures made. After the Commission's audit, it was determined that nine (9) nonparticipating candidates with certified opponents had either filed late accelerated reports or failed to file. The penalty issues are addressed in Part III.

When a report from an opposing nonparticipating candidate was received, the staff communicated that fact to the certified opponent, together with notification of the advance of "matching funds" to the MCEA candidate. The Commission "advanced" the matching funds to which the candidate eventually may be eligible if the nonparticipating opponent raised or spent more than two (2) times the MCEA candidate's initial distribution. However, the MCEA candidate was authorized to spend only that amount of the "advanced" matching funds that had been triggered by the report and authorized by the Commission. As additional matching funds were triggered by the nonparticipating candidate, the Commission authorized an equivalent amount of spending for the MCEA opponent.

The success of the matching fund provision was predicated upon the ability of the Commission staff to quickly authorize the expenditure of additional funds by a certified candidate in the event of receipt of an accelerated report from a nonparticipating opponent or a report of an independent expenditure having been made, either of which could trigger the release of matching funds. The staff successfully communicated that spending authority to certified candidates in every case in which an accelerated or independent expenditure report was properly filed. The additional authorization was communicated to the certified candidates in the most expeditious manner available. A letter was used to confirm the additional matching funds spending authority.

After the results of the primary election were certified, successful MCEA certified candidates were notified of the amount of their initial distribution from the Fund, provided they were in a contested race. One (1) MCEA certified Senate candidate and five (5) certified House candidates were not opposed in the general election and, consequently, did not receive additional Fund distributions, as provided by the Act; those candidates were limited to whatever funds they had received for the primary election.

Some candidates expressed frustration that, even though they were unopposed, they would not receive any distribution to enable any communication to prospective voters. The Act provides for a distribution to uncontested primary election candidates, presumably to enable them to offset the publicity their prospective general election opponent receives during the primary campaign. A small distribution for unopposed general election candidates, while arguably unnecessary, would seem reasonable for the limited purpose of candidate introduction and familiarization to voters. A distribution to an uncontested general election MCEA candidate, computed as a percentage of the amount distributed to contested general election candidates, would seem appropriate. The amount of that percentage would be a policy determination better made by the Legislature than the Commission.

A number of successful primary election candidates withdrew their names from the general election ballot and were replaced in accordance with Maine's election statutes. Those so-called





given the requirement for candidates to refund unspent Fund revenues. The Commission considered the issue at its meeting on January 10, 2001. The Commission decided that certified MCEA candidates would be required to liquidate at fair market value all equipment or property not unique to that candidate's campaign that was purchased with MCEA funds, and return the proceeds of those sales.

The rationale for the Commission's decision was that, after the general election, there would no longer be a permissible campaign-related purpose for the retention of unspent revenues or property. Therefore, in order to protect the public nature of those assets and to ensure the integrity of the Fund, unspent funds and the liquidated value of property or equipment purchased with those funds were required to be returned.

In all but a few cases, certified candidates returned unspent revenues and liquidated property or equipment within the time specified by the Commission.

### **IMPLEMENTATION ISSUES**

During the implementation stages, the Commission addressed numerous issues including:

- The effect on qualification of the "inadvertent" acceptance of impermissible contributions, including "seed money," etc.
- The permissible options for retirement of previous campaign debt and the extent to which contributions may be accepted to retire that debt
- Whether a candidate may "freeze" the surplus balance from a previous campaign account, be certified and run as a Clean Election Act candidate and, if elected, thereafter "unfreeze" the previous campaign surplus for use in performing "constituent service" work as a newly elected legislator
- Whether a candidate may place surplus funds from a previous campaign account into a political action committee (PAC) to support other candidates, while running as a Clean Election Act candidate
- The process for computing the eligibility for and application of matching funds depending based upon when an opponent's funds are raised or expended
- The determination of a maximum 30-day "qualifying period" for replacement candidates seeking certification
- The issuance of a press release and individual letters to all political action committees and party committees outlining the requirements for reporting "independent expenditures" for political communications that expressly advocate the election or defeat of a clearly identified candidate

- The permissibility of a Clean Election Act candidate to maintain a so-called “leadership PAC” independently of the MCEA for the purpose of supporting the election of other candidates in order to engender the support for the MCEA candidate’s bid for a legislative leadership position
- The eligibility of a successful primary election “write-in” candidate to become a certified candidate for the general election
- Whether an expenditure will be considered to be an “independent expenditure” if the maker communicates with the candidate for the limited purpose of ascertaining that candidate’s possible objection to the expenditure
- The interpretation of the Act’s treatment of the repayment of a loan by a candidate to the campaign on the computation of matching funds for that candidate’s opponent
- The permissibility of using personal funds as a loan to pay for solicitations of seed money to be repaid upon receipt of seed money contributions
- The permissibility of certain expenditures as “campaign-related,” such as the admission price to a local fair concert to distribute campaign literature and payment of the expenses for a “thank you” party for campaign workers
- The requirement for the liquidation of property purchased with MCEA funds following the candidate’s final election and repayment of the proceeds to the Fund

### RECOMMENDATIONS

1. The Commission will review all correspondence to make them applicable to the 2002 elections.

2. Review all instructions for clarity and simplicity, ensuring the submission of only that information for which there is an appropriate basis.

3. Sections 1125 and 1126 may be categorized as “implementing” provisions. The following recommendations are proposed:

- **Section 1125. Terms of participation:**
  - ✓ **Subsection 3. Qualifying contributions (last paragraph)** - Amend to be consistent with the proposed amendment of Section 1122, subsection 7, to permit a candidate to purchase money orders for use in exchange for \$5 cash qualifying contributions under prescribed conditions.
  - ✓ **Subsection 5. Certification of Maine Clean Election Act candidates (second paragraph)** - This provision should be amended to permit commission staff a reasonable time to work with a candidate to resolve any minor, technical error that may require more

than the permitted 3 days, but which is not sufficiently disqualifying to result in a denial of certification.

- ✓ **Subsection 6. Restrictions on contributions and expenditures for certified candidates** - The commission recommends amending this provision to expand the discussion of the campaign-related purposes contemplated by the Act, as follows: “For the purpose of this Act, ‘campaign-related purpose’ includes, but is not limited to, traditionally accepted purposes for which a candidate for public office would accept contributions and make expenditures of funds from private sources, taking into account the public nature of the funds distributed to certified candidates, the underlying objectives of the Act, and the reasonableness of the expenditures.”
  
- ✓ **Subsection 7. Timing of fund distribution (paragraph A and B)** - These paragraphs should be amended by changing March 16th to April 15th to reflect an extension of the qualifying period.
  
- ✓ **Subsection 7. Timing of fund distribution (paragraph C)** - This paragraph should be amended to provide for the distribution of funds to general election certified candidates within 3 days after the primary election results are certified, since official election results are not known until the formal certification process has been completed.
  
- ✓ **Subsection 8. Amount of fund distribution (paragraph D)** - This paragraph should be amended to provide for a distribution of limited funds to candidates in uncontested general elections for the purpose of enabling those few candidates to communicate with voters in order to introduce themselves to their prospective constituents. Voters should have at least a limited exposure to information about an unopposed candidate in order to learn about that candidate and to be informed regarding where that candidate stands on various issues. The Commission recommends a distribution amount of 25% of the amount distributed to contested candidates for the same office.
  
- ✓ **Subsection 8. Amount of fund distribution (last paragraph)** - This paragraph should be amended to address the issue of the sufficiency of funding for gubernatorial elections. While the number of candidates for State Senate and House is sufficiently large to make a valid determination, the same cannot be said of the population size for past gubernatorial elections. The relatively few gubernatorial candidates makes averaging subject to significant variations caused by either high or low total expenditures by any single candidate. Consequently, either the Legislature should determine the amounts of distributions for gubernatorial candidates, or the Commission should be given that responsibility based upon guidelines established by the Legislature.

✓ **Subsection 9. Matching funds** - The Commission recommends that this subsection be amended to read: “When any campaign, finance or election report shows that the sum of a candidate’s expenditures ~~or~~ and obligations, or funds raised ~~or~~ and borrowed, whichever is greater, alone or in conjunction with independent expenditures reported under section 1019, exceeds the distribution amount under subsection 8, the commission shall issue immediately to any opposing Maine Clean Election Act candidate an additional amount equivalent to the reported excess. Matching funds are limited to 2 times the amount originally distributed under subsection 8, paragraph A or C, whichever is applicable.” By statutory definition, “obligations” technically are “expenditures,” and funds “borrowed” technically are “funds raised,” (i.e. contributions).

This subsection was the source of most of the problems encountered in the implementation of the Act, due to the issues associated with the reporting of “independent expenditures” and their impact on the issuance of matching funds. 21A M.R.S.A. Section 1019 defines “independent expenditures” and establishes the filing requirements and contents of the reports to determine the eligibility for issuance of matching funds. The “independent expenditure” reporting requirement is dependent upon a factual determination of whether a contribution or expenditure was made for the purpose of “expressly advocating” the election or defeat of a clearly identified candidate, as provided in the Commission’s regulations. That definition is based upon a determination of the First Circuit U. S. Court of Appeals.

✓ **Subsection 10. Candidate not enrolled in a party.** The Commission recommends amending this subsection by changing the date of March 16th to April 15th to reflect the extension of the qualifying period.

- **Section 1126. Commission to adopt rules:**

This section provides authority for the Commission’s rules implementing the Act. Those Rules were adopted following exhaustive public participation in the rulemaking process that included three (3) “stakeholder” meetings in Augusta; public hearings in Augusta, Portland, and Bangor; and an opportunity for the public to submit written comments. An unanticipated issue involved the liquidation of property purchased with public funds and will be addressed during the Commission’s review of its rules in preparation for the 2002 elections.

December 30, 1999

To: All Election Registrars

From: William C. Hain, III, Executive Director

Subj: Verification of Maine Clean Election Act Qualifying Contributors

Before submitting qualifying contributions to the Commission, a Maine Clean Election Act participating candidate must establish that contributors who made qualifying contributions to that candidate are registered voters. A participating candidate must obtain written verification from the Registrar of the number of persons providing qualifying contributions who are registered voters within the electoral division for the office the candidate is seeking. Upon request of a participating candidate, and **within 10 business days after the date of the request**, the Registrar must verify the names of contributors of qualifying contributions who are registered voters within the electoral division for the office the candidate is seeking. The Commission has developed the "Qualifying Contributions Receipt and Acknowledgment" form for this purpose. A copy is enclosed for your information.

The Commission will consider verification of registered voters by the Registrar at any time during the qualifying period to be an accurate verification of voter registration even if the registration status of a particular voter may have changed at the time the Commission determines certification of the participating candidate.

The candidate should give completed qualifying contributions forms to the appropriate Registrar for verification of registered voters within that Registrar's jurisdiction. The Registrar's only requirement is to verify that the qualifying contributors listed on the form are registered to vote in the candidate's electoral district at the time the qualifying contribution form is presented for verification. The qualifying period is from January 1, 2000 to 5:00 p.m., March 16, 2000, for party candidates, and to June 2, 2000, for unenrolled candidates.

Up to five contributors may sign each qualifying contribution form. Please identify and verify that each contributor is a registered voter in the candidate's electoral district. After verifying each contributor as a registered voter, circle the number in the lower right hand corner box corresponding to that contributor. If the contributor is not a registered voter, do NOT circle the contributor's number; instead, place an **X** over the number corresponding to the unregistered person. Please sign and date on the appropriate lines. Registrars have up to 10 business days to complete this process.

It is the candidate's responsibility to complete the form, deliver it to the appropriate Registrar and, after verification, deliver the verified forms to the Commission. All other contributor information on the form, except the verification block, is the responsibility of the candidate.

If you have any questions, please do not hesitate to contact our office. We can be reached by telephone at 287-4179, by telefax at 287-6775, or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m. Thank you for your assistance with the successful implementation of the new Maine Clean Election Act.

Encl: Qualifying Contributions Receipt  
and Acknowledgment form



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

December 30, 1999

To: Candidates for State Senate and House of Representatives

From: William C. Hain, III, Executive Director

Subj: Maine Clean Election Act Forms

The Commission will supply forms, instructions and guidance for participation in the alternative campaign financing option of the Maine Clean Election Act. The forms provide sufficient information to enable the distribution of revenues to candidates certified as eligible to receive funds under the Maine Clean Election Act, while providing accountability, safeguards and integrity for the Maine Clean Election Fund.

Forms, instructions and educational materials are enclosed for your convenience. Please complete and file the following forms as soon as possible.

- Registration: Candidates, Treasurers, Political Committees form
- Maine Code of Fair Campaign Practices form (optional)
- Declaration of Intent Concerning Voluntary Expenditures Limits form
- Declaration of Intent form (to participate under the Maine Clean Election Act)

Three payment methods will be offered -- payment by check, by electronic fund transfer (EFT), and a combination method -- cash (check or EFT) and credit (debit) card. If the combination method is selected, the candidate will be required to specify the amount of distribution to be posted to the credit (debit) card. The balance of authorized funds will be distributed according to the cash method selected -- either check or EFT. The Commission recommends selection of electronic fund transfer directly into your campaign account as the quickest and most reliable means of providing funds to your campaign account. More detailed information will be provided to each candidate upon the filing of that candidate's Declaration of Intent form.

If you have any questions about the enclosed materials, please do not hesitate to contact our office. We may be reached by telephone at 287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6771

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission has received your Declaration of Intent to become a candidate under the Maine Clean Election Act. The enclosed forms are intended to provide sufficient information to enable the Commission to distribute funds to certified candidates while also ensuring accountability and safeguarding the integrity of the Maine Clean Election Fund. Depending upon the method selected, it may take up to 14 days to set up the initial payment process. Subsequent payments (if authorized) will take much less time as discussed below. Therefore, please return the appropriate forms as soon as possible.

Three payment methods are offered -- payment by check, by electronic fund transfer (EFT), and a combination method -- cash (check **or** EFT) **and** credit (debit) card. If the combination method is selected, please state the amount of distribution you want to be posted to your card. The balance of authorized funds will be distributed according to the cash method selected -- either check **or** EFT. The Commission recommends selection of electronic fund transfer directly into your campaign account as the quickest and most reliable means of providing funds to your campaign account. Please take time to carefully consider the payment method that best meets your campaign needs. The following are the procedures and estimated time frames for each method.

**Check Method:**

Payment by check is the most traditional (and probably slowest) of the available methods. Upon certification and verification of the appropriate amount to be distributed, the Commission will authorize a check to be issued to the candidate. It takes up to two business days to process initial distribution and subsequent payments. To determine when funds will be available for your use, estimate the number of business days required to process the payment, to mail the check to your address, and for the funds to be cleared by your bank for deposit into your account. If this method is selected, please **complete and file** the enclosed Vendor (candidate) Information form.

**Electronic Fund Transfer (Direct Deposit) Method:**

Payment by electronic fund transfer (EFT or direct deposit) is probably the quickest and most reliable way to obtain cash from the Maine Clean Election Fund. EFT is becoming the most common form of financial commerce. Upon certification and verification of the appropriate amount to be distributed, the Commission will authorize the electronic transfer of funds to your predesignated campaign account.



July 3, 2001

Page Two

After the "electronic path" has been established (may take up to 14 days), it takes 24-48 hours to process initial distribution and subsequent payments and have funds electronically deposited into your account. If this method is selected, please **complete and file** both the Vendor (candidate) Information form **and** the Authorization Agreement for Direct Deposit Services for Contractors/Vendors (candidate) form as soon as possible to avoid delay.

**Combination Method: Credit (Debit) Card:**

A Maine Clean Election Fund credit (debit) card will be issued to the candidate. Upon certification and verification of the amount to be distributed, you must determine the amount you want credited to the card and the card's limit will be set at that amount. The balance of the initial distribution and all future distributions will be made using the selected cash -- check or EFT -- method. The commission will notify you when the card is available for the candidate to pick up at the Commission office or it will be mailed to the candidate by Certified U. S. Mail (Return Receipt Requested). If you select this method, only the candidate (whose name will be embossed on the card) will be authorized to use the card. The card is a normal credit (debit) card and may be used wherever MasterCard is accepted. It takes up to 24 hours to process the card limit for the initial distribution. If this method is selected, please **complete and file** both the appropriate cash method form or forms (i.e., check or EFT) **and** the Credit (debit) Card Security Information form.

**Seed Money Report:**

A participating candidate must report all seed money contributions received, any other contributions received, expenditures and obligations made after becoming a candidate (by petition, receiving contributions, or making expenditures), and any unspent seed money. Please **complete and file** your Seed Money Report with your Request For Certification as a Maine Clean Election Act Candidate. In order to distribute funds expeditiously, the Commission will deduct from the initial distribution to a certified candidate an amount equal to the amount of unspent seed money reported by that candidate. Failure to file a Seed Money Report will delay the initial distribution of funds.

If you need assistance in completing any of the reports, please do not hesitate to contact Ms. Dottie Perry at 287-3024, or Mr. Andrew Seaman at 287-7651 in our office. You may also telefax inquiries to 287-6775, or visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

Sincerely,

William C. Hain, III  
Executive Director

Encl: MCEA Payment Selection form  
Vendor (candidate) Information form  
Authorization Agreement for Direct Deposit Services for Contractors/Vendors form  
Credit (debit) Card Security Information form  
Seed Money Report

- New Vendor (MCEA Candidate)
- Change Request
- Multi Address

# STATE OF MAINE NEW VENDOR/VENDOR UPDATE

*mailing Address*

NAME/ADDRESS (NEW ADDRESS IF CHANGE)	(OLD ADDRESS IF CHANGE)
Name _____	_____
Address _____	_____
City, State, and Zip Code _____	_____

Individual or sole proprietor	ACCT. NUMBER _____
<div style="border: 1px solid black; padding: 2px;">Social Security Number</div>	CONTACT NAME <input checked="" type="checkbox"/> _____
TAX I.D. NO. OR CORPORATION	CONTACT PHONE <input checked="" type="checkbox"/> _____
<div style="border: 1px solid black; padding: 2px;">Employer identification number</div>	ACCT. REC CONTACT _____

COMMENT: \_\_\_\_\_

VENDOR DESCRIPTION - ENTER Y (YES) FOR ALL THAT APPLY

DEALER _____	INDIVIDUAL _____	SMALL _____
MANUFACTURER _____	SOLE PROPRIETOR _____	IN-STATE _____
JOBBER _____	PARTNERSHIP _____	SERVICES _____
RETAILER _____	INCORPORATED _____	(NON-MED) _____
FACTORY REP. _____	COMMODITY _____	MEDICAL _____
	MINORITY _____	SERVICES _____
		GOVERNMENT _____
		ENTITY _____
		NON-PROFIT _____
		CORP. _____

MAINE CLEAN ELECTION CANDIDATE

Submitted by: \_\_\_\_\_ DATE \_\_\_\_\_  
Authorized Vendors Signature

Title: \_\_\_\_\_

AGENCY CONTACT PERSON \_\_\_\_\_

AGENCY CONTACT PHONE NUMBER \_\_\_\_\_



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
135 State House Station, Augusta, Maine 04333-0135  
Office: 242 State Street, Augusta, Maine  
Tel: (207) 287-4179 Fax: (207) 287-6775

**Credit (Debit) Card Security Information**

(Please Complete ALL Entries)

Name of CANDIDATE \_\_\_\_\_

Mailing address \_\_\_\_\_

City, zip code \_\_\_\_\_

Telephone number \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Election Year \_\_\_\_\_ Office Sought \_\_\_\_\_ District Number \_\_\_\_\_

The financial institution issuing the credit (debit) cards requires traditional security information for the protection of individual accounts. In this case, mother's maiden name and date of birth are used as password and personal identification number (PIN), respectively. You should provide either your mother's maiden name or another password that you can remember for your mother's maiden name. Likewise, you should provide either your date of birth or another date that you can remember for your date of birth. The requested security information will enable you to access your account information by telephone or via the Internet.

Mother's Maiden Name: (e.g., Smith) \_\_\_\_\_  
(OR another name as password that you can remember)

Date of Birth: (e.g., 00/00/00) \_\_\_\_\_  
(OR another date as personal identification number PIN that you can remember)

Amount to be posted to Card: \$ \_\_\_\_\_

\_\_\_\_\_  
Candidate's Signature

\_\_\_\_\_  
Date

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission on Governmental Ethics and Election Practices has received and approved your request for certification as a Maine Clean Election Act candidate for the State House of Representatives. The Maine Clean Election Act requires that funds to a candidate certified prior to March 16, 2000, be distributed as if the certified candidate is in an uncontested primary election. Within three (3) days after March 16, 2000, the Commission will distribute funds to all certified candidates according to whether they are in uncontested or contested primary election races, reduced by any amount that may have been distributed prior to March 16, 2000.

The amounts of the distributions for an uncontested primary House race and a contested primary House race are \$511 and \$1,141, respectively. The Commission has authorized the release of for your Representative race, less any unspent seed money. The payment method is in accordance with your selection by previous correspondence.

If you need assistance or have any questions, please do not hesitate to contact our office. We can be reached by telephone at (207)287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m..

Sincerely,

William C. Hain, III  
Executive Director

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission on Governmental Ethics and Election Practices has received and approved your request for certification as a Maine Clean Election Act candidate for the State House of Representatives. The Commission will distribute funds to all certified candidates based on whether they are in an uncontested or contested primary election race.

The amount of your distribution for your uncontested primary House race is \$1,785.00. The Commission has authorized the release of for your Representative race, less any unspent seed money. The payment method is in accordance with your selection by previous correspondence.

If you need assistance or have any questions, please do not hesitate to contact our office. We can be reached by telephone at (207)287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

Sincerely,

William C. Hain, III  
Executive Director

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission has received the list of candidates qualified by the Elections Division of the Office of the Secretary of State for the primary elections. According to that information, you will have an opponent in the upcoming June primary election for the State House of Representatives.

As a contested primary election candidate for the State House of Representatives, you are entitled to receive an initial distribution from the Maine Clean Election Fund of . You already have received a preliminary distribution of \$0.00 for an uncontested primary election candidate. Therefore, the Commission has authorized the release of an additional \$0.00 as calculated below. The payment method will be in accordance with your selection by previous correspondence.

Amount of total distribution for a race:

Amount previously distributed: \$0.00

Additional amount authorized for distribution: \$0.00

If you need assistance or have any questions, please do not hesitate to contact our office. We can be reached by telephone at (207)287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m..

Sincerely,

William C. Hain, III  
Executive Director



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

March 24, 2000

Mr. Joel J. Hebert  
RFD #1, Box 202A  
Sinclair, ME 04779

Dear Mr. Hebert:

The Commission on Governmental Ethics and Election Practices has received your request for certification as a Maine Clean Election Act candidate for the State Senate. The Maine Clean Election Act requires that candidates for the Senate submit 150 qualifying contributions with their request for certification, together with other requirements. While you appear to have met those other requirements, you did not submit the requisite number of qualifying contributions with your request for certification.

Regretfully, therefore, I must inform you that you have not been certified as a Maine Clean Election Act candidate for failure to fully satisfy the qualification requirements. You may appeal this decision to the full Commission within three (3) days of receipt of this letter. Your appeal must be in writing and must set forth the reasons for the appeal. Please address any appeal to me at the address above.

If you have any questions, please do not hesitate to contact our office. We may be reached by telephone at 287-4179, by telefax at 287-6775, or you may visit the Commission offices at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m. Thank you.

Sincerely,

William C. Hain III  
Executive Director

Copy: Chairman Peter B. Webster



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775



July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

**BY CERTIFIED AND FIRST CLASS U.S. MAIL**

Dear Mr. Doe:

Our records indicate that you have a certified Maine Clean Election Act candidate as an opponent in your primary election. In addition to other reports required by law, a nonparticipating candidate who has a certified Maine Clean Election Act candidate as an opponent in an election must comply with the following accelerated reporting requirements using the enclosed form.

Any candidate who is not certified under the Maine Clean Election Act and who raises, borrows, expends or obligates more than 1% in excess of \$1,141 for a contested House race (i.e., \$1,152) or \$4,334 for a contested Senate race (i.e., \$4,377), must file the enclosed 101% report within 48 hours of having raised, borrowed, expended or obligated that amount. If your campaign already has exceeded the 101% limit, you must submit your 101% Report within 48 hours of receipt of this letter.

The enclosed report forms have detailed instructions on the filing requirements. Please review the instructions before completing the reports. Any questions that can be answered now will save time during the filing of the reports.

If you need assistance in completing any of the reports, please do not hesitate to contact Mr. Andrew Seaman at 287-7651 or me at 287-6219. You may also telefax inquiries to 287-6775, or visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

Sincerely,

William C. Hain, III  
Executive Director

Encl: Accelerated Reporting Form  
copy: , Treasurer

DRAFT

STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES  
135 State House Station  
Augusta, Maine 04333-0135  
Tel: (207)287-6221 FAX: (207)287-6775

MAINE CLEAN ELECTION ACT  
NON-PARTICIPATING CANDIDATE ACCELERATED REPORT

TYPE OF REPORT (check): 101% \_\_\_\_\_ 21-DAY \_\_\_\_\_ 12-DAY \_\_\_\_\_  
(Due: See Reverse) (Due 5/23 & 10/17) (Due 6/1 & 10/26)

CANDIDATE IDENTIFICATION

Name of Non-Participating Candidate	Telephone Number
Mailing Address	Office Sought
City, zip code	District
Name of Opposing MCEA Candidate	

CONTRIBUTIONS TO CANDIDATE OR CANDIDATE'S COMMITTEE

Total Campaign Receipts (Cash Contributions and Value of In-Kind Contributions) Received to Date

EXPENDITURES AND OBLIGATIONS BY CANDIDATE OR CANDIDATE'S COMMITTEE

Total Campaign Expenditures and Obligations Made to Date

I CERTIFY THAT THE INFORMATION IN THIS REPORT IS TRUE, CORRECT AND COMPLETE.

\_\_\_\_\_  
Signature of Non-Participating Candidate

\_\_\_\_\_  
Date

INSTRUCTIONS FOR FILING ACCELERATED REPORTS  
BY CANDIDATES NOT PARTICIPATING UNDER THE  
MAINE CLEAN ELECTION ACT

**DRAFT**

**101% REPORT:** Any candidate for Governor, State Senate or State House of Representatives who is not certified as a Maine clean Election Act candidate and who receives, spends or obligates more than 1% in excess of the primary or general election distribution amounts for a Maine Clean Election Act candidate in the same race shall file, within 48 hours of that event, a report detailing the candidate's total campaign contributions, obligations and expenditures to date.

**21-DAY REPORT:** Any candidate who has filed a 101% report must file an updated report not later than 5 p.m. On the 21st day before the date on which an election is held. The report must be complete as of the 23rd day before the date of that election.

**12-DAY REPORT:** Any candidate who has filed a 101% report must file an updated report not later than 5 p.m. On the 12th day before the date on which an election is held. The report must be complete as of the 14th day before the date of that election.

**48-HOUR REPORT:** Any candidate who has filed a 101% report must file an updated report reporting single expenditures of \$1,000 or more by candidates for Governor, \$750 by candidates for State Senator, and \$500 by candidates for State Representative made after the 12th day before any election and more than 48 hours before 5 p.m. on the date of that election. The report must be submitted to the Commission within 48 hours of making the expenditure, or by noon of the first business day after the expenditure, whichever is later.

