

MAINE STATE LEGISLATURE

The following document is provided by the
LAW AND LEGISLATIVE DIGITAL LIBRARY
at the Maine State Law and Legislative Reference Library
<http://legislature.maine.gov/lawlib>



Reproduced from scanned originals with text recognition applied
(searchable text may contain some errors and/or omissions)

2013

ANNUAL COMPLIANCE REPORT

**STATE OF MAINE
WORKERS' COMPENSATION BOARD**



JANUARY 1, 2013 - DECEMBER 31, 2013

OFFICE OF MONITORING, AUDIT & ENFORCEMENT

Paul H. Sighinolfi, Esq.
Executive Director/Chair

27 State House Station
Augusta, Maine 04333-0027
(207) 287-3751

**MAINE WORKERS' COMPENSATION BOARD
2013 ANNUAL COMPLIANCE REPORT**

Table of Contents

Section	Page
Executive Summary	1-3
Annual Compliance Summary	4
High Compliance Performers	5
Lost Time First Report Filings	6
Initial Indemnity Payments	7
Initial Memorandum of Payment Filings	8
Initial Indemnity Notice of Controversy Filings	9
Wage Information	10
Utilization Analysis	11
In-State vs.Out-of-State Comparisons	12
Volume by Type of Insurer	13
Compliance by Type of Insurer	14
Entity Overview	15-16
Quarterly and Annual Insurance Group Compliance Charts	17-66

Tables

#	Title	Page
1	Quarterly Compliance Reports	4
2	Annual Compliance	4
3	Percentage Change Over Time	4
4	Lost Time First Report Filings: Timeliness Distribution	6
5	Lost Time First Report Filings: % of Insurers Above vs. Below 85% Benchmark	6
6	Initial Indemnity Payments: Timeliness Distribution	7
7	Initial Indemnity Payments: % of Insurers Above vs. Below 87% Benchmark	7
8	Initial Memorandum of Payment Filings: Timeliness Distribution	8
9	Initial Memorandum of Payment Filings: % of Insurers Above vs. Below 85% Benchmark	8
10	Initial Indemnity Notice of Controversy Filings: Timeliness Distribution	9
11	Initial Indemnity Notice of Controversy Filings: % of Insurers Above vs. Below 90% Benchmark	9
12	Wage Statement: Timeliness Distribution	10
13	Fringe Benefit Worksheet: Timeliness Distribution	10
14	Utilization Analysis: % of Lost Time First Reports Denied	11
15	Utilization Analysis: % of Claims for Compensation Denied	11

Charts

#	Title	Page
1	Lost Time First Report Filings: Timeliness Distribution	6
2	Lost Time First Report Filings: % of Insurers Above vs. Below 85% Benchmark	6
3	Lost Time First Report Filings: Compliance Trend	6
4	Initial Indemnity Payments: Timeliness Distribution	7
5	Initial Indemnity Payments: % of Insurers Above vs. Below 87% Benchmark	7
6	Initial Indemnity Payments: Compliance Trend	7
7	Initial Memorandum of Payment Filings: Timeliness Distribution	8
8	Initial Memorandum of Payment Filings: % of Insurers Above vs. Below 85% Benchmark	8
9	Initial Memorandum of Payment Filings: Compliance Trend	8
10	Initial Indemnity Notice of Controversy Filings: Timeliness Distribution	9
11	Initial Indemnity Notice of Controversy Filings: % of Insurers Above vs. Below 90% Benchmark	9
12	Initial Indemnity Notice of Controversy Filings: Compliance Trend	9
13	Wage Statement: Timeliness Distribution	10
14	Fringe Benefit Worksheet: Timeliness Distribution	10
15	Utilization Analysis: Distribution of Lost Time First Reports	11
16	Utilization Analysis: Lost Time First Reports	11
17	Utilization Analysis: Claims for Compensation	11

#	Appendices	Page
A	Insurance Group Compliance – Lost Time FROI Filings and Initial Indemnity Payments	A1-11
B	Insurance Group Compliance – Initial MOP and Initial Indemnity NOC Filings	B1-11
C	In-State Group Compliance – Lost Time FROI Filings and Initial Indemnity Payments	C1-2
D	In-State Group Compliance – Initial MOP and Initial Indemnity NOC Filings	D1-2
E	Out-of-State Group Compliance – Lost Time FROI Filings and Initial Indemnity Payments	E1-5
F	Out-of-State Group Compliance – Initial MOP and Initial Indemnity NOC Filings	F1-5
G	Type of Insurer Group Compliance – Lost Time FROI Filings and Initial Indemnity Payments	G1-3
H	Type of Insurer Group Compliance – Initial MOP and Initial Indemnity NOC Filings	H1-3

EXECUTIVE SUMMARY

- I. On July 8, 2014, the Maine Workers' Compensation Board of Directors approved the 2013 Annual Compliance Report (**January 1, 2013** through **December 31, 2013**). This report represents the efforts of the Office of Monitoring, Audit and Enforcement (MAE Program) and the workers' compensation community.

II. HISTORY

In 1997, the Maine Legislature, with the support of Governor Angus S. King, Jr., enacted Public Law 1997, Chapter 486 to establish the Office of Monitoring, Audit, and Enforcement with the goals of: (1) providing timely and reliable data to policymakers; (2) monitoring and auditing payments and filings; and (3) identifying those insurers, self-administered employers, and third-party administrators (collectively "insurers") not complying with minimum standards.

III. COMPLIANCE OVERVIEW

The 2013 quarterly compliance in Table 1 represents static results based upon data received by the deadline for each quarter. This 2013 Annual Compliance Report represents static results based upon data received by March 11, 2014. Tables 2 and 3 show continued improvement in the performance of insurers since the pilot project.

A. Lost Time First Report Filings

The Board's benchmark for lost time first report (FROI) filings within 7 days is 85%.

Benchmark Met. Eighty-five percent (85%) of lost time FROI filings were within 7 days.

B. Initial Indemnity Payments

The Board's benchmark for initial indemnity payments within 14 days is 87%.

Benchmark Exceeded. Ninety-one percent (91%) of initial indemnity payments were within 14 days.

C. Initial Memorandum of Payment Filings

The Board's benchmark for initial Memorandum of Payment (MOP) filings within 17 days is 85%.

Benchmark Exceeded. Ninety percent (90%) of initial MOP filings were within 17 days.

D. Initial Indemnity Notice of Controversy Filings

The Board's benchmark for initial indemnity Notice of Controversy (NOC) filings within 14 days is 90%.

Benchmark Exceeded. Ninety-five percent (95%) of initial indemnity NOC filings were within 14 days.

E. Wage Information

Seventy-four percent (74%) of Wage Statement(s) and seventy-seven percent (77%) of the Fringe Benefit Worksheet(s) were filed within 30 days.

F. Utilization Analysis

Nineteen percent (19%) of all lost time first reports were "denied" and forty-one percent (41%) of all claims for compensation were denied.

IV. CAVEATS & EXPLANATIONS

A. General

- Question marks (“?”) within this report indicate that the insurer did not provide all the data required to measure compliance.

B. Lost Time First Report Filings

- Compliance with the lost time first report filing obligation exists when the lost time first report is filed (accepted Electronic Data Interchange (EDI) transaction, with or without errors) within 7 days of the employer receiving notice or knowledge of an employee injury that has caused the employee to lose a day's work.
- When a medical only first report was received and later converted to a lost time first report, if the received date minus the date of the employer's notice or knowledge of incapacity was less than zero, the filing was considered compliant.

C. Initial Indemnity Payments

Compliance with the Initial Indemnity Payment obligation exists when the check is mailed within the later of: (a) 14 days after the employer's notice or knowledge of incapacity or (b) the first day of compensability plus 6 days.

D. Initial Memorandum of Payment Filings

Compliance with the Initial Memorandum of Payment filing obligation exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy Filings

- Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).
- Compliance with the Initial Indemnity Notice of Controversy filing obligation exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days of the employer receiving notice or knowledge of the incapacity or death.

V. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in 2013:

Auditee (alpha order)	Total Penalties
ACE Insurance	\$30,550.00
Arch Insurance	\$11,800.00
Argonaut Insurance	\$3,200.00
Cianbro Corporation	\$500.00
City of Bangor	\$0.00
Constitution State Services	\$4,700.00
Cottingham & Butler Claims Services	\$7,925.00
Frank Gates-Avizent	\$14,500.00
Great Divide Insurance	\$3,500.00
Great Falls Insurance	\$4,950.00
Harleysville-Worcester Insurance	\$1,900.00
Maine Employers' Mutual Insurance	\$5,700.00
Pennsylvania Manufacturing Association	\$2,150.00
Protective Insurance	\$18,600.00
Tower Insurance	\$1,400.00
Underwriters Safety & Claims	\$5,225.00
Willis of Northern New England	\$12,000.00
Zurich Insurance	\$28,200.00

This 2013 Annual Compliance Report was prepared by the following MAE staff members:

Name	Position	Annual Report Role
Anne Poulin	Secretary Associate	Administrative Support
Eben Gilman	Management Analyst I	Research & Compilation
Carrie Pelletier	Management Analyst II	Research & Compilation
Kimberlee Barriere	Deputy Director	Editor

Annual Compliance Summary

Table 1 Quarterly Compliance Reports

	Benchmark	First Quarter	Second Quarter	Third Quarter	Fourth Quarter
Lost Time First Report Filings Received within 7 Days	85%	87%	87%	85%	86%
Initial Indemnity Payments Made within 14 Days	87%	89%	92%	92%	88%
Initial Memorandum of Payment Filings Received within 17 Days	85%	88%	90%	92%	88%
Initial Indemnity Notice of Controversy Filings Received within 14 Days	90%	95%	95%	95%	95%

Table 2 Annual Compliance

	1997 ¹	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Lost Time First Report Filings Received within 7 Days	37%	86%	86%	84%	87%	89%	84%	86%	87%	85%	85%
Initial Indemnity Payments Made within 14 Days	59%	85%	87%	87%	87%	89%	89%	89%	89%	90%	91%
Initial Memorandum of Payment Filings Received within 17 Days	57%	83%	84%	84%	85%	88%	87%	86%	89%	89%	90%
Initial Indemnity Notice of Controversy Filings Received within 14 Days ²		91%	92%	89% ³	89%	90%	94%	94%	95%	95%	95%

Table 3 Percentage Change Over Time

	1997 ¹	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Lost Time First Report Filings Received within 7 Days	0%	133%	134%	130%	136%	141%	127%	135%	138%	132%	133%
Initial Indemnity Payments Made within 14 Days	0%	44%	46%	46%	47%	49%	49%	51%	51%	51%	52%
Initial Memorandum of Payment Filings Received within 17 Days	0%	46%	48%	49%	49%	55%	54%	51%	56%	56%	57%
Initial Indemnity Notice of Controversy Filings Received within 14 Days ²		0%	1%	-2%	-3%	-1%	2%	3%	4%	4%	4%

¹ Based on sample data.

² Benchmark changed in 2007 from 17 days to 14 days.

³ Second Quarter excluded.

High Compliance Performers

Entities large and small have developed the policies, processes and systems necessary to achieve or exceed the benchmark compliance levels relative to form filing, as well as the initial indemnity payments that put money into injured Maine employees' households. The Board wishes to recognize them for their excellence in these areas.

These high-performing entities run the gamut of size, location and organization type, i.e. insurer, TPA, self-insured self-administered employer.

Benchmark Category:	First Report Filings	Initial Payments	Initial MOP Filings	Initial NOC Filings
Insurers/TPAs				
Acadia Insurance	88%	91%	92%	94%
AIG Insurance	92%	90%	93%	98%
Arch Insurance	87%	90%	100%	90%
Broadspire Services	92%	92%	96%	100%
Claims Management (Walmart)	99%	91%	97%	98%
FutureComp	93%	88%	91%	100%
Great Falls Insurance	87%	90%	86%	93%
Hanover Insurance	86%	88%	88%	100%
Helmsman Management Services	88%	95%	95%	92%
Sedgwick Claims Management Services	85%	91%	93%	93%
Tristar Risk Enterprise Management	100%	100%	100%	100%
Willis of Northern New England	93%	93%	91%	98%
Self-Insureds				
Bath Iron Works	99%	100%	98%	91%
City of Bangor	97%	100%	97%	100%
Hannaford Brothers	92%	91%	88%	95%
Maine Automobile Dealers Association	93%	96%	94%	100%
Maine HealthCare Association	92%	95%	100%	100%
Maine Motor Transport Association	85%	87%	94%	96%
Maine Municipal Association	96%	90%	93%	99%
Maine School Management Association	94%	95%	98%	98%
State of Maine Workers' Comp. Trust	91%	91%	90%	95%
Board Benchmarks:				
85% of Lost Time First Report filings received within 7 days				
87% of Initial Indemnity Payments made within 14 days				
85% of Initial Memorandum of Payment filings received within 17 days				
90% of Initial Indemnity Notice of Controversy filings received within 14 days				
Qualifications:				
Must have filed more than one MOP in the year.				
Must have filed more than one NOC in the year.				

LOST TIME FIRST REPORT FILINGS

Chart 1 Timeliness Distribution

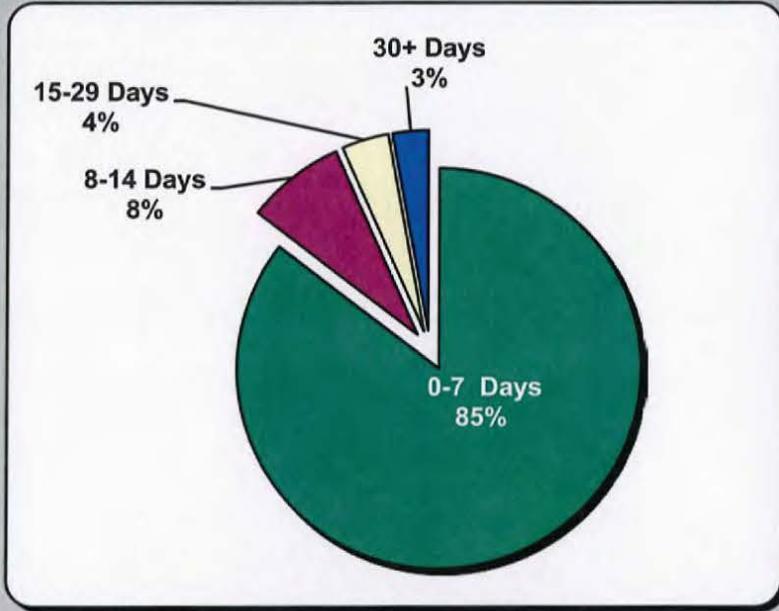


Table 4

Received Within:			
0-7	Days	11,226	85%
8-14	Days	1,037	8%
15-29	Days	489	4%
30+	Days	377	3%
Total		13,129	100%

Table 5

Above vs. Below Benchmark:			
At / Above		35	41%
Below		50	59%
Total		85	100%

Chart 2 % of Insurers Above vs. Below 85% Benchmark

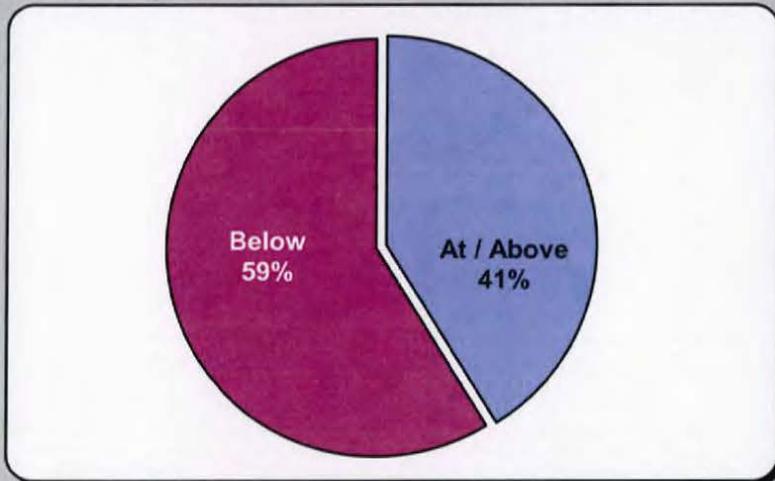
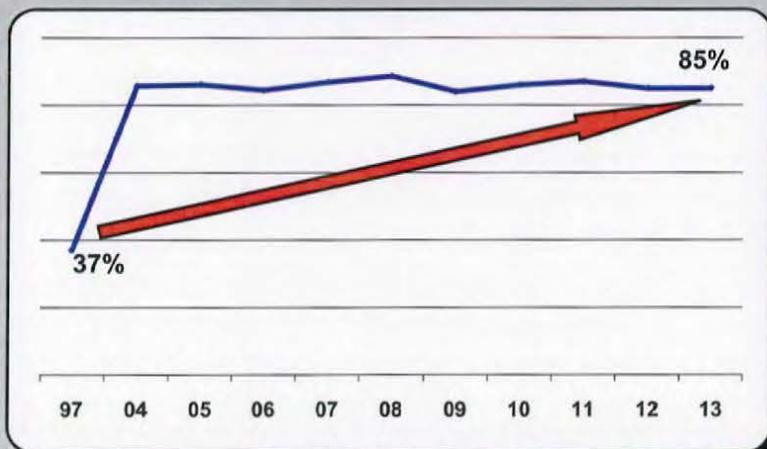


Chart 3 Compliance Trend



Summary

The Board received 13,129 lost time first reports. This represents 181 fewer reports than in 2012.

The 2013 compliance rate of 85% for lost time first report filings remained the same from the 2012 compliance rate. As can be seen in Chart 2, 41% of insurers were at or above the benchmark in 2013, down slightly from the 43% in 2012, indicating a need for improvement.

Incorrect or unknown employer UIAN numbers continue to be an issue. Accurate UIAN data ensures that claims received at the Board are linked to the appropriate insurance policy and claim administrator in a timely manner, thus reducing workloads for all parties. Attention will continue to be placed on improving this area.

INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

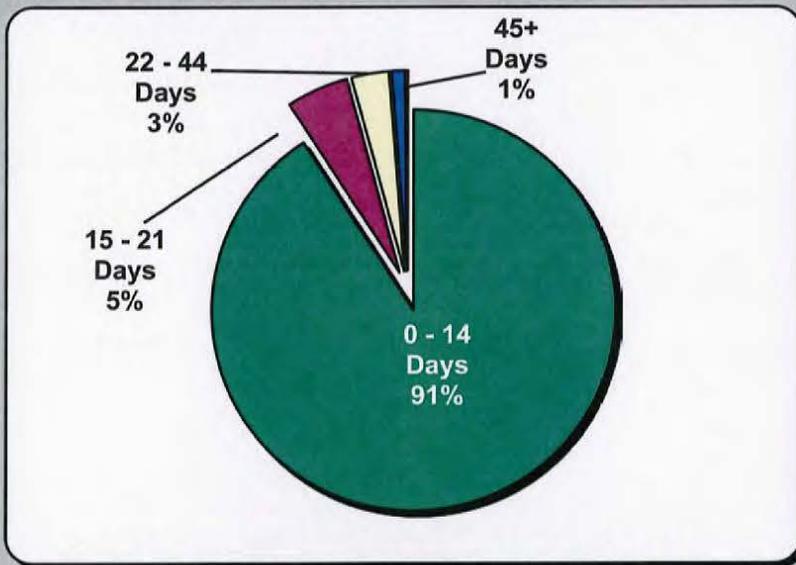


Table 6

Made Within:		
0 - 14 Days	3,339	91%
15 - 21 Days	197	5%
22 - 44 Days	109	3%
45+ Days	40	1%
? Days	5	0%
Total	3,690	100%

Table 7

Above vs. Below Benchmark:		
At / Above	51	69%
Below	23	31%
Total	74	100%

Chart 5 % of Insurers Above vs. Below 87% Benchmark

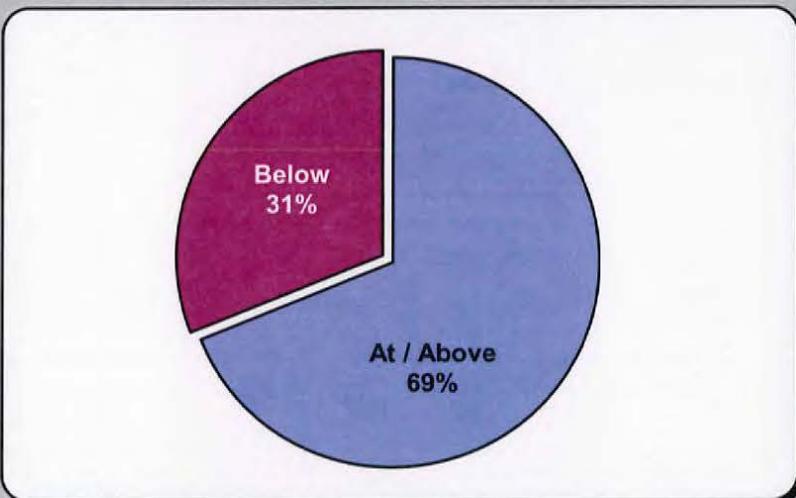
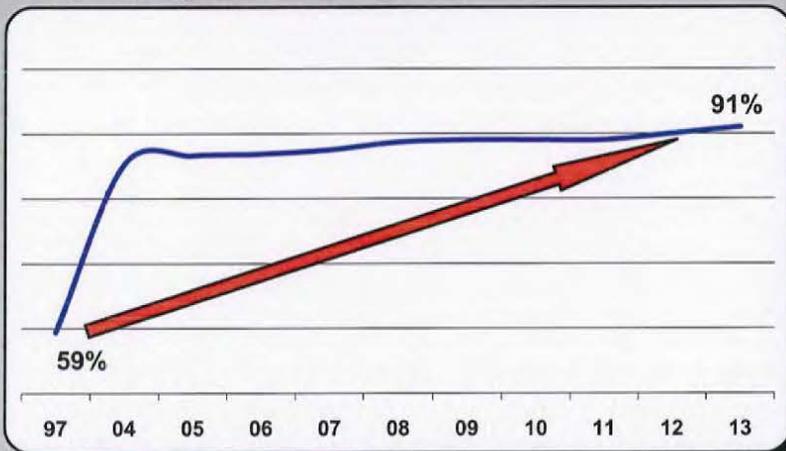


Chart 6 Compliance Trend



Summary

Injured workers in the State of Maine continue to benefit from the high (91%) compliance rate of initial indemnity payments.

In fact, compliance has improved by 32 points since monitoring began, from 59% to 91%, resulting in Maine households receiving much-needed money on time.

On a broader level, timely initial indemnity payments are a key factor in controlling workers' compensation claim costs.

However, as can be seen in Chart 5, work still remains in this area. Analysis will continue to focus on identifying best practices followed by those with high compliance rates in order to identify solutions that might help those at the other end of the spectrum to improve their results.

INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

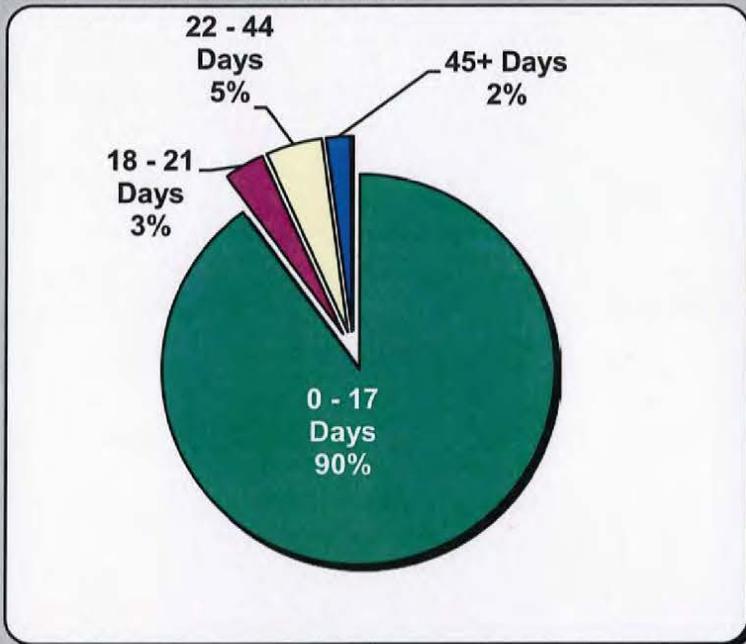


Table 8

Received Within:			
0 - 17	Days	3,305	90%
18 - 21	Days	122	3%
22 - 44	Days	169	5%
45+	Days	89	2%
?	Days	5	0%
Total		3,690	100%

Table 9

Above vs. Below Benchmark:		
At / Above	46	62%
Below	28	38%
Total		74 100%

Chart 8 % of Insurers Above vs. Below 85% Benchmark

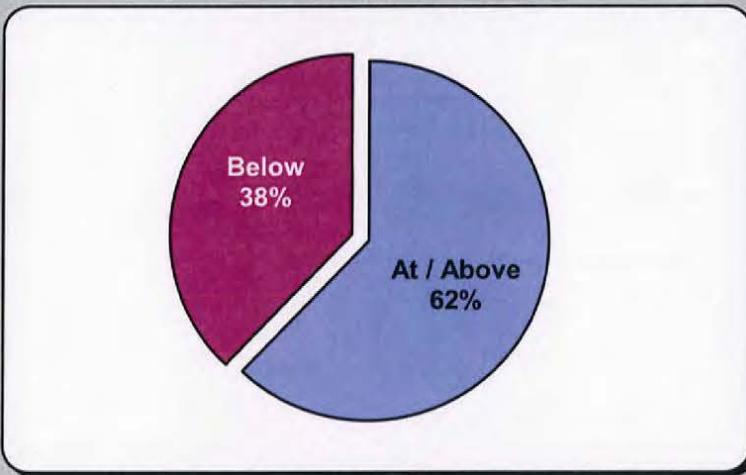
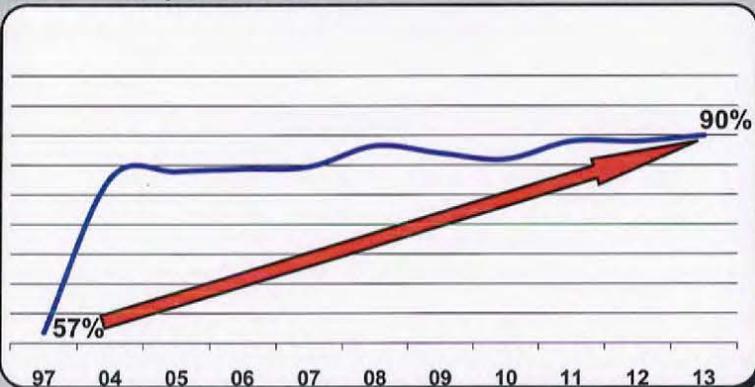


Chart 9 Compliance Trend



Summary

While a Memorandum of Payment filing does not have the same tangible benefit to the injured employee as the payment itself, it is a key indicator of how well insurers are complying with the administrative requirements of the Act.

Studies by the Workers' Compensation Research Institute indicate that proper claims administration and timely payment of claims reduces both the overall cost of claims and also the time required to process a claim through the dispute resolution system.

As can be seen in Chart 7, the benchmark is being met at an aggregate level, but as Chart 8 indicates, more than a third of all insurers are below benchmark, showing an opportunity for improvement going forward.

INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

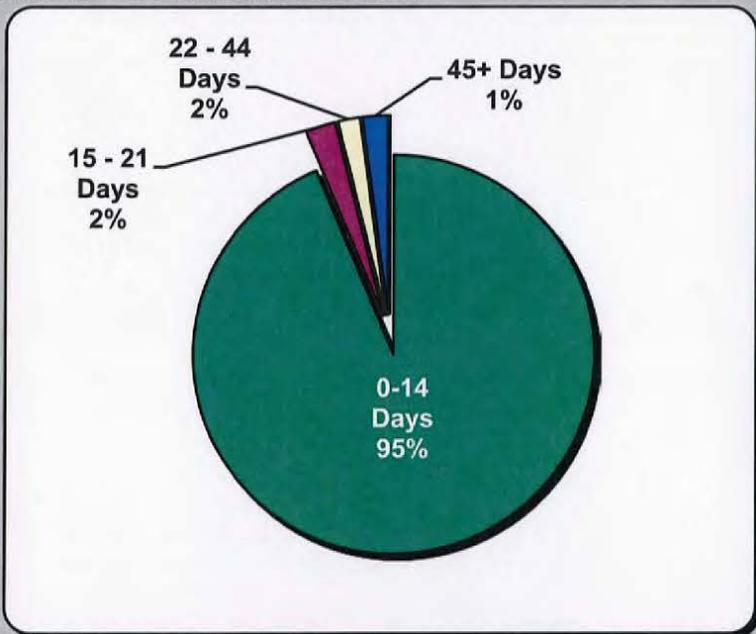


Table 10

Received Within:			
0 - 14 Days	2,468	95%	
15 - 21 Days	53	2%	
22 - 44 Days	42	2%	
45+ Days	34	1%	
Total	2,597	100%	

Table 11

Above vs. Below Benchmark:			
At / Above	42	70%	
Below	18	30%	
Total	60	100%	

Chart 11 % of Insurers Above vs. Below 90% Benchmark

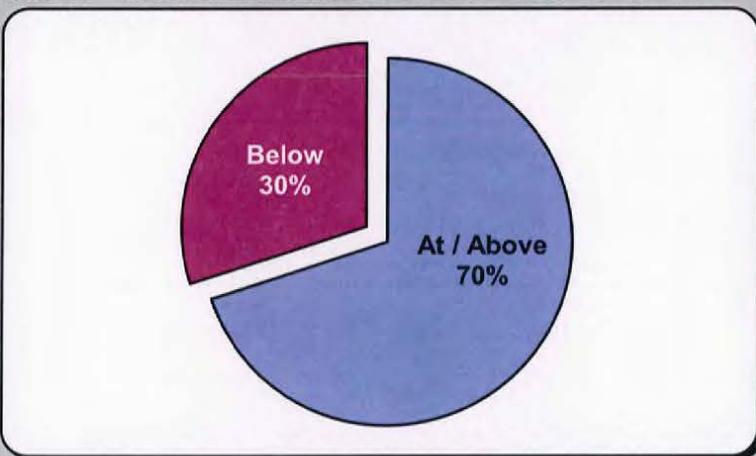


Chart 12 Compliance Trend



Summary

The benchmark for the timely filing of the Initial Indemnity Notice of Controversy was changed from 17 to 14 days effective 2007.

In 2013 there were 1% more filings in the dispute resolution system at the Board compared to 2012. Despite having more filings in the system, the compliance held steady at 95% for this performance indicator.

80% of insurers were at or above benchmark last year, however that percentage has decreased 10 points to 70% in 2013.

WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation. This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

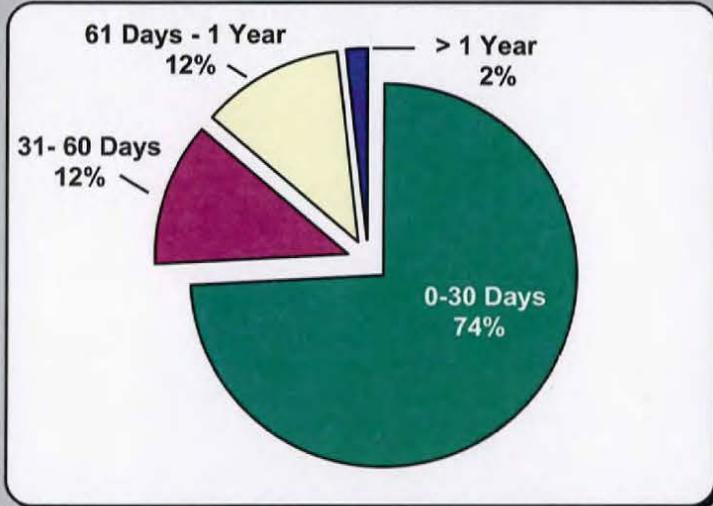


Table 12

Received Within:		
0-30 Days	5,537	74%
31-60 Days	950	12%
61 Days-1 Year	889	12%
> 1 Year	135	2%
Total	7,511	100%

Wage Statement(s): 5,590 (73%) of the 7,602 Wage Statement(s) that were due in 2013 were filed timely, 1,647 (22%) were filed late, and 365 (5%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

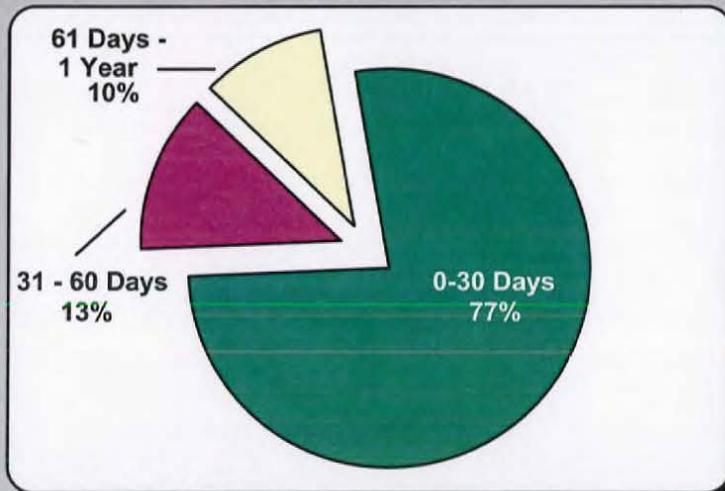


Table 13

Received Within:		
0-30 Days	5,080	77%
31-60 Days	844	13%
61 Days-1 Year	703	10%
> 1 Year	1	0%
Total	6,628	100%

Fringe Benefit Worksheet(s): 4,581 (60%) of the 7,602 Fringe Benefit Worksheet(s) due in 2013 were filed timely, 1,696 (22%) were filed late, and 1,325 (18%) remain outstanding.

UTILIZATION ANALYSIS

Summary

Of the 13,129 lost time First Report filings in 2013, 52% resulted in the employee returning to work within the waiting period. Also, 20% of all lost time First Reports and 41% of all claims for compensation were "denied" in 2013. This represents an increase in the percent of claims for compensation denied.

The number of initial indemnity NOCs filed by an insurer, contrasted with the number of Initial MOPs filed by the insurer, may be used as one of many claims administration indicators that result in an insurer being referred for audit for possible violations of the Act.

Chart 15 Distribution of Lost Time First Reports

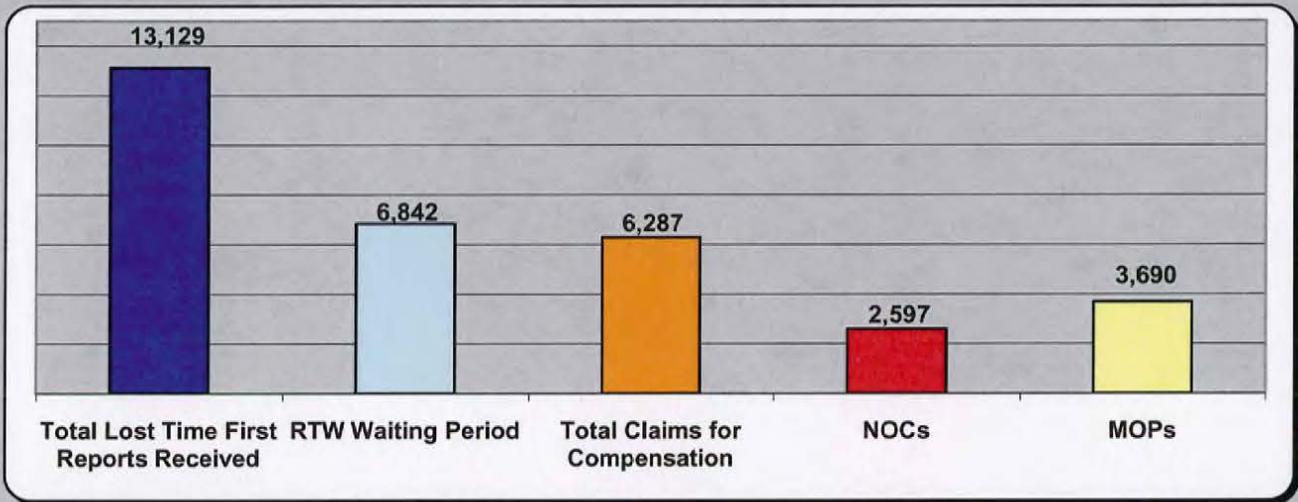


Table 14 % of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

2013	20%
2012	19%
2011	18%

Table 15 % of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

2013	41%
2012	41%
2011	39%

Chart 16 Lost Time First Reports Analysis

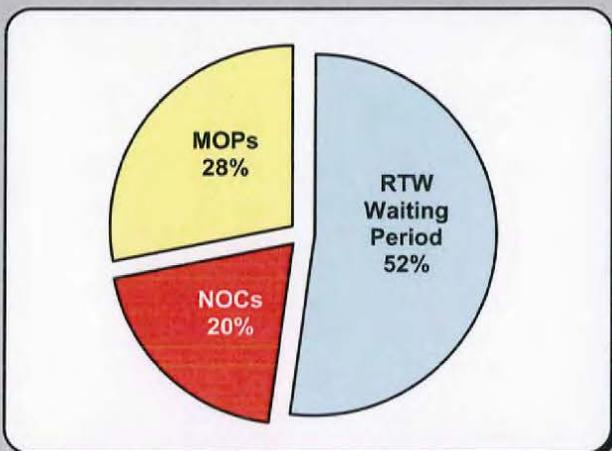
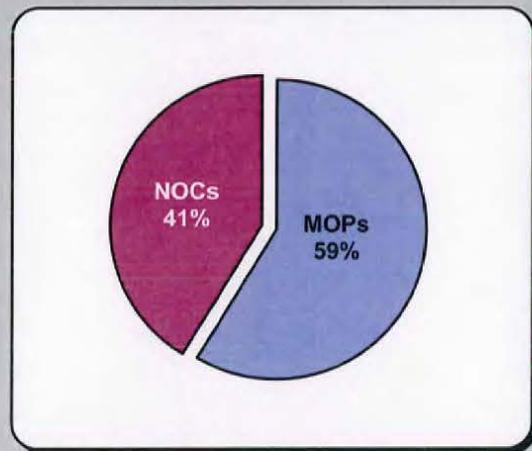


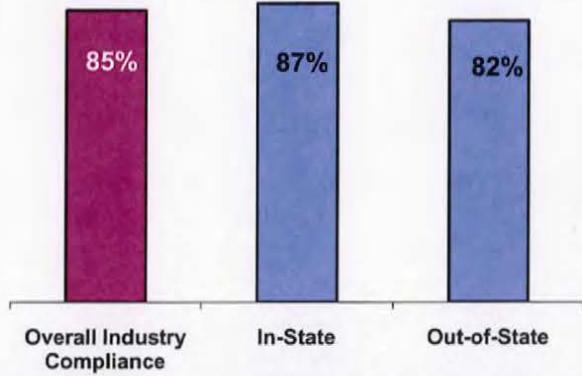
Chart 17 Claims for Compensation Analysis



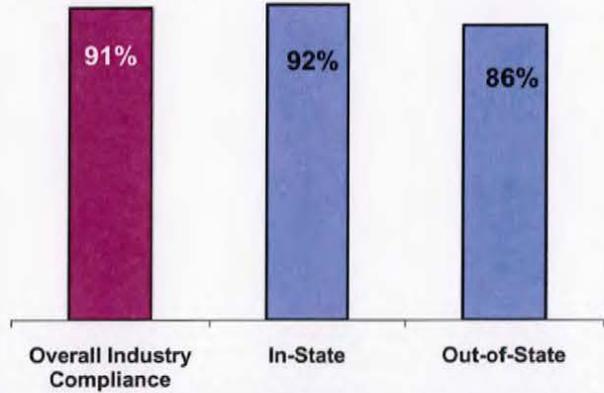
In-State vs. Out-of-State Comparisons

As can be seen in the charts below, In-State insurers out-perform their Out-of-State counterparts in all four benchmarks. In-State insurers also make up roughly two-thirds of all filings.

