

MAINE STATE LEGISLATURE

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2016 Annual Compliance Report

State of Maine Workers' Compensation Board



January 1, 2016—December 31, 2016

Office of Monitoring, Audit & Enforcement

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**MAINE WORKERS' COMPENSATION BOARD
2016 ANNUAL COMPLIANCE REPORT**

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EXECUTIVE SUMMARY

- I. On August 28, 2017, the Maine Workers' Compensation Board of Directors approved the 2016 Annual Compliance Report (**January 1, 2016** through **December 31, 2016**). This report represents the efforts of the Office of Monitoring, Audit and Enforcement (MAE Program) and the workers' compensation community.

II. HISTORY

In 1997, the Maine Legislature, with the support of Governor Angus S. King, Jr., enacted Public Law 1997, Chapter 486 to establish the Office of Monitoring, Audit, and Enforcement with the goals of: (1) providing timely and reliable data to policymakers; (2) monitoring and auditing payments and filings; and (3) identifying those insurers, self-administered employers, and third-party administrators (collectively "insurers") not complying with minimum standards.

III. COMPLIANCE OVERVIEW

The 2016 quarterly compliance in Table 1 represents static results based upon data received by the deadline for each quarter. This 2016 Annual Compliance Report represents static results based upon data received by March 3, 2017.

A. Lost Time First Report Filings

The Board's benchmark for lost time first report (FROI) filings within 7 days is 85%.

Benchmark Not Met. Eighty-three percent (83%) of lost time FROI filings were within 7 days.

B. Initial Indemnity Payments

The Board's benchmark for initial indemnity payments within 14 days is 87%.

Benchmark Exceeded. Eighty-nine percent (89%) of initial indemnity payments were within 14 days.

C. Initial Memorandum of Payment Filings

The Board's benchmark for initial Memorandum of Payment (MOP) filings within 17 days is 85%.

Benchmark Exceeded. Eighty-eight percent (88%) of initial MOP filings were within 17 days.

D. Initial Indemnity Notice of Controversy Filings

The Board's benchmark for initial indemnity Notice of Controversy (NOC) filings within 14 days is 90%.

Benchmark Exceeded. Ninety-three percent (93%) of initial indemnity NOC filings were within 14 days.

E. Wage Information

Sixty-eight (68%) of Wage Statement(s) and Sixty-eight (68%) of the Fringe Benefit Worksheet(s) that were received in 2016 were filed within 30 days.

F. Utilization Analysis

Twenty percent (20%) of all lost time first reports were "denied" and forty-three percent (43%) of all claims for compensation were denied.

IV. CAVEATS & EXPLANATIONS

A. General

- Question marks (“?”) within this report indicate that the insurer did not provide all the data required to measure compliance.

B. Lost Time First Report Filings

- Compliance with the lost time first report filing obligation exists when the lost time first report is filed (accepted Electronic Data Interchange (EDI) transaction, with or without errors) within 7 days of the employer receiving notice or knowledge of an employee injury that has caused the employee to lose a day’s work.
- When a medical only first report was received and later converted to a lost time first report, if the received date minus the date of the employer’s notice or knowledge of incapacity was less than zero, the filing was considered compliant.

C. Initial Indemnity Payments

Compliance with the Initial Indemnity Payment obligation exists when the check is mailed within the later of: (a) 14 days after the employer’s notice or knowledge of incapacity or (b) the first day of compensability plus 6 days.

D. Initial Memorandum of Payment Filings

Compliance with the Initial Memorandum of Payment filing obligation exists when the MOP is received within 17 days of the employer’s notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy Filings

- Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).
- Compliance with the Initial Indemnity Notice of Controversy filing obligation exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days of the employer receiving notice or knowledge of the incapacity or death.

V. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in 2016:

Auditee (alpha order)	Total Penalties
Allianz Insurance Group	\$4,600.00
AmTrust North America, Inc.	\$10,125.00
Broadspire Services, Inc.	\$4,500.00
Church Mutual Insurance Company	\$3,500.00
Frankenmuth Group (Patriot Insurance)	\$3,000.00
Hartford Insurance	\$1,500.00
Lumberman's Underwritings	\$1,200.00*
Maine Automobile Dealers Association Workers' Compensation Trust	\$4,550.00
Matrix Absence Management	\$800.00
MS & AD Insurance Group	\$1,700.00
Ryder Services Corporation	\$0.00
SeaBright Insurance Company	\$5,000.00
Sentry Insurance	\$25,000.00
Zurich Insurance Group	\$13,350.00

*penalties negotiated, but not collected because the insurer became insolvent

This 2016 Annual Compliance Report was prepared by the following MAE staff members:

Name	Position	Annual Report Role
Kimberly Belka	Secretary Associate	Administrative Support
Carrie Ellis	Management Analyst II	Research & Compilation
Seanna Crasnick	Deputy General Counsel	Editor

Annual Compliance Summary

Table 1 Quarterly Compliance Reports

	Benchmark	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
Lost Time First Report Filings Received within 7 Days	85%	86%	86%	81%	82%
Initial Indemnity Payments Made within 14 Days	87%	89%	91%	90%	89%
Initial Memorandum of Payment Filings Received within 17 Days	85%	89%	88%	90%	88%
Initial Indemnity Notice of Controversy Filings Received within 14 Days	90%	93%	94%	91%	93%

Table 2 Annual Compliance

	1997[1]	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Lost Time First Report Filings Received within 7 Days	37%	87%	89%	84%	86%	87%	85%	85%	84%	83%	83%
Initial Indemnity Payments Made within 14 Days	59%	87%	89%	89%	89%	89%	90%	90%	90%	87%	89%
Initial Memorandum of Payment Filings Received within 17 Days	57%	85%	88%	87%	86%	89%	89%	89%	89%	86%	88%
Initial Indemnity Notice of Controversy Filings Received within 14 Days[2]		89%	90%	94%	94%	95%	95%	95%	94%	94%	93%

Table 3 Percentage Over Time

	1997[1]	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Lost Time First Report Filings Received within 7 Days	0%	136%	141%	127%	135%	138%	132%	133%	128%	126%	126%
Initial Indemnity Payments Made within 14 Days	0%	47%	49%	49%	50%	50%	51%	52%	51%	47%	50%
Initial Memorandum of Payment Filings Received within 17 Days	0%	49%	55%	54%	52%	56%	56%	58%	56%	52%	56%
Initial Indemnity Notice of Controversy Filings Received within 14 Days[2]		-3%	-1%	2%	3%	4%	4%	4%	2%	3%	1%

[1] Based on sample data.

[2] Benchmark changed in 2007 from 17 days to 14 days.

High Compliance Performers

Entities large and small have developed the policies, processes and systems necessary to achieve or exceed the benchmark compliance levels relative to form filing, as well as the initial indemnity payments that put money into injured Maine employees' households. The Board wishes to recognize them for their excellence in these areas.

These high-performing entities run the gamut of size, location and organization type, i.e. insurer, TPA, self-insured self-administered employer.

Benchmark Category:	First Report Filings	Initial Payments	Initial MOP Filings	Initial NOC Filings
---------------------	----------------------	------------------	---------------------	---------------------

Insurers/TPAs				
AIG Insurance	86%	90%	93%	90%
CNA Insurance	89%	100%	100%	100%
Cross Insurance	95%	96%	95%	99%
Electric Insurance	91%	88%	100%	100%
FutureComp	87%	91%	85%	97%
Sedgwick Claims Management Services	89%	88%	91%	95%
Synernet	88%	88%	92%	96%
Zurich Insurance	86%	88%	88%	91%

Self-Insureds				
Maine Automobile Dealers Association	95%	89%	96%	100%
Maine Healthcare Association	87%	90%	90%	100%
Maine Motor Transport Association	94%	87%	100%	98%
Maine Municipal Association	97%	98%	98%	98%
Maine School Management Association	97%	97%	99%	98%
State of Maine Workers' Comp. Trust	89%	99%	95%	97%

Board Benchmarks:

- 85% of Lost Time First Report filings received within 7 days
- 87% of Initial Indemnity Payments made within 14 days
- 85% of Initial Memorandum of Payment filings received within 17 days
- 90% of Initial Indemnity Notice of Controversy filings received within 14 days

Qualifications:

- Must have filed more than one MOP in the year.
- Must have filed more than one NOC in the year.

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1: Timeliness Distribution



Table 4: Received Within

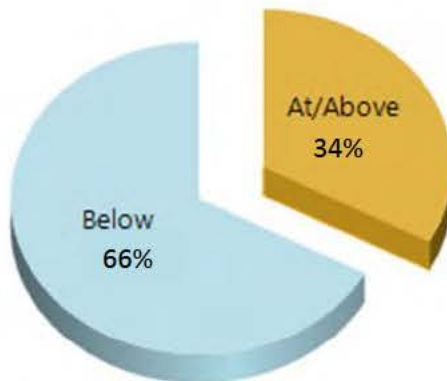
0-7 Days	11,601	83%
8-14 Days	1,198	9%
15-29 Days	656	5%
30+ Days	513	4%
? Days	0	0%
Total	13,968	100%

*The percentages may not always add to 100% due to rounding

Table 5: Above vs Below Benchmark

At/Above	25	33%
Below	49	66%
Total	74	100%

Chart 2: % of Insurers At/Above vs. Below 85% Benchmark



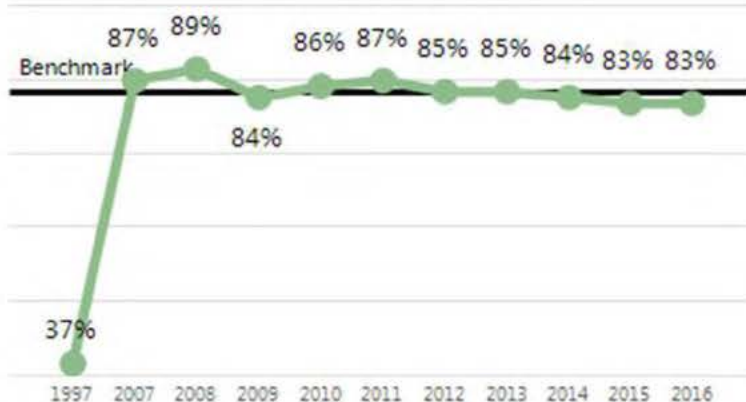
Summary

The Board received 13,968 lost time first reports. This represents 228 more reports than in 2015

The 2016 compliance rate of 83% for lost time first report filings stayed the same percentage from the 2015 compliance rate. As can be seen on Chart 2, 32% of insurers were at or above the benchmark in 2016, a slight increase over 2015, which had 31% at or above the benchmark.

Incorrect or unknown employer UIAN numbers continue to be an issue. Accurate UIAN data ensures that claims received at the Board are linked to the appropriate insurance policy and claim administrator in a timely manner, thus reducing workloads for all parties. Attention will continue to be placed on improving this area.

Chart 3: Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4: Timeliness Distribution

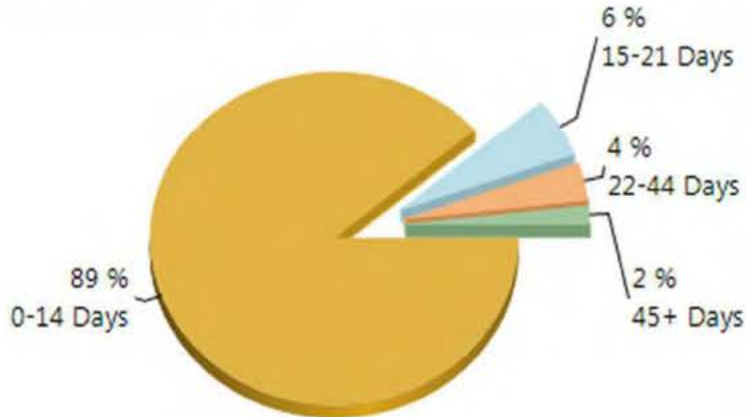


Table 6: Received Within

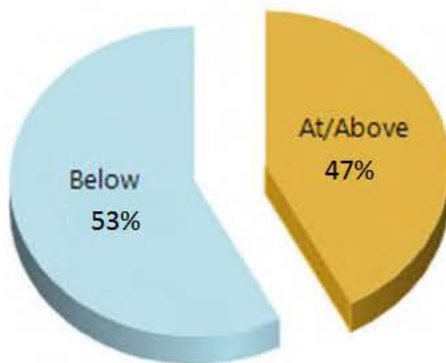
0-14 Days	3,271	89%
15-21 Days	199	6%
22-44 Days	134	4%
45+ Days	66	2%
? Days	0	0%
Total	3,670	100%

*The percentages may not always add to 100% due to rounding

Table 7: Above vs Below Benchmark

At/Above	33	47%
Below	37	53%
Total	70	100%

Chart 5: % of Insurers At/Above vs. Below 87% Benchmark



Summary

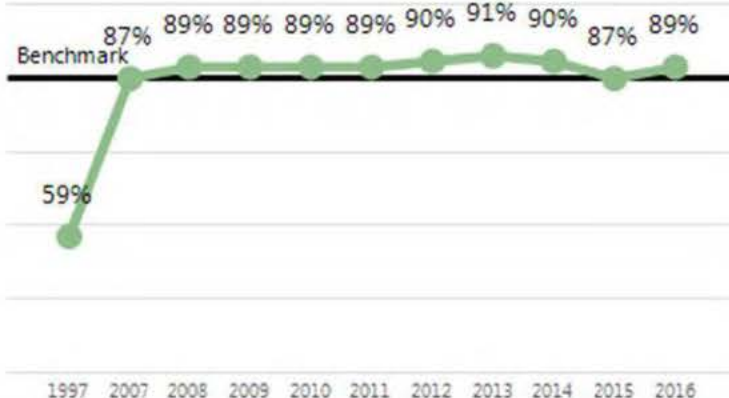
Injured workers in the State of Maine continue to benefit from the high (89%) compliance rate of initial indemnity payments.

In fact, compliance has improved by 30 points since monitoring began, from 59% to 89%, resulting in Maine households receiving much-needed money on time.

On a broader level, timely initial indemnity payments are a key factor in controlling workers' compensation claim costs.

However, as can be seen in Chart 5, work still remains in this area. Analysis will continue to focus on identifying best practices followed by those with high compliance rates in order to identify solutions that might help those at the other end of the

Chart 6: Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7: Timeliness Distribution



Table 8: Received Within

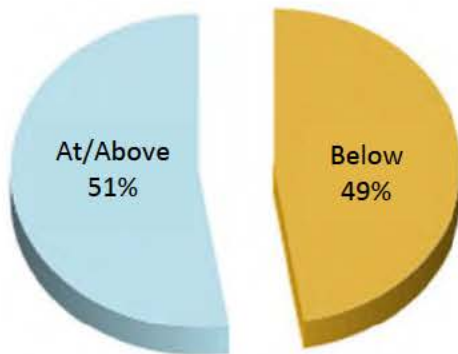
0-17 Days	3,242	88%
18-21 Days	108	3%
22-44 Days	198	5%
45+ Days	122	3%
? Days	0	0%
Total	3,670	100%

*The percentages may not always add to 100% due to rounding

Table 9: Above vs Below Benchmark

At/Above	36	51%
Below	34	49%
Total	70	100%

Chart 8: % of Insurers At/Above vs. Below 85% Benchmark



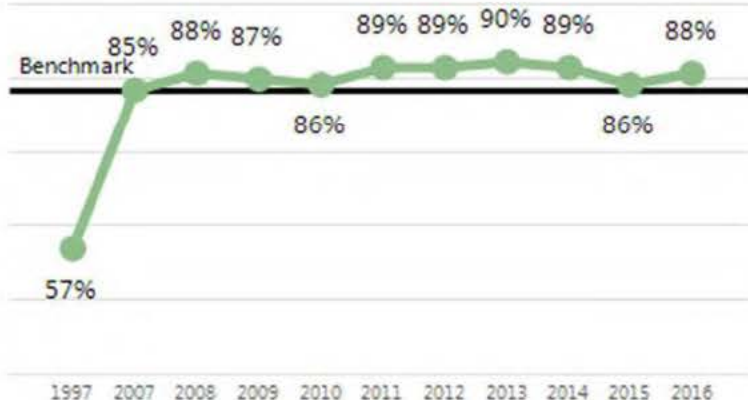
Summary

Studies by the Workers' Compensation Research Institute indicate that proper claims administration and timely payment of claims reduces both the overall cost of claims and also the time required to process a claim through the dispute resolution system.

As can be seen in Chart 7, the benchmark is being met at an aggregate level, but as Chart 8 indicates, forty percent of all insurers are below benchmark, showing a significant opportunity for improvement going forward.

While a Memorandum of Payment filing does not have the same tangible benefit to the injured employee as the payment itself, it is a key indicator of how well insurers are complying with the administrative requirements of the Act.

Chart 9: Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10: Timeliness Distribution

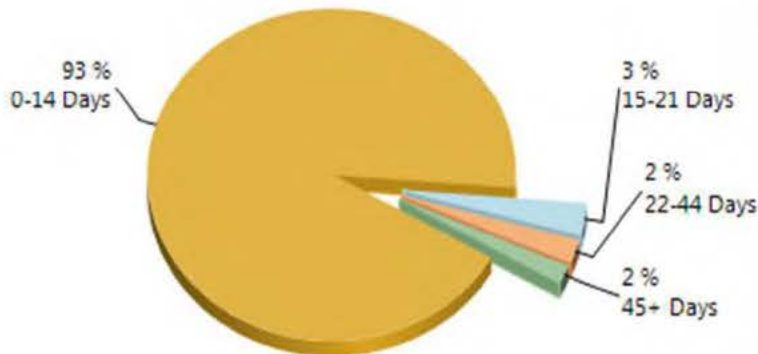


Table 10: Received Within

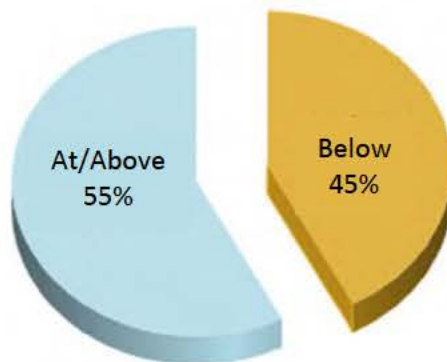
0-14 Days	2,565	93%
15-21 Days	85	3%
22-44 Days	62	2%
45+ Days	49	2%
? Days	1	0%
Total	2,762	100%

*The percentages may not always add to 100% due to rounding

Table 11: Above vs Below Benchmark

At/Above	33	55%
Below	27	45%
Total	60	100%

Chart 11: % of Insurers At/Above vs. Below 90% Benchmark



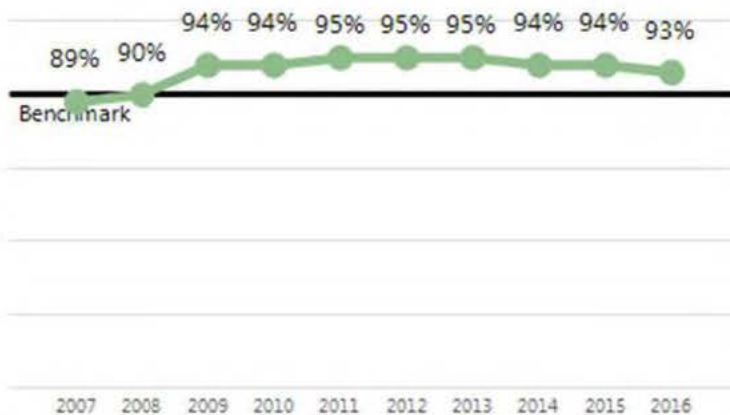
Summary

The benchmark for the timely filing of the Initial Indemnity Notice of Controversy was changed from 17 to 14 days effective 2007.

In 2016 there were 1% fewer filings in the dispute resolution system at the Board compared to 2015. The compliance fell 1 percentage point for this performance indicator.

64% of insurers were at or above benchmark last year, however that percentage has decreased 9 points to 55% in 2016.

Chart 12: Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13: Wage Statement Timeliness Distribution

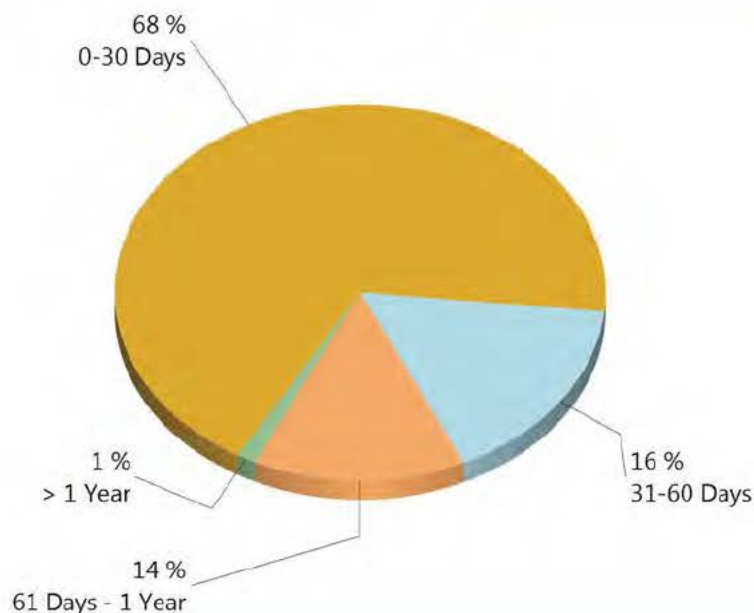


Table 12: Wage Statements Received

0-30 Days	5,801	68%
31-60 Days	1,396	16%
61 Days - 1 Year	1,187	14%
> 1 Year	109	1%
Total	8,493	100%

*The percentages may not always add to 100% due to rounding

Wage Statement(s): 5,705 (68%) of the 8,350 Wage Statement(s) that were due this year were filed timely, 2,458 (30%) were filed late, and 187 (2%) remain outstanding.

Chart 14: Fringe Benefit Worksheet Timeliness Distribution

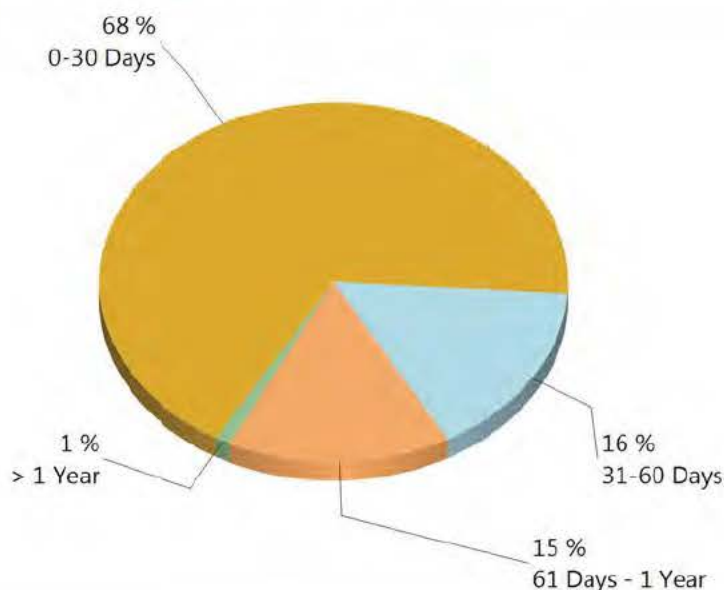


Table 13: Fringe Worksheets Received

0-30 Days	5,795	68%
31-60 Days	1,359	16%
61 Days - 1 Year	1,313	15%
> 1 Year	94	1%
Total	8,561	100%

*The percentages may not always add to 100% due to rounding

Fringe Benefit Worksheet(s): 5,757 (68%) of the 8,350 Fringe Benefit Worksheet(s) due this year were filed timely, 2,400 (91%) were filed late, and 193 (2%) remain outstanding.

UTILIZATION ANALYSIS

Summary

Of the 13,968 lost time First Report filings in 2016, 54% resulted in the employee returning to work within the waiting period. Also, 20% of all lost time First Reports and 43% of all claims for compensation were “denied” in 2016.

The number of initial indemnity NOCs filed by an insurer, contrasted with the number of Initial MOPs filed by the insurer, may be used as one of many claims administration indicators that result in an insurer being referred for audit for possible violations of the Act.

Chart 15 Distribution of Lost Time First Reports

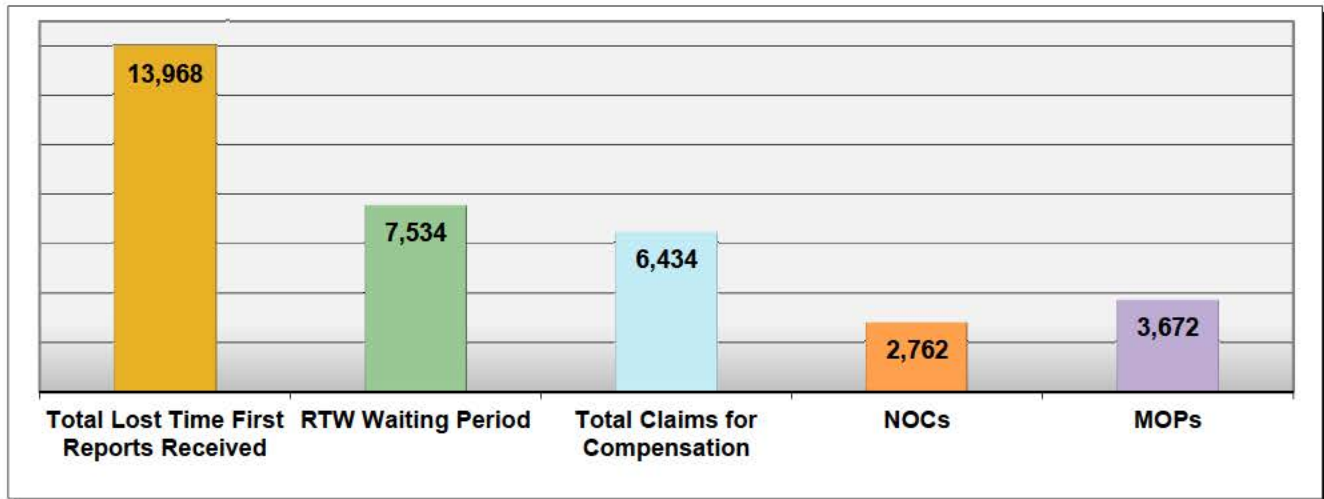


Table 14 % of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

2016	20%
2015	20%
2014	20%

Table 15 % of Claims for Compensation Denied

(Initial Indemnity NOCs / Claims for Compensation)

2016	43%
2015	43%
2014	41%

Chart 16 Lost Time First Reports Analysis

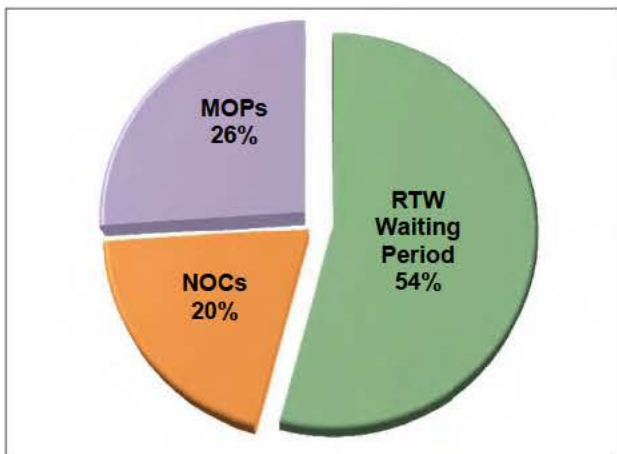
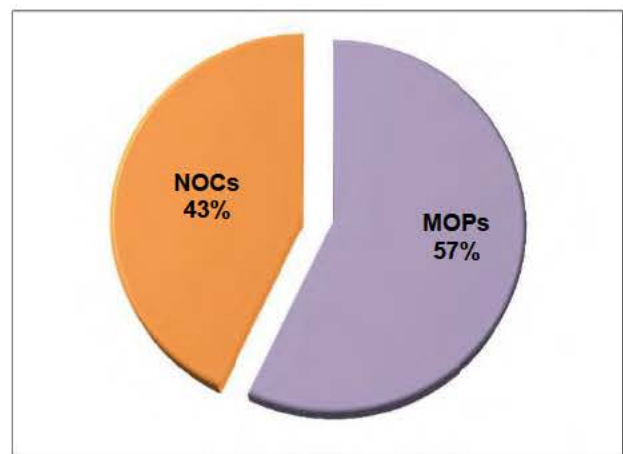


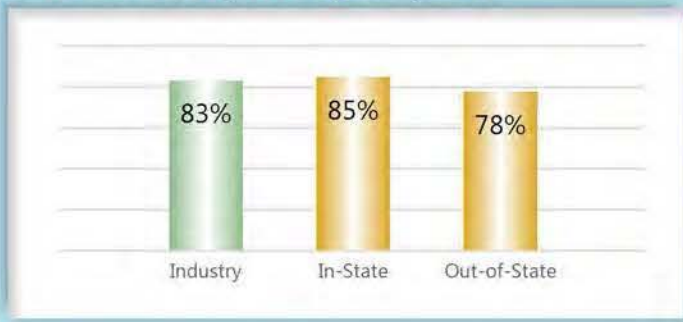
Chart 17 Claims for Compensation Analysis



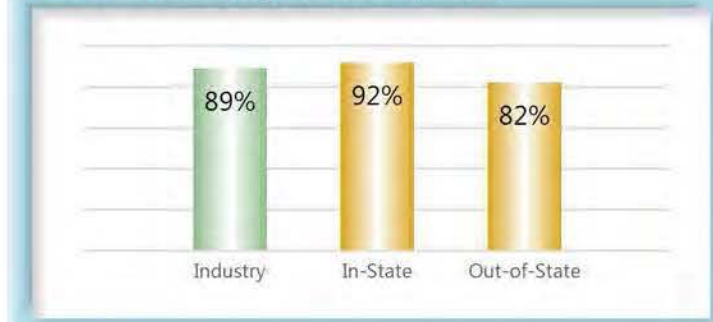
In-State vs. Out-of-State Comparisons

As can be seen in the charts below, In-State insurers out-perform their Out-of-State counterparts in all four benchmarks. In-State insurers also make up roughly two-thirds of all filings.

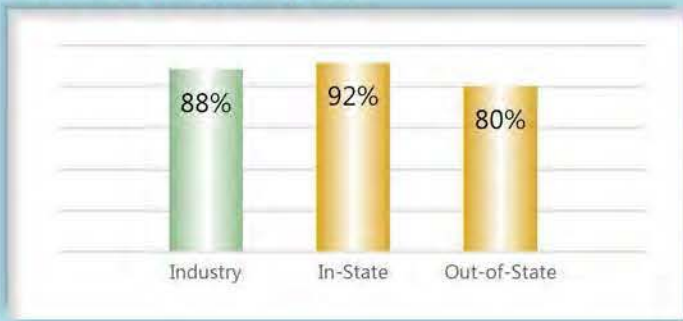
Lost Time First Report Filings Compliance



Initial Indemnity Payments Compliance



Initial MOP Filings Compliance



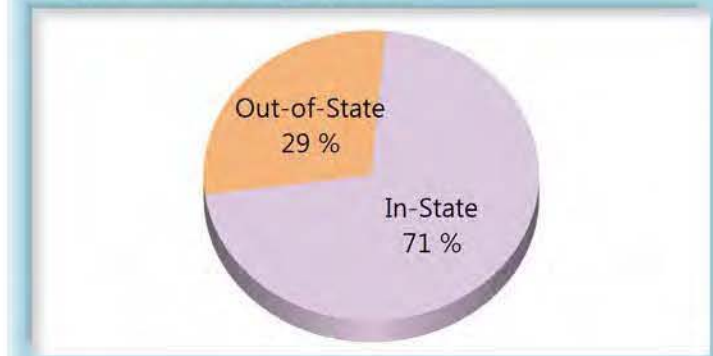
Initial Indemnity NOC Filings Compliance



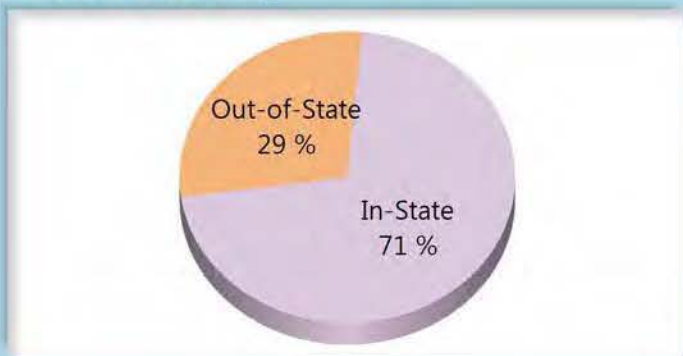
% of Lost Time First Report Filings



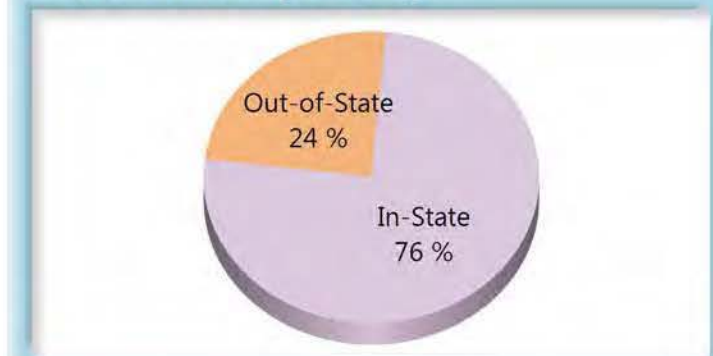
% of Initial Indemnity Payments



% of Initial MOP Filings

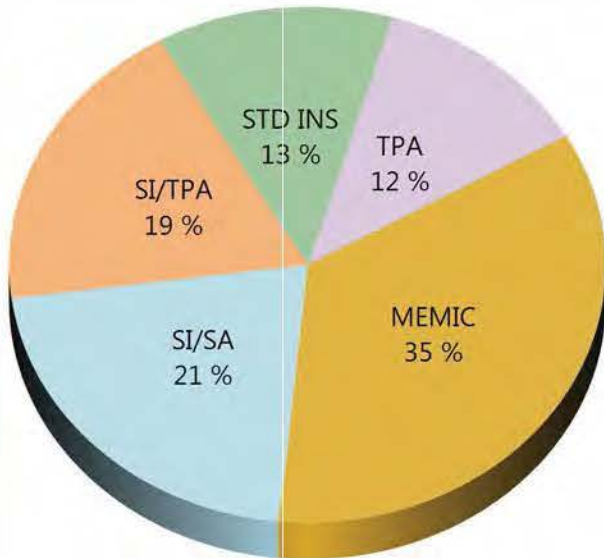


% of Initial Indemnity NOC Filings

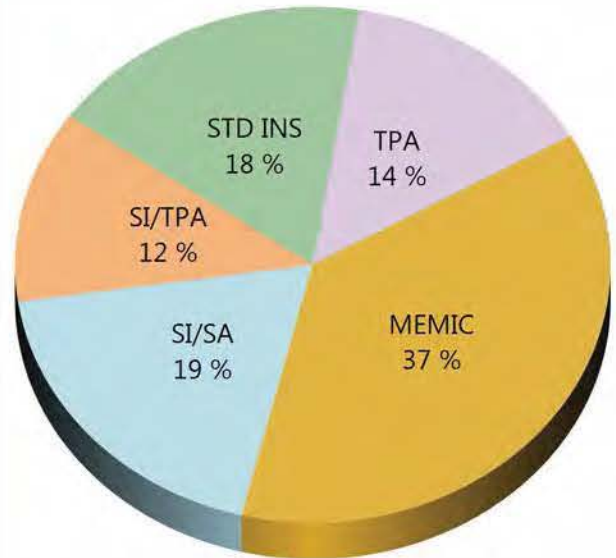


Volume by Type of Insurer

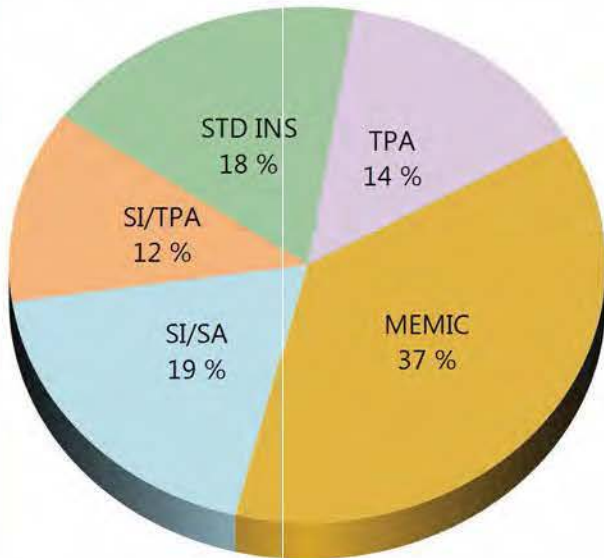
Lost Time First Report Filings



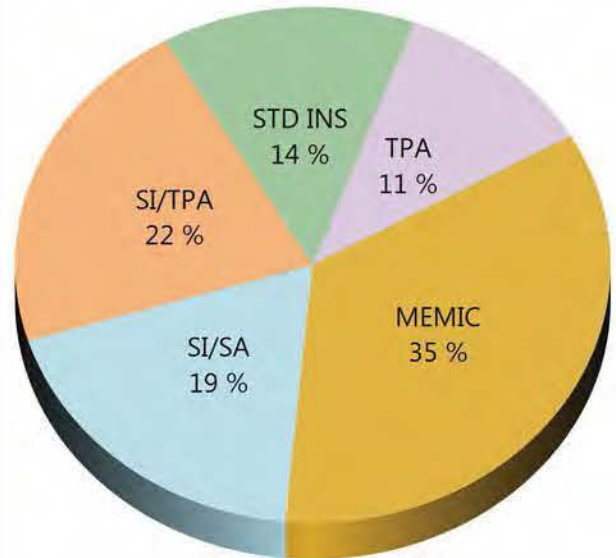
Initial Indemnity Payments



Initial Memorandum of Payment Filings



Initial Indemnity Notice of Controversy Filings

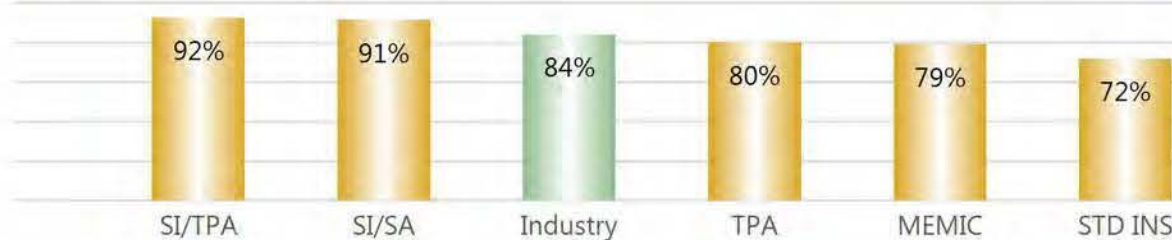


KEY:

- SI/SA** Self-Insured, Self-Administered Employer
- SI/TPA** Self-Insured, TPA-Administered Employer
- STD INS** Standard Insurer (excluding MEMIC), Self-Administered
- TPA** Standard Insurer (excluding MEMIC), TPA-Administered