**REPORTING SCHEDULE:**

<u>Type of Report</u>	<u>Due Date</u>	<u>Period Included</u>
101%	Within 48 hours of exceeding Opposing MCEA candidate's Initial Distribution	Last report or beginning of campaign through date of receiving or spending more than 1% in excess of MCEA opponent's initial distribution
21-DAY REPORT	May 23, 2000 (primary) October 17, 2000 (general)	101% date through May 21, 2000 101% date through October 15, 2000
12-DAY REPORT	June 1, 2000 (primary) October 26, 2000 (general)	May 22, 2000 through May 30, 2000 October 16, 2000 through October 24, 2000

**FACSIMILE TRANSMISSION**

21-A MRSA Section 1062-A(3) permits the FAX transmission of a campaign finance report as long as the original of the report is received by the Commission within 5 calendar days thereafter. The Commission's FAX number is 207-287-6775.

**IMPORTANT**

The summary information contained in this report must be included on the appropriate schedule of the next required detailed report (i.e. 6-day pre-election or 42-day post-election, as appropriate).

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission on Governmental Ethics and Election Practices will release additional amounts of "matching funds" that are equivalent to the amount a "nonparticipating" opponent raises or spends that may be greater than the initial distribution that the "certified candidate" receives from the Maine Clean Election Act Fund. The Commission has the authority to advance the total amount of "matching funds" (up to two times the amount of the initial distribution) to the candidate. The certified candidate may only draw upon, spend or otherwise use, such advance Fund distributions **after receiving written notification** from the Commission **authorizing** a Matching Fund allocation in a specified amount. In other words, the matching funds may be deposited in a candidate's account, but the candidate may not actually spend any of that money until authorized in writing to do so.

The Commission has received a report from an opposing "nonparticipating candidate" indicating receipts or expenditures that are greater than 101% of your initial distribution. Therefore, the Commission has **advanced** the total amount of "matching funds" distribution of \$0.00 with an **authorization** to spend or otherwise use up to \$0.00 of that amount.

Upon filing the final report for a primary election in which a certified candidate was defeated or, if successful in the primary, for the general election, a certified candidate must return the balance of "matching funds" for which spending authority was not given by the Commission, as well as all unspent authorized distributions. Those amounts must be repaid to the Commission by check or money order payable to the Fund within two weeks of the date of such final report or after the general election.

Any certified candidate who willfully or knowingly violates the rules of the Commission or who willfully or knowingly makes false statements in any report required by law commits a Class E crime and must return to the Fund all amounts distributed to that candidate. In addition to any other penalties that may be applicable, any person who violates any provision of the Maine Clean Election Act is subject to a civil penalty not to exceed \$10,000 per violation payable to the Fund.

If you need assistance or have any questions, please do not hesitate to contact our office. We may be reached by telephone at 287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m..

Sincerely,

William C. Hain, III  
Executive Director

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission has received a report from an opposing "nonparticipating candidate" indicating receipts or expenditures that are greater than the amount previously authorized to spend. The Commission hereby authorizes you to spend or otherwise use up to an additional of the "matching funds" distribution amount that already has been credited to you using the payment method that you previously elected.

You have authorization to spend or otherwise use up to \$0.00 of the "matching funds" distribution of \$0.00. Your total Maine Clean Election Fund spending authority to date is \$0.00.

If you need assistance in completing any of the reports, please do not hesitate to contact Ms. Dottie Perry at 287-3024, or Mr. Andrew Seaman at 287-7651 in our office. You may also telefax inquiries to 287-6775, or visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

Sincerely,

William C. Hain, III  
Executive Director

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission has received the list of candidates qualified by the Elections Division of the Office of the Secretary of State for the general elections. According to that information, you will have an opponent in the upcoming November general election for the State House of Representatives.

As a contested general election candidate for the State House of Representatives, you are entitled to receive an initial distribution from the Maine Clean Election Fund of . Therefore, the Commission has authorized the release of for your general election race. The payment method will be in accordance with your selection by previous correspondence.

If you need assistance or have any questions, please do not hesitate to contact our office. We can be reached by telephone at (207)287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m..

Sincerely,

William C. Hain, III  
Executive Director

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission on Governmental Ethics and Election Practices has received and approved your request for certification as a Maine Clean Election Act candidate for the State House of Representatives. For general election certified candidates, revenues from the fund must be distributed to candidates who are in a contested general election. Funds may not be distributed for uncontested general elections.

Since you are in a contested general election, the Commission authorizes the release of for your House race, less any unspent seed money. The payment method is in accordance with your selection by previous correspondence.

If you need assistance or have any questions, please do not hesitate to contact our office. We can be reached by telephone at (207)287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

Sincerely,

William C. Hain, III  
Executive Director

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

**BY CERTIFIED AND FIRST CLASS U.S. MAIL**

Dear Mr. Doe:

Our records indicate that you have a certified Maine Clean Election Act candidate as an opponent in your general election. In addition to other reports required by law, a nonparticipating candidate who has a certified Maine Clean Election Act candidate as an opponent in an election must comply with certain accelerated reporting requirements using the enclosed form.

Any candidate who is not certified under the Maine Clean Election Act and who raises, borrows, expends or obligates more than 1% in excess of \$3,252 for a contested House race (i.e., more than \$3,285) or more than 1% in excess of \$12,910 for a contested Senate race (i.e., more than \$13,039), must file the enclosed 101% report within 48 hours of having raised, borrowed, expended or obligated that amount. If your campaign already has exceeded the 101% limit, you must submit your 101% Report within 48 hours of receipt of this letter. Additional reporting requirements are included in the attached copy of the Commissions regulations. Please note particularly the reporting requirements for the 48 hour report.

The enclosed report forms have detailed instructions on the filing requirements. Please review the instructions before completing the reports. Any questions that can be answered now will save time during the filing of the reports.

If you need assistance in completing any of the reports, please do not hesitate to contact Mr. Andrew Seaman at 287-7651 or me at 287-6219. You may also telefax inquiries to 287-6775, or visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

Sincerely,

William C. Hain, III  
Executive Director

Encl: Accelerated Reporting Form, 48 Hour Report  
Commissions regulations for accelerated reporting  
Copy: , Treasurer



July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Maine Clean Election Act provides for the release to a "certified" candidate of additional amounts of "matching funds." The amount is equivalent to what a "nonparticipating" opponent raises or spends alone or in conjunction with so-called "independent expenditures" that exceeds the "certified" candidate's initial distribution from the Maine Clean Election Fund. The Commission has the authority to advance up to two times the amount of the initial distribution to the candidate as "matching funds." However, the certified candidate may use only as much of the advance Fund distributions as the Commission authorizes in writing to be used. In other words, the matching funds may be deposited in a candidate's account, but the candidate may not spend any of that money until specifically authorized in writing to do so.

The Commission has received a report from an opposing "nonparticipating" candidate or of "independent expenditures" indicating receipts or expenditures that are greater than 101% of your initial distribution. Therefore, the Commission has **advanced** the total amount of "matching funds" distribution of with an **authorization to spend** or otherwise use only up to of that amount.

Within two weeks after the general election (i.e. not later than November 21, 2000), a certified candidate must return all unspent authorized distributions, along with the balance of "matching funds" for which spending authority was not given by the Commission. The total of those amounts must be repaid to the Commission by check or money order payable to the Fund.

If you need assistance or have any questions, please do not hesitate to contact our office. We may be reached by telephone at 287-4179 or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m.

Sincerely,

William C. Hain, III  
Executive Director

Copy:

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission has received a report from an opposing "nonparticipating candidate" or of "independent expenditures" indicating receipts or expenditures that are greater than the amount previously authorized to spend. The Commission hereby authorizes you to spend or otherwise use up to an additional of the "matching funds" distribution amount that already has been credited to you using the payment method that you previously elected.

You have the authorization to spend or otherwise use up to \$0 of the "matching funds" distribution of . Your total Maine Clean Election Fund spending authority to date is \$0.00.

Within two weeks after the general election (i.e. not later than November 21, 2000), a certified candidate must return all unspent authorized distributions, along with the balance of "matching funds" for which spending authority was not given by the Commission. The total of those amounts must be repaid to the Commission by check or money order payable to the Fund.

If you need assistance or have any questions, please do not hesitate to contact our office. We may be reached by telephone at 287-4179, or you may visit the Commission office at 242 State Street, Augusta, Maine, between 8:00 a.m. and 5:00 p.m. Thank you.

Sincerely,

William C. Hain, III  
Executive Director

Copy:

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Maine Clean Election Act and implementing Commission regulations require certified candidates for all general elections to return unspent Maine Clean Election Fund revenues and any matching fund advance revenues to the Commission by check or money order payable to the Maine Clean Election Fund within 2 weeks of the date of submission of the final report for the general election. The due date for the 42-day post-general election report is December 19, 2000, covering the reporting period from October 27, 2000 through December 12, 2000. Therefore, the deadline for returning all unspent Maine Clean Election Fund revenues is January 2, 2001.

If you have any questions about this, please call Andrew Seaman at 287-7651 or me at 287-6219.  
Thank you.

Sincerely,

William C. Hain, III  
Executive Director

Copy: , Treasurer

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Maine Clean Election Act and implementing Commission regulations require certified candidates for all general elections to return unspent Maine Clean Election Fund revenues and any matching fund advance revenues to the Commission by check or money order payable to the Maine Clean Election Fund within 2 weeks of the date of submission of the final report for the general election. The due date for the 42-day post-general election report is December 19, 2000, covering the reporting period from October 27, 2000 through December 12, 2000. Therefore, the deadline for returning all unspent Maine Clean Election Fund revenues is January 2, 2001.

Questions have been raised about the disposition of funds used to purchase campaign-related property and equipment such as computers, etc. The Commission's guidelines for expenditures for certified candidates prohibit the expenditure of Maine Clean Election Act funds for "personal expenses." Personal expenses are expenses that exist irrespective of the candidate's campaign. The Commission has determined that all campaign-related equipment purchased by a certified candidate must be liquidated (sold) and the funds received returned to the Maine Clean Election Fund with all other unspent revenues. The liquidation of campaign property and equipment may be done by sale to another person or purchase by the candidate. In any event, the liquidation must be at the "fair market value" of the property at the time of disposition. "Fair market value" is determined by what is fair, economic, just and equitable under normal market conditions. Selling prices will be closely scrutinized for reasonableness under the circumstances.

A review of campaign finance reports received prior to the general election indicates that your campaign has purchased campaign-related property or equipment that must be liquidated at fair market value and the revenues received from the liquidation returned to the Fund. Please include a statement regarding the disposition of any campaign-related property or equipment with the submission of your campaign's final report, including the liquidation price and the basis for its determination and the name and address of the person/entity to whom you sold the property.

If you have any questions about this, please call Andrew Seaman at 287-7651 or me at 287-6219. Thank you.

Sincerely,

William C. Hain, III  
Executive Director

Copy: , Treasurer

July 3, 2001

Mr. John L. Doe  
135 State House Station  
Augusta, ME 04333

Dear Mr. Doe:

The Commission's guidelines for expenditures for certified candidates prohibit the expenditure of Maine Clean Election Act funds for "personal expenses." Personal expenses are expenses that exist irrespective of the candidate's campaign. The Commission has determined that all campaign-related equipment purchased by a certified candidate must be liquidated (sold) and the funds received returned to the Maine Clean Election Fund with all other unspent revenues. The liquidation of campaign property and equipment may be done by sale to another person or purchase by the candidate. In any event, the liquidation must be at the "fair market value" of the property at the time of disposition. "Fair market value" is determined by what is fair, economic, just and equitable under normal market conditions. Selling prices will be closely scrutinized for reasonableness under the circumstances.

A review of campaign finance reports received for the election indicates that your campaign has purchased campaign-related property or equipment that must be liquidated at fair market value and the revenues received from the liquidation returned to the Fund. Please include a statement regarding the disposition of any campaign-related property or equipment with your refund, including the liquidation price and the basis for its determination and the name and address of the person/entity to whom you sold the property.

If you have any questions about this, please call Andrew Seaman at 287-7651 or me at 287-6219.  
Thank you.

Sincerely,

William C. Hain, III  
Executive Director

Copy: , Treasurer

## **PART III**

### **ENFORCEMENT**

#### **DOCUMENTATION**

Following this Part is a sample of the correspondence that the Commission used to enforce the Maine Clean Election Act in 2000.

#### **EVALUATION**

Enforcement of the Act required minimal Commission action. With the exception of complaints filed regarding the independent expenditure reporting requirements, the only other notable enforcement issues involved compliance with the accelerated reporting requirements and their enforcement.

Several situations arose in the primary and general elections in which either accelerated reports from nonparticipating opponents of certified candidates or independent expenditure reports from third party supporters of nonparticipating candidates were not filed in a timely manner or were not filed at all. In both situations, the late filing of or the failure to file resulted in a failure to authorize certified candidates to spend matching funds.

The problems were discovered in different ways. The major political parties monitored political communications published in support of opponents of certified candidates to ensure that all matching funds were authorized. The Commission's audit of reports resulted in the discovery of a few cases of late or non-reporting by nonparticipating opponents of certified candidates.

Before the 2000 elections, very few independent expenditures had been reported. As a result of the bill that produced the Maine Clean Election Act in 1996, the contribution limits for all legislative and gubernatorial candidates were drastically reduced effective January 1, 1999, and all contribution limits were reduced to \$250 from any source per candidate per election for legislative candidates and \$500 for gubernatorial candidates.

The consequence of the reductions was the use of large sums of money that, in the past, could have been given directly to political candidates, to make "independent expenditures" in support of candidates. To be "independent," the expenditures could not be made "in cooperation, consultation or concert with, or at the request or suggestion of, any candidate or any authorized committee or agent of a candidate."

## Study Report - August 8, 2001

As anticipated, the issue associated with the filing of independent expenditure reports was whether the political communication qualified as an “express advocacy” communication or was, instead, “issue advocacy.” An “express advocacy” communication advocates the election or defeat of a clearly identified candidate. Any “express advocacy” communication that is made by a third party acting totally independently of a candidate must be reported to the Commission. The report must state whether the contribution or expenditure is in support of or in opposition to the candidate, and include a statement whether the expenditure is made in cooperation, consultation or concert with, or at the request or suggestion of, any candidate or any authorized committee. Any communication that is not “express advocacy” is considered “issue advocacy.”

Questions were raised in several cases. One involved an “independent expenditure” communication reported to the Commission by an organization which resulted in the issuance of matching funds to a certified MCEA candidate. Supporters of the candidate on behalf of whom the expenditure was reported filed a complaint with the Commission asserting that the communication in question was not an express advocacy communication and, therefore, should not have resulted in the issuance of matching funds.

The expenditure had been made shortly before the primary election and the Commission was not able to obtain an immediate quorum and addressed the complaint at its meeting the day after the election. The Commission eventually determined that an independent expenditure report had not been required.

The second situation involved several different complaints challenging certain communications that were alleged to have “expressly advocated” the election or defeat of clearly identified candidates. Those communications, it was asserted, should have been reported as independent expenditures and, therefore, should have resulted in the issuance of matching funds for the certified opponent of the candidate on whose behalf the expenditures had been made. In virtually every case, based upon a review of the communications and application of the standard, the Commission determined that the challenged communications had not “expressly advocated” the election or defeat of any clearly identified candidate and, therefore, independent expenditure reports had not been required.

In virtually all cases, absent a clear showing that the words of express advocacy had been used, the Commission determined that the communication in question had not met the requisite test and, therefore, was determined to be an issue advocacy communication and not reportable. In a few cases where the Commission found express advocacy, the Commission assessed a penalty but waived all or a portion of the penalty based upon the Commission’s recognition that the initial implementation of the Act should be recognized as a learning experience, while noting any violation of statutory or regulatory requirements.

As a result of the problems involving eligibility for matching funds based upon reported "independent expenditures," it is clear that the Act should be reexamined to address this issue. The difficulty will be in applying the court's so-called "bright line" test to specific factual situations that may occur. It would seem that a clearer standard might be considered that either would make every communication directed to voters about a specific candidate during a specified period before an election an "election communication," or would exempt any communication made for any purpose by any source other than the actual candidates in an election.

Because the Supreme Court has preempted the State's ability to regulate "independent expenditures" on the basis of the protected exercise of political speech, it is unlikely that a solution would permit greater regulation than what is now permitted under the Act. The existing provisions have withstood challenge and are, therefore, enforceable as written. The result seems to be the maintenance of the status quo, with a strict application of the bright line test for "express advocacy," to determine whether an independent expenditure report is required and the resulting issuance of matching funds.

The complaints that were filed with the Commission regarding the matter of "independent expenditures" highlighted the weakest link in the Act's attempt to create a level playing field for opposing candidates, whether between a nonparticipating and a MCEA certified candidate, or between two MCEA certified candidates. The weakness results from including independent expenditures with an opposing candidates funds in computing matching fund eligibility. By cleverly wording a political communication to avoid "express advocacy," shrewd political strategists will be able to avoid the requirements for reporting expenditures on communications that are intended to influence the average voter's decision without using the requisite words.

Still pending at the time of this report are two cases involving questionable use of revenues. One of those cases involves the possible uses of revenues for personal expenses that are inconsistent with the guidelines. The other involves possible willful or knowing violation of the Act and rules of the Commission and possible willfully false statements in a required report. Other possible acts of criminal wrongdoing are also being investigated.

## **ENFORCEMENT ISSUES**

During the enforcement stages (i.e. post-primary and post-general election compliance audits), the Commission addressed numerous issues, including:

- The impact of unreported independent expenditures on the computation of matching funds for Act candidates, including the reporting requirements of expenditures for "membership communications" and whether those expenditures should be included in the computation of matching funds for MCEA opponents of nonparticipating candidates



Study Report - August 8, 2001

- The adequacy of authorization and attribution statements
- Whether an “endorsement” letter constitutes an “independent expenditure” for reporting purposes
- The consequences of a failure to properly report “independent expenditures”
- The questioned source of funds used by a Clean Election Act candidate for a sizable expenditure
- The consequence of an MCEA candidate advancing personal funds to the candidate’s campaign following the primary election to alleviate a temporary cash flow problem pending receipt of anticipated MCEA funds for the general election
- A challenge of the payment of matching funds to an MCEA opponent of a nonparticipating candidate based upon the receipt of an independent expenditure reported that was subsequently challenged as not required
- Numerous complaints alleging failures to report “independent expenditures” based upon the requirement to determine whether the communication had been an “express” or “issue” advocacy communication
- Determination of whether an expenditure was an “in-kind” contribution or an “independent expenditure” for reporting purposes and the impact on eligibility for matching funds
- Alleged reporting violations, failure to register as a political action committee, and failure to report independent expenditures affecting the matching funds eligibility for a number of candidates, requiring distinction between “express” and “issue” advocacy communications
- Failure to timely report independent expenditures
- The consequence of making expenditures in excess of the MCEA distribution amount due to accounting errors
- The consequence of making expenditures of MCEA funds for personal expenses
- An investigation of possible violations of statutes involving the unauthorized use of funds for personal use
- The late filing of accelerated reports by nonparticipating opponents of MCEA candidates resulting in either delayed or nonpayment of matching funds
- The appropriateness of the significant penalties required to be imposed by the Commission without the ability to consider “mitigating circumstances” in the assessment of any penalty for possible violation of the accelerated reporting requirements. The Commission’s proposal to address this problem was incorporated in LD 1809 that was enacted as Public Law, Chapter 470.

## **RECOMMENDATIONS**

1. The Commission will review all informational materials to make them applicable to the 2002 elections.
2. Review all forms for clarity and simplicity, ensuring the submission of only that information for which there is an appropriate basis.



STATE OF MAINE  
 COMMISSION ON GOVERNMENTAL ETHICS  
 AND ELECTION PRACTICES  
 135 STATE HOUSE STATION  
 AUGUSTA, MAINE  
 04333-0135

January 25, 2001

Mr. John P. Doe  
 2345 Water Street  
 Waterville, ME 03485

Dear Mr. Doe:

Any candidate who is not certified under the Maine Clean Election Act (MCEA); who has an MCEA opponent; and who raises, borrows, expends or obligates more than 1% in excess of the MCEA opponent's initial distribution amount of \$3,252 (i.e., more than \$3,285) for a contested House of Representatives race must file a 101% Report within 48 hours of having raised, borrowed, expended or obligated that amount. A preliminary review of your campaign finance reports filed with the Commission indicates that by \_\_\_\_\_, deposits aggregating \$\_\_\_\_\_ resulted in your campaign having exceeded the \$3,285 threshold as of that date. This is based on the following information.

Calculated cash balance at close of 06/13/00:	\$ 283
Calculated deposits from 06/14/00 to 07/18/00:	100
Calculated deposits from 07/19/00 to 10/20/00:	<u>2,796</u>
Subtotal	3,179
Aggregate cash contributions not itemized	<u>510</u>
Total	\$3,689

The aggregate cash contributions amount may have had an impact on the due date of the 101% Report depending on the dates those contributions were received. Once your total contributions exceeded the \$3,285 threshold, the 101% Report was due within 48 hours of that event. If the above calculations are accurate, a 101% Report should have been filed with this office not later than October 30, 2000 (October 28<sup>th</sup> was a Saturday), or sooner depending upon the impact of the receipt of unitemized contributions of \$50 or less. However, your campaign's 101% Report was not received in this office until November 6, 2000.

Please review the above calculations and provide a written explanation why this preliminary review differs from the actual 101% Report filed with the Commission and why a report was not filed by October 30, 2000, or sooner, as the above information suggests. Please provide your response to this request within ten (10) days of receipt of this letter.

If you have any questions please call Mr. Andrew Seaman at 287-7651. Thank you for your prompt attention to this matter.

Sincerely,



William C. Hain, III  
 Director

PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

April 18, 2001

Mr. John P. Doe  
2345 Water Street  
Waterville, ME 03485

**BY CERTIFIED U. S. MAIL**

Dear Mr. Doe:

An audit of your 2000 campaign finance reports shows that a 101% Report was not filed in this office by the due date of \_\_\_\_\_. The 101% Report was received on \_\_\_\_\_. A Candidate who fails to file an accelerated campaign finance report as required must be assessed a penalty at least equivalent to, but no more than, 3 times the amount by which the contributions received or expenditures obligated or made by the candidate, whichever is greater, exceed the applicable Maine Clean Election Fund disbursement amount, per day of violation. Based on the prescribed statutory formula, the preliminary determination of the penalty for failure to file your accelerated report is \$ \_\_\_\_\_. Please refer to the enclosed penalty matrix for more details on how the penalty is computed.

If you have a reason for not filing or for filing late, you may request a final penalty determination by the Commission. Any request for a Commission determination must be made within 10 calendar days of receipt of this certified U. S. mail notice, beginning on the day you sign for receipt of this notice of the proposed penalty. If this certified letter has been refused or left unclaimed at the post office, the 10-day period begins on the day the post office indicates it has given first notice of a certified letter.

Upon receipt of your request for a Commission determination, you will be includee on the agenda to appear at the next Commission meeting that is scheduled for \_\_\_\_\_. You or a person you designate may appear personally before the Commission or you may send a written statement for the Commission's consideration. If you elect to send a statement, it must be notarized and contain a full explanation of the reason/s you did not file the required reports. Statements should be sent to the address shown on this letterhead. The Commission will notify you of the disposition of your case within 10 days after its determination.

Thank you for your cooperation.

Sincerely,



PRINTED ON RECYCLED PAPER

William C. Hain, III  
Director

Encl: Penalty Matrix

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

April 24, 2001

Mr. John P. Doe  
2345 Water Street  
Waterville, ME 03485

Dear Mr. Doe:

Thank you for your letter of April 19, 2001. I have reviewed the correspondence in this matter and have discovered a computational error in the amount received over your opponent's initial distribution that would have triggered the filing of a 101% Report. The correct amount was \$ \_\_\_\_\_, not the \$ \_\_\_\_\_ noted in the penalty matrix that was enclosed with my last letter. Using that corrected figure, therefore, the penalty amount would be \$ \_\_\_\_\_ instead of the \$ \_\_\_\_\_ originally noted. I apologize for that error.

I do not specifically recall the telephone conversation to which you have referred. However, I am reasonably certain that I would not have advised an inquirer that surplus funds from a previous campaign or funds carried forward from the primary election to the general election would not be counted in determining eligibility for matching funds for an opponent. It is true that contributions received before the primary are counted for the primary election, while those received between the primary election and the general election are credited to the general election. The latter may have led you to conclude that contributions received before the primary would not be counted in the general election, even if unspent for the primary and carried forward into the general election campaign.

In any event, a careful analysis of your campaign reports reveals that even if the surplus balance from your primary campaign is not added to the contributions received during your general campaign, a 101% Report still would have been required to be filed on October 30, 2000, but was not received until November 4, 2000 (although dated November 2, 2000). Again, however, the amount not reported was \$ \_\_\_\_\_ rather than the \$ \_\_\_\_\_ we erroneously indicated.

The Commission is mindful of this problem. I am enclosing a copy of a recent letter to Senator Neria Douglass, Senate Chair of the Legal and Veterans' Affairs Committee, addressing the Commission's concerns about the impact of this penalty issue on a number of candidates in last year's election. I believe the letter is self-explanatory. However, if I can answer any questions please call me at 287-6219. Thank you.

Sincerely,

William C. Hain, III  
Director



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

April 18, 2001

Honorable Neria R. Douglass  
Senate Chair  
Committee on Legal and Veterans' Affairs  
115 State House Station  
Augusta, Maine 04333-0115

Dear Senator Douglass:

I understand there will be another work session on LD 1711, Senator Edmonds' bill to amend the Maine Clean Election Act, on Thursday, April 26th.

At its meeting on April 11th, the Commission considered a problem regarding enforcement of the Maine Clean Election Act. The MCEA provides for the disbursement of matching funds to certified candidates based upon the greater of the amount received or spent, alone or in conjunction with independent expenditures, by an opposing non-participating candidate. To determine those distribution amounts, accelerated reporting requirements in § 1017, sub-§ 3-B, implemented by Commission Rules, Ch. 1, § 7, provide for the filing of 101%, 21-Day, and 12-Day Accelerated Reports.

The penalty for late filing of accelerated reports is provided in § 1020-A, sub-§ 4 as follows: ". . . a candidate who fails to file an accelerated campaign finance report . . . must be assessed a penalty at least equivalent to but no more than 3 times the amount by which the contributions received or expenditures obligated or made by the candidate, whichever is greater, exceed the applicable Maine Clean Election Fund disbursement amount, per day of violation."

That requirement, when applied to 10 situations the Commission has identified, yields results that arguably are disproportionate to the gravity of the offending amounts involved. At its May meeting, the Commission will consider the following cases with the amounts over the distribution and penalty amounts indicated in parentheses: [Sentence including names and potential penalty amounts omitted. The Commission subsequently took action consistent with the changes made by the Legislature pursuant to this letter.] As you see, the penalties range from \$ \_\_\_\_\_ to \$ \_\_\_\_\_, and those are based upon only the equivalent amount, with a penalty of up to three (3) times those amounts possible.

The Commission discussed the statutory language and concluded that they will have no choice but to assess penalties based upon the mandatory language of the statute, i.e. "must be assessed," compared to all other Commission penalty provisions that give the Commission discretion in the penalty assessment process based upon "mitigating circumstances." The Commission has directed me to inquire whether the Legal and Veterans' Affairs Committee might consider



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775

amending the statute to read "may be assessed" versus "must be assessed" to give the Commission the discretion they exercise in all other penalty cases.

I will be available at the work session to answer any questions the Committee may have regarding this matter. Thank you for your assistance.

Sincerely,

William C. Hain, III  
Executive Director



STATE OF MAINE  
 COMMISSION ON GOVERNMENTAL ETHICS  
 AND ELECTION PRACTICES  
 135 STATE HOUSE STATION  
 AUGUSTA, MAINE  
 04333-0135

July 17, 2001

Mr. John P. Doe  
 2345 Water Street  
 Waterville, ME 03485

Dear Mr. Doe:

This is to advise you that the Commission has scheduled for August 8, 2001, at 9:30 a.m. in the Commission's offices, consideration of the penalty issue addressed in my letter of April 18, 2001, and related correspondence.