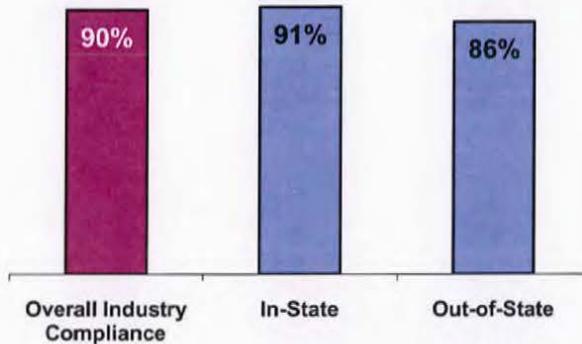
Lost Time First Report Filings Compliance



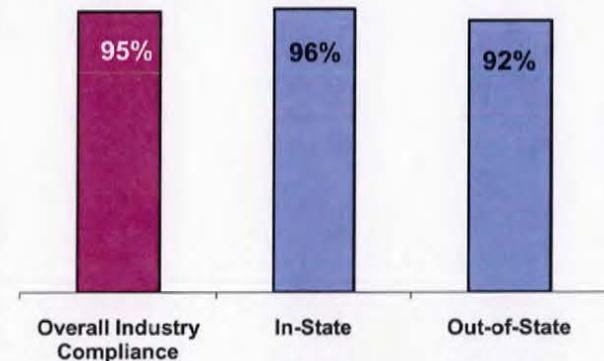
Initial Indemnity Payments Compliance



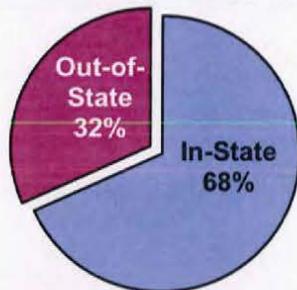
Initial MOP Filings Compliance



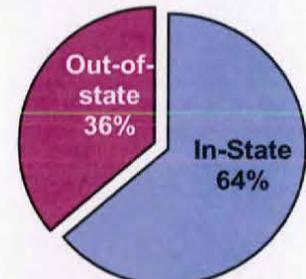
Initial Indemnity NOC Filings Compliance



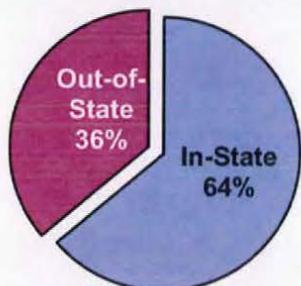
% of Lost Time First Report Filings



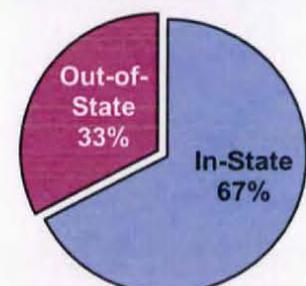
% of Initial Indemnity Payments



% of Initial MOP Filings

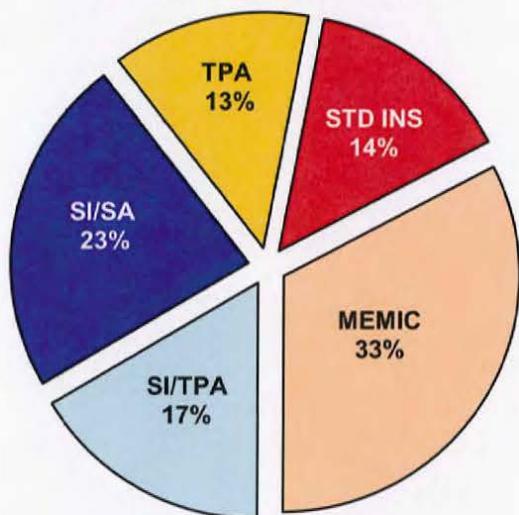


% of Initial Indemnity NOC Filings

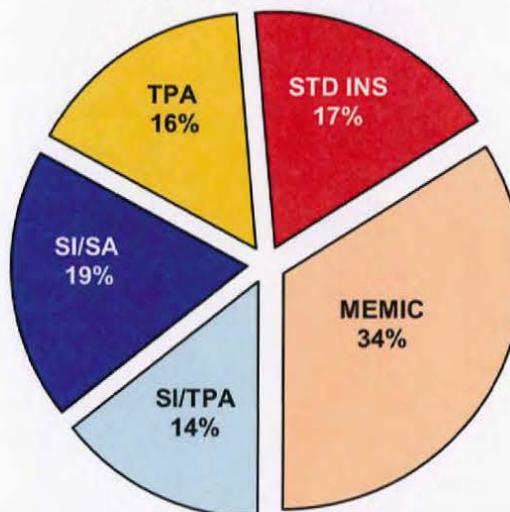


Volume by Type of Insurer

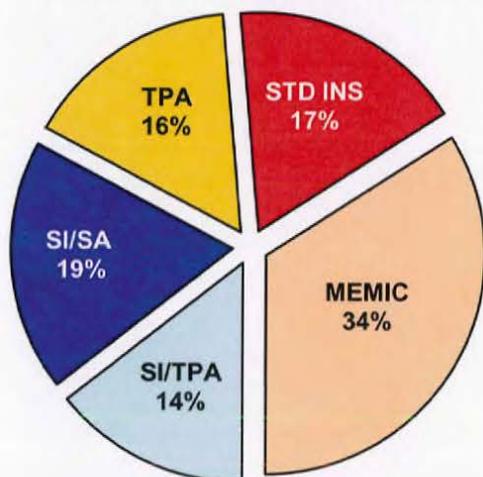
Lost Time First Report Filings



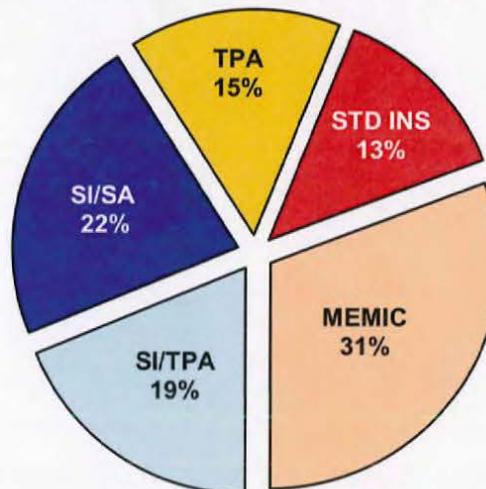
Initial Indemnity Payments



Initial Memorandum of Payment Filings



Initial Indemnity Notice of Controversy Filings

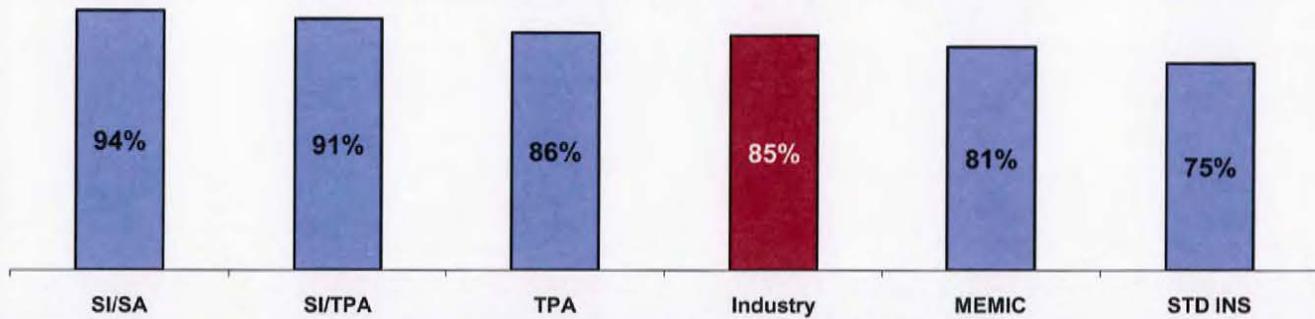


KEY:

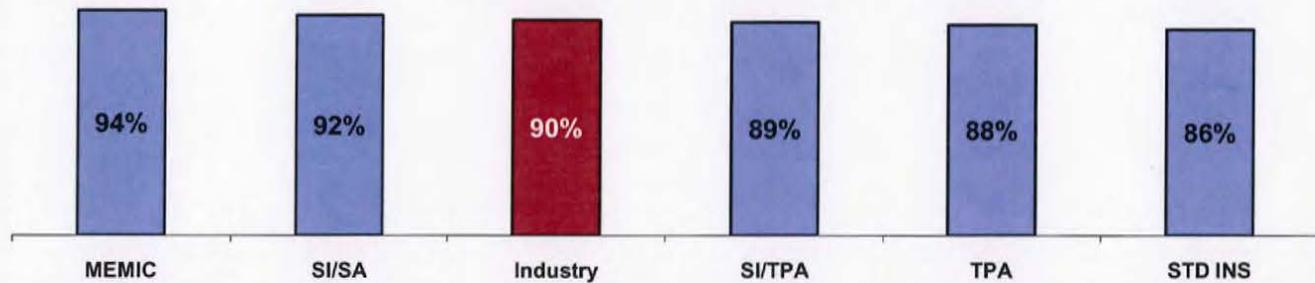
- SI/SA** Self-Insured, Self-Administered Employer
- SI/TPA** Self-Insured, TPA-Administered Employer
- STD INS** Standard Insurer (excluding MEMIC), Self-Administered
- TPA** Standard Insurer (excluding MEMIC), TPA Administered

Compliance by Type of Insurer

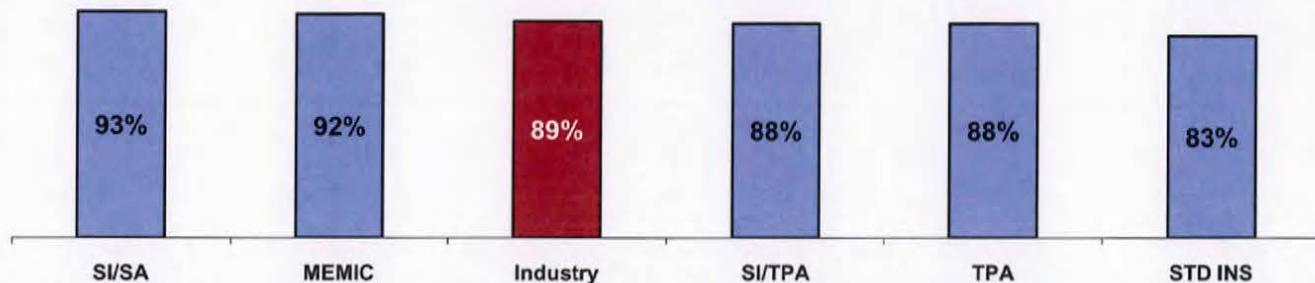
Lost Time First Report Filings: Benchmark = 85%



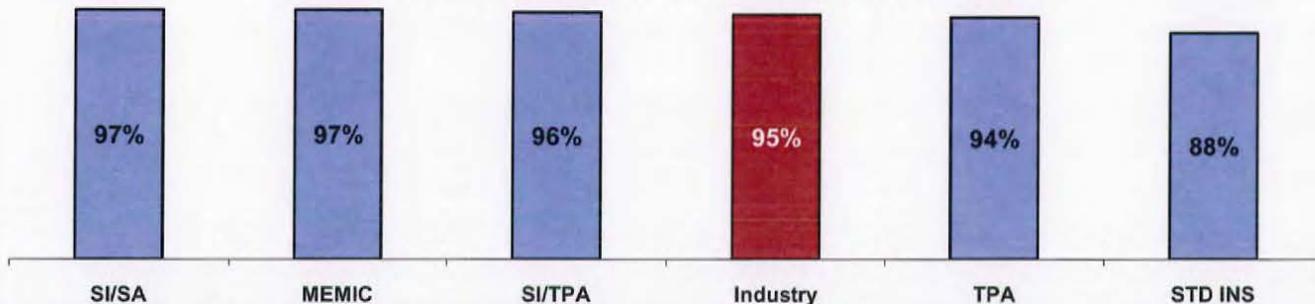
Initial Indemnity Payments: Benchmark = 87%



Initial Memorandum of Payment Filings: Benchmark = 85%



Initial Indemnity Notice of Controversy Filings: Benchmark = 90%



KEY:

- SI/SA** Self-Insured, Self-Administered Employer
- SI/TPA** Self-Insured, TPA-Administered Employer
- STD INS** Standard Insurer (excluding MEMIC), Self-Administered
- TPA** Standard Insurer (excluding MEMIC), TPA Administered

ENTITY OVERVIEW

INSURANCE GROUP	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
ACADIA INSURANCE	88%	91%	92%	94%
ACE INSURANCE	84%	88%	87%	93%
AIG INSURANCE	92%	90%	93%	98%
AMTRUST NORTH AMERICA	37%	71%	57%	33%
ARCH INSURANCE	87%	90%	100%	90%
ARGONAUT INSURANCE*	0%	100%	0%	No filings
ARROW MUTUAL INSURANCE*	100%	No filings	No filings	No filings
BATH IRON WORKS	99%	100%	98%	91%
BERKSHIRE HATHAWAY INSURANCE*	50%	50%	50%	No filings
BROADSPIRE SERVICES	92%	92%	96%	100%
CANNON COCHRAN MANAGEMENT SERVICES	90%	88%	82%	95%
CHESTERFIELD SERVICES*	75%	100%	100%	100%
CHUBB INSURANCE	60%	87%	83%	60%
CHURCH MUTUAL INSURANCE*	75%	0%	0%	100%
CIANBRO CORPORATION*	33%	0%	0%	100%
CITY OF BANGOR	97%	100%	97%	100%
CLAIMS MANAGEMENT (WALMART)	99%	91%	97%	98%
CNA INSURANCE	73%	80%	80%	80%
CONSTITUTION STATE SERVICES	88%	90%	100%	67%
CONTINENTAL INDEMNITY*	40%	100%	67%	0%
CORVEL ENTERPRISE COMP.	57%	50%	50%	100%
COTTINGHAM & BUTLER CLAIMS SERVICES	66%	94%	94%	86%
CRUM & FORSTER*	40%	67%	33%	0%
ELECTRIC INSURANCE	69%	89%	78%	67%
ESIS	78%	82%	84%	92%
EVEREST NATIONAL INSURANCE*	0%	No filings	No filings	No filings
F.A. RICHARD*	100%	No filings	No filings	No filings
FEDERATED MUTUAL INSURANCE*	25%	67%	100%	100%
FUTURECOMP	93%	88%	91%	100%
GALLAGHER BASSETT SERVICES	85%	85%	88%	95%
GREAT AMERICAN INSURANCE*	50%	100%	100%	67%
GREAT FALLS INSURANCE	87%	90%	86%	93%
GUARANTEE INSURANCE*	29%	33%	0%	33%
GUARD INSURANCE	66%	75%	67%	80%
GUIDEONE MUTUAL INSURANCE*	0%	No filings	No filings	No filings
HANNAFORD BROTHERS	92%	91%	88%	95%
HANOVER INSURANCE	86%	88%	88%	100%
HARLEYSVILLE INSURANCE*	0%	0%	0%	0%
HARTFORD INSURANCE	81%	86%	84%	96%
HELMSMAN MANAGEMENT SERVICES	88%	95%	95%	92%
LIBERTY MUTUAL INSURANCE	81%	89%	92%	95%
LUMBERMEN'S UNDERWRITING*	20%	0%	0%	No filings
MACY'S CORPORATE SERVICES*	100%	No filings	No filings	No filings
MAINE AUTOMOBILE DEALERS ASSOCIATION	93%	96%	94%	100%
MAINE EMPLOYERS' MUTUAL INSURANCE	81%	94%	92%	97%
MAINE HEALTHCARE ASSOCIATION	92%	95%	100%	100%
MAINE MOTOR TRANSPORT ASSOCIATION	85%	87%	94%	96%
MAINE MUNICIPAL ASSOCIATION	96%	90%	93%	99%
MAINE SCHOOL MANAGEMENT ASSOCIATION	94%	95%	98%	98%
MATRIX ABSENCE MANAGEMENT*	50%	100%	100%	No filings
MEADOWBROOK	68%	77%	62%	100%
MITSUI SUMITOMO INSURANCE*	0%	100%	0%	No filings
NATIONAL CASULTY INSURANCE*	50%	No filings	No filings	50%
NATIONAL INTERSTATE INSURANCE*	100%	100%	100%	No filings
NATIONWIDE AGRIBUSINESS INSURANCE*	50%	100%	100%	No filings
NGM INSURANCE*	50%	100%	83%	No filings
OLD REPUBLIC INSURANCE	81%	96%	96%	100%
ONEBEACON INSURANCE*	100%	100%	100%	No filings
PATRIOT INSURANCE	73%	100%	100%	No filings
PATRIOT RISK SERVICES*	0%	No filings	No filings	No filings

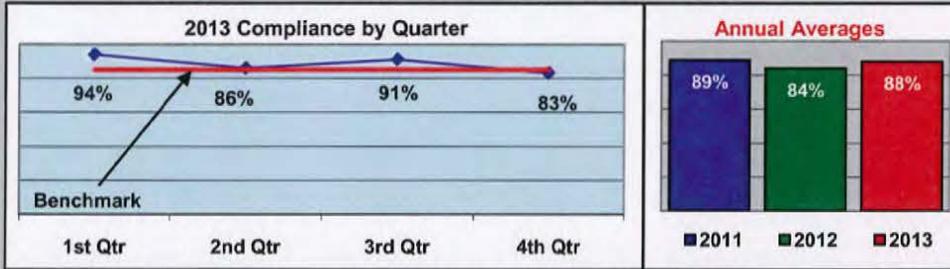
ENTITY OVERVIEW

INSURANCE GROUP	FROI Compliance Benchmark: 85%	PAY Compliance Benchmark: 87%	MOP Compliance Benchmark: 85%	NOC Compliance Benchmark: 90%
PEERLESS INSURANCE	72%	78%	73%	67%
PENNSYLVANIA MANUFACTURERS' ASSOC.	74%	88%	88%	100%
PROTECTIVE INSURANCE*	33%	No filings	No filings	0%
PUBLIC SERVICE MUTUAL INSURANCE*	50%	100%	100%	No filings
QBE INSURANCE	83%	100%	100%	100%
RYDER SERVICES*	100%	100%	100%	No filings
SAFETY NATIONAL INSURANCE	74%	76%	76%	100%
SAMSUNG FIRE & MARINE INSURANCE*	0%	No filings	No filings	No filings
SEABRIGHT INSURANCE*	0%	100%	50%	No filings
SEDGWICK CLAIMS MANAGEMENT SERVICES	85%	91%	93%	93%
SENTRY INSURANCE	44%	92%	69%	0%
SOMPO JAPAN INSURANCE*	100%	No filings	No filings	No filings
SPARTA INSURANCE	69%	94%	94%	85%
STATE OF MAINE WORKERS' COMP. TRUST	91%	91%	90%	95%
SYNERNET	89%	84%	86%	93%
THE AMERICAN EQUITY UNDERWRITERS*	100%	No filings	No filings	No filings
TOWER INSURANCE	42%	77%	69%	100%
TRAVELERS INSURANCE	81%	91%	90%	90%
TRISTAR RISK ENTERPRISE MANAGEMENT*	100%	100%	100%	100%
VANLINER INSURANCE*	0%	100%	100%	No filings
WILLIS OF NORTHERN NEW ENGLAND	93%	93%	91%	98%
XL INSURANCE	86%	64%	55%	86%
YORK RISK SERVICES	27%	67%	33%	No filings
ZENITH INSURANCE*	100%	100%	100%	No filings
ZURICH INSURANCE	80%	85%	87%	93%

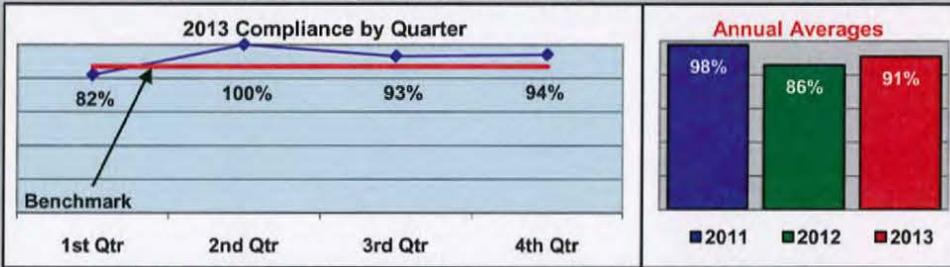
**Annual Compliance Report
01/01/2013 -12/31/2013**

ACADIA INSURANCE

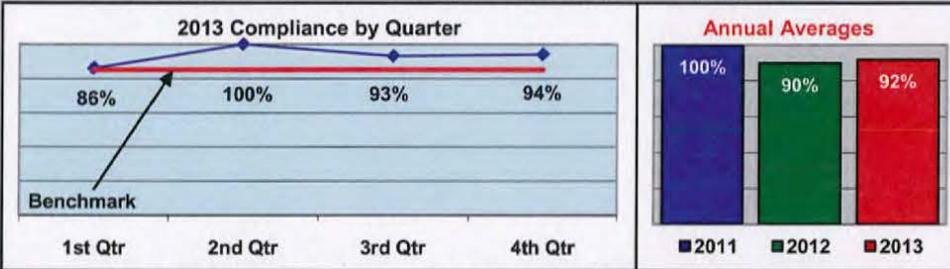
Lost Time First Report Filing Compliance



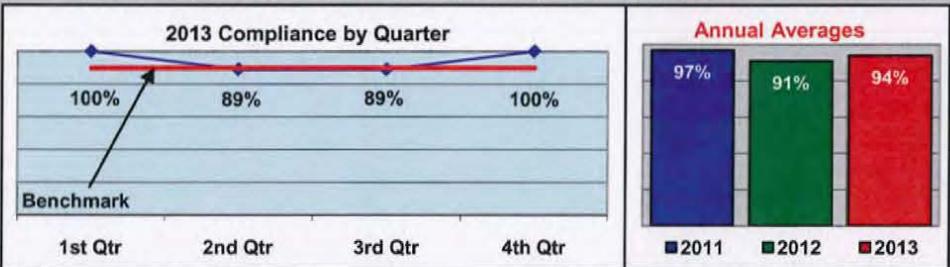
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

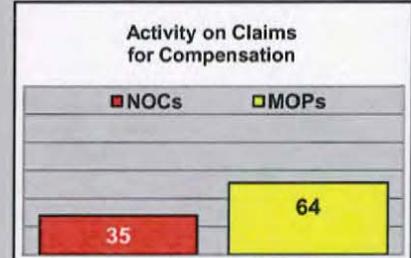
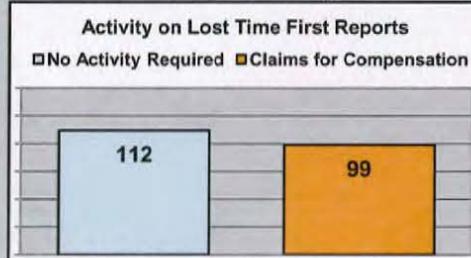


Summary

Acadia Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

- Acadia Insurance
- Continental Western Insurance
- Fireman's Ins. Co. of Wash. DC
- Union Insurance

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

17%

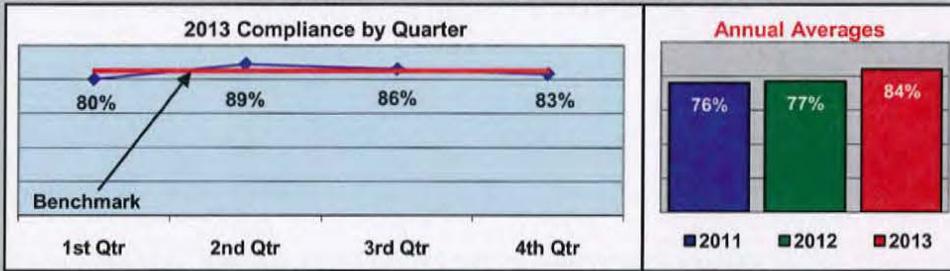
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

35%

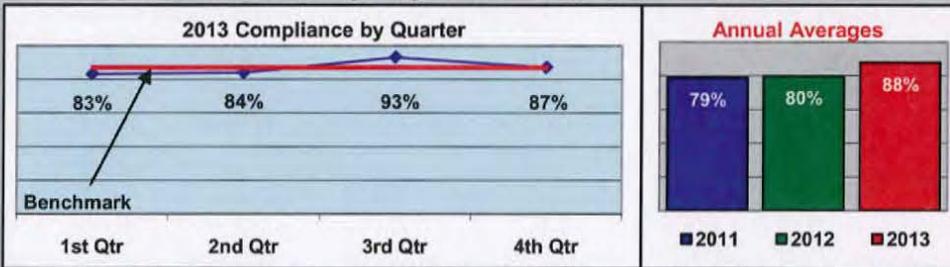
**Annual Compliance Report
01/01/2013 -12/31/2013**

ACE INSURANCE

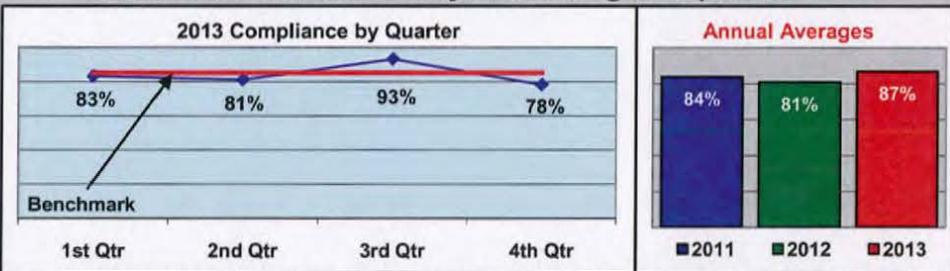
Lost Time First Report Filing Compliance



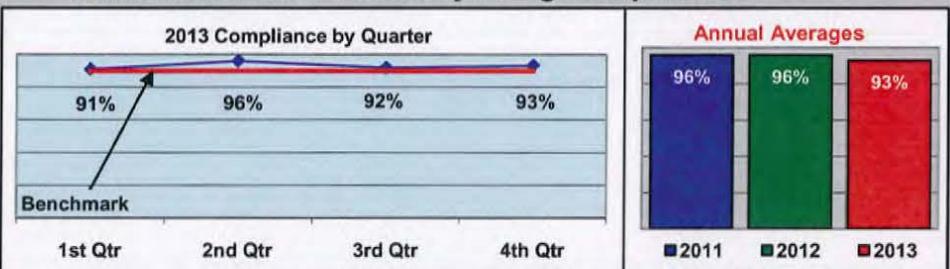
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

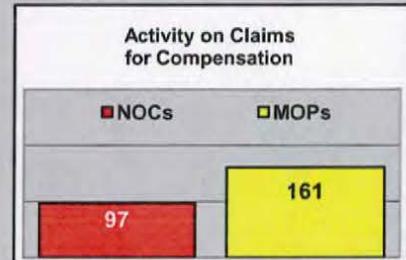
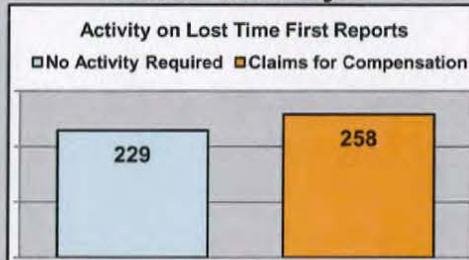
ACE Insurance is an insurer that used third parties to administer claims in 2013 under the following rating companies:

ACE American Insurance Indemnity Ins. Co. of No. America

ACE Insurance used the following third parties in 2013:

- Broadspire Services
- Cannon Cochran Management Svcs.
- Constitution State Services
- ESIS
- Gallagher Bassett Services
- Helmsman Management Services
- Sedgwick Claims Management Svcs.
- Willis of Northern New England
- York Risk Services

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

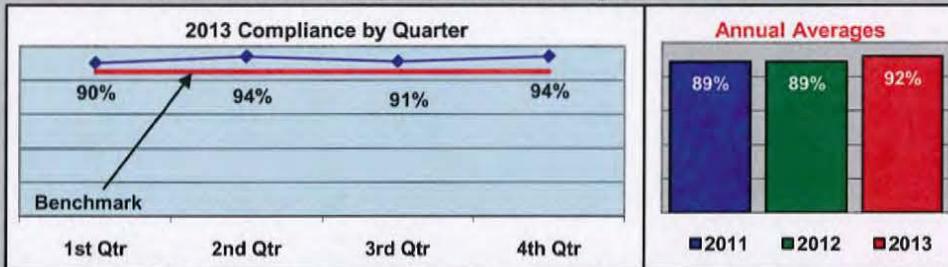
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

38%

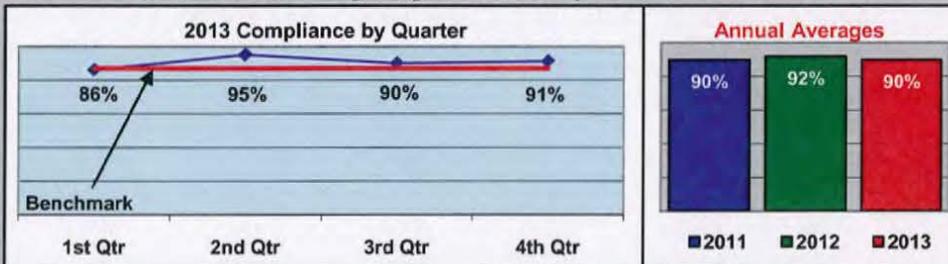
Annual Compliance Report 01/01/2013 -12/31/2013

AIG INSURANCE

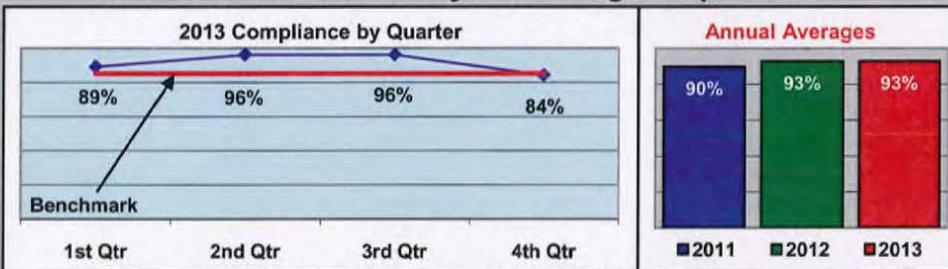
Lost Time First Report Filing Compliance



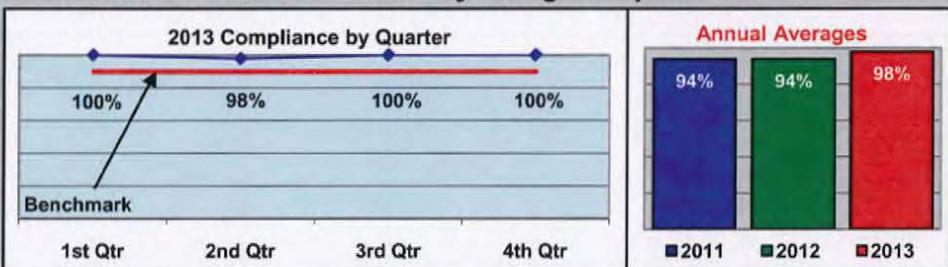
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

AIG Insurance is an insurer that administered its own claims and used third parties to administer claims in 2013 under the following rating companies:

American Home Assurance
Commerce & Industry Insurance Co.
Granite State Insurance Co.
Illinois National Insurance
Ins. Co. of the State of Pennsylvania
National Union Fire Ins. Co. of Pitts.
New Hampshire Insurance

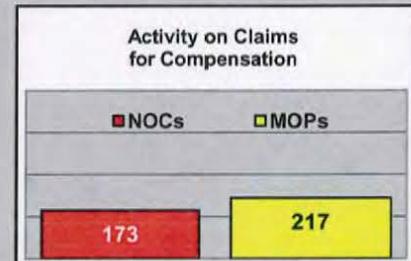
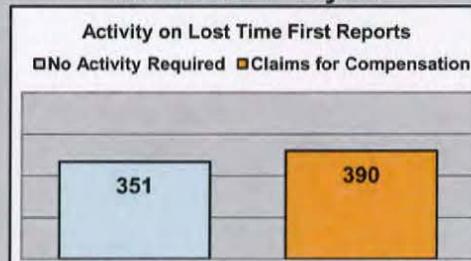
and self-insured employer:

Pratt & Whitney

AIG Insurance used the following third parties in 2013:

Broadspire Services
Cannon Cochran Management Svcs.
Claims Management (Walmart)
CorVel Enterprise Comp.
ESIS
Gallagher Bassett Services
Helmsman Management Services
Sedgwick Claims Management Svcs.