Compliance by Type of Insurer

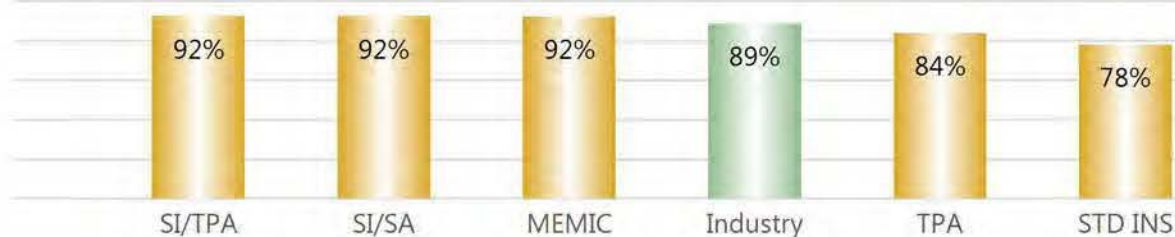
Lost Time First Report Filings: Benchmark = 85%



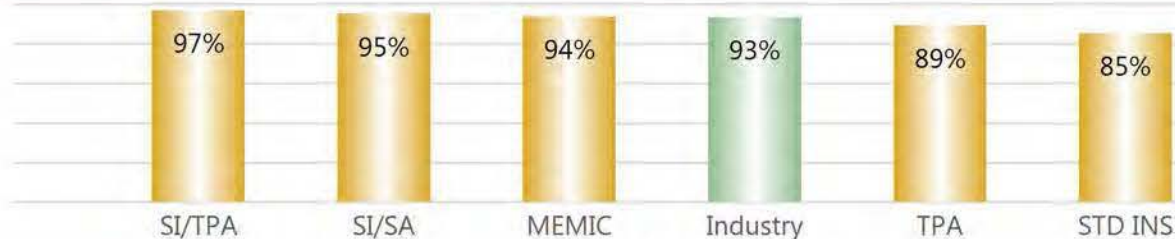
Initial Indemnity Payments: Benchmark = 87%



Initial Memorandum of Payment Filings: Benchmark = 85%



Initial Indemnity Notice of Controversy Filings: Benchmark = 90%



KEY:

- SI/SA** Self-Insured, Self-Administered Employer
- SI/TPA** Self-Insured, TPA-Administered Employer
- STD INS** Standard Insurer (excluding MEMIC), Self-Administered
- TPA** Standard Insurer (excluding MEMIC), TPA-Administered

ENTITY OVERVIEW

Insurance Group	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
ACADIA INSURANCE	75%	83%	88%	93%
ACCIDENT FUND INSURANCE*	67%	100%	100%	No filings
ACE INSURANCE	85%	86%	87%	95%
ACUITY MUTUAL INSURANCE*	100%	100%	100%	No filings
AIG INSURANCE	86%	90%	93%	90%
AMTRUST INSURANCE	55%	68%	49%	71%
ARCH INSURANCE	82%	83%	87%	88%
ATLANTIC SPECIALTY INSURANCE*	38%	67%	67%	0%
BATH IRON WORKS	94%	90%	92%	87%
BERKSHIRE HATHAWAY INSURANCE*	50%	75%	75%	100%
BROADSPIRE SERVICES	78%	89%	89%	100%
CANNON COCHRAN MANAGEMENT SERVICES	81%	84%	85%	89%
CHEROKEE INSURANCE*	0%	100%	0%	No filings
CHUBB INSURANCE	73%	83%	88%	78%
CHURCH MUTUAL INSURANCE*	14%	75%	0%	50%
CIANBRO CORPORATION*	83%	25%	50%	100%
CINCINNATI CASUALTY*	50%	100%	0%	No filings
CLAIMS MANAGEMENT (WALMART)	91%	100%	95%	84%
CNA INSURANCE	89%	100%	100%	100%
CONSTITUTION STATE SERVICES	85%	100%	83%	100%
CORVEL ENTERPRISE COMP	76%	43%	43%	100%
COTTINGHAM & BUTLER CLAIMS SERVICES	82%	80%	80%	86%
CROSS INSURANCE	95%	96%	95%	99%
ELECTRIC INSURANCE	91%	88%	100%	100%
ESIS	59%	93%	93%	93%
EVEREST REINS HOLDINGS GROUP*	0%	100%	100%	No filings
FEDERATED MUTUAL INSURANCE	37%	70%	20%	75%
FIREMAN'S FUND INSURANCE*	50%	33%	33%	No filings
FRANKENMUTH INSURANCE*	17%	67%	67%	0%
FUTURECOMP	87%	91%	85%	97%
GALLAGHER BASSETT SERVICES	77%	81%	81%	83%
GREAT AMERICAN INSURANCE*	0%	No filings	No filings	No filings
GREAT DIVIDE INSURANCE*	0%	100%	0%	No filings
GREAT FALLS INSURANCE	72%	86%	83%	80%
GREAT WEST INSURANCE*	14%	57%	43%	No filings
GUARANTEE INSURANCE*	50%	100%	50%	0%
GUARD INSURANCE	46%	89%	94%	50%
HANNAFORD BROTHERS	67%	75%	74%	66%
HANNOVER INSURANCE*	33%	50%	50%	No filings
HANOVER INSURANCE	63%	74%	84%	100%

ENTITY OVERVIEW

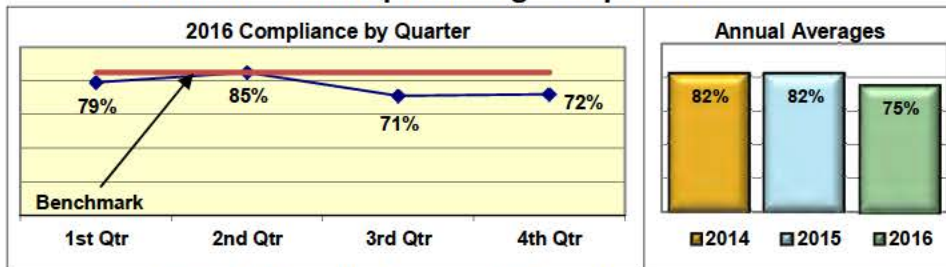
Insurance Group	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
HARTFORD INSURANCE	81%	78%	81%	91%
HELMSMAN MANAGEMENT SERVICES	75%	86%	86%	78%
LIBERTY MUTUAL INSURANCE	71%	79%	78%	86%
MACY'S CORPORATE SERVICES*	100%	100%	33%	No filings
MAINE AUTOMOBILE DEALERS ASSOCIATION	95%	89%	96%	100%
MAINE EMPLOYERS' MUTUAL INSURANCE	79%	93%	92%	94%
MAINE HEALTHCARE ASSOCIATION	87%	90%	90%	100%
MAINE MOTOR TRANSPORT ASSOCIATION	94%	87%	100%	98%
MAINE MUNICIPAL ASSOCIATION	97%	98%	98%	98%
MAINE SCHOOL MANAGEMENT ASSOCIATION	97%	97%	99%	98%
MEADOWBROOK INSURANCE*	100%	100%	100%	100%
MITSUI SUMITOMO INS CO OF AMERICA*	50%	0%	0%	100%
NATIONAL INTERSTATE INSURANCE*	100%	100%	100%	No filings
NATIONWIDE INSURANCE*	33%	0%	0%	33%
NGM INSURANCE*	60%	50%	100%	0%
NORTH RIVER INSURANCE*	63%	No filings	No filings	100%
OLD REPUBLIC INSURANCE	78%	79%	71%	92%
PENNSYLVANIA MFG ASSN	68%	88%	88%	67%
PROTECTIVE INSURANCE*	100%	No filings	No filings	No filings
PUBLIC SERVICE INSURANCE*	0%	No filings	No filings	No filings
QBE INSURANCE GROUP	82%	83%	89%	100%
RYDER SERVICES*	80%	100%	100%	100%
SAFETY NATIONAL CASUALTY CORP	82%	67%	67%	93%
SEDGWICK CLAIMS MANAGEMENT SERVICES	89%	88%	91%	95%
SENTRY INSURANCE	47%	67%	50%	0%
SOMPO JAPAN INSURANCE*	100%	No filings	No filings	No filings
STARR INDEMNITY INSURANCE*	88%	75%	100%	100%
STATE OF MAINE WORKERS' COMPENSATION TRUST	89%	99%	95%	97%
SYNERNET	88%	88%	92%	96%
THE AMERICAN EQUITY UNDERWRITERS*	75%	0%	0%	0%
TOWER INSURANCE*	0%	50%	0%	No filings
TRAVELERS INSURANCE	66%	82%	74%	89%
VANLINER INSURANCE*	50%	No filings	No filings	0%
XL INSURANCE	79%	76%	71%	86%
YORK RISK SERVICES	36%	50%	25%	50%
ZURICH INSURANCE	86%	88%	88%	91%

Annual Compliance Report

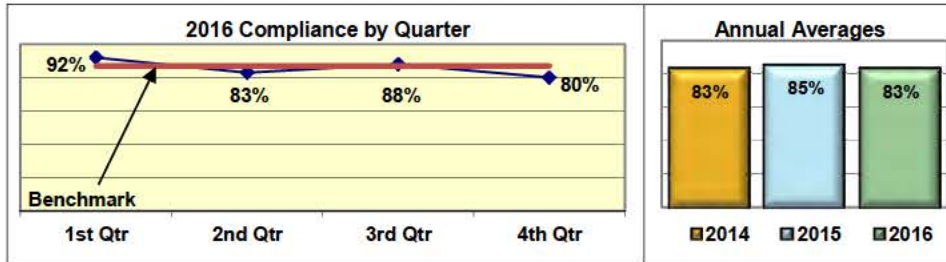
01/01/2016-12/31/2016

ACADIA INSURANCE

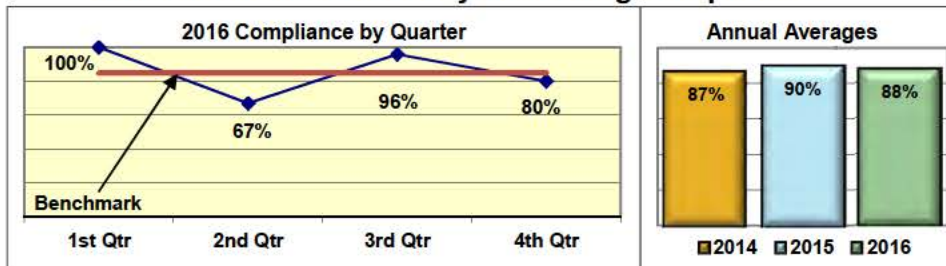
Lost Time First Report Filing Compliance



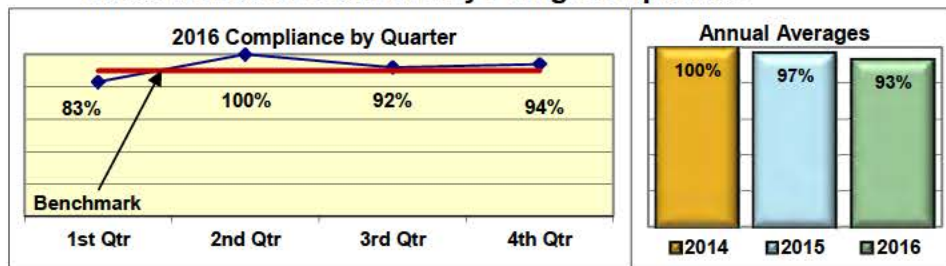
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Acadia Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Acadia Insurance
Continental Western Insurance
Firemen's Ins. Co. of Wash. DC
Union Insurance

Utilization Analysis

Lost Time First Reports Received



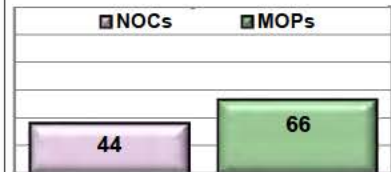
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

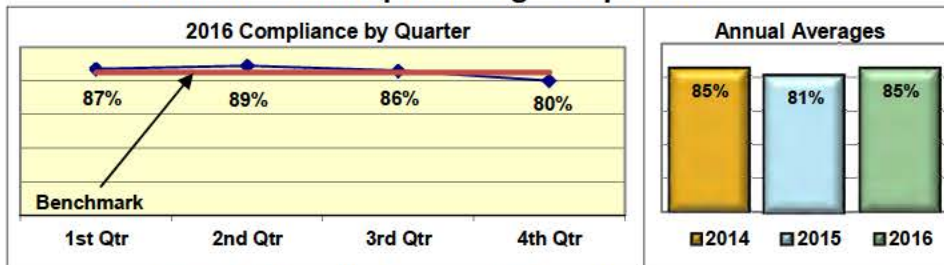
40%

Annual Compliance Report

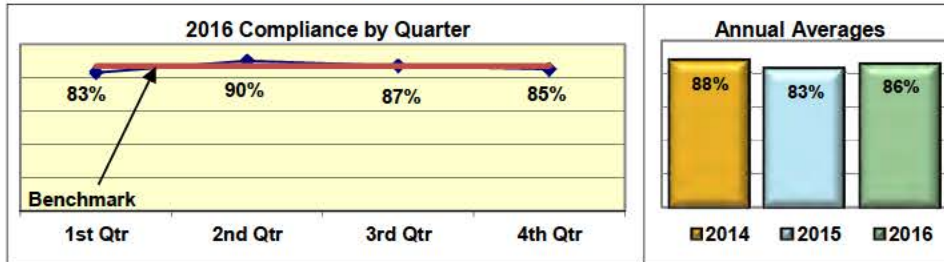
01/01/2016-12/31/2016

ACE INSURANCE

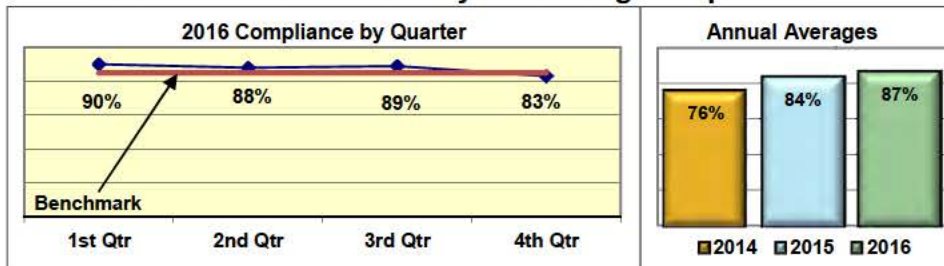
Lost Time First Report Filing Compliance



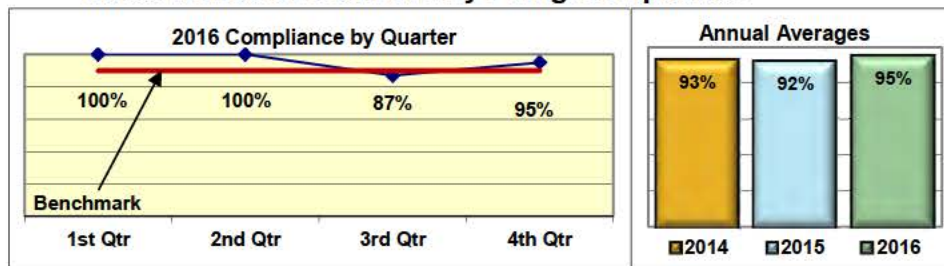
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

ACE Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

ACE American Insurance
ACE Fire Underwriters Insurance
ACE Property & Casualty
Indemnity Ins. Co. of No. America

ACE Insurance used the following third parties in 2016:

Broadspire Services
Cannon Cochran Management Svcs.
Constitution State Services
CorVel Enterprise Comp.
Cottingham & Butler Claims Svcs.
ESIS
Gallagher Bassett Services
Helmsman Management Services
Sedgwick Claims Management Svcs.
York Risk Services

Utilization Analysis

Lost Time First Reports Received



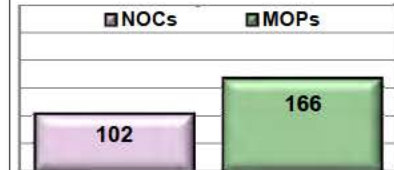
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

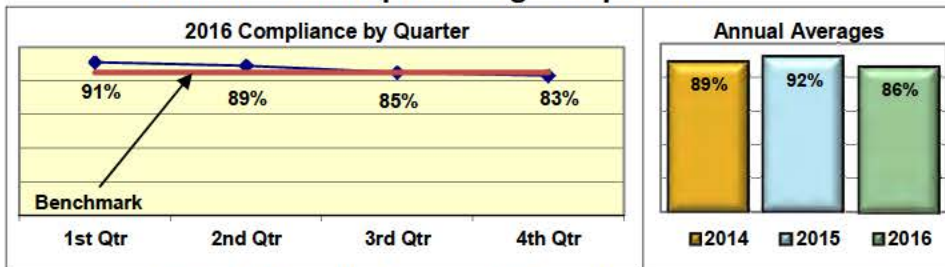
38%

Annual Compliance Report

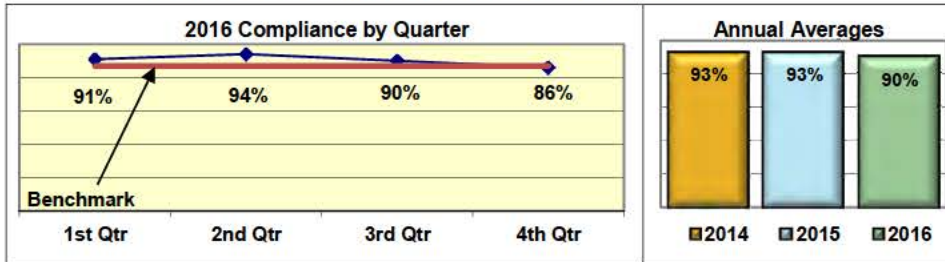
01/01/2016-12/31/2016

AIG INSURANCE

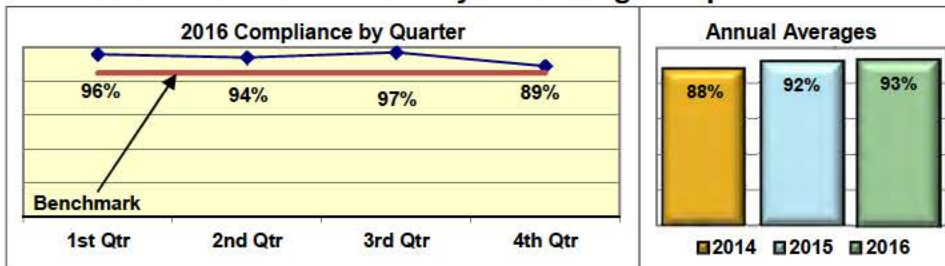
Lost Time First Report Filing Compliance



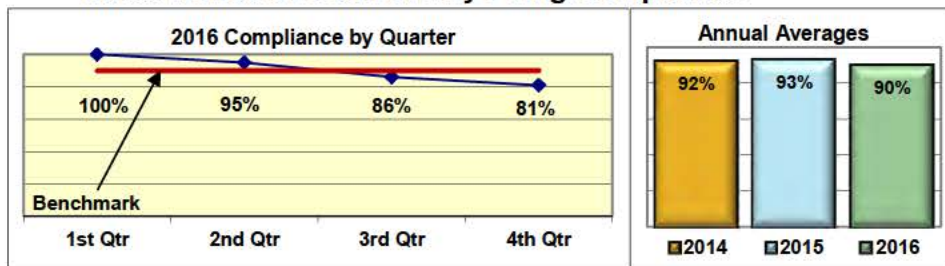
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

AIG Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating companies:

AIG Property Casualty Co.
Commerce & Industry Insurance Co.
Granite State Insurance Co.
Illinois National Insurance
Ins. Co. of the State of Pennsylvania
National Union Fire Ins. Co. of Pitts.
New Hampshire Insurance

and self-insured employers:

Pratt & Whitney

AIG Insurance used the following third parties in 2016:

Broadspire Services
Claims Management (Walmart)
Constitution State Services
ESIS
Bassett Services
Sedgwick Claims Management Svcs.
Gallagher

Utilization Analysis

Lost Time First Reports Received



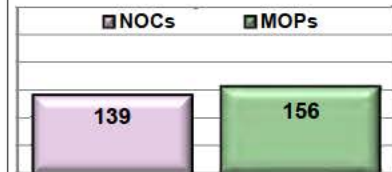
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

26%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

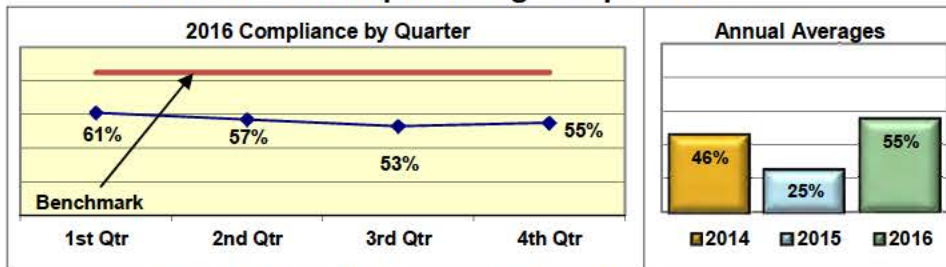
47%

Annual Compliance Report

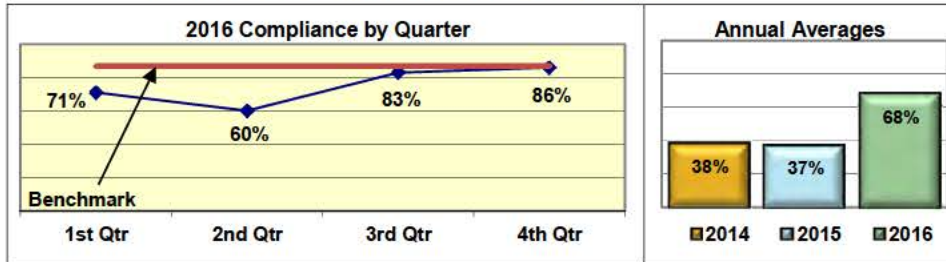
01/01/2016-12/31/2016

AMTRUST INSURANCE

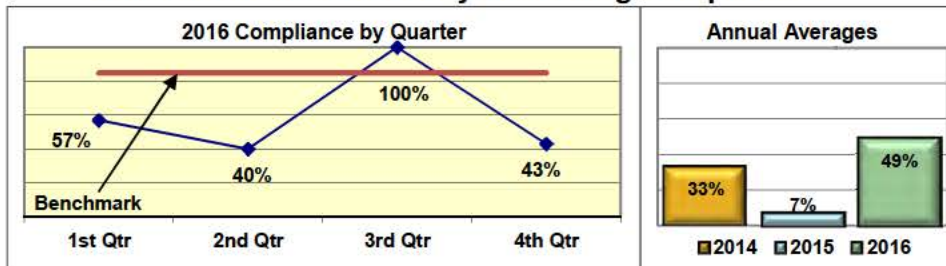
Lost Time First Report Filing Compliance



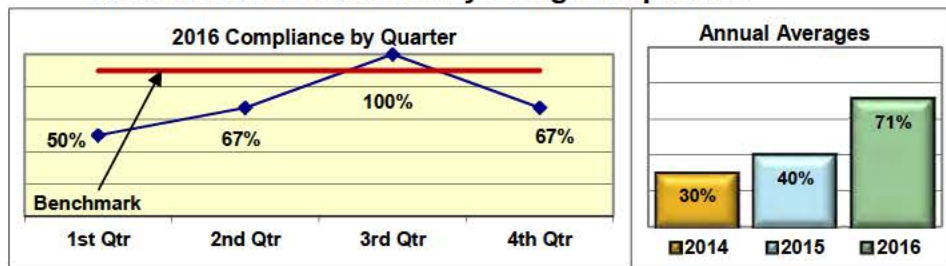
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Amtrust North America is an insurer that administered its own claims in 2016 under the following rating companies:

Technology Insurance
Wesco Insurance

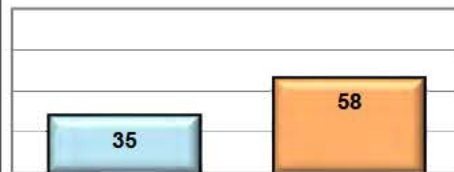
Utilization Analysis

Lost Time First Reports Received



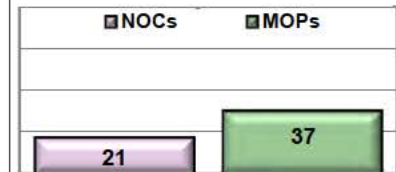
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

23%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

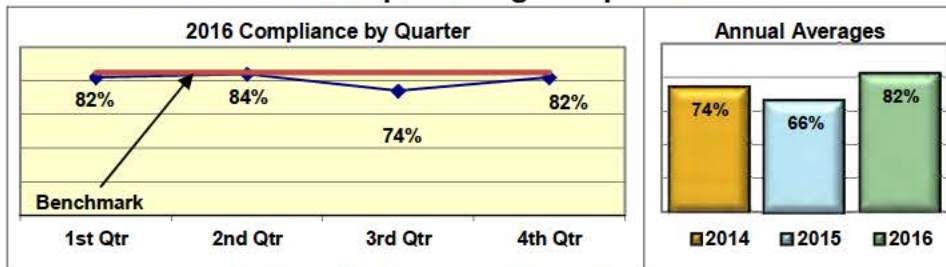
36%

Annual Compliance Report

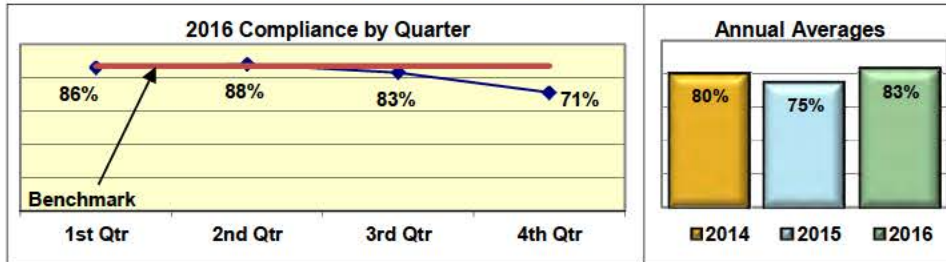
01/01/2016-12/31/2016

ARCH INSURANCE

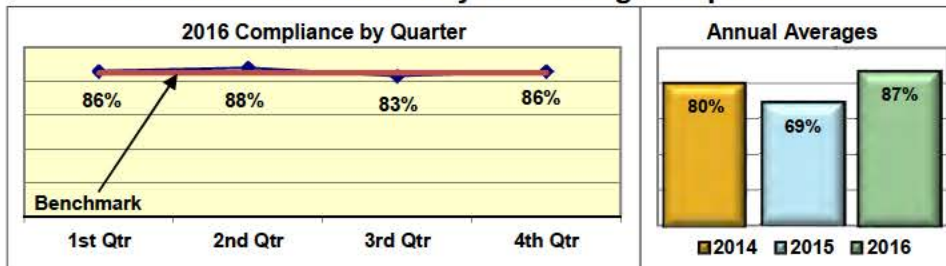
Lost Time First Report Filing Compliance



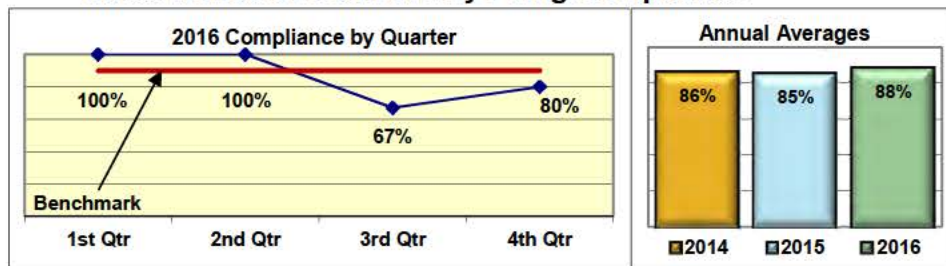
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Arch Insurance is an insurer that used third parties to administer claims in 2016 under the following rating company:

Arch Insurance

Arch Insurance used the following third parties in 2016:

Broadspire Services
Cottingham & Butler Claims Services
Gallagher Bassett Services
Helmsman Management Services
Sedgwick Claims Management Svcs.
York Risk Services

Utilization Analysis

Lost Time First Reports Received



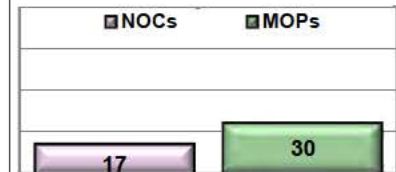
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

17%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

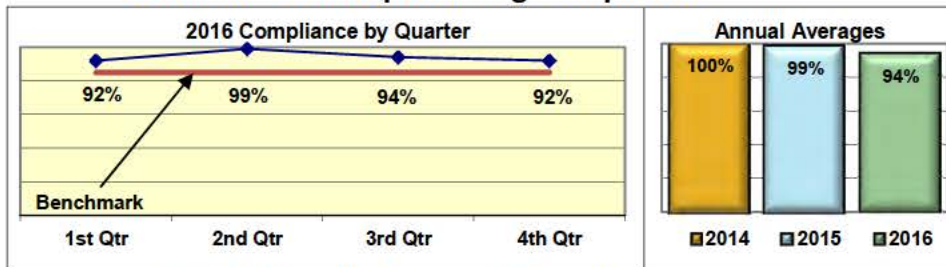
36%

Annual Compliance Report

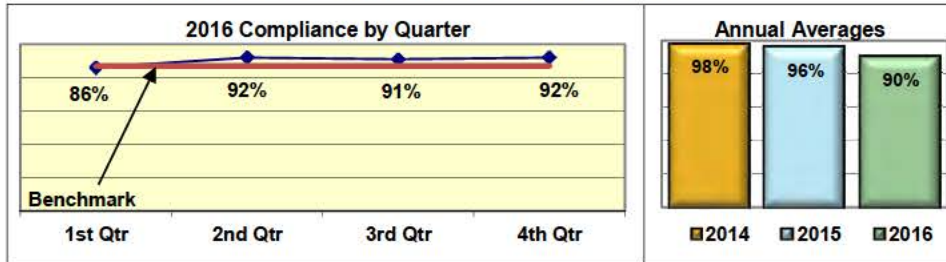
01/01/2016-12/31/2016

BATH IRON WORKS

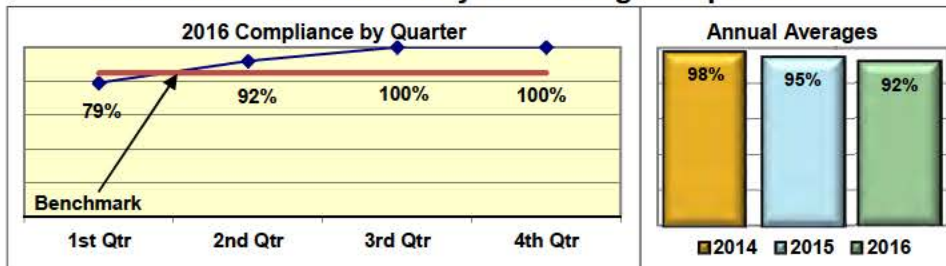
Lost Time First Report Filing Compliance



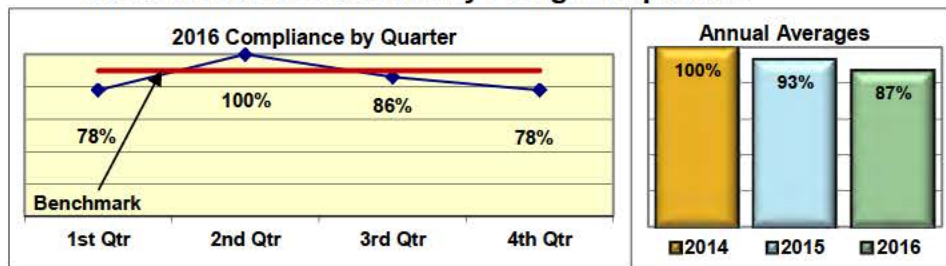
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Bath Iron Works is a self-insured employer that administered its own claims in 2016 under the following name:

Bath Iron Works

Utilization Analysis

Lost Time First Reports Received



Percent of Lost Time First Reports Denied (Initial Indemnity NOCs / Lost Time First Reports)

9%

Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation

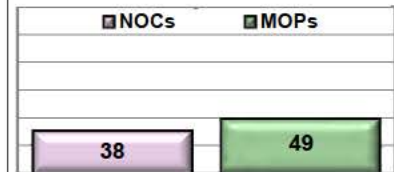


Percent of Claims for Compensation Denied (Initial Indemnity NOCs / Claims for Compensation)

44%

Activity on Claims for Compensation

■ NOCs ■ MOPs

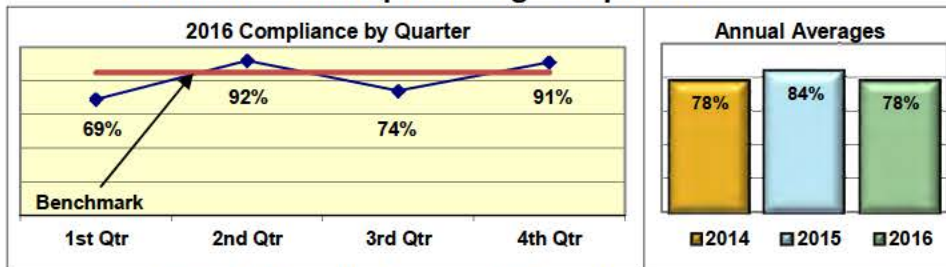


Annual Compliance Report

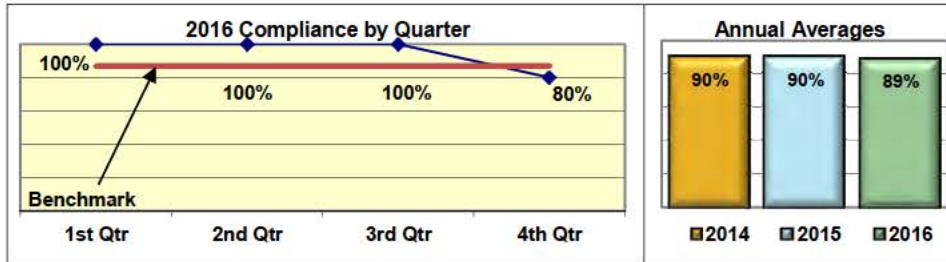
01/01/2016-12/31/2016

BROADSPIRE SERVICES

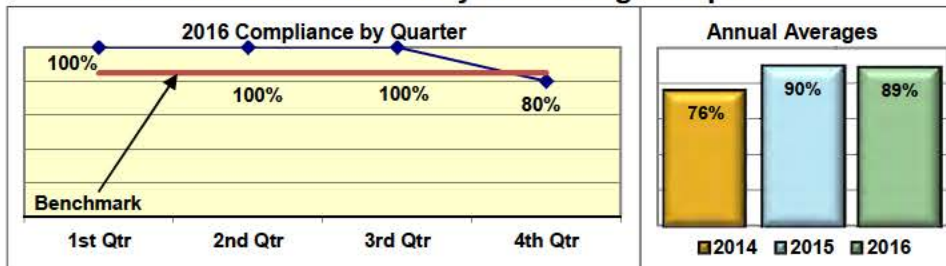
Lost Time First Report Filing Compliance



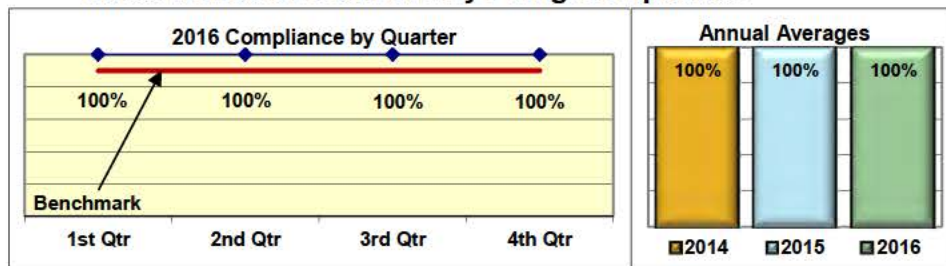
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Broadspire Services is a third party administrator that administered claims in 2016 for the following rating companies:

Accident Fund Insurance
American Home Assurance
American Zurich
Arch Insurance
Indemnity Ins. Co. of No. America
New Hampshire Insurance
North River Insurance
Old Republic Insurance
Safety National Casualty
Sampo Japan Insurance
Standard Fire Insurance
Trumbull Insurance Company
XL Insurance

Utilization Analysis

Lost Time First Reports Received



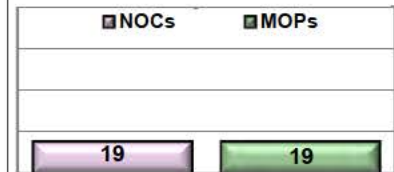
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

32%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

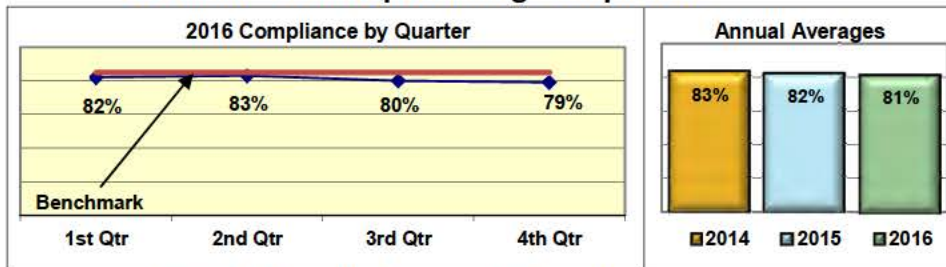
50%

Annual Compliance Report

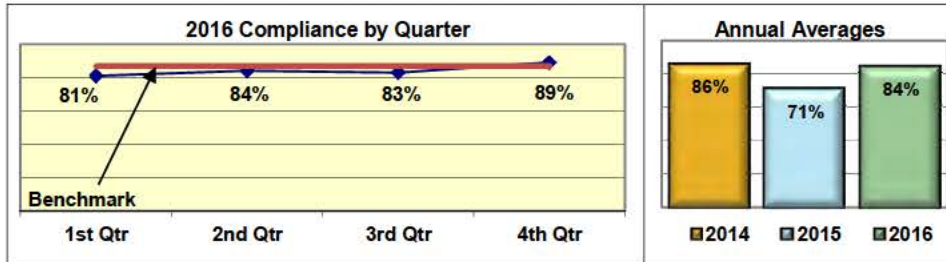
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CANNON COCHRAN MANAGEMENT SERVICES

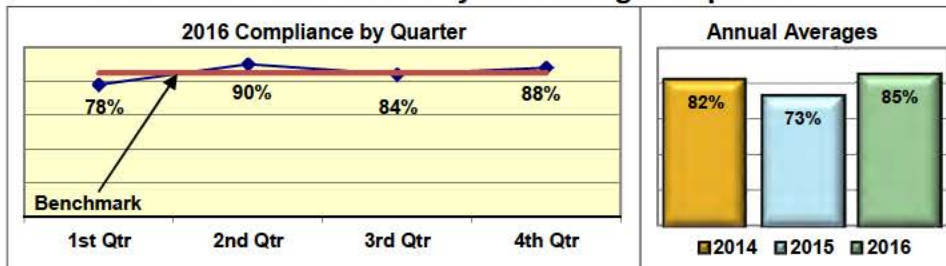
Lost Time First Report Filing Compliance



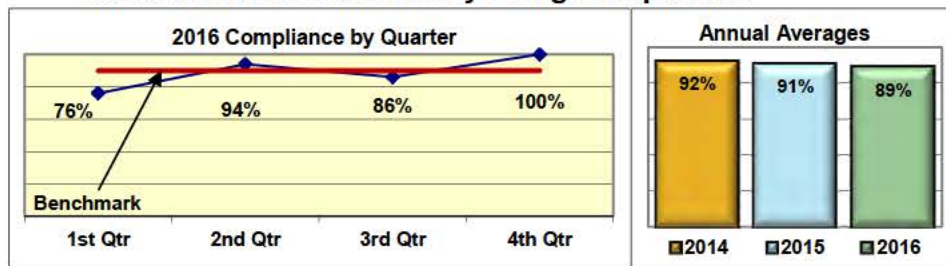
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Cannon Cochran Management Services is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance
Great Falls Insurance
Indemnity Ins. Co. of North America
Old Republic Insurance
Safety National Casualty
Trumbull Insurance

and self-insured employers:

City of Lewiston
Greater Portland V
Lepage Bakeries
Lewiston School Department
Louisiana Pacific Corporation
Maine McDonalds Operators
Maine Turnpike Authority
S D Warren
University of Maine System

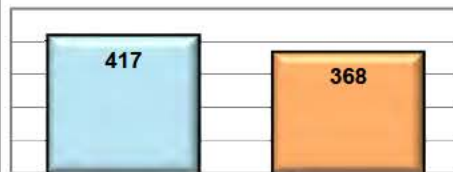
Utilization Analysis

Lost Time First Reports Received



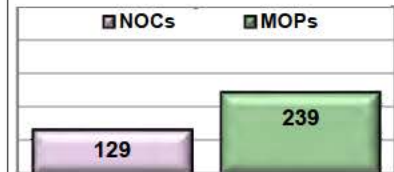
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

16%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

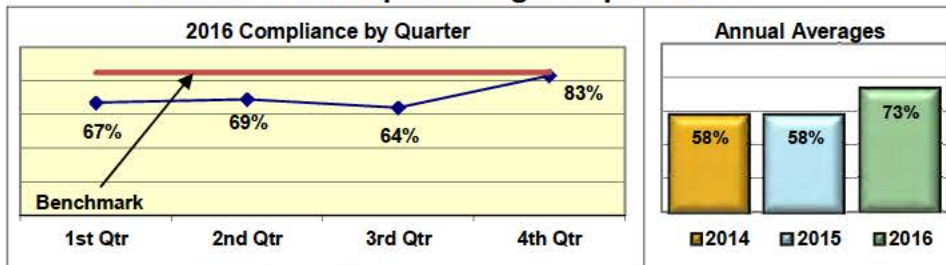
35%

Annual Compliance Report

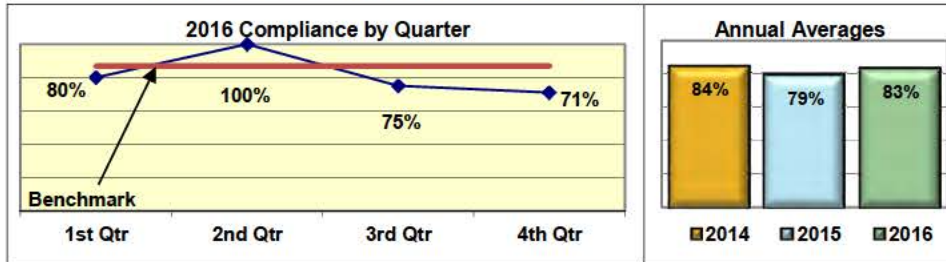
01/01/2016-12/31/2016

CHUBB INSURANCE

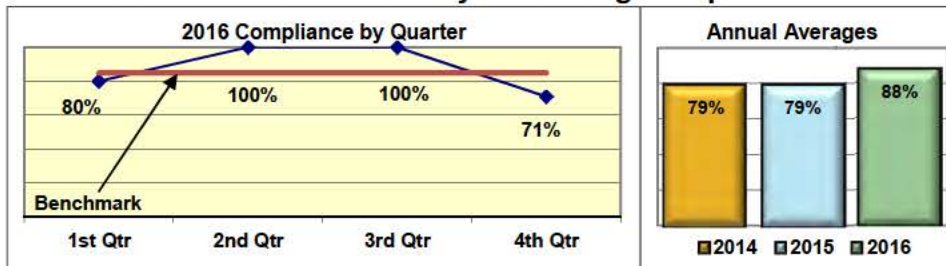
Lost Time First Report Filing Compliance



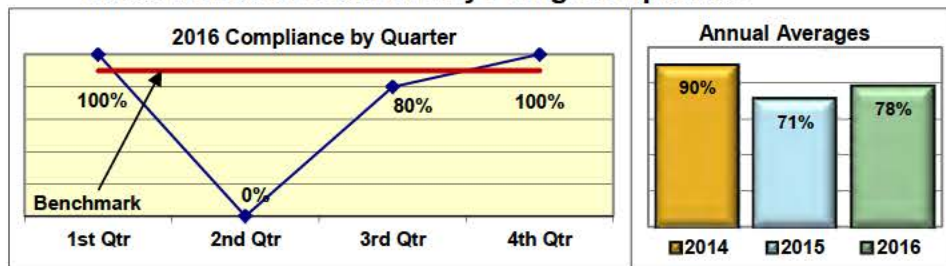
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Chubb Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

Chubb Indemnity Insurance
Federal Insurance
Pacific Indemnity

Chubb Insurance used the following third parties in 2016:

ESIS
Gallagher Bassett Services
Sedgwick Claims Management Svcs.