I am enclosing for your convenience a copy of the materials that the Commissioners will consider relative to this matter. Included are copies of correspondence, the campaign finance report and audit summary for making the finding of fact establishing when the applicable report was due as required by the Legislature's recent amendment of 21-A M.R.S.A. § 1020-A, and the relevant provisions of Public Law 470.

Based upon the application of that amendment, the maximum penalty that the Commission may assess is \$ \_\_\_\_\_. That amount is based upon the following:

<u>Report Name</u>	<u>Due Date</u>	<u>Filed Date</u>	<u>Amount Over</u>	<u>Number of Days</u>	<u>Maximum Penalty</u>
101%	10/4/00	11/1/00	\$ _____	_____	\$ _____

The Commission now has the authority, that before this amendment it had not had, to consider mitigating circumstances before assessing a final penalty in this case. The staff recommendation will include a statement that there does not appear to be any evidence that you intentionally failed to file a required report on time in order to gain an advantage over your opponent. A more specific staff recommendation will be predicated upon the information presented on August 8<sup>th</sup>.

As with other penalty cases that the Commission has considered during the initial implementation of the Maine Clean Election Act, the staff intends to recommend that the Commission accord deference to the newness and intricacies of the reporting requirements and the *bona fide* effort of candidates to comply with those requirements as they understood them. The staff recognizes that future communications can be improved to eliminate uncertainty that may have existed about the applicable requirements.

If I can be of further assistance, please contact me. Thank you.

Sincerely,



William C. Hain, III  
 Director

Encl: As Stated OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE





STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

July 19, 2001

Honorable John P. Doe  
2345 Water Street  
Waterville, ME 03485

VIA FAX 645-2017

Dear Representative Doe:

Thank you for your letter of July 17, 2001, and for the opportunity to discuss this matter with you. I expect the Commission to determine procedures for addressing specific cases of alleged violations of the accelerated reporting requirements of the Maine Clean Election Act at its next scheduled meeting. The issue was tabled pending legislative action to authorize the exercise of Commission discretion in the assessment of any penalties that may be appropriate. To satisfy due process requirements and counsel availability requests, the Commission has been asked to delay consideration of individual cases until August. That meeting is scheduled for Wednesday, August 8, 2001. I will send notices to all concerned to confirm the date, time, and location of that meeting.

The Commission's procedures for considering alleged late filing violations is informal and intended to accord respondents the maximum opportunity to present any information relevant to the matter for the Commission's consideration. Please let me know if there is any specific information I can provide to assist you in your preparation. Thank you.

Sincerely,

William C. Hain, III  
Director



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

May 22, 2001

Honorable John P. Doe  
2345 Water Street  
Waterville, ME 03485

Dear Representative Doe:

The Commission on Governmental Ethics and Election Practices met on May 9, 2001, and reviewed items on the published agenda. Among those matters considered was your reported acceptance of a contribution in excess of the statutory contribution limitations. The Commission considered your letter dated May 8, 2001 and the oral statement on your behalf by \_\_\_\_\_, Esq.

You offered an explanation of what your campaign reported as a contribution in excess of the statutory limitation. After discussion, the Commission voted to dismiss the matter and directed that your letter explanation be attached to the applicable campaign finance report and treated as an amendment of that report. No further action will be required to amend the report.

If you have any questions concerning this matter, please call me at 287-6219. Thank you.

Sincerely,

William C. Hain, III  
Director



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

July 5, 2001

Honorable John P. Doe  
2345 Water Street  
Waterville, ME 03485

Dear Representative Doe:

On April 11, 2001, the Commission communicated to Maine Clean Election Act certified candidates regarding the liquidation of property that had been purchased with Maine Clean Election Fund revenues. A copy of that letter is enclosed for your reference.

Our records do not show a refund from the liquidation of property that you had reported having purchased. If our records are incorrect, please advise us. Fully understanding the time demands of the recently completed legislative session, it may be that this matter has been overlooked. In that event, we would appreciate it if you would liquidate property purchased with Clean Election funds and refund that amount so that future candidates may use those funds in the next election.

Thank you.

Sincerely,

William C. Hain, III  
Director



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

June 25, 2001

Mr. John P. Doe  
2345 Water Street  
Waterville, ME 03485

**BY CERTIFIED AND FIRST CLASS U. S. MAIL**

Dear Mr. Doe:

This letter regards two issues before the Commission. First, by previous correspondence, this office had informed you of a preliminary assessment of a \$\_\_\_\_\_ penalty for the late submission of your 42-Day Post-Primary Election campaign finance report. That report reflected \$\_\_\_\_\_ in contributions (as Maine Clean Election Act distributions), and it was incomplete when filed ten (10) days after the deadline. A penalty matrix explaining the computation of that penalty was included with those letters, and a copy of the applicable statute explaining the Commission's authority to waive all or part of a penalty based upon mitigating circumstances as defined therein was included with the October 5<sup>th</sup> letter. By my letter of December 26, 2000, I advised you that we had not yet completed a review of issues relating to the information contained in your campaign finance reports and that I would advise you before presenting these matters to the Commission. On January 9, 2001, you requested a Commission review of the penalty assessment. The staff review has now been completed, and the matter is ready to be presented to the Commission.

In addition to the issue of the late submission of your campaign finance report, the Commission will address the matter of the appropriateness and reasonableness under the Maine Clean Election Act of a number of expenditures you reported having made with Maine Clean Election Fund revenues provided to you. Copies of previous correspondence raising concerns about these expenditures are attached.

The Maine Clean Election Act restricts the use of revenues from the Maine Clean Election Fund that are distributed to certified candidates. Those revenues may be used only for campaign-related purposes. The Act requires the Commission to publish guidelines outlining permissible campaign-related expenditures. Those guidelines were provided to all certified Maine Clean Election Act candidates with instructions to contact the Commission with any questions regarding the interpretation or application of those guidelines. The guidelines noted that the Commission would determine the permissibility of questionable expenses on a case-by-case basis, using the general definition of personal expenses contained in the guidelines.

Having reviewed your campaign finance reports for the 2000 election campaign, the following reported expenditures require further explanation to the Commission regarding the appropriateness and reasonableness of those expenditures as campaign-related and not personal expenses as defined in the Commission's guidelines. Please address the following expenditures:

  
PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775

Mr. John P. Doe  
June 25, 2001  
Page Two

Your campaign reported a number of expenditures that have not been supported by the required documentation. Please provide for the Commission's consideration the itemized billing statements for each of the following expenditures that show the campaign-related purposes of those expenditures.

<u>Date</u>	<u>Description</u>	<u>Amount</u>	<u>Category</u>
8/17	Verizon Wireless	\$415.22	Phone
8/21	Verizon Wireless	\$183.73	Phone
9/18	Verizon Wireless	\$166.00	Phone
10/2	Verizon Wireless	\$170.04	Phone
10/10	Verizon Wireless	\$340.11	Phone
11/9	Time Warner Cable	\$117.00	Uncertain
11/27	MSN Compaq by MSN	\$21.95	Uncertain
12/27	MSN Compaq by MSN	\$21.95	Uncertain
Jan.	MSN Compaq by MSN	\$21.95	Uncertain

Salary payments to a candidate's family are impermissible personal expenses unless those payments reflect the fair market value of *bona fide* services rendered to the campaign. Your campaign has provided "receipts" from certain individuals acknowledging their acceptance of payments in specific amounts. Please provide for the Commission's consideration an itemized statement of services rendered by each of the following individuals that supports the campaign-related nature of each of those expenditures. Please identify any of the individuals who was a member of your family on the date of the expenditure.

<u>Date</u>	<u>Description</u>	<u>Amount</u>	<u>Category</u>
9/22	James Doe	\$1,150.00	Consultants or Salaries
10/30	Ann Smith	\$2,300.00	Consultants or Salaries
11/16	Polly Steele	\$1,200.00	Consultants or Salaries
11/17	Jimmy Jones	\$1,100.00	Consultants or Salaries
11/17	Martha Morris	\$1,100.00	Consultants or Salaries
12/12	Jones & Smith LLC	\$2,100.00	Consultants or Salaries

Mortgage, rent, and utility payments for the candidate's personal residence are impermissible personal expenses, even if part of the residence is being used by the campaign. Please provide an explanation for the Commission's consideration of how the following reported expenditure was campaign-related and not a personal expense.

<u>Date</u>	<u>Description</u>	<u>Amount</u>	<u>Category</u>
10/3	John P. Jenkins	\$650.00	Personal Rent

Mr. John P. Doe  
June 25, 2001  
Page Three

Campaign travel expenses such as fuel and tolls are traditional, campaign-related expenses. The candidate may be reimbursed for those expenses either on the basis of actual itemized expenses for which records are maintained or vehicle mileage for which a vehicle usage log is maintained, but not both. In either case, supporting records should be maintained to support any travel reimbursement, similar to the requirement for federal or state income tax credit. Your campaign also reported an expenditure for a personal auto repair. You had reported an expenditure on October 10<sup>th</sup> to Mobil in the amount of \$217.76 that you reimbursed to the campaign as an erroneously claimed personal expenditure. Please provide an explanation for the Commission's consideration of how the personal auto repair expenditure on October 13<sup>th</sup> was campaign-related and not a personal expense.

<u>Date</u>	<u>Description</u>	<u>Amount</u>	<u>Category</u>
9/13	Mobil	\$15.82	Travel
9/19	Citgo	\$18.09	Travel
9/19	Prompto	\$16.85	Travel
9/20	Mobil	\$10.64	Travel
10/4	Exxon	\$21.41	Travel
10/11	Mobil	\$16.00	Travel
10/13	Rent A Ride / Auto Care	\$775.26	Personal Auto Repair
10/19	Citgo	\$16.68	Travel
10/25	Mobil	\$14.71	Travel
11/3	Citgo	\$17.43	Travel
11/17	John P. Doe	\$1,225.35	Travel

Finally, enclosed is a listing of expenditures for meals that you reported as having been paid with Maine Clean Election Fund revenues. The total expenditures for forty-nine (49) meals are \$3,168.53, over 12% of your campaign's total expenditures of \$25,915.59. The Commission's expenditure guidelines state that personal expenses are not permissible campaign-related expenditures, including "day-to-day household food items and supplies" as impermissible personal expenses, while also noting that campaign events (including food) are traditional, permissible campaign expenses. However, to the extent that such expenditures are not personal expenses, a test of appropriateness and reasonableness to the occasion would seem applicable to such permissible expenditures. Please provide the Commission with your explanation of how the totality of this list of meal expenditures qualifies as campaign-related. The Commission particularly will be interested in your explanation of how the fourteen (14) individual expenditures of \$50.00 or more meet a reasonable and appropriate standard as applied to this type of "campaign-related expenditure."

**This matter will be presented for the Commission's consideration at the next scheduled meeting at 9:00 a.m. on Wednesday, July 11, 2001, at the Commission's offices at 242 State Street, Augusta, Maine.** In order for the Commission to fully consider any explanation you

Mr. John P. Doe  
June 25, 2001  
Page Four

may have regarding these matters, please provide your written, signed response to be **received in this office not later than Monday, July 2, 2001**. In addition, I request that you attend the Commission meeting on July 11, 2001. You will have an opportunity at that time, either personally or by someone on your behalf, to address the individual concerns raised by this letter.

I am enclosing copies of what I believe to be all relevant documents regarding this matter. If you have any questions about them or require additional information, please contact Andrew Seaman at 287-7651. Thank you.

Sincerely,

William C. Hain, III  
Director

Encl: As Stated

## APPENDIX

### TITLE 21-A ELECTIONS

#### CHAPTER 14 THE MAINE CLEAN ELECTION ACT

##### 21A § 1121. Short title

This chapter may be known and cited as the "Maine Clean Election Act."

##### 21A § 1122. Definitions

As used in this chapter, unless the context otherwise indicates, the following terms have the following meanings.

**1. Certified candidate.** "Certified candidate" means a candidate running for Governor, State Senator or State Representative who chooses to participate in the Maine Clean Election Act and who is certified as a Maine Clean Election Act candidate under section 1125, subsection 5.

**2. Commission.** "Commission" means the Commission on Governmental Ethics and Election Practices established by Title 5, section 12004-G, subsection 33.

**3. Contribution.** "Contribution" has the same meaning as in section 1012, subsection 2.

**4. Fund.** "Fund" means the Maine Clean Election Fund established in section 1124.

**5. Nonparticipating candidate.** "Nonparticipating candidate" means a candidate running for Governor, State Senator or State Representative who does not choose to participate in the Maine Clean Election Act and who is not seeking to be certified as a Maine Clean Election Act candidate under section 1125, subsection 5.

**6. Participating candidate.** "Participating candidate" means a candidate who is running for Governor, State Senator or State Representative who is seeking to be certified as a Maine Clean Election Act candidate under section 1125, subsection 5.



**7. Qualifying contribution.** "Qualifying contribution" means a donation:

A. Of \$5 in the form of a check or a money order payable to the fund in support of a candidate;

B. Made by a registered voter within the electoral division for the office a candidate is seeking;

C. Made during the designated qualifying period and obtained with the knowledge and approval of the candidate; and

D. That is acknowledged by a written receipt that identifies the name and address of the donor on forms provided by the commission.

**8. Qualifying period.** "Qualifying period" means the following.

A. For a gubernatorial participating candidate, the qualifying period begins November 1st immediately preceding the election year and ends at 5:00 p.m. on March 16th of the election year unless the candidate is unenrolled, in which case the period ends at 5:00 p.m. on June 2nd of the election year.

B. For State Senate or State House of Representatives participating candidates, the qualifying period begins January 1st of the election year and ends at 5:00 p.m. on March 16th of that election year unless the candidate is unenrolled, in which case the period ends at 5:00 p.m. on June 2nd of the election year.

**9. Seed money contribution.** "Seed money contribution" means a contribution of no more than \$100 per individual made to a candidate, including a contribution from the candidate or the candidate's family. To be eligible for certification, a candidate may collect and spend only seed money contributions subsequent to becoming a candidate as defined by section 1, subsection 5 and throughout the qualifying period. A candidate may not collect or spend seed money contributions after certification as a Maine Clean Election Act candidate. The primary purpose of a seed money contribution is to enable a participating candidate to collect qualifying contributions. A seed money contribution must be reported according to procedures developed by the commission.

**21A §1123. Alternative campaign financing option**

This chapter establishes an alternative campaign financing option available to candidates running for Governor, State Senator and State Representative. This alternative campaign financing option is available to candidates for elections to be held beginning in the year 2000. The commission shall administer this Act and the fund. Candidates participating in the Maine Clean Election Act must also comply with all other applicable election and campaign laws and regulations.

**21A § 1124. The Maine Clean Election Fund established; sources of funding**

**1. Established.** The Maine Clean Election Fund is established to finance the election campaigns of certified Maine Clean Election Act candidates running for Governor, State Senator and State Representative and to pay administrative and enforcement costs of the commission related to this Act. The fund is a special, dedicated, nonlapsing fund and any interest generated by the fund is credited to the fund. The commission shall administer the fund.

**2. Sources of funding.** The following must be deposited in the fund:

A. The qualifying contributions required under section 1125 when those contributions are submitted to the commission;

B. Two million dollars of the revenues from the taxes imposed under Title 36, Parts 3 and 8 and credited to the General Fund, transferred to the fund by the Treasurer of State on or before January 1st of each year, beginning January 1, 1999. These revenues must be offset in an equitable manner by an equivalent reduction within the administrative divisions of the legislative branch and executive branch agencies. This section may not affect the funds distributed to the Local Government Fund under Title 30-A, section 5681;

C. Revenue from a tax checkoff program allowing a resident of the State who files a tax return with the State Tax Assessor to designate that \$3 be paid into the fund. If a husband and wife file a joint return, each spouse may designate that \$3 be paid. The State Tax Assessor shall report annually the amounts designated for the fund to the State Controller, who shall transfer that amount to the fund;

D. Seed money contributions remaining unspent after a candidate has been certified as a Maine Clean Election Act candidate;

E. Fund revenues that were distributed to a Maine Clean Election Act candidate and that remain unspent after the candidate has lost a primary election or after all general elections;

F. Other unspent fund revenues distributed to any Maine Clean Election Act candidate who does not remain a candidate throughout a primary or general election cycle;

G. Voluntary donations made directly to the fund; and

H. Fines collected under section 1020-A, subsection 4 and section 1127.

**3. Determination of fund amount.** By September 1st preceding each election year, the commission shall publish an estimate of revenue in the fund available for distribution to certified candidates during the upcoming year's elections.

#### **21A § 1125. Terms of participation**

**1. Declaration of intent.** A participating candidate must file a declaration of intent to seek certification as a Maine Clean Election Act candidate and to comply with the requirements of this chapter. The declaration of intent must be filed with the commission prior to or during the qualifying period, except as provided in subsection 11, according to forms and procedures developed by the commission. A participating candidate must submit a declaration of intent prior to collecting qualifying contributions under this chapter.

**2. Restrictions on contributions for participating candidates.** Subsequent to becoming a candidate as defined by section 1, subsection 5 and prior to certification, a participating candidate may not accept contributions, except for seed money contributions. A participating candidate must limit the candidate's seed money contributions to the following amounts:

A. Fifty thousand dollars for a gubernatorial candidate;

B. One thousand five hundred dollars for a candidate for the State Senate; or

C. Five hundred dollars for a candidate for the State House of Representatives.

The commission may, by rule, revise these amounts to ensure the effective implementation of this chapter.

**3. Qualifying contributions.** Participating candidates must obtain qualifying contributions during the qualifying period as follows:

- A. For a gubernatorial candidate, at least 2,500 verified registered voters of this State must support the candidacy by providing a qualifying contribution to that candidate;
- B. For a candidate for the State Senate, at least 150 verified registered voters from the candidate's electoral division must support the candidacy by providing a qualifying contribution to that candidate; or
- C. For a candidate for the State House of Representatives, at least 50 verified registered voters from the candidate's electoral division must support the candidacy by providing a qualifying contribution to that candidate.

A payment, gift or anything of value may not be given in exchange for a qualifying contribution.

**4. Filing with commission.** A participating candidate must submit qualifying contributions to the commission during the qualifying period according to procedures developed by the commission, except as provided under subsection 11.

**5. Certification of Maine Clean Election Act candidates.** Upon receipt of a final submittal of qualifying contributions by a participating candidate, the commission shall determine whether or not the candidate has:

- A. Signed and filed a declaration of intent to participate in this Act;
- B. Submitted the appropriate number of valid qualifying contributions;
- C. Qualified as a candidate by petition or other means;
- D. Not accepted contributions, except for seed money contributions, and otherwise complied with seed money restrictions; and
- E. Otherwise met the requirements for participation in this Act.

The commission shall certify a candidate complying with the requirements of this section as a Maine Clean Election Act candidate as soon as possible and no later than 3 days after final submittal of qualifying contributions.

Upon certification, a candidate must transfer to the fund any unspent seed money contributions. A certified candidate must comply with all requirements of this Act after certification and throughout the primary and general election periods. Failure to do so is a violation of this chapter.

**6. Restrictions on contributions and expenditures for certified candidates.** After certification, a candidate must limit the candidate's campaign expenditures and obligations, including outstanding obligations, to the revenues distributed to the candidate from the fund and may not accept any contributions unless specifically authorized by the commission. All revenues distributed to certified candidates from the fund must be used for campaign-related purposes. The commission shall publish guidelines outlining permissible campaign-related expenditures.

**7. Timing of fund distribution.** The commission shall distribute to certified candidates revenues from the fund in amounts determined under subsection 8 in the following manner.

A. Within 3 days after certification, for candidates certified prior to March 16th of the election year, revenues from the fund must be distributed as if the candidates are in an uncontested primary election.

B. Within 3 days after March 16th of the election year, for primary election certified candidates, revenues from the fund must be distributed according to whether the candidate is in a contested or uncontested primary election, reduced by any amounts previously distributed under paragraph A.

C. Within 3 days after the primary election, for general election certified candidates, revenues from the fund must be distributed according to whether the candidate is in a contested general election. Funds may not be distributed for uncontested general elections.

Funds may be distributed to certified candidates under this section by any mechanism that is expeditious, ensures accountability and safeguards the integrity of the fund.

**8. Amount of fund distribution.** By July 1, 1999 of the effective date of this Act, and at least every 4 years after that date, the commission shall determine the amount of funds to be distributed to participating candidates based on the type of election and office as follows.

A. For contested primary elections, the amount of revenues to be distributed is the average amount of campaign expenditures made by each candidate during all contested primary election races for the immediately preceding 2 primary elections as reported in

the initial filing period subsequent to the primary election for the respective offices of Governor, State Senate and State House of Representatives.

B. For uncontested primary elections, the amount of revenues distributed is the average amount of campaign expenditures made by each candidate during all uncontested primary election races, or for contested races if that amount is lower, for the immediately preceding 2 primary elections as reported in the initial filing period subsequent to the primary election for the respective offices of Governor, State Senate and State House of Representatives.

C. For contested general elections, the amount of revenues distributed is the average amount of campaign expenditures made by each candidate during all contested general election races for the immediately preceding 2 general elections as reported in the initial filing period subsequent to the general election for the respective offices of Governor, State Senate and State House of Representatives.

D. Revenues may not be distributed for uncontested general elections.

If the immediately preceding two election cycles do not contain sufficient electoral data, the commission shall use information from the most recent applicable elections. For only the initial computations under subsections A to C that are conducted by July 1, 1999, the commission shall reduce the amounts to be distributed by 25%.

**9. Matching funds.** When any campaign, finance or election report shows that the sum of a candidate's expenditures or obligations, or funds raised or borrowed, whichever is greater, alone or in conjunction with independent expenditures reported under section 1019, exceeds the distribution amount under subsection 8, the commission shall issue immediately to any opposing Maine Clean Election Act candidate an additional amount equivalent to the reported excess. Matching funds are limited to 2 times the amount originally distributed under subsection 8, paragraph A or C, whichever is applicable.

**10. Candidate not enrolled in a party.** An unenrolled candidate certified by March 16th preceding the primary election is eligible for revenues from the fund in the same amounts and at the same time as an uncontested primary election candidate and a general election candidate as specified in subsections 7 and 8. For an unenrolled candidate not certified by March 16th at 5:00 p.m. the deadline for filing qualifying contributions is 5:00 p.m. on June 2nd preceding the general election. An unenrolled candidate certified after March 16th at 5:00 p.m. is eligible for revenues from the fund in the same amounts as a general election candidate, as specified in subsections 7 and 8.

**11. Other procedures.** The commission shall establish by rule procedures for qualification, certification, disbursement of fund revenues and return of unspent fund revenues for races involving special elections, recounts, vacancies, withdrawals or replacement candidates.

**12. Reporting; unspent revenue.** Notwithstanding any other provision of law, participating and certified candidates shall report any money collected, all campaign expenditures, obligations and related activities to the commission according to procedures developed by the commission. Upon the filing of a final report for any primary election in which the candidate was defeated and for all general elections that candidate shall return all unspent fund revenues to the commission. In developing these procedures, the commission shall utilize existing campaign reporting procedures whenever practicable. The commission shall ensure timely public access to campaign finance data and may utilize electronic means of reporting and storing information.

**13. Distributions not to exceed amount in fund.** The commission may not distribute revenues to certified candidates in excess of the total amount of money deposited in the fund as set forth in section 1124. Notwithstanding any other provisions of this chapter, if the commission determines that the revenues in the fund are insufficient to meet distributions under subsections 8 or 9, the commission may permit certified candidates to accept and spend contributions, reduced by any seed money contributions, aggregating no more than \$500 per donor per election for gubernatorial candidates and \$250 per donor per election for State Senate and State House candidates, up to the applicable amounts set forth in subsections 8 and 9 according to rules adopted by the commission.

**14. Appeals.** A candidate who has been denied certification as a Maine Clean Election Act candidate or the opponent of a candidate who has been granted certification as a Maine Clean Election Act candidate may challenge a certification decision by the commission as follows.

A. A challenger may appeal to the full commission within 3 days of the certification decision. The appeal must be in writing and must set forth the reasons for the appeal.

B. Within 5 days after an appeal is properly made and after notice is given to the challenger and any opponent, the commission shall hold a hearing. The appellant has the burden of providing evidence to demonstrate that the commission decision was improper. The commission must rule on the appeal within 3 days after the completion of the hearing.

C. A challenger may appeal the decision of the commission in paragraph B by commencing an action in Superior Court according to the procedure set forth in section 356, subsection 2, paragraphs D and E.

D. A candidate whose certification by the commission as a Maine Clean Election Act candidate is revoked on appeal must return to the commission any unspent revenues distributed from the fund. If the commission or court find that an appeal was made frivolously or to cause delay or hardship, the commission or court may require the moving party to pay costs of the commission, court and opposing parties, if any.

### **21A § 1126. Commission to adopt rules**

The commission shall adopt rules to ensure effective administration of this chapter. These rules must include but must not be limited to procedures for obtaining qualifying contributions, certification as a Maine Clean Election Act candidate, circumstances involving special elections, vacancies, recounts, withdrawals or replacements, collection of revenues for the fund, distribution of fund revenue to certified candidates, return of unspent fund disbursements and compliance with the Maine Clean Election Act.

### **21A § 1127. Violations**

**1. Civil penalty.** In addition to any other penalties that may be applicable, a person who violates any provision of this chapter is subject to a civil penalty not to exceed \$10,000 per violation payable to the fund. This penalty is recoverable in a civil action. In addition to any fine, for good cause shown, a candidate found in violation of this chapter may be required to return to the fund all amounts distributed to the candidate from the fund. If the commission makes a determination that a violation of this chapter has occurred, the commission shall assess a fine or transmit the finding to the Attorney General for prosecution. Fines paid under this section must be deposited in the fund. In determining whether or not a candidate is in violation of the expenditure limits of this chapter, the commission may consider as a mitigating factor any circumstances out of the candidate's control.

**2. Class E crime.** A person who willfully or knowingly violates this chapter or rules of the commission or who willfully or knowingly makes a false statement in any report required by this chapter commits a Class E crime and, if certified as a Maine Clean Election Act candidate, must return to the fund all amounts distributed to the candidate.



Study Report - August 8, 2001

**21A § 1128. Study report**

By January 30, 2002 and every four years after that date, the commission shall prepare for the joint standing committee of the Legislature having jurisdiction over legal affairs a report documenting, evaluating and making recommendations relating to the administration, implementation and enforcement of the Maine Clean Election Act and Maine Clean Election Fund.

## 94-270 COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

## Chapter 3: MAINE CLEAN ELECTION ACT AND RELATED PROVISIONS

## SECTION 1. DEFINITIONS

As used in this chapter, unless the context otherwise indicates, the following terms have the following meanings.

1. Act. "Act" means the Maine Clean Election Act, Title 21-A, chapter 14.
2. Campaign Deficit. "Campaign deficit" means debts, liabilities, and unmet financial obligations from all previous campaigns as reported to the Commission on campaign termination report forms required by Title 21-A, chapter 13, subchapter II [§ 1017(9)].
3. Campaign Surplus. "Campaign surplus" means money, equipment, property and other items of value remaining after retiring previous campaign deficit as reported to the Commission on campaign termination report forms required by Title 21-A, chapter 13, subchapter II [§ 1017(9)].
4. Candidate. "Candidate" has the same meaning as in Title 21-A, chapter 1, subchapter I [§ 1(5)].

INFORMATIONAL NOTE: All contributions made after the day of the general election to a candidate who has liquidated all debts and liabilities associated with that election are deemed to be made in support of the candidate's candidacy for a subsequent election. Commission Rules, chapter 1, subdivision 3.2.A(5)(e). A candidate who collects funds subsequent to an election for purposes other than retiring campaign debt is required to register with the Commission. Title 21-A, chapter 13, subchapter II [§ 1013-A].