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

23%

Percent of Claims for Compensation Denied

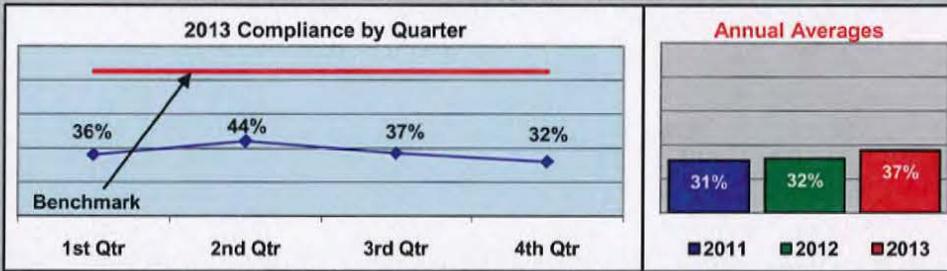
(Initial Indemnity NOCs / Claims for Compensation)

44%

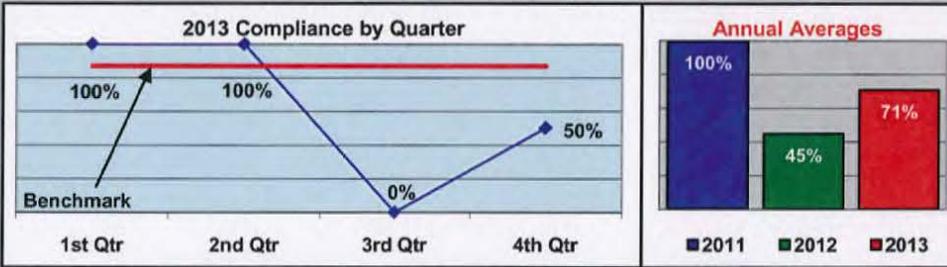
**Annual Compliance Report
01/01/2013 -12/31/2013**

AMTRUST NORTH AMERICA

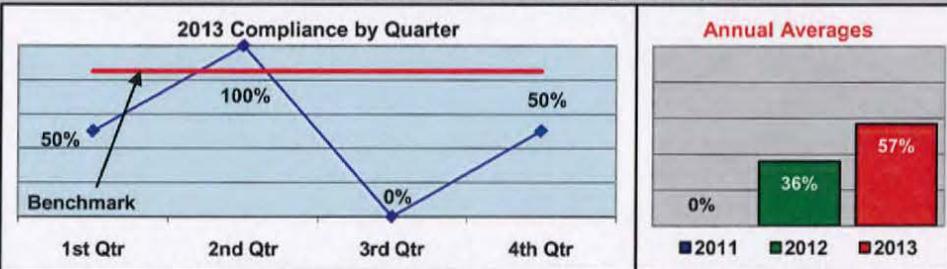
Lost Time First Report Filing Compliance



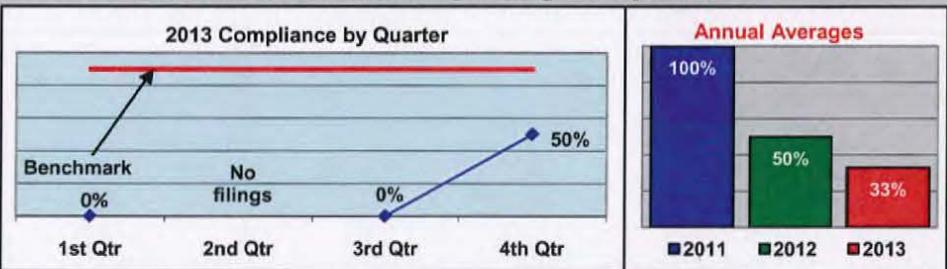
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

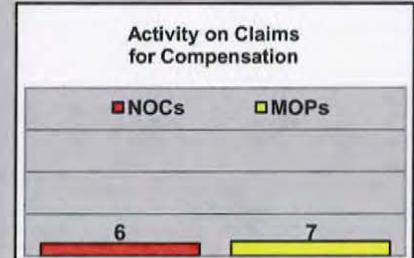
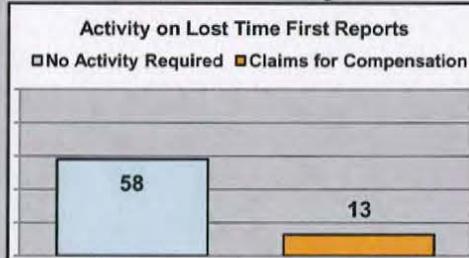


Summary

Amtrust North America is an insurer that administered its own claims in 2013 under the following rating companies:

Technology Insurance
Wesco Insurance

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

8%

Percent of Claims for Compensation Denied

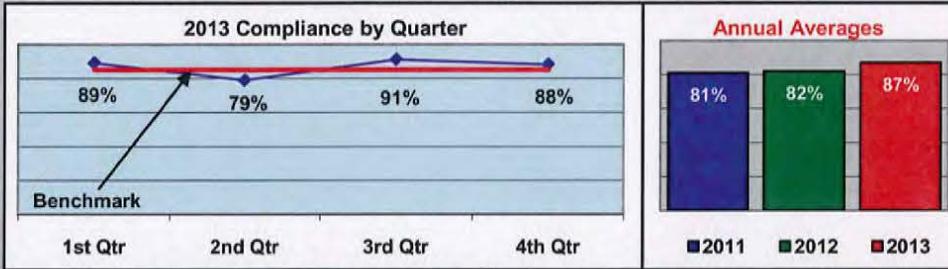
(Initial Indemnity NOCs / Claims for Compensation)

46%

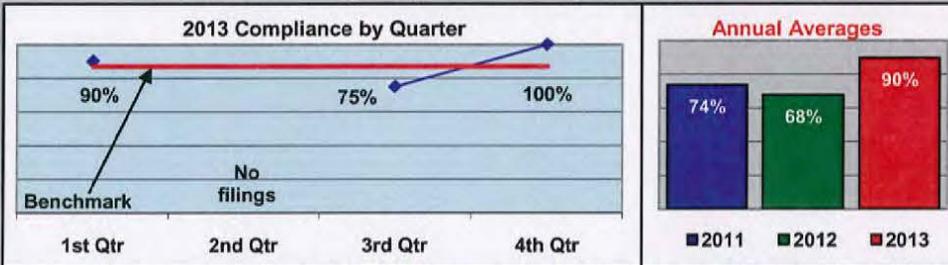
Annual Compliance Report 01/01/2013 -12/31/2013

ARCH INSURANCE

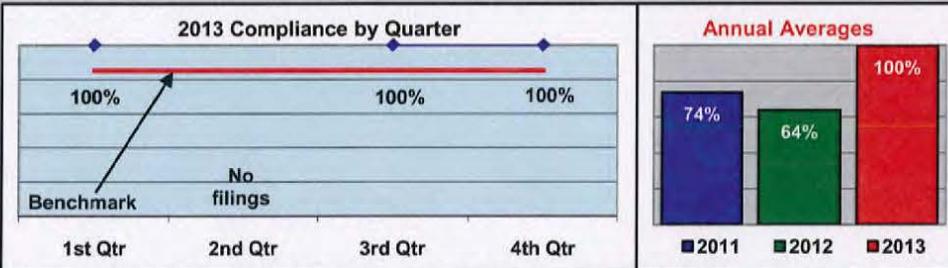
Lost Time First Report Filing Compliance



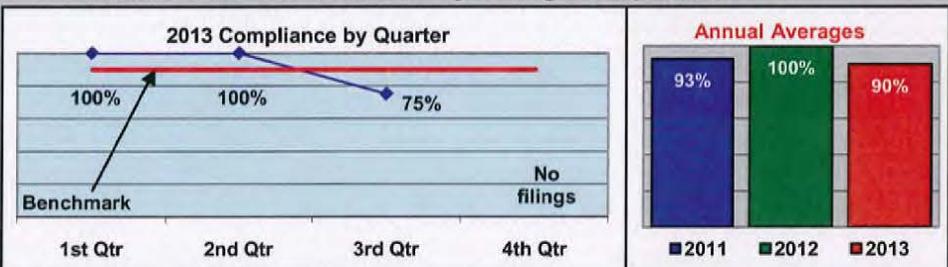
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Arch Insurance is an insurer that used third parties to administer claims in 2013 under the following rating company:

Arch Insurance

Arch Insurance used the following third parties in 2013:

- Broadspire Services
- ESIS
- Gallagher Bassett Services
- Helmsman Management Services
- Sedgwick Claims Management Svcs.

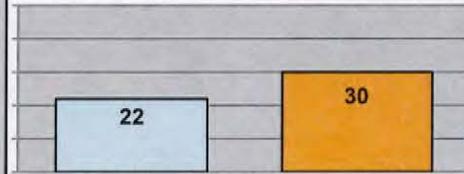
Utilization Analysis

Lost Time First Reports Received



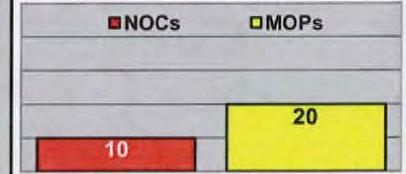
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

19%

Percent of Claims for Compensation Denied

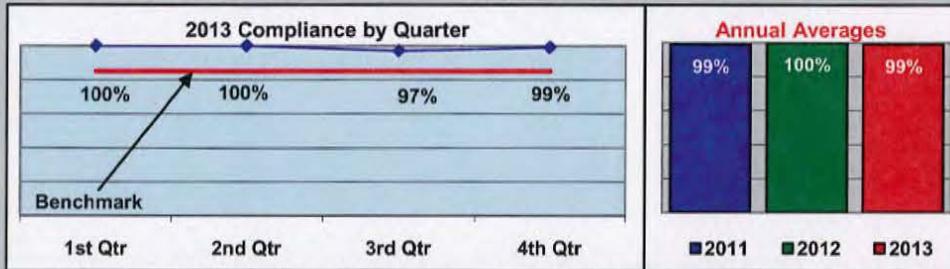
(Initial Indemnity NOCs / Claims for Compensation)

33%

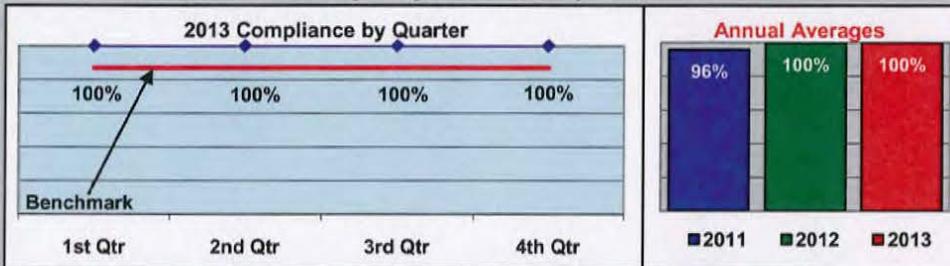
**Annual Compliance Report
01/01/2013 -12/31/2013**

BATH IRON WORKS

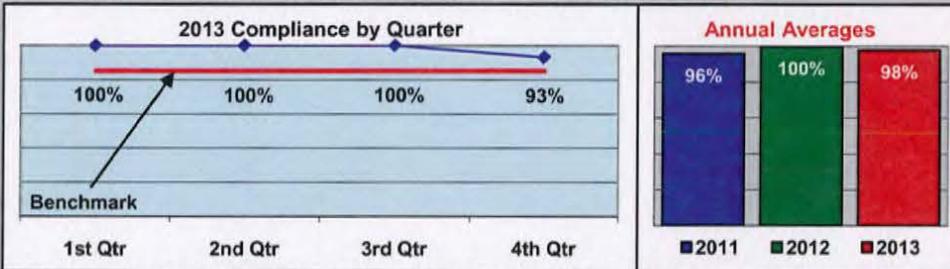
Lost Time First Report Filing Compliance



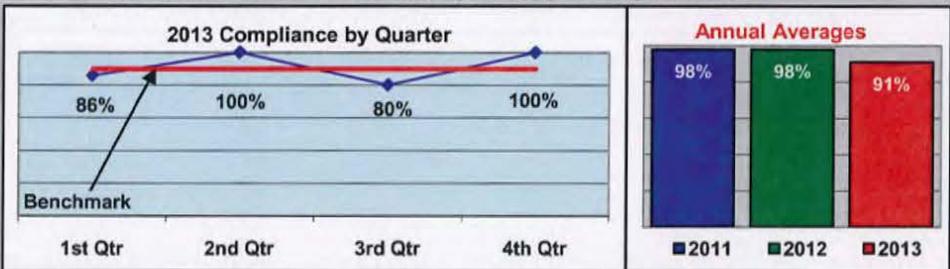
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

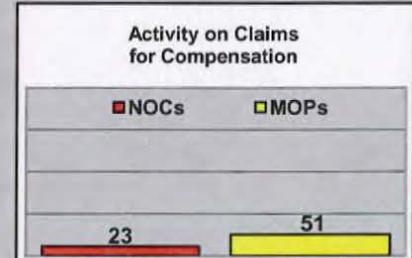
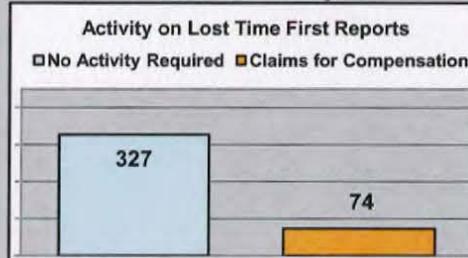


Summary

Bath Iron Works is a self-insured employer that administered its own claims in 2013 under the following name:

Bath Iron Works

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

6%

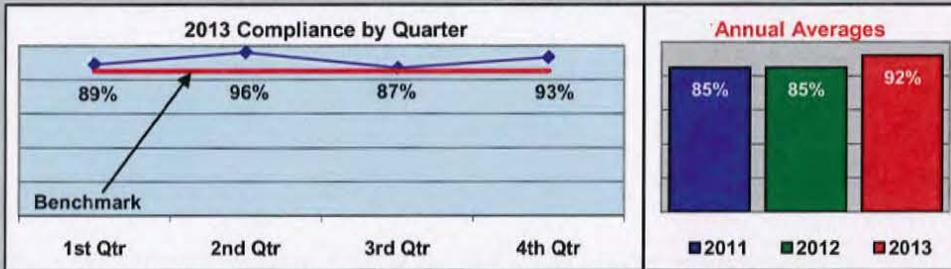
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

31%

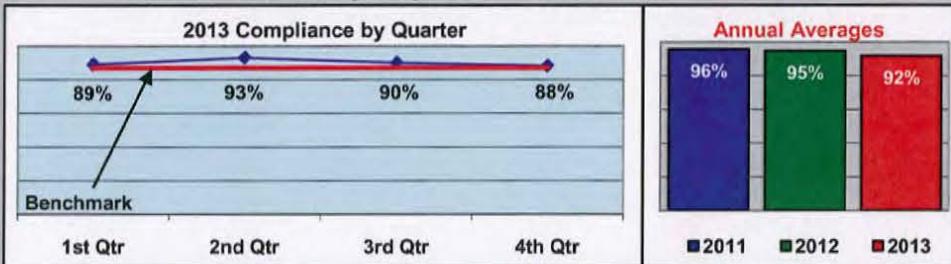
**Annual Compliance Report
01/01/2013 -12/31/2013**

BROADSPIRE SERVICES

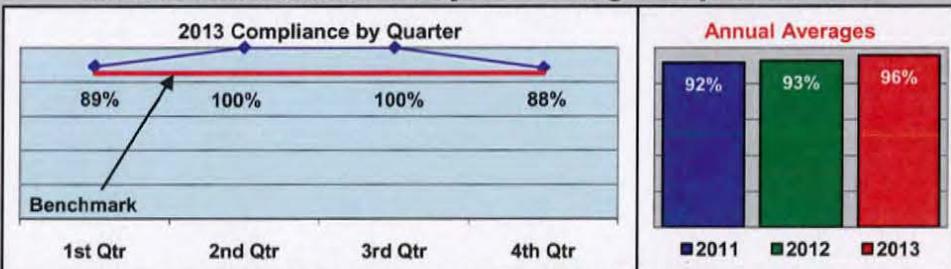
Lost Time First Report Filing Compliance



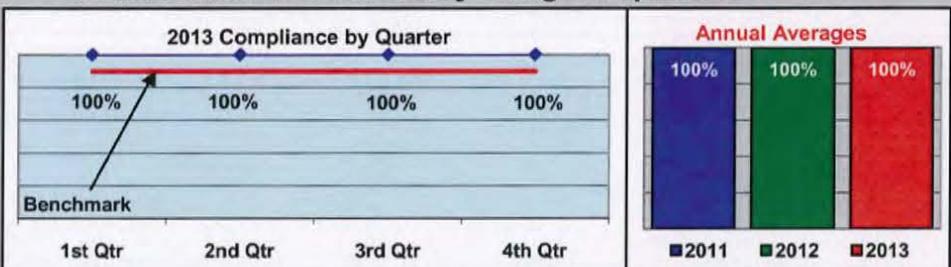
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

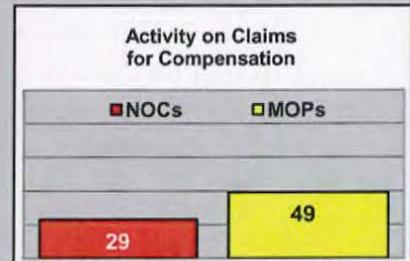
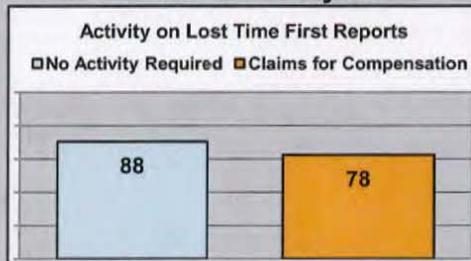
Broadspire Services is a third party administrator that administered claims in 2013 for the following rating companies:

- Arch Insurance
- Commerce & Industry Insurance
- Indemnity Ins. Co. of No. America
- New Hampshire Insurance
- Old Republic Insurance
- Safety National Casualty
- Samsung Fire & Marine Insurance
- Sompo Japan Insurance
- Trumbull Insurance Company
- Unites States Fidelity & Guaranty
- XL Specialty Insurance
- Zenith Insurance

and self-insured employer:

Federal Express Corp.

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

17%

Percent of Claims for Compensation Denied

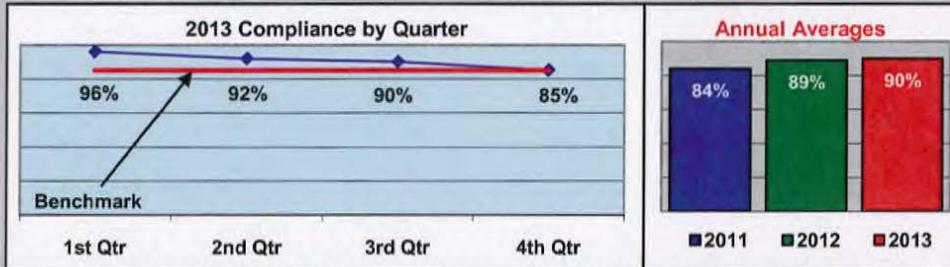
(Initial Indemnity NOCs / Claims for Compensation)

37%

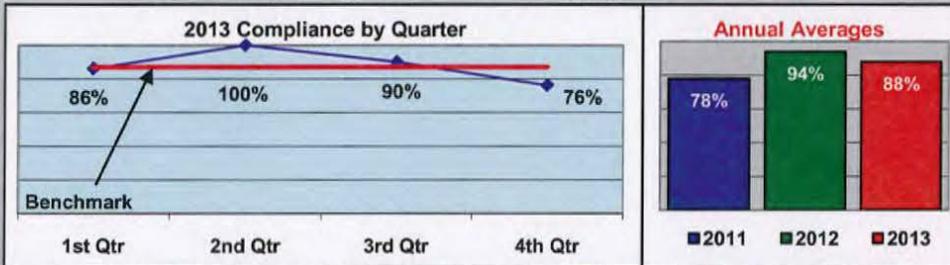
**Annual Compliance Report
01/01/2013 -12/31/2013**

CANNON COCHRAN MANAGEMENT SERVICES

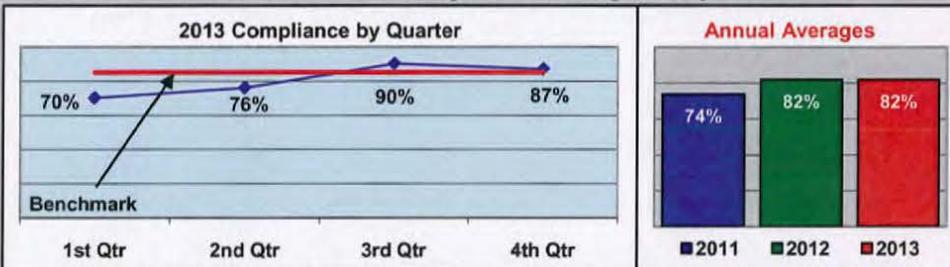
Lost Time First Report Filing Compliance



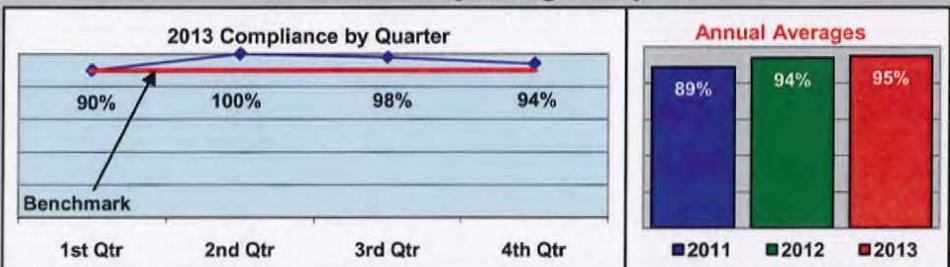
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

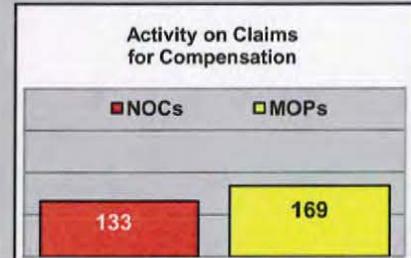
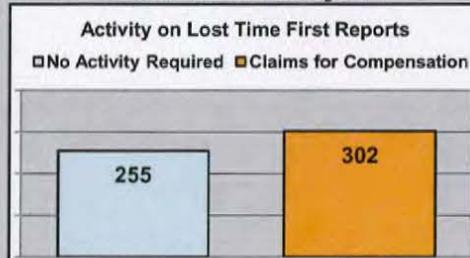
Cannon Cochran Management Services is a third party administrator that administered claims in 2013 for the following rating companies:

- ACE Insurance
- Arch Insurance
- Great Falls Insurance
- Federal Insurance
- New Hampshire Insurance
- Old Republic Insurance
- Protective Insurance
- Safety National Casualty
- XL Specialty Insurance Co.

and self-insured employers:

- City of Lewiston
- Greater Portland V
- Lepage Bakeries
- Lewiston School Department
- Maine McDonalds Operators
- Maine Turnpike Authority
- S D Warren
- University of Maine System

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

24%

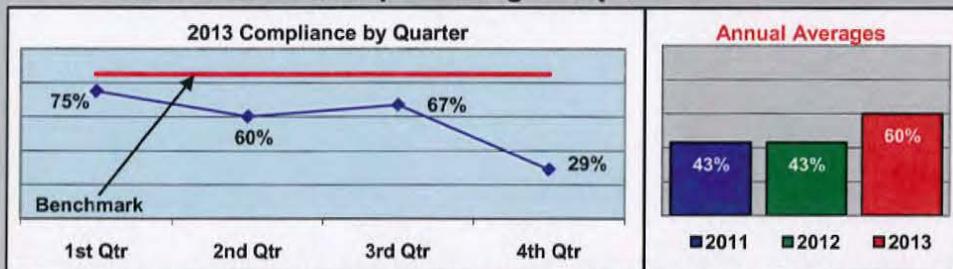
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

44%

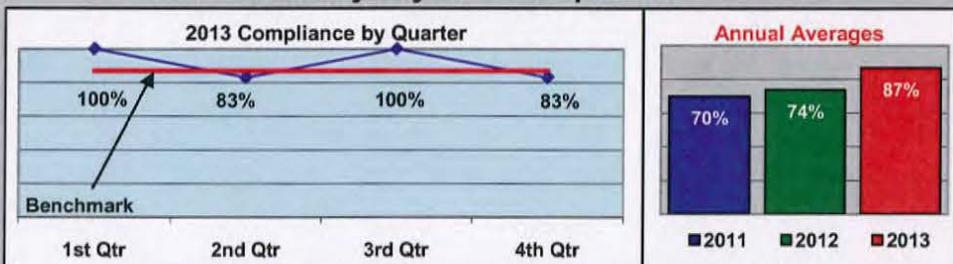
Annual Compliance Report 01/01/2013 -12/31/2013

CHUBB INSURANCE

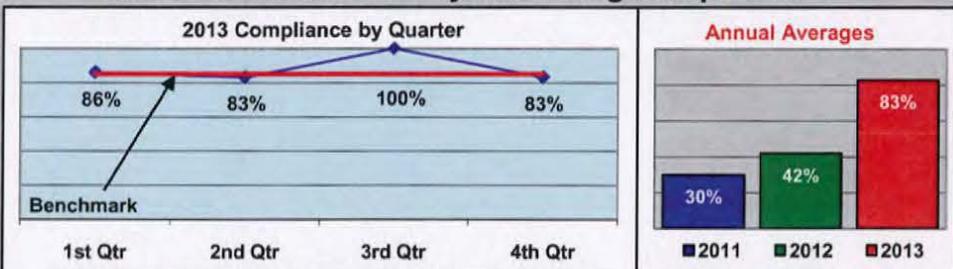
Lost Time First Report Filing Compliance



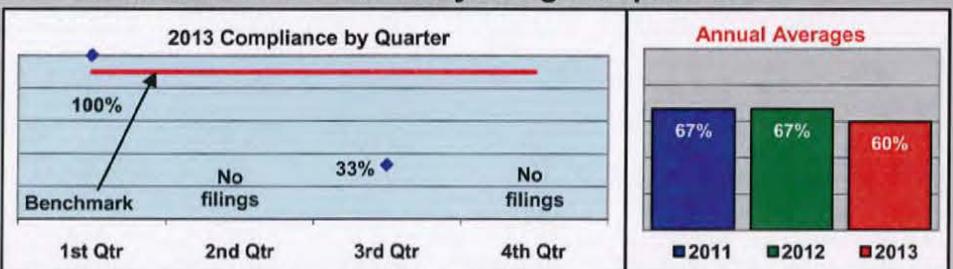
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Chubb Insurance is an insurer that administered its own claims and used third parties to administer claims in 2013 under the following rating companies:

Chubb Indemnity Insurance
Federal Insurance
Great Northern Insurance
Pacific Indemnity
Vigilant Insurance

Chubb Insurance used the following third parties in 2013:

Cannon Cochran Management Svcs.
Gallagher Bassett Services

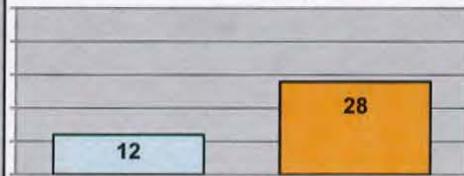
Utilization Analysis

Lost Time First Reports Received

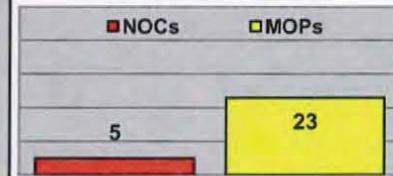


Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

13%

Percent of Claims for Compensation Denied

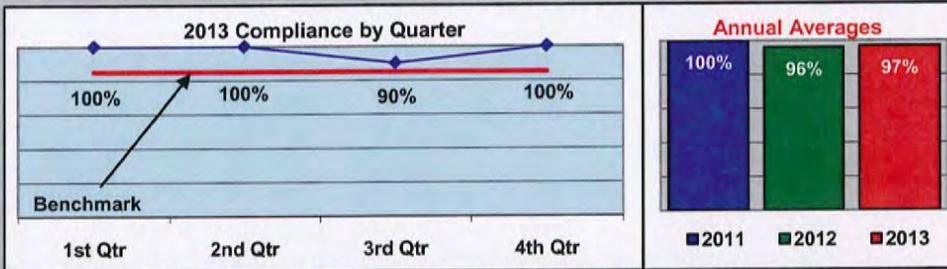
(Initial Indemnity NOCs / Claims for Compensation)

18%

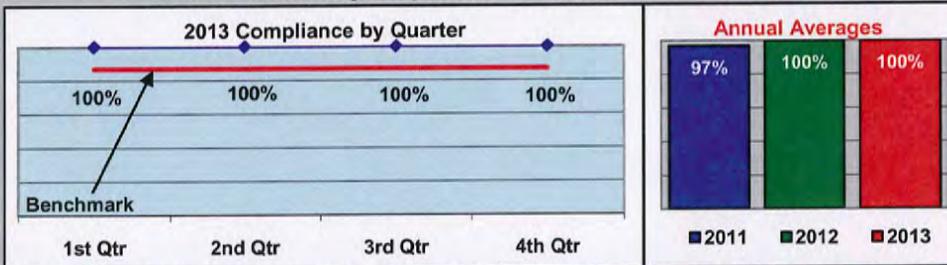
Annual Compliance Report 01/01/2013 -12/31/2013

CITY OF BANGOR

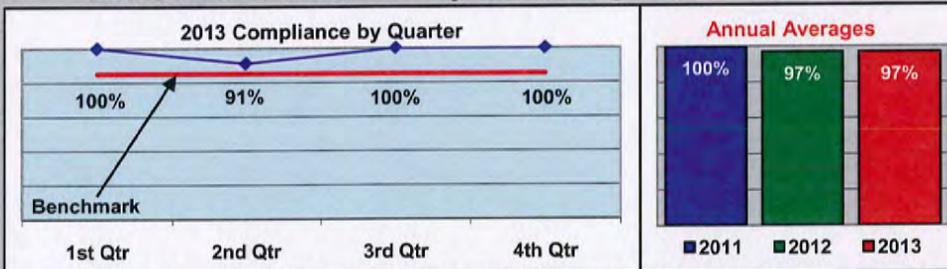
Lost Time First Report Filing Compliance



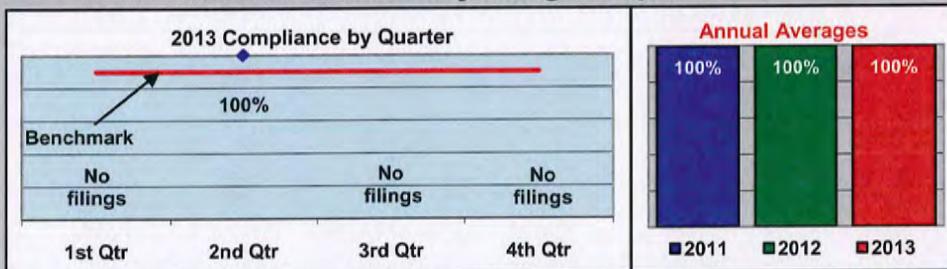
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

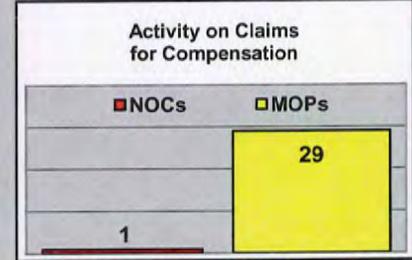
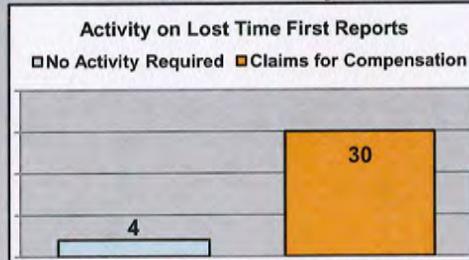
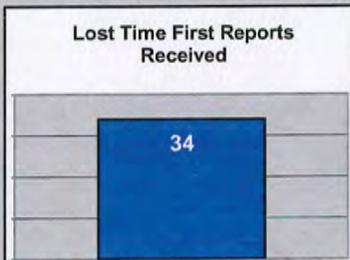


Summary

City of Bangor is a self-insured employer that administered its own claims in 2013 under the following name:

City of Bangor

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

3%

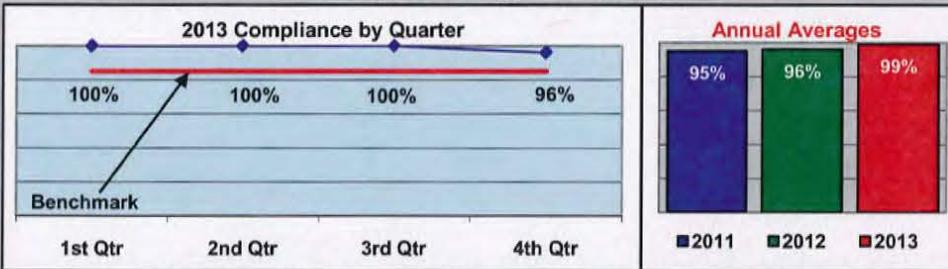
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

3%

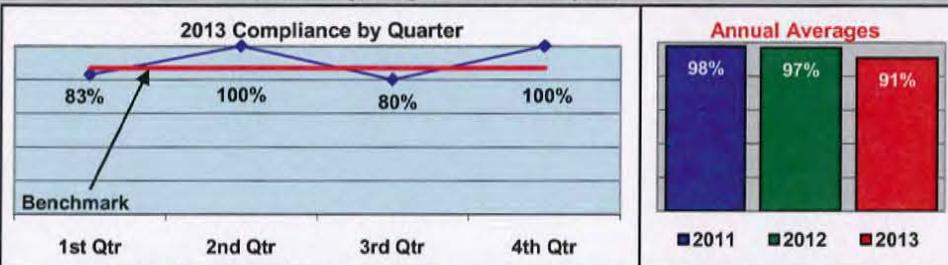
**Annual Compliance Report
01/01/2013 -12/31/2013**

CLAIMS MANAGEMENT (WALMART)

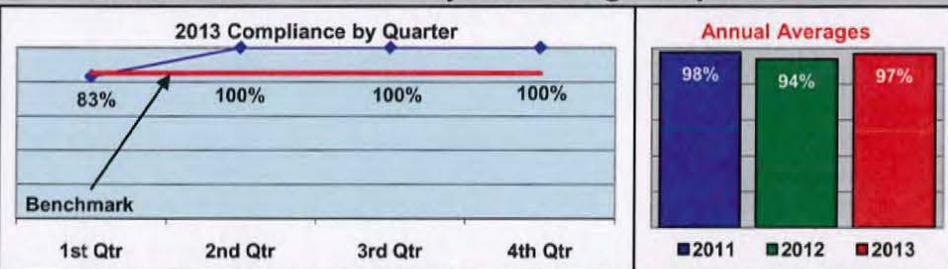
Lost Time First Report Filing Compliance



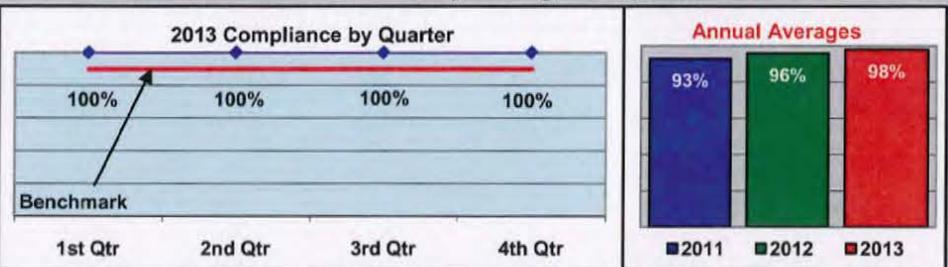
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Claims Management (Walmart) is a third party administrator that administered claims in 2013 for the following rating companies:

Illinois National Insurance
Ins. Co. of the State of Pennsylvania
New Hampshire Insurance

Utilization Analysis

Lost Time First Reports Received



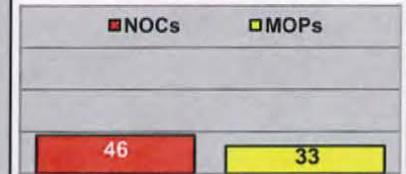
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

25%

Percent of Claims for Compensation Denied

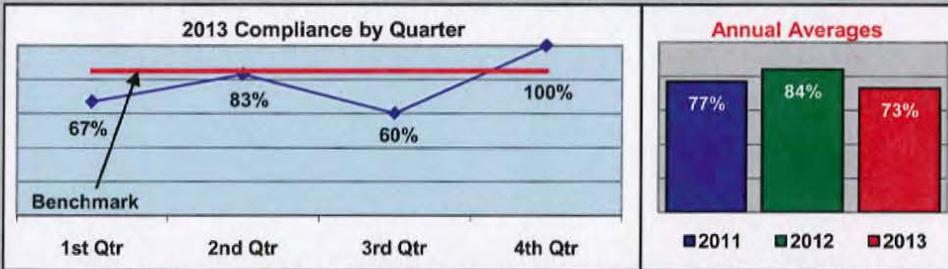
(Initial Indemnity NOCs / Claims for Compensation)

58%

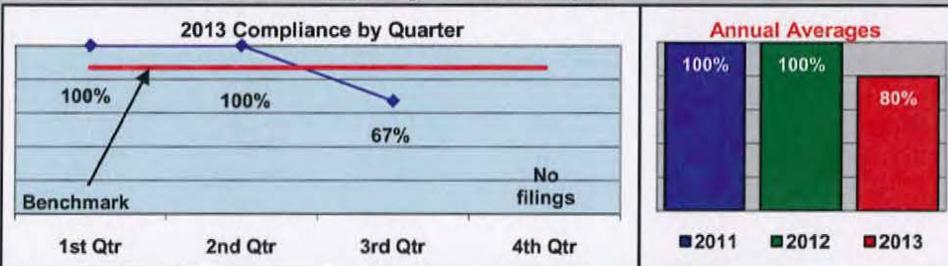
Annual Compliance Report
01/01/2013 -12/31/2013

CNA INSURANCE

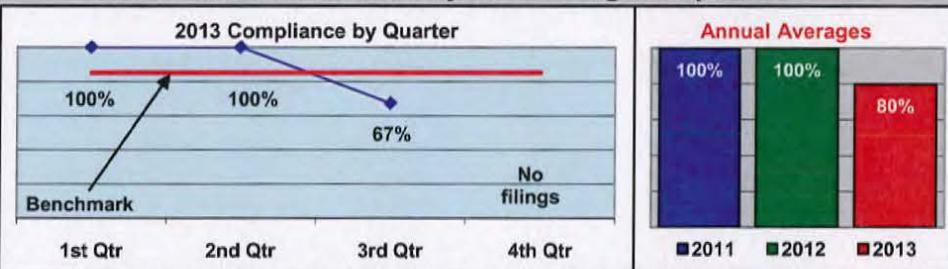
Lost Time First Report Filing Compliance