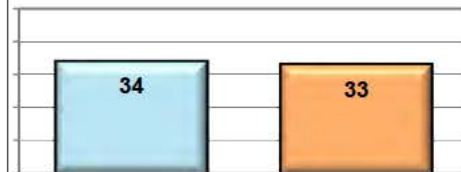
Utilization Analysis

Lost Time First Reports Received



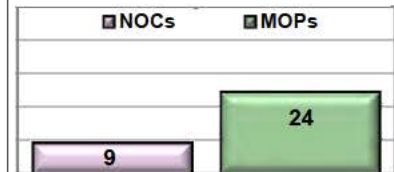
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

13%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

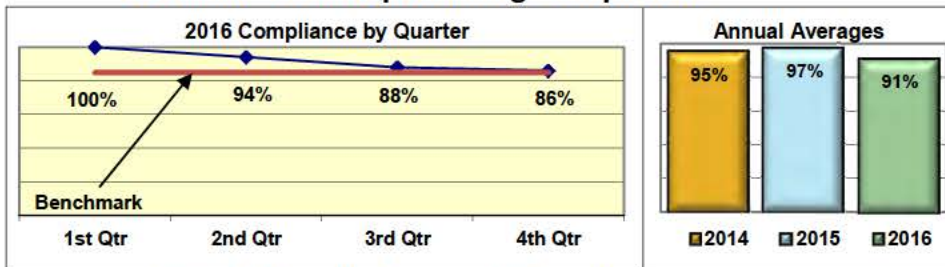
27%

Annual Compliance Report

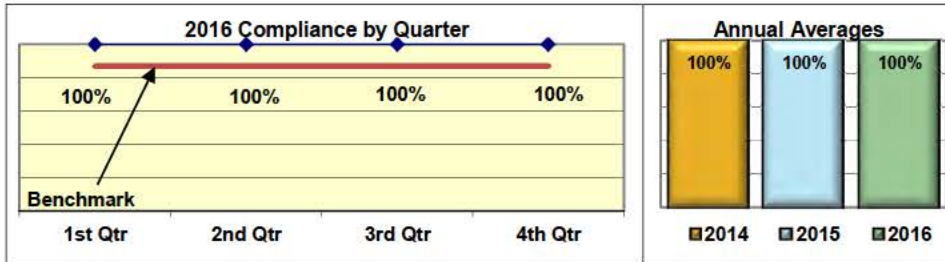
01/01/2016-12/31/2016

CLAIMS MANAGEMENT (WALMART)

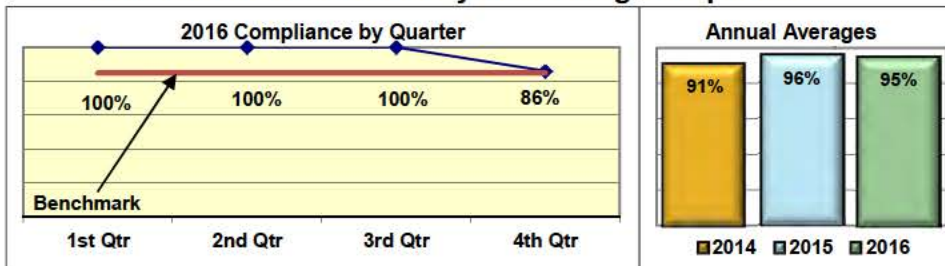
Lost Time First Report Filing Compliance



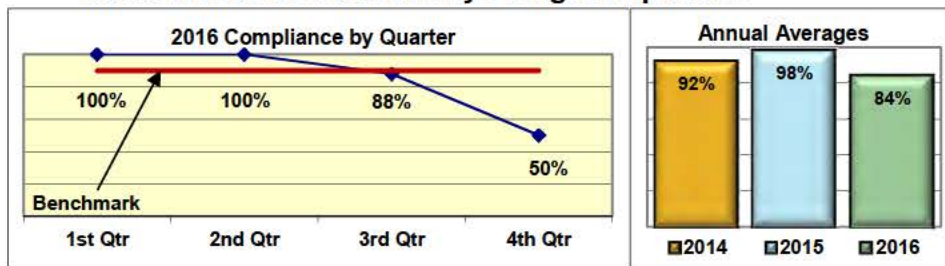
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Claims Management (Walmart) is a third party administrator that administered claims in 2016 for the following rating companies:

Illinois National Insurance
New Hampshire Insurance

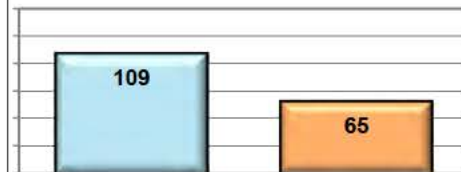
Utilization Analysis

Lost Time First Reports Received



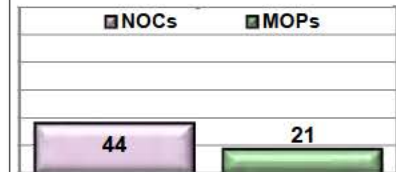
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

25%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

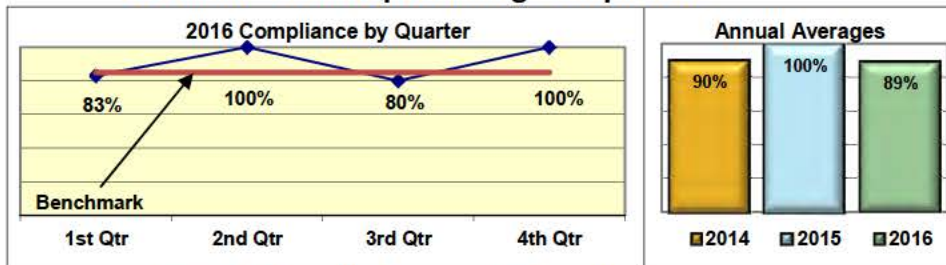
68%

Annual Compliance Report

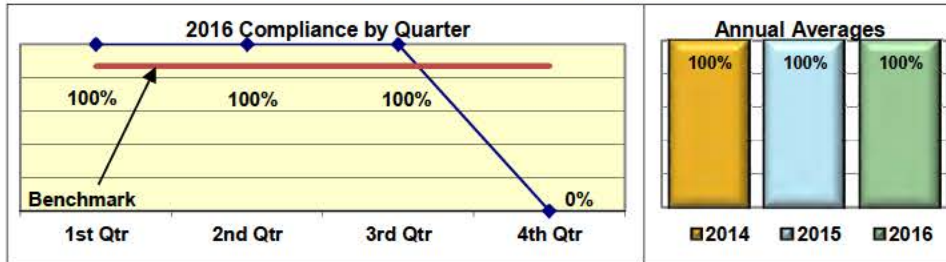
01/01/2016-12/31/2016

CNA INSURANCE

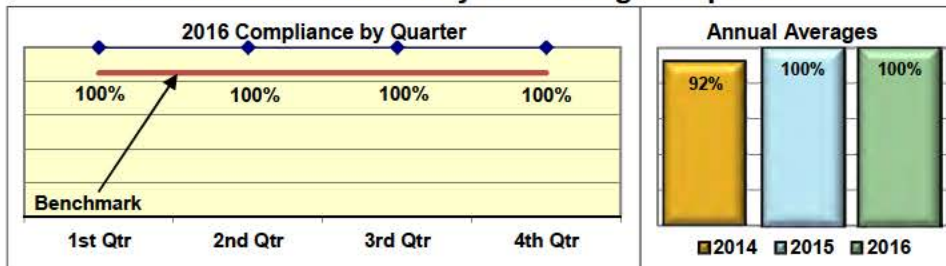
Lost Time First Report Filing Compliance



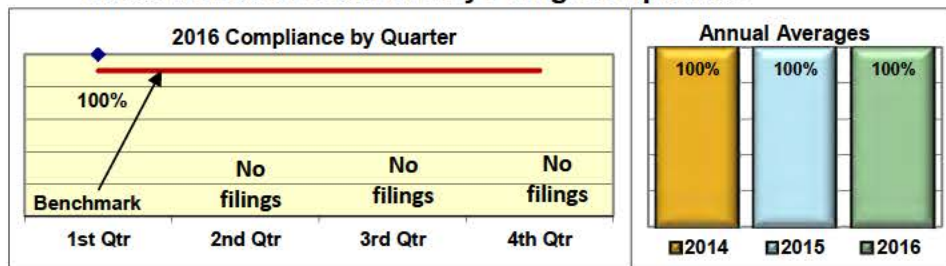
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

CNA Insurance is an insurer that administered its own claims and used a third party in 2016 under the following rating companies:

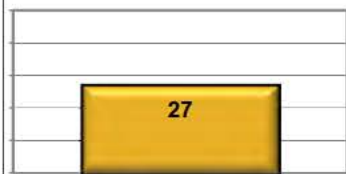
American Casualty Co. of Reading
CNA Claims Plus
Continental Casualty
Transportation Insurance Company

CNA used the following third party in 2016:

Gallagher Bassett Services

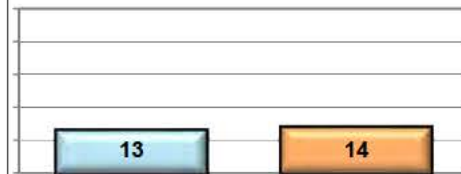
Utilization Analysis

Lost Time First Reports Received



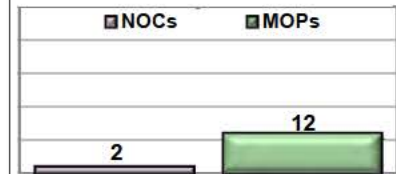
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

7%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

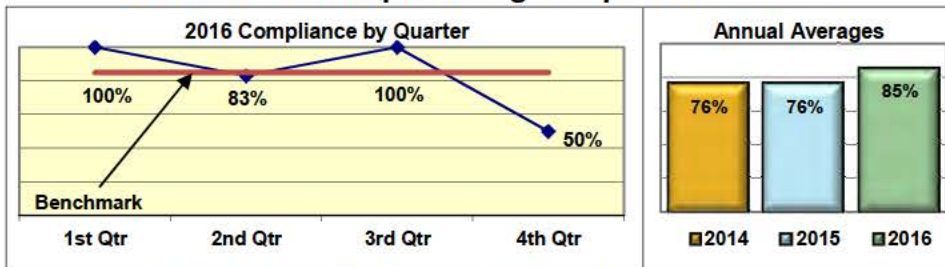
14%

Annual Compliance Report

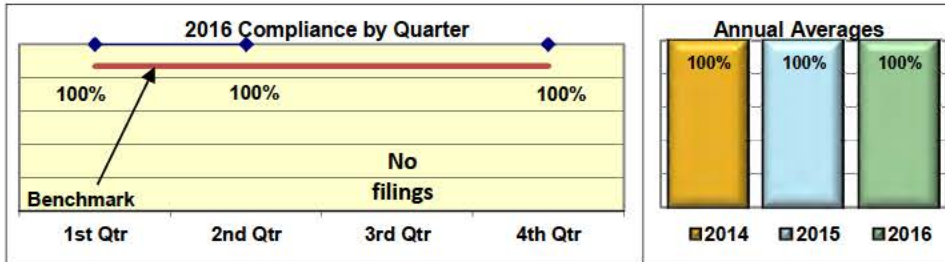
01/01/2016-12/31/2016

CONSTITUTION STATE SERVICES

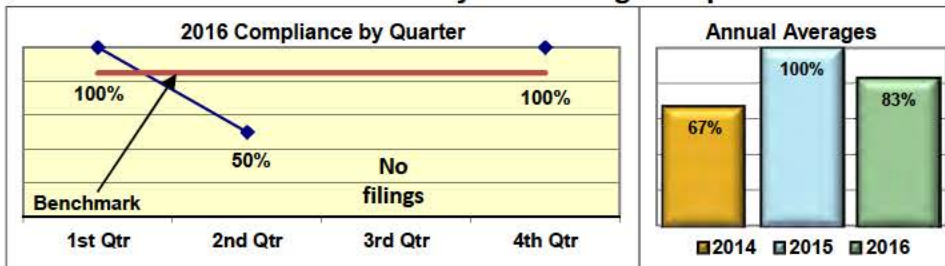
Lost Time First Report Filing Compliance



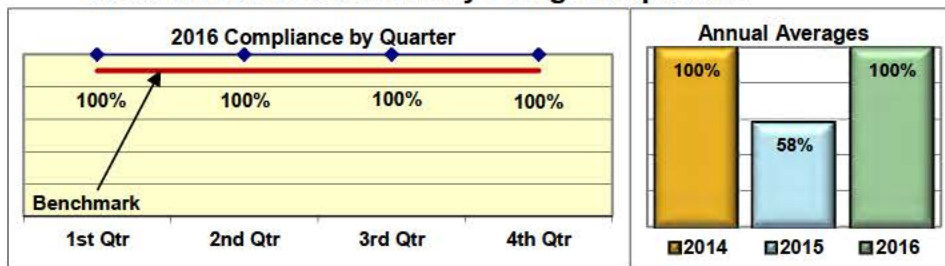
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Constitution State Services is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance
Indemnity Ins. Co. of No. America
Insurance Co. of the State of PA

and the following self-insured employer:

S D Warren

Utilization Analysis

Lost Time First Reports Received



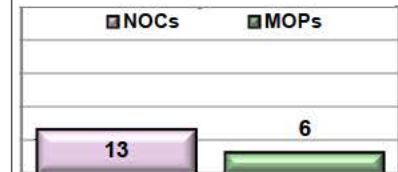
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

50%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

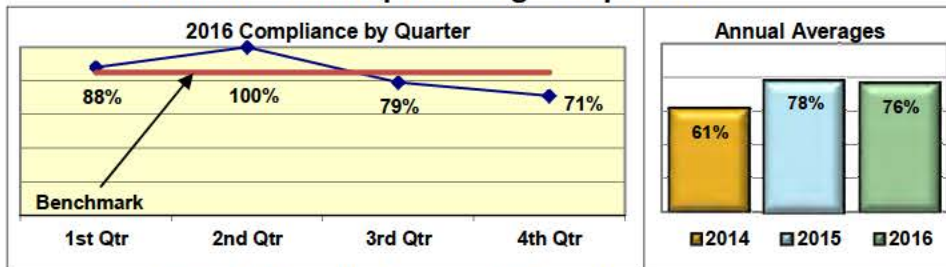
68%

Annual Compliance Report

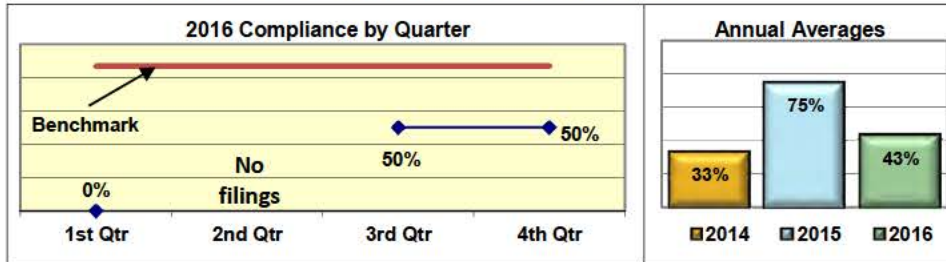
01/01/2016-12/31/2016

CORVEL ENTERPRISE CORP

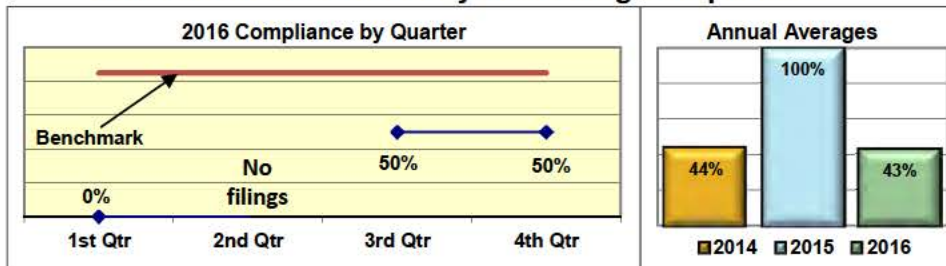
Lost Time First Report Filing Compliance



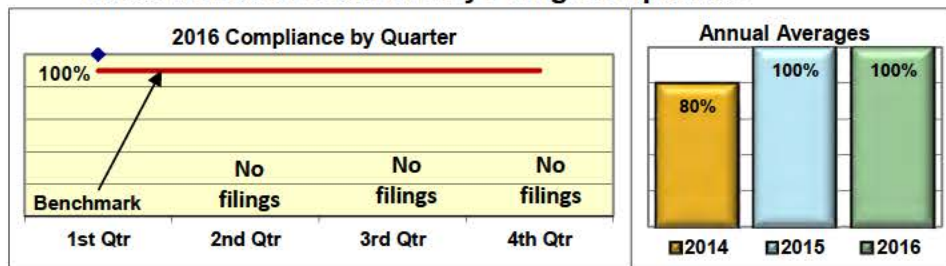
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

CorVel Enterprise Comp. is a third party administrator that administered claims in 2016 for the following rating companies:

Indemnity Ins. Co. of North America
Old Republic Insurance
Safety National Casualty Corp.
Trumbull Insurance
XL Insurance

Utilization Analysis

Lost Time First Reports Received



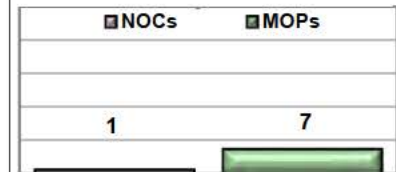
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

2%

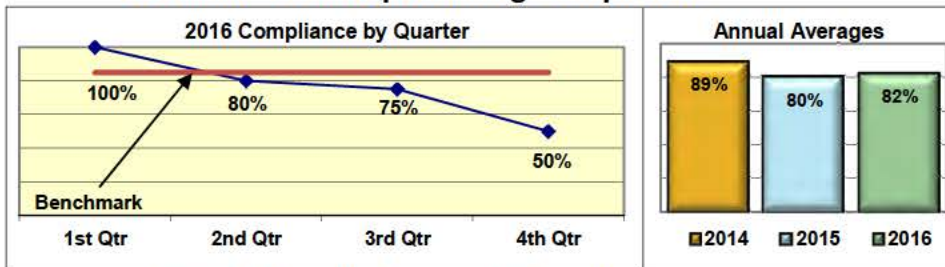
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

13%

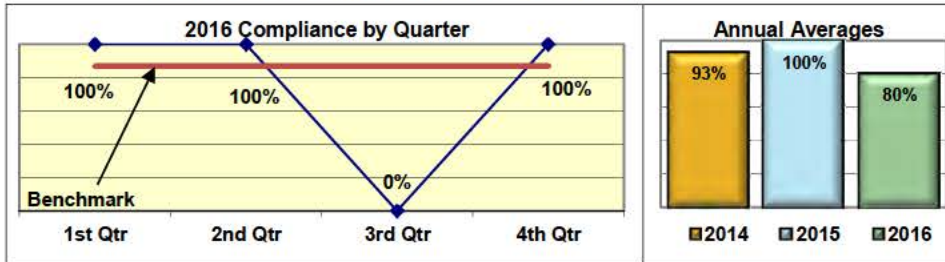
Annual Compliance Report
01/01/2016-12/31/2016

COTTINGHAM & BUTLER CLAIMS SERVICES

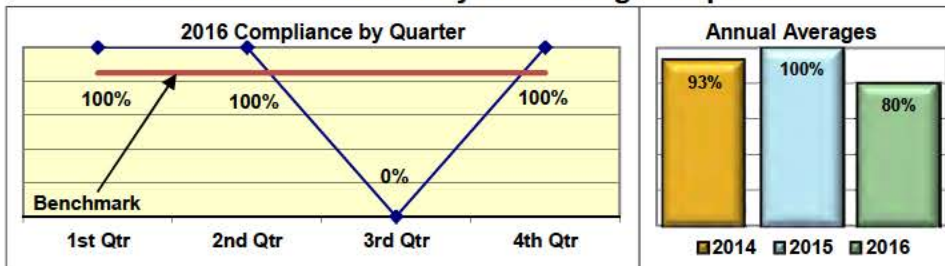
Lost Time First Report Filing Compliance



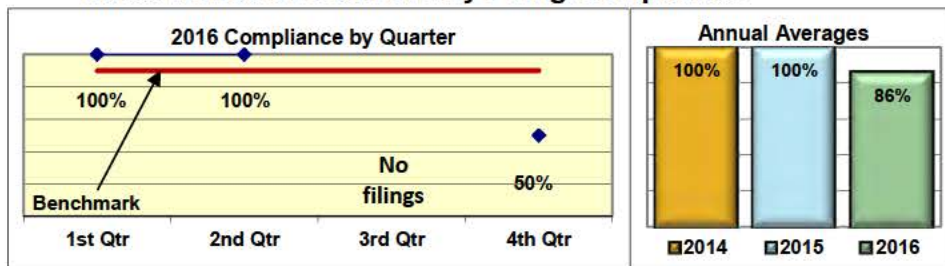
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Cottingham & Butler Claims Services is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance
Arch Insurance
Standard Fire Insurance
XL Insurance

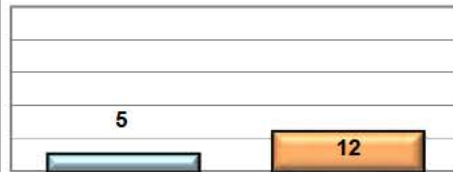
Utilization Analysis

Lost Time First Reports Received



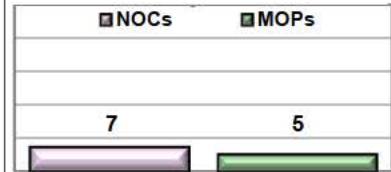
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

41%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

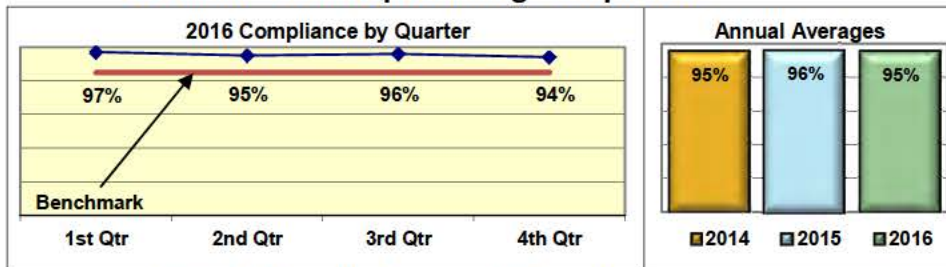
58%

Annual Compliance Report

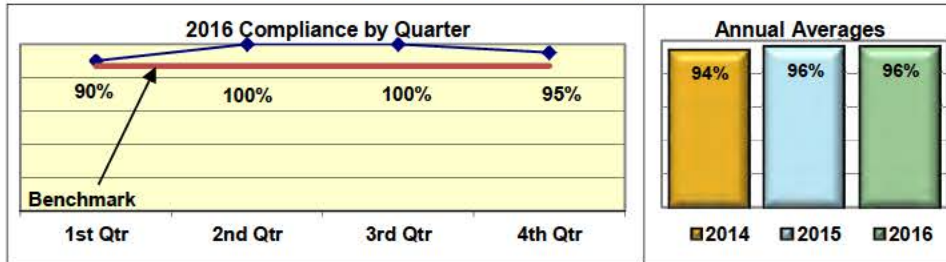
01/01/2016-12/31/2016

CROSS INSURANCE

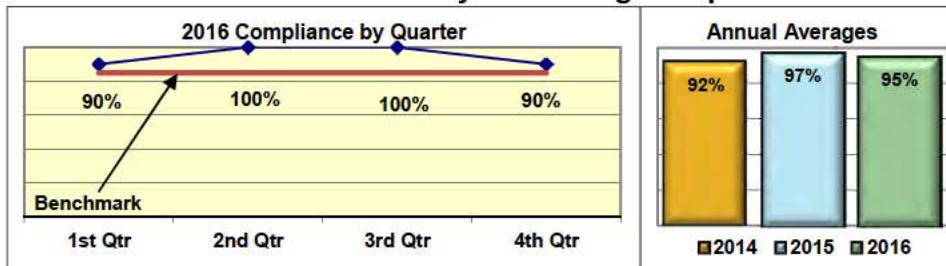
Lost Time First Report Filing Compliance



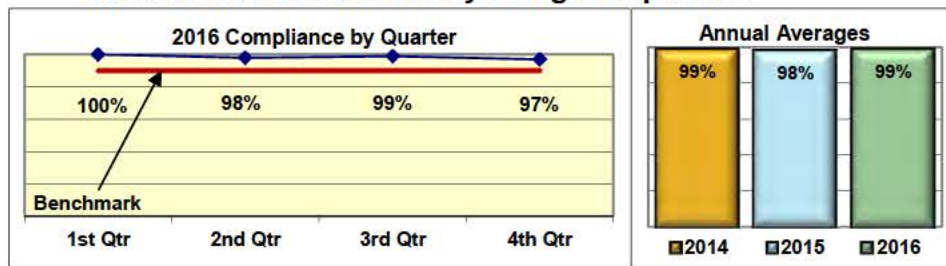
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Cross Insurance is a third party administrator that administered claims in 2016 for the following self-insured employers:

Auburn, City of
Central Maine Power Co.
Construction Services Group Trust
Distributors Suppliers Group Trust
Eastern Maine Group
Forest Products Group Trust
Hussey Seating Co.
Maine Oil Dealers Association
MaineGeneral Health
ME Chamber of Comm. & Industry
Mfg. of Maine Group Trust
Parker Hannifin Corporation
Social Services & Education

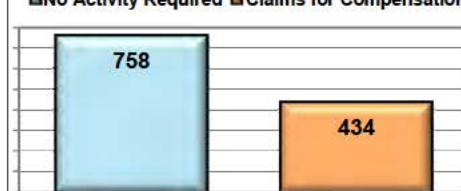
Utilization Analysis

Lost Time First Reports Received



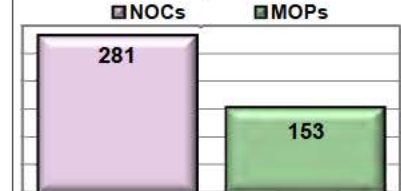
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

24%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

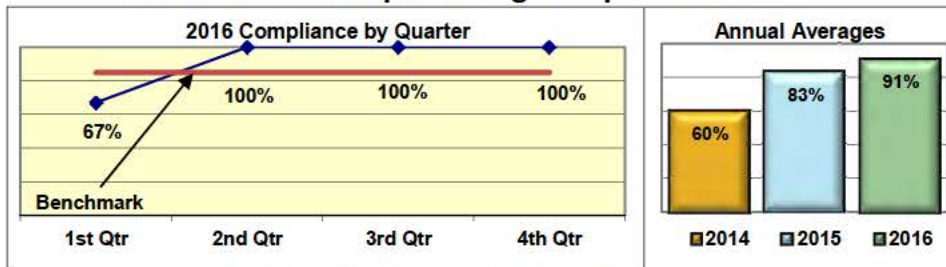
65%

Annual Compliance Report

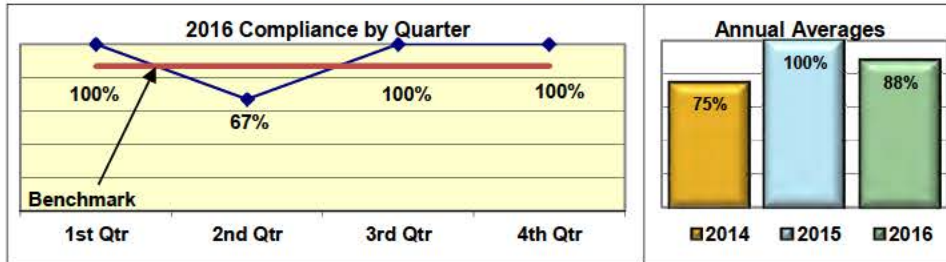
01/01/2016-12/31/2016

ELECTRIC INSURANCE

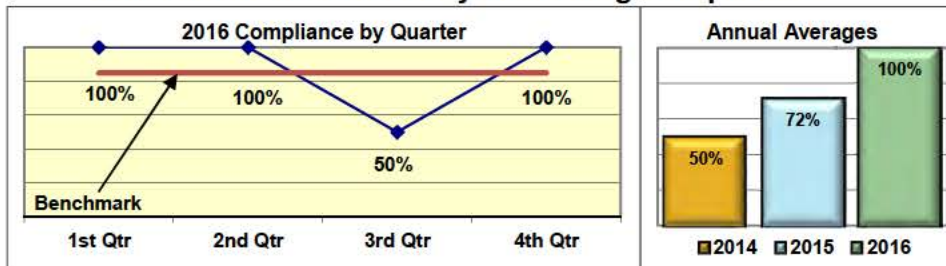
Lost Time First Report Filing Compliance



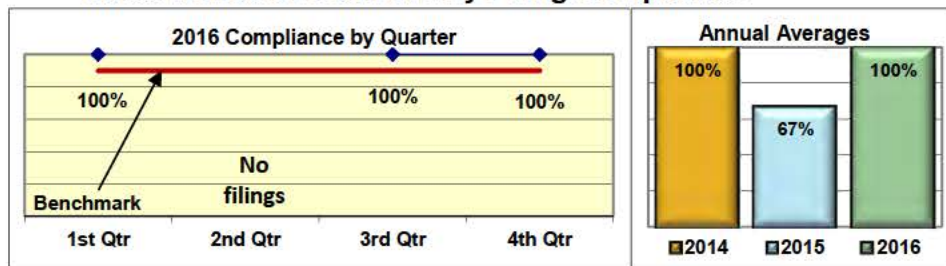
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Electric Insurance is an insurer that used a third party to administer claims in 2016 under the following rating company:

Electric Insurance

Electric Insurance used the following third party in 2016:

Sedgwick Claims Management Svcs.