5. Certified Candidate. "Certified candidate" has the same meaning as in the Act [§ 1122(1)].
6. Commission. "Commission" means the Commission on Governmental Ethics and Election Practices established by Title 5, section 12004-G, subsection 33, and 1 M.R.S.A. section 1001 et seq.
7. Contribution. "Contribution" has the same meaning as in Title 21-A, chapter 13, subchapter II [§ 1012.2].
8. Election. "Election" means any primary, general or special election for Governor, State Senator or State Representative.

9. Expenditure. "Expenditure" has the same meaning as in Title 21-A, chapter 13, subchapter II [§ 1012(3)].
10. Fund. "Fund" means the Maine Clean Election Fund established by the Act [§ 1124].
11. Nonparticipating Candidate. "Nonparticipating candidate" has the same meaning as in the Act [§ 1122(5)].
12. Participating Candidate. "Participating candidate" has the same meaning as in the Act [§ 1122(6)].
13. Qualifying Contribution. "Qualifying Contribution" has the same meaning as in the Act [§ 1122(7)].
14. Qualifying Period. "Qualifying period" has the same meaning as in the Act, except that for special elections, vacancies, withdrawals, deaths, disqualifications or replacements of candidates, the qualifying period shall be the period designated in section 8 of this chapter [§ 1122(8)].
15. Seed Money Contribution. "Seed money contribution" has the same meaning as in the Act [§ 1122(9)].

## SECTION 2. APPLICABILITY

This chapter applies to candidates running for Governor, State Senator and State Representative who choose the alternative campaign financing option established by the Maine Clean Election Act for elections to be held beginning in the year 2000. Candidates participating in the Maine Clean Election Act must comply with these rules and all other applicable election and campaign laws and regulations.

## SECTION 3. PROCEDURES FOR PARTICIPATION

1. Declaration of Intent. A participating candidate must file a Declaration of Intent before collecting qualifying contributions. The Commission will prepare and provide a form for this purpose.
2. Content. The Declaration of Intent must be sworn and notarized and must include the following information:
  - A. an affirmation that the candidate is seeking certification as a Maine Clean Election Act candidate;

- B. an affirmation that the candidate has not collected any qualifying contributions before signing the Declaration of Intent;
  - C. an affirmation that the candidate has not accepted any contributions, except for seed money contributions, after becoming a candidate;
  - D. an affirmation that the candidate has disposed of any campaign surplus before becoming a candidate for the new election, as required by paragraph 3.C [Campaign Surplus] of this section;
  - E. an affirmation that if the candidate has any campaign deficit, that the candidate will not accept contributions to repay that deficit as a participating candidate or certified candidate, except that the candidate may forgive any campaign loans to himself or herself made during any previous campaigns;
  - F. an affirmation that the candidate will continue to comply with applicable seed money restrictions and other requirements of the Act including, but not limited to, procedures for collecting qualifying contributions;
  - G. information identifying the candidate's treasurer, political committee, campaign finance account, social security number, and/or federal tax identification number; and
  - H. authorization by the candidate for the Commission, its agents or representatives to conduct financial audits of the candidate's campaign financial records and account(s).
3. Seed Money Restrictions.
- A. General. After becoming a candidate and before certification, a participating candidate may collect and spend only seed money contributions.
  - B. Total Amount.
    - (1) A participating candidate must limit the candidate's total seed money contributions to the following amounts:
      - (a) fifty thousand dollars for a gubernatorial candidate;
      - (b) one thousand five hundred dollars for a candidate for the State Senate; or

- (c) five hundred dollars for a candidate for the State House of Representatives.
  - (2) Notwithstanding any other provision of this chapter, a candidate may carry forward to a new candidacy of that candidate campaign equipment or property, subject to the reporting requirements of Title 21-A, chapter 13 [Campaign Reports and Finances].
  - (3) The Commission periodically will review these limitations and, through rulemaking, revise these amounts to ensure effective implementation of the Act.
- C. Campaign surplus. A candidate who has carried forward campaign surplus according to Title 21-A, chapter 13, subchapter II [§ 1017(8) and §1017(9)], and who intends to become a participating candidate, must dispose of campaign surplus in accordance with the requirements of Title 21-A, chapter 13, subchapter II [§ 1017(8)]; provided, however, that a candidate may carry forward only those portions of campaign surplus that comply with the provisions of this Act regarding seed money contributions [§ 1122(9) and 1125(2)]. Any campaign surplus (excluding campaign equipment or property) carried forward under this provision will be counted toward that candidate's total seed money limit.

INFORMATIONAL NOTE: The Commission will provide educational materials to all former candidates who have a campaign surplus describing the requirement that individuals must dispose of campaign surplus to remain eligible for participation as a Maine Clean Election Act candidate.

- D. Return of Contributions Not in Compliance with Seed Money Restrictions. A participating candidate who receives a contribution exceeding the seed money per donor restriction or the total amount restriction must immediately return the contribution and may not cash, deposit, or otherwise use the contribution.
- E. Case-by-Case Exception. A participating candidate who has accepted contributions that do not comply with seed money restrictions may petition the Commission to remain eligible for certification as a Maine Clean Election Act candidate. The Commission may approve the petition and restore a candidate's eligibility for certification if the candidate successfully establishes all of the following criteria:

- (1) the failure to comply was the result of an unintentional administrative or accounting error;
- (2) the candidate immediately returned all contributions that did not comply with seed money restrictions;
- (3) the candidate petitioned the Commission promptly upon becoming aware of the unintentional administrative or accounting error; and
- (4) the failure to comply did not involve expenditures by the participating candidate in excess of seed money total amount restrictions or otherwise constitute systematic or significant infractions of seed money restrictions.

F. Other. A seed money contributor may also make a qualifying contribution to the same participating candidate provided that the contributor otherwise meets the requirements for making a qualifying contribution.

#### 4. Qualifying Contributions.

- A. General. A participating candidate may collect qualifying contributions only during the relevant qualifying period and only after filing a Declaration of Intent with the Commission. Qualifying contributions must be acknowledged using forms prepared and provided by the Commission. The forms will include an affirmation by the contributor that the contributor received nothing of value in exchange for the signature and contribution.
- B. Required Number of Qualifying Contributions. A participating candidate must obtain the number of qualifying contributions during the qualifying period as required by the Act [§ 1122(7); § 1122(8); § 1125(3)].
- C. Exchanges For Qualifying Contributions Prohibited.
  - (1) A participating candidate or an agent of that candidate may not give or offer to give a payment, gift, or anything of value in exchange for a qualifying contribution.
  - (2) This provision does not prohibit a participating candidate or that candidate's agent from collecting qualifying contributions at events where food or beverages are served, or where campaign promotional materials are distributed, provided that the food, beverage, and campaign materials

are offered to all persons attending the event regardless of whether or not particular persons make a qualifying contribution to the participating candidate.

- D. Verification of Registered Voters.
- (1) Before submitting qualifying contributions to the Commission, a participating candidate must establish that contributors who made qualifying contributions to that candidate are registered voters.
  - (2) A participating candidate must obtain written verification from the Registrar of the number of persons providing qualifying contributions who are registered voters within the electoral division for the office the candidate is seeking.
  - (3) Upon request of a participating candidate, and within 10 business days after the date of the request, the Registrar must verify the names of contributors of qualifying contributions who are registered voters within the electoral division for the office the candidate is seeking.
- E. Timing of Verification. For purposes of this chapter, the Commission will deem verification of registered voters by the Registrar at any time during the qualifying period to be an accurate verification of voter registration even if the registration status of a particular voter may have changed at the time the Commission determines certification of the participating candidate.
- F. Submission of Verified Qualifying Contributions. A participating candidate may submit a completed request for certification to the Commission at any time during the qualifying period. The request will be deemed complete only if it is accompanied by a list of contributors of qualifying contributions that has been verified by the Registrar of the electoral division for the office the candidate is seeking or by a statement of the candidate that such a list of contributors has been submitted to the Registrar for verification and the verified list will be received by the Commission within 10 business days thereafter.

#### SECTION 4. CERTIFICATION OF PARTICIPATING CANDIDATES

1. Request for Certification.
  - A. After final submission of qualifying contributions, but not later than 5:00 p.m. on the last day of the relevant qualifying period, a

participating candidate may request certification as a Maine Clean Election Act candidate on forms prepared and provided by the Commission.

- B. The request for certification must contain the candidate's affirmation that the candidate will comply with all requirements of the Act and the Commission's rules, and the candidate's acknowledgment that, as long as that person remains a candidate, he or she may not discontinue participation under the Maine Clean Election Act alternative campaign financing option without violating the Act [§ 1127] and becoming obligated to return all amounts distributed to the candidate from the Fund.
  - C. All participating candidates must submit qualifying contributions in alphabetical order to the Commission along with qualifying contribution forms and an alphabetical list of contributors of qualifying contributions when applying for certification as a Maine Clean Election Act candidate.
  - D. The Commission will review candidate applications for certification in the order in which they are received.
2. Reporting. Together with the request for certification, a participating candidate must report all seed money contributions received, any other contributions received, and expenditures and obligations made after becoming a candidate.
  3. Unspent Seed Money. Together with the request for certification, a participating candidate must report any unspent seed money. In order to distribute funds expeditiously, the Commission will deduct from the initial distribution from the Fund to a certified candidate an amount equal to the amount of unspent seed money reported by that candidate.
  4. Certification. The Commission will certify a candidate as a Maine Clean Election Act candidate upon the participating candidate's satisfaction of the requirements of the Act [§ 1125] and this chapter.
  5. Appeals. Any appeals challenging a certification decision by the Commission must be in accordance with the Act [§ 1125(14)].
  6. Limitations on Campaign Expenses. A certified candidate must:
    - A. limit the candidate's campaign expenditures and obligations to the applicable Clean Election Act Fund distribution amounts plus any authorized Matching Fund allocations;



- B. not accept any contributions unless specifically authorized in writing to do so by the Commission in accordance with the Act [§ 1125(2) and § 1125(13)];
- C. use revenues distributed from the Fund only for campaign-related purposes according to guidelines outlining permissible campaign-related expenditures published by the Commission; and
- D. not use revenues distributed from the Fund for personal use.

## SECTION 5. FUND ADMINISTRATION

1. **Coordination with State Agencies.** The Commission will coordinate with the Bureau of Accounts and Control and other relevant State agencies to ensure the use of timely and accurate information regarding the status of the Fund.
2. **Publication of Fund Revenue Estimates.** By September 1st preceding each election year, the Commission will publish an estimate of revenue in the Fund available for distribution to certified candidates during the upcoming year's election. The Commission will update the estimate of available revenue in the Fund after March 16th of an election year and again within 30 days after the primary election in an election year.
3. **Computation of Disbursement Amounts.** By July 1, 1999, and at least every 4 years after that date, the Commission will determine the amount of revenue to be distributed to certified candidates based on the type of election and office in accordance with the Act [§ 1125(8)].
4. **Distributions Not to Exceed Amount in Fund.** If the Commission determines that the revenues in the Fund are insufficient to meet distributions under this chapter, the Commission will permit certified candidates to accept and spend contributions in accordance with the Act [§ 1125(13)]. The Commission will notify participating and certified candidates in writing of any projected shortfall in the Fund and will specify timelines and procedures for compliance with this chapter in the event of any such shortfall.

## SECTION 6. DISTRIBUTION OF FUNDS TO CERTIFIED CANDIDATES

1. **Fund Distribution.**
  - A. **Establishment of Account.** Upon the certification of a participating candidate, the Commission will establish an account with the

Bureau of Accounts and Control, or such other State agency as appropriate, for that certified candidate. The account will contain sufficient information to enable the distribution of revenues from the Fund to certified candidates by the most expeditious means practicable that ensures accountability and safeguards the integrity of the Fund.

- B. Manner of Distribution of Fund. The Commission will authorize distribution of revenues from the Fund to certified candidates by the most expeditious means practicable that ensures accountability and safeguards the integrity of the Fund. Such means may include, but are not limited to:
- (1) checks payable to the certified candidate or the certified candidate's political committee; or
  - (2) electronic fund transfers to the certified candidate's or the certified candidate's political committee's campaign finance account.

## 2. Timing of Fund Distributions.

- A. Distribution of Applicable Amounts. The Commission will authorize the initial distribution of applicable amounts from the Fund to certified candidates in accordance with the time schedule specified in the Act [§ 1125(7)] and this chapter [sec. 3.4].

INFORMATIONAL NOTE: An initial distribution from the Fund will not be made to a candidate until the Commission has certified that candidate in accordance with the provisions of the Act and this Chapter. The initial distribution may be delayed if a candidate submits a list of qualifying contributors to the Registrar for verification during the last 10 business days of the qualifying period.

- B. Matching Fund Allocations. At any time after certification, revenues from the Fund may be distributed to certified candidates in accordance with subsection 3, below.
- C. Advances.
- (1) To facilitate administration of the Matching Fund Provision of this chapter, and to encourage participation in the Act, the Commission may authorize the advance distribution of revenues from the Fund to certified candidates. In determining whether to authorize such advances and the

amounts of any such advances, the Commission will consider the amount of revenue in the Fund, the number of certified candidates, the number of nonparticipating candidates, and information contained in campaign finance and independent expenditure reports.

- (2) A certified candidate may only draw upon, spend or otherwise use, such advance Fund distributions after receiving written notification from the Commission authorizing a Matching Fund allocation in a specified amount. Written notification by the Commission may be by letter, facsimile or electronic means.

3. Matching Fund Provision.

- A. General. The Commission will authorize immediately an allocation of matching funds to certified candidates in accordance with the Act when the Commission determines that the eligibility for receipt of matching funds has been triggered [§ 1125(9)].
- B. Computation and Distribution. The Commission will determine a certified candidate's allocation of matching funds, if any, in the following manner:
- (1) The Commission first will add --
- (a) the sum of an opposing candidate's expenditures or obligations, or funds raised or borrowed, whichever is greater; and
  - (b) the sum of the independent expenditures made expressly advocating the defeat of the certified candidate or the election of the same opposing candidate.
- (2) The Commission then will subtract --
- (a) the sum of the independent expenditures made expressly advocating the defeat of the same opposing candidate; and
  - (b) the sum of the independent expenditures made expressly advocating the election of the certified candidate; and

- (c) the sum of any matching funds already provided to the certified candidate.
- (3) If the final computed amount is greater than the applicable distribution amount for the certified candidate, then the Commission will immediately authorize the distribution of a Matching Fund allocation to the certified candidate equal to that excess.
  - (4) The Commission will make computations promptly upon the filing of campaign finance reports and independent expenditure reports.
  - (5) To prevent the abuse of the Matching Fund Provision, the Commission will not base any calculation on independent expenditures which, although containing words of express advocacy, also contain other words or phrases which have no other reasonable meaning than to contradict the express advocacy. For example, expenses related to a communication saying, "Vote for John Doe -- he's incompetent and inexperienced," will not be considered in the calculation of matching funds.
- C. Matching Fund Cap. Matching funds are limited to 2 times the amount originally distributed to a certified candidate from the Fund for that election. Certified candidates are not entitled to cumulative matching funds for multiple opponents.
- D. Other. Any distribution based on reports and accurate calculations at the time of distribution is final, notwithstanding information contained in subsequent reports.
- E. Coordination with Other State Agencies. The Commission will coordinate with the Bureau of Accounts and Control and other relevant State agencies to implement a mechanism for the distribution of Fund revenues to certified candidates that is expeditious, ensures public accountability, and safeguards the integrity of the Fund.

## SECTION 7. RECORDKEEPING AND REPORTING

1. Recordkeeping by Participating and Certified Candidates. Participating and certified candidates must comply with applicable recordkeeping requirements set forth in Title 21-A, chapter 13, subchapter II [§ 1016].

2. Reporting by Participating and Certified Candidates.
  - A. General. Participating and certified candidates must comply with applicable reporting requirements set forth in Title 21-A, chapter 13, subchapter II [§ 1017].
  - B. Return of Unspent Fund Revenue. Upon the filing of a final report for any primary election in which a certified candidate was defeated and for all general elections, a certified candidate must return all unspent Fund revenues and any Matching Fund advance revenues to the Commission by check or money order payable to the Fund within 2 weeks of the date of such final report or general election.

## SECTION 8. RECOUNTS, VACANCIES, SPECIAL ELECTIONS

1. Recounts. After a primary election, if there is a recount governed by Title 21- A, chapter 9, subchapter III, article III [§ 737-A], and either the leading candidate or the 2nd-place candidate is a certified candidate, the following provisions will apply:
  - A. If the margin between the leading candidate and the 2nd-place candidate is less than 1% of the total number of votes cast in that race and a recount is presumed necessary, the certified candidate immediately must halt the expenditure of revenues disbursed to the candidate from the Fund upon receiving notice of the recount until the recount is complete.
  - B. If the recount results in a changed winner, the certified candidate who originally received the disbursement must return any unspent distributions from the Fund to the Commission, payable to the Fund. If the new winner is a certified candidate, the Commission will distribute the applicable disbursement amount to the candidate.
  - C. If the margin between the leading candidate and 2nd-place candidate is 1% or greater of the total number of votes cast in that race and the 2nd-place candidate requests a recount, the leading candidate, if a certified candidate, is not required to freeze expenditures of the disbursement.
  - D. If the recount results in a changed winner, the certified candidate must return any unspent distributions from the Fund to the Commission, payable to the Fund. If the new winner is a certified candidate, the Commission will distribute the applicable disbursement amount to the candidate.

2. Death, Withdrawal, or Disqualification of a Candidate During Campaign.
  - A. Death, Withdrawal, or Disqualification Before Primary Election. If a candidate dies, withdraws, or is disqualified before the primary election, the Commission will establish a qualifying period during which any replacement candidate may become a participating candidate, collect qualifying contributions, and apply to become a certified candidate.
  - B. Death, Withdrawal, or Disqualification After the Primary Election and before 5:00 p.m. on the 2nd Monday in July Preceding the General Election. If a candidate dies, withdraws, or is disqualified before 5:00 p.m. on the 2nd Monday in July preceding the general election, any replacement candidate will have a qualifying period of 30 days from the 4th Monday in July as a participating candidate to collect qualifying contributions and request certification.
  - C. Death, Withdrawal, or Disqualification after 5:00 p.m. on the 2nd Monday in July Preceding the General Election. If a candidate dies, withdraws, or is disqualified after 5:00 p.m. on the 2nd Monday in July preceding the general election, the Commission will establish a qualifying period during which any replacement candidate may become a participating candidate, collect qualifying contributions, and apply to become a certified candidate.
  - D. Replacement Candidates Who Are Participating Candidates. Any replacement candidate choosing to become a participating candidate must otherwise comply with the requirements of this chapter and the Act including, but not limited to, seed money limits and qualifying contribution requirements. The Commission will notify any replacement candidates of the opportunity to participate in the Act and the procedures for compliance with this chapter during a special election.
3. Special Election When One or More Candidates Desire to Become Certified Candidates. If a vacancy occurs in the office of Governor, Senator, or Representative because an incumbent dies, resigns, becomes disqualified, or changes residence to another electoral division, and a special election will be held to fill the vacant office, the following provisions apply:
  - A. The Commission, in consultation with the Secretary of State, will establish a qualifying period during which any candidate in a special election may decide to become a participating candidate, collect qualifying contributions, and apply to become a certified candidate; and

- B. Any candidate in a special election must otherwise comply with the requirements of this chapter and the Act including, but not limited to, seed money limits and qualifying contribution requirements. The Commission will notify any candidates of the opportunity to participate in the Act and the procedures for compliance with this chapter during a special election.
- 
- 4. Return of Unspent Fund Revenues. Any time a certified candidate withdraws, is disqualified, or dies before an election, the candidate or the candidate's agent must return to the Commission all unspent amounts distributed to the candidate by check or money order payable to the fund, within 2 weeks of the termination of the candidacy.

STATUTORY AUTHORITY: 21-A M.R.S.A. chapter 14.

EFFECTIVE DATE: November 1, 1998

The following Chapter 1 information has been extracted from  
the Commission Regulations.



## SECTION 7. ACCELERATED REPORTING SCHEDULE

1. General. In addition to other reports required by law, any candidate for Governor, State Senator or State Representative who is not certified as a Maine Clean Election Act candidate under Title 21-A, section 1121 et seq., and who has a certified candidate as an opponent in an election must comply with the following reporting requirements on forms prescribed, prepared, and provided by the Commission.

INFORMATIONAL NOTE: Title 21-A, section 1017 prescribes reporting requirements for candidates.

2. 101% Report. Any candidate subject to this section, who receives, spends or obligates more than 1% in excess of the primary or general election distribution amounts for a Maine Clean Election Act candidate opponent in the same race, must file with the Commission, within 48 hours of such receipt, expenditure, or obligation, a report detailing the candidate's total campaign contributions, receipts, expenditures and obligations to date. The Commission will notify all candidates who have an opposing certified candidate of the applicable distribution amounts and of the 101% Report requirement.
3. 21-Day Report. Any candidate who has filed a 101% report must file an updated report with the Commission not later than 5 p.m. on the 21st day before the date on which an election is held. The report must be complete as of the 23rd day before the date of that election.
4. 12-Day Report. Any candidate who has filed a 101% report must file an updated report with the Commission not later than 5 p.m. on the 12th day before the date on which an election is held. The report must be complete as of the 14th day before the date of that election.
5. 48- Hour Report. Any candidate who has filed a 101% report must file an updated report with the Commission reporting single expenditures of \$1,000 or more by candidates for Governor, \$750 by candidates for State Senator, and \$500 by candidates for State Representative made after the 12th day before any election and more than 48 hours before 5 p.m. on the date of that election. The report must be submitted to the Commission within 48 hours of those expenditures, or by noon of the first business day after the expenditure, whichever is later.
6. Filing by Facsimile or Electronic Means. For purposes of this section, reports may be filed by facsimile or by other electronic means acceptable to the Commission, and such reports will be deemed filed when received by the Commission provided that the original of the same report is received by the Commission within 5 calendar days thereafter.

## SECTION 8. REPORTS OF INDEPENDENT EXPENDITURES

1. General. Any person, party committee, political committee or political action committee that makes an independent expenditure aggregating in excess of \$50 in an election must file a report with the Commission according to this section.
2. Definitions. For purposes of this section, the following phrases are defined as follows:
  - A. "Clearly identified," with respect to a candidate, has the same meaning as in Title 21-A, chapter 13, subchapter II.
  - B. "Expressly advocate" means any communication that uses phrases such as "vote for the Governor," "reelect your Representative," "support the Democratic nominee," "cast your ballot for the Republican challenger for Senate District 1," "Jones for House of Representatives," "Jean Smith in 2002," "vote Pro-Life" or "vote Pro-Choice" accompanied by a listing of clearly identified candidates described as Pro-Life or Pro-Choice, "vote against Old Woody," "defeat" accompanied by a picture of one or more candidate(s), "reject the incumbent," or communications of campaign slogan(s) or individual word(s), which in context can have no other reasonable meaning than to urge the election or defeat of one or more clearly identified candidate(s), such as posters, bumper stickers, advertisements, etc. which say "Pick Berry," "Harris in 2000," "Murphy/Stevens" or "Canavan!"
  - C. "Independent expenditure" has the same meaning as in Title 21-A, section 1019. Any expenditure made by any person in cooperation, consultation or concert with, or at the request or suggestion of, a candidate, a candidate's political committee or their agents is considered to be a contribution to that candidate and is not an independent expenditure.
3. Reporting Schedules. Independent expenditures must be reported to the Commission in accordance with the following provisions:
  - A. Independent expenditures aggregating in excess of \$50 but not in excess of \$250 made by any person, party committee, political committee or political action committee must be reported to the Commission in accordance with the reporting schedules for candidates and party committees as provided in Title 21-A M.R.S.A. chapter 13, subchapter II [ §§ 1017-1019], and for political action committees as provided in Title 21-A M.R.S.A. chapter 13, subchapter IV [ § 1059].

- B. Independent expenditures aggregating in excess of \$250 made by any person, party committee, political committee or political action committee must be reported to the Commission within 48 hours of those expenditures. Additional reports are required for subsequent increments of independent expenditures aggregating in excess of \$250 within 48 hours of those expenditures.
- C. Reports must contain information as required by Title 21-A, chapter 13, subchapter II (§§ 1016-1017-A), and must clearly identify the candidate and indicate whether the expenditure was made in support of or in opposition to the candidate.

STATUTORY AUTHORITY:

1 M.R.S.A. § 1002, as amended; 1 M.R.S.A. § 1003; 21-A M.R.S.A. § 1017, subsection 3-B; 21-A M.R.S.A. § 1019, as amended; 21-A M.R.S.A. § 1125, sub-§ 9; 21-A M.R.S.A. § 1126.

EFFECTIVE DATE: April 29, 1987

AMENDED: December 28, 1991  
December 14, 1994

EFFECTIVE DATE (ELECTRONIC CONVERSION): October 30, 1996

REPEALED AND REPLACED: November 1, 1998; also converted to MS Word 2.0 format.

Ethics Commission  
 Number of Requests for Certification as a MCEA Candidate  
 Election Year 2000

	Number Received		Percentage of Total	
	Total	Last 4 Days	Total	Last 4 Days
Jan	1		0.9%	
Feb	9		7.8%	
03/02/00	1		0.9%	
03/06/00	1		0.9%	
03/07/00	1		0.9%	
03/09/00	3		2.6%	
03/10/00	7		6.1%	
03/13/00	13	13	11.3%	11.3%
03/14/00	25	25	21.7%	21.7%
03/15/00	34	34	29.6%	29.6%
03/16/00	20	20	17.4%	17.4%
	<u>115</u>	<u>92</u>	<u>100.0%</u>	<u>80.0%</u>

## PRIMARY ELECTION MAINE CLEAN ELECTION ACT PARTICIPATION STATISTICS

### General Participation:

31% (115/374) of SOS-certified candidates are MCEA

27% (80/300) of House candidates are MCEA

47% (35/74) of Senate candidates are MCEA

63% (72/115) of MCEA candidates are Democrats

34% (39/115) of MCEA candidates are Republicans

3% (4/115) of MCEA candidates are Green Independents

67% (54/80) of House MCEA candidates are Democrats

29% (23/80) of House MCEA candidates are Republicans

4% (3/80) of House MCEA candidates are Green Independents

51% (18/35) of Senate MCEA candidates are Democrats

46% (16/35) of Senate MCEA candidates are Republicans

3% (1/35) of Senate MCEA candidates are Green Independents

I haven't drawn any conclusions about any of this, except that it looks like the Senate as a body is more supportive of the MCEA as an optional financing mechanism than is the House, Democrats as a group are participating at greater levels than are Republicans, and new candidates are more likely to participate than incumbents.