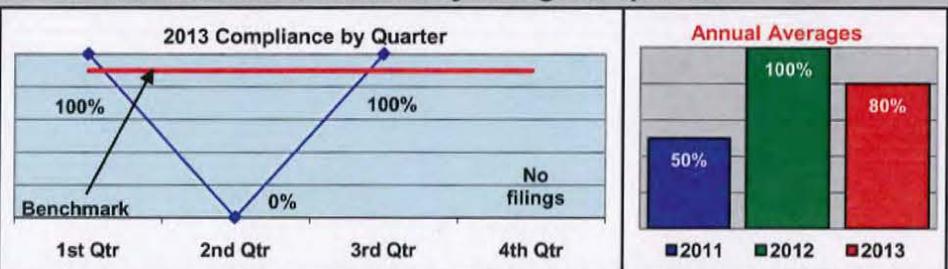
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

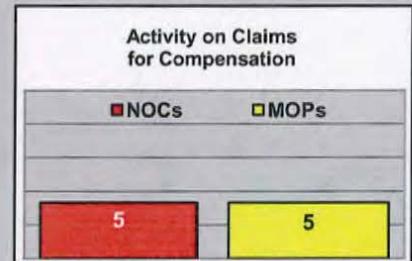


Summary

CNA Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

American Casualty Co. of Reading
Continental Casualty
National Fire Ins. Co. of Hartford
Transportation Insurance Company

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

33%

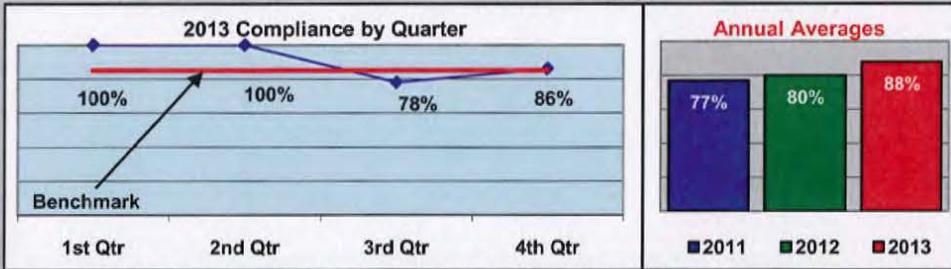
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

50%

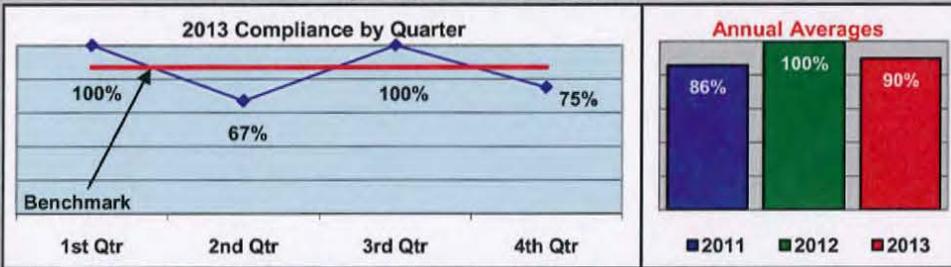
Annual Compliance Report
01/01/2013 -12/31/2013

CONSTITUTION STATE SERVICES

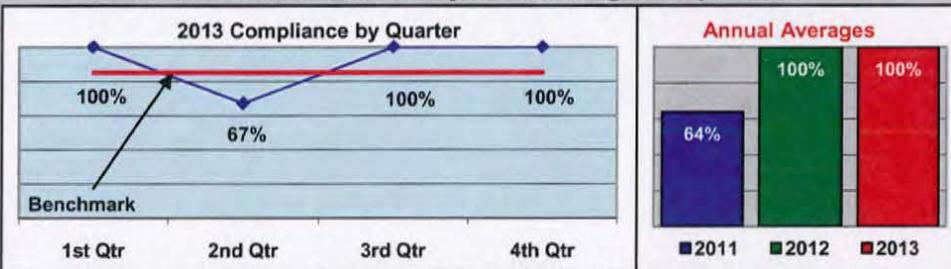
Lost Time First Report Filing Compliance



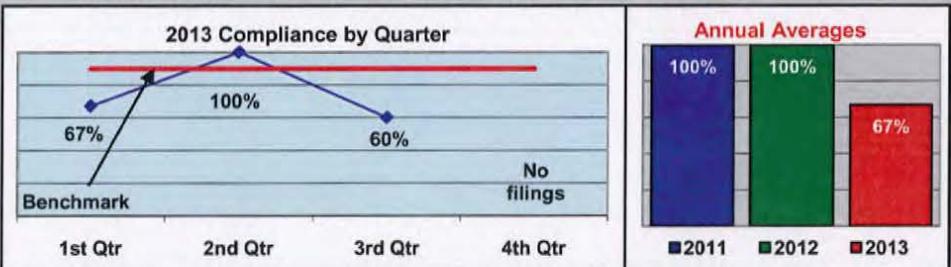
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Constitution State Services is a third party administrator that administered claims in 2013 for the following rating companies:

ACE Insurance
Indemnity Ins. Co. of No. America

and self-insured employer:

S D Warren

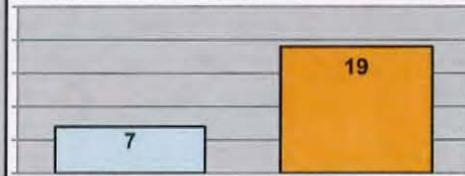
Utilization Analysis

Lost Time First Reports Received



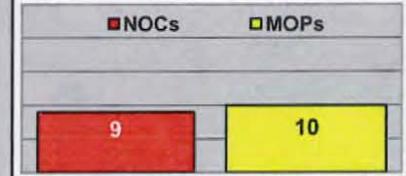
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

35%

Percent of Claims for Compensation Denied

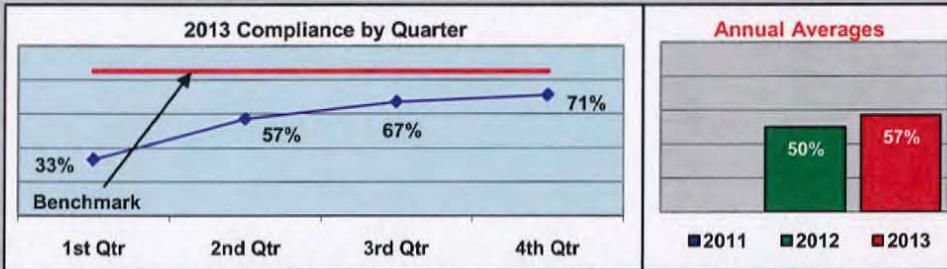
(Initial Indemnity NOCs / Claims for Compensation)

47%

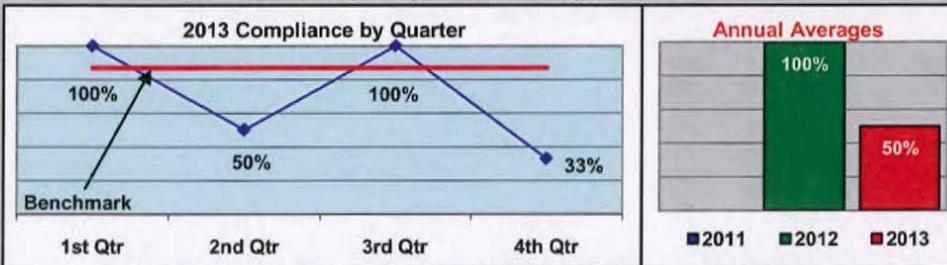
**Annual Compliance Report
01/01/2013 -12/31/2013**

CORVEL ENTERPRISE COMP.

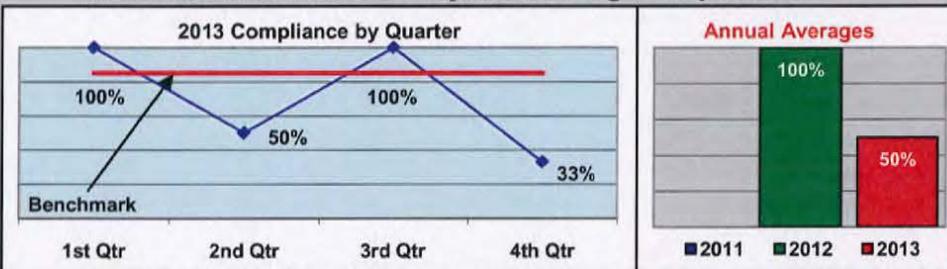
Lost Time First Report Filing Compliance



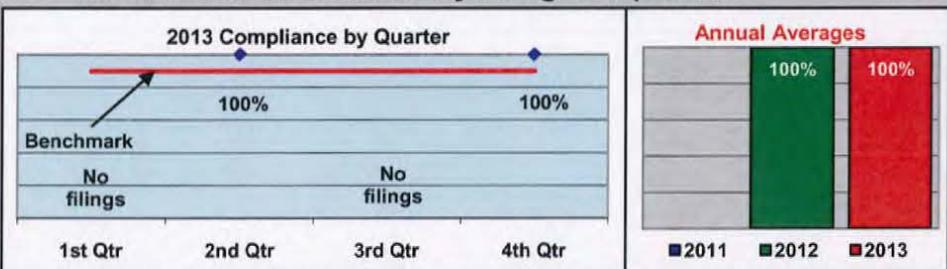
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

CorVel Enterprise Comp. is a third party administrator that administered claims in 2013 for the following rating companies:

- GuideOne Mutual Insurance Co.
- Hartford Ins. Co. of the Midwest
- New Hampshire Insurance
- Old Republic Insurance
- Safety National Insurance
- Trumbull Insurance
- XL Insurance America

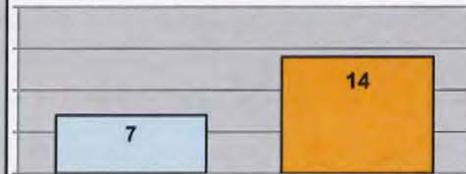
Utilization Analysis

Lost Time First Reports Received



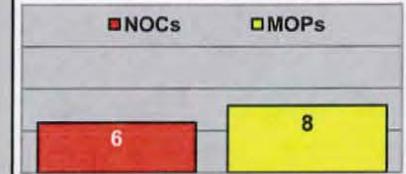
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs □ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

29%

Percent of Claims for Compensation Denied

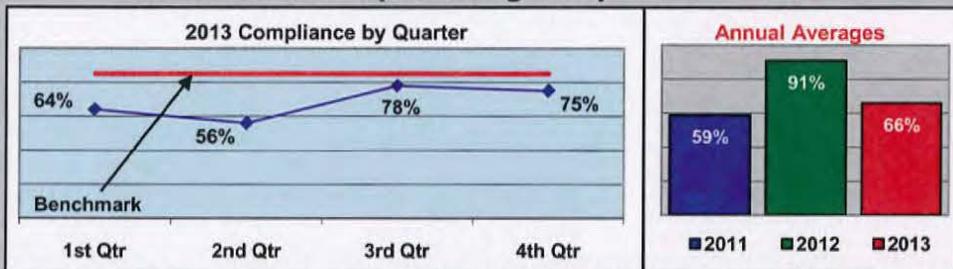
(Initial Indemnity NOCs / Claims for Compensation)

43%

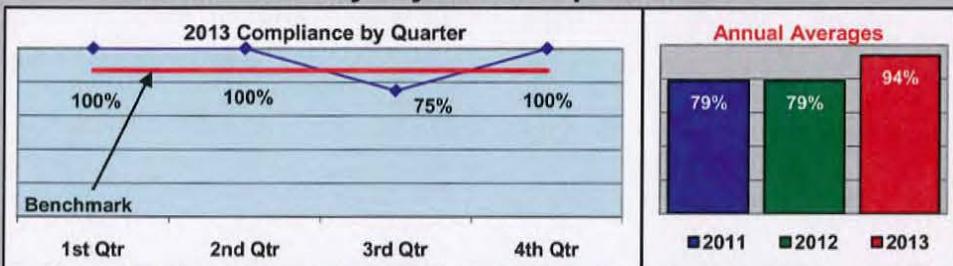
Annual Compliance Report
01/01/2013 -12/31/2013

COTTINGHAM & BUTLER CLAIMS SERVICES

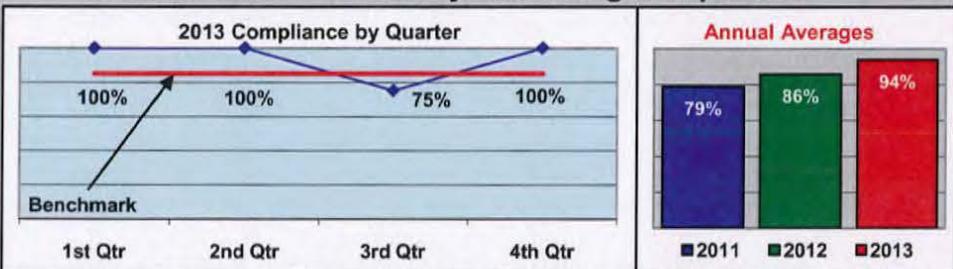
Lost Time First Report Filing Compliance



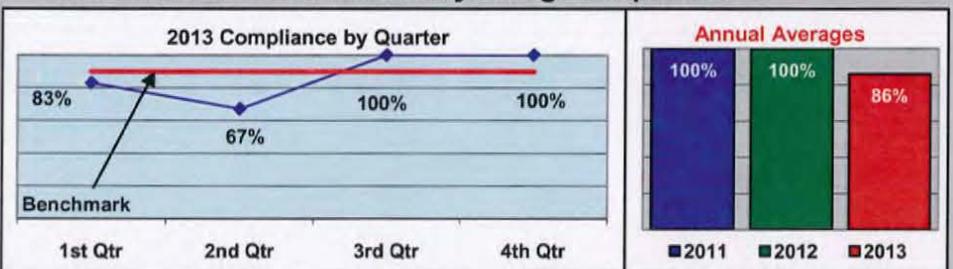
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Cottingham & Butler Claims Services is a third party administrator that administered claims in 2013 for the following rating companies:

- American Zurich Insurance
- Sparta Insurance
- Vanliner Insurance

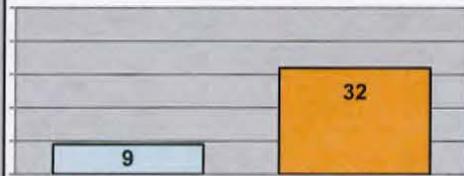
Utilization Analysis

Lost Time First Reports Received



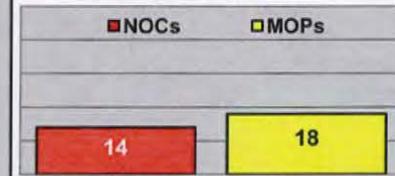
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

34%

Percent of Claims for Compensation Denied

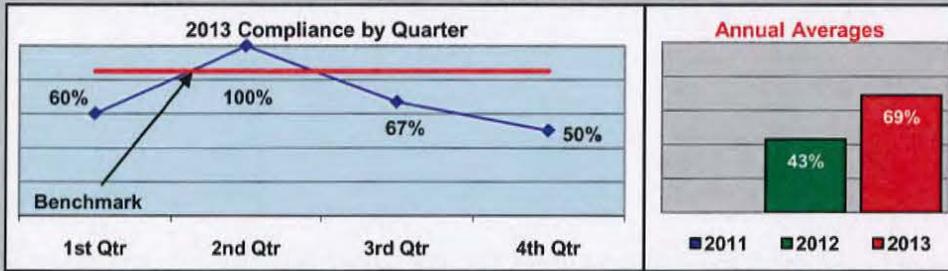
(Initial Indemnity NOCs / Claims for Compensation)

44%

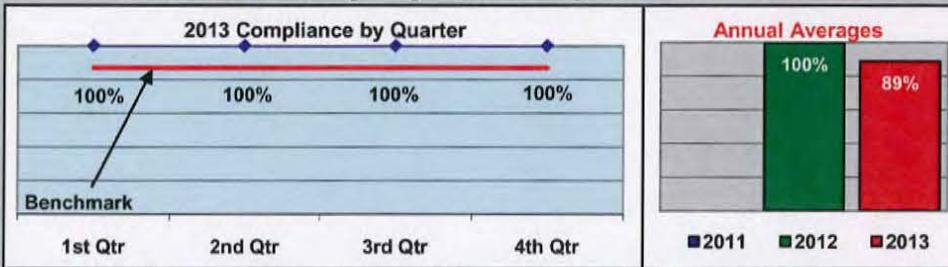
**Annual Compliance Report
01/01/2013 -12/31/2013**

ELECTRIC INSURANCE

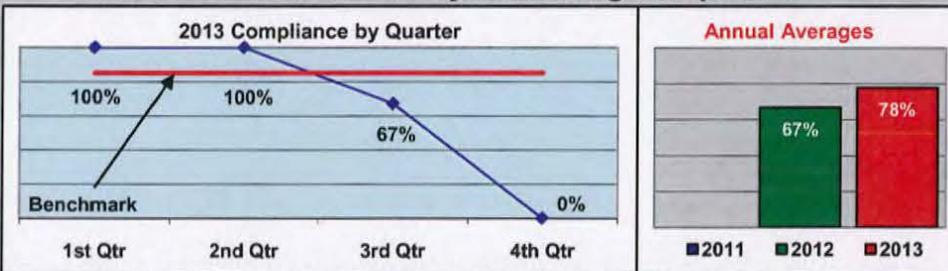
Lost Time First Report Filing Compliance



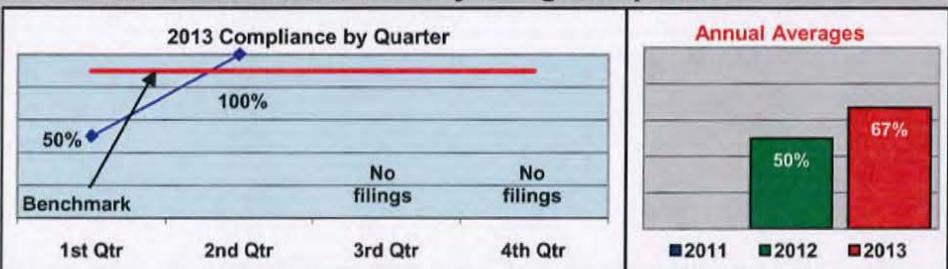
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

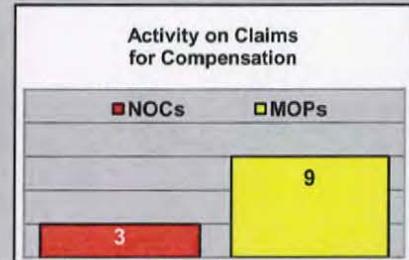
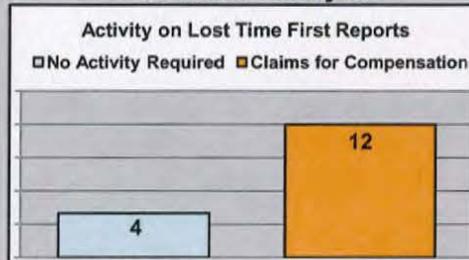
Electric Insurance is an insurer that used a third party to administer claims in 2013 under the following rating company:

Electric Insurance

Electric Insurance used the following third party in 2013:

Sedgwick Claims Management Svcs.

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

19%

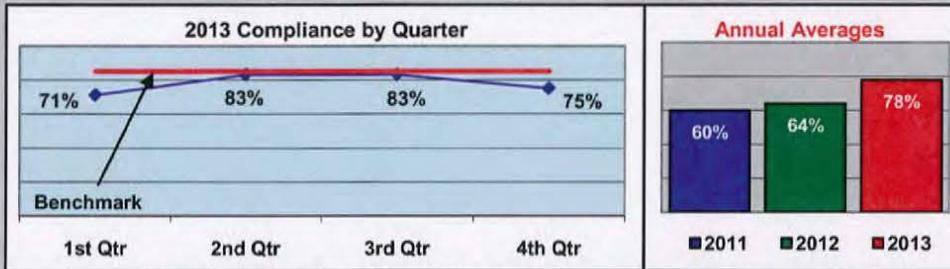
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

25%

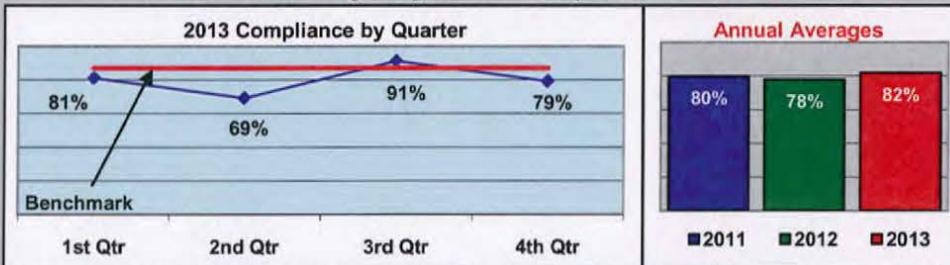
Annual Compliance Report 01/01/2013 -12/31/2013

ESIS

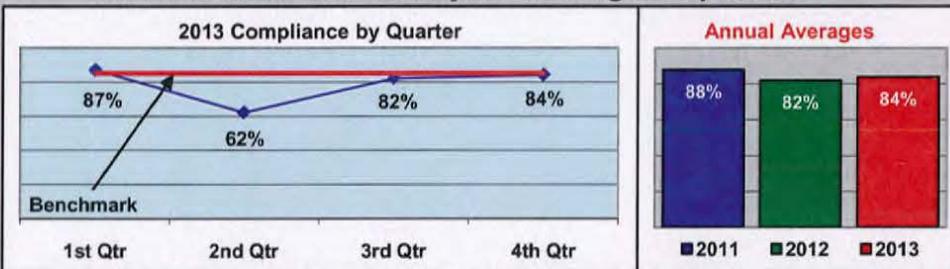
Lost Time First Report Filing Compliance



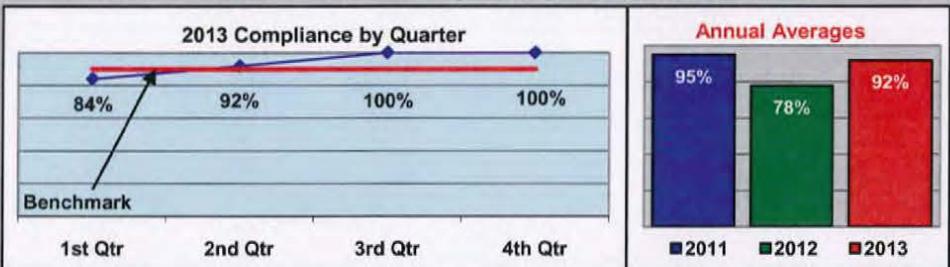
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

ESIS is a third party administrator that administered claims in 2013 for the following rating companies:

ACE Insurance
American Zurich Insurance
Indemnity Ins. Co. of No. America
Ins. Co. of the State of Pennsylvania
New Hampshire Insurance
Old Republic Insurance
XL Insurance America

and self-insured employers:

Georgia-Pacific Corporation
Unifirst Corporation

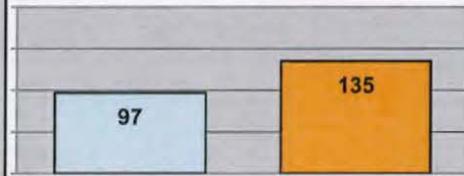
Utilization Analysis

Lost Time First Reports Received



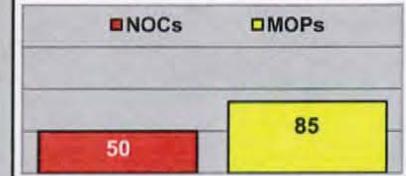
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

22%

Percent of Claims for Compensation Denied

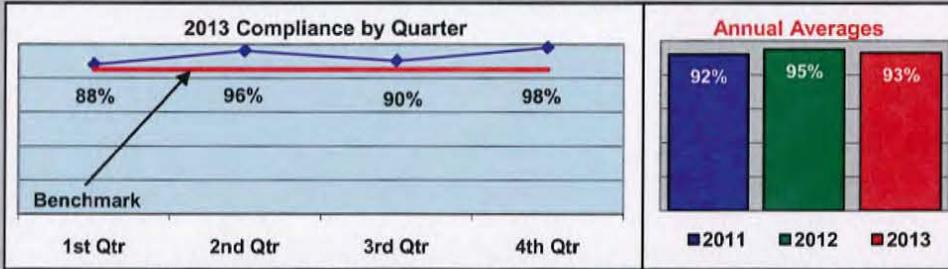
(Initial Indemnity NOCs / Claims for Compensation)

37%

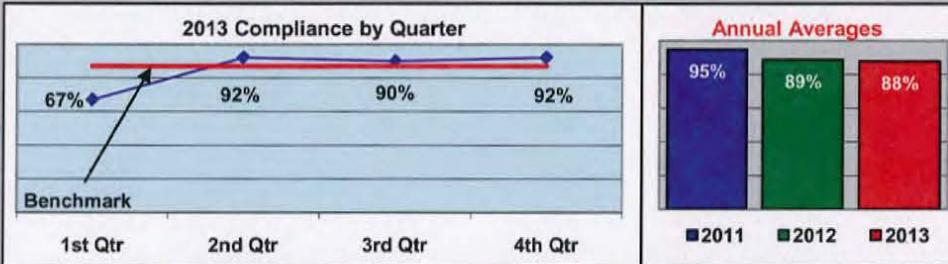
**Annual Compliance Report
01/01/2013 -12/31/2013**

FUTURECOMP

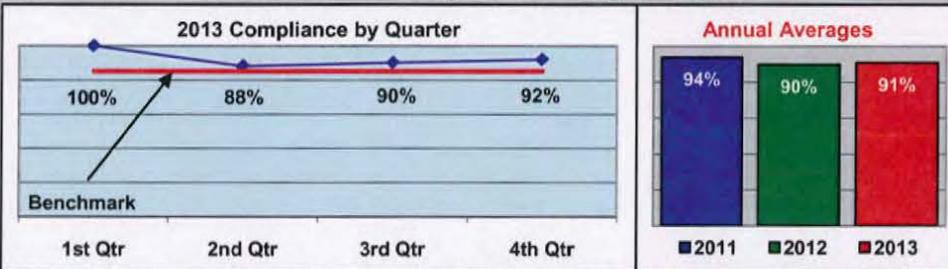
Lost Time First Report Filing Compliance



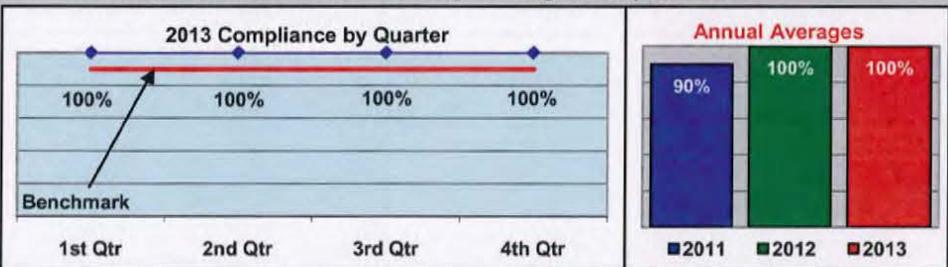
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

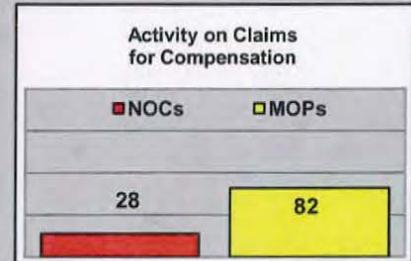
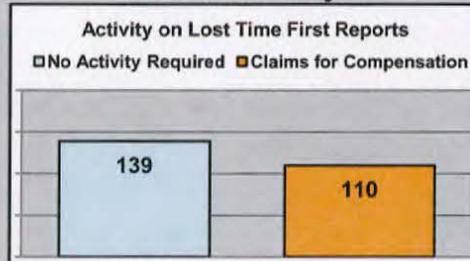


Summary

FutureComp is a third party administrator that administered claims in 2013 for the following self-insured employers:

Central Maine Healthcare
Central Maine Longterm Care
Maine Merchants WC Trust Fund

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

11%

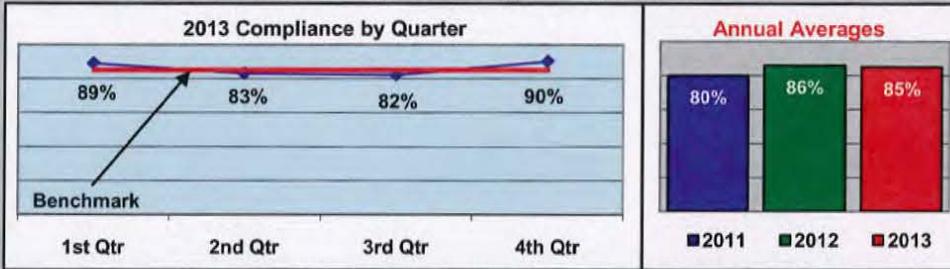
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

25%

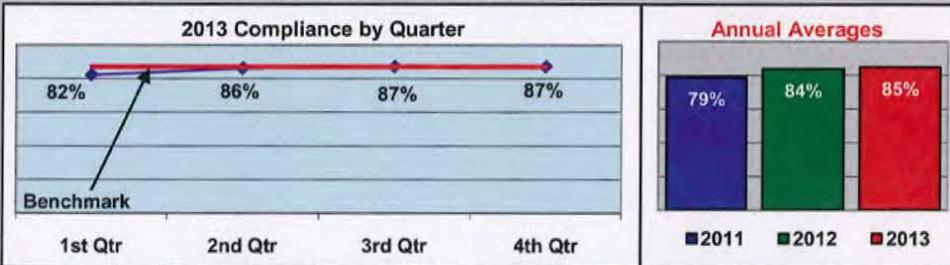
Annual Compliance Report
01/01/2013 -12/31/2013

GALLAGHER BASSETT SERVICES

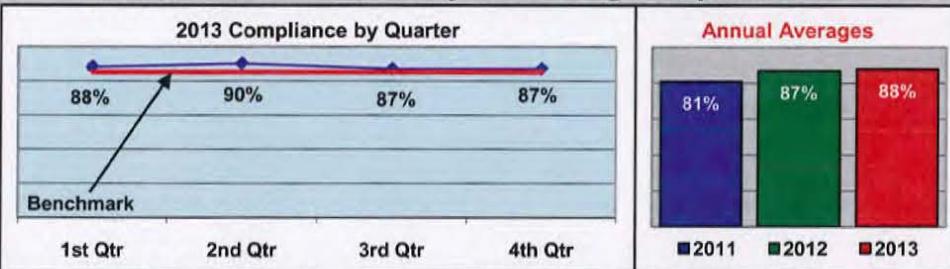
Lost Time First Report Filing Compliance



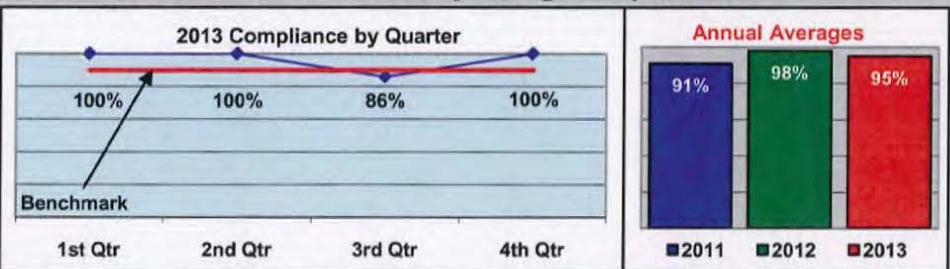
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

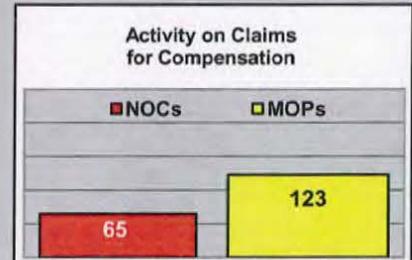
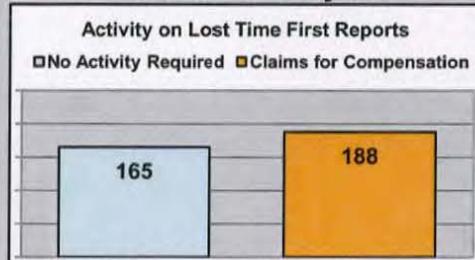
Gallagher Bassett Services is a third party administrator that administered claims in 2013 for the following rating companies:

- ACE Insurance
- American Casualty Co. of Reading
- American Zurich Insurance
- Arch Insurance
- Atlantic Specialty Insurance
- Chubb Indemnity Insurance
- Discover Property & Casualty Ins.
- Federal Insurance
- Fidelity & Guaranty Insurance
- Greenwich Insurance
- Hartford Accident & Indemnity
- Hartford Fire Insurance
- Hartford Underwriters Insurance
- Indemnity Ins. Co. of No. America
- Ins. Co. of the State of Pennsylvania
- Manufacturers Alliance Insurance
- New Hampshire Insurance
- Old Republic General Insurance
- Old Republic Insurance
- OneBeacon America Insurance
- OneBeacon Insurance
- Pennsylvania Mfg. Association
- Pennsylvania Mfg. Indemnity Co.
- Praetorian Insurance
- Safety National Casualty
- Trumbull Insurance
- Twin City Fire Insurance
- United States Fidelity & Guaranty
- XL Insurance America
- XL Specialty Insurance Co.
- Zurich American Insurance

and the following self-insured employer:

- Columbia Forest Products

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

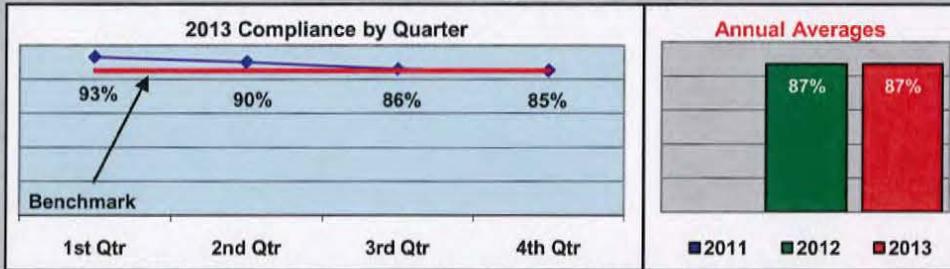
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

35%

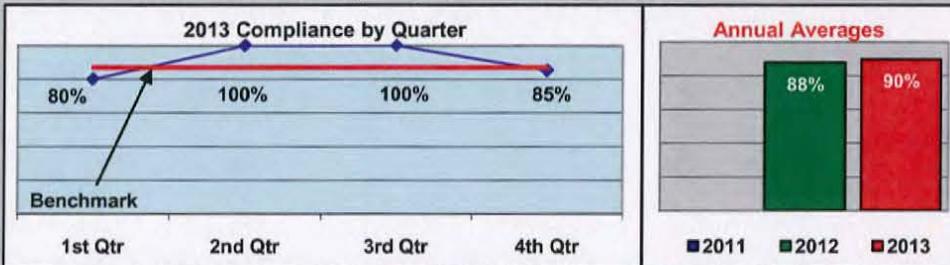
**Annual Compliance Report
01/01/2013 -12/31/2013**

GREAT FALLS INSURANCE

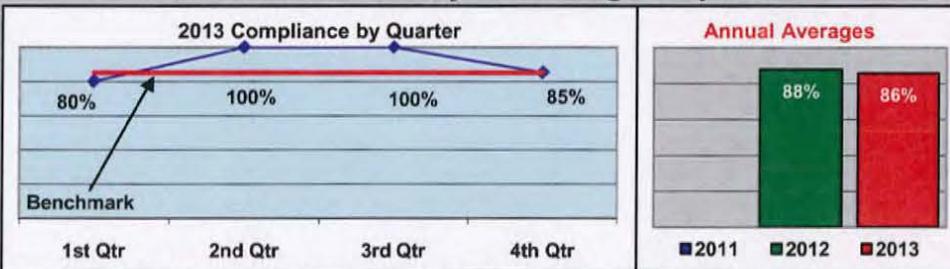
Lost Time First Report Filing Compliance



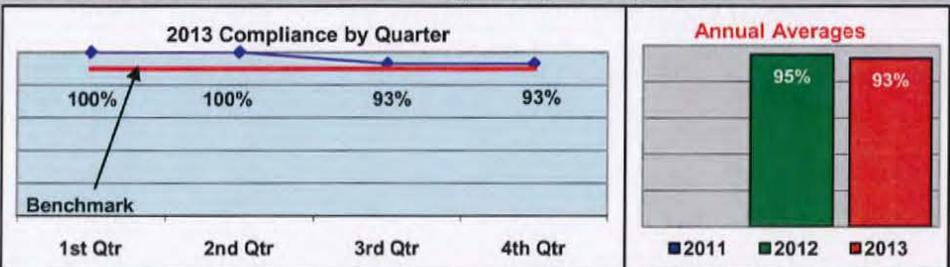
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Great Falls Insurance is an insurer that used a third party to administer claims in 2013 under the following rating company:

Great Falls Insurance

Great Falls Insurance used the following third party in 2013:

Cannon Cochran Management Svcs.