Utilization Analysis

Lost Time First Reports Received



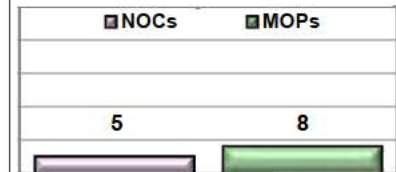
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

22%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

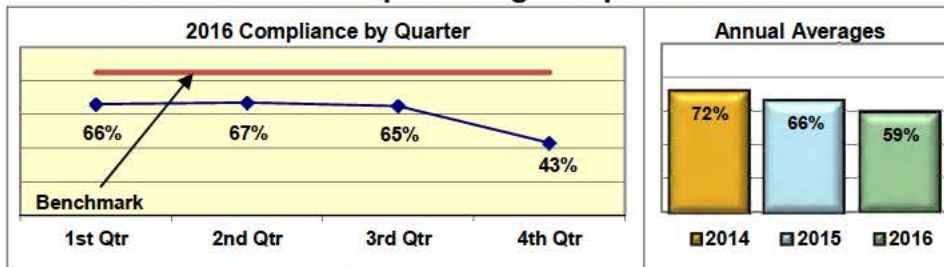
38%

Annual Compliance Report

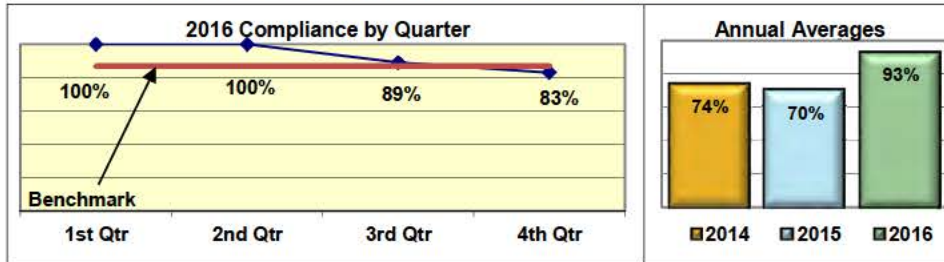
01/01/2016-12/31/2016

ESIS

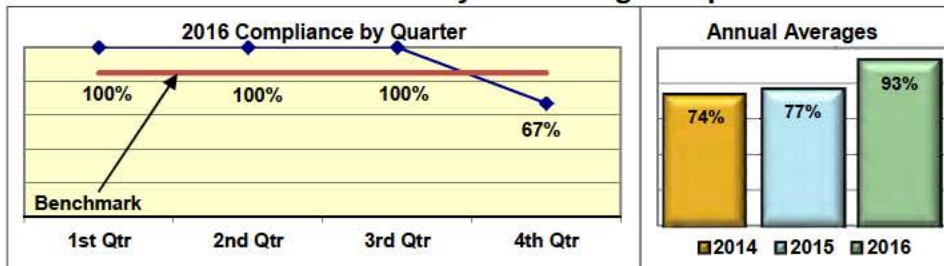
Lost Time First Report Filing Compliance



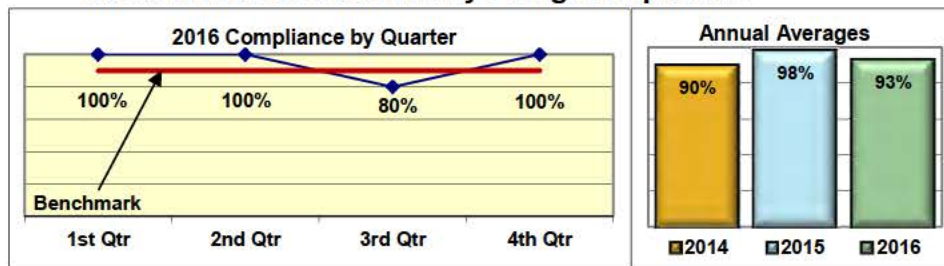
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

ESIS is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance
 Fire Underwriters Insurance
 ACE Property & Casualty Insurance
 American Zurich Insurance
 Commerce & Industry Insurance
 Federal Insurance
 Indemnity Ins. Co. of No. America
 New Hampshire Insurance
 Safety National Casualty Corp.
 Starr Indemnity & Liability
 XL Insurance

and the following self-insured employer:

Unifirst Corporation

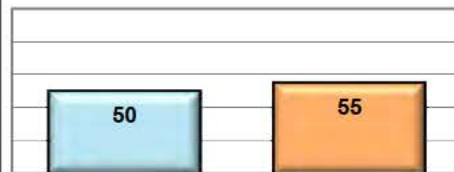
Utilization Analysis

Lost Time First Reports Received



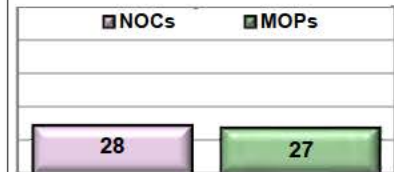
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
 (Initial Indemnity NOCs / Lost Time First Reports)

27%

Percent of Claims for Compensation Denied
 (Initial Indemnity NOCs / Claims for Compensation)

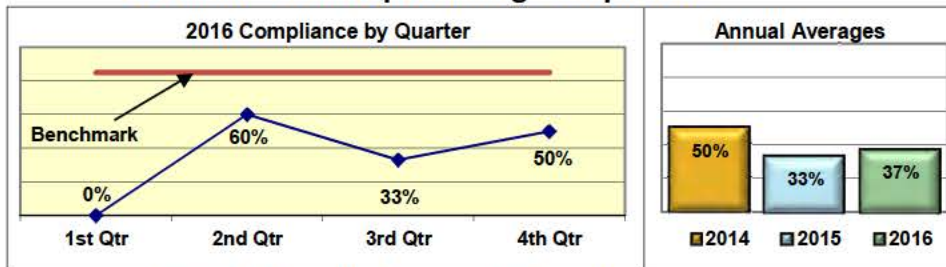
51%

Annual Compliance Report

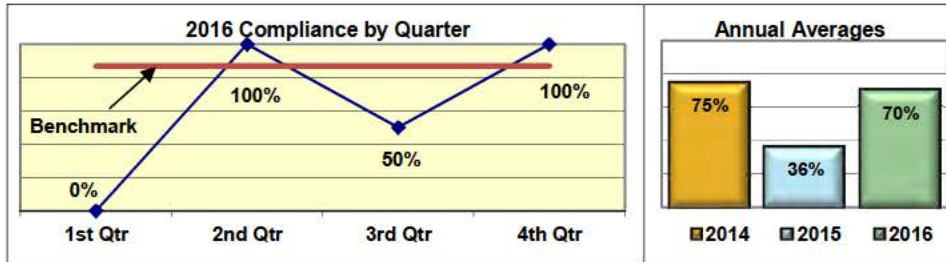
01/01/2016-12/31/2016

FEDERATED MUTUAL INSURANCE

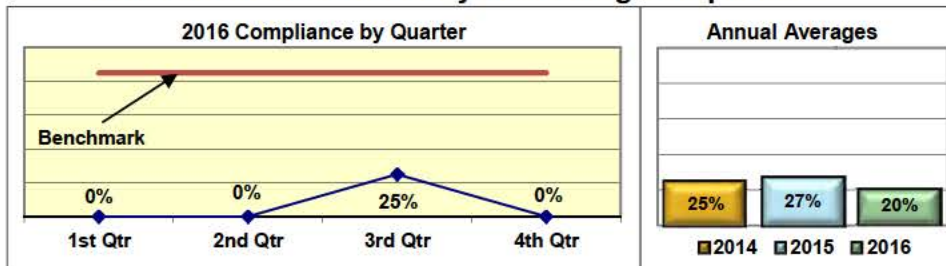
Lost Time First Report Filing Compliance



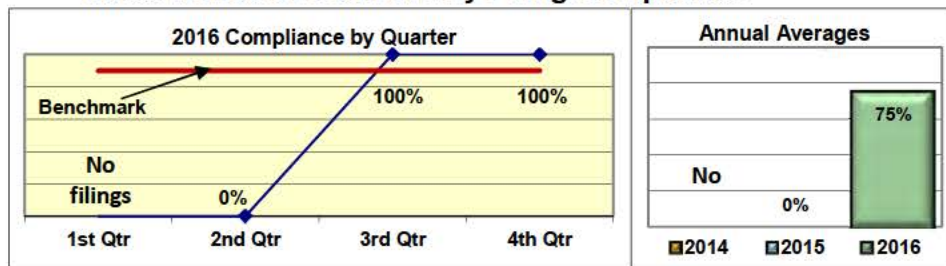
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Federated Mutual Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Federated Mutual Insurance
Federated Service Insurance

Utilization Analysis

Lost Time First Reports Received



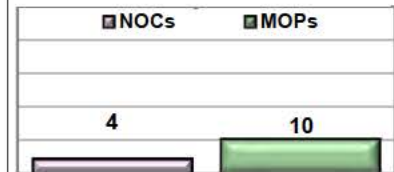
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

21%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

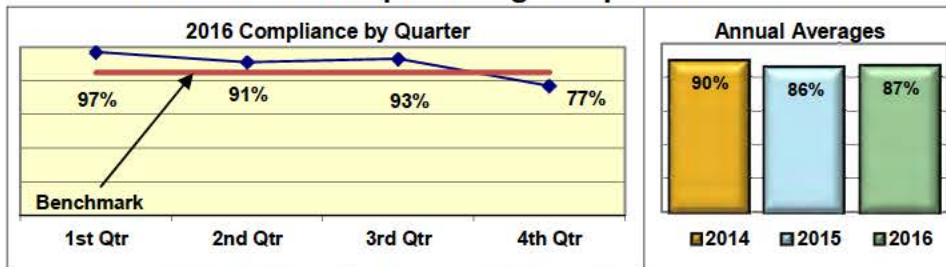
29%

Annual Compliance Report

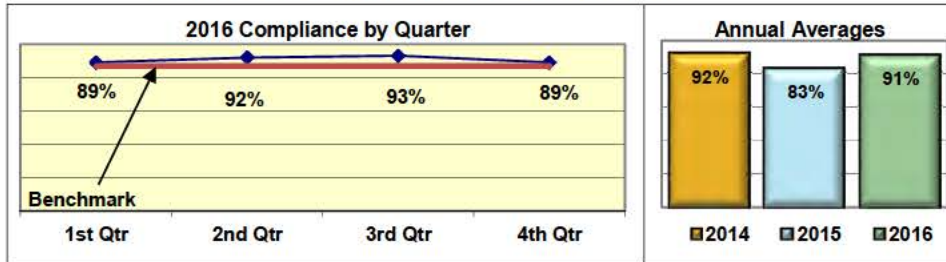
01/01/2016-12/31/2016

FUTURECOMP

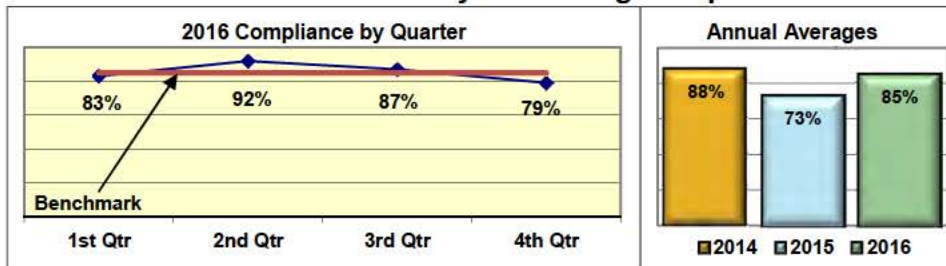
Lost Time First Report Filing Compliance



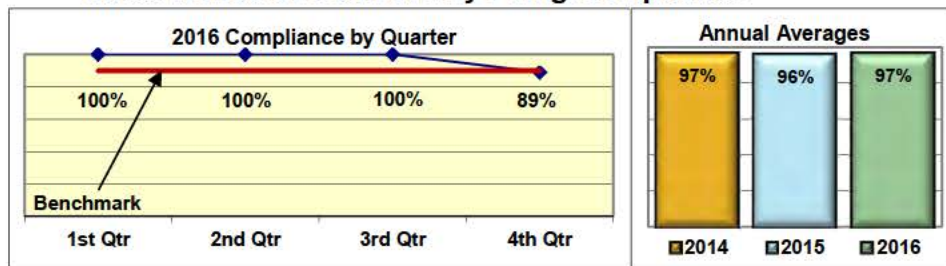
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

FutureComp is a third party administrator that administered claims in 2016 for the following self-insured employers:

Central Maine Healthcare
Maine Merchants WC Trust Fund

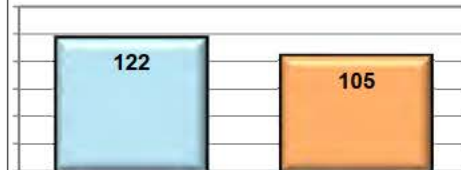
Utilization Analysis

Lost Time First Reports Received



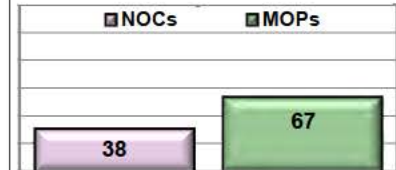
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

17%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

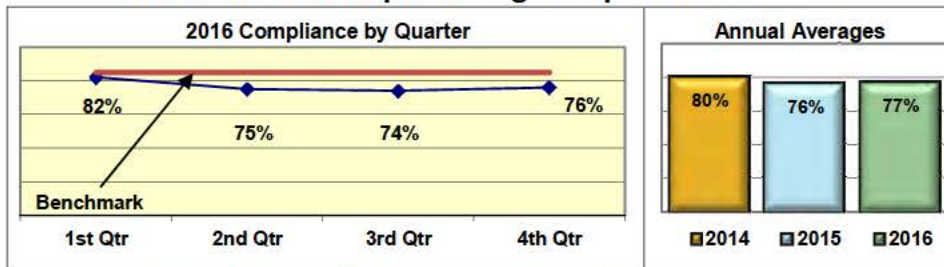
36%

Annual Compliance Report

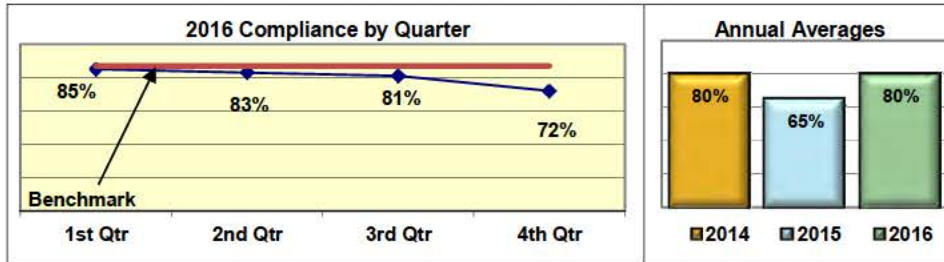
01/01/2016-12/31/2016

GALLAGHER BASSETT SERVICES

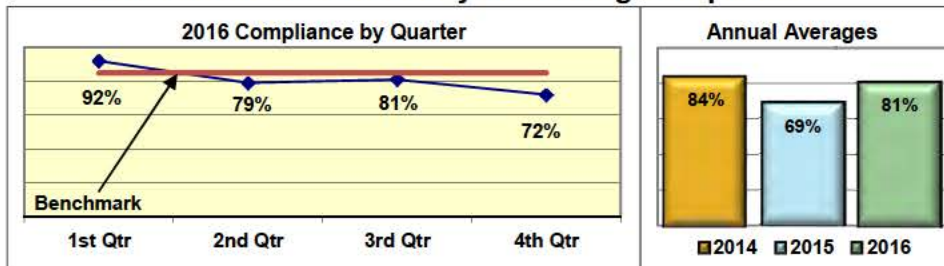
Lost Time First Report Filing Compliance



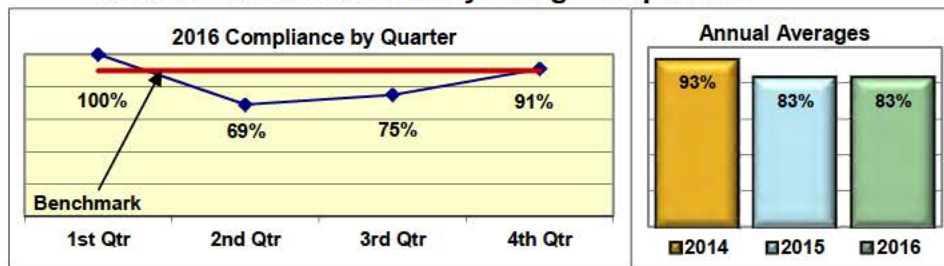
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Gallagher Bassett Services is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance
 American Casualty Co. of Reading
 American Zurich Insurance
 Arch Insurance
 Atlantic Specialty Insurance
 Chubb Indemnity Insurance
 Everest National Insurance
 Federal Insurance
 Great Northern Insurance
 Indemnity Ins. Co. of No. America
 Manufacturers Alliance Insurance
 Mitsui Sumitomo Insurance
 New Hampshire Insurance
 North Pointe Insurance
 Old Republic General Insurance
 Old Republic Insurance
 Pacific Indemnity
 Phoenix Insurance
 QBE Insurance
 Safety National Casualty
 Standard Fire Insurance
 Starr Indemnity & Liability
 Trumbull Insurance
 XL Insurance
 XL Specialty Insurance
 Zurich American Insurance

and the following self-insured employer:

Columbia Forest Products

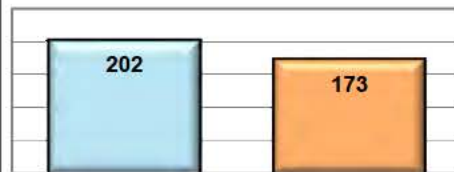
Utilization Analysis

Lost Time First Reports Received



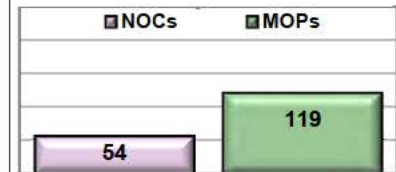
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
 (Initial Indemnity NOCs / Lost Time First Reports)

14%

Percent of Claims for Compensation Denied
 (Initial Indemnity NOCs / Claims for Compensation)

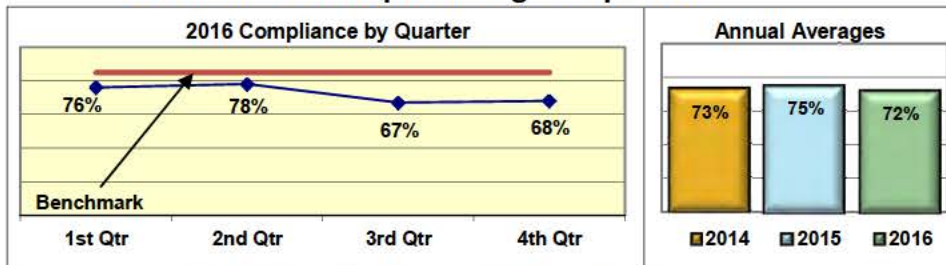
31%

Annual Compliance Report

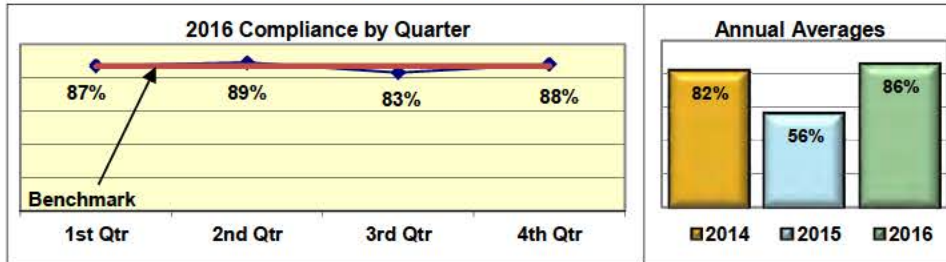
01/01/2016-12/31/2016

GREAT FALLS INSURANCE

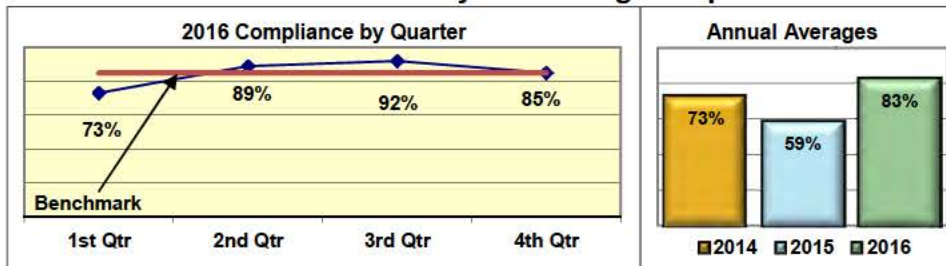
Lost Time First Report Filing Compliance



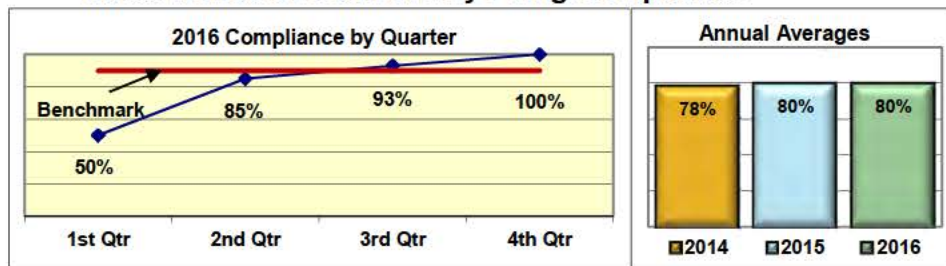
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Great Falls Insurance is an insurer that used a third party to administer claims in 2016 under the following rating company:

Great Falls Insurance

Great Falls Insurance used the following third party in 2016:

Cannon Cochran Management Svcs.

Utilization Analysis

Lost Time First Reports Received



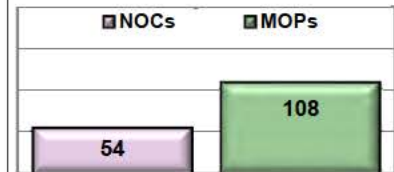
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

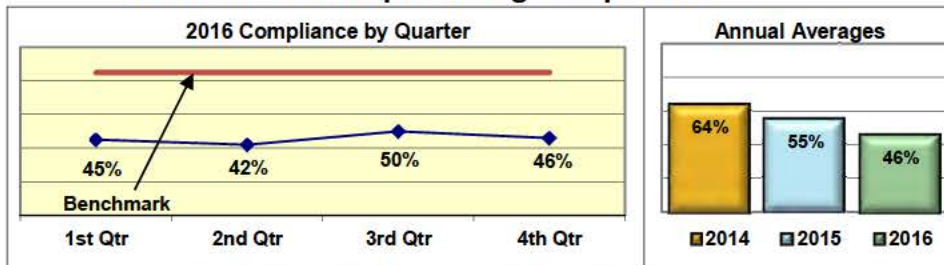
33%

Annual Compliance Report

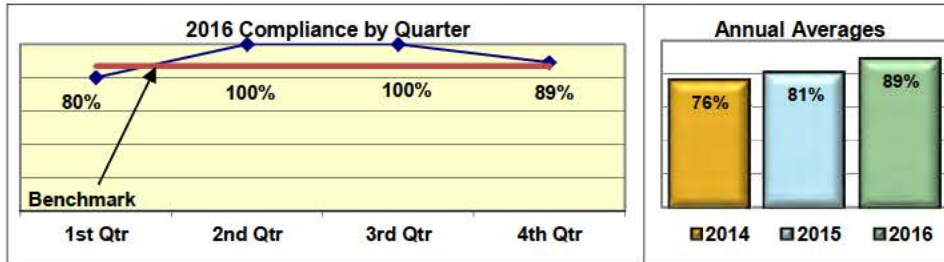
01/01/2016-12/31/2016

GUARD INSURANCE

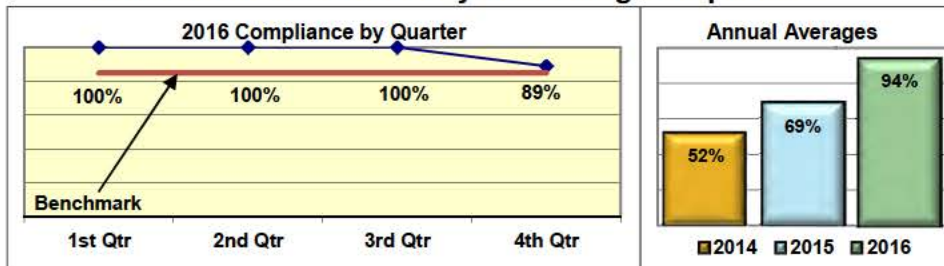
Lost Time First Report Filing Compliance



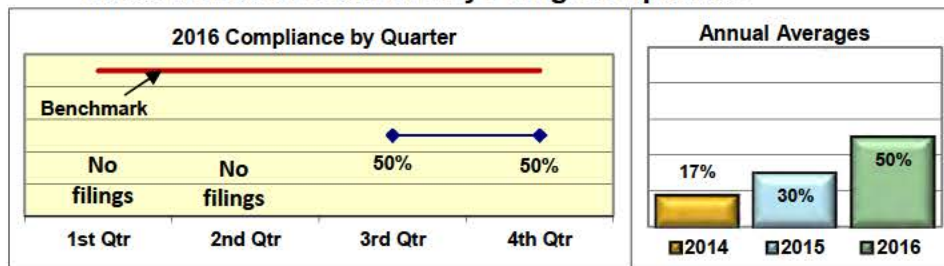
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Guard Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Amguard Insurance
Eastguard Insurance
Norguard Insurance

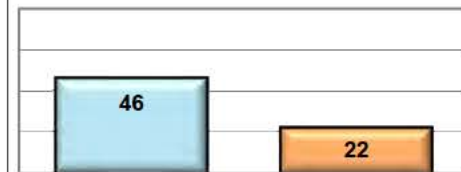
Utilization Analysis

Lost Time First Reports Received



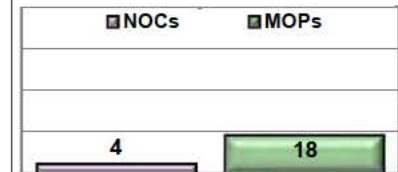
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

6%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

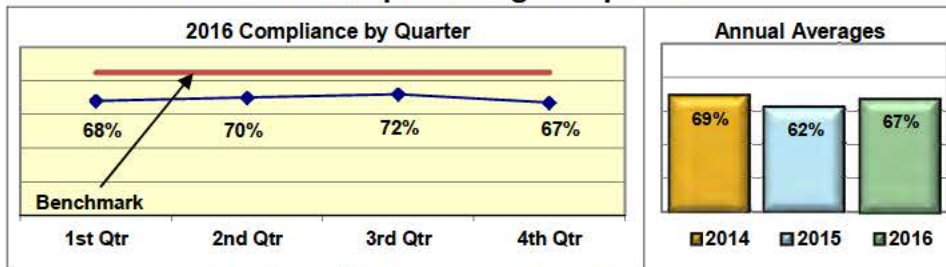
18%

Annual Compliance Report

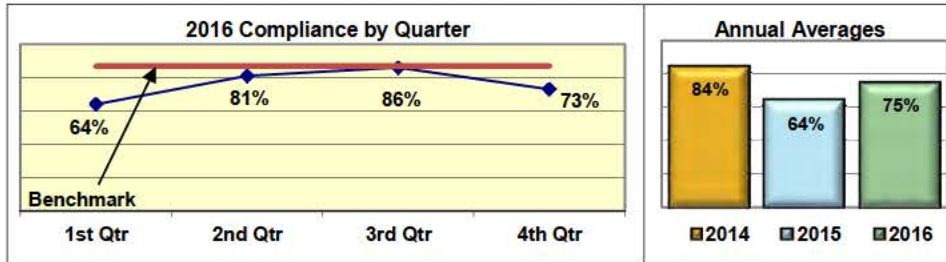
01/01/2016-12/31/2016

HANNAFORD BROTHERS

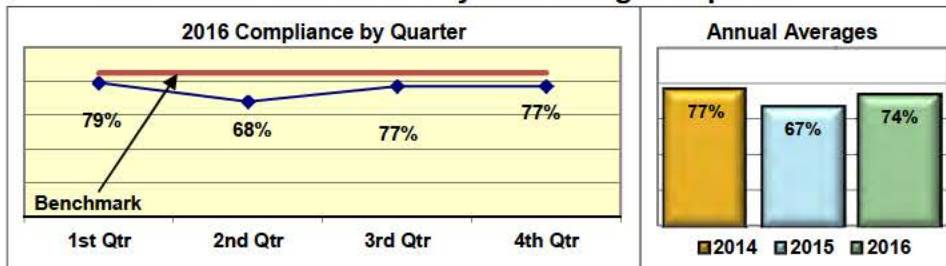
Lost Time First Report Filing Compliance



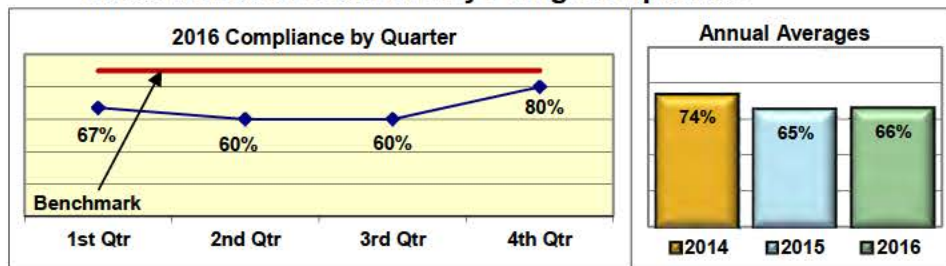
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Hannaford Brothers is a self-insured employer that administered its own claims in 2016 under the following name:

Hannaford Brothers

Utilization Analysis

Lost Time First Reports Received



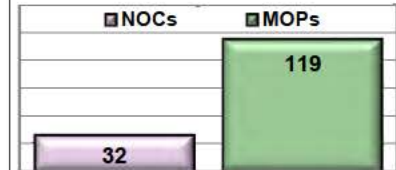
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

10%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

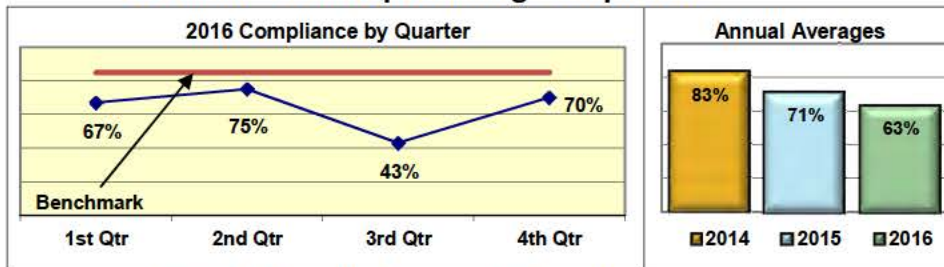
21%

Annual Compliance Report

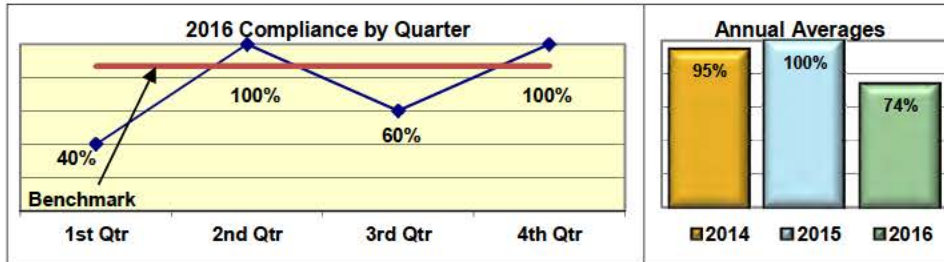
01/01/2016-12/31/2016

HANOVER INSURANCE

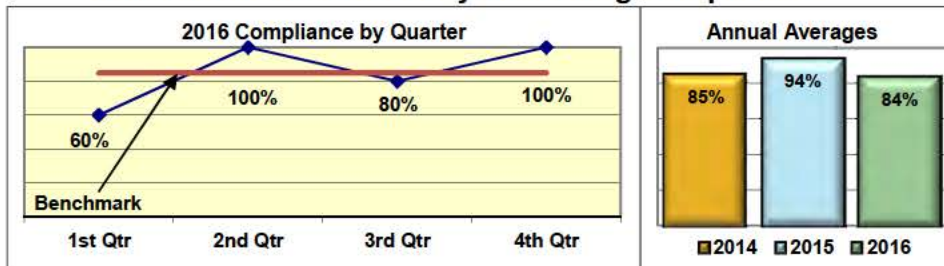
Lost Time First Report Filing Compliance



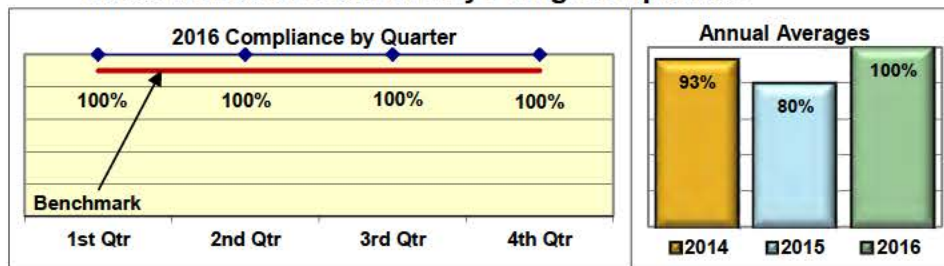
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Hanover Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Allmerica Financial Benefit Ins.
Citizens Insurance Co. of America
Hanover American Insurance
Hanover Insurance Co.
Massachusetts Bay Insurance Co.

Utilization Analysis

Lost Time First Reports Received



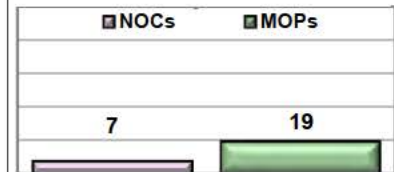
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

15%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

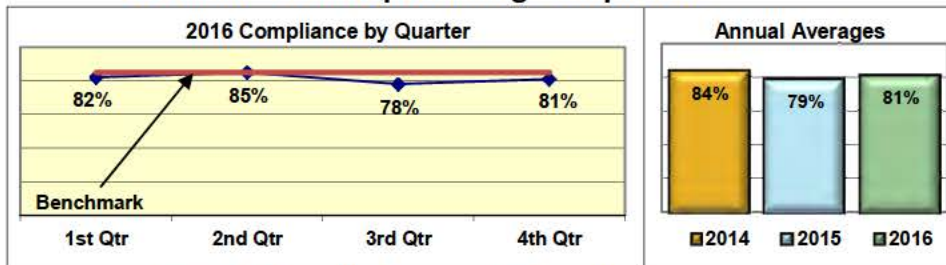
27%

Annual Compliance Report

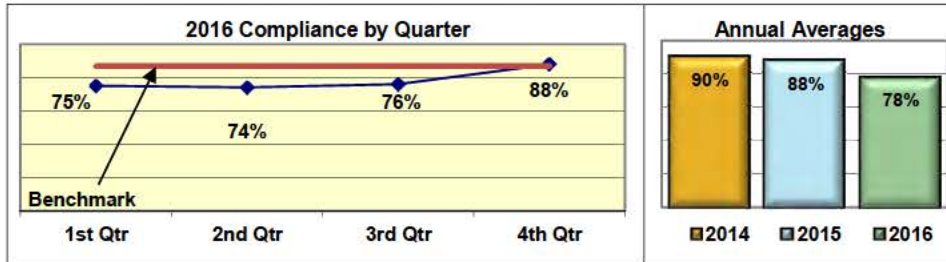
01/01/2016-12/31/2016

HARTFORD INSURANCE

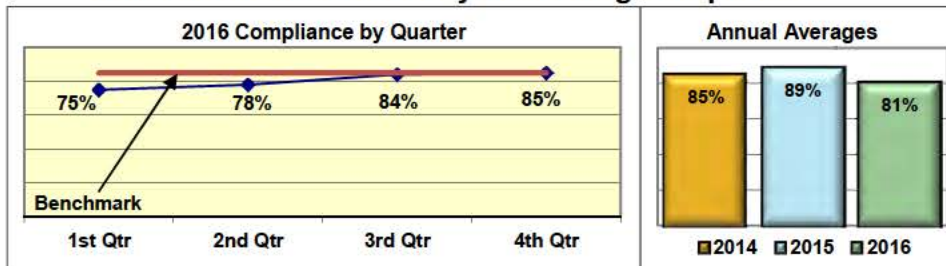
Lost Time First Report Filing Compliance



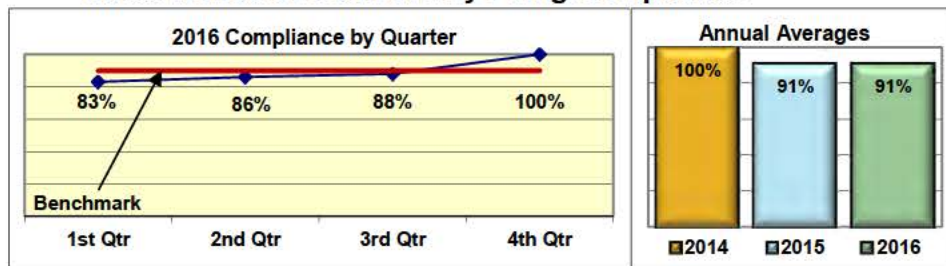
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Hartford Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating companies:

Hartford Accident & Indemnity
Hartford Casualty Insurance Co.
Hartford Fire Insurance Co.
Hartford Ins. Co. of the Midwest
Hartford Underwriters Insurance
Property & Casualty Insurance Sentinel
Insurance
Trumbull Insurance
Twin City Fire Insurance

Hartford Insurance used the following third parties in 2016:

Broadspire Services
Cannon Cochran Management Svcs.
CorVel Enterprise Comp.
Gallagher Bassett Services
Sedgwick Claims Management Svcs.
York Risk Services

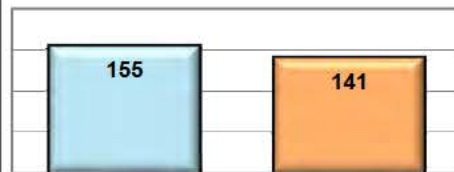
Utilization Analysis

Lost Time First Reports Received



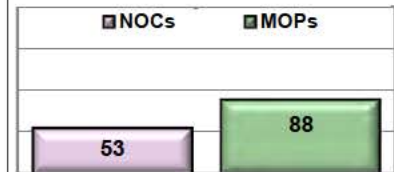
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

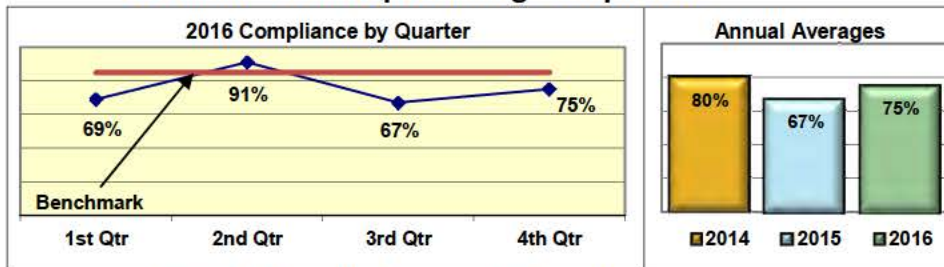
38%

Annual Compliance Report

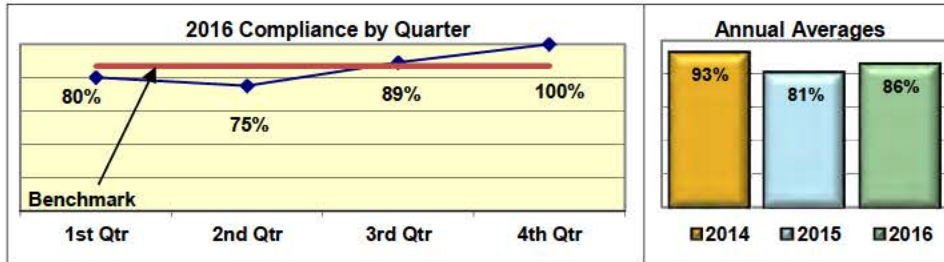
01/01/2016-12/31/2016

HELMSMAN MANAGEMENT SERVICES

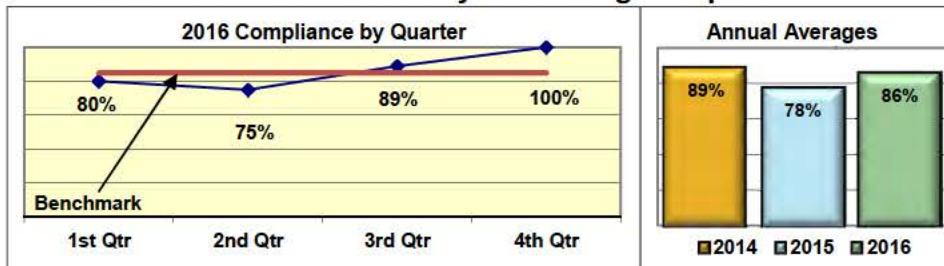
Lost Time First Report Filing Compliance



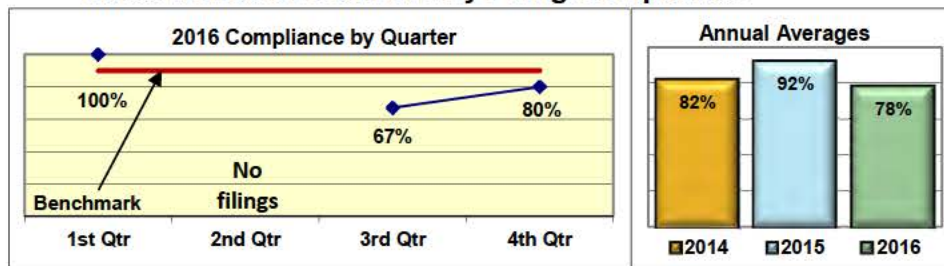
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Helmsman Management Services is a third party administrator that administered claims in 2016 for the following rating companies:

Arch Insurance
Indemnity Ins. Co. of No. America
Old Republic Insurance
Safety National Casualty Corp.

and self-insured employers:

Asplundh Tree Expert
Home Depot USA, Inc.