### Incumbent Participation:

37% (140/374) of all candidates are incumbents

40% (119/300) of House candidates are incumbents

28% (21/74) of Senate candidate are incumbents

32% (37/115) of MCEA candidates are incumbents

38% (27/72) of Democrat MCEA candidates are incumbents

26% (10/39) of Republican MCEA candidates are incumbents

0% (0/4) of Green Independent MCEA candidates are incumbents

33% (26/80) of House MCEA candidates are incumbents

31% (11/35) of Senate MCEA candidates are incumbents

39% (21/54) of House Democrat MCEA candidates are incumbents

22% (5/23) of House Republican MCEA candidates are incumbents

0% (0/3) of House Green Independent MCEA candidates are incumbents

33% (6/18) of Senate Democrat MCEA candidates are incumbents

31% (5/16) of Senate Republican MCEA candidates are incumbents

0% (0/1) of Senate Green Independent MCEA candidates are incumbents

**MAINE CLEAN ELECTION ACT**  
**GENERAL ELECTION PARTICIPATION STATISTICS**  
(September 25, 2000)

33% (116/351) of candidates qualified by the Secretary of State are MCEA certified

44% (82/186) of all Legislative districts have at least 1 MCEA candidate

69% (24/35) of all Senate districts have at least 1 MCEA candidate

38% (58/151) of all House districts have at least 1 MCEA candidate

48% (35/73) of Senate candidates are MCEA certified

29% (81/278) of House candidates are MCEA certified

66% (76/116) of MCEA candidates are Democrats

30% (35/116) of MCEA candidates are Republicans

2% (2/116) of MCEA candidates are Green Independents

3% (3/116) of MCEA candidates are Unenrolled

54% (19/35) of Senate MCEA candidates are Democrats

46% (16/35) of Senate MCEA candidates are Republicans

0% (0/35) of Senate MCEA candidates are Green Independents

0% (0/35) of Senate MCEA candidates are Unenrolled

70% (57/81) of House MCEA candidates are Democrats

23% (19/81) of House MCEA candidates are Republicans

2% (2/81) of House MCEA candidates are Green Independents

4% (3/81) of House MCEA candidates are Unenrolled

**GENERAL ELECTION RESULTS**

(December 12, 2000)

53% (62/116) of all MCEA candidates were elected (6 were uncontested)

56% (62/110) of contested MCEA candidates were elected

49% (17/35) of Senate MCEA candidates were elected

56% (45/81) of House MCEA candidates were elected

49% (17/35) of Senators in 120th Legislature were MCEA candidates

30% (45/151) of Representatives in 120th Legislature were MCEA candidates

\$874,323 in net revenues were distributed from the MCEFund to all MCEA candidates

\$6,525 = Average expenditures per MCEA candidate (Senate and House) (average House and Senate candidate expenditures to be determined)

Maine Clean Election Reconciliation

Maine Clean Election Fund  
 FY 1999  
 July 1, 1998 - June 30, 1999

	Revenues	Expenditures	Balance
Beginning Cash Bal 7/1/98			\$0.00
Legislative Appro - 1/1999	\$2,000,000.00		\$2,000,000.00
98 Tax Checkoff-6/1999	\$276,990.00		\$2,276,990.00
Penalties	\$5,589.14		\$2,282,579.14
Misc Admin charges		\$92.31	\$2,282,486.83
Cash Balance 6/30/99			<u>\$2,282,486.83</u>
Totals	\$2,282,579.14	\$92.31	

Maine Clean Election Fund  
 FY 2000  
 July 1, 1999 - June 30, 2000

	Revenues	Expenditures	Balance
Beginning Cash Bal 7/1/99			\$2,282,486.83
97 Tax Checkoff 11/99	\$255,888.00		\$4,282,486.83
Legislative Appro 1/2000	\$2,000,000.00		\$4,549,393.83
99 Tax Checkoff 6/00	\$266,907.00		\$4,805,281.83
Penalties	\$11,223.06		\$4,816,504.89
Interest - 1/99 - 6/00	\$262,942.28		\$5,079,447.17
Qualifing Contributions	\$56,212.50		\$5,135,659.67
Seed Money	\$2,523.08		\$5,138,182.75
Adj to balance forward- (Corrections MFASIS Data Entry)	\$723.02		\$5,138,905.77
Misc Admin charges		\$12,739.24	\$5,126,166.53
Sta Cap		\$32,027.51	\$5,094,139.02
Payroll-Staff		\$66,314.25	\$5,027,824.77
MCEF Payout to Candidates		\$750,693.00	\$4,277,131.77
Cash Balance 6/30/00			<u>\$4,277,131.77</u>
Totals	\$2,856,418.94	\$861,774.00	

Maine Clean Election Fund  
 FY 2001  
 July 1, 2000- June 30, 2001

	Revenues	Expenditures	Balance
Beginning Cash Bal 7/1/2000			\$4,277,131.77
Legislative Appro 1/01	\$2,000,000.00		\$6,277,131.77
Penalties	\$6,493.89		\$6,283,625.66
Interest 7/00-4/01	\$255,341.85		\$6,538,967.51
Qualifing Contributions	\$5,810.00		\$6,544,777.51
Seed Money	\$326.25		\$6,545,103.76
Misc Admin Charges		\$5,035.38	\$6,540,068.38
Sta Cap		\$35,375.92	\$6,504,692.46
Payroll-Staff		\$103,036.99	\$6,401,655.47
MCEF Payout to Candidates		\$558,154.87	\$5,843,500.60
Candidate Payback to MCEF		(\$444,704.08)	\$6,288,204.68
Net Candidate Payout - \$113,450.79			
Aging of Accts Rec. (protested checks)	(\$267.50)		\$6,287,937.18
MFASIS Cash Balance - 6/18/01			<u>\$6,287,937.18</u>
Totals	\$2,267,704.49	\$256,899.08	

# 2000 ELECTION CANDIDATES

## Representative

<b>District</b>	<b>1</b>			
Sousa	Mark	Republican	Non-participating	
Estes	Stephen	Democrat	MCEA	
<b>District</b>	<b>2</b>			
Andrews	Mary Black	Republican	Non-participating	
Lawton	Charles	Democrat	Non-participating	
<b>District</b>	<b>3</b>			
Wheeler	Gary	Democrat	Non-participating	
<b>District</b>	<b>4</b>			
MacDougall	Jay	Republican	Non-participating	
Abbott	Barry	Democrat	Non-participating	
<b>District</b>	<b>5</b>			
Murphy	Eleanor	Republican	Non-participating	
Wright	Thomas	Democrat	Non-participating	
<b>District</b>	<b>6</b>			
Chick	Howard	Republican	Non-participating	
Strohecker	Linda	Democrat	Non-participating	
<b>District</b>	<b>7</b>			
Collins	Ronald	Republican	Non-participating	
Tomah	Harold Jose	Democrat	MCEA	
<b>District</b>	<b>8</b>			
Murphy	Thomas	Republican	Non-participating	
Wilson-Dinino	Lynda	Democrat	Non-participating	
<b>District</b>	<b>9</b>			
Bowles	David	Republican	Non-participating	
Saucier	Abel	Democrat	Non-participating	
<b>District</b>	<b>10</b>			
Tuttle	John	Democrat	Non-participating	
Lewis	Michael	Republican	Non-participating	
<b>District</b>	<b>11</b>			
Nass	Richard	Republican	Non-participating	
McKechnie	David	Democrat	Non-participating	
<b>District</b>	<b>12</b>			
Charland	Brenda	Republican	Non-participating	
Lord	Willis	Republican	Non-participating	
Doughty	Dennis	Democrat	Non-participating	
Tarazewich	Frank	Democrat	Non-participating	
<b>District</b>	<b>13</b>			
Daigle	Robert	Republican	Non-participating	
Thomas	Randa	Democrat	MCEA	



# 2000 ELECTION CANDIDATES

<b>District</b>	<b>14</b>			
Brandenstein		Robert	Republican	Non-participating
Savage		William	Democrat	MCEA
<b>District</b>	<b>15</b>			
O'Neil		Christopher	Democrat	Non-participating
<b>District</b>	<b>16</b>			
Kane		Thomas	Democrat	Non-participating
Sipes		Peter	Unenrolled	Non-participating
<b>District</b>	<b>17</b>			
Sullivan		Nancy	Democrat	Non-participating
Seavey		H. Stedman	Republican	Non-participating
<b>District</b>	<b>18</b>			
Laverriere-Boucher		Marie	Democrat	Non-participating
Whalen		W. Sturgis	Republican	Non-participating
<b>District</b>	<b>19</b>			
Twomey		Joanne	Democrat	MCEA
<b>District</b>	<b>20</b>			
Lemoine		David	Democrat	Non-participating
<b>District</b>	<b>21</b>			
Lovett		Glenys	Republican	Non-participating
Leighton		John	Unenrolled	Non-participating
<b>District</b>	<b>22</b>			
Clough		Harold	Republican	Non-participating
Pendleton		Robert	Democrat	Non-participating
<b>District</b>	<b>23</b>			
Labrecque		Janice	Republican	Non-participating
<b>District</b>	<b>24</b>			
Larsen		Nancy	Republican	MCEA
Bliss		Lawrence	Democrat	MCEA
<b>District</b>	<b>25</b>			
McLaughlin		Janet	Democrat	Non-participating
McGinty		John	Republican	Non-participating
<b>District</b>	<b>26</b>			
Caron		Rita	Republican	Non-participating
Muse		Christopher	Democrat	Non-participating
Haase		Herbert	Republican	Non-participating
<b>District</b>	<b>27</b>			
Glynn		Kevin	Republican	Non-participating
Morgan		Alton	Democrat	Non-participating
<b>District</b>	<b>28</b>			
Usher		Ronald	Democrat	Non-participating

# 2000 ELECTION CANDIDATES

Eaton	Richard	Unenrolled	Non-participating
<b>District 29</b>			
Duplessie	Robert	Democrat	MCEA
Cramer	Lyle	Republican	MCEA
<b>District 30</b>			
Dudley	Benjamin	Democrat	MCEA
<b>District 31</b>			
Grant	Derrick	Green Independent	MCEA
Saxl	Michael	Democrat	MCEA
<b>District 32</b>			
McDonough	John	Democrat	MCEA
Punsky	Steven	Republican	Non-participating
<b>District 33</b>			
Quint	Michael	Democrat	Non-participating
<b>District 34</b>			
Norbert	William	Democrat	MCEA
<b>District 35</b>			
Brannigan	Joseph	Democrat	Non-participating
Sebunya	Moses	Unenrolled	Non-participating
<b>District 36</b>			
Banks	James	Democrat	MCEA
Marley	Boyd	Democrat	MCEA
Cenci	Mark	Unenrolled	Non-participating
<b>District 37</b>			
Cummings	Glenn	Democrat	MCEA
Palmer	David	Green Independent	MCEA
Brewer	David	Republican	Non-participating
<b>District 38</b>			
Bruno	Joseph	Republican	Non-participating
<b>District 39</b>			
Tobin	David	Republican	Non-participating
<b>District 40</b>			
Davis	Gerald	Republican	Non-participating
Clark	David	Democrat	MCEA
<b>District 41</b>			
Foster	Clifton	Republican	Non-participating
Sanborn	Mark	Democrat	Non-participating
<b>District 42</b>			
McKenney	Terrence	Republican	Non-participating
Hagelin	Nathan	Unenrolled	Non-participating
Crewe	Daniel	Democrat	Non-participating

# 2000 ELECTION CANDIDATES

<b>District</b>	<b>43</b>			
Hawes		Susan	Democrat	MCEA
Greenlaw		Ernest	Republican	Non-participating
<b>District</b>	<b>44</b>			
Buck		John	Republican	Non-participating
<b>District</b>	<b>45</b>			
Chapman		Chester	Republican	MCEA
Muse		Kevin	Republican	Non-participating
<b>District</b>	<b>46</b>			
Waterhouse		G. Paul	Republican	Non-participating
<b>District</b>	<b>47</b>			
Cressey		Philip	Republican	Non-participating
Brown		Gregory	Democrat	MCEA
<b>District</b>	<b>48</b>			
Bull		Thomas	Democrat	MCEA
Pray		Millard	Republican	Non-participating
<b>District</b>	<b>49</b>			
Donovan		William	Republican	MCEA
Richardson		John	Democrat	MCEA
<b>District</b>	<b>50</b>			
Snyder		Ervin	Democrat	MCEA
Gerzofsky		Stanley	Democrat	MCEA
Treworgy		Austin	Republican	Non-participating
<b>District</b>	<b>51</b>			
Etnier		David	Democrat	Non-participating
<b>District</b>	<b>52</b>			
Scease		Jane	Green Independent	MCEA
Lessard		Paul	Democrat	MCEA
Ayer		Charles	Unenrolled	Non-participating
<b>District</b>	<b>53</b>			
Dutille		Patricia	Republican	MCEA
Hutton		Deborah	Democrat	MCEA
<b>District</b>	<b>54</b>			
Mayo		Arthur	Republican	Non-participating
Staples		Kelly Ann	Democrat	MCEA
<b>District</b>	<b>55</b>			
Peavey		Judith	Republican	MCEA
Hall		David	Democrat	MCEA
<b>District</b>	<b>56</b>			
Harris		John	Republican	Non-participating
Hall		Christopher	Democrat	Non-participating

# 2000 ELECTION CANDIDATES

<b>District</b>	<b>57</b>			
Barth		Benjamin	Unenrolled	Non-participating
Carleton		Jennifer L.	Republican	Non-participating
Rines		Peter	Democrat	Non-participating
<b>District</b>	<b>58</b>			
Honey		Kenneth	Republican	Non-participating
Gilbert		Dawn	Republican	Non-participating
Bonpasse (Bump)		Morrison	Democrat	Non-participating
<b>District</b>	<b>59</b>			
Trahan		A. David	Republican	Non-participating
Wooster		Elizabeth	Democrat	Non-participating
<b>District</b>	<b>60</b>			
Crabtree		Richard	Republican	MCEA
Auciello		Shlomit	Democrat	MCEA
<b>District</b>	<b>61</b>			
Skoglund		James	Democrat	Non-participating
Buffington		Audrey	Republican	Non-participating
<b>District</b>	<b>62</b>			
McNeil		Deborah	Republican	Non-participating
Chalmers		Jean	Democrat	Non-participating
Levasseur		Shawn	Unenrolled	Non-participating
<b>District</b>	<b>63</b>			
Dorr		Susan	Democrat	MCEA
Taylor		Priscilla	Republican	Non-participating
<b>District</b>	<b>64</b>			
Heidrich		Theodore	Republican	Non-participating
Switser		Annie	Democrat	Non-participating
<b>District</b>	<b>65</b>			
Jodrey		Arlan	Republican	Non-participating
<b>District</b>	<b>66</b>			
Dexter		Edward	Republican	Non-participating
McGlocklin		Monica	Democrat	Non-participating
<b>District</b>	<b>67</b>			
Bryant		Bruce	Democrat	Non-participating
Arsenault		James	Unenrolled	Non-participating
<b>District</b>	<b>68</b>			
Winsor		Tom	Republican	Non-participating
Sessions		Brian	Democrat	MCEA
Medd		Marjorie	Republican	MCEA
Snow		Ronald	Unenrolled	Non-participating
<b>District</b>	<b>69</b>			
Gagne		Rosita	Democrat	MCEA

# 2000 ELECTION CANDIDATES

Robinson	Barbara	Republican	Non-participating
<b>District 70</b>			
Lovejoy	Jolene	Republican	MCEA
Patrick	John	Democrat	MCEA
<b>District 71</b>			
Snowe-Mello	Lois	Republican	Non-participating
<b>District 72</b>			
Shields	Thomas	Republican	MCEA
Tetenman	Stanley	Democrat	MCEA
<b>District 73</b>			
Wolf	Arnold	Democrat	MCEA
Simpson	Deborah	Democrat	MCEA
Gerry	Bruce	Unenrolled	MCEA
<b>District 74</b>			
Bolduc	Brian	Democrat	Non-participating
Michael	John	Unenrolled	MCEA
<b>District 75</b>			
Jacobs	Patricia	Democrat	MCEA
Clarke	William	Republican	Non-participating
<b>District 76</b>			
Pineau	Raymond	Democrat	Non-participating
Reid	William	Unenrolled	Non-participating
<b>District 77</b>			
LaVerdiere	Charles	Democrat	Non-participating
Stinchcomb	Clinton Larr	Republican	MCEA
<b>District 78</b>			
Gooley	Walter	Republican	Non-participating
Camire	Brian	Democrat	MCEA
<b>District 79</b>			
McKee	Linda	Democrat	MCEA
Stiehler	Joan	Republican	Non-participating
<b>District 80</b>			
Fuller	Elaine	Democrat	Non-participating
<b>District 81</b>			
Tracy	Richard H.	Democrat	Non-participating
<b>District 82</b>			
Carbonneau	Gabrielle	Republican	MCEA
Watson	Elizabeth	Democrat	MCEA
<b>District 83</b>			
Green	Bonnie	Democrat	MCEA
Greenwood	Randall	Republican	MCEA

# 2000 ELECTION CANDIDATES

<b>District</b>	<b>84</b>			
Chizmar		Nancy	Democrat	Non-participating
<b>District</b>	<b>85</b>			
Schneider		William	Republican	Non-participating
Beck		Patrick	Democrat	Non-participating
<b>District</b>	<b>86</b>			
Mailhot		Richard	Democrat	Non-participating
Frank		Jo Anne	Republican	Non-participating
<b>District</b>	<b>87</b>			
Cote		William	Democrat	Non-participating
Drouin		Maurice	Republican	Non-participating
<b>District</b>	<b>88</b>			
Mendros		Stavros	Republican	Non-participating
Poulin		Robert	Democrat	Non-participating
<b>District</b>	<b>89</b>			
O'Brien		Lillian LaFo	Democrat	MCEA
Breton		Marc	Unenrolled	Non-participating
<b>District</b>	<b>90</b>			
MacDonald		Robert	Republican	Non-participating
Bouffard		Gerald	Democrat	Non-participating
<b>District</b>	<b>91</b>			
Colwell		Patrick	Democrat	Non-participating
Dellert		Jean	Republican	Non-participating
<b>District</b>	<b>92</b>			
Cowger		Scott	Democrat	MCEA
<b>District</b>	<b>93</b>			
Berry		Randall	Democrat	Non-participating
Young		Gregory	Unenrolled	Non-participating
<b>District</b>	<b>94</b>			
Mitchell		Charles	Democrat	MCEA
<b>District</b>	<b>95</b>			
Madore		David	Republican	Non-participating
Watts		Douglas	Democrat	Non-participating
<b>District</b>	<b>96</b>			
O'Brien		Julie	Republican	Non-participating
LeClair		Clyde	Democrat	MCEA
<b>District</b>	<b>97</b>			
Richard		Shirley	Democrat	Non-participating
Linkletter		Richard	Republican	Non-participating
<b>District</b>	<b>98</b>			
Summers		David	Republican	MCEA

# 2000 ELECTION CANDIDATES

Woodard Hatch	Joanne Paul	Unenrolled Democrat	Non-participating Non-participating
<b>District 99</b>			
Johnson Pelletier Canavan	Frederic Gilman Marilyn	Republican Unenrolled Democrat	Non-participating Non-participating MCEA
<b>District 100</b>			
Marrache'	Lisa Tessie	Democrat	Non-participating
<b>District 101</b>			
Tessier	Paul	Democrat	Non-participating
<b>District 102</b>			
Matthews Leach	Zachary Wayne	Democrat Unenrolled	Non-participating Non-participating
<b>District 103</b>			
McLellan Nutting	Dennis Robert	Democrat Republican	Non-participating Non-participating
<b>District 104</b>			
Jones McGowan	Sumner Bernard	Republican Democrat	Non-participating Non-participating
<b>District 105</b>			
Ash Nesin	Walter Orene Clar	Democrat Republican	Non-participating MCEA
<b>District 106</b>			
Bumps Farrington	Randall Carmaleta	Republican Democrat	Non-participating MCEA
<b>District 107</b>			
Brooks Kaelin	Joseph Jeffrey	Democrat Republican	MCEA Non-participating
<b>District 108</b>			
Stedman French	Vaughn Gary	Republican Democrat	Non-participating Non-participating
<b>District 109</b>			
Berry, Sr. Johnson	Donald Betty	Republican Democrat	Non-participating MCEA
<b>District 110</b>			
Corriveau Weston	Claton Carol	Democrat Republican	Non-participating Non-participating
<b>District 111</b>			
Jones Richardson Pettigrew	Sharon Lib Earl Debbie	Democrat Republican Republican	MCEA MCEA MCEA

# 2000 ELECTION CANDIDATES

<b>District</b>	<b>112</b>			
Annis		James	Republican	MCEA
Merck		Judith	Democrat	MCEA
<b>District</b>	<b>113</b>			
Rosen		Richard	Republican	Non-participating
Bennett-Catlow		Linda	Democrat	Non-participating
<b>District</b>	<b>114</b>			
Pease		Carl	Democrat	MCEA
Skinner		Dana	Republican	Non-participating
Libby		Rosanna	Unenrolled	MCEA
Duprey		Brian	Republican	Non-participating
Kilroy		Brian	Democrat	Non-participating
<b>District</b>	<b>115</b>			
Fisher		Charles	Democrat	Non-participating
<b>District</b>	<b>116</b>			
Horvath		Louis	Democrat	MCEA
Burke		John	Democrat	MCEA
Ledwin		Mary Ellen	Republican	Non-participating
<b>District</b>	<b>117</b>			
Baker		Christina	Democrat	MCEA
Farrington		Frank	Republican	Non-participating
<b>District</b>	<b>118</b>			
Perry		Joseph	Democrat	Non-participating
Daigle		Roy	Republican	Non-participating
Vogell		John	Republican	Non-participating
<b>District</b>	<b>119</b>			
Blanchette		Patricia	Democrat	Non-participating
Lewis		Donald	Republican	MCEA
<b>District</b>	<b>120</b>			
Hunt		Joseph	Republican	Non-participating
Norton		Jacqueline	Democrat	Non-participating
<b>District</b>	<b>121</b>			
Dunlap		Matthew	Democrat	Non-participating
Duplessis		Albert	Republican	Non-participating
<b>District</b>	<b>122</b>			
Williams		Daniel	Democrat	Non-participating
Chase		Peter	Republican	Non-participating
<b>District</b>	<b>123</b>			
Thomas		Jonathan Ri	Democrat	Non-participating
<b>District</b>	<b>124</b>			
Treadwell		Russell	Republican	Non-participating
Shepley		Donald	Democrat	Non-participating



# 2000 ELECTION CANDIDATES

<b>District</b>	<b>125</b>			
Burgess		John	Democrat	Non-participating
Kasprzak		Susan	Republican	Non-participating
<b>District</b>	<b>126</b>			
Tobin		James How	Republican	Non-participating
Rudolph		David	Democrat	MCEA
<b>District</b>	<b>127</b>			
Povich		Edward	Democrat	MCEA
Joy		Steven	Republican	MCEA
<b>District</b>	<b>128</b>			
Perkins		Royce	Republican	MCEA
Schatz		James	Democrat	Non-participating
<b>District</b>	<b>129</b>			
Volenik		Paul	Democrat	MCEA
Grindal		Clare	Republican	Non-participating
<b>District</b>	<b>130</b>			
Koffman		Theodore	Democrat	Non-participating
Stanwood		Robert	Republican	Non-participating
Coolidge		Robert	Unenrolled	Non-participating
<b>District</b>	<b>131</b>			
Dugay		Edward	Democrat	Non-participating
Kelley		Willard	Republican	Non-participating
<b>District</b>	<b>132</b>			
Pinkham		William	Republican	MCEA
Wallace		Patricia	Democrat	MCEA
<b>District</b>	<b>133</b>			
Bagley		Martha	Democrat	Non-participating
<b>District</b>	<b>134</b>			
Goodwin		Albion	Democrat	Non-participating
<b>District</b>	<b>135</b>			
Morrison		John	Republican	Non-participating
Earle		Dale	Republican	MCEA
Casey		Charles	Democrat	Non-participating
<b>District</b>	<b>136</b>			
Gillis		Barry	Republican	Non-participating
Bunker		George	Democrat	MCEA
<b>District</b>	<b>137</b>			
Haskell		Anita Peav	Republican	Non-participating
Sanborn		Laura	Democrat	Non-participating
<b>District</b>	<b>138</b>			
Carr		Roderick	Republican	Non-participating

# 2000 ELECTION CANDIDATES

Jirkpatrick	David	Democrat	Non-participating
<b>District 139</b>			
Stanley	Stephen	Democrat	Non-participating
Buzzell	Charles	Republican	Non-participating
<b>District 140</b>			
Clark	Joseph	Democrat	Non-participating
Kallgren	Stuart	Republican	Non-participating
<b>District 141</b>			
Landry	Sally	Democrat	MCEA
Martin	Stephen	Republican	Non-participating
<b>District 142</b>			
Sherman	Roger	Republican	Non-participating
Cleary	Paul	Democrat	Non-participating
<b>District 143</b>			
Wheeler	Edgar	Republican	Non-participating
<b>District 144</b>			
Niblett	Margaret	Republican	Non-participating
Lundeen	Jacqueline	Democrat	MCEA
Hotham	Ralph Jeff	Democrat	MCEA
Cronkrite	Christopher	Republican	Non-participating
<b>District 145</b>			
Duncan	Richard	Republican	Non-participating
<b>District 146</b>			
Desmond	Mabel	Democrat	Non-participating
<b>District 147</b>			
Belanger	Irvin	Republican	Non-participating
Sanfacon	Matthew	Democrat	MCEA
<b>District 148</b>			
Young	Florence	Republican	Non-participating
Ward	Homer	Republican	Non-participating
McLaughlin	Wade	Democrat	Non-participating
<b>District 149</b>			
Sirois	Rosaire	Democrat	Non-participating
Smith	William	Democrat	Non-participating
<b>District 150</b>			
Ahearne	Daniel	Democrat	Non-participating
Paradis	Rosaire	Democrat	Non-participating
Doe	John	Unenrolled	Non-participating
<b>District 151</b>			
Michaud	Marc	Democrat	MCEA
Jackson	Troy	Republican	Non-participating

# 2000 ELECTION CANDIDATES

## Senate

<b>District</b>	<b>1</b>			
Hebert	Joel	Democrat	Non-participating	
Martin	John	Democrat	Non-participating	
Belanger	Duane	Republican	Non-participating	
<b>District</b>	<b>2</b>			
Kneeland	Richard	Republican	Non-participating	
McLaughlin	Eugene	Democrat	MCEA	
<b>District</b>	<b>3</b>			
Michaud	Michael	Democrat	Non-participating	
Ek	Roger	Republican	MCEA	
<b>District</b>	<b>4</b>			
Shorey	Kevin	Republican	Non-participating	
Dolan	Arthur	Unenrolled	Non-participating	
Finch	George	Democrat	MCEA	
<b>District</b>	<b>5</b>			
Lymburner	Sumner	Republican	Non-participating	
Goldthwait	Jill	Unenrolled	Non-participating	
Hart	Richard	Unenrolled	Non-participating	
<b>District</b>	<b>6</b>			
Ruhlin	Richard	Democrat	Non-participating	
Youngblood	Edward	Republican	MCEA	
<b>District</b>	<b>7</b>			
Cathcart	Mary	Democrat	Non-participating	
Ireland	David	Republican	MCEA	
<b>District</b>	<b>8</b>			
Davis	Paul	Republican	MCEA	
Howard	Linda Clark	Democrat	MCEA	
<b>District</b>	<b>9</b>			
Saxl	Jane	Democrat	Non-participating	
Sawyer	W. Tom	Republican	Non-participating	
<b>District</b>	<b>10</b>			
Mitchell	Betty Lou	Republican	Non-participating	
Hay	Jean	Democrat	MCEA	
<b>District</b>	<b>11</b>			
Longley	Susan	Democrat	MCEA	
Ford	John	Republican	MCEA	
<b>District</b>	<b>12</b>			
Powers	Judith	Democrat	Non-participating	
Savage	Christine	Republican	Non-participating	