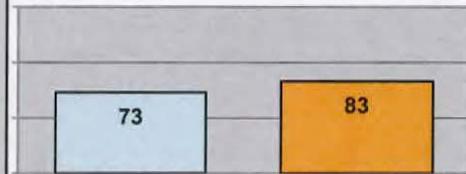
Utilization Analysis

Lost Time First Reports Received



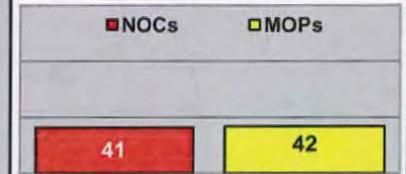
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

26%

Percent of Claims for Compensation Denied

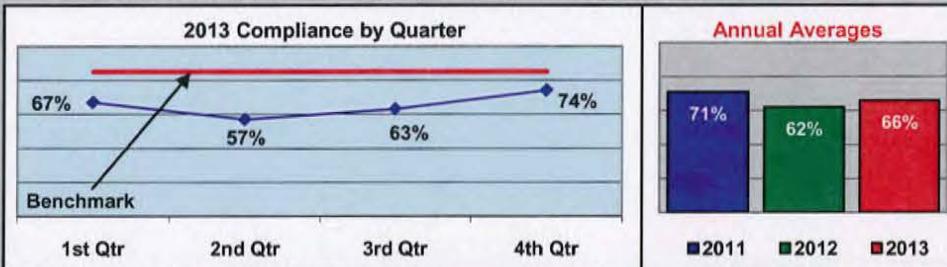
(Initial Indemnity NOCs / Claims for Compensation)

49%

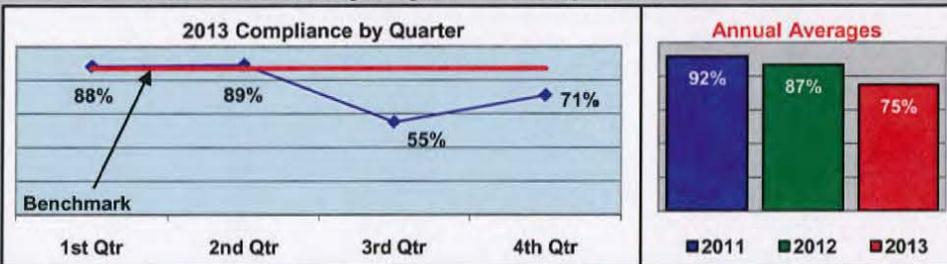
Annual Compliance Report 01/01/2013 -12/31/2013

GUARD INSURANCE

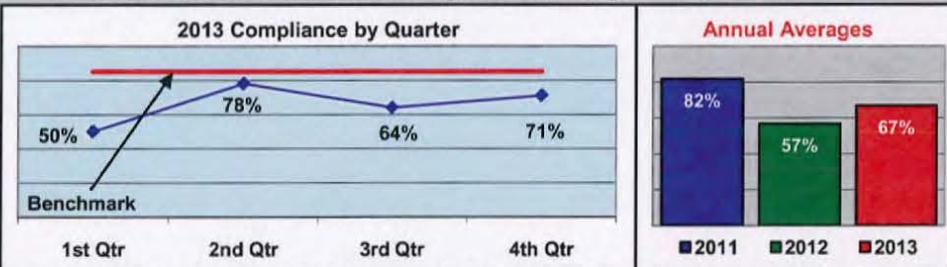
Lost Time First Report Filing Compliance



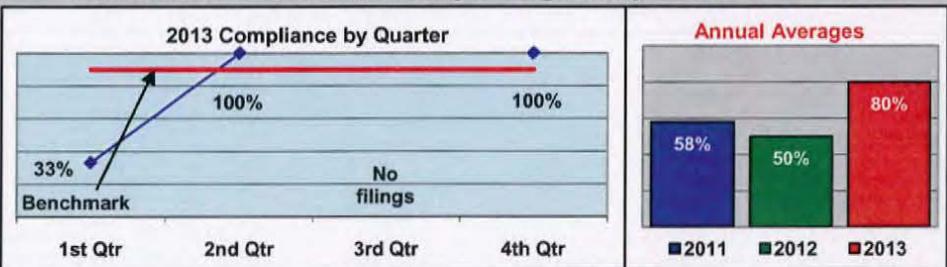
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Guard Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

Amguard Insurance
Eastguard Insurance
Norguard Insurance

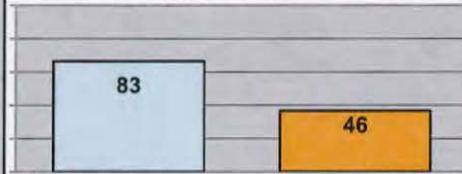
Utilization Analysis

Lost Time First Reports Received

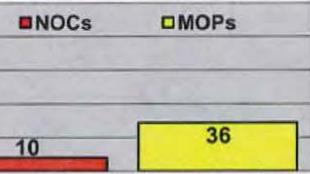


Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

8%

Percent of Claims for Compensation Denied

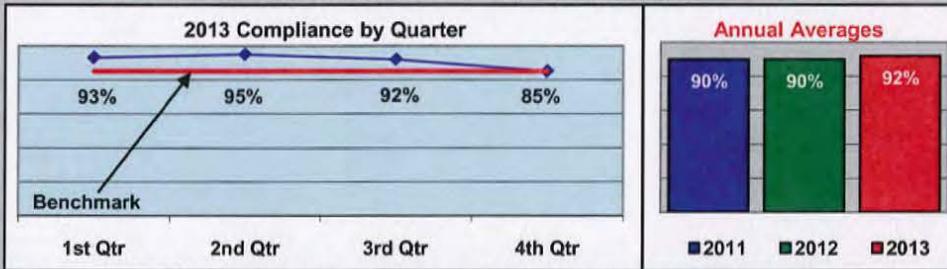
(Initial Indemnity NOCs / Claims for Compensation)

22%

**Annual Compliance Report
01/01/2013 -12/31/2013**

HANNAFORD BROTHERS

Lost Time First Report Filing Compliance

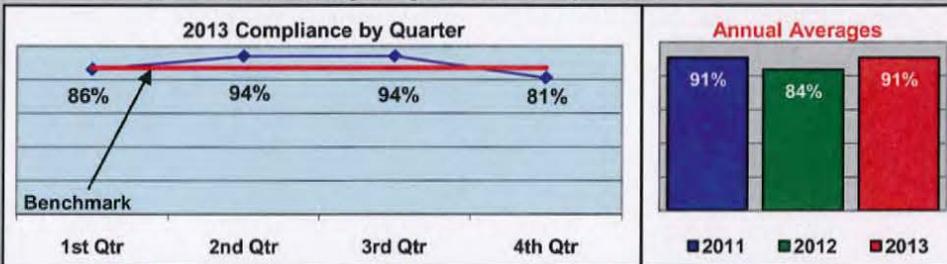


Summary

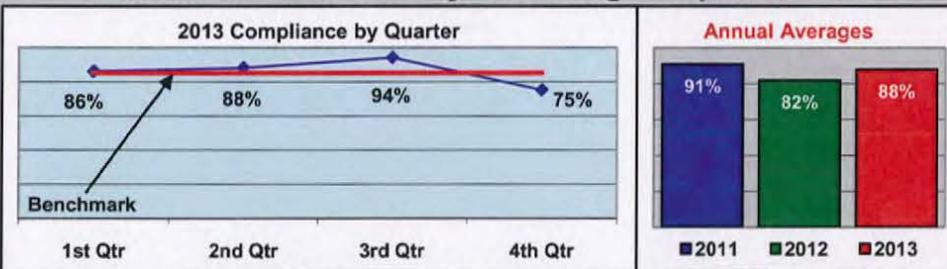
Hannaford Brothers is a self-insured employer that administered its own claims in 2013 under the following name:

Hannaford Brothers

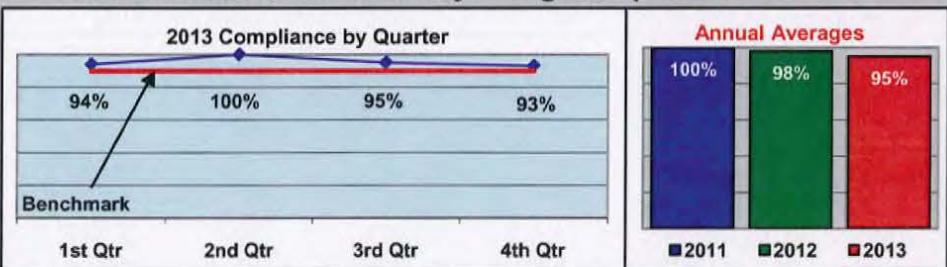
Initial Indemnity Payment Compliance



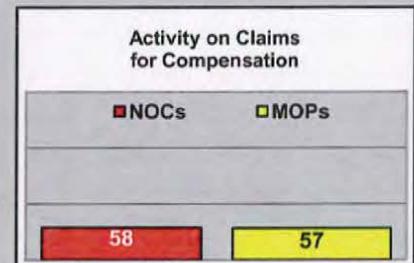
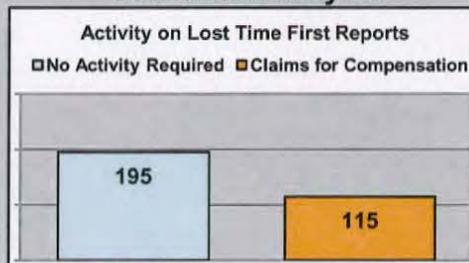
Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

19%

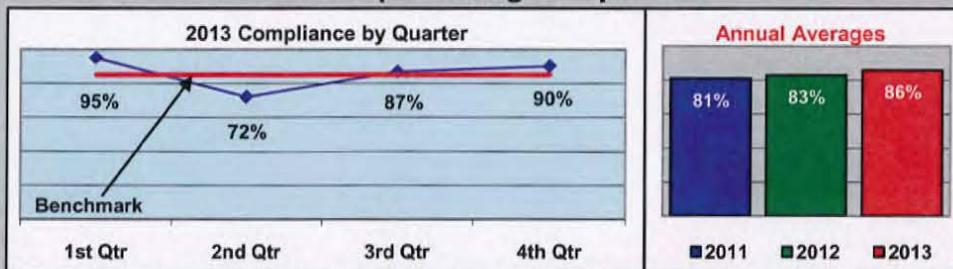
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

50%

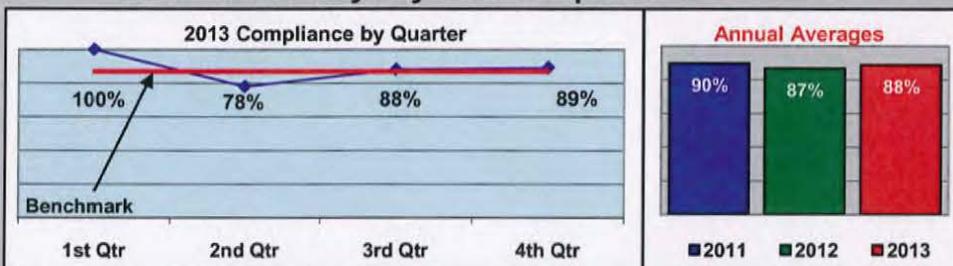
Annual Compliance Report 01/01/2013 -12/31/2013

HANOVER INSURANCE

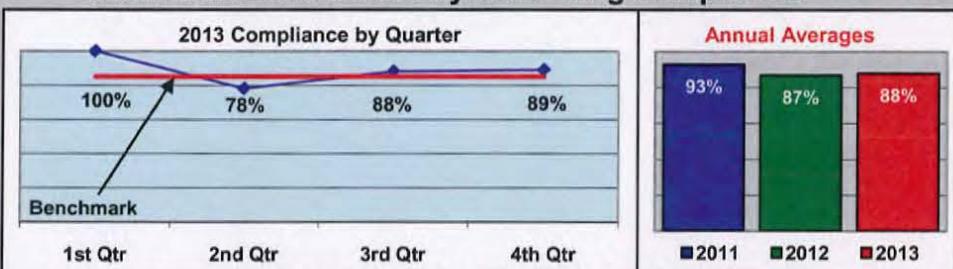
Lost Time First Report Filing Compliance



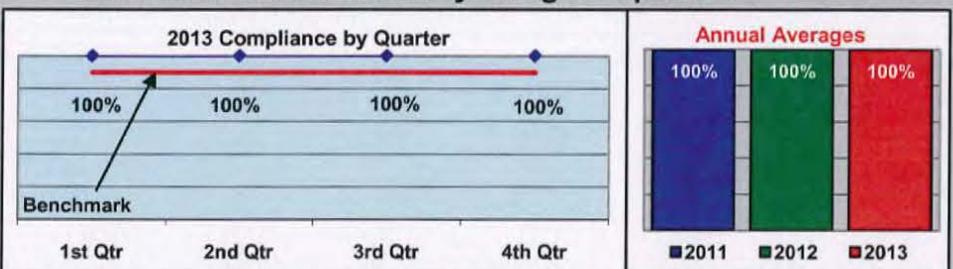
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Hanover Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

Allmerica Financial Benefit Ins.
Citizens Insurance Co. of America
Hanover Insurance Co.
Massachusetts Bay Insurance Co.

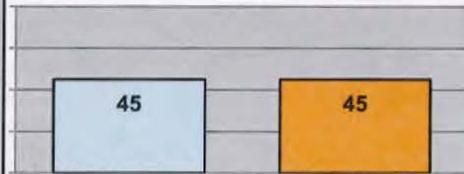
Utilization Analysis

Lost Time First Reports Received



Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs □ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

13%

Percent of Claims for Compensation Denied

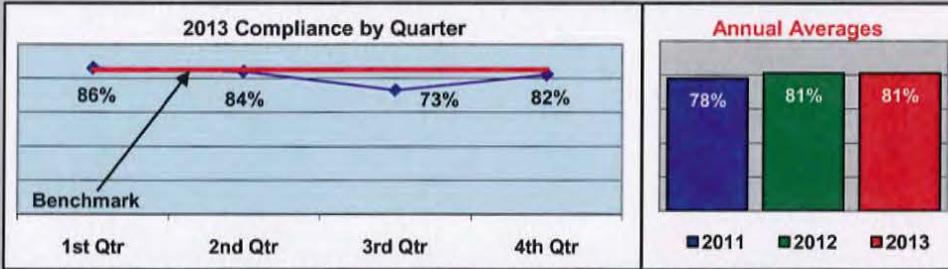
(Initial Indemnity NOCs / Claims for Compensation)

27%

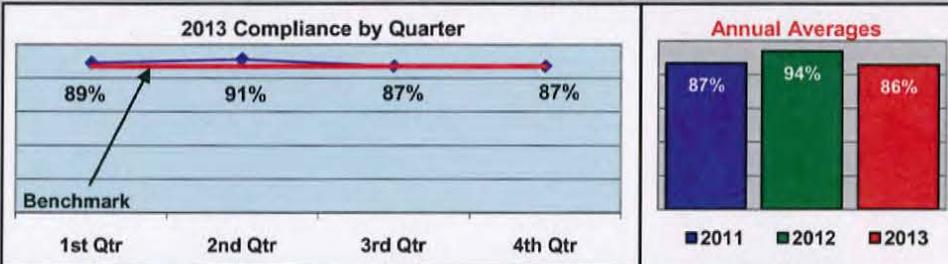
**Annual Compliance Report
01/01/2013 -12/31/2013**

HARTFORD INSURANCE

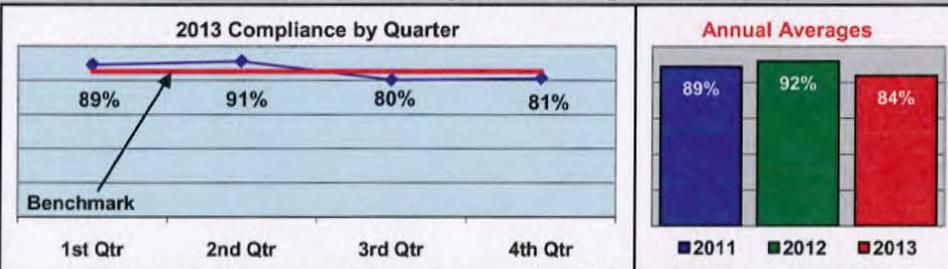
Lost Time First Report Filing Compliance



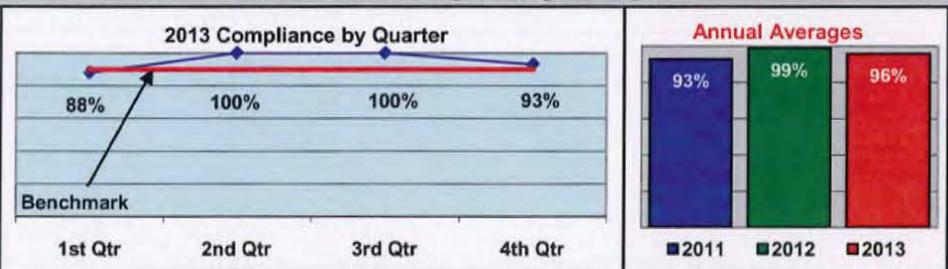
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

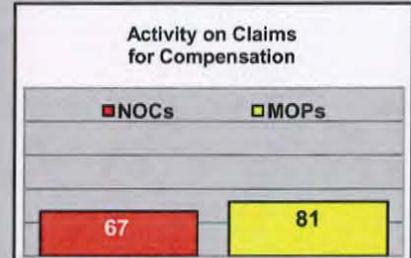
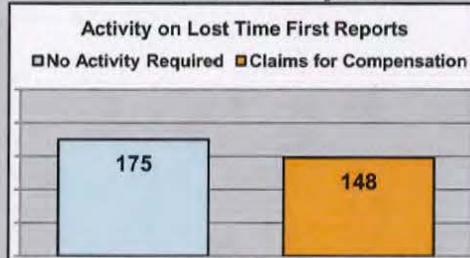
Hartford Insurance is an insurer that administered its own claims and used third parties to administer claims in 2013 under the following rating companies:

Hartford Accident & Indemnity
Hartford Casualty Insurance Co.
Hartford Fire Insurance Co.
Hartford Insurance of the Midwest
Hartford Underwriters Insurance
Property & Casualty Insurance Co.
Sentinel Insurance Co.
Trumbull Insurance
Twin City Fire Insurance Co.

Hartford Insurance used the following third parties in 2013:

Broadspire Services
CorVel Enterprise Comp.
F.A. Richard
Gallagher Bassett Services
Sedwick Claims Management Svcs.
Tristar Risk Enterprise Management
York Risk Services

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

21%

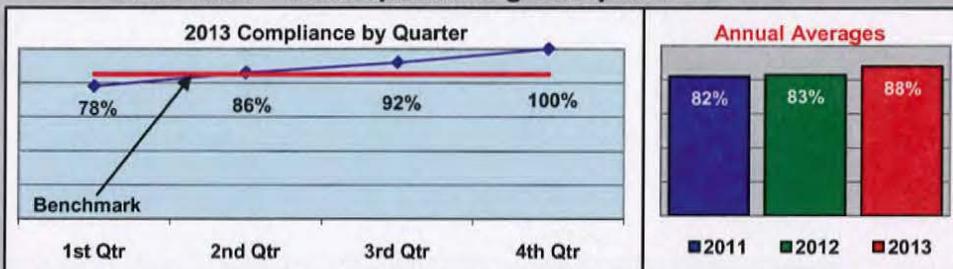
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

45%

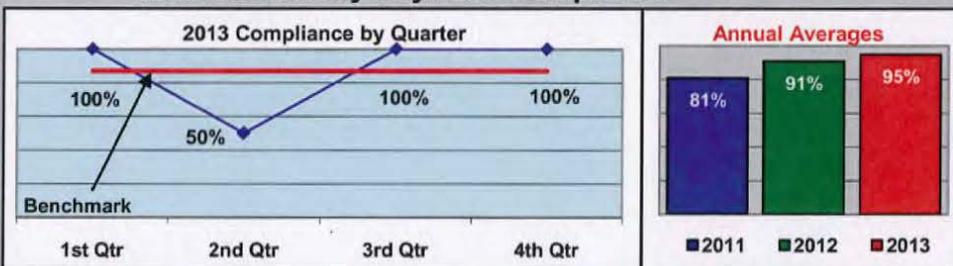
Annual Compliance Report 01/01/2013 -12/31/2013

HELMSMAN MANAGEMENT SERVICES

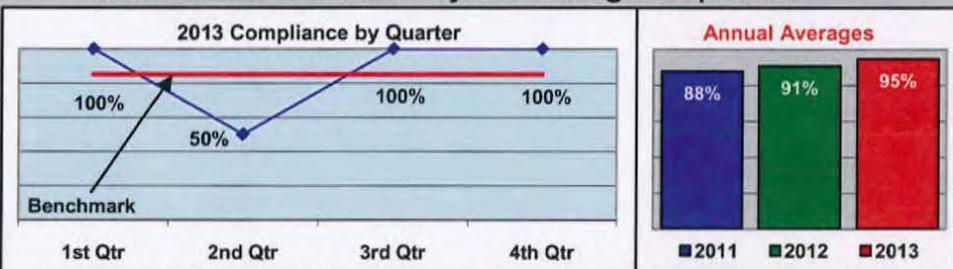
Lost Time First Report Filing Compliance



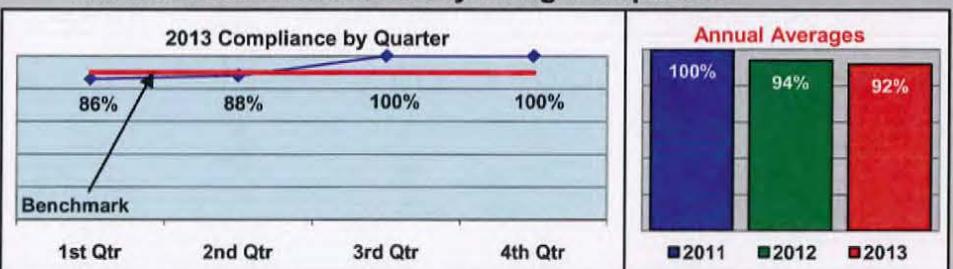
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Helmsman Management Services is a third party administrator that administered claims in 2013 for the following rating companies:

ACE Insurance
Arch Insurance
Indemnity Ins. Co. of No. America
Liberty Insurance
New Hampshire Insurance
Old Republic Insurance

and self-insured employers:

Asplundh Tree Expert
S D Warren

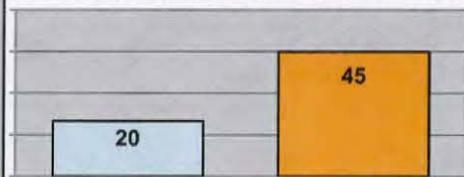
Utilization Analysis

Lost Time First Reports Received



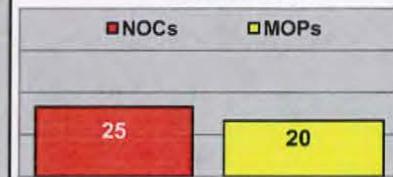
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

38%

Percent of Claims for Compensation Denied

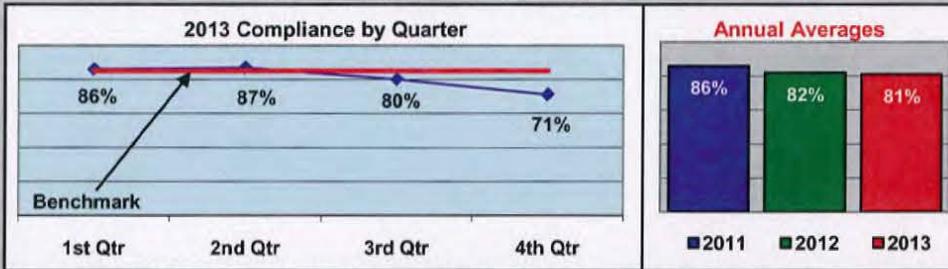
(Initial Indemnity NOCs / Claims for Compensation)

56%

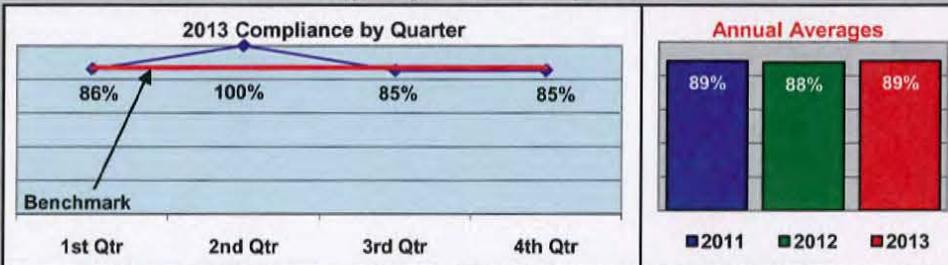
**Annual Compliance Report
01/01/2013 -12/31/2013**

LIBERTY MUTUAL INSURANCE

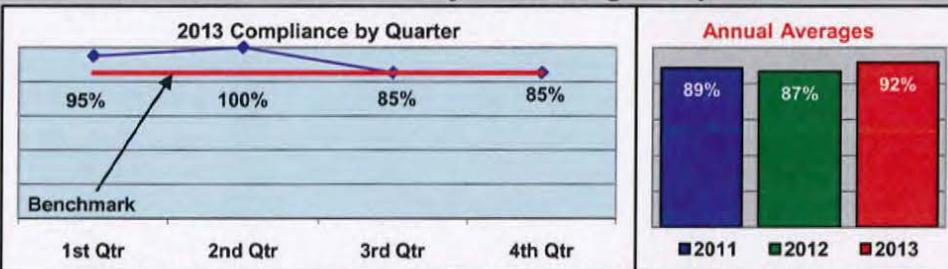
Lost Time First Report Filing Compliance



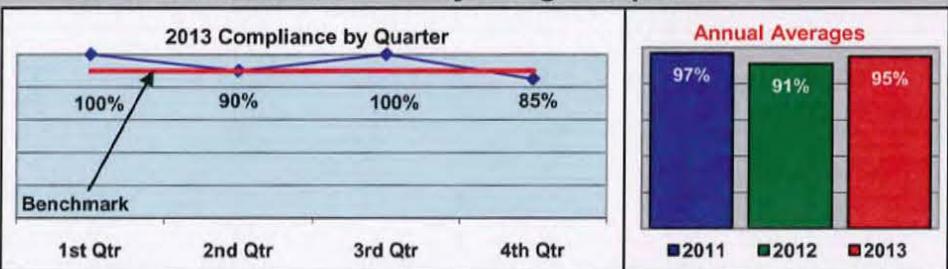
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Liberty Mutual Insurance is an insurer that administered its own claims and used a third party to administer claims in 2013 under the following rating companies:

- Employers Insurance Co. of Wausau
- First Liberty Insurance
- Liberty Insurance
- Liberty Mutual Fire Insurance
- Liberty Mutual Insurance Co.
- LM Insurance
- The Ohio Casualty Insurance Co.
- Wausau Business Insurance
- Wausau Underwriters Insurance

Liberty Mutual Insurance used the following third party in 2013:

- Helmsman Management Services

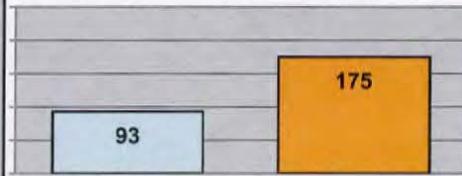
Utilization Analysis

Lost Time First Reports Received



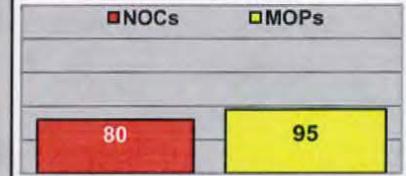
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

30%

Percent of Claims for Compensation Denied

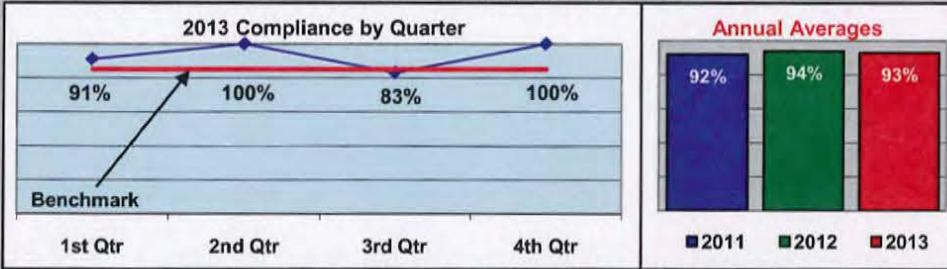
(Initial Indemnity NOCs / Claims for Compensation)

46%

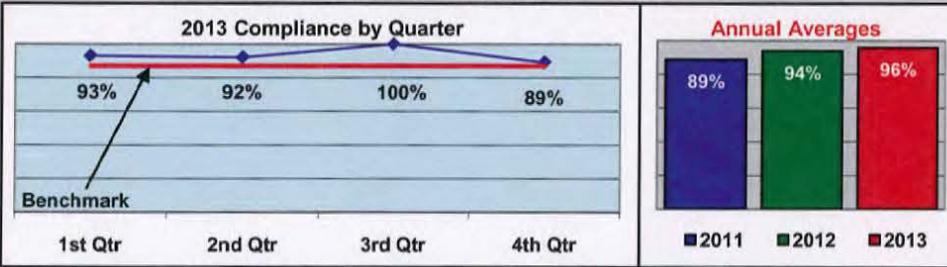
Annual Compliance Report
01/01/2013 -12/31/2013

MAINE AUTOMOBILE DEALERS ASSOCIATION

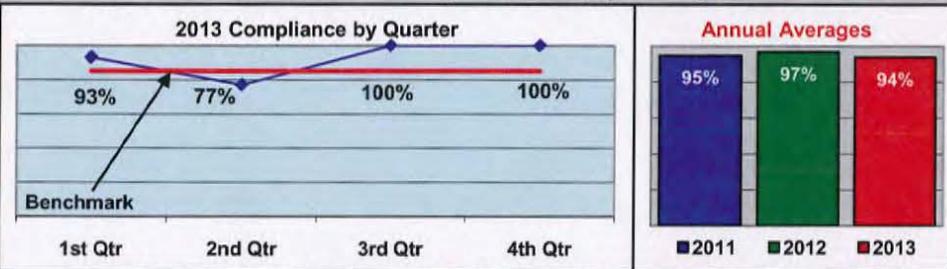
Lost Time First Report Filing Compliance



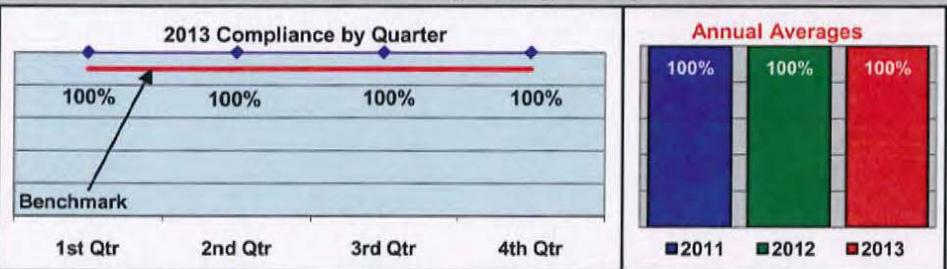
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

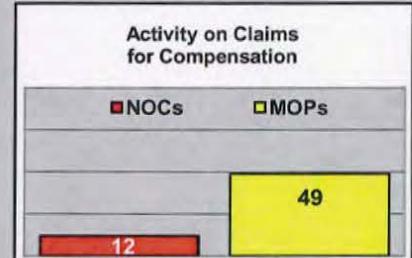
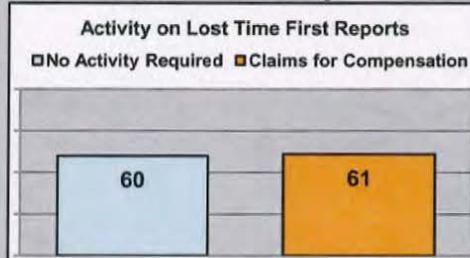


Summary

Maine Automobile Dealers Association is a trust of self-insured employers that administered claims in 2013 under the following name:

Maine Automobile Dealers Assoc.