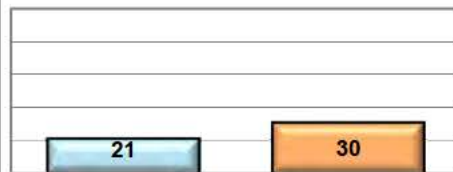
Utilization Analysis

Lost Time First Reports Received



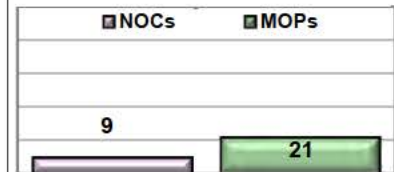
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

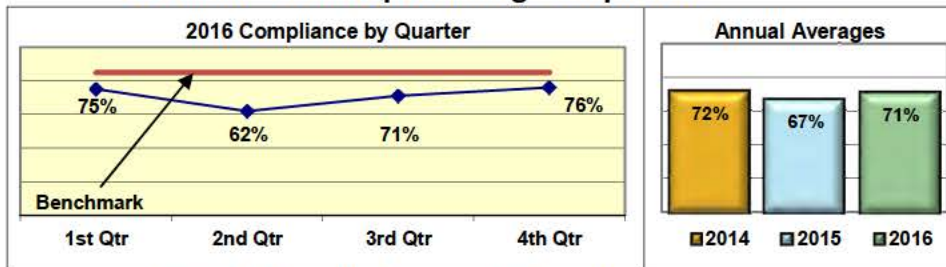
30%

Annual Compliance Report

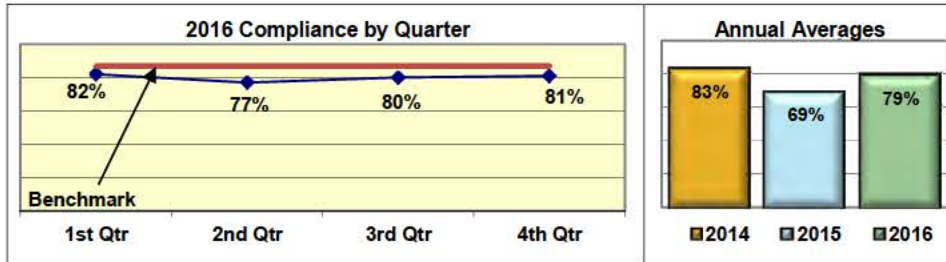
01/01/2016-12/31/2016

LIBERTY MUTUAL INSURANCE

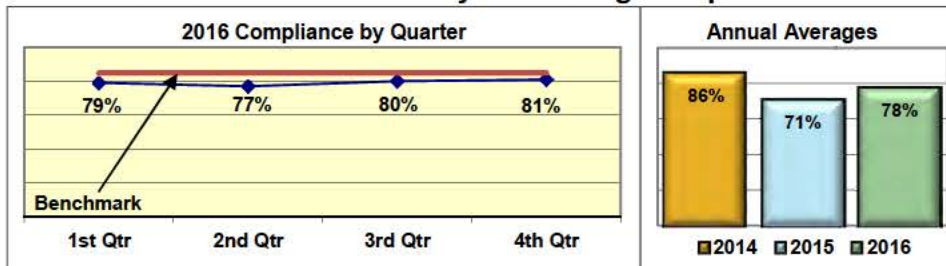
Lost Time First Report Filing Compliance



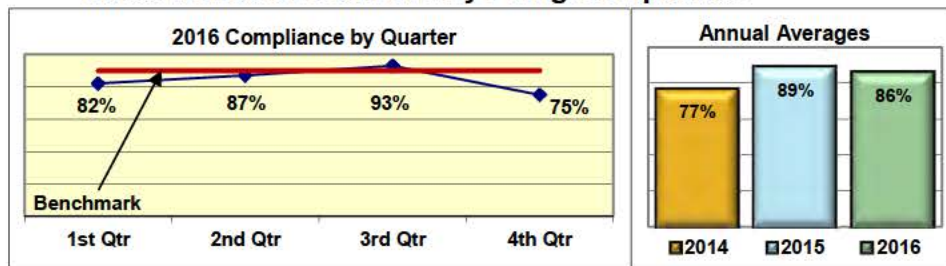
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Liberty Mutual Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

American Fire & Casualty Insurance
Employers Insurance of Wausau
Excelsior Insurance
First Liberty Insurance
Liberty Insurance Corp.
Liberty Mutual Insurance
Liberty Mutual Fire Insurance
LM Insurance Corp.
Ohio Casualty Insurance
Ohio Security Insurance
Peerless Insurance
Peerless Indemnity Insurance
The Netherlands Insurance
West American Insurance

*Annual Averages for 2014 do

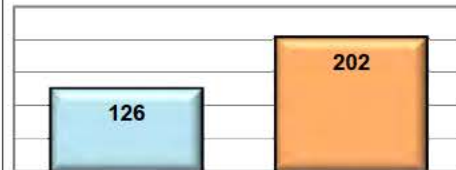
Utilization Analysis

Lost Time First Reports Received



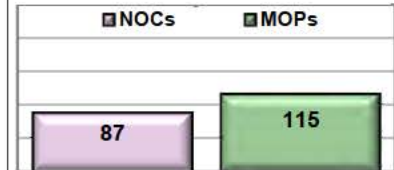
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

27%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

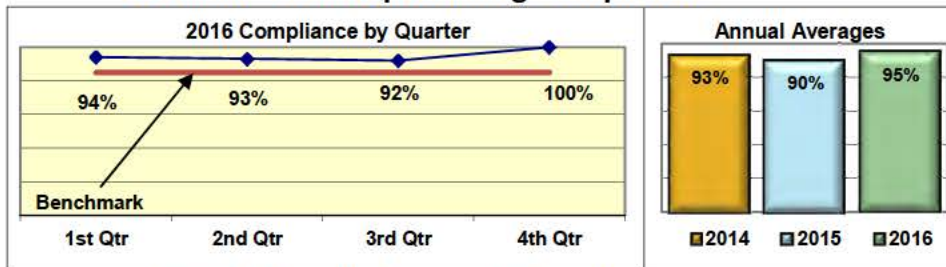
43%

Annual Compliance Report

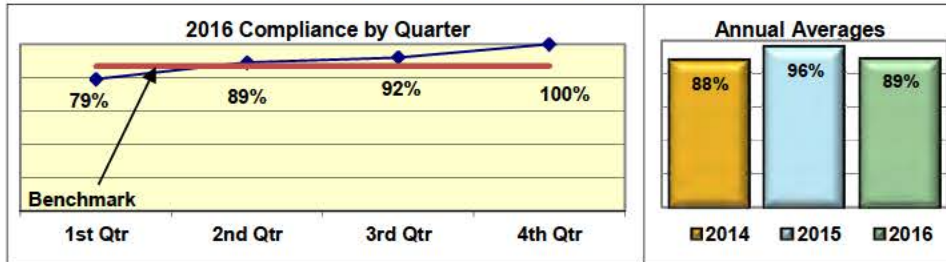
01/01/2016-12/31/2016

MAINE AUTOMOBILE DEALERS ASSOCIATION

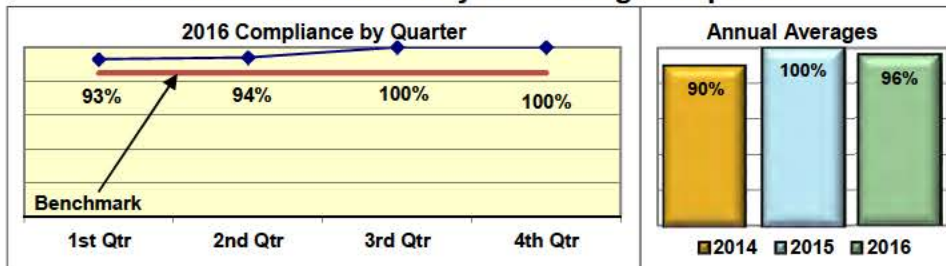
Lost Time First Report Filing Compliance



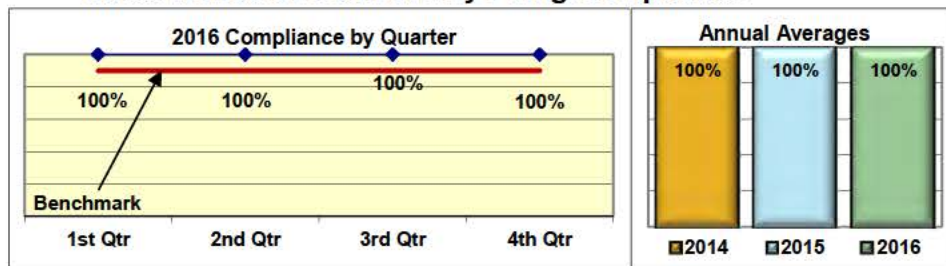
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine Automobile Dealers Association is a trust of self-insured employers that administered claims in 2016 under the following name:

Maine Automobile Dealers Assoc.

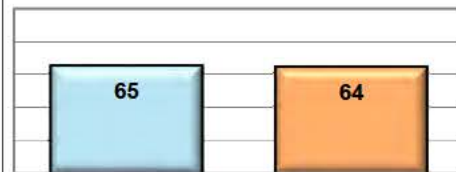
Utilization Analysis

Lost Time First Reports Received



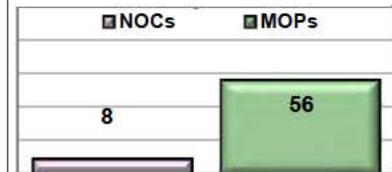
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

6%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

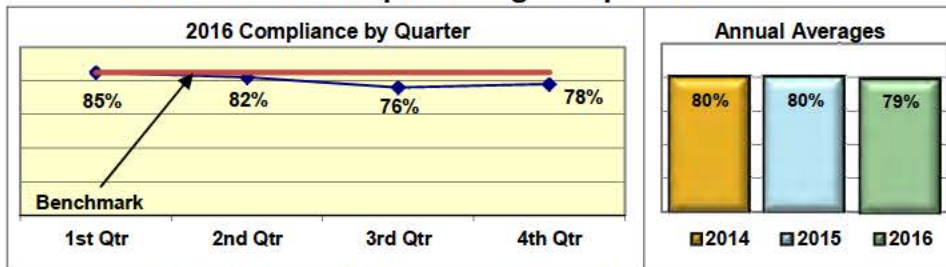
13%

Annual Compliance Report

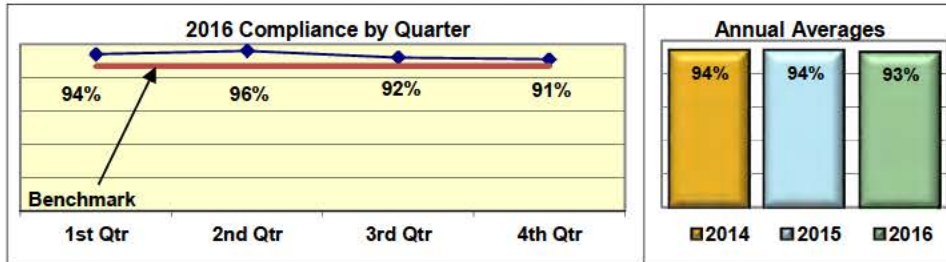
01/01/2016-12/31/2016

MAINE EMPLOYERS' MUTUAL INSURANCE

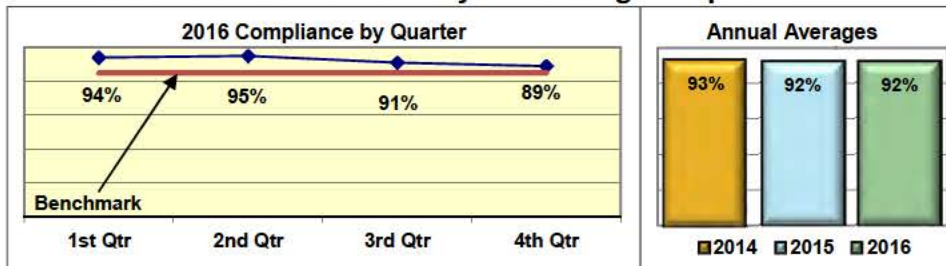
Lost Time First Report Filing Compliance



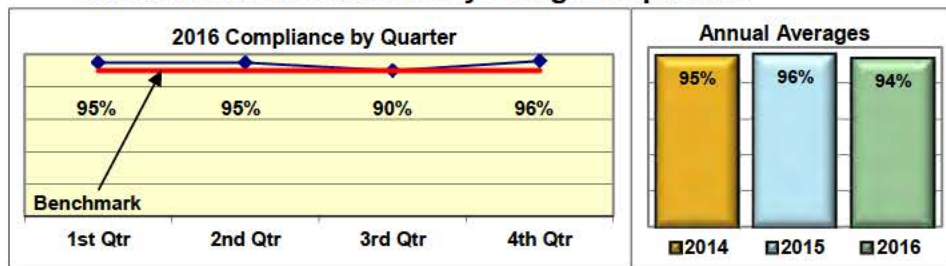
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine Employers' Mutual Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Maine Employers' Mutual Insurance
MEMIC Indemnity Company

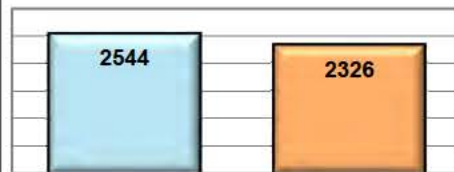
Utilization Analysis

Lost Time First Reports Received

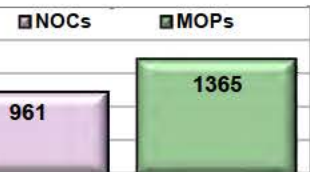


Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

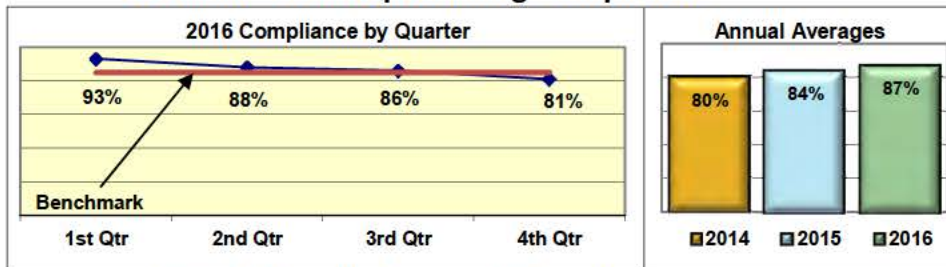
41%

Annual Compliance Report

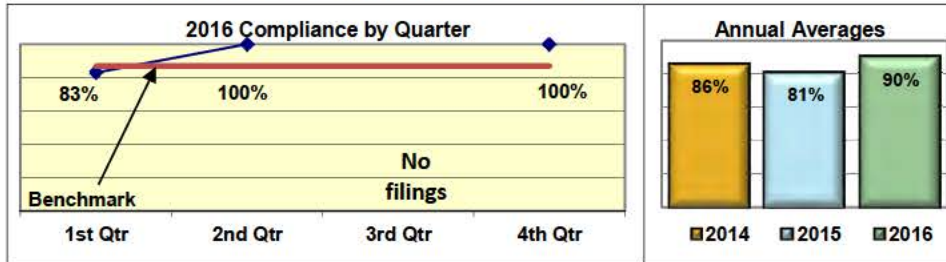
01/01/2016-12/31/2016

MAINE HEALTHCARE ASSOCIATION

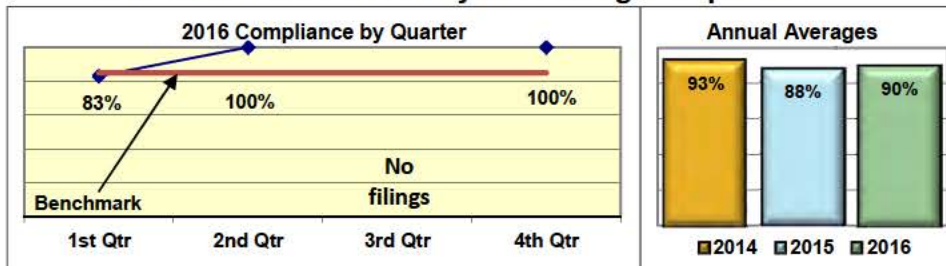
Lost Time First Report Filing Compliance



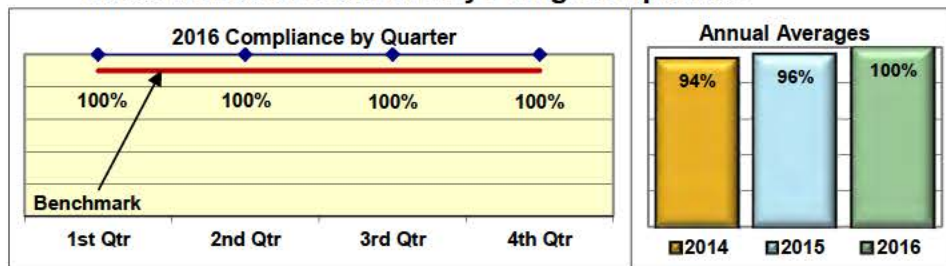
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine Healthcare Association is a trust of self-insured employers that administered claims in 2015 under the following name:

MHCA Workers' Comp. Fund

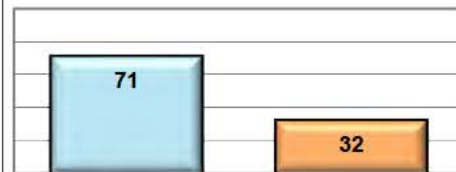
Utilization Analysis

Lost Time First Reports Received



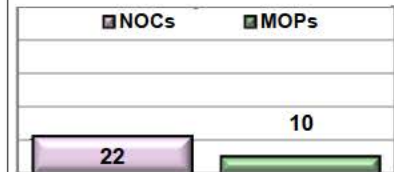
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

21%

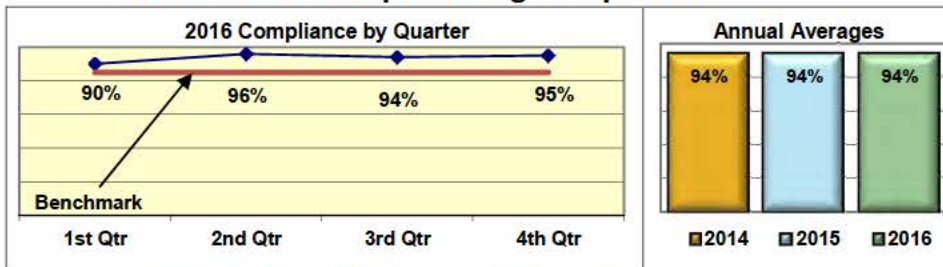
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

69%

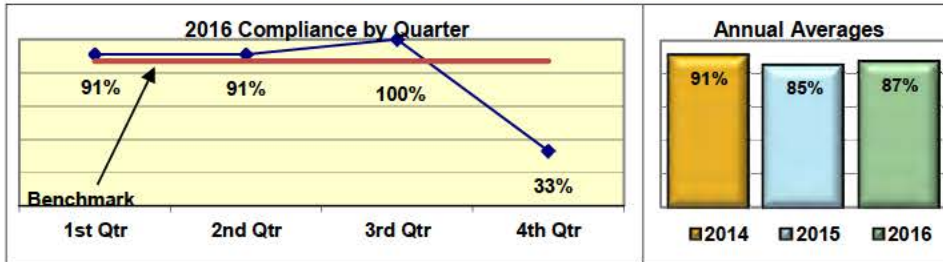
Annual Compliance Report
01/01/2016-12/31/2016

MAINE MOTOR TRANSPORT ASSOCIATION

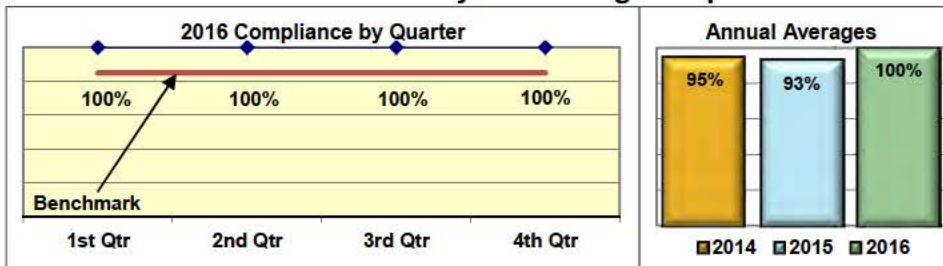
Lost Time First Report Filing Compliance



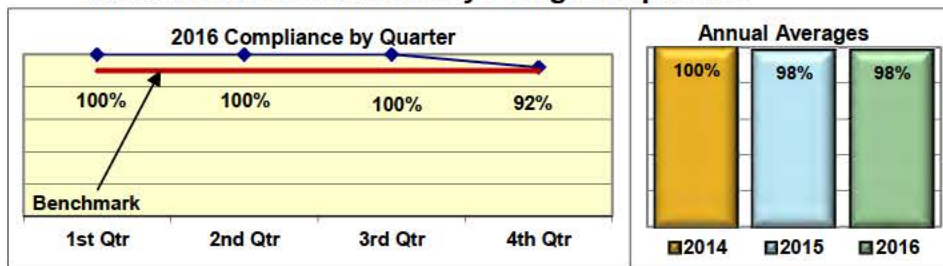
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine Motor Transport Association is a trust of self-insured employers that administered claims in 2016 under the following name:

Maine Motor Transport WC Trust

Utilization Analysis

Lost Time First Reports Received



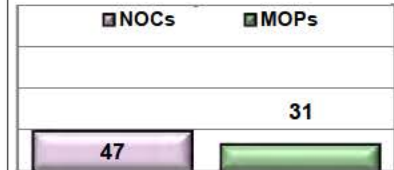
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

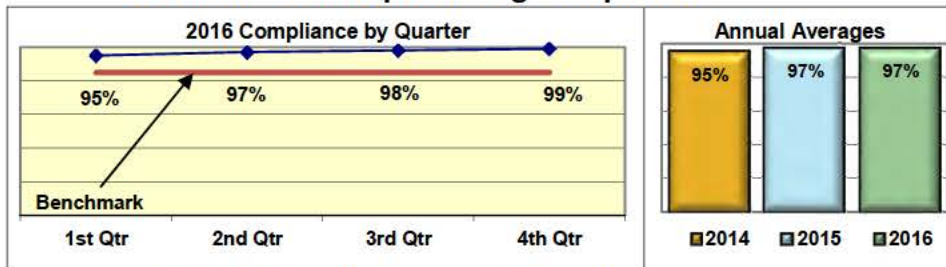
60%

Annual Compliance Report

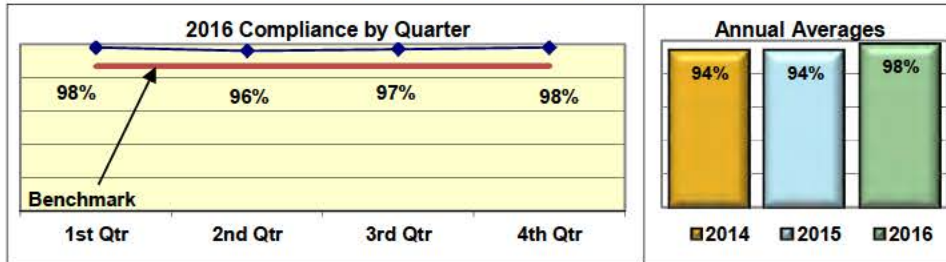
01/01/2016-12/31/2016

MAINE MUNICIPAL ASSOCIATION

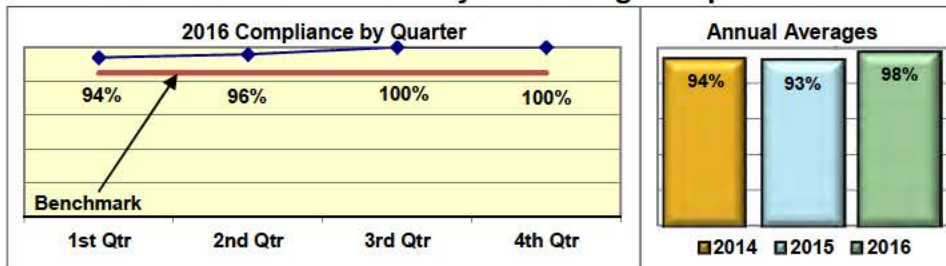
Lost Time First Report Filing Compliance



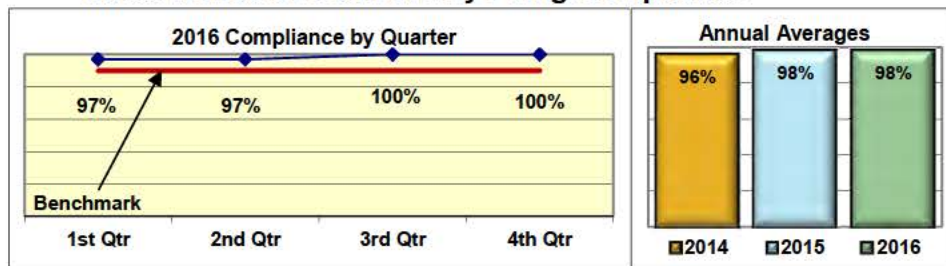
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine Municipal Association is a trust of self-insured employers that administered claims in 2016 under the following names:

Bangor, City of
Maine Municipal Association
Portland, City of

Utilization Analysis

Lost Time First Reports Received



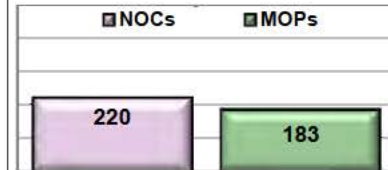
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

25%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

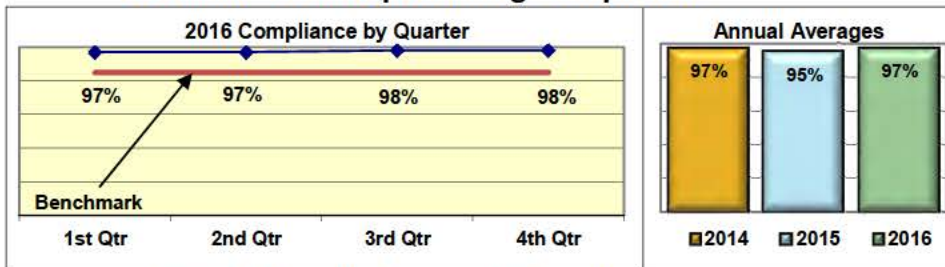
55%

Annual Compliance Report

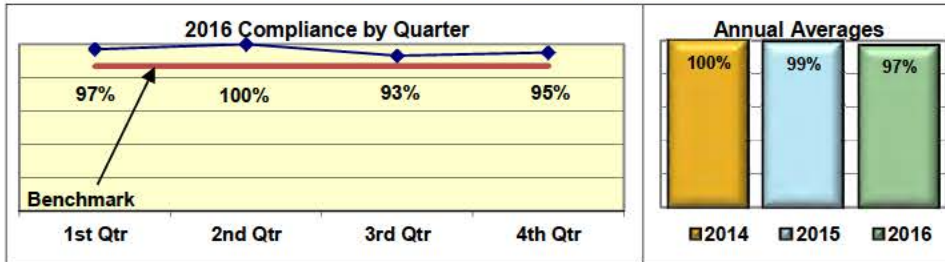
01/01/2016-12/31/2016

MAINE SCHOOL MANAGEMENT ASSOCIATION

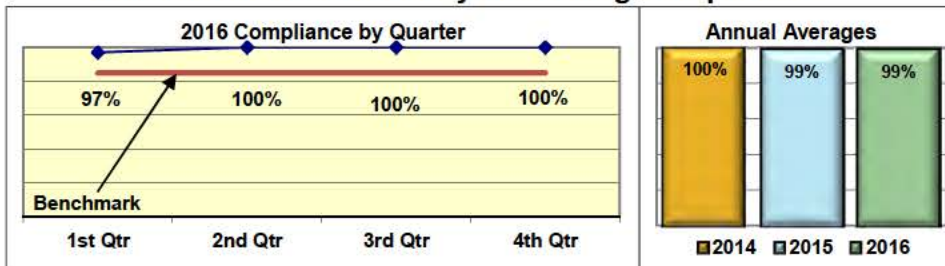
Lost Time First Report Filing Compliance



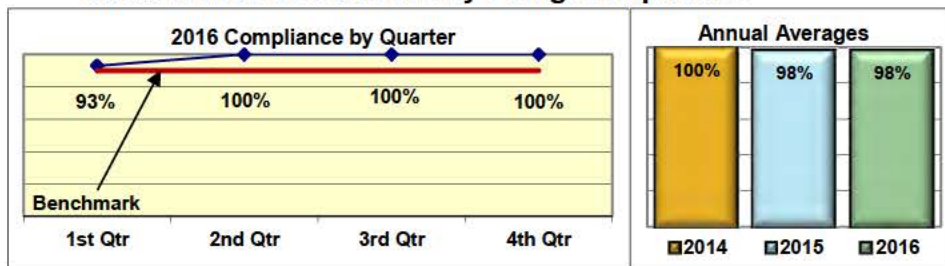
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine School Management Association is a trust of self-insured employers that administered claims in 2016 under the following name:

Maine School Management Assoc.

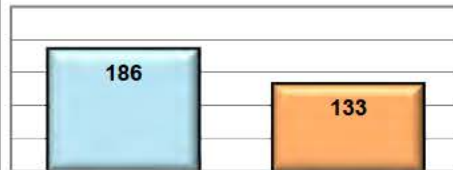
Utilization Analysis

Lost Time First Reports Received



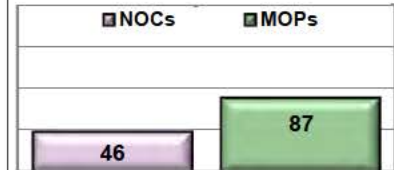
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

14%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

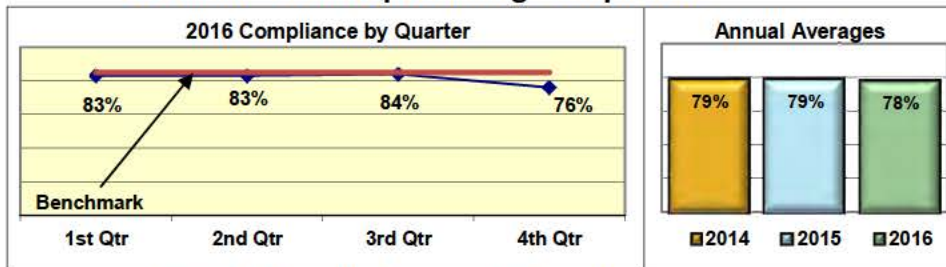
35%

Annual Compliance Report

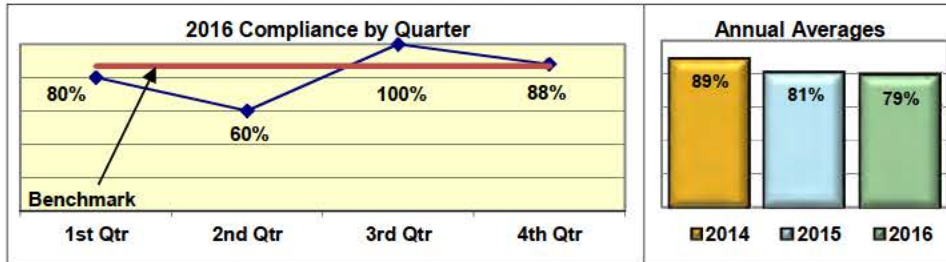
01/01/2016-12/31/2016

OLD REPUBLIC INSURANCE

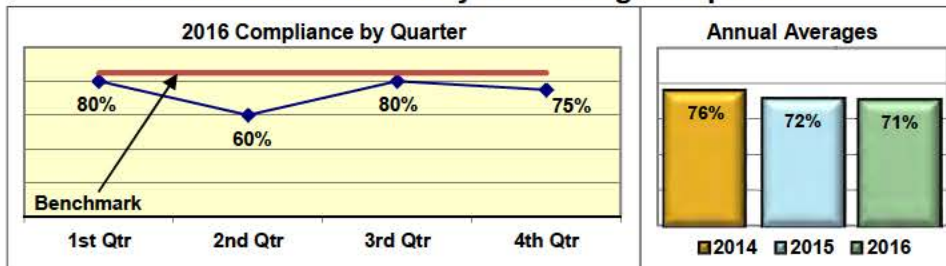
Lost Time First Report Filing Compliance



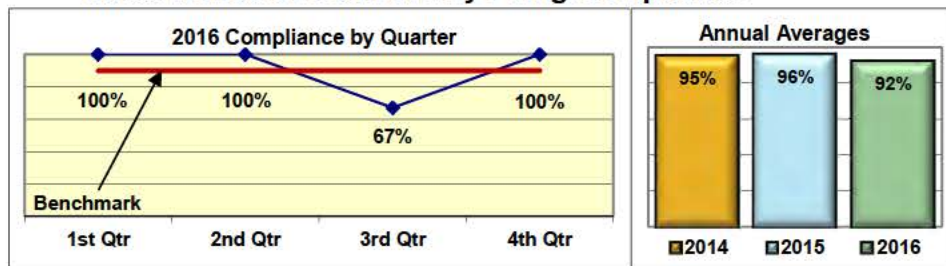
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Old Republic Insurance is an insurer that used third parties to administer claims in 2016 under the following rating company:

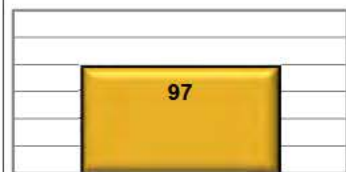
Old Republic Insurance
Old Republic General Insurance Corp.

Old Republic Insurance used the following third parties in 2016:

Broadspire Services
Cannon Cochran Management Svcs.
CorVel Enterprise Comp.
Gallagher Bassett Services
Helmsman Management Services
Ryder Services
Sedgwick Claims Management Svcs.
Tristar Risk Enterprise Management

Utilization Analysis

Lost Time First Reports Received



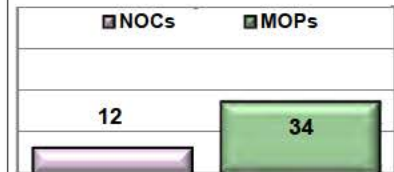
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

12%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

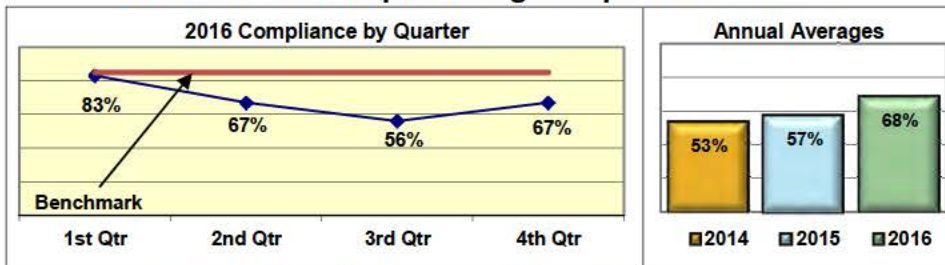
26%

Annual Compliance Report

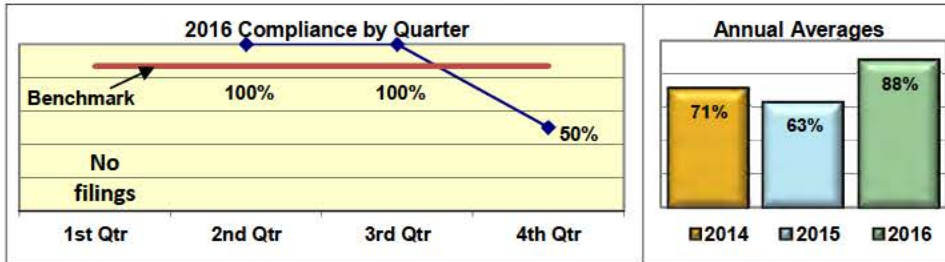
01/01/2016-12/31/2016

PENNSYLVANIA MANUFACTURERS' ASSOCIATION

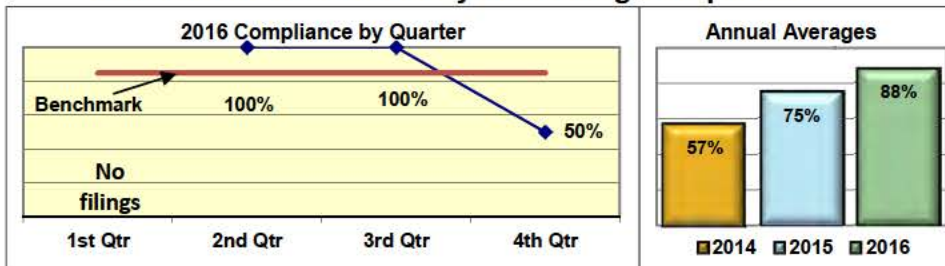
Lost Time First Report Filing Compliance



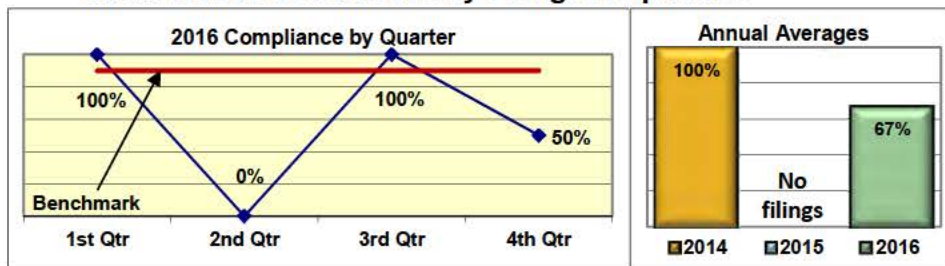
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Pennsylvania Manufacturers' Association is an insurer that used third parties to administer claims in 2016 under the following rating companies:

Manufacturers Alliance Insurance
Pennsylvania Mfg. Assn. Insurance

Pennsylvania Manufacturers' Association used the following third parties in 2016:

Gallagher Bassett Services

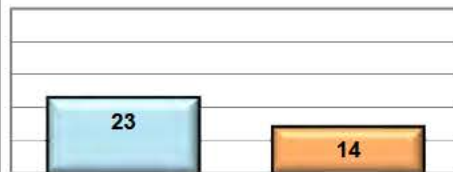
Utilization Analysis

Lost Time First Reports Received



Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

16%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

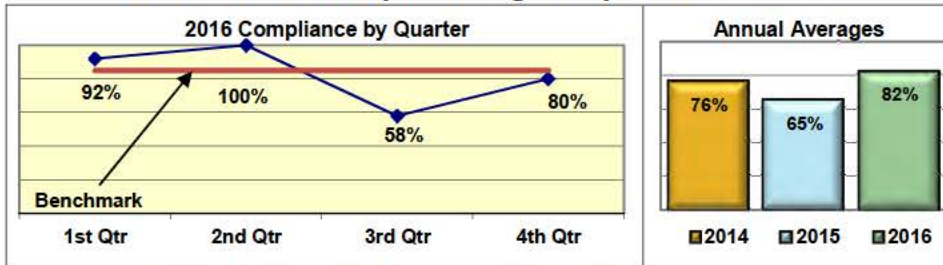
43%

Annual Compliance Report

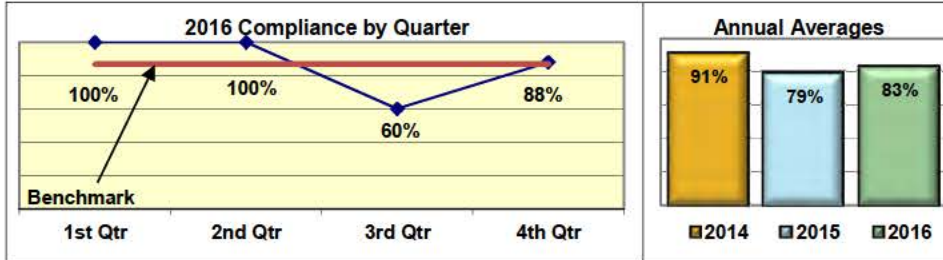
01/01/2016-12/31/2016

QBE INSURANCE

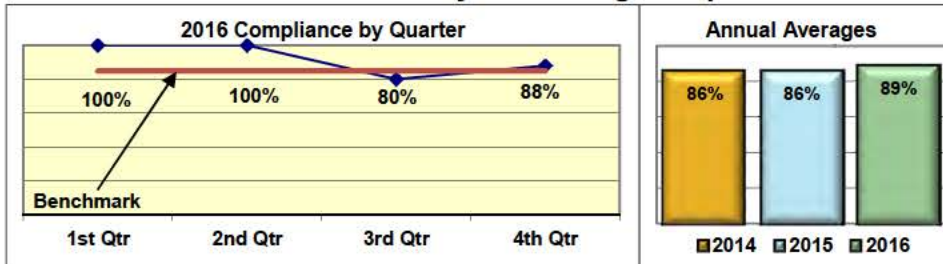
Lost Time First Report Filing Compliance



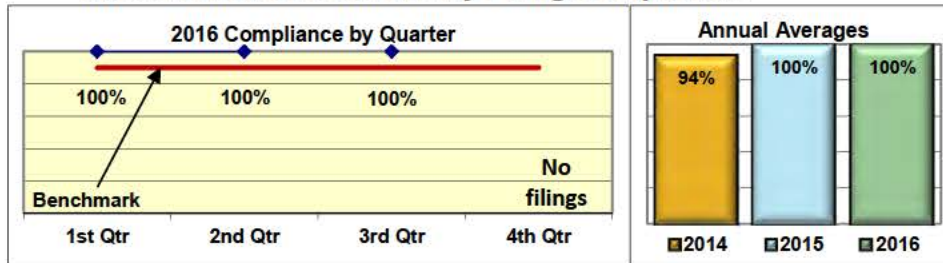
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

QBE Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

North Pointe
Praetorian Insurance
QBE Insurance
Stonington Insurance

QBE Insurance used the following third parties in 2016:

Gallagher Bassett Services
Sedgwick Claims Management Svcs.