# 2000 ELECTION CANDIDATES

<b>District</b>	<b>13</b>			
Hatch		Pamela	Democrat	Non-participating
Mills		S. Peter	Republican	MCEA
<b>District</b>	<b>14</b>			
Gagnon		Kenneth	Democrat	MCEA
Gaunce		Charles	Republican	Non-participating
<b>District</b>	<b>15</b>			
Austin		JoAnn Clar	Republican	MCEA
Daggett		Beverly	Democrat	MCEA
<b>District</b>	<b>16</b>			
Kilkelly		Marjorie	Democrat	Non-participating
Poole		Dirk	Republican	Non-participating
<b>District</b>	<b>17</b>			
Woodcock		Chandler	Republican	MCEA
Christensen		Russell	Democrat	MCEA
<b>District</b>	<b>18</b>			
Treat		Sharon	Democrat	MCEA
Kaiser		John	Republican	MCEA
<b>District</b>	<b>19</b>			
Small		Mary	Republican	MCEA
Grose		Carol	Democrat	MCEA
<b>District</b>	<b>20</b>			
Coates		Robert	Republican	Non-participating
Nutting		John	Democrat	MCEA
<b>District</b>	<b>21</b>			
Rotundo		Margaret	Democrat	MCEA
Poulin		Larry	Republican	Non-participating
Madore		Paul	Democrat	MCEA
<b>District</b>	<b>22</b>			
Douglass		Neria	Democrat	Non-participating
Bernard		Donald	Democrat	MCEA
Vaughan		Michael	Republican	Non-participating
<b>District</b>	<b>23</b>			
Edmonds		Betheda	Democrat	MCEA
Snow		David	Republican	MCEA
Toothaker		Robert	Unenrolled	Non-participating
<b>District</b>	<b>24</b>			
Ferguson		Norman	Republican	MCEA
Derouche		Joseph	Democrat	MCEA
<b>District</b>	<b>25</b>			
Bennett		Richard	Republican	MCEA

# 2000 ELECTION CANDIDATES

<b>District</b>	<b>26</b>			
Kontos		Carol	Democrat	Non-participating
Watson		Barry	Republican	MCEA
Turner		Karl	Republican	Non-participating
Moulton		James	Republican	Non-participating
<b>District</b>	<b>27</b>			
Abromson		I. Joel	Republican	Non-participating
Bailey		Loren	Democrat	MCEA
<b>District</b>	<b>28</b>			
Rand		Anne	Democrat	MCEA
Akers		Frank	Republican	Non-participating
<b>District</b>	<b>29</b>			
Mack		Adam	Republican	Non-participating
O'Gara		William	Democrat	Non-participating
<b>District</b>	<b>30</b>			
Bromley		Lynn	Democrat	MCEA
Watson		Ruth McCle	Republican	MCEA
<b>District</b>	<b>31</b>			
Pendleton		Peggy	Democrat	MCEA
Sangster		Mark	Republican	MCEA
<b>District</b>	<b>32</b>			
LaFountain III		Lloyd	Democrat	Non-participating
McCulloh		Jeffery	Republican	MCEA
<b>District</b>	<b>33</b>			
Tockman		Janet Ellen	Democrat	Non-participating
Carpenter		David	Republican	Non-participating
<b>District</b>	<b>34</b>			
McAlevey		Michael	Republican	Non-participating
Campbell		James	Republican	MCEA
Gean		Donald	Democrat	MCEA
<b>District</b>	<b>35</b>			
Lemont		Kenneth	Republican	Non-participating
Woodard		Catherine	Democrat	Non-participating

# 2000 ELECTION CANDIDATES

## Withdrawn- Representative

<b>District</b>	<b>2</b>			
	Zimmer	Michael	Democrat	MCEA
<b>District</b>	<b>13</b>			
	O'Reilly	Adair Kathl	Democrat	Non-participating
<b>District</b>	<b>23</b>			
	Kakitis	Sally	Democrat	Non-participating
<b>District</b>	<b>24</b>			
	Mackie	Thomas	Democrat	Non-participating
<b>District</b>	<b>33</b>			
	McGonagle	Timothy Jo	Republican	Non-participating
<b>District</b>	<b>34</b>			
	Rich	Timothy	Republican	Non-participating
<b>District</b>	<b>38</b>			
	Gardiner	Caroline	Democrat	Non-participating
	Fenton	Marianna	Democrat	Non-participating
<b>District</b>	<b>42</b>			
	Cunningham	Anne Birgel	Democrat	Non-participating
<b>District</b>	<b>44</b>			
	Urbach	Lynn	Democrat	Non-participating
<b>District</b>	<b>45</b>			
	Pinault	Pamela	Democrat	Non-participating
<b>District</b>	<b>46</b>			
	Farnsworth	Carol	Democrat	Non-participating
<b>District</b>	<b>47</b>			
	Small	Ted Alden	Democrat	Non-participating
<b>District</b>	<b>51</b>			
	Eberhart	Channa	Republican	Non-participating
<b>District</b>	<b>53</b>			
	Shiah	David	Democrat	Non-participating
<b>District</b>	<b>55</b>			
	Saunders	Wayne	Democrat	Non-participating
<b>District</b>	<b>56</b>			
	Pieh	Wendy	Democrat	Non-participating
	Mansfield	Marcia	Republican	Non-participating
<b>District</b>	<b>57</b>			
	Rines	Benjamin	Democrat	Non-participating

# 2000 ELECTION CANDIDATES

<b>District</b>	<b>63</b>			
	Cavanaugh	Andrew	Republican	Non-participating
	Johnston-Nash	Martha	Republican	Non-participating
<b>District</b>	<b>64</b>			
	Switser	Robert	Democrat	Non-participating
<b>District</b>	<b>65</b>			
	Graham	Rockie	Democrat	Non-participating
<b>District</b>	<b>71</b>			
	Gause	Phoebe	Democrat	Non-participating
<b>District</b>	<b>72</b>			
	L'Hommedieu	E. Chris	Democrat	MCEA
<b>District</b>	<b>84</b>			
	Crafts	Dale	Republican	Non-participating
<b>District</b>	<b>89</b>			
	Jenkins	Alan	Republican	Non-participating
<b>District</b>	<b>92</b>			
	Grant	Joni	Republican	Non-participating
<b>District</b>	<b>94</b>			
	Edwards	Jeffrey	Republican	Non-participating
<b>District</b>	<b>99</b>			
	Jabar	Joseph	Democrat	Non-participating
<b>District</b>	<b>100</b>			
	Lindlof	Kimberly	Republican	Non-participating
<b>District</b>	<b>102</b>			
	Bowdoin	James	Republican	Non-participating
<b>District</b>	<b>106</b>			
	Haiss	Victoria	Democrat	Non-participating
<b>District</b>	<b>108</b>			
	Tory	Richard	Democrat	Non-participating
<b>District</b>	<b>112</b>			
	White	Kenneth	Democrat	MCEA
<b>District</b>	<b>115</b>			
	Morelli	Thomas	Republican	Non-participating
<b>District</b>	<b>117</b>			
	Nye	Bruce	Republican	Non-participating
<b>District</b>	<b>119</b>			
	Bragdon	Tarren	Republican	Non-participating

# 2000 ELECTION CANDIDATES

**District 122**  
Treworgy Gary Republican Non-participating

**District 123**  
Graffam Jerome Republican Non-participating

**District 128**  
Blake Cynthia Democrat Non-participating

**District 132**  
Stone William Democrat Non-participating

**District 135**  
McAlpine David Democrat Non-participating  
Faulkner Richard Democrat Non-participating

**District 145**  
Archer Bruce Democrat Non-participating

**District 147**  
Roy Roger Democrat Non-participating

## Withdrawn- Senate

**istrict 4**  
Oden Nancy Unenrolled Non-participating

**District 7**  
Veit Steven C.D Republican Non-participating

**District 19**  
Scease Mathew Green Independent MCEA  
Baffer Roger Democrat Non-participating  
Ciciotte William Unenrolled Non-participating

**District 27**  
Roberts Katherine Democrat Non-participating

**District 28**  
Leavitt Michael Republican Non-participating

**District 32**  
Macisso Thomas Republican Non-participating





# MCEA DISTRIBUTIONS

Last	First	Office	District	Uncon	Cont	Match	General	Match	Unauthorized Amount	Refund	Total
Annis	James	Representative	112	511			3,252	6,504	4,921	5,887	4,380
Auciello	Shlomit	Representative	60	511			3,252		0	765	2,998
Austin	JoAnn Clark	Senate	15	1,785			12,910		0	0	14,695
Bailey	Loren	Senate	27	1,785			12,910	25,820	12,567	15,547	24,968
Baker	Christina	Representative	117	511			3,252	6,504	325	4,542	5,725
Banks	James	Representative	36	511	630				0	44	1,097
Bennett	Richard	Senate	25	1,785					0	0	1,785
Bernard	Donald	Senate	22	1,785	2,549	8,668			5,155	6,287	6,715
Bliss	Lawrence	Representative	24				3,252		0	13	3,239
Bromley	Lynn	Senate	30	1,785			12,910	2,701	0	37	17,359
Brooks	Joseph	Representative	107	511			3,252	6,504	3,341	4,429	5,838
Brown	Gregory	Representative	47				3,252	6,504	4,801	5,803	3,953
Bull	Thomas	Representative	48	511			3,252	6,504	4,656	7,038	3,229
Bunker	George	Representative	136	511			3,252	6,504	5,417	6,551	3,716
Burke	John	Representative	116	511	630		3,252	6,504	3,431	3,431	7,466
Camire	Brian	Representative	78	511			3,252		0	17	3,746
Campbell	James	Senate	34	1,785	2,549				0	175	4,159
Canavan	Marilyn	Representative	99				3,252	6,504	6,159	6,482	3,274
Carbonneau	Gabrielle	Representative	82	511			3,252	6,504	5,605	5,605	4,662
Chapman	Chester	Representative	45	511	630	2,282			1,573	2,599	824
Christensen	Russell	Senate	17	1,785			12,910		0	27	14,668
Clark	David	Representative	40	511			3,252	6,504	2,811	5,368	4,899
Cowger	Scott	Representative	92	511					0	0	511
Crabtree	Richard	Representative	60	511			3,252		0	1,948	1,816
Cramer	Lyle	Representative	29	511			3,252	6,504	4,833	7,085	3,182
Cummings	Glenn	Representative	37	511			3,252		0	494	3,269
Daggett	Beverly	Senate	15	1,785			12,910		0	2,311	12,384

# MCEA DISTRIBUTIONS

Last	First	Office	District	Uncon	Cont	Match	General	Match	Unauthorized Amount	Refund	Total
Davis	Paul	Senate	8	1,785			12,910	25,820	23,516	24,143	16,372
Derouche	Joseph	Senate	24	1,785			12,910		0		14,695
Donovan	William	Representative	49	511			3,252	6,504	4,273	6,300	3,967
Dorr	Susan	Representative	63	511			3,252	6,504	4,836	7,261	3,006
Dudley	Benjamin	Representative	30	511					0		511
Duplessie	Robert	Representative	29	511			3,252		0	0	3,763
Dutille	Patricia	Representative	53	511			3,252		0	9	3,754
Earle	Dale	Representative	135	511	630				0	0	1,141
Edmonds	Betheda	Senate	23	1,785			12,910	1,810	0	183	16,322
Ek	Roger	Senate	3	1,785			12,910		0		14,695
Estes	Stephen	Representative	1	511			3,252		0	12	3,751
Farrington	Carmaleta	Representative	106	511			3,252	6,504	6,157	6,172	4,095
Ferguson	Norman	Senate	24	1,785			12,910		0	0	14,695
Finch	George	Senate	4				12,910		0	2,058	10,852
Ford	John	Senate	11	1,785			12,910	25,820	20,656	24,397	16,118
Gagne	Rosita	Representative	69	511			3,252		0	680	3,083
Gagnon	Kenneth	Senate	14	1,785			12,910		0	255	14,440
Gean	Donald	Senate	34	1,785			12,910		0	255	14,440
Gerry	Bruce	Representative	73				3,252		0	13	3,239
Gerzofsky	Stanley	Representative	50	511	630		3,252	6,504	3,329	3,415	7,482
Grant	Derrick	Representative	31	511			3,252		0	423	3,340
Green	Bonnie	Representative	83	511			3,252		0	15	3,748
Greenwood	Randall	Representative	83	511			3,252		0	3	3,760
Grose	Carol	Senate	19				12,910		0	224	12,686
Hall	David	Representative	55	511			3,252		0	183	3,580
Hawes	Susan	Representative	43	511			3,252		0	410	3,353
Hay	Jean	Senate	10	1,785			12,910	25,820	25,242	25,246	15,269

# MCEA DISTRIBUTIONS

Last	First	Office	District	Uncon	Cont	Match	General	Match	Unauthorized Amount	Refund	Total
Horvath	Louis	Representative	116	511	630				0	424	717
Hotham	Ralph Jeff	Representative	144	511	630				0	6	1,135
Howard	Linda Clark	Senate	8	1,785			12,910		0	368	14,327
Hutton	Deborah	Representative	53				3,252		0	0	3,252
Ireland	David	Senate	7				12,910	25,820	21,072	21,072	17,658
Jacobs	Patricia	Representative	75	511			3,252		0	1,300	2,463
Johnson	Betty	Representative	109	511			3,252	6,504	5,681	6,173	4,094
Jones	Sharon Libby	Representative	111	511			3,252		0	0	3,763
Joy	Steven	Representative	127	511			3,252		0	0	3,763
Kaiser	John	Senate	18	1,785			12,910		0	64	14,631
Landry	Sally	Representative	141	511			3,252		0	995	2,768
Larsen	Nancy	Representative	24	511			3,252		0	1,209	2,554
LeClair	Clyde	Representative	96	511			3,252	6,504	3,430	5,024	5,243
Lessard	Paul	Representative	52	511			3,252	6,504	5,781	5,781	4,486
Lewis	Donald	Representative	119				3,252		0		3,252
L'Hommedie	E. Chris	Representative	72	511	630				0	1,141	0
Libby	Rosanna	Representative	114				3,252		0	61	3,191
Longley	Susan	Senate	11	1,785			12,910	2,701	0	411	16,985
Lovejoy	Jolene	Representative	70	511			3,252		0	11	3,752
Lundeen	Jacqueline	Representative	144	511	630		3,252	6,504	2,414	3,013	7,884
Madore	Paul	Senate	21	1,785	2,549	8,668			7,713	7,713	5,289
Marley	Boyd	Representative	36	511	630		3,252		0	5	4,388
McCulloh	Jeffery	Senate	32				12,910		0	605	12,305
McDonough	John	Representative	32	511			3,252		0	370	3,393
McKee	Linda	Representative	79	511			3,252	6,504	5,406	5,916	4,351
McLaughlin	Eugene	Senate	2	1,785			12,910	25,820	24,194	24,194	16,321
Medd	Marjorie	Representative	68	511	630				0	11	1,130

# MCEA DISTRIBUTIONS

Last	First	Office	District	Uncon	Cont	Match	General	Match	Unauthorized Amount	Refund	Total
Merck	Judith	Representative	112				3,252		0	139	3,113
Michael	John	Representative	74				3,252		0	220	3,032
Michaud	Marc	Representative	151	511			3,252		0	1,155	2,608
Mills	S. Peter	Senate	13	1,785			12,910		0	43	14,652
Mitchell	Charles	Representative	94	511					0	95	416
Nesin	Orene Clark	Representative	105	511			3,252		0	1,462	2,301
Norbert	William	Representative	34	511			3,252		0	1,583	2,180
Nutting	John	Senate	20	1,785			12,910		0	1,234	13,461
O'Brien	Lillian LaFont	Representative	89	511			3,252		0	566	3,197
Palmer	David	Representative	37	511			3,252		0	2,586	1,177
Patrick	John	Representative	70	511			3,252		0	0	3,763
Pease	Carl	Representative	114	511	630		3,252		0	416	3,977
Peavey	Judith	Representative	55	511			3,252		0	0	3,763
Pendleton	Peggy	Senate	31	1,785			12,910		0	152	14,543
Perkins	Royce	Representative	128	511			3,252		0	998	2,765
Pettigrew	Debbie	Representative	111	511	630				0	0	1,141
Pinkham	William	Representative	132	511			3,252		0	38	3,725
Povich	Edward	Representative	127	511			3,252	6,504	3,541	3,581	6,686
Rand	Anne	Senate	28	1,785			12,910		0	4,171	10,524
Richardson	John	Representative	49	511			3,252		0	186	3,577
Richardson	Earl	Representative	111	511	630		3,252	6,504	4,911	5,111	5,786
Rotundo	Margaret	Senate	21	1,785	2,549	8,668	4,473		0	380	17,095
Rudolph	David	Representative	126	511			3,252		0	482	3,281
Sanfacon	Matthew	Representative	147				3,252		0	6	3,246
Sangster	Mark	Senate	31	1,785			12,910		0	0	14,695
Savage	William	Representative	14	511			3,252		0	24	3,739
Saxl	Michael	Representative	31	511			3,252		0	5	3,758

# MCEA DISTRIBUTIONS

Last	First	Office	District	Uncon	Cont	Match	General	Match	Unauthorized Amount	Refund	Total
Scease	Jane	Representative	52	511			3,252	6,504	5,781	5,814	4,453
Scease	Mathew	Senate	19	1,785			12,910		0	13,889	806
Sessions	Brian	Representative	68	511			3,252		0	781	2,982
Shields	Thomas	Representative	72	511			3,252	6,504	5,693	5,695	4,572
Simpson	Deborah	Representative	73	511	630		3,252		0	252	4,141
Small	Mary	Senate	19	1,785			12,910		0	3,431	11,264
Snow	David	Senate	23	1,785			12,910	25,820	16,281	17,710	22,805
Snyder	Ervin	Representative	50	511	630				0	21	1,120
Staples	Kelly Ann	Representative	54	511			3,252		0	345	3,418
Stinchcomb	Clinton Larry	Representative	77	511			3,252	6,504	5,267	5,265	5,002
Summers	David	Representative	98	511			3,252		0	529	3,234
Tetenman	Stanley	Representative	72	511	630		3,252		0	0	4,393
Thomas	Randa	Representative	13				3,252	6,504	5,967	6,976	2,780
Tomah	Harold Josep	Representative	7	511			3,252	6,504	1,244	6,504	3,763
Treat	Sharon	Senate	18	1,785			12,910		0		14,695
Twomey	Joanne	Representative	19	511					0	167	344
Volenik	Paul	Representative	129	511			3,252	6,504	5,940	7,230	3,037
Wallace	Patricia	Representative	132				3,252		0	322	2,930
Watson	Elizabeth	Representative	82	511			3,252		0	15	3,748
Watson	Barry	Senate	26	1,785	2,549				0	14	4,320
Watson	Ruth McClee	Senate	30	1,785			12,910	25,820	16,053	17,232	23,283
White	Kenneth	Representative	112	511			3,252		0	3,767	(4)
Woodcock	Chandler	Senate	17	1,785			12,910		0	3,187	11,508
Woolf	Arnold	Representative	73	511	630				0	4	1,137
Youngblood	Edward	Senate	6	1,785			12,910	25,820	10,405	10,779	29,736

# MCEA DISTRIBUTIONS

Last	First	Office	District	Uncon	Cont	Match	General	Match	Unauthorized Amount	Refund	Total
Zimmer	Michael	Representative	2	511			3,252		0	3,763	0
	134			106,162	24,085	28,286	703,573	421,704	310,378	418,331	865,479

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>Representative</b>										
<b>District 1</b>										
Estes	Stephen	3/21/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Sousa	Mark		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 7</b>										
Tomah	Harold Jose	3/17/00	\$9,756.00	\$8,512.00	\$484.37					\$484.37
Collins	Ronald		\$0.00	\$0.00	\$0.00	\$4,174.49	\$7,681.08	\$7,877.08	\$8,698.63	\$8,698.63
<b>District 13</b>										
Thomas	Randa	8/30/00	\$9,756.00	\$3,789.00	\$0.00					\$0.00
Daigle	Robert		\$0.00	\$0.00	\$0.00	\$3,488.57	\$3,588.57	\$3,788.57	\$3,788.57	\$3,788.57
<b>District 14</b>										
Savage	William	3/20/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Brandenstein	Robert		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 24</b>										
Bliss	Lawrence	8/25/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Larsen	Nancy	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 29</b>										
Duplessie	Robert	3/17/00	\$3,252.00	\$3,252.00	\$1,671.12					\$1,671.12
Cramer	Lyle	3/13/00	\$9,756.00	\$4,923.00	\$0.00					\$0.00



# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 31</b>										
Saxl	Michael	4/4/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Grant	Derrick	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 32</b>										
McDonough	John	2/29/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Punsky	Steven		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 36</b>										
Marley	Boyd	3/21/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Cenci	Mark		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 37</b>										
Cummings	Glenn	1/13/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Brewer	David		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 40</b>										
Clark	David	3/14/00	\$9,756.00	\$6,945.00	\$986.13					\$986.13
Davis	Gerald		\$0.00	\$0.00	\$0.00	\$3,425.00	\$6,500.00	\$7,030.00	\$7,255.00	\$7,255.00
<b>District 43</b>										
Hawes	Susan	3/15/00	\$3,252.00	\$3,252.00	\$785.35					\$785.35
Greenlaw	Ernest		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 47</b>										
Brown	Gregory	9/15/00	\$9,756.00	\$4,955.00	\$851.20					\$851.20

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
Cressey	Philip		\$0.00	\$0.00	\$0.00				\$3,849.65	\$3,849.65
<b>District 48</b>										
Bull	Thomas	3/11/00	\$9,756.00	\$5,100.00	\$0.00					\$0.00
Pray	Millard		\$0.00	\$0.00	\$0.00	\$4,650.00		\$4,750.00	\$5,100.00	\$5,100.00
<b>District 49</b>										
Richardson	John	3/21/00	\$3,252.00	\$3,252.00	\$2,231.13					\$2,231.13
Donovan	William	3/10/00	\$9,756.00	\$5,483.00	\$0.00					\$0.00
<b>District 50</b>										
Gerzofsky	Stanley	3/17/00	\$9,756.00	\$6,427.00	\$0.00					\$0.00
Treworgy	Austin		\$0.00	\$0.00	\$0.00				\$3,406.00	\$3,406.00
<b>District 52</b>										
Lessard	Paul	3/21/00	\$9,756.00	\$3,975.00	\$0.00					\$0.00
Scease	Jane	3/11/00	\$9,756.00	\$3,975.00	\$0.00					\$0.00
Ayer	Charles		\$0.00	\$0.00	\$0.00	\$3,350.00	\$3,725.00	\$3,975.00	\$3,975.00	\$3,975.00
<b>District 53</b>										
Hutton	Deborah	8/10/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Dutille	Patricia	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 54</b>										
Staples	Kelly Ann	3/23/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
Mayo	Arthur		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 55</b>										
Hall	David	5/8/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Peavey	Judith	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 60</b>										
Auciello	Shlomit	3/14/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Crabtree	Richard	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 63</b>										
Dorr	Susan	3/20/00	\$9,756.00	\$4,920.00	\$0.00					\$0.00
Taylor	Priscilla		\$0.00	\$0.00	\$0.00	\$3,780.00		\$3,780.00	\$4,920.00	\$4,920.00
<b>District 68</b>										
Sessions	Brian	3/23/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Winsor	Tom		\$0.00	\$0.00	\$0.00	\$3,365.00				\$3,365.00
Snow	Ronald		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 69</b>										
Gagne	Rosita	2/16/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Robinson	Barbara		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 70</b>										
Patrick	John	3/13/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Comparison
Lovejoy	Jolene	2/25/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 72</b>										
Tetenman	Stanley	3/14/00	\$3,252.00	\$3,252.00	\$810.84					\$810.84
Shields	Thomas	3/13/00	\$9,756.00	\$4,063.00	\$0.00					\$0.00
<b>District 73</b>										
Simpson	Deborah	3/21/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Gerry	Bruce	6/6/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 74</b>										
Bolduc	Brian		\$0.00	\$0.00	\$248.43					\$248.43
Michael	John	7/24/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 75</b>										
Jacobs	Patricia	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Clarke	William		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 77</b>										
LaVerdiere	Charles		\$0.00	\$0.00	\$310.81	\$4,178.14	\$4,178.14	\$4,178.14	\$4,178.14	\$4,488.95
Stinchcomb	Clinton Larr	3/27/00	\$9,756.00	\$4,489.00	\$1,406.25					\$1,406.25
<b>District 78</b>										
Camire	Brian	3/15/00	\$3,252.00	\$3,252.00	\$311.00					\$311.00
Gooley	Walter		\$0.00	\$0.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 79</b>										
McKee	Linda	3/22/00	\$9,756.00	\$4,350.00	\$676.39					\$676.39
Stiehler	Joan		\$0.00	\$0.00	\$0.00	\$4,075.00		\$4,350.00		\$4,350.00
<b>District 82</b>										
Watson	Elizabeth	3/15/00	\$3,252.00	\$3,252.00	\$899.00					\$899.00
Carbonneau	Gabrielle	3/15/00	\$9,756.00	\$4,151.00	\$0.00					\$0.00
<b>District 83</b>										
Green	Bonnie	3/16/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Greenwood	Randall	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 89</b>										
O'Brien	Lillian LaFo	3/23/00	\$3,252.00	\$3,252.00	\$99.87					\$99.87
Breton	Marc		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 96</b>										
LeClair	Clyde	3/21/00	\$9,756.00	\$6,326.00	\$0.00					\$0.00
O'Brien	Julie		\$0.00	\$0.00	\$0.00	\$3,372.15	\$5,782.15	\$6,022.15	\$6,325.91	\$6,325.91
<b>District 98</b>										
Hatch	Paul		\$0.00	\$0.00	\$676.39				\$2,407.60	\$3,083.99
Summers	David	3/17/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Woodard	Joanne		\$0.00	\$0.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 99</b>										
Canavan	Marilyn	3/17/00	\$9,756.00	\$3,597.00	\$0.00					\$0.00
Johnson	Frederic		\$0.00	\$0.00	\$0.00	\$3,597.37		\$3,597.37	\$3,597.37	\$3,597.37
Pelletier	Gilman		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 105</b>										
Ash	Walter		\$0.00	\$0.00	\$983.55				\$350.00	\$1,333.55
Nesin	Orene Clark	3/21/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 106</b>										
Farrington	Carmaleta	5/26/00	\$9,756.00	\$3,599.00	\$0.00					\$0.00
Bumps	Randall		\$0.00	\$0.00	\$0.00				\$3,480.87	\$3,480.87
<b>District 107</b>										
Brooks	Joseph	3/13/00	\$9,756.00	\$6,415.00	\$1,352.78					\$1,352.78
Kaelin	Jeffrey		\$0.00	\$0.00	\$0.00	\$3,475.00	\$5,155.00	\$5,955.79	\$5,068.71	\$5,955.79
<b>District 109</b>										
Johnson	Betty	3/15/00	\$9,756.00	\$4,075.00	\$0.00					\$0.00
Berry, Sr.	Donald		\$0.00	\$0.00	\$0.00	\$4,075.00				\$4,075.00
<b>District 111</b>										
Jones	Sharon Libb	3/20/00	\$3,252.00	\$3,252.00	\$1,593.44					\$1,593.44
Richardson	Earl	3/16/00	\$9,756.00	\$4,845.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 112</b>										
Merck	Judith	9/11/00	\$3,252.00	\$3,252.00	\$1,582.80					\$1,582.80
Annis	James	2/29/00	\$9,756.00	\$4,835.00	\$0.00					\$0.00
<b>District 114</b>										
Pease	Carl	3/13/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Duprey	Brian		\$0.00	\$0.00	\$0.00					\$0.00
Libby	Rosanna	6/22/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 116</b>										
Burke	John	3/21/00	\$9,756.00	\$6,325.00	\$0.00					\$0.00
Ledwin	Mary Ellen		\$0.00	\$0.00	\$0.00	\$3,320.43	\$6,175.43	\$6,325.43	\$6,319.69	\$6,325.43
<b>District 117</b>										
Baker	Christina	3/20/00	\$9,756.00	\$9,431.00	\$120.20					\$120.20
Farrington	Frank		\$0.00	\$0.00	\$0.00	\$7,146.00	\$8,756.00	\$9,431.00	\$9,431.00	\$9,431.00
<b>District 119</b>										
Blanchette	Patricia		\$0.00	\$0.00	\$120.20					\$120.20
Lewis	Donald	9/5/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 126</b>										
Rudolph	David	3/20/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Tobin	James Howa		\$0.00	\$0.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 127</b>										
Povich	Edward	2/22/00	\$9,756.00	\$6,215.00	\$0.00					\$0.00
Joy	Steven	3/15/00	\$3,252.00	\$3,252.00	\$2,962.70					\$2,962.70
<b>District 128</b>										
Schatz	James		\$0.00	\$0.00	\$0.00					\$0.00
Perkins	Royce	3/15/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 129</b>										
Volenik	Paul	3/13/00	\$9,756.00	\$3,816.00	\$0.00					\$0.00
Grindal	Clare		\$0.00	\$0.00	\$0.00	\$3,505.68		\$3,505.68	\$3,815.68	\$3,815.68
<b>District 132</b>										
Wallace	Patricia	8/25/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Pinkham	William	3/23/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
<b>District 136</b>										
Bunker	George	3/14/00	\$9,756.00	\$4,339.00	\$494.07					\$494.07
Gillis	Barry		\$0.00	\$0.00	\$0.00				\$4,338.50	\$4,338.50
<b>District 141</b>										
Landry	Sally	3/15/00	\$3,252.00	\$3,252.00	\$1,352.78					\$1,352.78
Martin	Stephen		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 144</b>										
Lundeen	Jacqueline	3/23/00	\$9,756.00	\$7,342.00	\$785.35					\$785.35



# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
Niblett	Margaret		\$0.00	\$0.00	\$0.00	\$3,691.57		\$4,317.54	\$4,898.12	\$4,898.12
<b>District 147</b>										
Sanfacon	Matthew	9/15/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Belanger	Irvin		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 151</b>										
Michaud	Marc	3/16/00	\$3,252.00	\$3,252.00	\$0.00					\$0.00
Jackson	Troy		\$0.00	\$0.00	\$0.00				\$1,962.00	\$1,962.00
<b>Senate</b>										
<b>District 2</b>										
McLaughlin	Eugene	3/20/00	\$38,730.00	\$14,536.00	\$0.00					\$0.00
Kneeland	Richard		\$0.00	\$0.00	\$0.00	\$14,535.50				\$14,535.50
<b>District 3</b>										
Michaud	Michael		\$0.00	\$0.00	\$0.00					\$0.00
Ek	Roger	3/21/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
<b>District 4</b>										
Finch	George	9/25/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Shorey	Kevin		\$0.00	\$0.00	\$0.00					\$0.00
Dolan	Arthur		\$0.00	\$0.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 6</b>										
Ruhlin	Richard		\$0.00	\$0.00	\$11,868.90				\$14,955.96	\$26,824.86
Youngblood	Edward	3/20/00	\$38,730.00	\$28,325.00	\$0.00					\$0.00
<b>District 7</b>										
Cathcart	Mary		\$0.00	\$0.00	\$0.00	\$15,657.61	\$16,967.53	\$17,657.86	\$16,771.27	\$17,657.86
Ireland	David	8/29/00	\$38,730.00	\$17,658.00	\$0.00					\$0.00
<b>District 8</b>										
Howard	Linda Clark	3/14/00	\$12,910.00	\$12,910.00	\$2,304.25					\$2,304.25
Davis	Paul	3/11/00	\$38,730.00	\$15,214.00	\$0.00					\$0.00
<b>District 10</b>										
Hay	Jean	3/15/00	\$38,730.00	\$13,488.00	\$0.00					\$0.00
Mitchell	Betty Lou		\$0.00	\$0.00	\$0.00	\$13,487.74				\$13,487.74
<b>District 11</b>										
Longley	Susan	3/20/00	\$15,611.00	\$15,611.00	\$5,163.80					\$5,163.80
Ford	John	3/6/00	\$38,730.00	\$18,074.00	\$2,701.00					\$2,701.00
<b>District 13</b>										
Hatch	Pamela		\$0.00	\$0.00	\$3,133.06				\$8,040.81	\$11,173.87
Mills	S. Peter	3/16/00	\$12,910.00	\$12,910.00	\$765.90					\$765.90
<b>District 14</b>										
Gagnon	Kenneth	3/14/00	\$12,910.00	\$12,910.00	\$21,314.22					\$21,314.22

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
Gaunce	Charles		\$0.00	\$0.00	\$0.00				\$15,190.28	\$15,190.28
<b>District 15</b>										
Daggett	Beverly	3/17/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Austin	JoAnn Clark	3/27/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
<b>District 17</b>										
Christensen	Russell	3/15/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Woodcock	Chandler	3/17/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
<b>District 18</b>										
Treat	Sharon	3/15/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Kaiser	John	3/17/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
<b>District 19</b>										
Grose	Carol	8/25/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Small	Mary	3/17/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
<b>District 20</b>										
Nutting	John	3/17/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Coates	Robert		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 21</b>										
Rotundo	Margaret	3/15/00	\$4,473.00	\$12,910.00	\$0.00					\$0.00
Poulin	Larry		\$0.00	\$0.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 23</b>										
Edmonds	Betheda	2/28/00	\$14,720.00	\$14,720.00	\$9,868.00					\$9,868.00
Snow	David	3/20/00	\$38,730.00	\$22,778.00	\$1,810.00					\$1,810.00
Toothaker	Robert		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 24</b>										
Derouche	Joseph	3/27/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Ferguson	Norman	2/29/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
<b>District 27</b>										
Bailey	Loren	6/6/00	\$38,730.00	\$26,163.00	\$0.00					\$0.00
Abromson	I. Joel		\$0.00	\$0.00	\$0.00	\$16,675.11	\$20,900.11	\$25,553.11	\$26,163.09	\$26,163.09
<b>District 28</b>										
Rand	Anne	3/16/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Akers	Frank		\$0.00	\$0.00	\$0.00					\$0.00
<b>District 30</b>										
Bromley	Lynn	3/22/00	\$15,611.00	\$15,611.00	\$10,129.01					\$10,129.01
Watson	Ruth McCle	3/20/00	\$38,730.00	\$23,039.00	\$2,701.00					\$2,701.00
<b>District 31</b>										
Pendleton	Peggy	3/15/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
Sangster	Mark	3/15/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00

# DISTRIBUTION SUMMARY-ACCELERATED REPORTING (GENERAL)

CANLAST	CANFIRST	MCEA Date	Gen Dist Total	Gen Auth Total General Aut	INDEPENDENT EXPEND	101% Gen. Comparison	21 Day Gen. Comparison	12 Day Gen. Comparison	Greater 6 Day P	General Compariso
<b>District 32</b>										
LaFountain III	Lloyd		\$0.00	\$0.00	\$0.00					\$0.00
McCulloh	Jeffery	9/5/00	\$12,910.00	\$12,910.00	\$0.00					\$0.00
<b>District 34</b>										
Gean	Donald	3/22/00	\$12,910.00	\$12,910.00	\$7,124.85					\$7,124.85
McAlevey	Michael		\$0.00	\$0.00	\$0.00					\$0.00
<b>Withdrawn- Senate</b>										
<b>District 4</b>										
Oden	Nancy		\$0.00	\$0.00	\$0.00					\$0.00



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

October 16, 2000

To: All Participants in the 2000 Elections

From: William C. Hain, III, Executive Director

Subj: **MAINE CLEAN ELECTION ACT STUDY REPORT**

The Commission is required to submit a report to the Legislature documenting, evaluating and making recommendations relating to the administration, implementation and enforcement of the Maine Clean Election Act and the Maine Clean Election Fund. To assist the Commission prepare that report, the staff is soliciting comments, recommendations, suggestions, or any other form of contribution from all candidates in the 2000 elections.

If you are a certified MCEA candidate, please tell us what worked particularly well and what you might recommend be changed to make the Act, the Fund, or their administration work better. If you were not a MCEA candidate, what suggestions might you make for changes in the MCEA that might encourage you to consider running under the Act? In other words, please help the Commission make recommendations to the Legislature by submitting your comments relating to the administration, implementation and enforcement of the Maine Clean Election Act this year.

Please submit your written contribution along with your 6-day pre-general election report, or separately as soon after the November election as possible. The Commission will compile, evaluate, summarize, and submit to the Legislature as part of its report the contributions we receive from the public participants in this process. This is your opportunity to help make one of Maine's laws better.

Thank you for your assistance in this very worthwhile effort.



PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775

# Summary of 17

## CUSTOMER SATISFACTION SURVEYS (WITHOUT COMMENTS)

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

13@ 5      4@ 4      3      2      1

Comments: Average = 4.8

2) Staff personnel were readily accessible to help resolve my concerns.

10@ 5      7@ 4      3      2      1

Comments: Average = 4.6

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

8@ 5      7@ 4      2@ 3      2      1

Comments: Average = 4.4

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

9@ 5      4@ 4      3@ 3      1@ 2      1

Comments: Average = 4.2

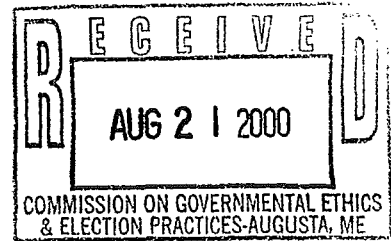
5) Staff personnel accurately answered my questions to help resolve my concerns.

9@ 5      6@ 4      2@ 3      2      1

Comments: Average = 4.4

Additional Comments: Total Average = 4.5

John Porter  
Portland Newspapers  
390 Congress Street  
Portland, Maine 04105



August 10, 2000

To the editor:

I would like to have the Portland Newspapers consider a policy change. Currently all political candidates must pay the same rate for advertising in your papers. This puts those of us who are running as Certified Maine Clean Elections Candidates at a competitive disadvantage.

Many of us expect to be outspent by a three to one margin by opponents who are following the traditional funding route. A \$600 ad in your paper would use up 18% of my entire campaign budget; it would use up just 6% of my opponents budget if he spends as much as he did last time.

The Portland Newspapers group has taken a strong stand in favor of the Maine Clean Elections Law. It is time to walk the talk. If you want to help level the playing field between us and the traditionally funded candidates, please think about adjusting your rates accordingly. A list of the more than one hundred of us who are running "clean" for the Maine Legislature is readily available from the Commission on Ethics in Augusta.

David Clark  
Candidate for Maine House District 40  
43 Lakeside Drive  
Falmouth, Maine 04105  
797-2544 home evenings, 552-2729 work days

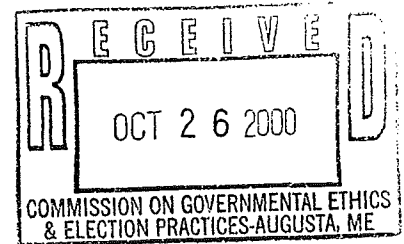
P. S. Your staff was very helpful about explaining your policies and capabilities. If you would like me to work this letter into a longer "op-ed" piece, I would be glad to chat with you about the possibility.

cc: John Braughtingham  
Kurt Adams  
✓ William Hain

MR. HAIN- THIS ISSUE MAY OR MAY NOT BE  
SOMETHING THE CLEAN ELECTIONS LAW CAN ADDRESS  
BUT I WANTED TO LET YOU KNOW OF  
MY CONCERN. THANKS FOR READING.  
ALL THE BEST -  
DAVE



Frank J Farrington  
20 STATE STREET  
PO BOX 885  
BANGOR, MAINE 04402-0885



FAX (207) 947-9189  
PHONE (207) 942-6741  
Toll free 1-877-831-1313

October 25, 2000

William C. Hain, III, Executive Director  
Commission on Governmental Ethics  
and Election Practices  
135 State House Station  
Augusta ME 04333-0135

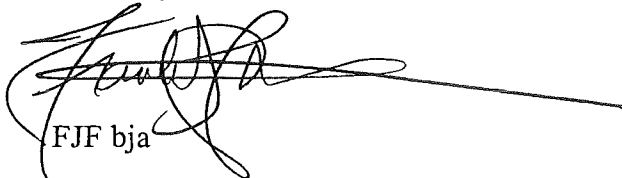
Dear Mr. Hain:

As per your October 16<sup>th</sup> memorandum to all participants in the 2000 Elections I am enclosing the Customer Satisfaction Survey. You will note that I have rated your staff personnel in the highest category. This is because anyone I talked to was extremely helpful in educating me on how to comply with the Act. This is the first time I have run for this type of elective office and I had little to no experience with this sort of record keeping and compliance requirements.

As you know I was not an MCEA candidate. My primary reason for this was my discomfort with forcing all taxpayers to pay for my election efforts. While soliciting campaign funds is not an enjoyable activity, at least each solicitee has the chance to say "no". In this era of unfunded mandates and high taxes requiring additional involuntary contributions did not seem preferable to the old fashioned way.

Once again, I want to commend you and your staff for making it easy to understand and comply with the Act.

Sincerely,



FJF bja

Enc



# HOUSE OF REPRESENTATIVES

2 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0002

(207) 287-1400

TTY: (207) 287-4469

## Robert A. Daigle

197 Mountain Road  
Arundel, ME 04046  
Telephone: (207) 282-0761  
Fax: (207) 282-2754  
E-Mail: rdaigle@gwi.net

November 10, 2000



William C. Hain, III  
Executive Director  
Commission on Governmental Ethics and Election Practices  
135 State House Station  
Augusta, ME 04333-0135

Re: Maine Clean Election Act Study Report

Dear Mr. Hain;

After running as a traditional candidate in this election, I am glad to offer you my observations, suspicions, and concerns regarding Maine's "Clean Election Act" (CEA) and the "Clean Elections Fund" (CEF).

**Equity of Funding:** In the course of raising money, I utilized direct solicitation requiring a modest investment for postage, and hosted an event with greater expenses such as printing costs and refreshments. These costs reduced the net funding available from contributions received. My opponent received the gross amount from the Clean Election fund. This resulted in an obvious disparity in funds available for the campaign and inappropriately acts as a disincentive to utilize traditional funding.

**Suggestion:** Remove the disincentive and achieve full equity between campaign funding options by basing matching funds on "net" not "gross" receipts.

**Effect on replacement candidates:** My initial opponent entered the race as a traditional candidate. This factored into my strategy for fundraising and making campaign expenditures. However my opponent was a "paper candidate" who raised absolutely no money, and exerted no effort to campaign. Shortly after the primary, she withdrew, and on the afternoon of the final day possible, the party nominated a replacement candidate. My opposition had the opportunity to view my campaign reports before nominating a replacement. It is obvious to me that this presented an opportunity to find a CEA candidate who could then access matching funds to oppose me. The process does not offer me the option to divest myself of funds and choose the CEA process.

In the most recent financial documents submitted before the election, my opponent spent only \$350 of the nearly \$3,800 authorized.

It is my opinion that the use of paper candidates, and the selection of replacements running under the Clean Elections Act is a conscience strategy that is contrary to the spirit of the law. It is employed more to neutralize the financial strength of an opposing candidate than to advance the sincere pursuit of a political campaign. The original candidate in an election is selected by petition circulated amongst

District 13 Arundel, part of Hollis and Lyman

registered voters in the district. Multiple candidates are reduced to a single standard bearer through the primary process. However the replacement candidate is selected wholly within a political party at the county level – often with no participation from the district. I acknowledge the \$5 CEA contribution serves to some degree as a statement of local support, but it is not limited to registered voters of that party. The current treatment of replacement candidates by the CAA therefore is an incentive by the party to manipulate the selection and fund status of paper and replacement candidates to the detriment of representation of the district. **Suggestion:** A replacement candidate should be required to secure qualifying signatures from registered voters in their party and from within their district. Ample time is available between withdrawal and replacement deadlines. Otherwise, he or she should be required to follow the same funding mechanism (traditional or CEF) as the original candidate. This removes all incentive for manipulation and allows the opponent to enter and conduct their entire campaign with predictability. A third suggestion would be to enable the traditional candidate to transfer their funds to their party and apply for CEF monies.

**Suspected irregularities:** I do not offer specific evidence of the following, but I recommend this be investigated:

1. Funding received by a CEA candidate who has little chance of success may be spent on untraceable expenses used in other campaigns. Examples include paying for staff support whose efforts are actually addressed elsewhere. Suspected activities include composition of campaign materials, transportation for door-to-door and literature drops, and operation of phone banks.
2. Fund transfers may have occurred to other “traditional” campaigns.
3. Campaign expenditures in a senate district may have been limited to subsets of the area in order to influence the campaign of a smaller house district.

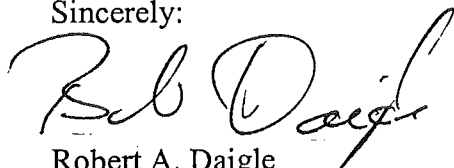
**Suggestions regarding the above:** Prohibit the expenditure of CEF monies for other campaigns and require affirmative statements by the candidate that the purpose and outcome of all spending was intended only support their election efforts.

**Fundraising for other purposes:** It has been widely reported by the press that some CEA candidates continue to raise money for political action campaigns. This behavior has generated widespread and understandable cynicism in the public for the process. I acknowledge that candidates in leadership positions need to raise money for party building purposes and should not be inhibited from personal participation in the CEA program. The root cause of this problem is the predictable effect of the relentless accusation of CEA supporters that fundraising has corrupted the political process. **Suggestion:** If/when the above related problems with fairness and irregularities is addressed, better communication to the public that the maximum amount of any single contribution is limited, and reporting requirements are likely to uncover inappropriate actions during the campaign.

As a final comment, shared by many, **change the name** to “Publicly funded” and please, drop the word ~~and~~ “clean”!

Thank you for the opportunity to comment.

Sincerely:



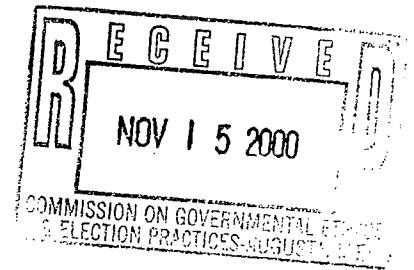
Robert A. Daigle  
State Representative

## Frederic P. Johnson

8 RIVERSIDE AVENUE  
WATERVILLE, ME 04901

November 14, 2000

Mr. William C. Hain, III  
Commission on Governmental Ethics  
135 State House Station  
Augusta, ME 04333-0135



Dear Mr. Hain,

As requested, enclosed is the Customer Satisfaction Survey concerning your department activities during the recent elections. This letter is to be considered a part of my response.

In general, I believe the Maine Clean Election Law worked quite well during the first year of operations. However, several situations should be addressed during the coming legislative session. These include the following and are mentioned in random sequence:

1. As my campaign experienced, the handling of loans and loan repayments should be more clearly defined. You are familiar with my situation, so no further comments are necessary.

2. Financing of campaigns become very difficult when Replacement Candidates are involved. This is especially true when a Clean Replacement Candidate replaces a properly nominated Traditional Candidate. In my case, a Traditional Candidate was nominated in the June primary, but I was not notified until your letter of August 21st arrived advising that I would now be opposed by a Clean Candidate. This caused all financing activities and reporting to be backed up to the June 13th primary date. In the future, I believe that the law should be changed to require that all Replacement Candidates use the same financing program as used by the properly nominated candidate who withdrew.

3. The law should require that all candidates for the same office receive copies of all correspondence relative to financial reporting between your office and any of the candidates.

4. Currently, Traditional Candidates are required to make extra finance reports. This is unfair and should be changed. It could be done by eliminating the 101%, 21 day, 12 day, and 6 day pre reports, and adding, for example, a 28 day and 9 day pre report for all candidates and adjusting the timing of the 48 Hour report accordingly.

5. Currently, if a Traditional Candidate exceeds your designated amount of money, the Clean Candidate promptly receives matching dollars. When the reverse occurs, due to efforts by third parties, the Traditional Candidate only receives authority to raise/spend more money without further matching funds. I believe the mechanics of the law should be changed so that when such activity occurs just before the election, the Traditional Candidate should receive matching funds from the State. This should occur so that the finances are fair and equal to all candidates, when the timing of the problem does not leave sufficient time for the Traditional Candidate to raise additional funds

without incurring private debt. I would suggest that any such unusual financing be restricted to the final days before the election, say a maximum of 10 days.

I have been exposed to all these situations during this past election and would like the opportunity to address the legislature next year during any formal action to modify the current law. In this regard, I have copied several individuals directly involved in the process.

Thanks for your personal action on my behalf.

Truly yours,

A handwritten signature in black ink, appearing to read "S. Peter Mills", written in a cursive style.

cc/ Senator S. Peter Mills

Senator-Elect Kenneth Gagnon

Representative-Elect Marilyn Canavan

16 Nolan Rd.  
Holden, ME 04429  
November 20, 2000

Ethics Commission  
353 State Street  
Augusta, ME 04333-0335

RE: MAINE CLEAN ELECTION ACT STUDY REPORT

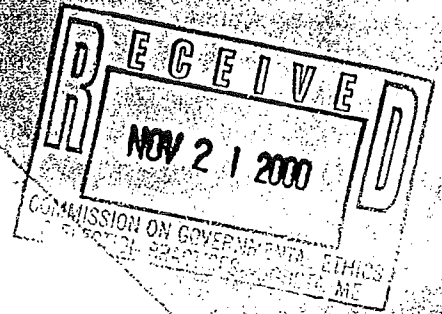
Gentlemen,

This letter is in response to your request for comments, recommendations, and/or suggestions relating to the implementation and enforcement of the Maine Clean Election Act, and the Maine Clean Election Fund.

The single most important issue I would like to emphasize is the disbursement date for the 'matching funds', which are distributed in the event a 'non-participating' candidate reaches the 101% mark. In my case, my opponent outspent my original general election disbursement by \$3073. I was notified on the afternoon of October 18<sup>th</sup> via telephone of my opponent's timely 21-Day Report, and of the additional funds. The problem lies in the title of the report itself i.e., 21-Day Report. Upon notification of the 21-Day Report there are less than 14 business days remaining in which to create and organize any literature, or final mailers. Additionally, the local printshop/mailhouses are already overburdened at this point with prior commitments to other candidates, not to mention their regular clientele.

This last minute notice of sorely needed additional funds is very much appreciated, however, by this point in the campaign it is next to impossible to contract for printing services, much less expect any print shop to be able to produce an acceptable product with such short notice. In fact, as a result of the short notice I received, coupled with the print shop's inundation of prior commitments, two of the five towns in my district did not receive my final mailer until AFTER the election. I have verification of this most unfortunate incident from the Post Master General in Bangor, and needless to say, it is extremely disappointing to lose an election that can, to some extent, be attributed to financial and time related constraints.


It is my hope that the 21-Day Report problem (and it is a problem), can be examined and corrected before the next election season. Finally, these last minute funds are crucial to completing an otherwise effective campaign against a traditionally funded opponent who is not disadvantaged by the restrictions that lie within the parameters of the MCEF.



It is also my sincere hope that this letter will assist the Commission, and the 120<sup>th</sup> Legislature in creating a practical alternative to the 21-Day Report. One potential solution would be to raise the initial amount of authorized general election funds, thereby curtailing somewhat the necessity of the 21-Day Report.

Thank-you for this opportunity to voice these vital concerns, as I look forward to working with the Commission again in future elections.

Sincerely,



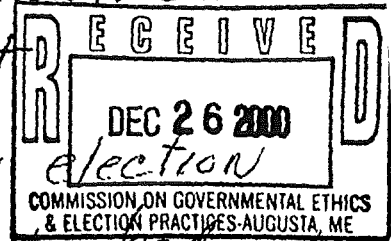
John E. Burke II  
House District 116  
Phone/Fax: 989-5693  
Cell: 478-1837  
Email: [jeburke41@yahoo.com](mailto:jeburke41@yahoo.com)

TO: Commission on Government Ethics & Election Practices

FROM: Rep. Ross Paradis

RE: Maine Clean Election Act Study Report

DATE: 12-22-00



I didn't become a clean candidate because I absolutely had no time to get 55 \$ checks.

I had a full Teaching load during my primary. I've since retired.

Most people are on their own when they run for office. It's a very difficult time consuming process.

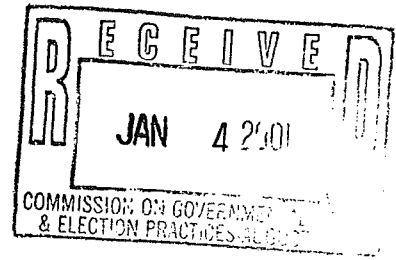
I also have concerns with the announcements that individuals are under investigation for misuse of clean elections. It played on every channel forever and made the individuals sound like murderers.

I'm sure if they're vindicated it will be a blip on the screen. We got the impression that they were charged, judged and found guilty already.

Ross







William C. Hain III  
Executive Director  
Commission on Governmental Ethics and Election Practices  
135 State House Station  
Augusta, Maine 04333-0135

December 30, 2000

Dear Mr Hain:

Thank you very much for taking the time to talk with me during my visit to your office at the end of last month. You asked for some feedback in writing on the Clean Elections law, and that is the purpose of my letter today.

I ran for the Maine House of Representatives in District 40 (Falmouth and West Cumberland) as a certified Maine Clean Elections Candidate. I was unopposed for the Democratic nomination in June, and faced an incumbent Republican, who ran as a traditionally funded candidate, in November. I served as my own Treasurer so that I could watch first hand how the system works.

I was pleased to run as a Clean Elections Candidate. I believe the current law is a good step toward meaningful campaign finance reform and will in the long run provide the voters of the State with a legislature that is influenced more by the power of ideas rather than the power of lobbyists and money.

My candidacy was based on twelve years experience in town government in Falmouth and was supported by several endorsements including the Dirigo Alliance, Maine NOW, Maine League of Conservation Voters as well as the Falmouth Forecaster and Casco Bay Weekly newspapers.

Between June and November I knocked on 3,300 doors in the district. I reminded every voter I met that I was running as a Maine Clean Elections Candidate, and explained to as many as would listen what I perceived to be the importance of "running clean". I can report that there while this resonated well with less than a hundred voters who indicated they knew about the law and supported it, the vast majority of voters in the district were not well informed on the new Clean Elections Law.

My campaign literature featured the words Certified Maine Clean Elections Candidate prominently and several letters to the local papers from my supporters also mentioned this fact. In short, I pushed the Clean Elections Act, and pushed it hard.

On November 7, I was defeated at the polls by a three to two margin, which mirrors the Republican to Democrat registration in the district. I spent slightly more than the money originally budgeted to me by your office. My opponent's spending did trigger more than \$3,700 in matching funds very late in the campaign, but I felt it was important to demonstrate to the voters that I could keep to a budget and that big spending by my opponent should not cost the taxpayers extra dollars. Your own office can provide the final spending figures for both of us.

May I stress again how helpful, courteous, and prompt your staff was during my visits to your office and in handling my telephone calls. Given that this is the first campaign with this Act in place, I think they did an excellent job.

May I also offer the following observations and suggestions for consideration by your office and the legislature as we seek to make this an even better and more effective option for campaign financing.

Mr William C. Hain page 2.

--As I indicated earlier, the matching funds were triggered late in the campaign. It would have been difficult to spend them all effectively in the last few days even if I had chosen to do so. Deadlines for our weekly newspapers had already passed. Organizing and printing extra mailings, and renting extra telephones for phone banking would have been difficult. I had been running on a budget of \$3,252 for 19 weeks, and then had double that amount for the last two weeks. There were published reports that your office was calling candidates as late as the afternoon of election day to authorize more spending. Certainly it would be helpful if all candidates could know their budgets for the last month by early October. To that end, I suggest amending the Act to require a final income reporting date of October first. Any income received after that date by a traditional candidate would either be returned to the donor or turned over to the Clean Election Fund. Additional savings in eliminating multiple calls, e-mails and letters from your office to keep candidates constantly updated throughout the last few weeks could also be realized.