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

10%

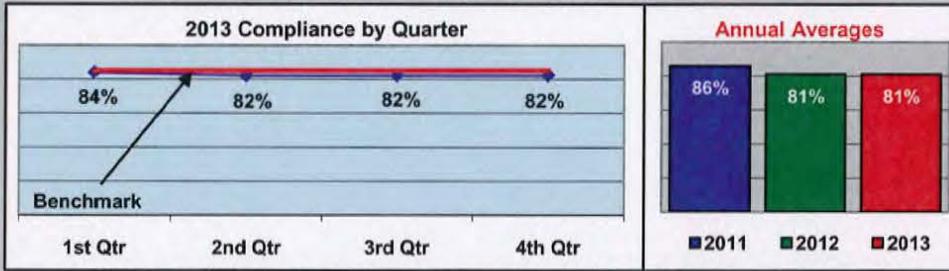
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

20%

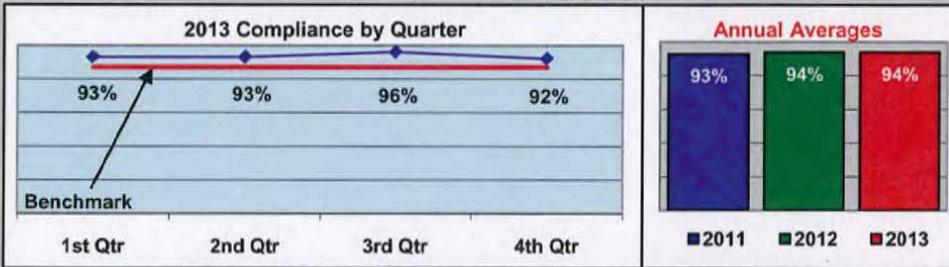
**Annual Compliance Report
01/01/2013 -12/31/2013**

MAINE EMPLOYERS' MUTUAL INSURANCE

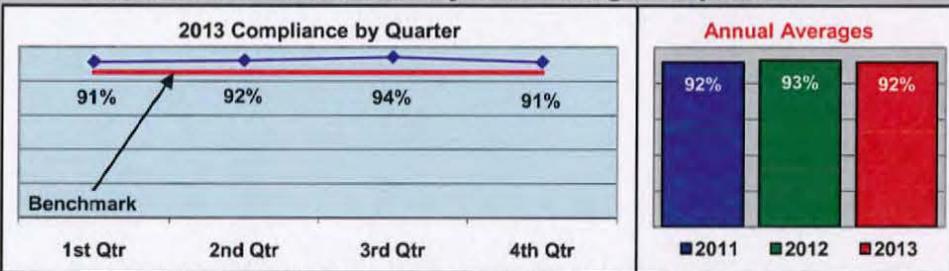
Lost Time First Report Filing Compliance



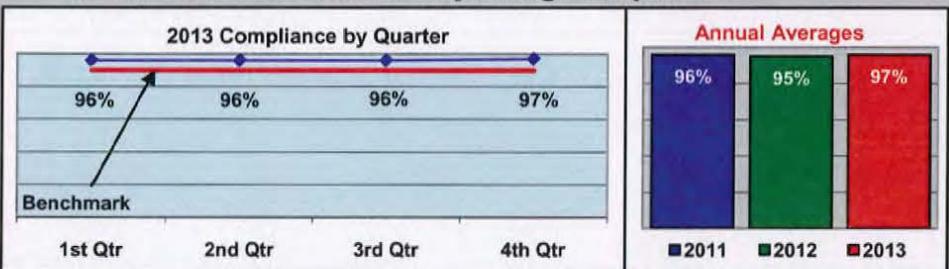
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

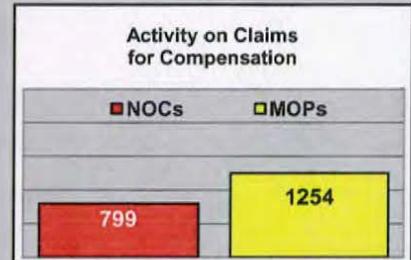
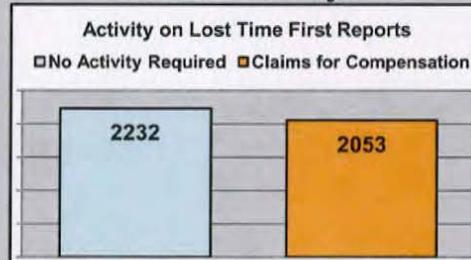


Summary

Maine Employers' Mutual Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

Maine Employers' Mutual Insurance
MEMIC Indemnity Company

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

19%

Percent of Claims for Compensation Denied

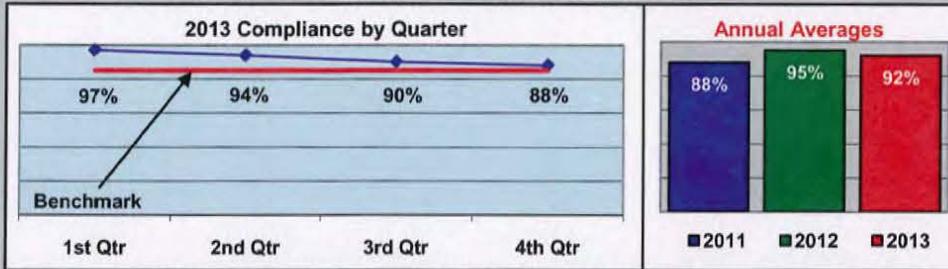
(Initial Indemnity NOCs / Claims for Compensation)

39%

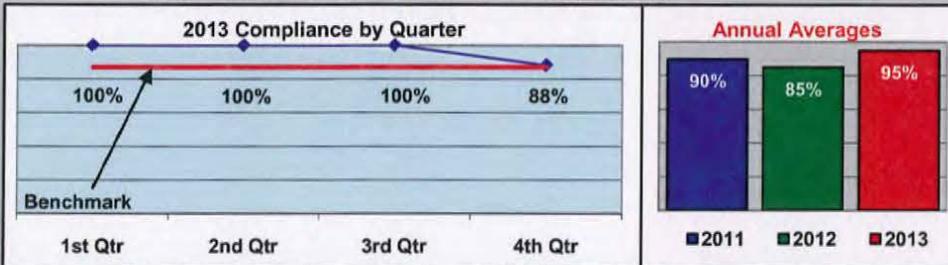
**Annual Compliance Report
01/01/2013 -12/31/2013**

MAINE HEALTHCARE ASSOCIATION

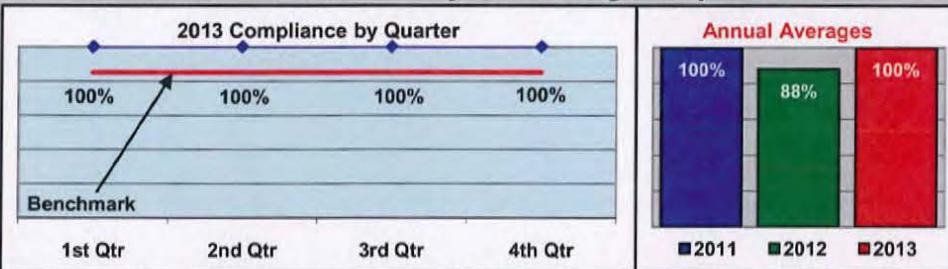
Lost Time First Report Filing Compliance



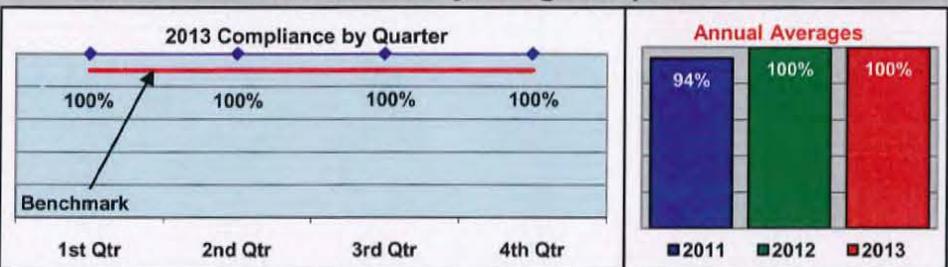
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine Healthcare Association is a trust of self-insured employers that administered claims in 2013 under the following name:

MHCA Workers' Comp. Fund

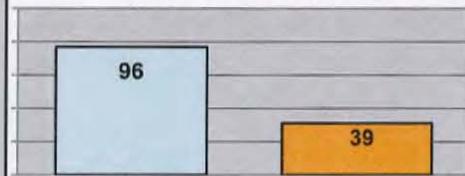
Utilization Analysis

Lost Time First Reports Received



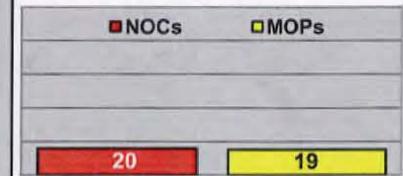
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

15%

Percent of Claims for Compensation Denied

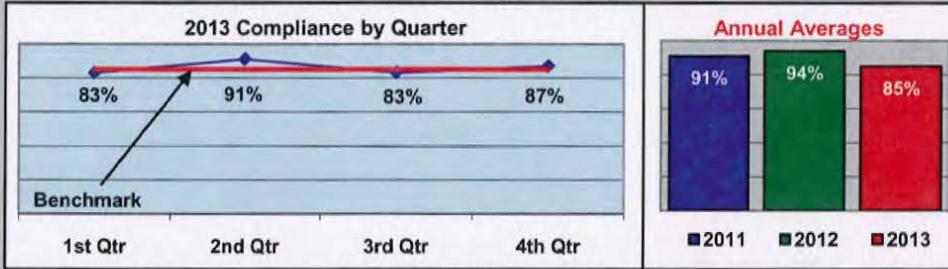
(Initial Indemnity NOCs / Claims for Compensation)

51%

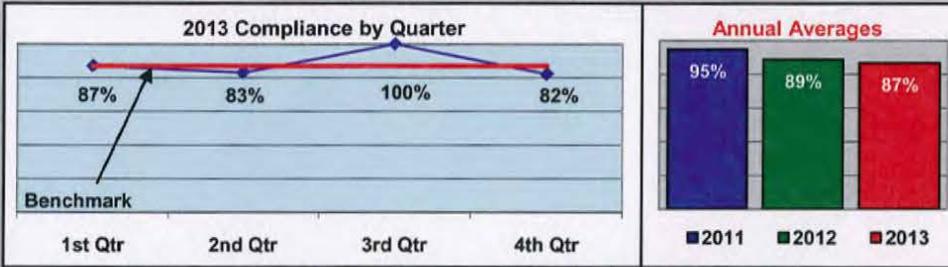
**Annual Compliance Report
01/01/2013 -12/31/2013**

MAINE MOTOR TRANSPORT ASSOCIATION

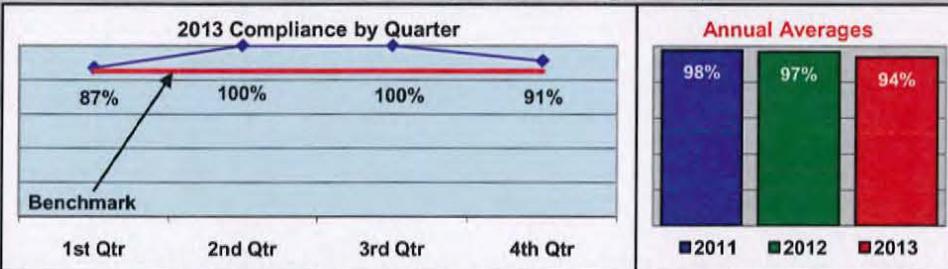
Lost Time First Report Filing Compliance



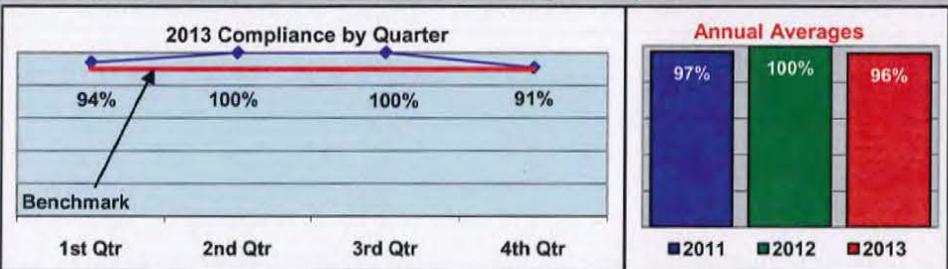
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

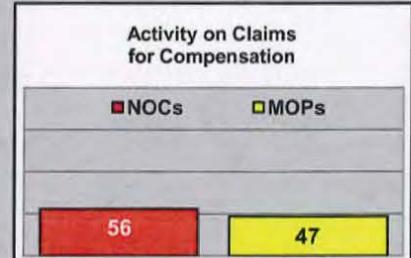
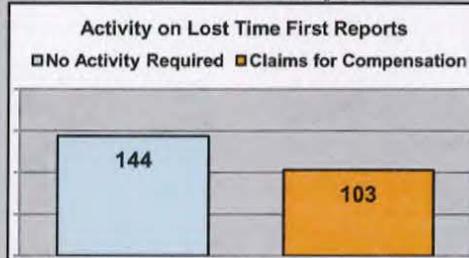


Summary

Maine Motor Transport Association is a trust of self-insured employers that administered claims in 2013 under the following name:

Maine Motor Transport WC Trust

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

23%

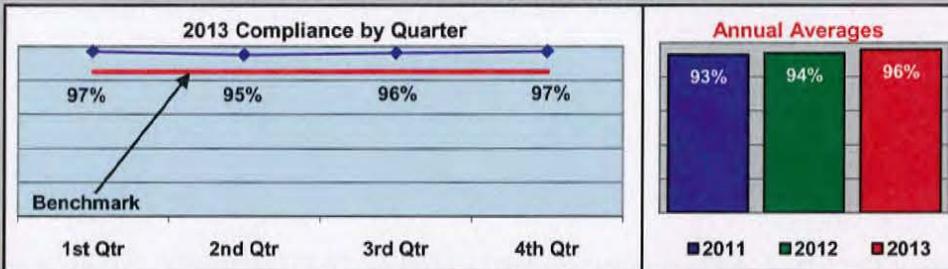
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

54%

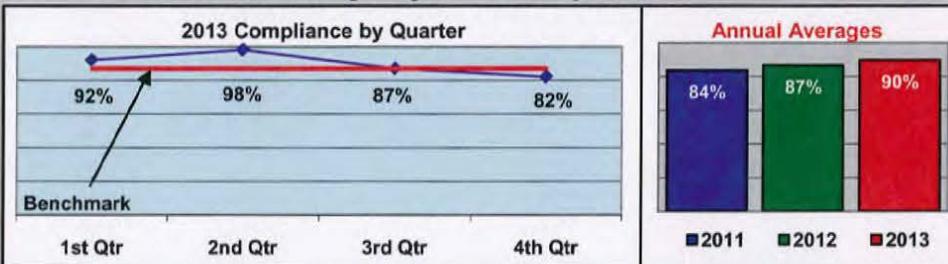
Annual Compliance Report 01/01/2013 -12/31/2013

MAINE MUNICIPAL ASSOCIATION

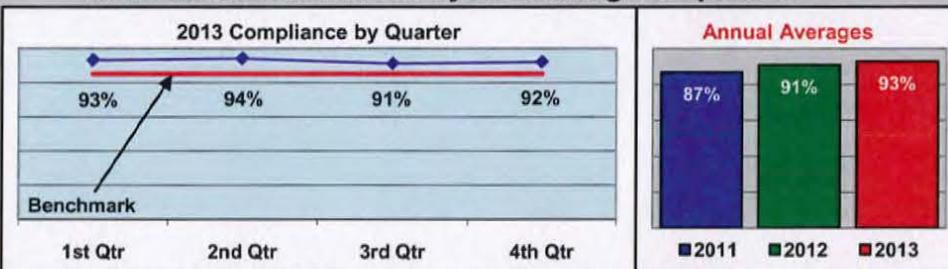
Lost Time First Report Filing Compliance



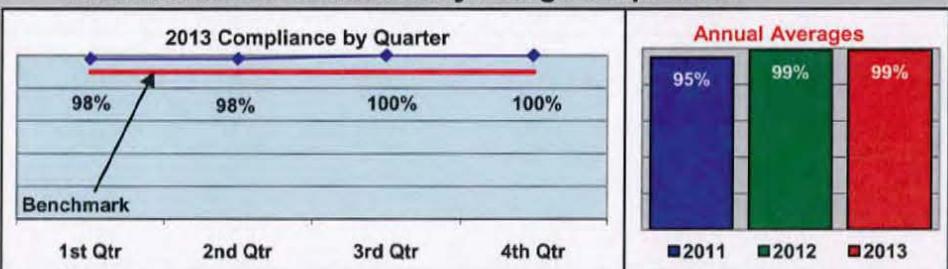
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Maine Municipal Association is a trust of self-insured employers that administered claims in 2013 under the following names:

Maine Municipal Association
Portland, City of

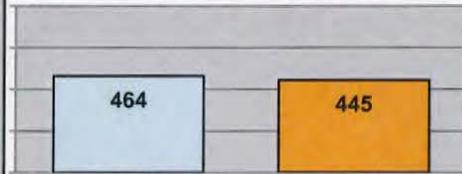
Utilization Analysis

Lost Time First Reports Received



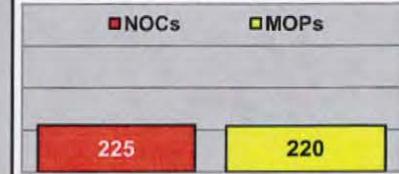
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

25%

Percent of Claims for Compensation Denied

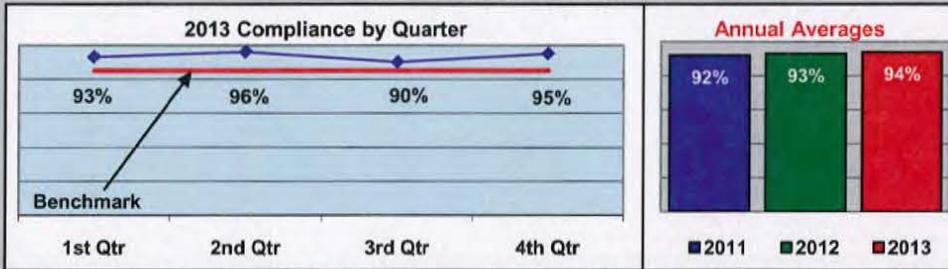
(Initial Indemnity NOCs / Claims for Compensation)

51%

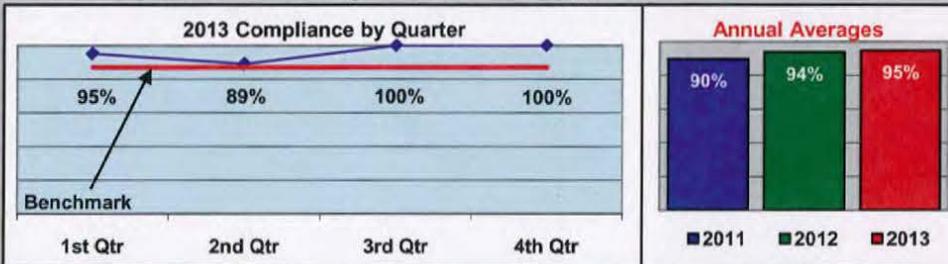
**Annual Compliance Report
01/01/2013 -12/31/2013**

MAINE SCHOOL MANAGEMENT ASSOCIATION

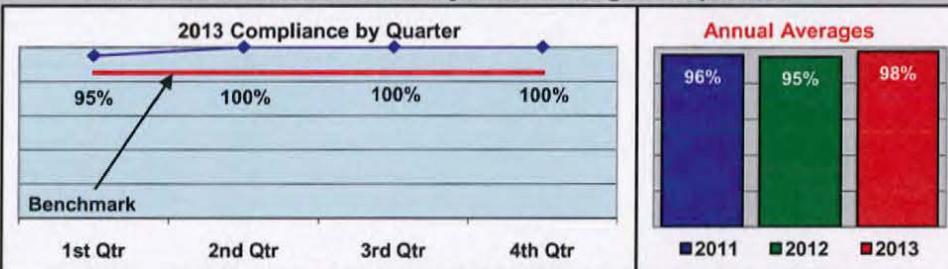
Lost Time First Report Filing Compliance



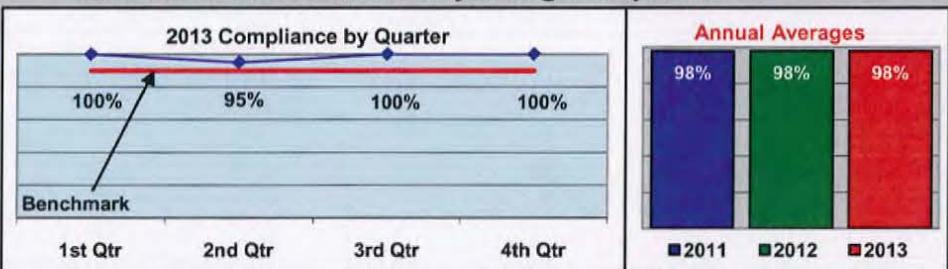
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

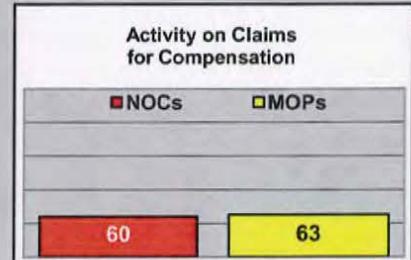
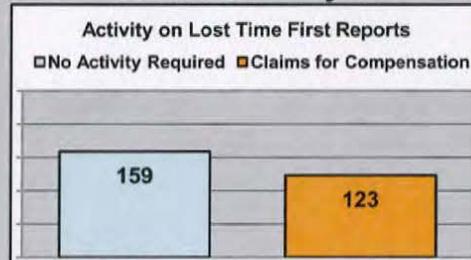


Summary

Maine School Management Association is a trust of self-insured employers that administered claims in 2013 under the following name:

Maine School Management Assoc.

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

21%

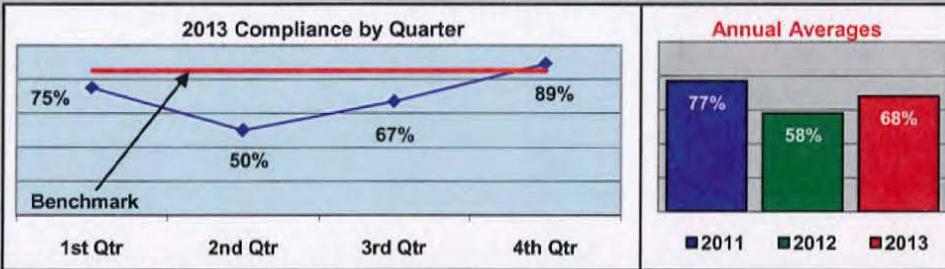
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

49%

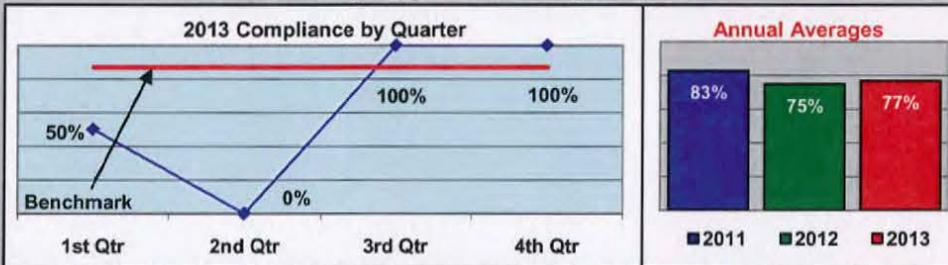
**Annual Compliance Report
01/01/2013 -12/31/2013**

MEADOWBROOK

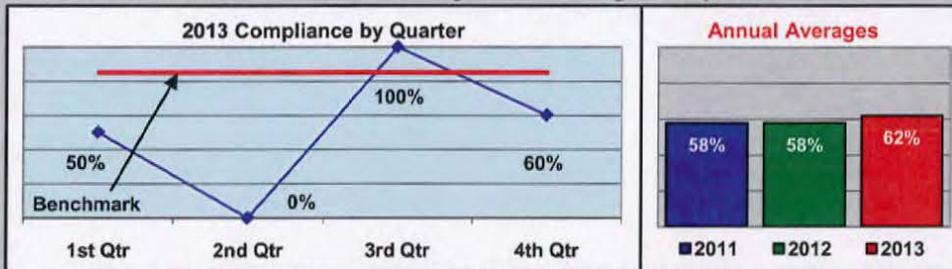
Lost Time First Report Filing Compliance



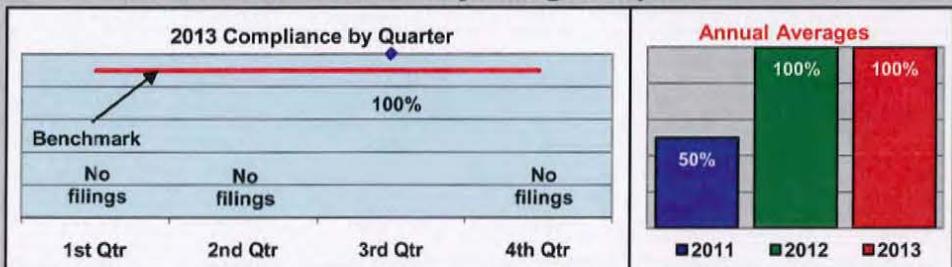
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Meadowbrook is an insurer that administered its own claims in 2013 under the following rating company:

Star Insurance

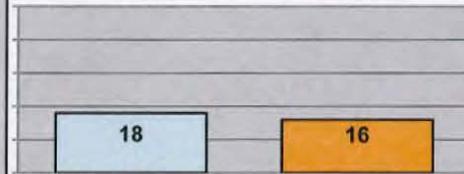
Utilization Analysis

Lost Time First Reports Received



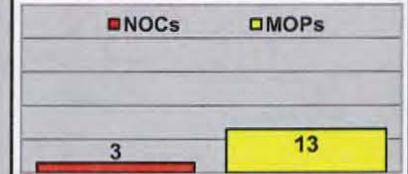
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

9%

Percent of Claims for Compensation Denied

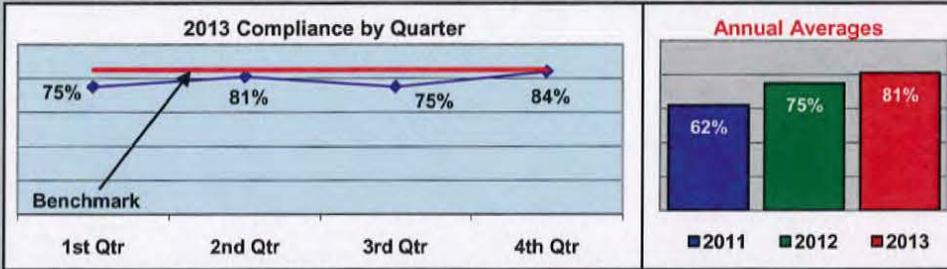
(Initial Indemnity NOCs / Claims for Compensation)

19%

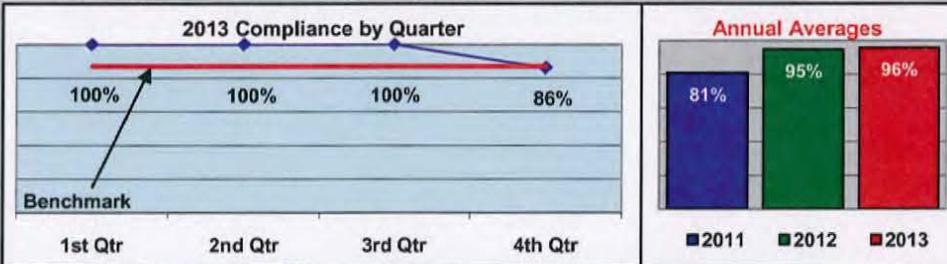
**Annual Compliance Report
01/01/2013 -12/31/2013**

OLD REPUBLIC INSURANCE

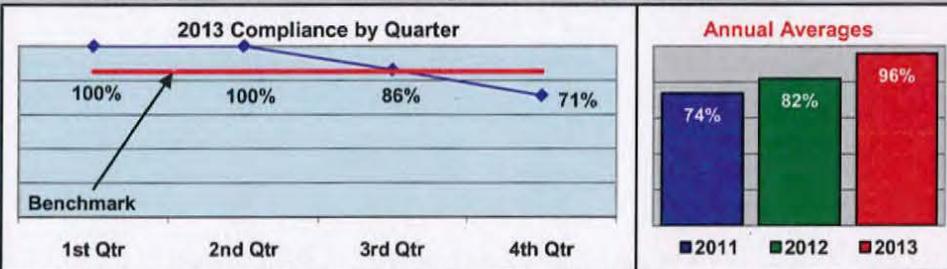
Lost Time First Report Filing Compliance



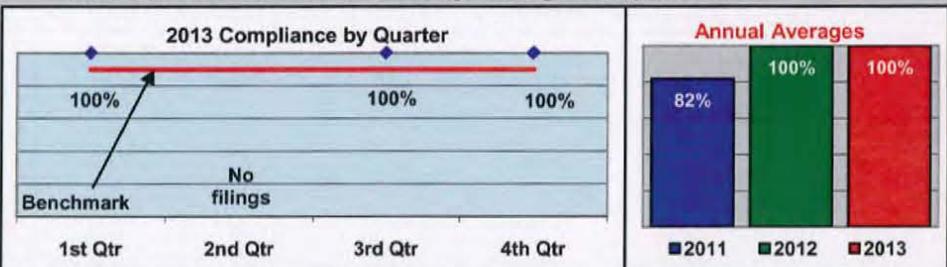
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

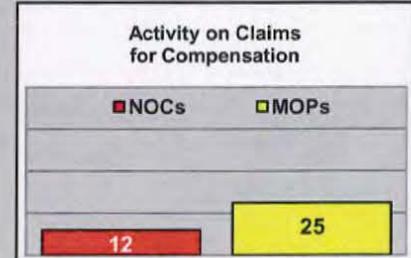
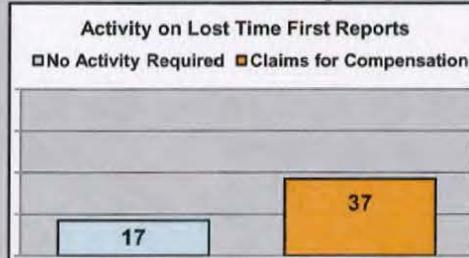
Old Republic Insurance is an insurer that used third parties to administer claims in 2013 under the following rating companies:

Old Republic General Insurance
Old Republic Insurance

Old Republic Insurance used the following third parties in 2013:

Broadspire Services
Cannon Cochran Management Svcs.
CorVel Enterprise Comp.
ESIS
F.A. Richard
Gallagher Bassett Services
Helmsmen Management Services
Ryder Services
Sedgwick Claims Management Svcs.

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

22%

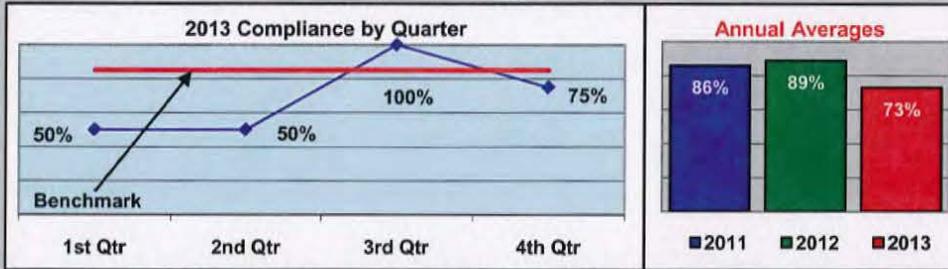
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

32%

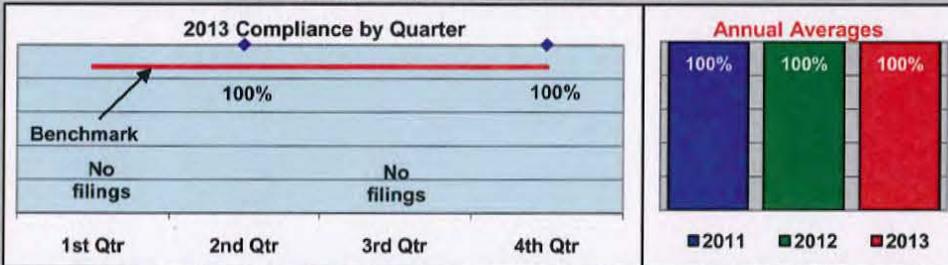
**Annual Compliance Report
01/01/2013 -12/31/2013**

PATRIOT INSURANCE

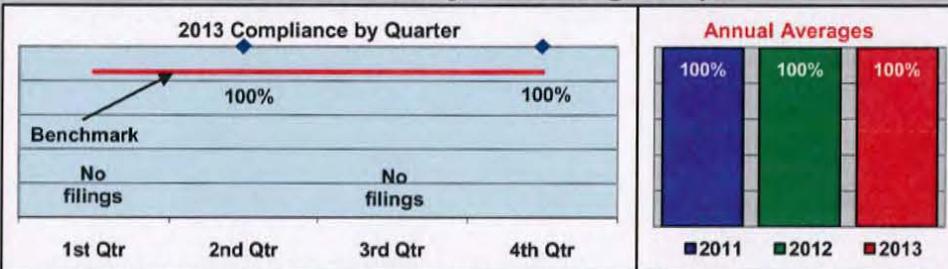
Lost Time First Report Filing Compliance



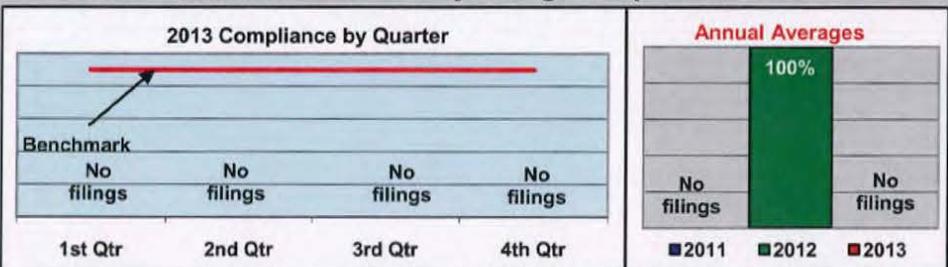
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Patriot Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

Frankenmuth Mutual Insurance
Patriot Insurance

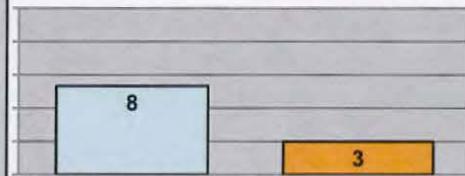
Utilization Analysis

Lost Time First Reports Received



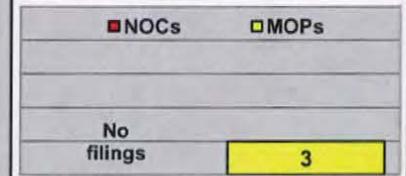
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs □ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

0%

Percent of Claims for Compensation Denied

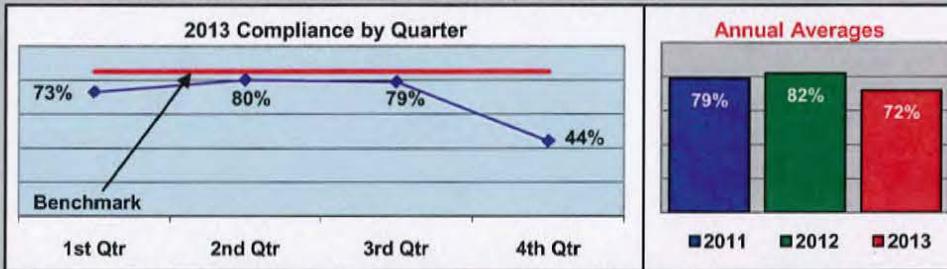
(Initial Indemnity NOCs / Claims for Compensation)

0%

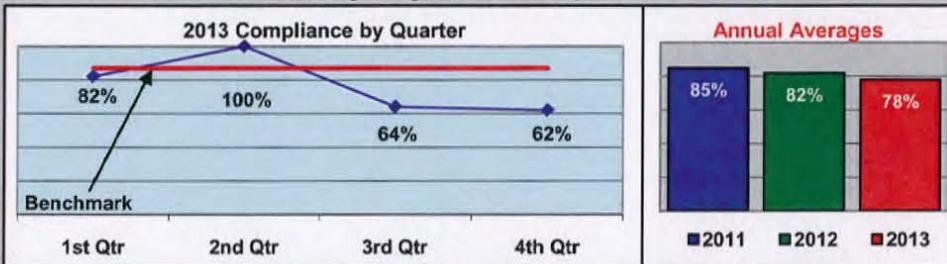
Annual Compliance Report 01/01/2013 -12/31/2013

PEERLESS INSURANCE

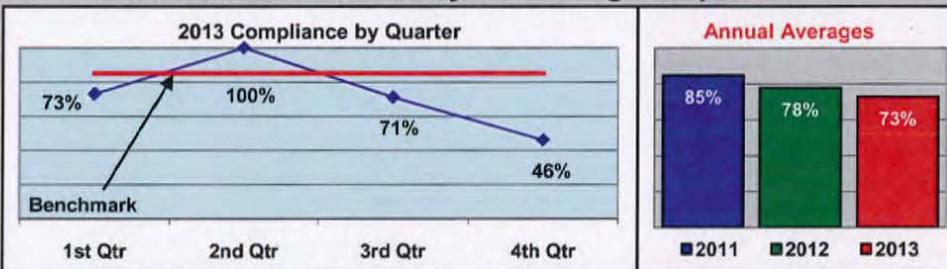
Lost Time First Report Filing Compliance



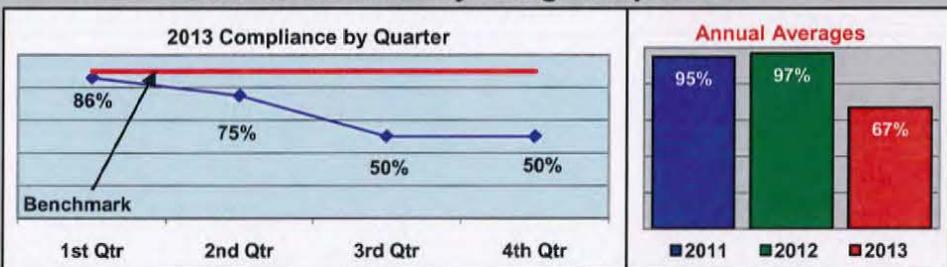
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

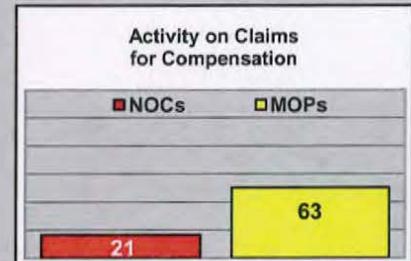
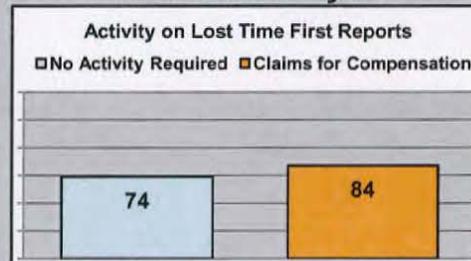


Summary

Peerless Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

Excelsior Insurance Co.
Netherlands Insurance Co.
Peerless Indemnity
Peerless Insurance Co.

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

13%

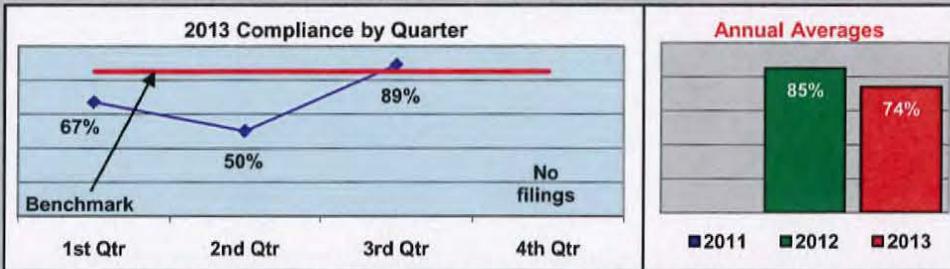
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

25%

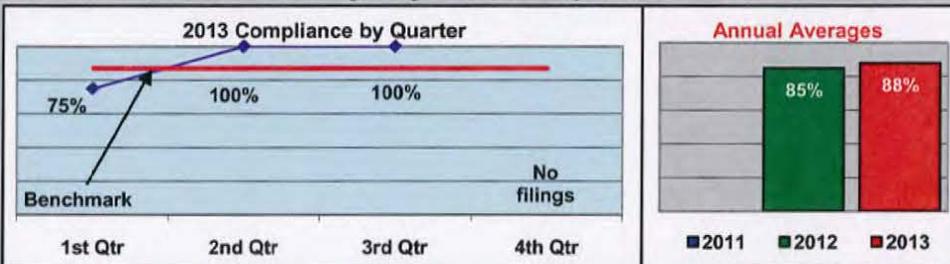
Annual Compliance Report 01/01/2013 -12/31/2013

PENNSYLVANIA MANUFACTURERS' ASSOCIATION

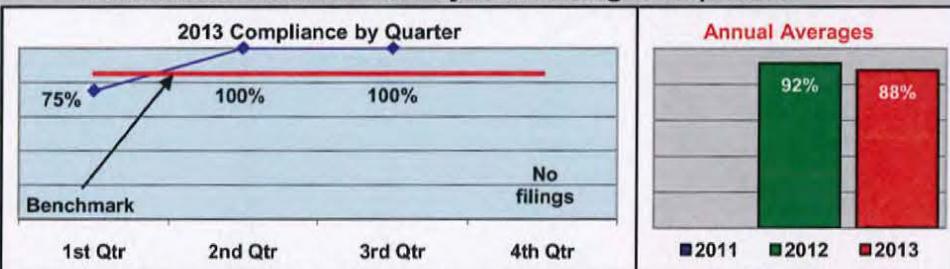
Lost Time First Report Filing Compliance



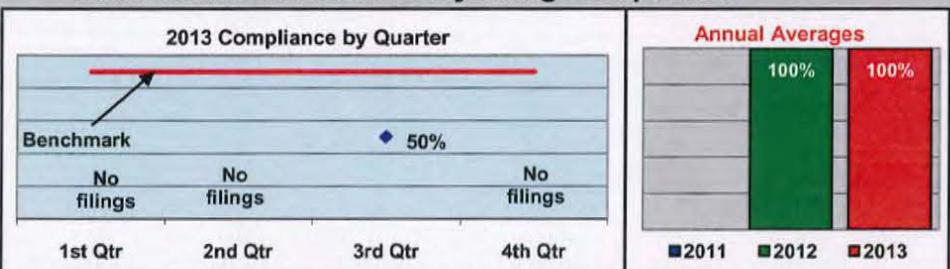
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

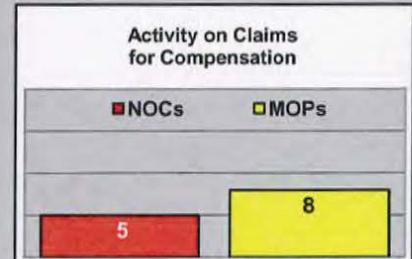
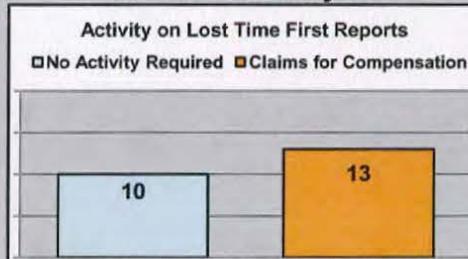
Pennsylvania Manufacturers' Association is an insurer that used third parties to administer claims in 2013 under the following rating companies:

Manufacturers Alliance Insurance
Pennsylvania Mfg. Assn. Ins. Co.
Pennsylvania Mfg. Indemnity Co.