Utilization Analysis

Lost Time First Reports Received



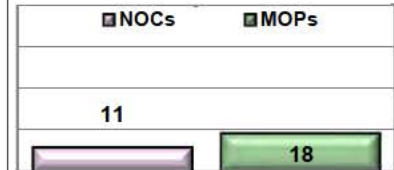
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

24%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

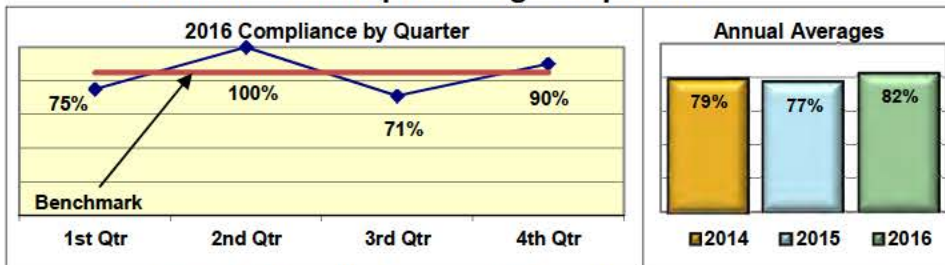
38%

Annual Compliance Report

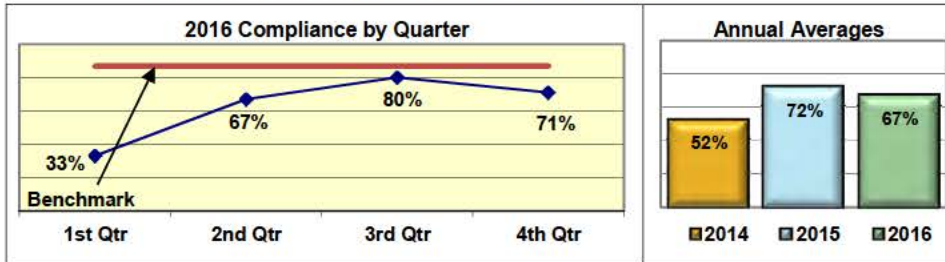
01/01/2016-12/31/2016

SAFETY NATIONAL INSURANCE

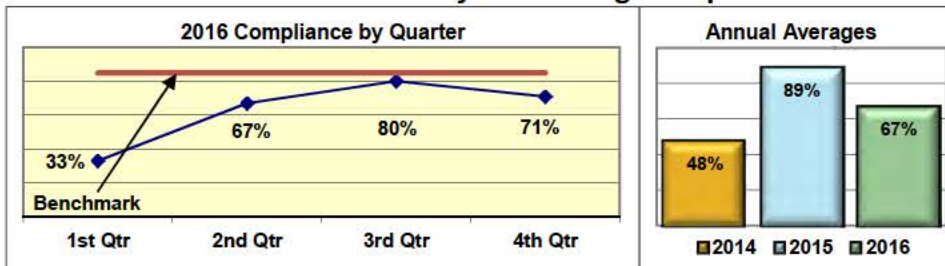
Lost Time First Report Filing Compliance



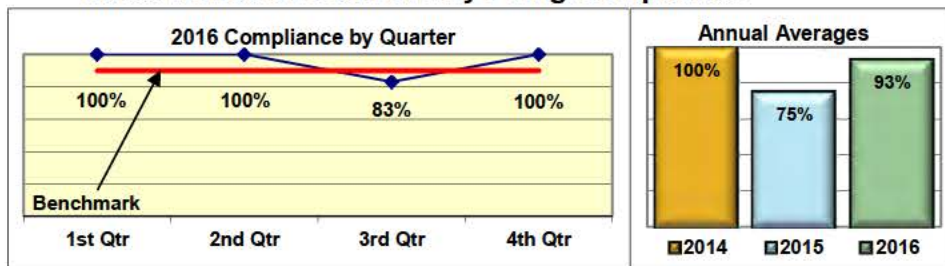
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Safety National Insurance is an insurer that used third parties to administer claims in 2016 under the following rating company:

Safety National Casualty Corp.

Safety National Insurance used the following third parties in 2016:

Broadspire Services
 Cannon Cochran Management Svcs.
 CorVel Enterprise Comp.
 ESIS
 Gallagher Bassett Services
 Helmsman Management Services
 Sedgwick Claims Management Svcs.
 York Risk Services

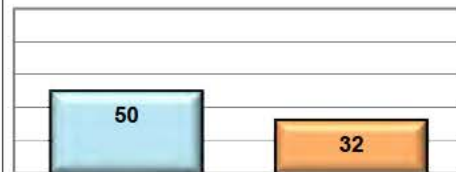
Utilization Analysis

Lost Time First Reports Received



Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

17%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

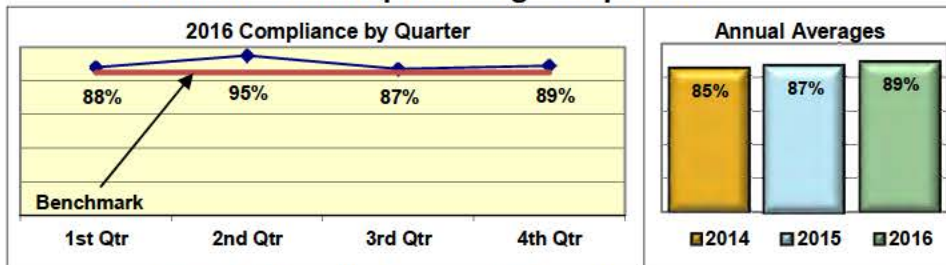
44%

Annual Compliance Report

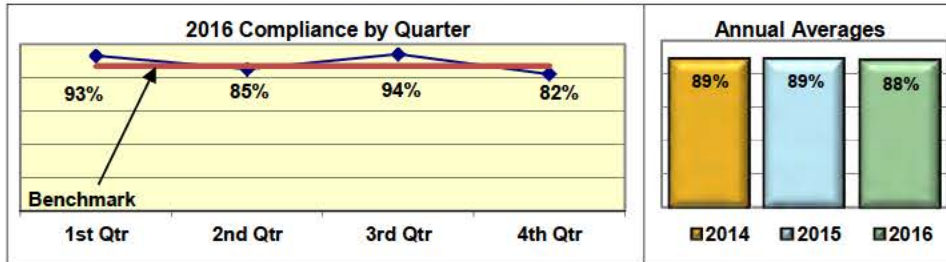
01/01/2016-12/31/2016

SEDGWICK CLAIMS MANAGEMENT SERVICES

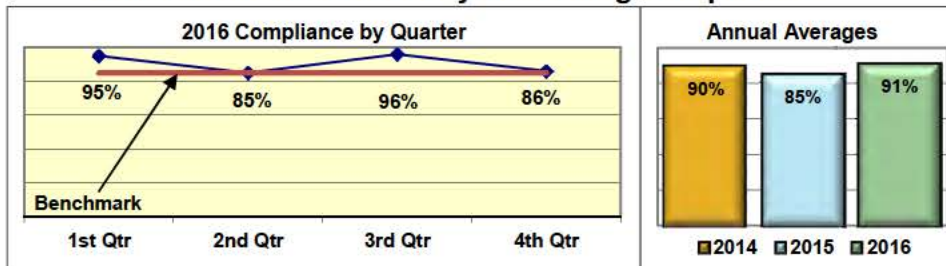
Lost Time First Report Filing Compliance



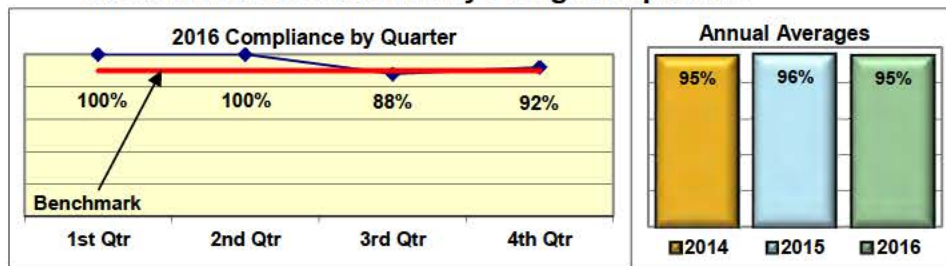
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Sedgwick Claims Management Services is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance
American Zurich Insurance
Arch Insurance
Electric Insurance
Indemnity Ins. Co. of No. America
Ins. Co. of the State of Pennsylvania
New Hampshire Insurance
Old Republic Insurance
Praetorian Insurance
QBE Insurance
Safety National Casualty
Standard Fire Insurance
Starr Indemnity & Liability
Stonington Insurance
Travelers Indemnity Co. of America
Trumbull Insurance
XL Insurance
XL Specialty Insurance
Zurich American Insurance

and self-insured employers:

BJME Operating Corp.
Federal Express Corporation
FedEx Ground Package
Great Northern Nekoosa Corp.
Lowe's Home Centers LLC
Shaw's Supermarkets
Tambrands Inc.

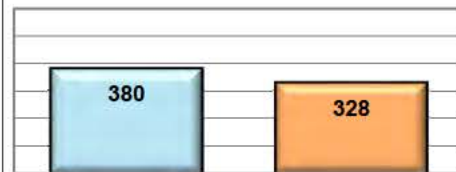
Utilization Analysis

Lost Time First Reports Received



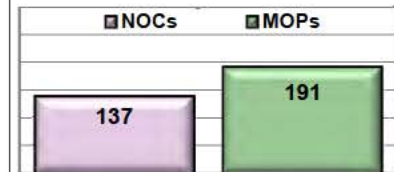
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

19%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

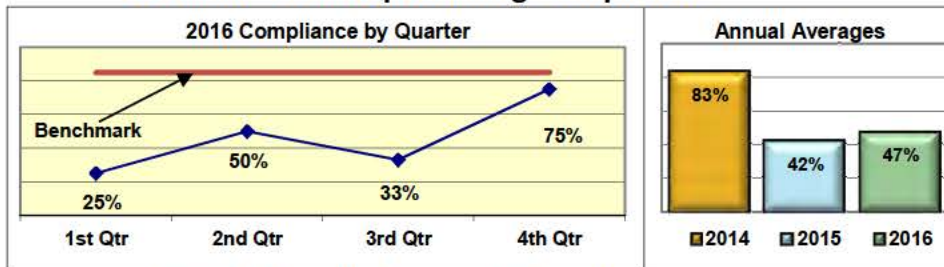
42%

Annual Compliance Report

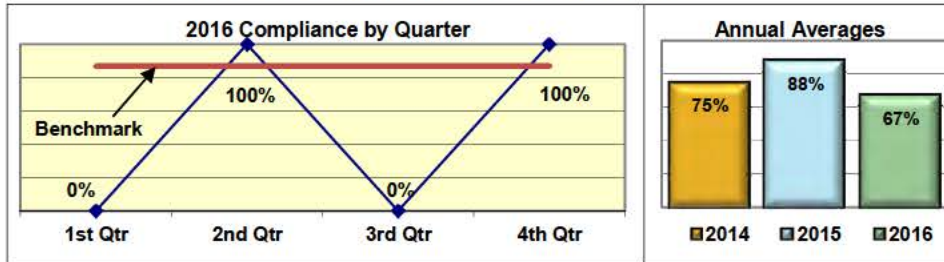
01/01/2016-12/31/2016

SENTRY INSURANCE

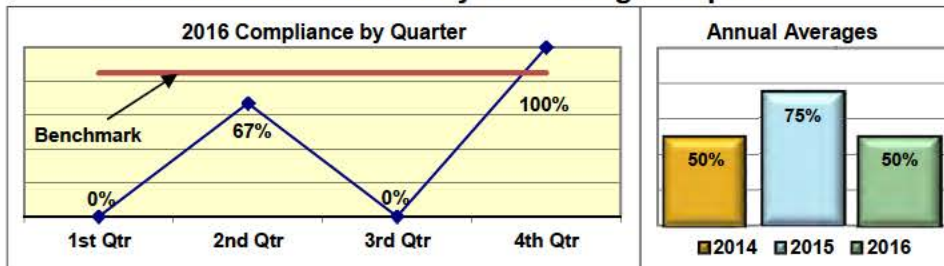
Lost Time First Report Filing Compliance



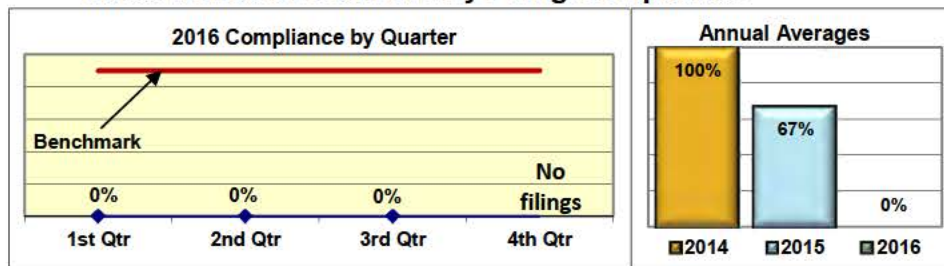
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Sentry Insurance is an insurer that administered its own claims in 2016 under the following rating companies:

Sentry Insurance
Sentry Casualty

Utilization Analysis

Lost Time First Reports Received



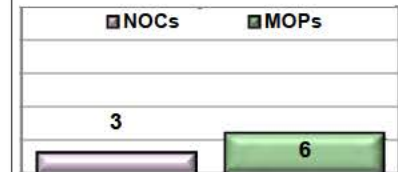
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

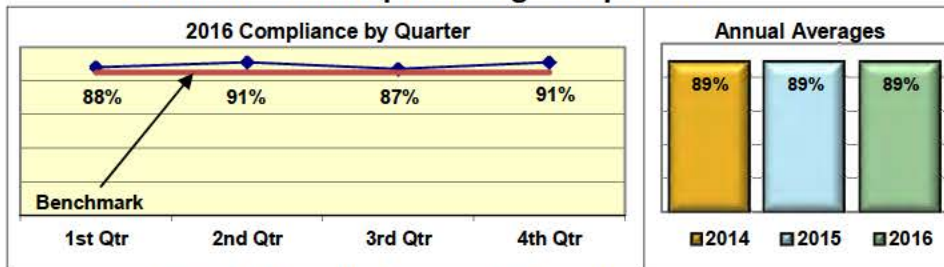
33%

Annual Compliance Report

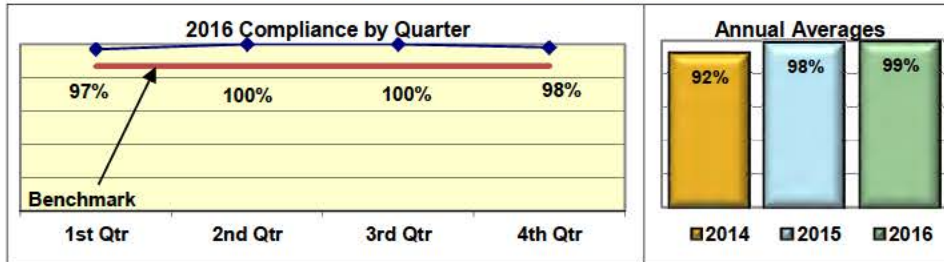
01/01/2016-12/31/2016

STATE OF MAINE WORKERS' COMP TRUST

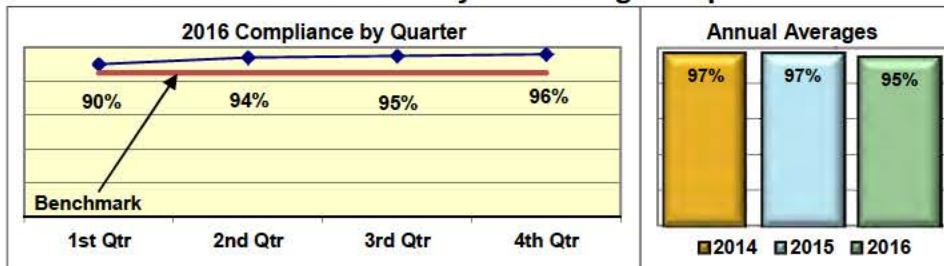
Lost Time First Report Filing Compliance



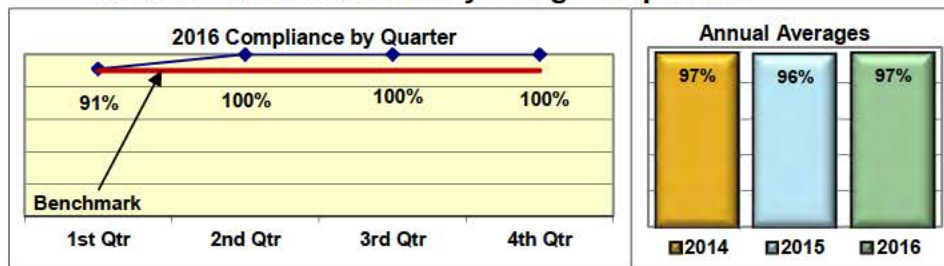
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

State of Maine Workers' Compensation Trust is a self-insured employer that administered its own claims in 2016 under the following name:

State of Maine Workers' Comp. Div

Utilization Analysis

Lost Time First Reports Received



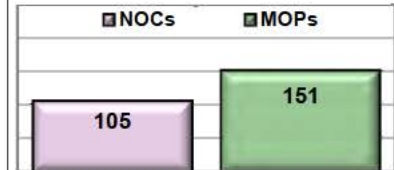
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

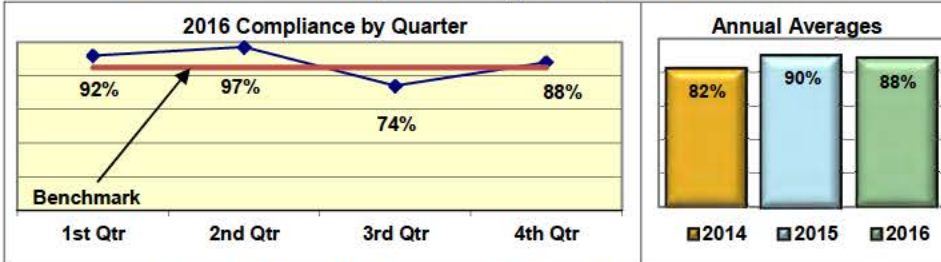
41%

Annual Compliance Report

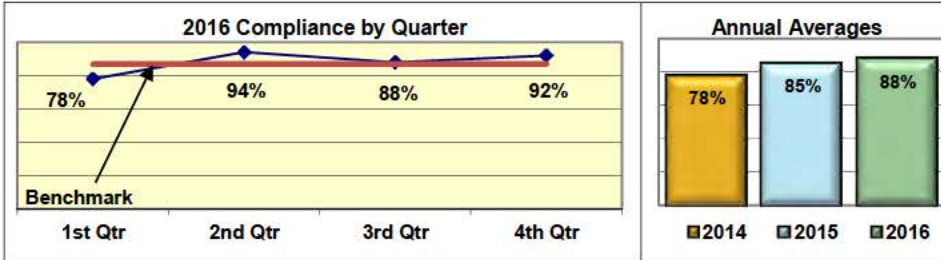
01/01/2016-12/31/2016

SYNERNET

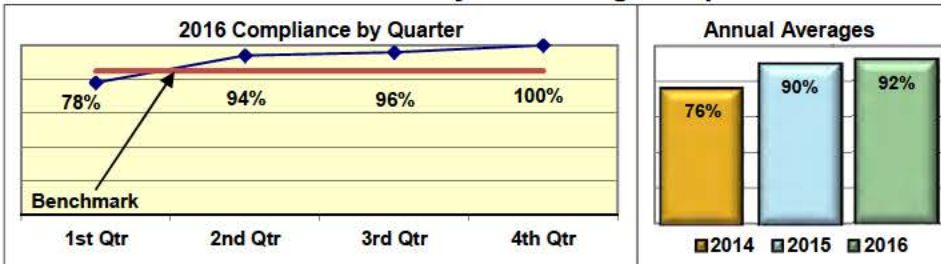
Lost Time First Report Filing Compliance



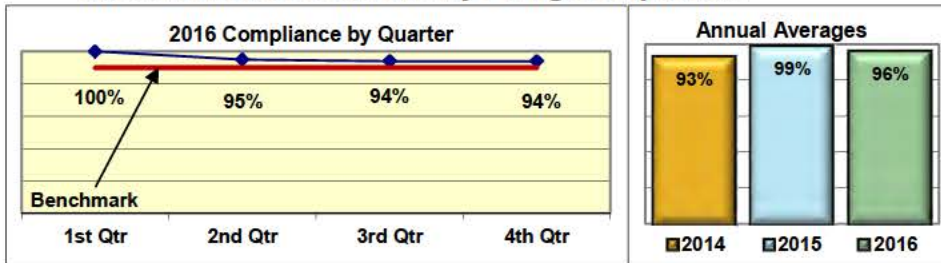
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Synernet is a third party administrator that administered claims in 2016 for the following self-insured employers:

MaineHealth Workers' Comp.
New Page Corporation
Synernet Workers' Comp Fund

Utilization Analysis

Lost Time First Reports Received



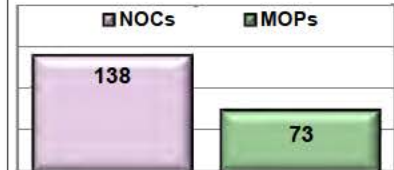
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

26%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

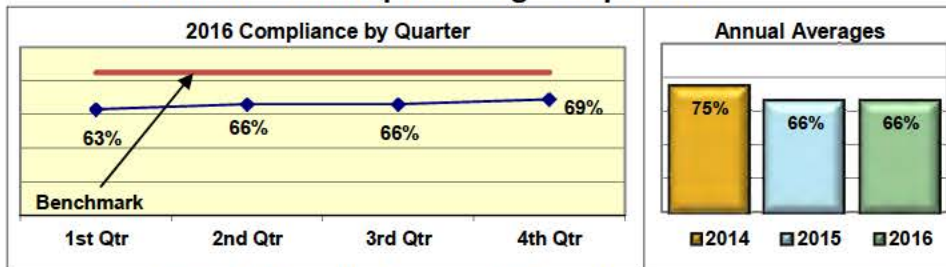
65%

Annual Compliance Report

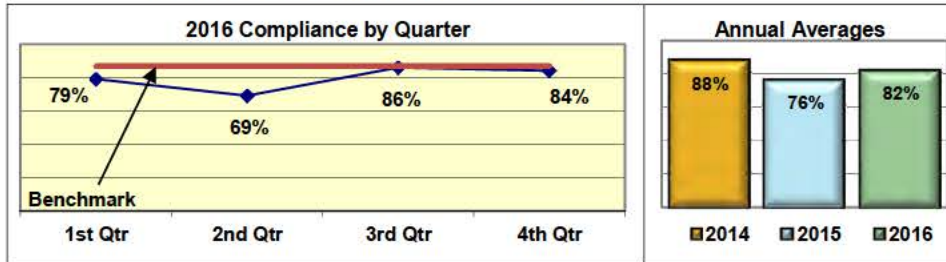
01/01/2016-12/31/2016

TRAVELERS INSURANCE

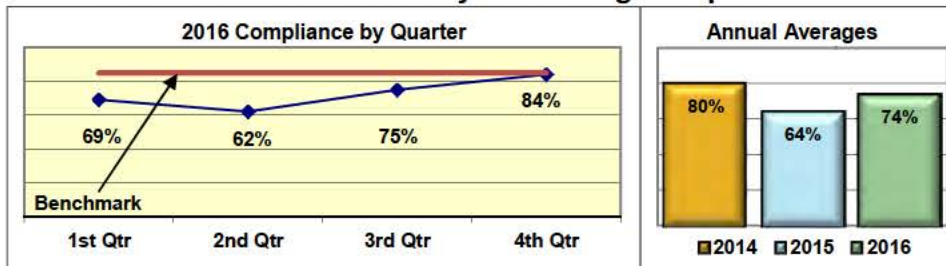
Lost Time First Report Filing Compliance



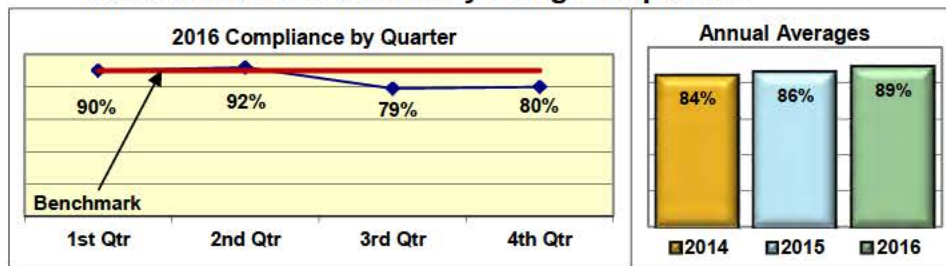
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Travelers Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating companies:

Charter Oak Fire Insurance
Farmington Casualty
Standard Fire Insurance
The Phoenix Insurance
Travelers Casualty & Surety
Travelers Cas. Ins. Co. of America
Travelers Commercial Casualty
Travelers Indemnity Co. of America
Travelers Property Casualty

Travelers Insurance used the following third parties in 2016:

Broadspire Services
Cottingham & Butler Claims Svcs.
Gallagher Bassett Services
Sedgwick Claims Management Svcs.

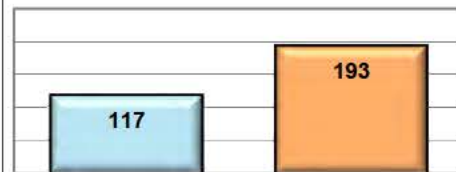
Utilization Analysis

Lost Time First Reports Received



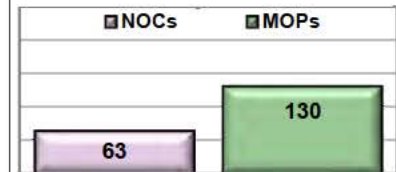
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

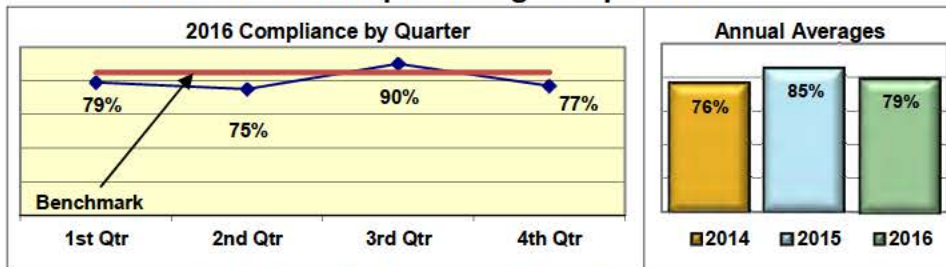
33%

Annual Compliance Report

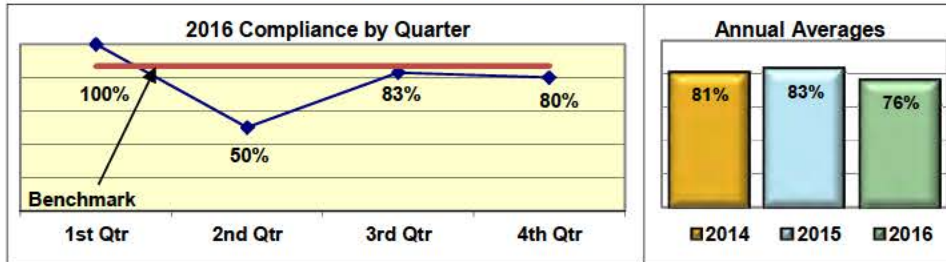
01/01/2016-12/31/2016

XL INSURANCE

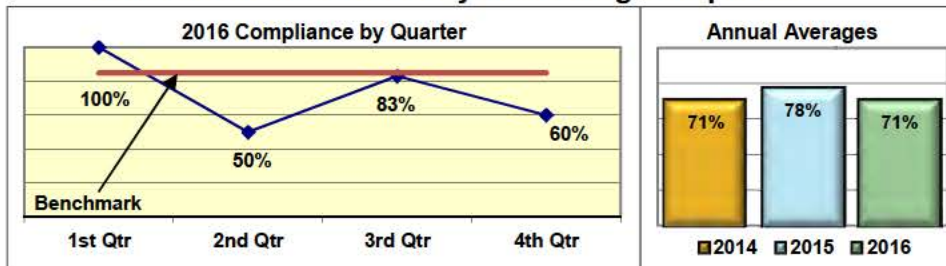
Lost Time First Report Filing Compliance



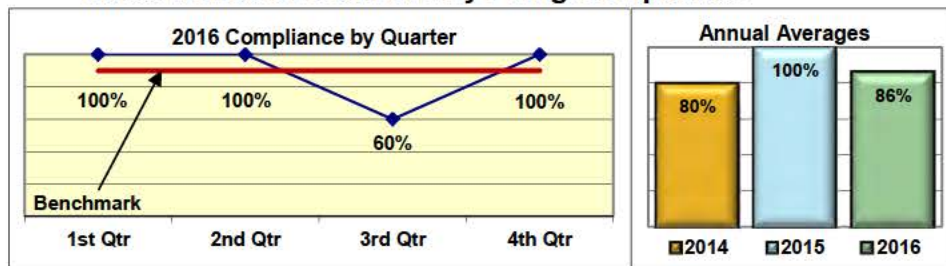
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

XL Insurance is an insurer that used third parties to administer claims in 2016 under the following rating companies:

XL Insurance America
XL Specialty Insurance

XL Insurance used the following third parties in 2016:

Broadspire Services
CorVel Enterprise Comp.
Cottingham & Butler Claims Svcs.
ESIS
Gallagher Bassett Services
Sedgwick Claims Management Svcs.

Utilization Analysis

Lost Time First Reports Received



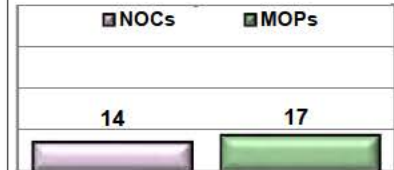
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

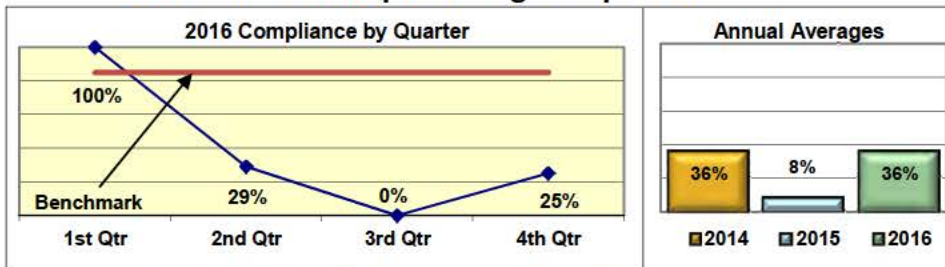
45%

Annual Compliance Report

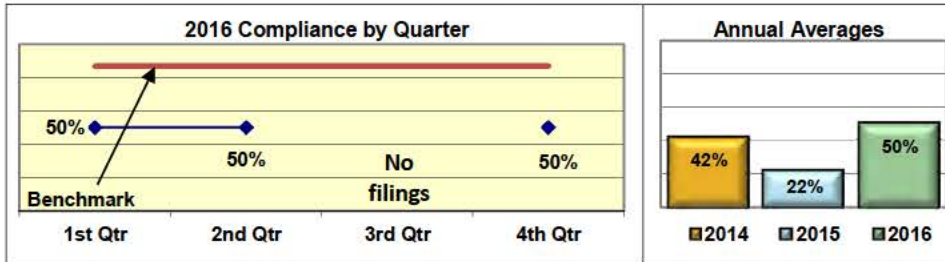
01/01/2016-12/31/2016

YORK RISK SERVICES

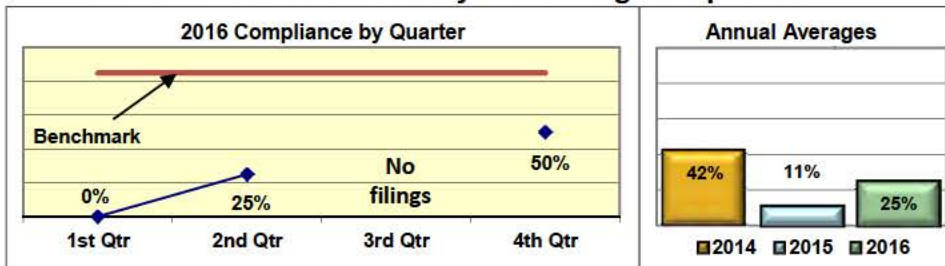
Lost Time First Report Filing Compliance



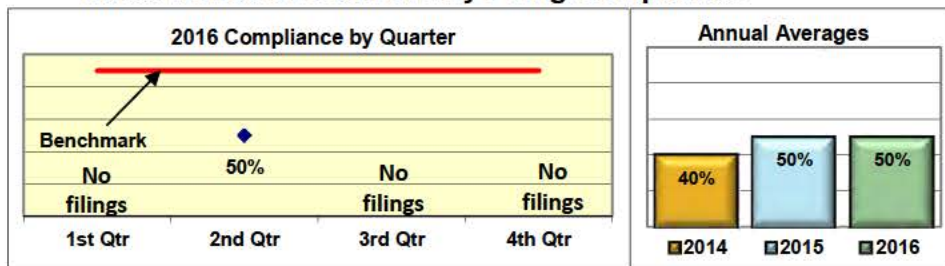
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

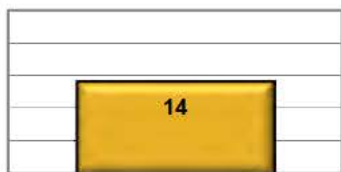
York Risk Services is a third party administrator that administered claims in 2016 for the following rating companies:

ACE American Insurance
American Zurich
Arch Insurance
Cincinnati Casualty Insurance
Cincinnati Insurance
Global Insurance
Protective Insurance
Safety National Casualty Corp.
Tower Insurance
Twin City Fire Insurance

HDI

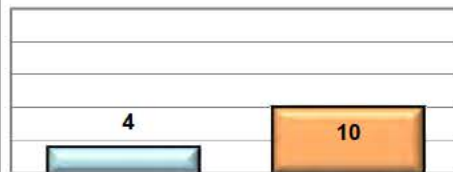
Utilization Analysis

Lost Time First Reports Received



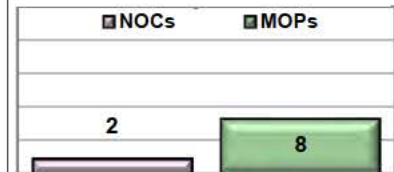
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

14%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

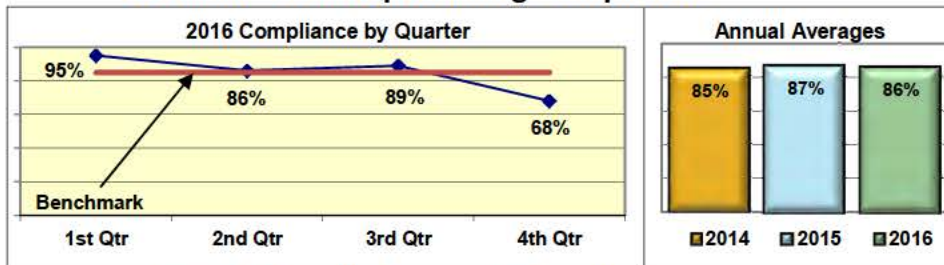
20%

Annual Compliance Report

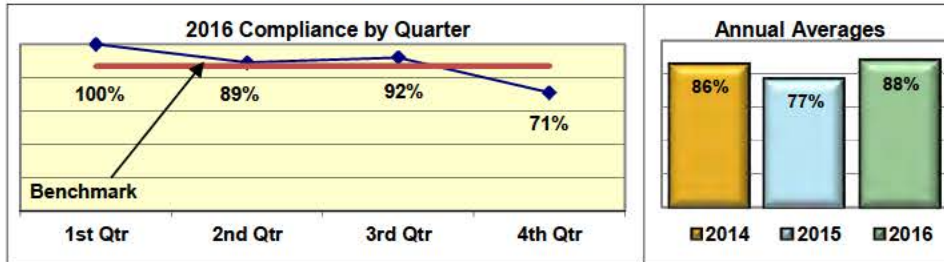
01/01/2016-12/31/2016

ZURICH INSURANCE

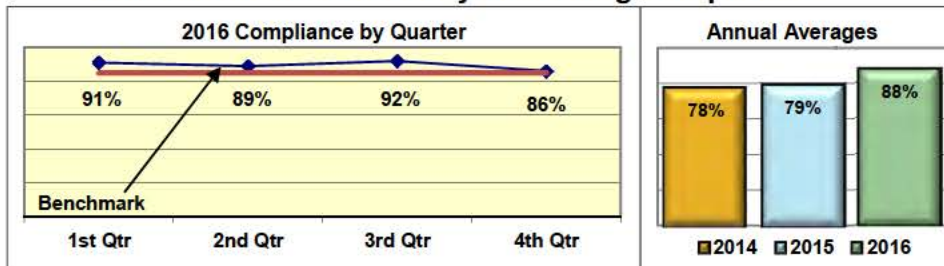
Lost Time First Report Filing Compliance



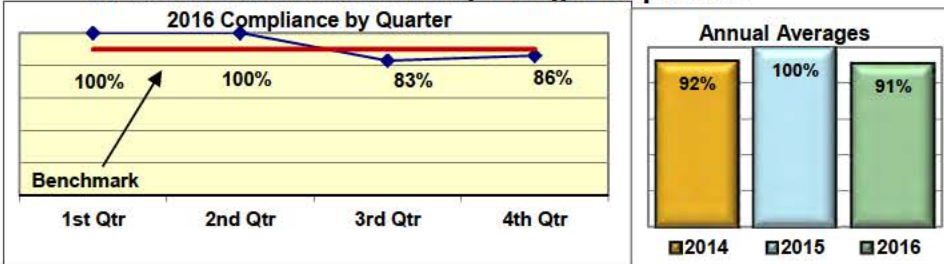
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Zurich Insurance is an insurer that administered its own claims and used third parties to administer claims in 2016 under the following rating companies:

American Guarantee & Liability
American Zurich Insurance
Zurich American Insurance
Zurich American Ins. of Illinois

Zurich Insurance used the following third parties in 2016:

Broadspire Services
ESIS
Gallagher Bassett Services
Sedgwick Claims Management Svcs.
York Risk Services

Utilization Analysis

Lost Time First Reports Received



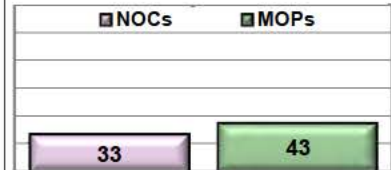
Activity on Lost Time First Reports

■ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

23%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

43%

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ACADIA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010 ACADIA INSURANCE	246	184	75%	66	55	83%
Total	246	184	75% ▼	66	55	83% ▼
ACADIA INSURANCE Group Total	246	184	75% ▼	66	55	83% ▼
ACCIDENT FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	3	2	67%	1	1	100%
TPA Total	3	2	67% ▼	1	1	100% ▲
ACCIDENT FUND INSURANCE Group Total	3	2	67% ▼	1	1	100% ▲
ACE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
ACE INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ACE INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	4	4	100%	3	3	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	56	44	79%	22	18	82%
CA110 CONSTITUTION STATE SERVICES	24	20	83%	6	6	100%
CA116 CORVEL ENTERPRISE COMP	2	1	50%	1	1	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA160 ESIS	64	38	59%	15	14	93%
CA190 GALLAGHER BASSETT SERVICES	90	78	87%	28	20	71%
CA204 HELMSMAN MANAGEMENT SERVICES	19	17	89%	8	8	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	298	274	92%	83	73	88%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
TPA Total	559	476	85% ▲	166	143	86% ▼
ACE INSURANCE Group Total	559	476	85% ▲	166	143	86% ▼
ACUITY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA418 ACUITY MUTUAL INSURANCE	2	2	100%	1	1	100%
Total	2	2	100% ▲	1	1	100% ▲
ACUITY MUTUAL INSURANCE Group Total	2	2	100% ▲	1	1	100% ▲