--I appreciated the efficiency of sending me just one check to cover all potential matching funds, even though I was authorized to spend them incrementally. Nevertheless I would suggest that this system could possibly lead to abuse or confusion. If the reform I mention in the above paragraph is adopted, this risk can hopefully be eliminated, and the Fund would also benefit from keeping a higher balance, on which I presume it could be gaining some interest.

--The deadline for returning all unspent funds should be extended to three or four weeks after election day to enable candidates to write final checks, have them clear, and then close bank accounts if necessary.

--To create more awareness of the law, the ballots should state if a candidate is running his or her campaign as a Clean Elections candidate. We list party affiliation, we should list financing choices.

--Some candidates in the Portland area cited high media costs as a reason not to run as Clean Elections candidates. I realize that calculations for funding for Clean Elections candidates are calculated on a state-wide basis. While I cannot suggest an easy solution for adjusting the amounts awarded based on population, square miles, or number of newspapers and radio stations in a district, I would suggest that perhaps your office could do more to publicize which candidates are running "clean" and which are running "traditional" in an effort to level this field.

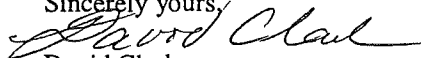
--As I discussed with you at your office, the reporting forms need updating. There is no box on the income page to list a contribution from the Clean Elections Fund. Those of us who ran "clean" had several unnecessary pages in our packets.

--Given recent news stories, perhaps the guidelines around the use of meals for candidates and volunteers, and the use of the candidate's own automobile in campaigning need to be spelled out more explicitly.

--I believe Clean Elections Candidates should not be allowed to create their own PACs or control PACs run by others on their behalf. This simply is not in the spirit of campaign finance reform.

Thank you very much for reading. I would be glad to make myself available to your office or to the Legislature to help update the Act.

Sincerely yours,



David Clark

43 Lakeside Drive

Falmouth, Maine 04105

797-2544 home 552-2729 work. E-mail: User3542@aol.com

cc: George Christie, Mike Saxl, Pat Colwell, Bill Norbert, Kurt Adams.

### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1  
Comments: One Contact only

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: One Contact only

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: See above

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: See above

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: See above

Additional Comments: I would just like to see two lists (1) Where did the Money come from (2) Where did it go. (over)

① How many staff to oversee just a small number of rows?

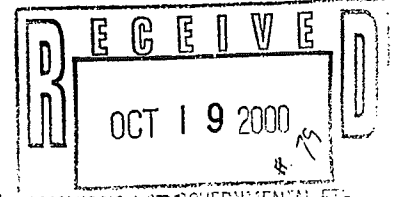
② This could be my second term so I have limited knowledge, but it seems like overkill to worry about the small amounts in these little races.

③ Most of the legislators I know <sup>hold</sup> the way they do, out of deep beliefs, not based on money, but 'Principal'.

④ I "hear" that some clean elections candidates have raised money for others and worked for other candidates.

⑤ How are the splits between D's and R's as to <sup>the</sup> number of Clean (state financial) candidates concern.

⑥



CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

Additional Comments: Question accountability of money given to "Clean" candidates



STATE OF MAINE  
 COMMISSION ON GOVERNMENTAL ETHICS  
 AND ELECTION PRACTICES  
 135 STATE HOUSE STATION  
 AUGUSTA, MAINE  
 04333-0135

October 16, 2000

To: All Participants in the 2000 Elections

From: William C. Hain, III, Executive Director

Subj: MAINE CLEAN ELECTION ACT STUDY REPORT

The Commission is required to submit a report to the Legislature documenting, evaluating and making recommendations relating to the administration, implementation and enforcement of the Maine Clean Election Act and the Maine Clean Election Fund. To assist the Commission prepare that report, the staff is soliciting comments, recommendations, suggestions, or any other form of contribution from all candidates in the 2000 elections.

If you are a certified MCEA candidate, please tell us what worked particularly well and what you might recommend be changed to make the Act, the Fund, or their administration work better. If you were not a MCEA candidate, what suggestions might you make for changes in the MCEA that might encourage you to consider running under the Act? In other words, please help the Commission make recommendations to the Legislature by submitting your comments relating to the administration, implementation and enforcement of the Maine Clean Election Act this year.

Please submit your written contribution along with your 6-day pre-general election report, or separately as soon after the November election as possible. The Commission will compile, evaluate, summarize, and submit to the Legislature as part of its report the contributions we receive from the public participants in this process. This is your opportunity to help make one of Maine's laws better.

Thank you for your assistance in this very worthwhile effort.

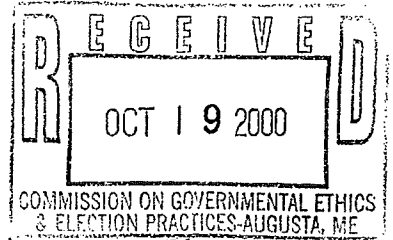
10/18/00

To: Bill Hain  
 From: John Harris

I did not run as a MCEA candidate, although I considered it because my opponent said he would not participate. If there was a safe guard ~~to~~ to preclude last minute spending by a non-participating opponent I would consider it the future.

PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: *Inas much as I wasnt a successful primary candidate, I've already closed my books through the Commission*



Within the scope of my needs, I found the staff super-excellent to deal with. I would however, like to streamline the process in the future — just too many papers to fill out!

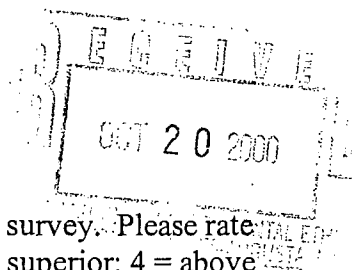
Again — thank you for all your help

Jan Harroth

#116

---

## CUSTOMER SATISFACTION SURVEY



In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

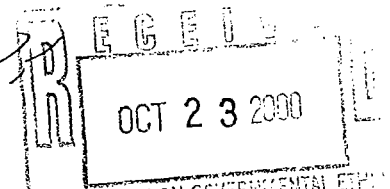
5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

Additional Comments: For The First Year, this program  
was very successful.

*A very professional operation*

**CUSTOMER SATISFACTION SURVEY**



In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: *It is my feeling that the Clean Election Law has created a soft money program, not the intent of the law in the first place. Not that anyone is doing anything illegal, but it appears that the creation of new PAC's has arisen to legally get around the law.*

CUSTOMER SATISFACTION SURVEY

OCT 24

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5 4 3 2 1

Comments: I have always found the staff to be very polite & courteous

2) Staff personnel were readily accessible to help resolve my concerns.

5 4 3 2 1

Comments: I have had many questions and found the staff to be very helpful.

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5 4 3 2 1

Comments: Yes, frequently they collaborated among themselves to make sure answer was correct!

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5 4 3 2 1

Comments: Always, answer was given in the first phone call.

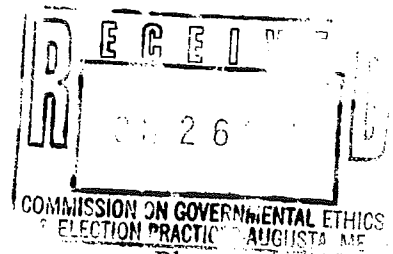
5) Staff personnel accurately answered my questions to help resolve my concerns.

5 4 3 2 1

Comments: yes, every time.

Additional Comments: I would be lost as a "clean" election candidate without the Ethics Commission staff!

Rep. Joe Brooks  
2 Goshen Road  
Winterport, ME 04496



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

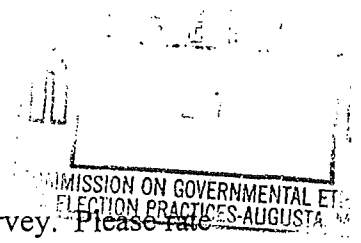
Comments: STAFF WAS EXCELLENT. THE TECHNICALITIES OF THE

LAW WERE NOT CLEAR. SEE MY LETTER TO BILL HAIN RE

Additional Comments: THE SITUATION I INADVERTENTLY FOUND  
MYSELF IN.

*Kevin  
Musk*

## CUSTOMER SATISFACTION SURVEY



In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_

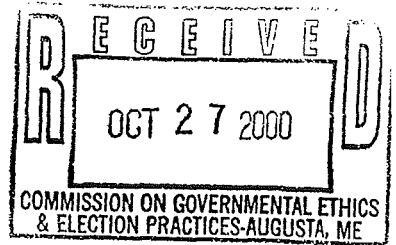
5) Staff personnel accurately answered my questions to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_

Additional Comments: The Commission has always been extremely

helpful. However I'm not sure I would participate again as  
there are too many loopholes. Everyone should participate to make  
it work. Incumbents always can use materials from previous runs  
one mailing to be done effectively by doing 1st class is nearly \$1,500.-  
ads are nearly out of the question do to the cost and immense area  
to cover



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5      4      3      2      1

Comments: Everyone did a great job in my opinion.

2) Staff personnel were readily accessible to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5      4      3 1/2      2      1

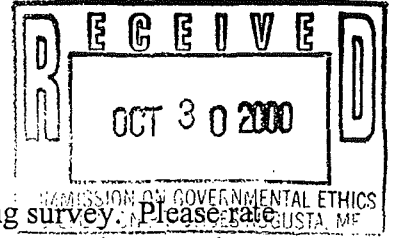
Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5      4      3 1/2      2      1

Comments: \_\_\_\_\_

Additional Comments: This was an enjoyable experience. I think every one should be pleased with the way they handled themselves.



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

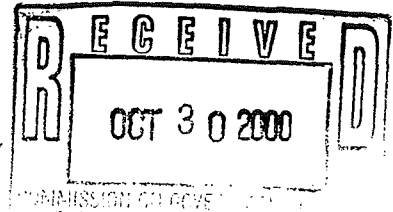
5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments:

*It was a great pleasure working with the phone staff at the Maine Clean Election Office. They are very knowledgeable & personable. Keep up the excellent work.*





CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5 4 3 2 1

Comments: MY FEW MINUTES AT THE OFFICE WERE EFFICIENT & INFORMATIVE AND I COMPLETED MY BUSINESS QUICKLY.

2) Staff personnel were readily accessible to help resolve my concerns.

5 4 3 2 1

Comments: PLEASE MAKE SURE THAT A NOTARY PUBLIC IS ON HAND DURING REGULAR HOURS AT THE ETHICS OFFICE IN AUGUSTA.

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5 4 3 2 1

Comments: THE REPORTING FORMS SCHEDULE A DON'T HAVE A PLACE FOR RECEIPTS FROM MAINE CLEAN ELECTIONS FUND. A READY AND UNIVERSAL ANSWER AS TO WHEN TO PUT IT WOULD BE HELPFUL.

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5 4 3 2 1

Comments:

5) Staff personnel accurately answered my questions to help resolve my concerns.

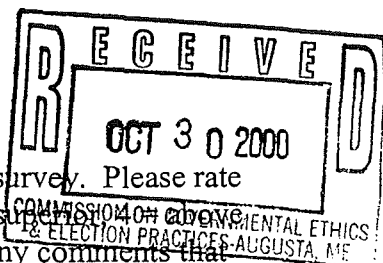
5 4 3 2 1

Comments:

Additional Comments: THANKS FOR THE PRO-ACTIVE CALL WHEN I QUALIFIED FOR MATCHING FUNDS.

DAVE CLARK - FAIRBANKS - HOUSE DISTRICT #4

CUSTOMER SATISFACTION SURVEY



In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      (3)                      2                      1

Comments: Major staff person often came across as having no appreciation for a new candidate having registered late

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      (2)                      1

Comments: major staff person gone for a week with no one to take his place

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      (1)

Comments: see 2

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      (2)                      1

Comments: Getting answers involved almost daily phone calls, Took much time & pushed me to almost the final day.

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      (2)                      1

Comments: Daily with repeated calls. —

Additional Comments: I like the idea of Clean Election but directions for all the paper work need to be presented in a simple 1 page form

## CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: I DEALT MOSTLY WITH ANDY. CALLS WERE

RETURNED PROMPTLY & COURTEOUSLY. HE WAS EXTREMELY PATIENT

Additional Comments: IN ANSWERING MY QUESTIONS & GIVING ME ASSISTANCE

FILLING OUT REPORT FORMS

## CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: STAFF ALWAYS HELPFUL

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: ONLY A FEW AREAS NEEDED WORK, BUT

THAT IS TRUE OF ANY NEW SYSTEM

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: FOR A BRAND NEW SYSTEM, THERE  
HAVE BEEN FEW PROBLEMS.

## CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1  
Comments: all the staff that I have had contact with  
both in person and by phone were ready to help in  
any way they could

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: \_\_\_\_\_  
\_\_\_\_\_

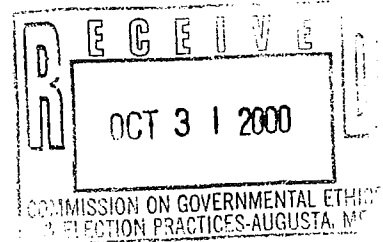
4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1  
Comments: \_\_\_\_\_  
\_\_\_\_\_

Additional Comments: I wish this program could include  
our federal candidates



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: Bill and Ardy were most patient explaining details

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

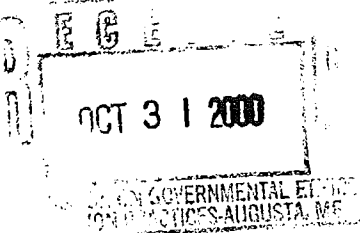
Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: this is an act which initially can drive one a bit crazy but with time it gets better. The first time around is a definite challenge!



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

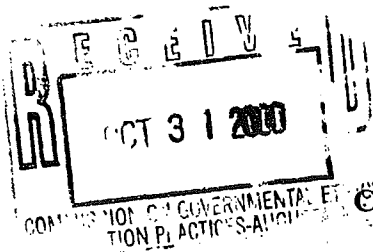
5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: IF I run again I will be a Clean Election Candidate, Reason I didn't this time, I have a hard time asking people for money.

Dennis McLellan  
96 Middle Road  
Orlando, ME 04455

House  
Representative



JEFF KAELEN

House 107

10/27/00

CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5 4 3 2 1

Comments:

2) Staff personnel were readily accessible to help resolve my concerns.

5 4 3 2 1

Comments:

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5 4 3 2 1

Comments: I AM CONCERNED ABOUT THE ABSENCE OF

COORDINATION BETWEEN MR. GOZDOWSKY'S OFFICE & THE ETHICS COMMISSION, CONCERNING DEFINITIONS OF

4) Staff personnel provided sufficient information in a timely manner to help resolve my "CANDIDATE" concerns.

5 4 3 2 1

Comments: NO COMPLAINT WITH THE PROFESSIONALISM OF

THE STAFF

5) Staff personnel accurately answered my questions to help resolve my concerns.

5 4 3 2 1

Comments: PAPERWORK BURDEN IS EXTREME, PARTICULARLY

DURING LAST DAYS OF THE CAMPAIGN

Additional Comments: A CANDIDATE SHOULD DECLARE BY

MARCH 16, BUT NOT BE REQUIRED TO HOLD

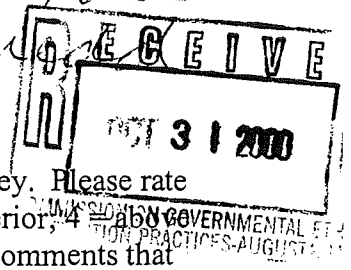
QUALIFIED CONTRIBUTIONS BY THAT DATE, A

FUTURE DATE COULD BE SET (JUNE 1?) TO REQUIRE

THE CONTRIBUTIONS. CURRENT LAW SUPPORTS INCUMBENTS.



*the staff was great - can we talk about the law? Marge M...*



**CUSTOMER SATISFACTION SURVEY**

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: *if not immediately - I was contacted quickly*

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: *at the time they were learning but responded as passively*

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

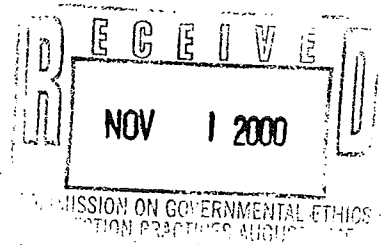
Comments: *true*

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: *will answer too sometimes*

Additional Comments: *with a new law, the staff did great and were very patient*



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

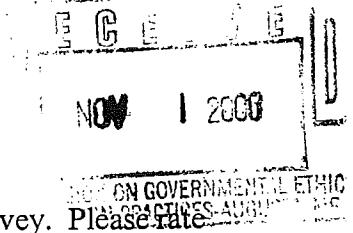
5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

One mistake in communication that was costly both strategically & financially. Either I was misunderstood or I received bad information but, all in all you all did a great job.

Additional Comments: \_\_\_\_\_  
\_\_\_\_\_



CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5 4 3 2 1

Comments: You all were very helpful!

2) Staff personnel were readily accessible to help resolve my concerns.

5 4 3 2 1

Comments:

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5 4 3 2 1

Comments:

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5 4 3 2 1

Comments:

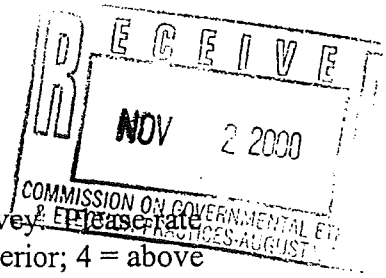
5) Staff personnel accurately answered my questions to help resolve my concerns.

5 4 3 2 1

Comments:

Additional Comments: I know it was difficult implementing this first-in-the-nation system. You did very well to focus on securing compliance and education rather than "gotcha!" and penalties.

## CUSTOMER SATISFACTION SURVEY



In order to evaluate and improve our service, please complete the following survey by Please Rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: *In all ways the staff has been*

*exceptional. They are helpful, knowledgeable, but above all they are thoughtful, kind, and very considerate with those of us who sometimes don't have a clue what is expected of us. Thank you so much.*

*Pat Jacob*

I found only using those pages in the report that I need besides the summary pages made it more sensible and less confusing. Maybe that's the way it always was and I didn't understand. However, it was explicitly stated in these instructions this campaign year. The format is also more efficient and sensible it flows much more logically.

NOV 3 2000

### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments:

They were ~~helpful~~ and listened to my concerns. Always pleasant and accommodating - outstanding civil servants.

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Never had to wait for someone helpful and knowledgeable to talk to.

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Always knowledgeable

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments:

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

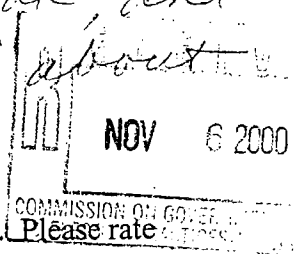
Comments:

They identified the points I needed to fill out and the time to do it

Excellent agency - they tell us what they need for information. Remind us help us however they can to answer our questions

*I am not a Clean Election Candidate, and I have not had any "concerns" about my campaign funding.*

**CUSTOMER SATISFACTION SURVEY**



In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

Additional Comments: \_\_\_\_\_

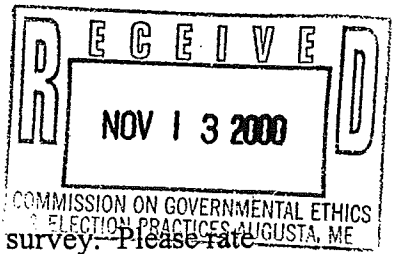
*I don't ever expect to be a Clean Election*

*(over)*

Candidate. We have a long tradition of a "citizen legislature" in Maine - long before the Clean Election Act. I feel the Clean Election Act interferes with the democratic process as well as the political process.

Unopposed candidates need to communicate with their constituents, but the funding under the MCEA is not adequate to do a mailing!





CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1                      *did not call*

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1                      *would not call*

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1                      *Did not ask*

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1                      *Answers to*

Comments: *Steve Toy (R) were different than answers to P. Volnek (D) - WHY?*

Additional Comments: *Now check from compiled - rejected - Toy accepted - Volnek*

*You do not need to respond - I would probably not believe your story, so don't waste either your time or mine. SBL*



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

October 16, 2000

To: All Participants in the 2000 Elections

From: William C. Hain, III, Executive Director

Subj: **MAINE CLEAN ELECTION ACT STUDY REPORT**

The Commission is required to submit a report to the Legislature documenting, evaluating and making recommendations relating to the administration, implementation and enforcement of the Maine Clean Election Act and the Maine Clean Election Fund. To assist the Commission prepare that report, the staff is soliciting comments, recommendations, suggestions, or any other form of contribution from all candidates in the 2000 elections.

If you are a certified MCEA candidate, please tell us what worked particularly well and what you might recommend be changed to make the Act, the Fund, or their administration work better. If you were not a MCEA candidate, what suggestions might you make for changes in the MCEA that might encourage you to consider running under the Act? In other words, please help the Commission make recommendations to the Legislature by submitting your comments relating to the administration, implementation and enforcement of the Maine Clean Election Act this year.

Please submit your written contribution along with your 6-day pre-general election report, or separately as soon after the November election as possible. The Commission will compile, evaluate, summarize, and submit to the Legislature as part of its report the contributions we receive from the public participants in this process. This is your opportunity to help make one of Maine's laws better.

Thank you for your assistance in this very worthwhile effort.

*The entire set up allowed me only 2 weeks to gather the 150 separate \$5.00 checks. Why are \$5.00 bills not allowed with S/N recorded since the person has to certify. Men do not carry checks.*



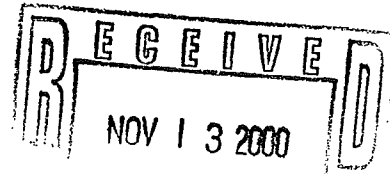
PRINTED ON RECYCLED PAPER

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE

PHONE: (207) 287-4179

FAX: (207) 287-6775

Paul J Zarned  
House Dist 52



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_  
\_\_\_\_\_

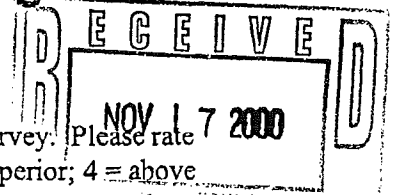
5) Staff personnel accurately answered my questions to help resolve my concerns.

5 4 3 2 1

Comments: The staff personnel were just great.

Additional Comments: Perhaps more information regarding the start up fund and the time table as to when it has to be spent if at all for new candidates going "Clean Election" Great job everyone.

Susan Hawes  
AD #43



CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

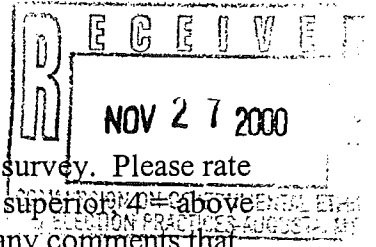
5) Staff personnel accurately answered my questions to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

Additional Comments: Only problem I have had was that my original packet did not include the receipts that needed to be signed by contributors of qualifying contributions. By the time the error was realized I had to backtrack + collect +hr. signatures after the fact.

CUSTOMER SATISFACTION SURVEY



In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5 4 3 2 1

Comments: Includes knowing when they didn't have enough knowledge and finding a staff member who did.

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5 4 3 2 1

Comments: \_\_\_\_\_

Thank you for the challenging & good work you do.

Additional Comments: Independent expenditures late in the cycle, were a problem.

48 hr. reports are no help. I would like to suggest that no independent expenditures be allowed after Oct. 20.

I realize the above is not directly related to the Commission's admin., implement., & enforcement of MCEA, but I wanted to record the thought. Sincerely, [Signature]

DEC 3 2000

### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

(5)                      4                      3                      2                      1

Comments: Very helpful in working with me to  
understand the MCEA

2) Staff personnel were readily accessible to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: It was a learning process for everyone.

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

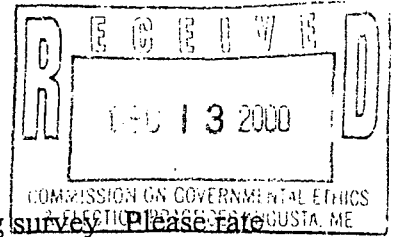
5) Staff personnel accurately answered my questions to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

Additional Comments: \_\_\_\_\_  
\_\_\_\_\_

# CUSTOMER SATISFACTION SURVEY



In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

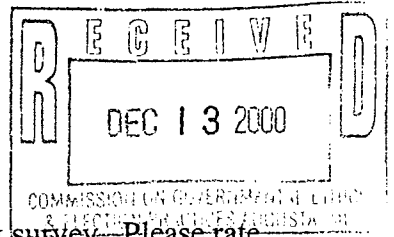
Additional Comments: \_\_\_\_\_

General comments re law:  
The \$1200 I received to run in the primary was really inadequate. For some candidates

to myself one primary, no  
generation is the big election and more  
funding is needed, particularly if  
you run against someone who's  
run before so already has signs  
for independent expenditures we  
enough to scare off at least one  
potential supporter from taking out  
an ad on my behalf. He wasn't  
trying to hide his support for me;  
he just didn't want the headache  
of having to file a report for a  
small ad.

Perhaps it should be emphasized to  
candidates considering <sup>running</sup> "clean"  
that no one - clean or tradition-  
less without risks - my opponent  
seemed to think the law would shield  
him from the risks of what can hap-  
pen in the last days of a primary election.  
I tried to have <sup>run</sup> "clean" and I go to  
the state House beholden only to the people who  
elected me.





## CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

(5)                      4                      3                      2                      1

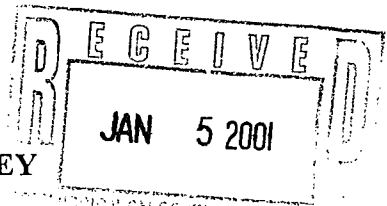
Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

(5)                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: *It would be helpful to have forms specifically designed for Clean Election candidates. The information provided for other candidates + included for clean election folks was sometimes*



### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

Additional Comments: Did not participate because I was a last minute candidate in March. Had only fifteen days to obtain signatures. Did not feel I had sufficient time to obtain JS nomination over

Signatures. Plus 50 Clean Election Fund  
Signatures.

~~Camp~~ Campaigning in a rural area did not  
feel comfortable asking people for \$5.00.

Did not not people well enough to beg.

Found it ~~ambers~~ <sup>hard</sup> to have people  
write out a check with name, address, telephone  
& make check payable to Clean Elections Fund.

If run again ~~with~~ <sup>may</sup> try Clean Election  
because raised only \$100. Would make profit  
with clean election 2400.

However liked getting money from  
supported in community.

Would take 50 money orders and had  
people sign them and fill in other info later.

DEC 12 2000

COMMISSION ON GOVERNMENTAL ETHICS  
STATE HOUSE, 205-AUGUSTA, ME

### CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5                      4                      3                      2                      1

Comments: \_\_\_\_\_

Additional Comments: county office candidates should not be included in this process. The majority raise little if any outside contributions.

## CUSTOMER SATISFACTION SURVEY

In order to evaluate and improve our service, please complete the following survey. Please rate the performance of Commission staff personnel on the following scale: 5 = superior; 4 = above average; 3 = average; 2 = below average; 1 = unacceptable. Please include any comments that might be helpful in improving our service to you.

1) Staff personnel were courteous, polite and considerate in responding to my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

2) Staff personnel were readily accessible to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

3) Staff personnel demonstrated an adequate knowledge of the Commission's requirements to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

4) Staff personnel provided sufficient information in a timely manner to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

5) Staff personnel accurately answered my questions to help resolve my concerns.

5      4      3      2      1

Comments: \_\_\_\_\_  
\_\_\_\_\_

Additional Comments: \_\_\_\_\_

Given the newness of the Clean Elections Act, I was very impressed w/ the spirit of "can-do" of the Staff.

Thank you - Colleen Connors # 37