Pennsylvania Manufacturers' Association used the following third parties in 2013:

F.A. Richard
Gallagher Bassett Services
The American Equity Underwriters

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

22%

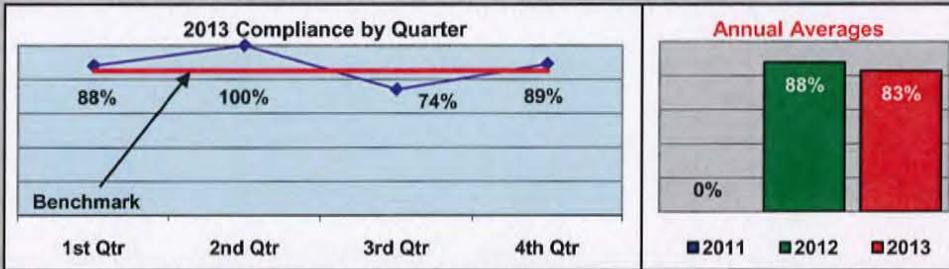
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

38%

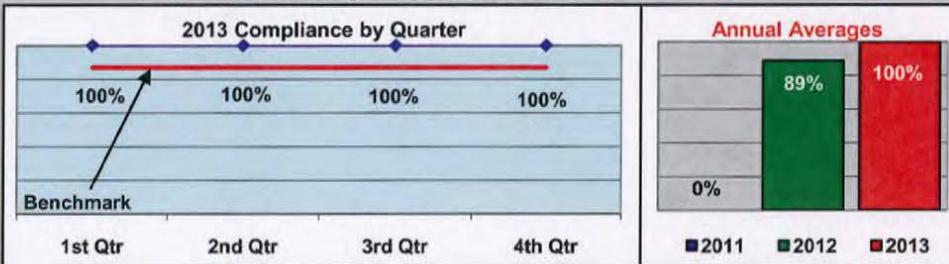
**Annual Compliance Report
01/01/2013 -12/31/2013**

QBE INSURANCE

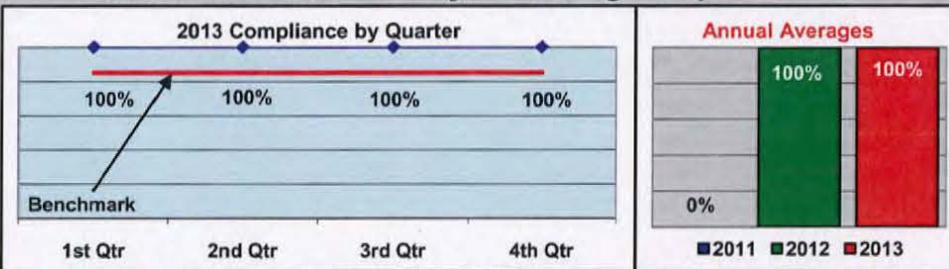
Lost Time First Report Filing Compliance



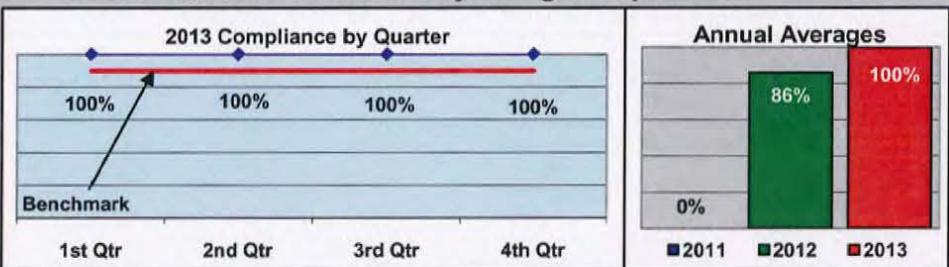
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

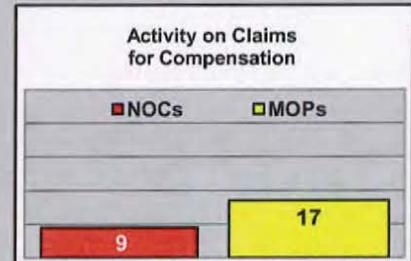
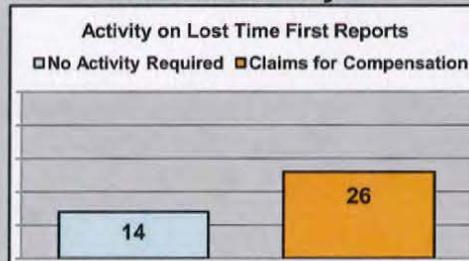
QBE Insurance is an insurer that used third parties to administer claims in 2013 under the following rating companies:

QBE Insurance
Praetorian Insurance

QBE Insurance used the following third parties in 2013:

Cannon Cochran Management Svcs.
Sedgwick Claims Management Svcs.
York Risk Services

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

23%

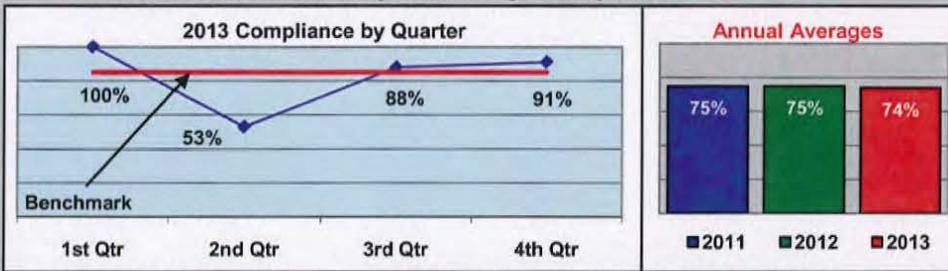
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

35%

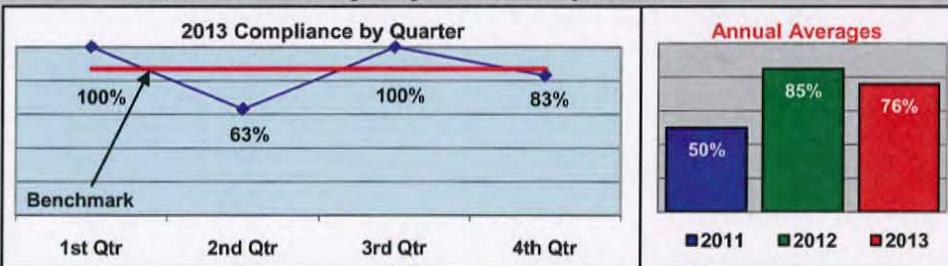
**Annual Compliance Report
01/01/2013 -12/31/2013**

SAFETY NATIONAL INSURANCE

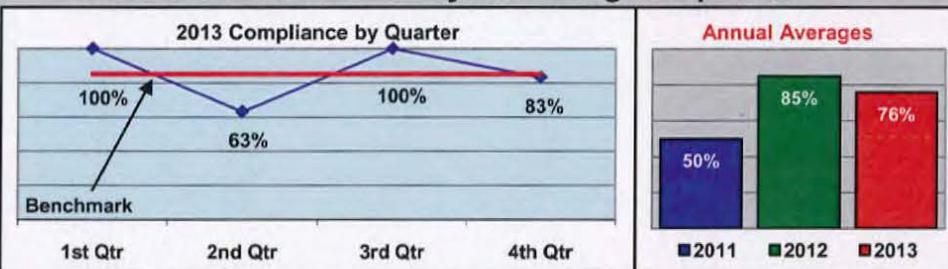
Lost Time First Report Filing Compliance



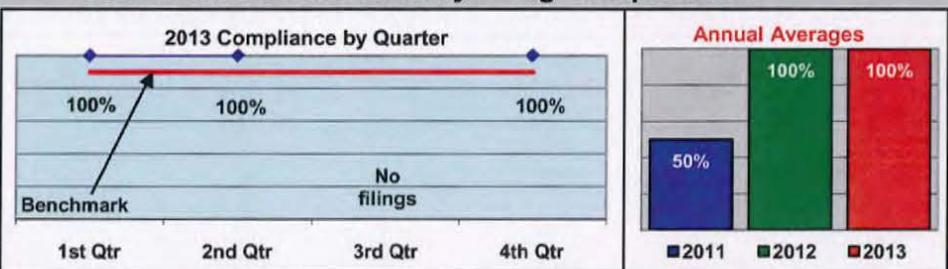
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

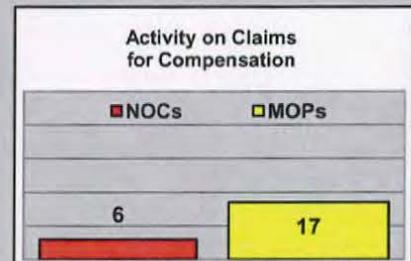
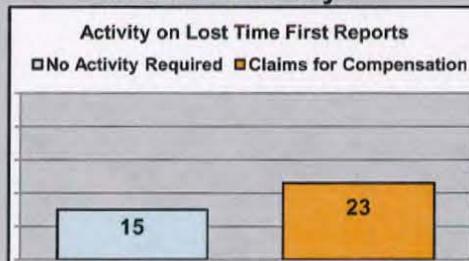
Safety National Insurance is an insurer that used third parties to administer claims in 2013 under the following rating company:

Safety National Casualty

Safety National Insurance used the following third parties in 2013:

- Broadspire Services
- Cannon Cochran Management Svcs.
- CorVel Enterprise Comp.
- Gallagher Bassett Services
- Matrix Absence Management
- Sedgwick Claims Management Svcs.
- York Risk Services

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

16%

Percent of Claims for Compensation Denied

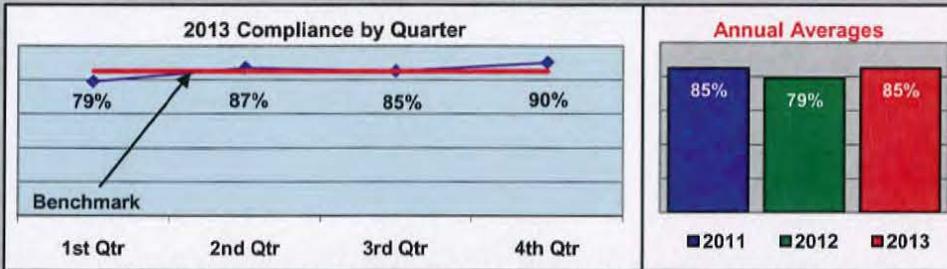
(Initial Indemnity NOCs / Claims for Compensation)

26%

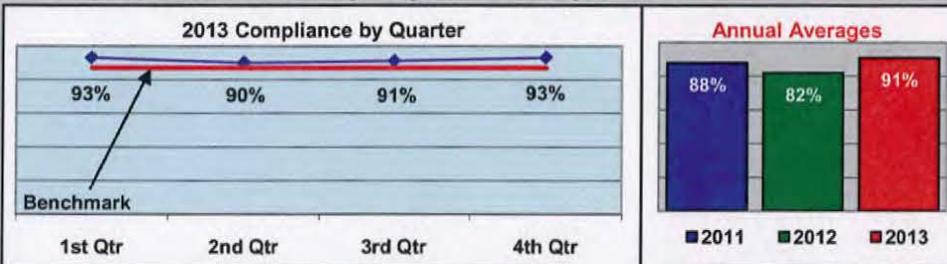
**Annual Compliance Report
01/01/2013 -12/31/2013**

SEDGWICK CLAIMS MANAGEMENT SERVICES

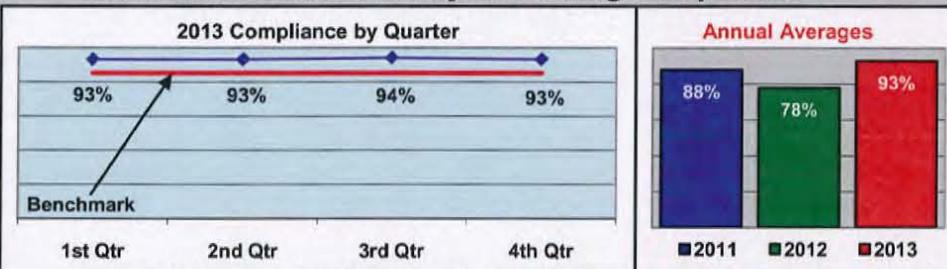
Lost Time First Report Filing Compliance



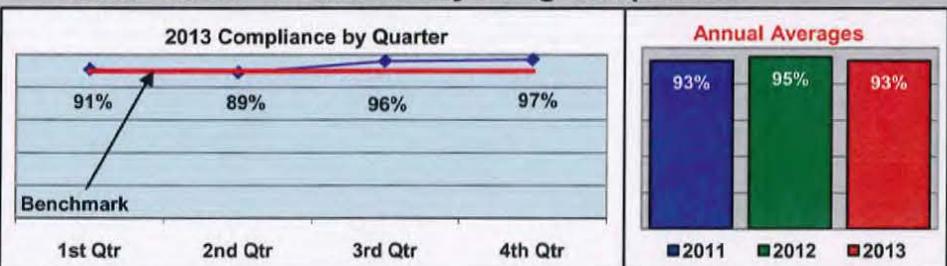
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

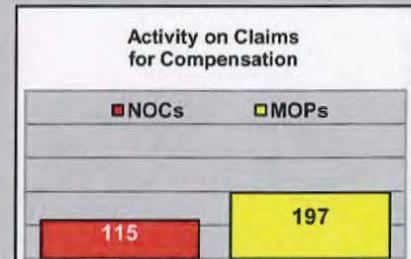
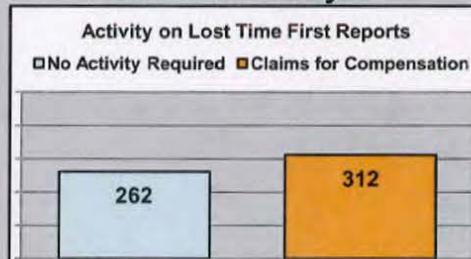
Sedgwick Claims Management Services is a third party administrator that administered claims in 2013 for the following rating companies:

- ACE Insurance
- American Home Assurance
- American Zurich Insurance
- Arch Insurance
- Electric Insurance
- Everest National Insurance
- Fidelity & Guaranty Insurance
- Hartford Insurance of the Midwest
- Illinois National Insurance
- Indemnity Ins. Co. of No. America
- Ins. Co. of the State of Pennsylvania
- National Union Fire Ins. Co. of Pitts.
- New Hampshire Insurance
- Old Republic Insurance
- Praetorian Insurance
- Safety National Casualty
- Standard Fire Insurance
- Trumbull Insurance
- XL Insurance America
- XL Specialty Insurance Co.
- Zurich American Insurance

and self-insured employers:

- BJME Operating Corporation
- Evonik Cyro LLC
- Federal Express Corporation
- Great Northern Nekoosa Corp.
- KeyBank National Association
- NewPage Corporation
- Shaw's Supermarkets
- Tambrands
- Verizon

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

20%

Percent of Claims for Compensation Denied

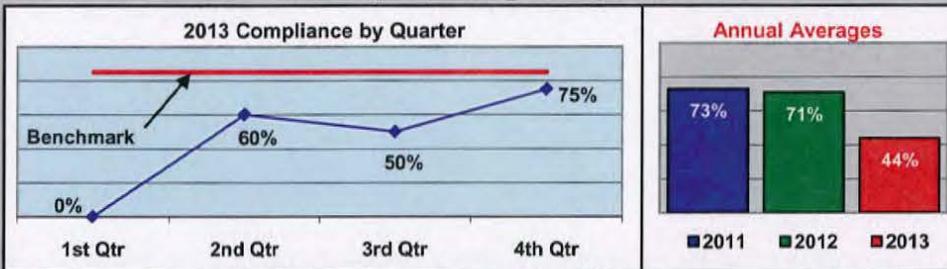
(Initial Indemnity NOCs / Claims for Compensation)

37%

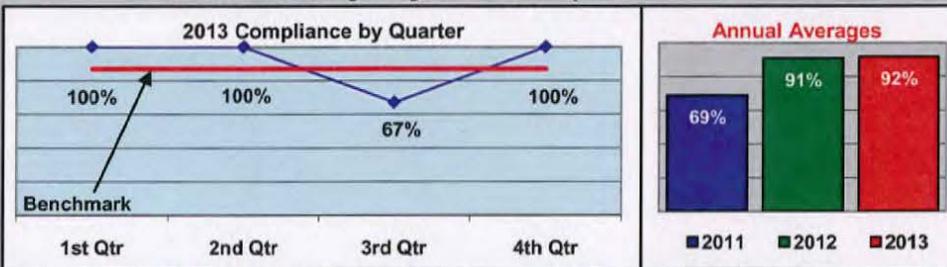
**Annual Compliance Report
01/01/2013 -12/31/2013**

SENTRY INSURANCE

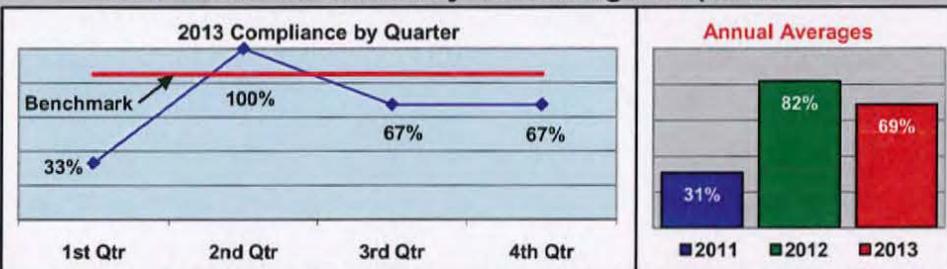
Lost Time First Report Filing Compliance



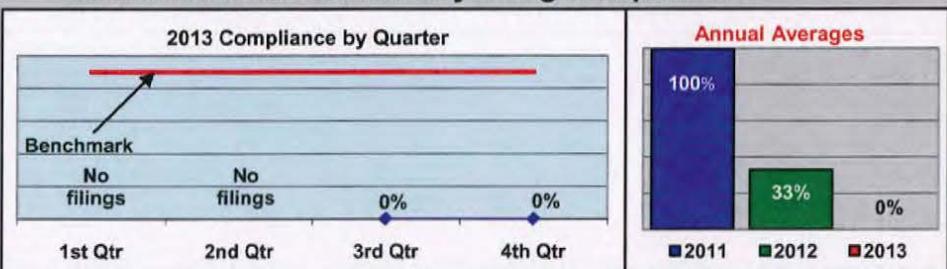
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

Sentry Insurance is an insurer that administered its own claims in 2013 under the following rating companies:

- Sentry A Mutual Co.
- Sentry Casualty Co.
- Sentry Select Insurance Co.

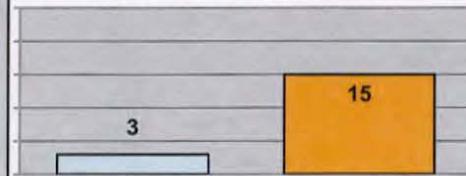
Utilization Analysis

Lost Time First Reports Received

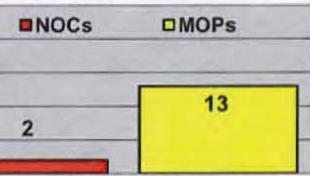


Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

11%

Percent of Claims for Compensation Denied

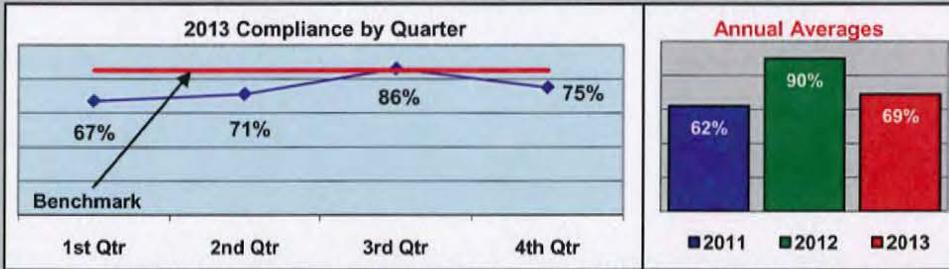
(Initial Indemnity NOCs / Claims for Compensation)

13%

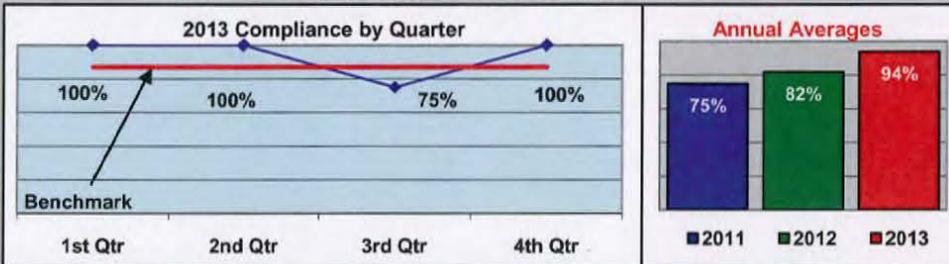
**Annual Compliance Report
01/01/2013 -12/31/2013**

SPARTA INSURANCE

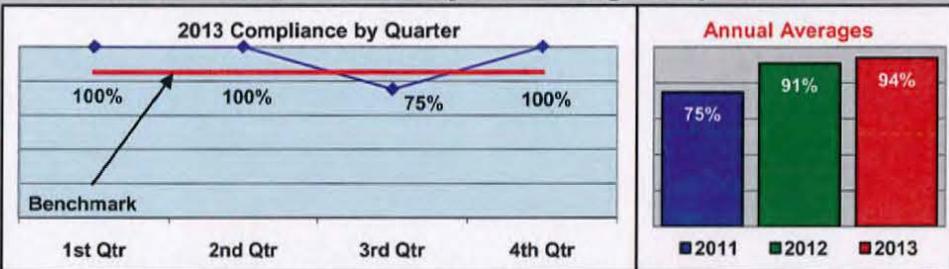
Lost Time First Report Filing Compliance



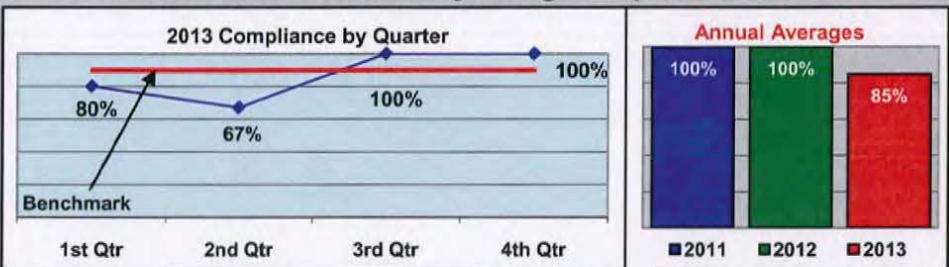
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

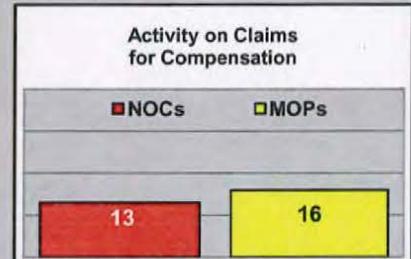
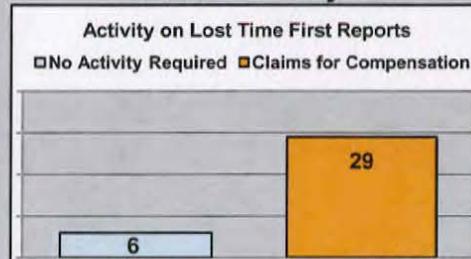
Sparta Insurance is an insurer that used third parties to administer claims in 2013 under the following rating company:

Sparta Insurance

Sparta Insurance used the following third party in 2013:

Cottingham & Butler Claims Svcs.

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

37%

Percent of Claims for Compensation Denied

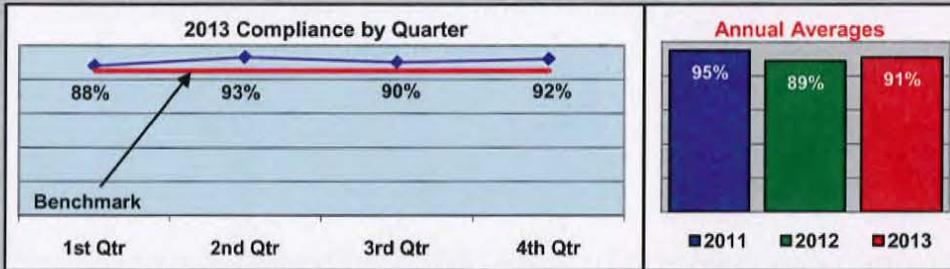
(Initial Indemnity NOCs / Claims for Compensation)

45%

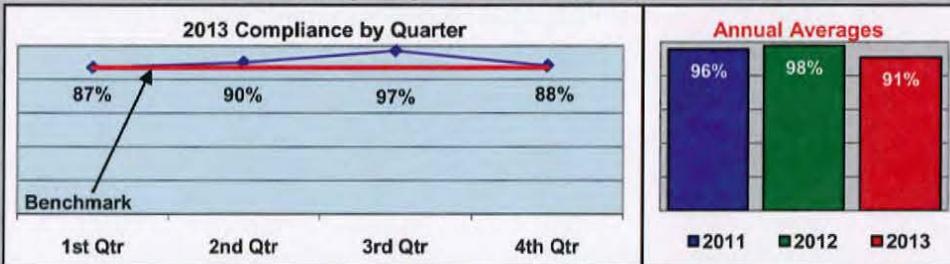
**Annual Compliance Report
01/01/2013 -12/31/2013**

STATE OF MAINE WORKERS' COMPENSATION TRUST

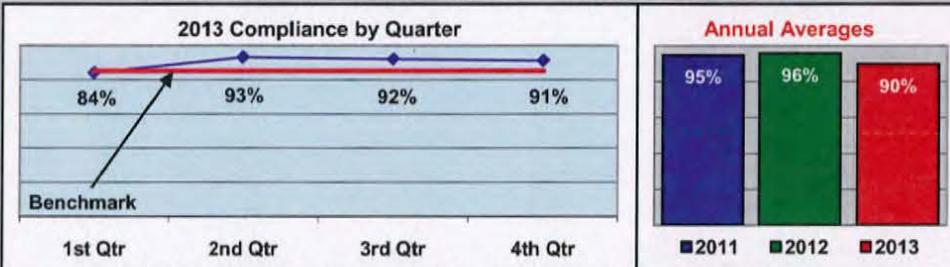
Lost Time First Report Filing Compliance



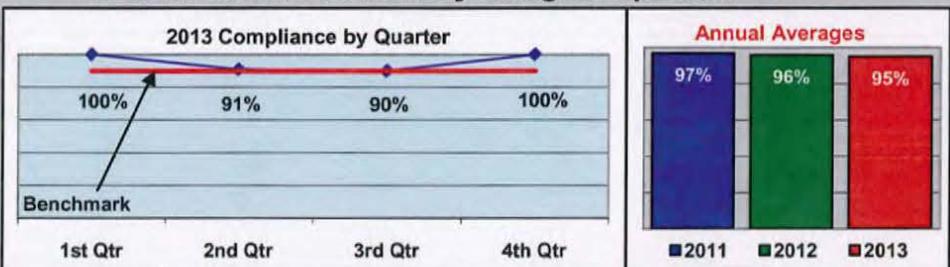
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

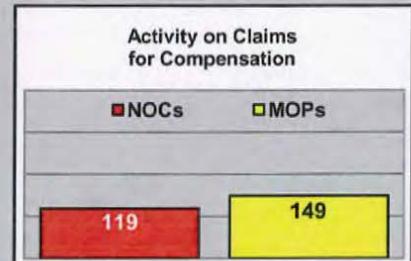
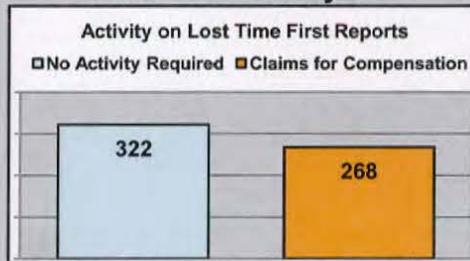


Summary

State of Maine Workers' Compensation Trust is a self-insured employer that administered its own claims in 2013 under the following name:

State of Maine Workers' Comp. Div.

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

20%

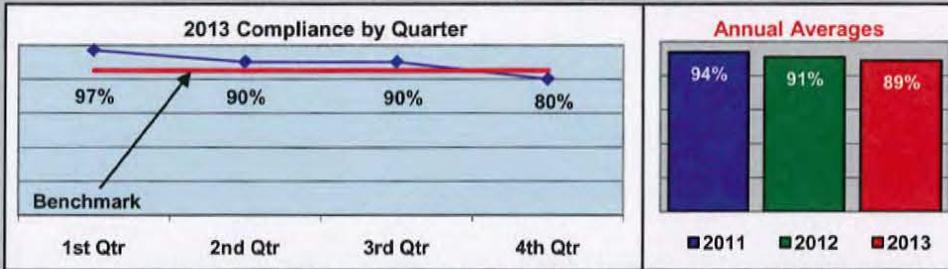
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

44%

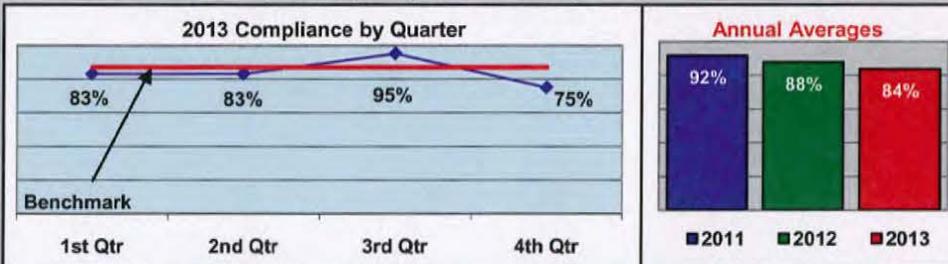
Annual Compliance Report 01/01/2013 -12/31/2013

SYNERNET

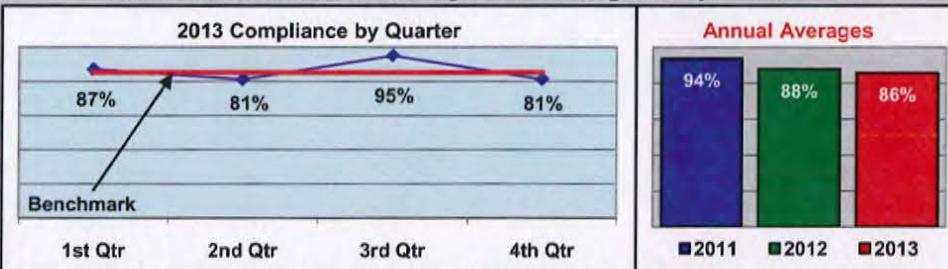
Lost Time First Report Filing Compliance



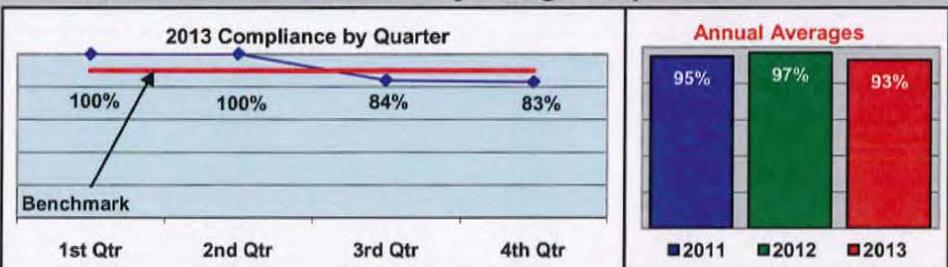
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

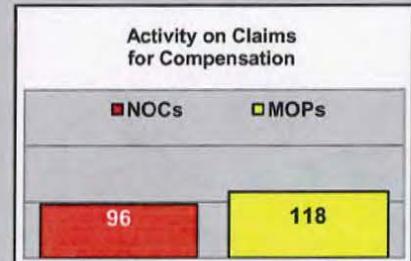
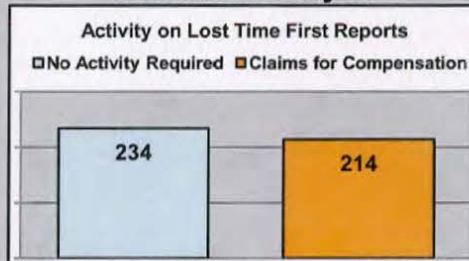


Summary

Synernet is a third party administrator that administered claims in 2013 for the following self-insured employers:

MaineHealth Workers' Comp.
NewPage Corporation
St. Mary's Health System
Synernet Workers' Comp Fund

Utilization Analysis



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

21%

Percent of Claims for Compensation Denied

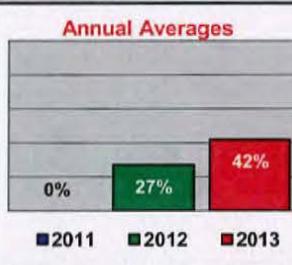
(Initial Indemnity NOCs / Claims for Compensation)

45%

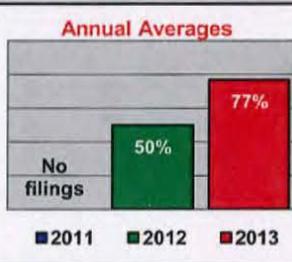
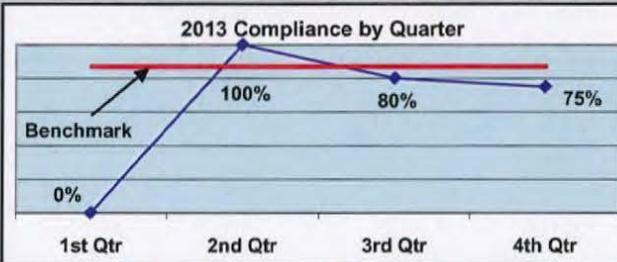
**Annual Compliance Report
01/01/2013 -12/31/2013**

TOWER INSURANCE

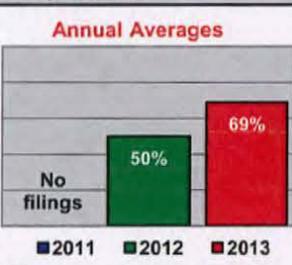
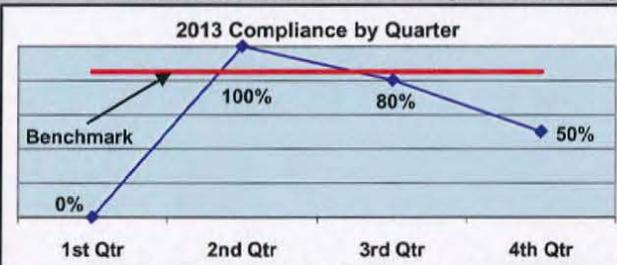
Lost Time First Report Filing Compliance



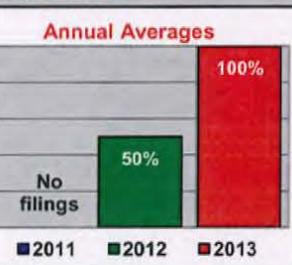
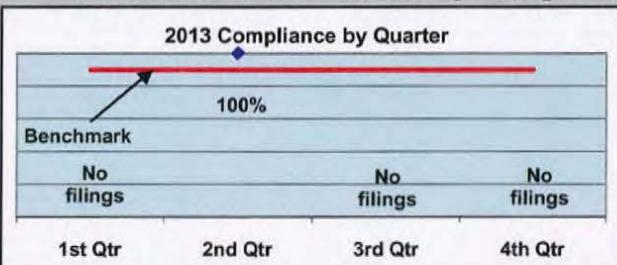
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