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
AIG INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015 AIG DOMESTIC CLAIMS	349	298	85%	131	117	89%
Total	349	298	85% ▲	131	117	89% ▲
AIG INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	0	0%	1	0	0%
CA100 CLAIMS MANAGEMENT (WALMART)	174	158	91%	21	21	100%
CA110 CONSTITUTION STATE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	8	5	63%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	No Filings	No Filings	No Filings
TPA Total	191	169	88% ▲	25	23	92% ▲
AIG INSURANCE Group Total	540	467	86% ▲	156	140	90% ▲
AMTRUST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA342 TECHNOLOGY INSURANCE	29	16	55%	13	8	62%
CA381 WESCO INSURANCE	64	35	55%	24	17	71%
Total	93	51	55% ▼	37	25	68% ▼
AMTRUST INSURANCE Group Total	93	51	55% ▼	37	25	68% ▼
ARCH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
ARCH INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ARCH INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	8	6	75%	5	4	80%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	12	11	92%	4	3	75%
CA190 GALLAGHER BASSETT SERVICES	32	26	81%	7	6	86%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	44	37	84%	12	10	83%
CA340 YORK RISK SERVICES	4	2	50%	2	2	100%
TPA Total	101	83	82% ▼	30	25	83% ▼
ARCH INSURANCE Group Total	101	83	82% ▼	30	25	83% ▼
ATLANTIC SPECIALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	8	3	38%	3	2	67%
TPA Total	8	3	38% ▼	3	2	67% ▼
ATLANTIC SPECIALTY INSURANCE Group Total	8	3	38% ▼	3	2	67% ▼

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036 BATH IRON WORKS	420	396	94%	49	44	90%
Total	420	396	94% ▲	49	44	90% ▲
BATH IRON WORKS Group Total	420	396	94% ▲	49	44	90% ▲
BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	2	1	50%	1	0	0%
CA115 CONTINENTAL INDEMNITY	3	2	67%	3	3	100%
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	6	3	50% ▼	4	3	75% ▼
BERKSHIRE HATHAWAY INSURANCE Group Total	6	3	50% ▼	4	3	75% ▼
BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040 BROADSPIRE SERVICES	59	46	78%	19	17	89%
Total	59	46	78% ▼	19	17	89% ▲
BROADSPIRE SERVICES Group Total	59	46	78% ▼	19	17	89% ▲
CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070 CANNON COCHRAN MANAGEMENT SERVICES	785	635	81%	239	201	84%
Total	785	635	81% ▼	239	201	84% ▼
CANNON COCHRAN MANAGEMENT SERVICES Group Total	785	635	81% ▼	239	201	84% ▼
CHEROKEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA044 CHEROKEE INSURANCE	1	0	0%	1	1	100%
Total	1	0	0% ▼	1	1	100% ▲
CHEROKEE INSURANCE Group Total	1	0	0% ▼	1	1	100% ▲
CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA046 CHUBB INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
CHUBB INSURANCE TPA Administered Claims						
CA160 ESIS	1	0	0%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	66	49	74%	22	20	91%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	0	0%
TPA Total	67	49	73% ▼	24	20	83% ▼
CHUBB INSURANCE Group Total	67	49	73% ▼	24	20	83% ▼
CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA084 CHURCH MUTUAL INSURANCE	7	1	14%	4	3	75%
Total	7	1	14% ▼	4	3	75% ▼
CHURCH MUTUAL INSURANCE Group Total	7	1	14% ▼	4	3	75% ▼

INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085 CIANBRO CORPORATION	6	5	83%	4	1	25%
Total	6	5	83% ▼	4	1	25% ▼
CIANBRO CORPORATION Group Total	6	5	83% ▼	4	1	25% ▼
CINCINNATI CASUALTY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CINCINNATI CASUALTY	*	*	*	*	*	*
Total	*	*	*	*	*	*
CINCINNATI CASUALTY TPA Administered Claims						
CA340 YORK RISK SERVICES	2	1	50%	1	1	100%
TPA Total	2	1	50% ▼	1	1	100% ▲
CINCINNATI CASUALTY Group Total	2	1	50% ▼	1	1	100% ▲
CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100 CLAIMS MANAGEMENT (WALMART)	174	158	91%	21	21	100%
Total	174	158	91% ▲	21	21	100% ▲
CLAIMS MANAGEMENT (WALMART) Group Total	174	158	91% ▲	21	21	100% ▲
CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA017 AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	No Filings	No Filings	No Filings
CA083 CNA CLAIMS PLUS	6	5	83%	5	5	100%
CA050 CONTINENTAL CASUALTY	13	13	100%	5	5	100%
Total	20	19	95% ▲	10	10	100% ▲
CNA INSURANCE TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	7	5	71%	2	2	100%
TPA Total	7	5	71% ▼	2	2	100% ▲
CNA INSURANCE Group Total	27	24	89% ▲	12	12	100% ▲
CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110 CONSTITUTION STATE SERVICES	26	22	85%	6	6	100%
Total	26	22	85% ▲	6	6	100% ▲
CONSTITUTION STATE SERVICES Group Total	26	22	85% ▲	6	6	100% ▲
CORVEL ENTERPRISE COMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116 CORVEL ENTERPRISE COMP	46	35	76%	7	3	43%
Total	46	35	76% ▼	7	3	43% ▼
CORVEL ENTERPRISE COMP Group Total	46	35	76% ▼	7	3	43% ▼

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
COTTINGHAM & BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	17	14	82%	5	4	80%
Total	17	14	82% ▼	5	4	80% ▼
COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	17	14	82% ▼	5	4	80% ▼
CROSS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093 CROSS INSURANCE	1192	1136	95%	153	147	96%
Total	1192	1136	95% ▲	153	147	96% ▲
CROSS INSURANCE Group Total	1192	1136	95% ▲	153	147	96% ▲
ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ELECTRIC INSURANCE TPA Administered Claims						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	23	21	91%	8	7	88%
TPA Total	23	21	91% ▲	8	7	88% ▲
ELECTRIC INSURANCE Group Total	23	21	91% ▲	8	7	88% ▲
ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160 ESIS	105	62	59%	27	25	93%
Total	105	62	59% ▼	27	25	93% ▲
ESIS Group Total	105	62	59% ▼	27	25	93% ▲
EVEREST REINS HOLDINGS GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
EVEREST REINS HOLDINGS	*	*	*	*	*	*
Total	*	*	*	*	*	*
EVEREST REINS HOLDINGS GROUP TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
TPA Total	1	0	0% ▼	1	1	100% ▲
EVEREST REINS HOLDINGS GROUP Group Total	1	0	0% ▼	1	1	100% ▲
FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091 FEDERATED MUTUAL INSURANCE	16	7	44%	9	6	67%
CA092 FEDERATED SERVICE INSURANCE	3	0	0%	1	1	100%
Total	19	7	37% ▼	10	7	70% ▼
FEDERATED MUTUAL INSURANCE Group Total	19	7	37% ▼	10	7	70% ▼
FIREMAN'S FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170 FIREMAN'S FUND INSURANCE	2	1	50%	3	1	33%
Total	2	1	50% ▼	3	1	33% ▼
FIREMAN'S FUND INSURANCE Group Total	2	1	50% ▼	3	1	33% ▼

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
FRANKENMUTH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA095 FRANKENMUTH INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA274 PATRIOT INSURANCE	5	1	20%	3	2	67%
Total	6	1	17% ▼	3	2	67% ▼
FRANKENMUTH INSURANCE Group Total	6	1	17% ▼	3	2	67% ▼
FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175 FUTURECOMP	227	198	87%	67	61	91%
Total	227	198	87% ▲	67	61	91% ▲
FUTURECOMP Group Total	227	198	87% ▲	67	61	91% ▲
GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190 GALLAGHER BASSETT SERVICES	375	290	77%	119	95	80%
Total	375	290	77% ▼	119	95	80% ▼
GALLAGHER BASSETT SERVICES Group Total	375	290	77% ▼	119	95	80% ▼
GREAT AMERICAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193 GREAT AMERICAN INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	1	0	0% ▼	No Filings	No Filings	No Filings
GREAT AMERICAN INSURANCE Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
GREAT DIVIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA189 GREAT DIVIDE INSURANCE	1	0	0%	1	1	100%
Total	1	0	0% ▼	1	1	100% ▲
GREAT DIVIDE INSURANCE Group Total	1	0	0% ▼	1	1	100% ▲
GREAT FALLS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
GREAT FALLS INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
GREAT FALLS INSURANCE TPA Administered Claims						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	305	220	72%	108	93	86%
TPA Total	305	220	72% ▼	108	93	86% ▼
GREAT FALLS INSURANCE Group Total	305	220	72% ▼	108	93	86% ▼
GREAT WEST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA196 GREAT WEST INSURANCE	7	1	14%	7	4	57%
Total	7	1	14% ▼	7	4	57% ▼
GREAT WEST INSURANCE Group Total	7	1	14% ▼	7	4	57% ▼

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
GUARANTEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA195 GUARANTEE INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
GUARANTEE INSURANCE TPA Administered Claims						
CA292 PATRIOT RISK SERVICES, INC	2	1	50%	1	1	100%
TPA Total	2	1	50% ▼	1	1	100% ▲
GUARANTEE INSURANCE Group Total	2	1	50% ▼	1	1	100% ▲
GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019 AMGUARD INSURANCE	44	18	41%	13	12	92%
CA140 EASTGUARD INSURANCE	15	7	47%	3	3	100%
CA272 NORGUARD INSURANCE	9	6	67%	2	1	50%
Total	68	31	46% ▼	18	16	89% ▲
GUARD INSURANCE Group Total	68	31	46% ▼	18	16	89% ▲
HANNAFORD BROTHERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201 HANNAFORD BROTHERS	311	209	67%	119	89	75%
Total	311	209	67% ▼	119	89	75% ▼
HANNAFORD BROTHERS Group Total	311	209	67% ▼	119	89	75% ▼
HANNOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
HANNOVER INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
HANNOVER INSURANCE TPA Administered Claims						
CA340 YORK RISK SERVICES	3	1	33%	2	1	50%
TPA Total	3	1	33% ▼	2	1	50% ▼
HANNOVER INSURANCE Group Total	3	1	33% ▼	2	1	50% ▼
HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	11	6	55%	6	4	67%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	18	14	78%	6	5	83%
CA429 HANOVER AMERICAN INSURANCE	4	2	50%	1	1	100%
CA202 HANOVER INSURANCE	11	6	55%	3	2	67%
CA228 MASSACHUSETTS BAY INSURANCE	4	2	50%	3	2	67%
Total	48	30	63% ▼	19	14	74% ▼
HANOVER INSURANCE Group Total	48	30	63% ▼	19	14	74% ▼

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
HARTFORD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA188 HARTFORD ACCIDENT & INDEMNITY	20	17	85%	4	4	100%
CA185 HARTFORD CASUALTY INSURANCE	10	8	80%	4	3	75%
CA203 HARTFORD FIRE INSURANCE	7	3	43%	2	1	50%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	9	7	78%	1	0	0%
CA187 HARTFORD UNDERWRITERS INSURANCE	29	17	59%	11	7	64%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	3	2	67%	1	1	100%
CA296 SENTINEL INSURANCE	6	5	83%	2	2	100%
CA319 TRUMBULL INSURANCE	117	101	86%	45	37	82%
CA321 TWIN CITY FIRE INSURANCE	19	15	79%	7	4	57%
Total	220	175	80% ▼	77	59	77% ▼
HARTFORD INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	7	5	71%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	19	18	95%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	17	13	76%	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	7	6	86%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	25	24	96%	6	6	100%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
TPA Total	76	66	87% ▲	11	10	91% ▲
HARTFORD INSURANCE Group Total	296	241	81% ▼	88	69	78% ▼
HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204 HELMSMAN MANAGEMENT SERVICES	51	38	75%	21	18	86%
Total	51	38	75% ▼	21	18	86% ▼
HELMSMAN MANAGEMENT SERVICES Group Total	51	38	75% ▼	21	18	86% ▼
LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA003 AMERICAN FIRE & CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA380 EMPLOYERS INSURANCE OF WAUSAU	12	10	83%	7	6	86%
CA162 EXCELSIOR INSURANCE	3	0	0%	No Filings	No Filings	No Filings
CA210 LIBERTY MUTUAL INSURANCE	225	165	73%	70	54	77%
CA406 OHIO CASUALTY INSURANCE	1	1	100%	1	1	100%
CA407 OHIO SECURITY INSURANCE	9	8	89%	2	2	100%
CA283 PEERLESS INDEMNITY INSURANCE	4	4	100%	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	63	42	67%	30	25	83%
CA309 THE NETHERLANDS INSURANCE	9	4	44%	5	3	60%
CA408 WEST AMERICAN INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	328	234	71% ▼	115	91	79% ▼
LIBERTY MUTUAL INSURANCE Group Total	328	234	71% ▼	115	91	79% ▼

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MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213 MACY'S CORPORATE SERVICES	4	4	100%	3	3	100%
Total	4	4	100% ▲	3	3	100% ▲
MACY'S CORPORATE SERVICES Group Total	4	4	100% ▲	3	3	100% ▲
MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	129	122	95%	56	50	89%
Total	129	122	95% ▲	56	50	89% ▲
MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total	129	122	95% ▲	56	50	89% ▲
MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	4870	3844	79%	1365	1271	93%
Total	4870	3844	79% ▼	1365	1271	93% ▲
MAINE EMPLOYERS' MUTUAL INSURANCE Group Total	4870	3844	79% ▼	1365	1271	93% ▲
MAINE HEALTHCARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234 MAINE HEALTHCARE ASSOCIATION	103	90	87%	10	9	90%
Total	103	90	87% ▲	10	9	90% ▲
MAINE HEALTHCARE ASSOCIATION Group Total	103	90	87% ▲	10	9	90% ▲
MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	231	216	94%	31	27	87%
Total	231	216	94% ▲	31	27	87% ▲
MAINE MOTOR TRANSPORT ASSOCIATION Group Total	231	216	94% ▲	31	27	87% ▲
MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225 MAINE MUNICIPAL ASSOCIATION	888	861	97%	183	180	98%
Total	888	861	97% ▲	183	180	98% ▲
MAINE MUNICIPAL ASSOCIATION Group Total	888	861	97% ▲	183	180	98% ▲
MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	319	310	97%	87	84	97%
Total	319	310	97% ▲	87	84	97% ▲
MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	319	310	97% ▲	87	84	97% ▲
MEADOWBROOK INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255 MEADOWBROOK INSURANCE	8	8	100%	5	5	100%
Total	8	8	100% ▲	5	5	100% ▲
MEADOWBROOK INSURANCE Group Total	8	8	100% ▲	5	5	100% ▲

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MITSUI SUMITOMO INS CO OF AMERICA MITSUI SUMITOMO INS CO OF AMERICA	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
Total	*	*	*	*	*	*
MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	0	0%
TPA Total	2	1	50% ▼	1	0	0% ▼
MITSUI SUMITOMO INS CO OF AMERICA Group Total	2	1	50% ▼	1	0	0% ▼
NATIONAL INTERSTATE INSURANCE CA267 NATIONAL INTERSTATE INSURANCE COMPANY	FROIs Filed 1	Timely FROIs 1	Compliance 100%	Payments Made 1	Timely Payments 1	Compliance 100%
Total	1	1	100% ▲	1	1	100% ▲
NATIONAL INTERSTATE INSURANCE Group Total	1	1	100% ▲	1	1	100% ▲
NATIONWIDE INSURANCE CA291 NATIONWIDE AGRIBUSINESS INSURANCE	FROIs Filed 6	Timely FROIs 2	Compliance 33%	Payments Made 2	Timely Payments 0	Compliance 0%
Total	6	2	33% ▼	2	0	0% ▼
NATIONWIDE INSURANCE Group Total	6	2	33% ▼	2	0	0% ▼
NGM INSURANCE CA265 NGM INSURANCE	FROIs Filed 5	Timely FROIs 3	Compliance 60%	Payments Made 2	Timely Payments 1	Compliance 50%
Total	5	3	60% ▼	2	1	50% ▼
NGM INSURANCE Group Total	5	3	60% ▼	2	1	50% ▼
NORTH RIVER INSURANCE CA257 NORTH RIVER INSURANCE	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
Total	*	*	*	*	*	*
NORTH RIVER INSURANCE TPA Administered Claims CA040 BROADSPIRE SERVICES	8	5	63%	No Filings	No Filings	No Filings
TPA Total	8	5	63% ▼	No Filings	No Filings	No Filings
NORTH RIVER INSURANCE Group Total	8	5	63% ▼	No Filings	No Filings	No Filings

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OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	36	27	75%	11	7	64%
CA116 CORVEL ENTERPRISE COMP	3	2	67%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	15	11	73%	9	6	67%
CA204 HELMSMAN MANAGEMENT SERVICES	2	2	100%	2	2	100%
CA295 RYDER SERVICES	5	4	80%	3	3	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	34	29	85%	8	8	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	1	1	100%
TPA Total	97	76	78% ▼	34	27	79% ▼
OLD REPUBLIC INSURANCE Group Total	97	76	78% ▼	34	27	79% ▼
PENNSYLVANIA MFG ASSN	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
Total	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	37	25	68%	8	7	88%
TPA Total	37	25	68% ▼	8	7	88% ▲
PENNSYLVANIA MFG ASSN Group Total	37	25	68% ▼	8	7	88% ▲
PROTECTIVE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
Total	*	*	*	*	*	*
PROTECTIVE INSURANCE TPA Administered Claims						
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
TPA Total	1	1	100% ▲	No Filings	No Filings	No Filings
PROTECTIVE INSURANCE Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
PUBLIC SERVICE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282 PUBLIC SERVICE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	1	0	0% ▼	No Filings	No Filings	No Filings
PUBLIC SERVICE INSURANCE Group Total	1	0	0% ▼	No Filings	No Filings	No Filings

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QBE INSURANCE GROUP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
QBE INSURANCE GROUP	*	*	*	*	*	*
Total	*	*	*	*	*	*
QBE INSURANCE GROUP TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	43	36	84%	17	14	82%
TPA Total	45	37	82% ▼	18	15	83% ▼
QBE INSURANCE GROUP Group Total	45	37	82% ▼	18	15	83% ▼
RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295 RYDER SERVICES	5	4	80%	3	3	100%
Total	5	4	80% ▼	3	3	100% ▲
RYDER SERVICES Group Total	5	4	80% ▼	3	3	100% ▲
SAFETY NATIONAL CASUALTY CORP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
Total	*	*	*	*	*	*
SAFETY NATIONAL CASUALTY CORP TPA Administered Claims						
CA040 BROADSPIRE SERVICES	3	2	67%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	18	15	83%	5	2	40%
CA116 CORVEL ENTERPRISE COMP	9	7	78%	1	1	100%
CA160 ESIS	9	6	67%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	21	20	95%	6	5	83%
CA204 HELMSMAN MANAGEMENT SERVICES	3	2	67%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	19	15	79%	3	2	67%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	1	0	0%
TPA Total	82	67	82% ▼	18	12	67% ▼
SAFETY NATIONAL CASUALTY CORP Group Total	82	67	82% ▼	18	12	67% ▼
SEDGWICK CLAIMS MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	708	633	89%	191	169	88%
Total	708	633	89% ▲	191	169	88% ▲
SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total	708	633	89% ▲	191	169	88% ▲
SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA402 SENTRY CASUALTY	7	3	43%	2	1	50%
CA305 SENTRY INSURANCE	8	4	50%	4	3	75%
Total	15	7	47% ▼	6	4	67% ▼
SENTRY INSURANCE Group Total	15	7	47% ▼	6	4	67% ▼

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SOMPO JAPAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
Total	*	*	*	*	*	*
SOMPO JAPAN INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
TPA Total	1	1	100% ▲	No Filings	No Filings	No Filings
SOMPO JAPAN INSURANCE Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
STARR INDEMNITY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
STARR INDEMNITY INSURANCE TPA Administered Claims						
CA160 ESIS	4	4	100%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
TPA Total	8	7	88% ▲	4	3	75% ▼
STARR INDEMNITY INSURANCE Group Total	8	7	88% ▲	4	3	75% ▼
STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	575	514	89%	151	149	99%
Total	575	514	89% ▲	151	149	99% ▲
STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total	575	514	89% ▲	151	149	99% ▲
SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320 SYNERNET	541	474	88%	73	64	88%
Total	541	474	88% ▲	73	64	88% ▲
SYNERNET Group Total	541	474	88% ▲	73	64	88% ▲
THE AMERICAN EQUITY UNDERWRITERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323 THE AMERICAN EQUITY UNDERWRITERS	4	3	75%	1	0	0%
Total	4	3	75% ▼	1	0	0% ▼
THE AMERICAN EQUITY UNDERWRITERS Group Total	4	3	75% ▼	1	0	0% ▼
TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356 TOWER INSURANCE	No Filings	No Filings	No Filings	1	1	100%
Total	No Filings	No Filings	No Filings	1	1	100% ▲
TOWER INSURANCE TPA Administered Claims						
CA340 YORK RISK SERVICES	1	0	0%	1	0	0%
TPA Total	1	0	0% ▼	1	0	0% ▼
TOWER INSURANCE Group Total	1	0	0% ▼	2	1	50% ▼

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TRAVELERS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072 CHARTER OAK FIRE INSURANCE	140	89	64%	52	43	83%
CA164 FARMINGTON CASUALTY	5	2	40%	2	1	50%
CA284 PHOENIX INSURANCE	22	16	73%	8	7	88%
CA306 STANDARD FIRE INSURANCE	28	19	68%	11	8	73%
CA347 TRAVELERS CASUALTY & SURETY	7	6	86%	2	2	100%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	12	7	58%	7	5	71%
CA349 TRAVELERS COMMERCIAL CASUALTY	7	4	57%	3	3	100%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	12	3	25%	7	4	57%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	19	13	68%	10	7	70%
Total	252	159	63% ▼	102	80	78% ▼
TRAVELERS INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	13	10	77%	7	7	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	9	5	56%	3	2	67%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	35	31	89%	18	17	94%
TPA Total	58	46	79% ▼	28	26	93% ▲
TRAVELERS INSURANCE Group Total	310	205	66% ▼	130	106	82% ▼
VANLINER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA379 VANLINER INSURANCE	2	1	50%	No Filings	No Filings	No Filings
Total	2	1	50% ▼	No Filings	No Filings	No Filings
VANLINER INSURANCE Group Total	2	1	50% ▼	No Filings	No Filings	No Filings
XL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA384 XL INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
XL INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	14	11	79%	2	0	0%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	1	1	100%
CA160 ESIS	7	5	71%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	23	15	65%	5	5	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	31	28	90%	5	3	60%
TPA Total	78	62	79% ▼	17	13	76% ▼
XL INSURANCE Group Total	78	62	79% ▼	17	13	76% ▼

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YORK RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340 YORK RISK SERVICES	14	5	36%	8	4	50%
Total	14	5	36% ▼	8	4	50% ▼
YORK RISK SERVICES Group Total	14	5	36% ▼	8	4	50% ▼
ZURICH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA021 AMERICAN GUARANTEE & LIABILITY	1	1	100%	1	1	100%
CA022 AMERICAN ZURICH	21	16	76%	8	7	88%
CA400 ZURICH AMERICAN INSURANCE	39	36	92%	14	13	93%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	3	3	100%	1	1	100%
Total	64	56	88% ▲	24	22	92% ▲
ZURICH INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	9	9	100%	1	1	100%
CA160 ESIS	4	1	25%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	42	37	88%	14	12	86%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	24	21	88%	3	3	100%
CA340 YORK RISK SERVICES	1	0	0%	1	0	0%
TPA Total	80	68	85% ▲	20	17	85% ▼
ZURICH INSURANCE Group Total	144	124	86% ▲	44	39	89% ▲

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ACADIA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA010 ACADIA INSURANCE	66	58	88%	44	41	93%
Total	66	58	88% ▲	44	41	93% ▲
ACADIA INSURANCE Group Total	66	58	88% ▲	44	41	93% ▲
ACCIDENT FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
ACCIDENT FUND INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
TPA Total	1	1	100% ▲	No Filings	No Filings	No Filings
ACCIDENT FUND INSURANCE Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
ACE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
ACE INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ACE INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	3	3	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	22	19	86%	10	10	100%
CA110 CONSTITUTION STATE SERVICES	6	5	83%	11	11	100%
CA116 CORVEL ENTERPRISE COMP	1	1	100%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA160 ESIS	15	14	93%	17	16	94%
CA190 GALLAGHER BASSETT SERVICES	28	19	68%	13	12	92%
CA204 HELMSMAN MANAGEMENT SERVICES	8	8	100%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	83	76	92%	48	46	96%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
TPA Total	166	145	87% ▲	102	97	95% ▲
ACE INSURANCE Group Total	166	145	87% ▲	102	97	95% ▲
ACUITY MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA418 ACUITY MUTUAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
Total	1	1	100% ▲	No Filings	No Filings	No Filings
ACUITY MUTUAL INSURANCE Group Total	1	1	100% ▲	No Filings	No Filings	No Filings

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
AIG INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA015 AIG DOMESTIC CLAIMS	131	122	93%	90	83	92%
Total	131	122	93% ▲	90	83	92% ▲
AIG INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	0	0%	No Filings	No Filings	No Filings
CA100 CLAIMS MANAGEMENT (WALMART)	21	20	95%	44	37	84%
CA110 CONSTITUTION STATE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA160 ESIS	1	1	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	2	2	100%
TPA Total	25	23	92% ▲	49	42	86% ▼
AIG INSURANCE Group Total	156	145	93% ▲	139	125	90% ▲
AMTRUST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA342 TECHNOLOGY INSURANCE	13	7	54%	4	3	75%
CA381 WESCO INSURANCE	24	11	46%	17	12	71%
Total	37	18	49% ▼	21	15	71% ▼
AMTRUST INSURANCE Group Total	37	18	49% ▼	21	15	71% ▼
ARCH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
ARCH INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ARCH INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	5	4	80%	1	1	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	4	3	75%	3	2	67%
CA190 GALLAGHER BASSETT SERVICES	7	7	100%	5	4	80%
CA204 HELMSMAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	12	11	92%	7	7	100%
CA340 YORK RISK SERVICES	2	1	50%	1	1	100%
TPA Total	30	26	87% ▲	17	15	88% ▼
ARCH INSURANCE Group Total	30	26	87% ▲	17	15	88% ▼
ATLANTIC SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	2	0	0%
TPA Total	3	2	67% ▼	2	0	0% ▼
ATLANTIC SPECIALTY INSURANCE Group Total	3	2	67% ▼	2	0	0% ▼

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036 BATH IRON WORKS	49	45	92%	38	33	87%
Total	49	45	92% ▲	38	33	87% ▼
BATH IRON WORKS Group Total	49	45	92% ▲	38	33	87% ▼
BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	1	0	0%	1	1	100%
CA115 CONTINENTAL INDEMNITY	3	3	100%	No Filings	No Filings	No Filings
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
Total	4	3	75% ▼	1	1	100% ▲
BERKSHIRE HATHAWAY INSURANCE Group Total	4	3	75% ▼	1	1	100% ▲
BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040 BROADSPIRE SERVICES	19	17	89%	19	19	100%
Total	19	17	89% ▲	19	19	100% ▲
BROADSPIRE SERVICES Group Total	19	17	89% ▲	19	19	100% ▲
CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070 CANNON COCHRAN MANAGEMENT SERVICES	239	202	85%	129	115	89%
Total	239	202	85% ▲	129	115	89% ▼
CANNON COCHRAN MANAGEMENT SERVICES Group Total	239	202	85% ▲	129	115	89% ▼
CHEROKEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044 CHEROKEE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	1	0	0% ▼	No Filings	No Filings	No Filings
CHEROKEE INSURANCE Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA046 CHUBB INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
CHUBB INSURANCE TPA Administered Claims						
CA160 ESIS	1	1	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	22	20	91%	9	7	78%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No Filings	No Filings	No Filings
TPA Total	24	21	88% ▲	9	7	78% ▼
CHUBB INSURANCE Group Total	24	21	88% ▲	9	7	78% ▼
CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA084 CHURCH MUTUAL INSURANCE	4	0	0%	2	1	50%
Total	4	0	0% ▼	2	1	50% ▼
CHURCH MUTUAL INSURANCE Group Total	4	0	0% ▼	2	1	50% ▼

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Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

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CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085 CIANBRO CORPORATION	4	2	50%	1	1	100%
Total	4	2	50% ▼	1	1	100% ▲
CIANBRO CORPORATION Group Total	4	2	50% ▼	1	1	100% ▲
CINCINNATI CASUALTY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CINCINNATI CASUALTY	*	*	*	*	*	*
Total	*	*	*	*	*	*
CINCINNATI CASUALTY TPA Administered Claims						
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
TPA Total	1	0	0% ▼	No Filings	No Filings	No Filings
CINCINNATI CASUALTY Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100 CLAIMS MANAGEMENT (WALMART)	21	20	95%	44	37	84%
Total	21	20	95% ▲	44	37	84% ▼
CLAIMS MANAGEMENT (WALMART) Group Total	21	20	95% ▲	44	37	84% ▼
CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA017 AMERICAN CASUALTY COMPANY OF READING PA	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA083 CNA CLAIMS PLUS	5	5	100%	No Filings	No Filings	No Filings
CA050 CONTINENTAL CASUALTY	5	5	100%	1	1	100%
Total	10	10	100% ▲	1	1	100% ▲
CNA INSURANCE TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
TPA Total	2	2	100% ▲	1	1	100% ▲
CNA INSURANCE Group Total	12	12	100% ▲	2	2	100% ▲
CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110 CONSTITUTION STATE SERVICES	6	5	83%	13	13	100%
Total	6	5	83% ▼	13	13	100% ▲
CONSTITUTION STATE SERVICES Group Total	6	5	83% ▼	13	13	100% ▲
CORVEL ENTERPRISE COMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116 CORVEL ENTERPRISE COMP	7	3	43%	1	1	100%
Total	7	3	43% ▼	1	1	100% ▲
CORVEL ENTERPRISE COMP Group Total	7	3	43% ▼	1	1	100% ▲

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Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
COTTINGHAM & BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	5	4	80%	7	6	86%
Total	5	4	80% ▼	7	6	86% ▼
COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	5	4	80% ▼	7	6	86% ▼
CROSS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA093 CROSS INSURANCE	153	145	95%	281	277	99%
Total	153	145	95% ▲	281	277	99% ▲
CROSS INSURANCE Group Total	153	145	95% ▲	281	277	99% ▲
ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
ELECTRIC INSURANCE TPA Administered Claims						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	5	5	100%
TPA Total	8	8	100% ▲	5	5	100% ▲
ELECTRIC INSURANCE Group Total	8	8	100% ▲	5	5	100% ▲
ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160 ESIS	27	25	93%	28	26	93%
Total	27	25	93% ▲	28	26	93% ▲
ESIS Group Total	27	25	93% ▲	28	26	93% ▲
EVEREST REINS HOLDINGS GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
EVEREST REINS HOLDINGS	*	*	*	*	*	*
Total	*	*	*	*	*	*
EVEREST REINS HOLDINGS GROUP TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
TPA Total	1	1	100% ▲	No Filings	No Filings	No Filings
EVEREST REINS HOLDINGS GROUP Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091 FEDERATED MUTUAL INSURANCE	9	1	11%	3	3	100%
CA092 FEDERATED SERVICE INSURANCE	1	1	100%	1	0	0%
Total	10	2	20% ▼	4	3	75% ▼
FEDERATED MUTUAL INSURANCE Group Total	10	2	20% ▼	4	3	75% ▼
FIREMAN'S FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170 FIREMAN'S FUND INSURANCE	3	1	33%	No Filings	No Filings	No Filings
Total	3	1	33% ▼	No Filings	No Filings	No Filings
FIREMAN'S FUND INSURANCE Group Total	3	1	33% ▼	No Filings	No Filings	No Filings

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FRANKENMUTH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA095 FRANKENMUTH INSURANCE	No Filings	No Filings	No Filings	1	0	0%
CA274 PATRIOT INSURANCE	3	2	67%	1	0	0%
Total	3	2	67% ▼	2	0	0% ▼
FRANKENMUTH INSURANCE Group Total	3	2	67% ▼	2	0	0% ▼
FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175 FUTURECOMP	67	57	85%	38	37	97%
Total	67	57	85% ▲	38	37	97% ▲
FUTURECOMP Group Total	67	57	85% ▲	38	37	97% ▲
GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190 GALLAGHER BASSETT SERVICES	119	96	81%	54	45	83%
Total	119	96	81% ▼	54	45	83% ▼
GALLAGHER BASSETT SERVICES Group Total	119	96	81% ▼	54	45	83% ▼
GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA193 GREAT AMERICAN INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
GREAT AMERICAN INSURANCE Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
GREAT DIVIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA189 GREAT DIVIDE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	1	0	0% ▼	No Filings	No Filings	No Filings
GREAT DIVIDE INSURANCE Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
GREAT FALLS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
GREAT FALLS INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
GREAT FALLS INSURANCE TPA Administered Claims						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	108	90	83%	54	43	80%
TPA Total	108	90	83% ▼	54	43	80% ▼
GREAT FALLS INSURANCE Group Total	108	90	83% ▼	54	43	80% ▼
GREAT WEST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA196 GREAT WEST INSURANCE	7	3	43%	No Filings	No Filings	No Filings
Total	7	3	43% ▼	No Filings	No Filings	No Filings
GREAT WEST INSURANCE Group Total	7	3	43% ▼	No Filings	No Filings	No Filings

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GUARANTEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA195 GUARANTEE INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
GUARANTEE INSURANCE TPA Administered Claims						
CA292 PATRIOT RISK SERVICES, INC	1	1	100%	1	0	0%
TPA Total	1	1	100% ▲	1	0	0% ▼
GUARANTEE INSURANCE Group Total	1	1	100% ▲	1	0	0% ▼
GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019 AMGUARD INSURANCE	13	12	92%	3	2	67%
CA140 EASTGUARD INSURANCE	3	3	100%	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	2	2	100%	1	0	0%
Total	18	17	94% ▲	4	2	50% ▼
GUARD INSURANCE Group Total	18	17	94% ▲	4	2	50% ▼
HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201 HANNAFORD BROTHERS	119	88	74%	32	21	66%
Total	119	88	74% ▼	32	21	66% ▼
HANNAFORD BROTHERS Group Total	119	88	74% ▼	32	21	66% ▼
HANNOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
HANNOVER INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
HANNOVER INSURANCE TPA Administered Claims						
CA340 YORK RISK SERVICES	2	1	50%	No Filings	No Filings	No Filings
TPA Total	2	1	50% ▼	No Filings	No Filings	No Filings
HANNOVER INSURANCE Group Total	2	1	50% ▼	No Filings	No Filings	No Filings
HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	6	5	83%	3	3	100%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	6	5	83%	2	2	100%
CA429 HANOVER AMERICAN INSURANCE	1	1	100%	1	1	100%
CA202 HANOVER INSURANCE	3	3	100%	1	1	100%
CA228 MASSACHUSETTS BAY INSURANCE	3	2	67%	No Filings	No Filings	No Filings
Total	19	16	84% ▼	7	7	100% ▲
HANOVER INSURANCE Group Total	19	16	84% ▼	7	7	100% ▲

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HARTFORD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA188 HARTFORD ACCIDENT & INDEMNITY	4	4	100%	3	3	100%
CA185 HARTFORD CASUALTY INSURANCE	4	3	75%	No Filings	No Filings	No Filings
CA203 HARTFORD FIRE INSURANCE	2	1	50%	1	1	100%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	0	0%	3	2	67%
CA187 HARTFORD UNDERWRITERS INSURANCE	11	7	64%	4	3	75%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	1	1	100%	No Filings	No Filings	No Filings
CA296 SENTINEL INSURANCE	2	2	100%	2	2	100%
CA319 TRUMBULL INSURANCE	45	38	84%	18	16	89%
CA321 TWIN CITY FIRE INSURANCE	7	5	71%	4	4	100%
Total	77	61	79% ▼	35	31	89% ▼
HARTFORD INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	4	4	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	4	4	100%
CA116 CORVEL ENTERPRISE COMP	2	1	50%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	7	7	100%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	1	0	0%
TPA Total	11	10	91% ▲	18	17	94% ▲
HARTFORD INSURANCE Group Total	88	71	81% ▼	53	48	91% ▲
HELMSMAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA204 HELMSMAN MANAGEMENT SERVICES	21	18	86%	9	7	78%
Total	21	18	86% ▲	9	7	78% ▼
HELMSMAN MANAGEMENT SERVICES Group Total	21	18	86% ▲	9	7	78% ▼
LIBERTY MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA003 AMERICAN FIRE & CASUALTY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA380 EMPLOYERS INSURANCE OF WAUSAU	7	5	71%	1	0	0%
CA162 EXCELSIOR INSURANCE	No Filings	No Filings	No Filings	2	2	100%
CA210 LIBERTY MUTUAL INSURANCE	70	56	80%	65	58	89%
CA406 OHIO CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA407 OHIO SECURITY INSURANCE	2	2	100%	3	3	100%
CA283 PEERLESS INDEMNITY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	30	23	77%	13	10	77%
CA309 THE NETHERLANDS INSURANCE	5	4	80%	2	1	50%
CA408 WEST AMERICAN INSURANCE	No Filings	No Filings	No Filings	1	1	100%
Total	115	90	78% ▼	87	75	86% ▼
LIBERTY MUTUAL INSURANCE Group Total	115	90	78% ▼	87	75	86% ▼

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Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
MACY'S CORPORATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213 MACY'S CORPORATE SERVICES	3	1	33%	No Filings	No Filings	No Filings
Total	3	1	33% ▼	No Filings	No Filings	No Filings
MACY'S CORPORATE SERVICES Group Total	3	1	33% ▼	No Filings	No Filings	No Filings
MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	56	54	96%	8	8	100%
Total	56	54	96% ▲	8	8	100% ▲
MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total	56	54	96% ▲	8	8	100% ▲
MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	1365	1257	92%	961	901	94%
Total	1365	1257	92% ▲	961	901	94% ▲
MAINE EMPLOYERS' MUTUAL INSURANCE Group Total	1365	1257	92% ▲	961	901	94% ▲
MAINE HEALTHCARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234 MAINE HEALTHCARE ASSOCIATION	10	9	90%	22	22	100%
Total	10	9	90% ▲	22	22	100% ▲
MAINE HEALTHCARE ASSOCIATION Group Total	10	9	90% ▲	22	22	100% ▲
MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	31	31	100%	47	46	98%
Total	31	31	100% ▲	47	46	98% ▲
MAINE MOTOR TRANSPORT ASSOCIATION Group Total	31	31	100% ▲	47	46	98% ▲
MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225 MAINE MUNICIPAL ASSOCIATION	183	180	98%	220	216	98%
Total	183	180	98% ▲	220	216	98% ▲
MAINE MUNICIPAL ASSOCIATION Group Total	183	180	98% ▲	220	216	98% ▲
MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	87	86	99%	46	45	98%
Total	87	86	99% ▲	46	45	98% ▲
MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	87	86	99% ▲	46	45	98% ▲
MEADOWBROOK INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255 MEADOWBROOK INSURANCE	5	5	100%	1	1	100%
Total	5	5	100% ▲	1	1	100% ▲
MEADOWBROOK INSURANCE Group Total	5	5	100% ▲	1	1	100% ▲

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
MITSUI SUMITOMO INS CO OF AMERICA MITSUI SUMITOMO INS CO OF AMERICA	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
Total	*	*	*	*	*	*
MITSUI SUMITOMO INS CO OF AMERICA TPA Administered Claims CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
TPA Total	1	0	0% ▼	1	1	100% ▲
MITSUI SUMITOMO INS CO OF AMERICA Group Total	1	0	0% ▼	1	1	100% ▲
NATIONAL INTERSTATE INSURANCE CA267 NATIONAL INTERSTATE INSURANCE COMPANY	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
Total	1	1	100% ▲	No Filings	No Filings	No Filings
NATIONAL INTERSTATE INSURANCE Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
NATIONWIDE INSURANCE CA291 NATIONWIDE AGRIBUSINESS INSURANCE	MOPs Filed 2	Timely MOPs 0	Compliance 0%	NOCs Filed 3	Timely NOCs 1	Compliance 33%
Total	2	0	0% ▼	3	1	33% ▼
NATIONWIDE INSURANCE Group Total	2	0	0% ▼	3	1	33% ▼
NGM INSURANCE CA265 NGM INSURANCE	MOPs Filed 2	Timely MOPs 2	Compliance 100%	NOCs Filed 2	Timely NOCs 0	Compliance 0%
Total	2	2	100% ▲	2	0	0% ▼
NGM INSURANCE Group Total	2	2	100% ▲	2	0	0% ▼
NORTH RIVER INSURANCE CA257 NORTH RIVER INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
Total	*	*	*	*	*	*
NORTH RIVER INSURANCE TPA Administered Claims CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	2	2	100%
TPA Total	No Filings	No Filings	No Filings	2	2	100% ▲
NORTH RIVER INSURANCE Group Total	No Filings	No Filings	No Filings	2	2	100% ▲

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	11	6	55%	2	1	50%
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	9	5	56%	3	3	100%
CA204 HELMSMAN MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA295 RYDER SERVICES	3	3	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	7	88%	4	4	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No Filings	No Filings	No Filings
TPA Total	34	24	71% ▼	12	11	92% ▲
OLD REPUBLIC INSURANCE Group Total	34	24	71% ▼	12	11	92% ▲
PENNSYLVANIA MFG ASSN	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
Total	*	*	*	*	*	*
PENNSYLVANIA MFG ASSN TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	8	7	88%	6	4	67%
TPA Total	8	7	88% ▲	6	4	67% ▼
PENNSYLVANIA MFG ASSN Group Total	8	7	88% ▲	6	4	67% ▼
PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
Total	*	*	*	*	*	*
PROTECTIVE INSURANCE TPA Administered Claims						
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
TPA Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
PROTECTIVE INSURANCE Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
PUBLIC SERVICE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282 PUBLIC SERVICE INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
PUBLIC SERVICE INSURANCE Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
QBE INSURANCE GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
QBE INSURANCE GROUP	*	*	*	*	*	*
Total	*	*	*	*	*	*
QBE INSURANCE GROUP TPA Administered Claims						
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	17	15	88%	11	11	100%
TPA Total	18	16	89% ▲	11	11	100% ▲
QBE INSURANCE GROUP Group Total	18	16	89% ▲	11	11	100% ▲
RYDER SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA295 RYDER SERVICES	3	3	100%	1	1	100%
Total	3	3	100% ▲	1	1	100% ▲
RYDER SERVICES Group Total	3	3	100% ▲	1	1	100% ▲
SAFETY NATIONAL CASUALTY CORP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
Total	*	*	*	*	*	*
SAFETY NATIONAL CASUALTY CORP TPA Administered Claims						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	3	3	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	5	2	40%	3	3	100%
CA116 CORVEL ENTERPRISE COMP	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	1	1	100%	2	2	100%
CA190 GALLAGHER BASSETT SERVICES	6	5	83%	1	1	100%
CA204 HELMSMAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	5	4	80%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
TPA Total	18	12	67% ▼	14	13	93% ▲
SAFETY NATIONAL CASUALTY CORP Group Total	18	12	67% ▼	14	13	93% ▲
SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	191	173	91%	137	130	95%
Total	191	173	91% ▲	137	130	95% ▲
SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total	191	173	91% ▲	137	130	95% ▲
SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA402 SENTRY CASUALTY	2	1	50%	1	0	0%
CA305 SENTRY INSURANCE	4	2	50%	2	0	0%
Total	6	3	50% ▼	3	0	0% ▼
SENTRY INSURANCE Group Total	6	3	50% ▼	3	0	0% ▼

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
SOMPO JAPAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
Total	*	*	*	*	*	*
SOMPO JAPAN INSURANCE TPA Administered Claims	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
TPA Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
SOMPO JAPAN INSURANCE Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
STARR INDEMNITY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
STARR INDEMNITY INSURANCE TPA Administered Claims	3	3	100%	1	1	100%
CA160 ESIS	3	3	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
TPA Total	4	4	100% ▲	2	2	100% ▲
STARR INDEMNITY INSURANCE Group Total	4	4	100% ▲	2	2	100% ▲
STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	151	143	95%	105	102	97%
Total	151	143	95% ▲	105	102	97% ▲
STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total	151	143	95% ▲	105	102	97% ▲
SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320 SYNERNET	73	67	92%	138	132	96%
Total	73	67	92% ▲	138	132	96% ▲
SYNERNET Group Total	73	67	92% ▲	138	132	96% ▲
THE AMERICAN EQUITY UNDERWRITERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323 THE AMERICAN EQUITY UNDERWRITERS	1	0	0%	1	0	0%
Total	1	0	0% ▼	1	0	0% ▼
THE AMERICAN EQUITY UNDERWRITERS Group Total	1	0	0% ▼	1	0	0% ▼
TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356 TOWER INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	1	0	0% ▼	No Filings	No Filings	No Filings
TOWER INSURANCE TPA Administered Claims	1	0	0%	No Filings	No Filings	No Filings
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
TPA Total	1	0	0% ▼	No Filings	No Filings	No Filings
TOWER INSURANCE Group Total	2	0	0% ▼	No Filings	No Filings	No Filings

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072 CHARTER OAK FIRE INSURANCE	52	41	79%	26	23	88%
CA164 FARMINGTON CASUALTY	2	1	50%	2	2	100%
CA284 PHOENIX INSURANCE	8	7	88%	8	7	88%
CA306 STANDARD FIRE INSURANCE	11	7	64%	5	4	80%
CA347 TRAVELERS CASUALTY & SURETY	2	1	50%	2	2	100%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	7	5	71%	1	1	100%
CA349 TRAVELERS COMMERCIAL CASUALTY	3	2	67%	2	1	50%
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	7	2	29%	2	2	100%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	10	5	50%	5	4	80%
Total	102	71	70% ▼	53	46	87% ▼
TRAVELERS INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	7	7	100%	2	2	100%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	18	16	89%	8	8	100%
TPA Total	28	25	89% ▲	10	10	100% ▲
TRAVELERS INSURANCE Group Total	130	96	74% ▼	63	56	89% ▼
VANLINER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA379 VANLINER INSURANCE	No Filings	No Filings	No Filings	2	0	0%
Total	No Filings	No Filings	No Filings	2	0	0% ▼
VANLINER INSURANCE Group Total	No Filings	No Filings	No Filings	2	0	0% ▼
XL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA384 XL INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
XL INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	0	0%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	2	2	100%
CA160 ESIS	3	2	67%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	5	5	100%	4	3	75%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	3	60%	5	4	80%
TPA Total	17	12	71% ▼	14	12	86% ▼
XL INSURANCE Group Total	17	12	71% ▼	14	12	86% ▼

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
YORK RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340 YORK RISK SERVICES	8	2	25%	2	1	50%
Total	8	2	25% ▼	2	1	50% ▼
YORK RISK SERVICES Group Total	8	2	25% ▼	2	1	50% ▼
ZURICH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA021 AMERICAN GUARANTEE & LIABILITY	1	1	100%	No Filings	No Filings	No Filings
CA022 AMERICAN ZURICH	8	7	88%	4	4	100%
CA400 ZURICH AMERICAN INSURANCE	14	12	86%	11	10	91%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	1	1	100%
Total	24	21	88% ▲	16	15	94% ▲
ZURICH INSURANCE TPA Administered Claims						
CA040 BROADSPIRE SERVICES	1	1	100%	6	6	100%
CA160 ESIS	1	1	100%	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	14	12	86%	4	4	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	5	4	80%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
TPA Total	20	17	85% ▲	17	15	88% ▼
ZURICH INSURANCE Group Total	44	38	86% ▲	33	30	91% ▲

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Lost Time FROI and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ACADIA INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010	Group Total	246	184	75% ▼	66	55	83% ▼
BATH IRON WORKS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	420	396	94% ▲	49	44	90% ▲
CANNON COCHRAN MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	785	635	81% ▼	239	201	84% ▼
CIANBRO CORPORATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	6	5	83% ▼	4	1	25% ▼
CROSS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093	Group Total	1192	1136	95% ▲	153	147	96% ▲
FRANKENMUTH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA095	Group Total	6	1	17% ▼	3	2	67% ▼
FUTURECOMP		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	227	198	87% ▲	67	61	91% ▲
GREAT FALLS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	305	220	72% ▼	108	93	86% ▼
HANNAFORD BROTHERS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	311	209	67% ▼	119	89	75% ▼
MAINE AUTOMOBILE DEALERS ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	129	122	95% ▲	56	50	89% ▲
MAINE EMPLOYERS' MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	4870	3844	79% ▼	1365	1271	93% ▲
MAINE HEALTHCARE ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	103	90	87% ▲	10	9	90% ▲
MAINE MOTOR TRANSPORT ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	231	216	94% ▲	31	27	87% ▲
MAINE MUNICIPAL ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	888	861	97% ▲	183	180	98% ▲

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Lost Time FROI and Initial Indemnity Payments
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage		Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
MAINE SCHOOL MANAGEMENT ASSOCIATION		FROIs Filed	Timely FROIs	Compliance		Payments Made	Timely Payments	Compliance
CA250	Group Total	319	310	97% ▲		87	84	97% ▲
PUBLIC SERVICE INSURANCE		FROIs Filed	Timely FROIs	Compliance		Payments Made	Timely Payments	Compliance
CA282	Group Total	1	0	0% ▼		No Filings	No Filings	No Filings
SEDGWICK CLAIMS MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance		Payments Made	Timely Payments	Compliance
CA300	Group Total	708	633	89% ▲		191	169	88% ▲
STATE OF MAINE WORKERS' COMPENSATION TRUST		FROIs Filed	Timely FROIs	Compliance		Payments Made	Timely Payments	Compliance
CA307	Group Total	575	514	89% ▲		151	149	99% ▲
SYNERNET		FROIs Filed	Timely FROIs	Compliance		Payments Made	Timely Payments	Compliance
CA320	Group Total	541	474	88% ▲		73	64	88% ▲
		FROIs Filed	Timely FROIs	Compliance		Payments Made	Timely Payments	Compliance
TOTAL IN-STATE		11,863	10,048	85% ▲		2,955	2,696	91% ▲

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ACADIA INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA010	Group Total	66	58	88% ▲	44	41	93% ▲
BATH IRON WORKS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	49	45	92% ▲	38	33	87% ▼
CANNON COCHRAN MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	239	202	85% ▲	129	115	89% ▼
CIANBRO CORPORATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	4	2	50% ▼	1	1	100% ▲
CROSS INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA093	Group Total	153	145	95% ▲	281	277	99% ▲
FRANKENMUTH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA095	Group Total	3	2	67% ▼	2	0	0% ▼
FUTURECOMP		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	67	57	85% ▲	38	37	97% ▲
GREAT FALLS INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	108	90	83% ▼	54	43	80% ▼
HANNAFORD BROTHERS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	119	88	74% ▼	32	21	66% ▼
MAINE AUTOMOBILE DEALERS ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	56	54	96% ▲	8	8	100% ▲
MAINE EMPLOYERS' MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	1365	1257	92% ▲	961	901	94% ▲
MAINE HEALTHCARE ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	10	9	90% ▲	22	22	100% ▲
MAINE MOTOR TRANSPORT ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	31	31	100% ▲	47	46	98% ▲

Maine Workers' Compensation Board
 Initial MOP Filing Benchmark: 85%
 Initial Indemnity NOC Benchmark: 90%

* Indicates no claims activity
 ▲ Indicates benchmark met or exceeded
 ▼ Indicates benchmark not met

IN-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual
1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage		Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
MAINE MUNICIPAL ASSOCIATION		MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	183	180	98% ▲		220	216	98% ▲
MAINE SCHOOL MANAGEMENT ASSOCIATION		MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	87	86	99% ▲		46	45	98% ▲
PUBLIC SERVICE INSURANCE		MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	No Filings	No Filings	No Filings		No Filings	No Filings	No Filings
SEDGWICK CLAIMS MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	191	173	91% ▲		137	130	95% ▲
STATE OF MAINE WORKERS' COMPENSATION TRUST		MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	151	143	95% ▲		105	102	97% ▲
SYNERNET		MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	73	67	92% ▲		138	132	96% ▲
		MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
	TOTAL IN-STATE	2,955	2,689	91% ▲		2,303	2,170	94% ▲

OUT-OF-STATE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ACCIDENT FUND INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	3	2	67% ▼	1	1	100% ▲
ACE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	559	476	85% ▲	166	143	86% ▼
ACUITY MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA418	Group Total	2	2	100% ▲	1	1	100% ▲
AIG INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	Group Total	540	467	86% ▲	156	140	90% ▲
AMTRUST INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA342	Group Total	93	51	55% ▼	37	25	68% ▼
ARCH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	101	83	82% ▼	30	25	83% ▼
ATLANTIC SPECIALTY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA035	Group Total	8	3	38% ▼	3	2	67% ▼
BERKSHIRE HATHAWAY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	6	3	50% ▼	4	3	75% ▼
BROADSPIRE SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	59	46	78% ▼	19	17	89% ▲
CHEROKEE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA044	Group Total	1	0	0% ▼	1	1	100% ▲
CHUBB INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA046	Group Total	67	49	73% ▼	24	20	83% ▼
CHURCH MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA084	Group Total	7	1	14% ▼	4	3	75% ▼
CINCINNATI CASUALTY		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	1	50% ▼	1	1	100% ▲
CLAIMS MANAGEMENT (WALMART)		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	174	158	91% ▲	21	21	100% ▲

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CNA INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA017	Group Total	27	24	89% ▲	12	12	100% ▲
CONSTITUTION STATE SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	26	22	85% ▲	6	6	100% ▲
CORVEL ENTERPRISE COMP		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	46	35	76% ▼	7	3	43% ▼
COTTINGHAM & BUTLER CLAIMS SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	17	14	82% ▼	5	4	80% ▼
ELECTRIC INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA150	Group Total	23	21	91% ▲	8	7	88% ▲
ESIS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	105	62	59% ▼	27	25	93% ▲
EVEREST REINS HOLDINGS GROUP		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0% ▼	1	1	100% ▲
FEDERATED MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	Group Total	19	7	37% ▼	10	7	70% ▼
FIREMAN'S FUND INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	2	1	50% ▼	3	1	33% ▼
GALLAGHER BASSETT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	375	290	77% ▼	119	95	80% ▼
GREAT AMERICAN INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193	Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
GREAT DIVIDE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA189	Group Total	1	0	0% ▼	1	1	100% ▲
GREAT WEST INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA196	Group Total	7	1	14% ▼	7	4	57% ▼
GUARANTEE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA195	Group Total	2	1	50% ▼	1	1	100% ▲
GUARD INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	Group Total	68	31	46% ▼	18	16	89% ▲

Maine Workers' Compensation Board
Lost Time FROI Filing Benchmark: 85%
Initial Indemnity Payment Benchmark: 87%

* Indicates no claims activity
▲ Indicates benchmark met or exceeded
▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
HANNOVER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	3	1	33% ▼	2	1	50% ▼
HANOVER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA428	Group Total	48	30	63% ▼	19	14	74% ▼
HARTFORD INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA188	Group Total	296	241	81% ▼	88	69	78% ▼
HELMSMAN MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	Group Total	51	38	75% ▼	21	18	86% ▼
LIBERTY MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA003	Group Total	328	234	71% ▼	115	91	79% ▼
MACY'S CORPORATE SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	Group Total	4	4	100% ▲	3	3	100% ▲
MEADOWBROOK INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	8	8	100% ▲	5	5	100% ▲
MITSUI SUMITOMO INS CO OF AMERICA		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	1	50% ▼	1	0	0% ▼
NATIONAL INTERSTATE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	1	1	100% ▲	1	1	100% ▲
NATIONWIDE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291	Group Total	6	2	33% ▼	2	0	0% ▼
NGM INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	5	3	60% ▼	2	1	50% ▼
NORTH RIVER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA257	Group Total	8	5	63% ▼	No Filings	No Filings	No Filings
OLD REPUBLIC INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	97	76	78% ▼	34	27	79% ▼
PENNSYLVANIA MFG ASSN		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	37	25	68% ▼	8	7	88% ▲
PROTECTIVE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings

Maine Workers' Compensation Board
Lost Time FROI Filing Benchmark: 85%
Initial Indemnity Payment Benchmark: 87%

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▲ Indicates benchmark met or exceeded
▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Annual

1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
QBE INSURANCE GROUP		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	45	37	82% ▼	18	15	83% ▼
RYDER SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	5	4	80% ▼	3	3	100% ▲
SAFETY NATIONAL CASUALTY CORP		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	82	67	82% ▼	18	12	67% ▼
SENTRY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA402	Group Total	15	7	47% ▼	6	4	67% ▼
SOMPO JAPAN INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
STARR INDEMNITY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	8	7	88% ▲	4	3	75% ▼
THE AMERICAN EQUITY UNDERWRITERS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323	Group Total	4	3	75% ▼	1	0	0% ▼
TOWER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	1	0	0% ▼	2	1	50% ▼
TRAVELERS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072	Group Total	310	205	66% ▼	130	106	82% ▼
VANLINER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA379	Group Total	2	1	50% ▼	No Filings	No Filings	No Filings
XL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA384	Group Total	78	62	79% ▼	17	13	76% ▼
YORK RISK SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	14	5	36% ▼	8	4	50% ▼
ZURICH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA022	Group Total	144	124	86% ▲	44	39	89% ▲
		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	TOTAL OUT-OF-STATE	3,946	3,044	77% ▼	1,245	1,023	82% ▼

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

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▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ACCIDENT FUND INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Group Total		1	1	100% ▲	No Filings	No Filings	No Filings
ACE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Group Total		166	145	87% ▲	102	97	95% ▲
ACUITY MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA418	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
AIG INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA015	Group Total	156	145	93% ▲	139	125	90% ▲
AMTRUST INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA342	Group Total	37	18	49% ▼	21	15	71% ▼
ARCH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Group Total		30	26	87% ▲	17	15	88% ▼
ATLANTIC SPECIALTY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA035	Group Total	3	2	67% ▼	2	0	0% ▼
BERKSHIRE HATHAWAY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	4	3	75% ▼	1	1	100% ▲
BROADSPIRE SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	19	17	89% ▲	19	19	100% ▲
CHEROKEE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044	Group Total	1	0	0% ▼	No Filings	No Filings	No Filings
CHUBB INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA046	Group Total	24	21	88% ▲	9	7	78% ▼
CHURCH MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA084	Group Total	4	0	0% ▼	2	1	50% ▼
CINCINNATI CASUALTY		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Group Total		1	0	0% ▼	No Filings	No Filings	No Filings

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage		Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	21	20	95% ▲		44	37	84% ▼
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA017	Group Total	12	12	100% ▲		2	2	100% ▲
	CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	6	5	83% ▼		13	13	100% ▲
	CORVEL ENTERPRISE COMP	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	7	3	43% ▼		1	1	100% ▲
	COTTINGHAM & BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	5	4	80% ▼		7	6	86% ▼
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA150	Group Total	8	8	100% ▲		5	5	100% ▲
	ESIS	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	27	25	93% ▲		28	26	93% ▲
	EVEREST REINS HOLDINGS GROUP	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
	Group Total	1	1	100% ▲		No Filings	No Filings	No Filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA091	Group Total	10	2	20% ▼		4	3	75% ▼
	FIREMAN'S FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	3	1	33% ▼		No Filings	No Filings	No Filings
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	119	96	81% ▼		54	45	83% ▼
	GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA193	Group Total	No Filings	No Filings	No Filings		No Filings	No Filings	No Filings
	GREAT DIVIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA189	Group Total	1	0	0% ▼		No Filings	No Filings	No Filings

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
GREAT WEST INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA196	Group Total	7	3	43% ▼	No Filings	No Filings	No Filings
GUARANTEE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA195	Group Total	1	1	100% ▲	1	0	0% ▼
GUARD INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	Group Total	18	17	94% ▲	4	2	50% ▼
HANNOVER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	1	50% ▼	No Filings	No Filings	No Filings
HANOVER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA428	Group Total	19	16	84% ▼	7	7	100% ▲
HARTFORD INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA188	Group Total	88	71	81% ▼	53	48	91% ▲
HELMSMAN MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA204	Group Total	21	18	86% ▲	9	7	78% ▼
LIBERTY MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA003	Group Total	115	90	78% ▼	87	75	86% ▼
MACY'S CORPORATE SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213	Group Total	3	1	33% ▼	No Filings	No Filings	No Filings
MEADOWBROOK INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	5	5	100% ▲	1	1	100% ▲
MITSUI SUMITOMO INS CO OF AMERICA		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	0	0% ▼	1	1	100% ▲
NATIONAL INTERSTATE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100% ▲	No Filings	No Filings	No Filings
NATIONWIDE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA291	Group Total	2	0	0% ▼	3	1	33% ▼

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
NGM INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	2	2	100% ▲	2	0	0% ▼
NORTH RIVER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA257	Group Total	No Filings	No Filings	No Filings	2	2	100% ▲
OLD REPUBLIC INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	34	24	71% ▼	12	11	92% ▲
PENNSYLVANIA MFG ASSN		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	8	7	88% ▲	6	4	67% ▼
PROTECTIVE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
QBE INSURANCE GROUP		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	18	16	89% ▲	11	11	100% ▲
RYDER SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA295	Group Total	3	3	100% ▲	1	1	100% ▲
SAFETY NATIONAL CASUALTY CORP		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	18	12	67% ▼	14	13	93% ▲
SENTRY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA402	Group Total	6	3	50% ▼	3	0	0% ▼
SOMPO JAPAN INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
STARR INDEMNITY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	4	4	100% ▲	2	2	100% ▲
THE AMERICAN EQUITY UNDERWRITERS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	1	0	0% ▼	1	0	0% ▼
TOWER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	2	0	0% ▼	No Filings	No Filings	No Filings

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

OUT-OF-STATE GROUP COMPLIANCE

Initial MOP and Initial Indemnity NOC Filings

Annual

1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
TRAVELERS INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	Group Total	130	96	74% ▼	63	56	89% ▼
VANLINER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA379	Group Total	No Filings	No Filings	No Filings	2	0	0% ▼
XL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA384	Group Total	17	12	71% ▼	14	12	86% ▼
YORK RISK SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	8	2	25% ▼	2	1	50% ▼
ZURICH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA022	Group Total	44	38	86% ▲	33	30	91% ▲
		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
TOTAL OUT-OF-STATE		1,245	999	80% ▼	804	703	87% ▼

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

* Indicates no claims activity

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

TYPE OF INSURER GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual
1/1/2016 - 12/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
INSURANCE COMPANY							
CA010	ACADIA INSURANCE	246	184	75%	66	55	83%
CA418	ACUITY MUTUAL INSURANCE	2	2	100%	1	1	100%
CA015	AIG DOMESTIC CLAIMS	349	298	85%	131	117	89%
CA428	ALLMERICA FINANCIAL BENEFIT INSURANCE	11	6	55%	6	4	67%
CA017	AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	No filings	No filings	No filings
CA003	AMERICAN FIRE & CASUALTY INSURANCE	1	0	0%	No filings	No filings	No filings
CA021	AMERICAN GUARANTEE & LIABILITY	1	1	100%	1	1	100%
CA022	AMERICAN ZURICH	21	16	76%	8	7	88%
CA019	AMGUARD INSURANCE	44	18	41%	13	12	92%
CA114	BERKSHIRE HATHAWAY HOMESTATE INSURANCE	2	1	50%	1	0	0%
CA072	CHARTER OAK FIRE INSURANCE	140	89	64%	52	43	83%
CA044	CHEROKEE INSURANCE	1	0	0%	1	1	100%
CA084	CHURCH MUTUAL INSURANCE	7	1	14%	4	3	75%
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	18	14	78%	6	5	83%
CA083	CNA CLAIMS PLUS	6	5	83%	5	5	100%
CA050	CONTINENTAL CASUALTY	13	13	100%	5	5	100%
CA115	CONTINENTAL INDEMNITY	3	2	67%	3	3	100%
CA140	EASTGUARD INSURANCE	15	7	47%	3	3	100%
CA380	EMPLOYERS INSURANCE OF WAUSAU	12	10	83%	7	6	86%
CA162	EXCELSIOR INSURANCE	3	0	0%	No filings	No filings	No filings
CA164	FARMINGTON CASUALTY	5	2	40%	2	1	50%
CA091	FEDERATED MUTUAL INSURANCE	16	7	44%	9	6	67%
CA092	FEDERATED SERVICE INSURANCE	3	0	0%	1	1	100%
CA170	FIREMAN'S FUND INSURANCE	2	1	50%	3	1	33%
CA095	FRANKENMUTH INSURANCE	1	0	0%	No filings	No filings	No filings
CA193	GREAT AMERICAN INSURANCE	1	0	0%	No filings	No filings	No filings
CA189	GREAT DIVIDE INSURANCE	1	0	0%	1	1	100%
CA196	GREAT WEST INSURANCE	7	1	14%	7	4	57%
CA429	HANOVER AMERICAN INSURANCE	4	2	50%	1	1	100%
CA202	HANOVER INSURANCE	11	6	55%	3	2	67%
CA188	HARTFORD ACCIDENT & INDEMNITY	20	17	85%	4	4	100%
CA185	HARTFORD CASUALTY INSURANCE	10	8	80%	4	3	75%
CA203	HARTFORD FIRE INSURANCE	7	3	43%	2	1	50%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	9	7	78%	1	0	0%
CA187	HARTFORD UNDERWRITERS INSURANCE	29	17	59%	11	7	64%
CA210	LIBERTY MUTUAL INSURANCE	225	165	73%	70	54	77%
CA228	MASSACHUSETTS BAY INSURANCE	4	2	50%	3	2	67%
CA255	MEADOWBROOK INSURANCE	8	8	100%	5	5	100%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA267	NATIONAL INTERSTATE INSURANCE COMPANY	1	1	100%	1	1	100%
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	6	2	33%	2	0	0%
CA265	NGM INSURANCE	5	3	60%	2	1	50%
CA272	NORGUARD INSURANCE	9	6	67%	2	1	50%
CA406	OHIO CASUALTY INSURANCE	1	1	100%	1	1	100%
CA407	OHIO SECURITY INSURANCE	9	8	89%	2	2	100%
CA274	PATRIOT INSURANCE	5	1	20%	3	2	67%
CA283	PEERLESS INDEMNITY INSURANCE	4	4	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	63	42	67%	30	25	83%
CA284	PHOENIX INSURANCE	22	16	73%	8	7	88%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF	3	2	67%	1	1	100%
CA282	PUBLIC SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
CA293	REDWOOD FIRE AND CASUALTY INSURANCE	1	0	0%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	6	5	83%	2	2	100%
CA402	SENTRY CASUALTY	7	3	43%	2	1	50%
CA305	SENTRY INSURANCE	8	4	50%	4	3	75%
CA306	STANDARD FIRE INSURANCE	28	19	68%	11	8	73%
CA342	TECHNOLOGY INSURANCE	29	16	55%	13	8	62%
CA323	THE AMERICAN EQUITY UNDERWRITERS	4	3	75%	1	0	0%
CA309	THE NETHERLANDS INSURANCE	9	4	44%	5	3	60%
CA356	TOWER INSURANCE	No filings	No filings	No filings	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY	7	6	86%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF	12	7	58%	7	5	71%
CA349	TRAVELERS COMMERCIAL CASUALTY	7	4	57%	3	3	100%
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	12	3	25%	7	4	57%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	19	13	68%	10	7	70%
CA319	TRUMBULL INSURANCE	117	101	86%	45	37	82%
CA321	TWIN CITY FIRE INSURANCE	19	15	79%	7	4	57%
CA379	VANLINER INSURANCE	2	1	50%	No filings	No filings	No filings
CA381	WESCO INSURANCE	64	35	55%	24	17	71%
CA408	WEST AMERICAN INSURANCE	1	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE	39	36	92%	13	12	92%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	3	3	100%	1	1	100%
TOTAL INSURER ADMINISTERED		1,782	1,278	72%	650	523	80%
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE	4,870	3,844	79%	1,365	1,271	93%
SELF INSURED SELF ADMINISTERED							
CA036	BATH IRON WORKS	420	396	94%	49	44	90%
CA085	CIANBRO CORPORATION	6	5	83%	4	1	25%
CA201	HANNAFORD BROTHERS	311	209	67%	119	89	75%
CA213	MACY'S CORPORATE SERVICES	4	4	100%	3	3	100%
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION	129	122	95%	56	50	89%
CA234	MAINE HEALTHCARE ASSOCIATION	103	90	87%	10	9	90%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION	231	216	94%	31	27	87%
CA225	MAINE MUNICIPAL ASSOCIATION	888	861	97%	183	180	98%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	319	310	97%	87	84	97%
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	575	514	89%	151	149	99%
TOTAL SELF INSURED SELF ADMINISTERED		2,986	2,727	91%	693	636	92%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
TPAS ADMINISTERING FOR INSURERS							
CA040	BROADSPIRE SERVICES	59	46	78%	19	17	89%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	438	328	75%	150	124	83%
CA100	CLA MS MANAGEMENT (WALMART)	2	2	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	25	21	84%	6	6	100%
CA116	CORVEL ENTERPRISE COMP	46	35	76%	7	3	43%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	16	13	81%	5	4	80%
CA093	CROSS INSURANCE	1	0	0%	No filings	No filings	No filings
CA160	ESIS	98	59	60%	25	23	92%
CA190	GALLAGHER BASSETT SERVICES	371	289	78%	117	94	81%
CA204	HELMSMAN MANAGEMENT SERVICES	25	22	88%	11	11	100%
CA292	PATRIOT RISK SERVICES, INC	4	2	50%	4	4	100%
CA295	RYDER SERVICES	5	4	80%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	585	523	89%	164	143	87%
CA320	SYNERNET	1	1	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	1	1	100%
CA340	YORK RISK SERVICES	14	5	36%	8	4	50%
TOTAL TPAS ADMINISTERING FOR INSURERS		1,691	1,350	80%	521	438	84%
TPAS ADMINISTERING FOR SELF INSURED							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	347	307	88%	89	77	87%
CA100	CLA MS MANAGEMENT (WALMART)	172	156	91%	20	20	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA093	CROSS INSURANCE	1,191	1,136	95%	153	147	96%
CA160	ESIS	7	3	43%	2	2	100%
CA175	FUTURECOMP	227	198	87%	67	61	91%
CA190	GALLAGHER BASSETT SERVICES	4	1	25%	2	1	50%
CA204	HELMSMAN MANAGEMENT SERVICES	26	16	62%	10	7	70%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	123	110	89%	27	26	96%
CA320	SYNERNET	540	473	88%	73	64	88%
TOTAL TPAS ADMINISTERING FOR SELF INSURED		3,830	3,538	92%	596	552	93%
Grand Total:		15,159	12,737	84%	3,825	3,420	89%

TYPE OF INSURER GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual
1/1/2016 - 12/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
INSURANCE COMPANY							
CA010	ACADIA INSURANCE	66	58	88%	44	41	93%
CA418	ACUITY MUTUAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA015	AIG DOMESTIC CLAIMS	131	122	93%	90	83	92%
CA428	ALLMERICA FINANCIAL BENEFIT INSURANCE	6	5	83%	3	3	100%
CA017	AMERICAN CASUALTY COMPANY OF READING PA	No filings	No filings	No filings	No filings	No filings	No filings
CA003	AMERICAN FIRE & CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA021	AMERICAN GUARANTEE & LIABILITY	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH	8	7	88%	4	4	100%
CA019	AMGUARD INSURANCE	13	12	92%	3	2	67%
CA114	BERKSHIRE HATHAWAY HOMESTATE INSURANCE	1	0	0%	1	1	100%
CA072	CHARTER OAK FIRE INSURANCE	52	41	79%	26	23	88%
CA044	CHEROKEE INSURANCE	1	0	0%	No filings	No filings	No filings
CA084	CHURCH MUTUAL INSURANCE	4	0	0%	2	1	50%
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	6	5	83%	2	2	100%
CA083	CNA CLAIMS PLUS	5	5	100%	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	5	5	100%	1	1	100%
CA115	CONTINENTAL INDEMNITY	3	3	100%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE	3	3	100%	No filings	No filings	No filings
CA380	EMPLOYERS INSURANCE OF WAUSAU	7	5	71%	1	0	0%
CA162	EXCELSIOR INSURANCE	No filings	No filings	No filings	2	2	100%
CA164	FARMINGTON CASUALTY	2	1	50%	2	2	100%
CA091	FEDERATED MUTUAL INSURANCE	9	1	11%	3	3	100%
CA092	FEDERATED SERVICE INSURANCE	1	1	100%	1	0	0%
CA170	FIREMAN'S FUND INSURANCE	3	1	33%	No filings	No filings	No filings
CA095	FRANKENMUTH INSURANCE	No filings	No filings	No filings	1	0	0%
CA193	GREAT AMERICAN INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA189	GREAT DIVIDE INSURANCE	1	0	0%	No filings	No filings	No filings
CA196	GREAT WEST INSURANCE	7	3	43%	No filings	No filings	No filings
CA429	HANOVER AMERICAN INSURANCE	1	1	100%	1	1	100%
CA202	HANOVER INSURANCE	3	3	100%	1	1	100%
CA188	HARTFORD ACCIDENT & INDEMNITY	4	4	100%	3	3	100%
CA185	HARTFORD CASUALTY INSURANCE	4	3	75%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE	2	1	50%	1	1	100%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	0	0%	3	2	67%
CA187	HARTFORD UNDERWRITERS INSURANCE	11	7	64%	4	3	75%
CA210	LIBERTY MUTUAL INSURANCE	70	56	80%	65	58	89%
CA228	MASSACHUSETTS BAY INSURANCE	3	2	67%	No filings	No filings	No filings
CA255	MEADOWBROOK INSURANCE	5	5	100%	1	1	100%

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA267	NATIONAL INTERSTATE INSURANCE COMPANY	1	1	100%	No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	2	0	0%	3	1	33%
CA265	NGM INSURANCE	2	2	100%	2	0	0%
CA272	NORGUARD INSURANCE	2	2	100%	1	0	0%
CA406	OHIO CASUALTY INSURANCE	1	0	0%	No filings	No filings	No filings
CA407	OHIO SECURITY INSURANCE	2	2	100%	3	3	100%
CA274	PATRIOT INSURANCE	3	2	67%	1	0	0%
CA283	PEERLESS INDEMNITY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	30	23	77%	13	10	77%
CA284	PHOENIX INSURANCE	8	7	88%	8	7	88%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF	1	1	100%	No filings	No filings	No filings
CA282	PUBLIC SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA293	REDWOOD FIRE AND CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	2	2	100%	2	2	100%
CA402	SENTRY CASUALTY	2	1	50%	1	0	0%
CA305	SENTRY INSURANCE	4	2	50%	2	0	0%
CA306	STANDARD FIRE INSURANCE	11	7	64%	5	4	80%
CA342	TECHNOLOGY INSURANCE	13	7	54%	4	3	75%
CA323	THE AMERICAN EQUITY UNDERWRITERS	1	0	0%	1	0	0%
CA309	THE NETHERLANDS INSURANCE	5	4	80%	2	1	50%
CA356	TOWER INSURANCE	1	0	0%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY	2	1	50%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF	7	5	71%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	2	1	50%
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	7	2	29%	2	2	100%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	10	5	50%	5	4	80%
CA319	TRUMBULL INSURANCE	45	38	84%	18	16	89%
CA321	TWIN CITY FIRE INSURANCE	7	5	71%	4	4	100%
CA379	VANLINER INSURANCE	No filings	No filings	No filings	2	0	0%
CA381	WESCO INSURANCE	24	11	46%	17	12	71%
CA408	WEST AMERICAN INSURANCE	No filings	No filings	No filings	1	1	100%
CA400	ZURICH AMERICAN INSURANCE	13	12	92%	11	10	91%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	1	1	100%
	TOTAL INSURER ADMINISTERED	650	507	79%	379	323	85%
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE	1,365	1,257	92%	961	901	94%
SELF INSURED SELF ADMINISTERED							
CA036	BATH IRON WORKS	49	45	92%	38	33	87%
CA085	CIANBRO CORPORATION	4	2	50%	1	1	100%
CA201	HANNAFORD BROTHERS	119	88	74%	32	21	66%
CA213	MACY'S CORPORATE SERVICES	3	1	33%	No filings	No filings	No filings
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION	56	54	96%	8	8	100%
CA234	MAINE HEALTHCARE ASSOCIATION	10	9	90%	22	22	100%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION	31	31	100%	47	46	98%
CA225	MAINE MUNICIPAL ASSOCIATION	183	180	98%	220	216	98%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	87	86	99%	46	45	98%
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	151	143	95%	105	102	97%
	TOTAL SELF INSURED SELF ADMINISTERED	693	639	92%	519	494	95%

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
TPAS ADMINISTERING FOR INSURERS							
CA040	BROADSPIRE SERVICES	19	17	89%	19	19	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	150	121	81%	73	61	84%
CA100	CLA MS MANAGEMENT (WALMART)	1	1	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	6	5	83%	12	12	100%
CA116	CORVEL ENTERPRISE COMP	7	3	43%	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	5	4	80%	6	5	83%
CA093	CROSS INSURANCE	No filings	No filings	No filings	1	1	100%
CA160	ESIS	25	23	92%	26	24	92%
CA190	GALLAGHER BASSETT SERVICES	117	95	81%	53	44	83%
CA204	HELMSMAN MANAGEMENT SERVICES	11	11	100%	2	1	50%
CA292	PATRIOT RISK SERVICES, INC	4	2	50%	2	0	0%
CA295	RYDER SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	164	147	90%	109	104	95%
CA320	SYNERNET	No filings	No filings	No filings	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	8	2	25%	2	1	50%
	TOTAL TPAS ADMINISTERING FOR INSURERS	521	435	84%	309	276	89%
TPAS ADMINISTERING FOR SELF INSURED							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	89	81	91%	56	54	96%
CA100	CLA MS MANAGEMENT (WALMART)	20	19	95%	43	36	84%
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	No filings	No filings	No filings	1	1	100%
CA093	CROSS INSURANCE	153	145	95%	280	276	99%
CA160	ESIS	2	2	100%	2	2	100%
CA175	FUTURECOMP	67	57	85%	38	37	97%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	10	7	70%	7	6	86%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	27	26	96%	28	26	93%
CA320	SYNERNET	73	67	92%	137	131	96%
	TOTAL TPAS ADMINISTERING FOR SELF INSURED	596	550	92%	874	847	97%
Grand Total:		3,825	3,388	89%	3,042	2,841	93%