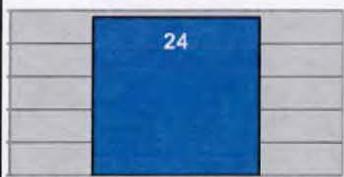
Summary

Tower Insurance is an insurer that administered its own claims in 2013 under the following rating company:

Tower Insurance Co. of New York

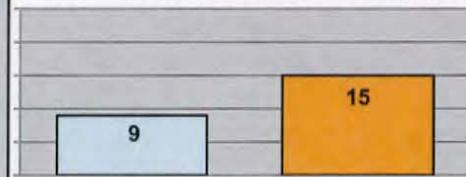
Utilization Analysis

Lost Time First Reports Received



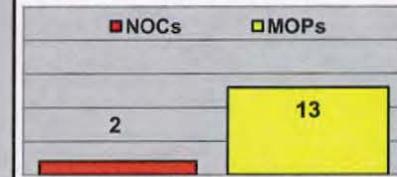
Activity on Lost Time First Reports

□ No Activity Required ■ Claims for Compensation



Activity on Claims for Compensation

■ NOCs ■ MOPs



Percent of Lost Time First Reports Denied

(Initial Indemnity NOCs / Lost Time First Reports)

8%

Percent of Claims for Compensation Denied

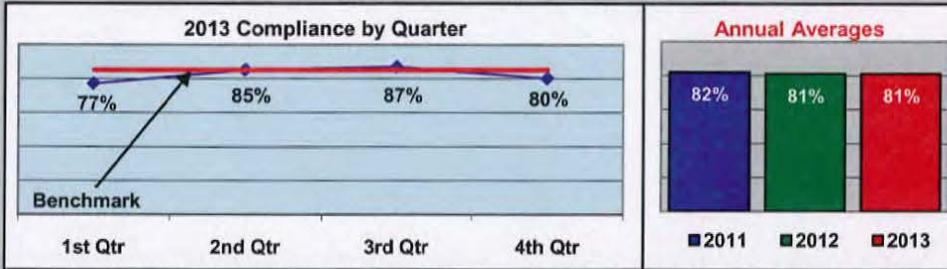
(Initial Indemnity NOCs / Claims for Compensation)

13%

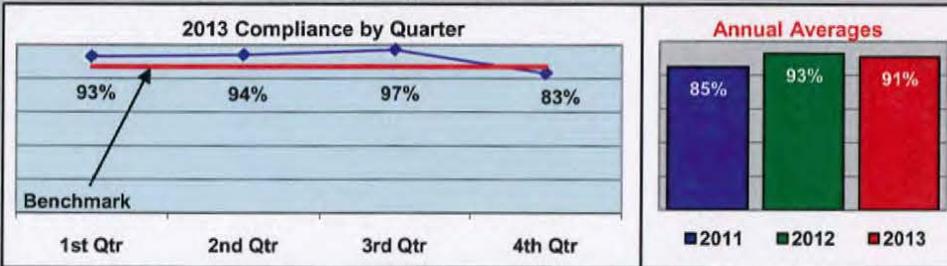
**Annual Compliance Report
01/01/2013 -12/31/2013**

TRAVELERS INSURANCE

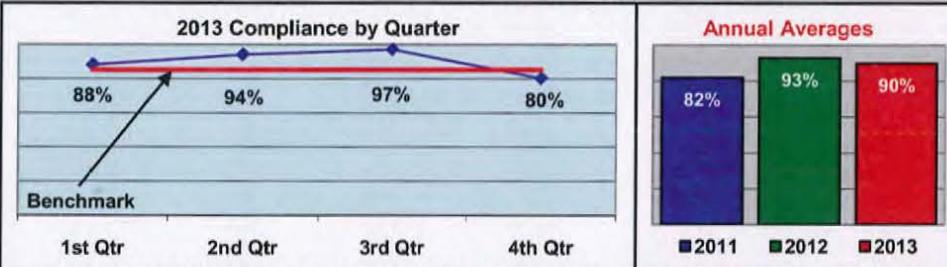
Lost Time First Report Filing Compliance



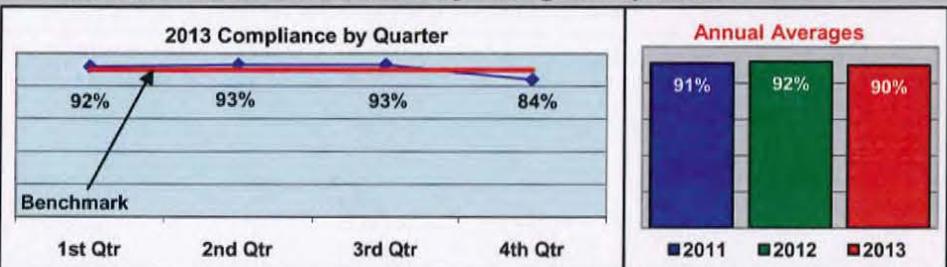
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

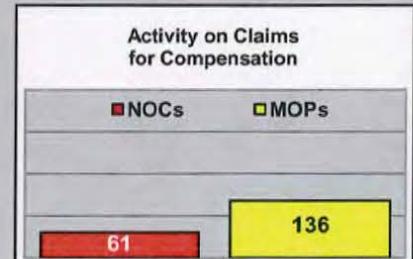
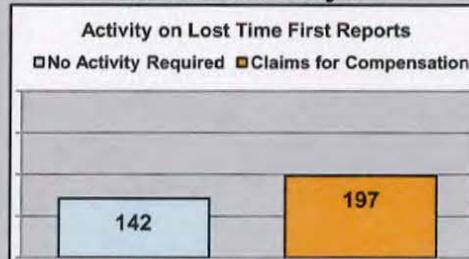
Travelers Insurance is an insurer that administered its own claims and used third parties to administer claims in 2013 under the following rating companies:

- Charter Oak Fire Insurance
- Discover Property & Casulty Ins.
- Farmington Casualty
- Fidelity & Guaranty Insurance
- Standard Fire Insurance
- The Phoenix Insurance
- Travelers Casualty & Surety
- Travelers Cas. Ins. Co. of America
- Travelers Commercial Casualty
- Travelers Indemnity
- Travelers Indemnity Co. of America
- Travelers Property Casualty
- United States Fidelity & Guaranty

Travelers Insurance used the following third parties in 2013:

- Broadspire Services
- Gallagher Bassett Services
- Sedgwick Claims Management Svcs.
- York Risk Services

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

18%

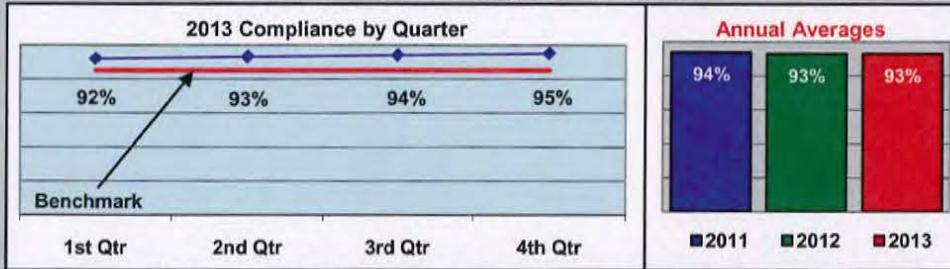
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

31%

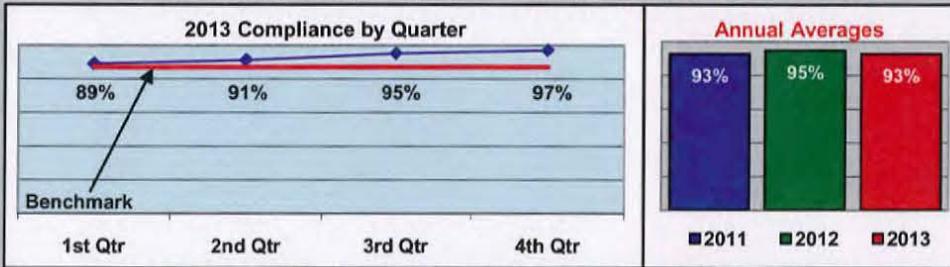
**Annual Compliance Report
01/01/2013 -12/31/2013**

WILLIS OF NORTHERN NEW ENGLAND

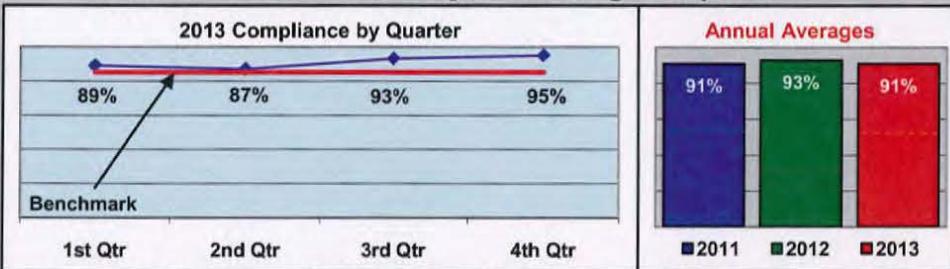
Lost Time First Report Filing Compliance



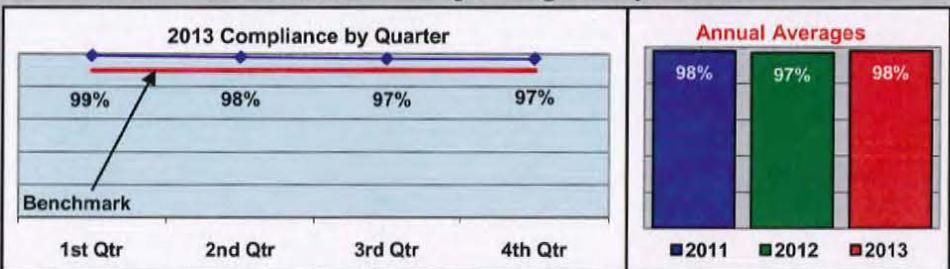
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

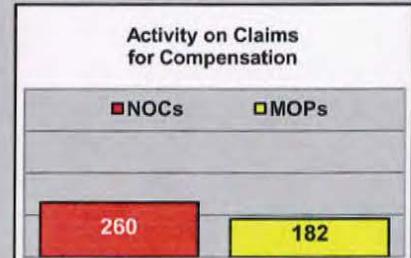
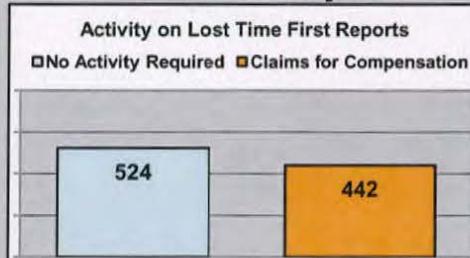


Summary

Willis of Northern New England is a third party administrator that administered claims in 2013 for the following self-insured employers:

- Auburn, City of
- Central Maine Power Co.
- Construction Services Group Trust
- Distributors Suppliers Group Trust
- Eastern Maine Group
- Forest Products Group Trust
- Hussey Seating Co.
- Maine Oil Dealers Association
- MaineGeneral Health
- ME Chamber of Comm. & Industry
- Mfg. of Maine Group Trust
- Parker Hannifin Corporation
- Social Services & Education

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

27%

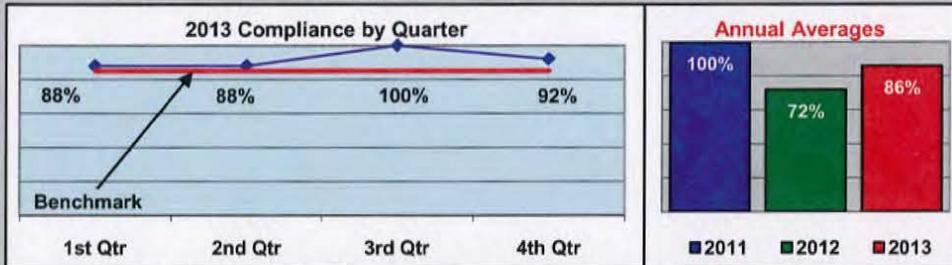
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

59%

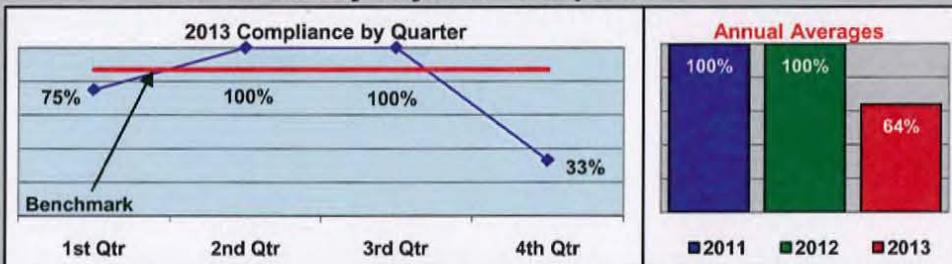
Annual Compliance Report 01/01/2013 -12/31/2013

XL INSURANCE

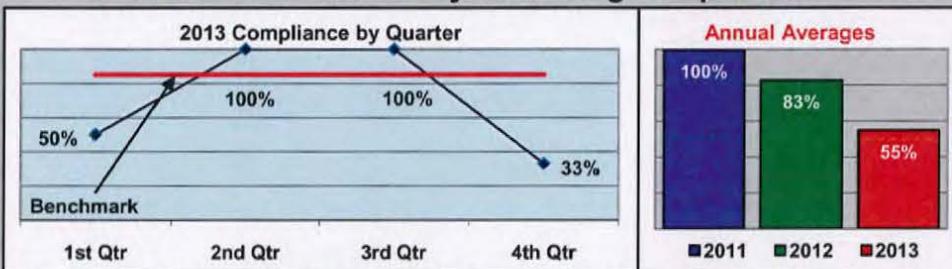
Lost Time First Report Filing Compliance



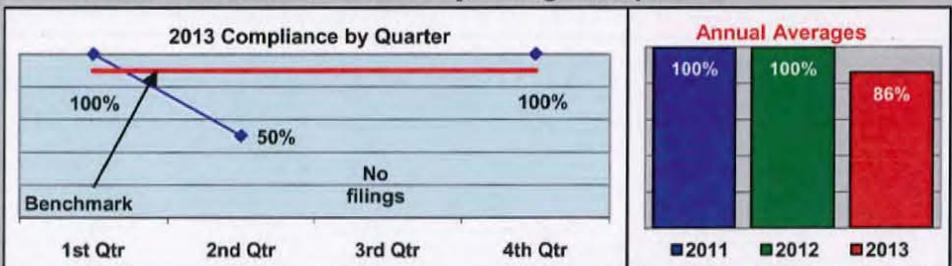
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

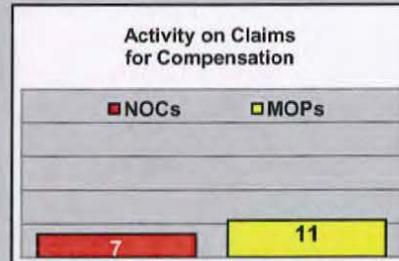
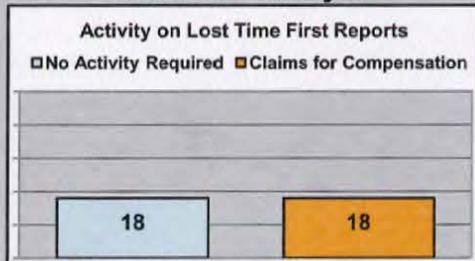
XL Insurance is an insurer that used third parties to administer claims in 2013 under the following rating companies:

XL Insurance America
XL Specialty Insurance Co.

XL Insurance used the following third parties in 2013:

Broadspire Services
Cannon Cochran Management Svcs.
ESIS
Gallagher Bassett Services
Sedgwick Claims Management Svcs.

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

19%

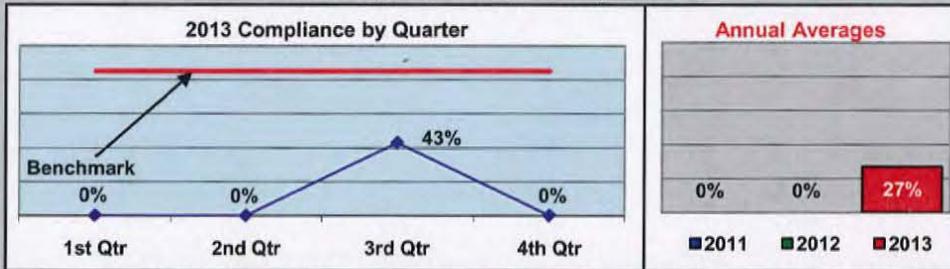
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

39%

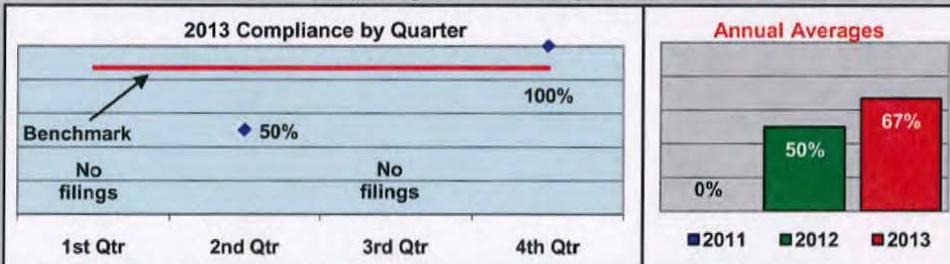
**Annual Compliance Report
01/01/2013 -12/31/2013**

YORK RISK SERVICES

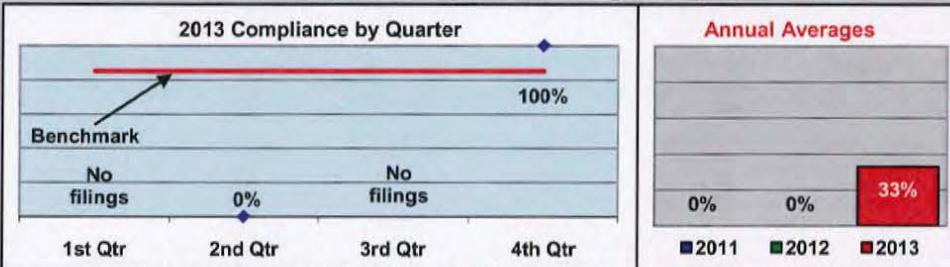
Lost Time First Report Filing Compliance



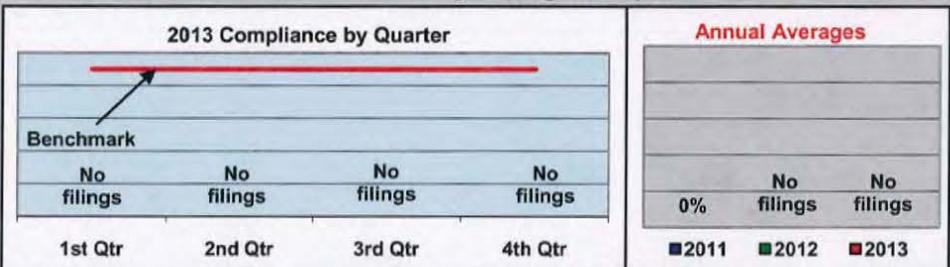
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance

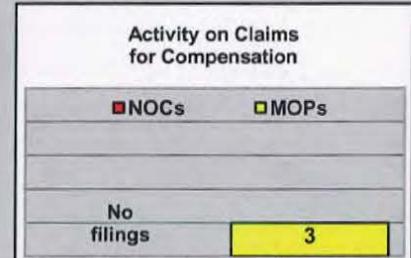
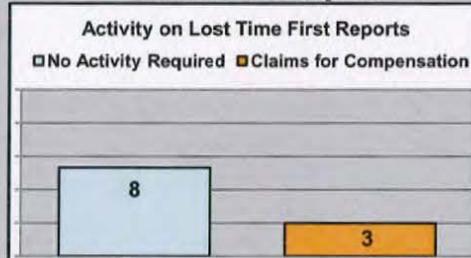


Summary

York Risk Services is a third party administrator that administered claims in 2013 for the following rating companies:

- ACE Insurance
- Safety National Insurance
- Twin City Fire Insurance Company
- QBE Insurance

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

0%

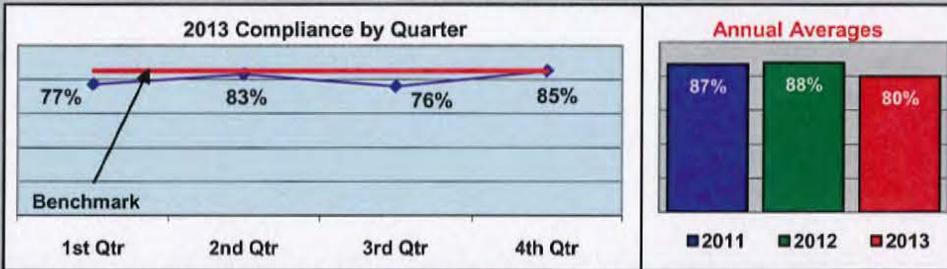
Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

0%

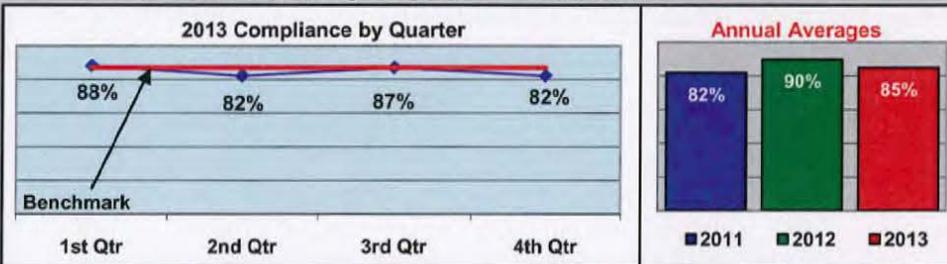
**Annual Compliance Report
01/01/2013 -12/31/2013**

ZURICH INSURANCE

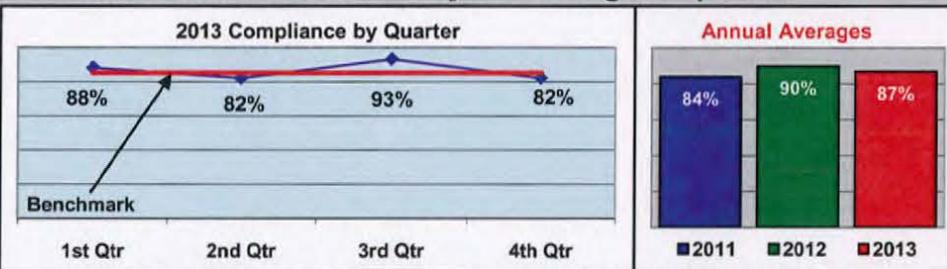
Lost Time First Report Filing Compliance



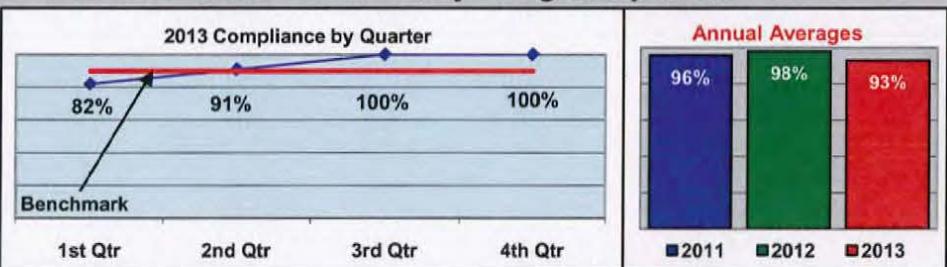
Initial Indemnity Payment Compliance



Initial Memorandum of Payment Filing Compliance



Initial Notice of Controversy Filing Compliance



Summary

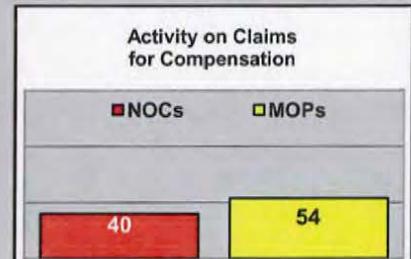
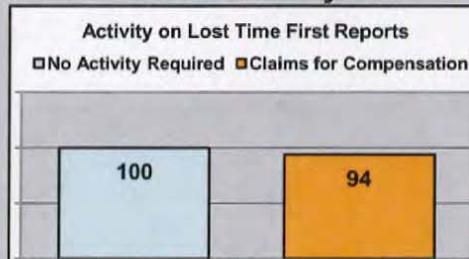
Zurich Insurance is an insurer that administered its own claims and used third parties to administer claims in 2013 under the following rating companies:

American Guaranty & Liability Co.
American Zurich Insurance
Maryland Casualty Company
Northern Insurance of New York
Zurich American Insurance

Zurich Insurance used the following third parties in 2013:

Chesterfield Services
Cottingham & Butler Claims Svcs.
ESIS
Gallagher Bassett Services
Patriot Risk Services
Sedwick Claims Management Svcs.
Tristar Risk Enterprise Management

Utilization Analysis



Percent of Lost Time First Reports Denied
(Initial Indemnity NOCs / Lost Time First Reports)

21%

Percent of Claims for Compensation Denied
(Initial Indemnity NOCs / Claims for Compensation)

43%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA010	ACADIA INSURANCE Group Total	FROIs Filed 211	Timely FROIs 185	Compliance 88%	Payments Made 64	Timely Payments 58	Compliance 91%
ACE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
ACE INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	8	8	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	29	26	90%	6	4	67%
CA110	CONSTITUTION STATE SERVICES	25	23	92%	10	9	90%
CA160	ESIS	136	108	79%	40	35	88%
CA190	GALLAGHER BASSETT SERVICES	79	68	86%	28	23	82%
CA204	HELMSMAN MANAGEMENT SERVICES	21	19	90%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	186	156	84%	72	65	90%
CA382	WILLIS OF NORTHERN NEW ENGLAND	2	2	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	1	1	100%
TPA Total		487	410	84%	161	141	88%
ACE INSURANCE Group Total		487	410	84%	161	141	88%
AIG INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	AIG CLAIMS, INC.	78	68	87%	30	29	97%
CA258	NEW HAMPSHIRE INSURANCE CO.	2	2	100%	1	1	100%
Total		80	70	88%	31	30	97%
AIG INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	102	94	92%	23	20	87%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	4	100%	3	3	100%
CA100	CLAIMS MANAGEMENT (WALMART)	186	184	99%	33	30	91%
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	No filings	No filings	No filings
CA160	ESIS	78	61	78%	37	30	81%
CA190	GALLAGHER BASSETT SERVICES	113	103	91%	32	28	88%
CA204	HELMSMAN MANAGEMENT SERVICES	34	33	97%	13	13	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	143	132	92%	45	42	93%
TPA Total		661	611	92%	186	166	89%
AIG INSURANCE Group Total		741	681	92%	217	196	90%
AMTRUST NORTH AMERICA		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA342	TECHNOLOGY INSURANCE	60	22	37%	7	5	71%
CA381	WESCO INSURANCE	11	4	36%	No filings	No filings	No filings
Group Total		71	26	37%	7	5	71%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ARCH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
ARCH INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	5	5	100%	2	2	100%
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	15	13	87%	7	6	86%
CA204	HELMSMAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	30	26	87%	10	9	90%
TPA Total		52	45	87%	20	18	90%
ARCH INSURANCE Group Total		52	45	87%	20	18	90%
ARGONAUT INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA020	Group Total	1	0	0%	1	1	100%
ARROW MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA032	Group Total	1	1	100%	No filings	No filings	No filings
BATH IRON WORKS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	401	397	99%	51	51	100%
BERKSHIRE HATHAWAY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	2	1	50%	2	1	50%
BROADSPIRE SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	166	152	92%	49	45	92%
CANNON COCHRAN MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	557	504	90%	169	149	88%
CHESTERFIELD SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	8	6	75%	2	2	100%
CHUBB INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA090	Total	24	11	46%	17	15	88%
CHUBB INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	15	12	80%	5	4	80%
TPA Total		16	13	81%	6	5	83%
CHUBB INSURANCE Group Total		40	24	60%	23	20	87%
CHURCH MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA084	Group Total	4	3	75%	1	0	0%
CIANBRO CORPORATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	3	1	33%	1	0	0%
CITY OF BANGOR		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	34	33	97%	29	29	100%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CLAIMS MANAGEMENT (WALMART)		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	186	184	99%	33	30	91%
CNA INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	15	11	73%	5	4	80%
CONSTITUTION STATE SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	26	23	88%	10	9	90%
CONTINENTAL INDEMNITY		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	5	2	40%	3	3	100%
CORVEL ENTERPRISE COMP.		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	21	12	57%	8	4	50%
COTTINGHAM & BUTLER CLAIMS SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	41	27	66%	18	17	94%
CRUM & FORSTER		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	CRUM & FORSTER	3	2	67%	1	1	100%
CA257	NORTH RIVER INSURANCE	1	0	0%	1	0	0%
CA375	UNITED STATES FIRE INSURANCE	1	0	0%	1	1	100%
	Group Total	5	2	40%	3	2	67%
ELECTRIC INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
ELECTRIC INSURANCE TPA Administered Claims							
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	16	11	69%	9	8	89%
	TPA Total	16	11	69%	9	8	89%
	ELECTRIC INSURANCE Group Total	16	11	69%	9	8	89%
ESIS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	232	180	78%	85	70	82%
EVEREST NATIONAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
EVEREST NATIONAL INSURANCE TPA Administered Claims							
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	EVEREST NATIONAL INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
F.A. RICHARD		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	3	3	100%	No filings	No filings	No filings
FEDERATED MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	5	1	20%	3	2	67%
CA092	FEDERATED SERVICE INSURANCE	3	1	33%	No filings	No filings	No filings
	Group Total	8	2	25%	3	2	67%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA175	FUTURECOMP Group Total	249	231	93%	82	72	88%
CA190	GALLAGHER BASSETT SERVICES Group Total	353	301	85%	123	105	85%
CA193	GREAT AMERICAN INSURANCE Group Total	8	4	50%	1	1	100%
	GREAT FALLS INSURANCE Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	156	136	87%	42	38	90%
	TPA Total	156	136	87%	42	38	90%
	GREAT FALLS INSURANCE Group Total	156	136	87%	42	38	90%
CA195	GUARANTEE INSURANCE Group Total	7	2	29%	3	1	33%
CA019	GUARD INSURANCE AMGUARD INSURANCE COMPANY	39	27	69%	8	3	38%
CA140	EASTGUARD INSURANCE COMPANY	71	47	66%	17	15	88%
CA272	NORGUARD INSURANCE COMPANY	19	11	58%	11	9	82%
	Group Total	129	85	66%	36	27	75%
	GUIDEONE MUTUAL INSURANCE Total	*	*	*	*	*	*
	GUIDEONE MUTUAL INSURANCE TPA Administered Claims						
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	GUIDEONE MUTUAL INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
CA201	HANNAFORD BROTHERS Group Total	310	284	92%	57	52	91%
CA048	HANOVER INSURANCE CITIZENS INSURANCE CO. OF AMERICA	22	15	68%	7	7	100%
CA202	HANOVER INSURANCE CO.	55	50	91%	17	15	88%
CA228	MASSACHUSETTS BAY INSURANCE CO.	13	12	92%	9	7	78%
	Group Total	90	77	86%	33	29	88%
CA197	HARLEYSVILLE INSURANCE Group Total	2	0	0%	1	0	0%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
HARTFORD INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	22	18	82%	2	2	100%
CA185	HARTFORD CASUALTY INS. CO.	21	16	76%	4	3	75%
CA203	HARTFORD FIRE INSURANCE CO.	13	6	46%	4	2	50%
CA186	HARTFORD INSURANCE CO. OF THE MIDWEST	30	25	83%	9	7	78%
CA187	HARTFORD UNDERWRITERS INSURANCE	31	24	77%	7	6	86%
CA288	PROPERTY & CASUALTY INSURANCE CO.	11	7	64%	4	4	100%
CA296	SENTINEL INSURANCE CO.	10	5	50%	4	4	100%
CA319	TRUMBULL INSURANCE	54	52	96%	13	12	92%
CA321	TWIN CITY FIRE INSURANCE CO.	74	63	85%	18	16	89%
Total		266	216	81%	65	56	86%
HARTFORD INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	4	4	100%	3	3	100%
CA116	CORVEL ENTERPRISE COMP.	8	4	50%	3	1	33%
CA190	GALLAGHER BASSETT SERVICES	11	7	64%	5	5	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	28	26	93%	5	5	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	4	4	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	2	0	0%	No filings	No filings	No filings
TPA Total		57	45	79%	16	14	88%
HARTFORD INSURANCE Group Total		323	261	81%	81	70	86%
HELMSMAN MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	Group Total	65	57	88%	20	19	95%
LIBERTY MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	34	28	82%	10	8	80%
CA210	LIBERTY MUTUAL INSURANCE CO.	233	188	81%	85	77	91%
CA406	THE OHIO CASUALTY INSURANCE CO.	1	1	100%	No filings	No filings	No filings
Total		268	217	81%	95	85	89%
LUMBERMEN'S UNDERWRITING		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA211	Group Total	5	1	20%	3	0	0%
MACY'S CORPORATE SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	Group Total	1	1	100%	No filings	No filings	No filings
MAINE AUTOMOBILE DEALERS ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	121	112	93%	49	47	96%
MAINE EMPLOYERS' MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	4285	3487	81%	1254	1179	94%
MAINE HEALTHCARE ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	135	124	92%	19	18	95%
MAINE MOTOR TRANSPORT ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	247	211	85%	47	41	87%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
MAINE MUNICIPAL ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	909	873	96%	220	197	90%
MAINE SCHOOL MANAGEMENT ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	282	266	94%	63	60	95%
MATRIX ABSENCE MANAGEMENT		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA252	Group Total	2	1	50%	1	1	100%
MEADOWBROOK		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	34	23	68%	13	10	77%
mitsui sumitomo insurance		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA233	Group Total	1	0	0%	1	1	100%
NATIONAL CASUALTY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA289	Group Total	4	2	50%	No filings	No filings	No filings
NATIONAL INTERSTATE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	3	3	100%	1	1	100%
NATIONWIDE AGRIBUSINESS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291	Group Total	2	1	50%	1	1	100%
NGM INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	6	3	50%	6	6	100%
OLD REPUBLIC INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
OLD REPUBLIC INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	9	7	78%	2	1	50%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	13	12	92%	4	4	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	1	1	100%
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	12	10	83%	9	9	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA295	RYDER SERVICES	2	2	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	14	10	71%	6	6	100%
	TPA Total	54	44	81%	25	24	96%
OLD REPUBLIC INSURANCE Group Total		54	44	81%	25	24	96%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ONEBEACON INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		*	*	*	*	*	*
ONEBEACON INSURANCE TPA Administered Claims							
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
TPA Total		2	2	100%	2	2	100%
ONEBEACON INSURANCE Group Total		2	2	100%	2	2	100%
PATRIOT INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274	Group Total	11	8	73%	3	3	100%
PATRIOT RISK SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA292	Group Total	1	0	0%	No filings	No filings	No filings
PEERLESS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA162	EXCELSIOR INSURANCE CO.	4	2	50%	2	0	0%
CA309	NETHERLANDS INSURANCE COMPANY	7	5	71%	5	4	80%
CA275	PEERLESS INSURANCE CO.	147	106	72%	56	45	80%
Group Total		158	113	72%	63	49	78%
PENNSYLVANIA MANUFACTURERS' ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		*	*	*	*	*	*
PENNSYLVANIA MANUFACTURERS' ASSOC. TPA Administered Claims							
CA165	F.A. RICHARD	3	3	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	18	12	67%	8	7	88%
CA323	THE AMERICAN EQUITY UNDERWRITERS	2	2	100%	No filings	No filings	No filings
TPA Total		23	17	74%	8	7	88%
PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total		23	17	74%	8	7	88%
PROTECTIVE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	2	1	50%	No filings	No filings	No filings
PROTECTIVE INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
TPA Total		1	0	0%	No filings	No filings	No filings
PROTECTIVE INSURANCE Group Total		3	1	33%	No filings	No filings	No filings
PUBLIC SERVICE MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	2	1	50%	1	1	100%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
QBE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	PRAETORIAN INSURANCE	*	*	*	*	*	*
	QBE INSURANCE	*	*	*	*	*	*
	Total	*	*	*	*	*	*
QBE INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	34	31	91%	17	17	100%
CA340	YORK RISK SERVICES	5	2	40%	No filings	No filings	No filings
	TPA Total	40	33	83%	17	17	100%
	QBE INSURANCE Group Total	40	33	83%	17	17	100%
RYDER SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	2	2	100%	2	2	100%
SAFETY NATIONAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
SAFETY NATIONAL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	3	75%	1	0	0%
CA116	CORVEL ENTERPRISE COMP.	6	4	67%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	12	10	83%	7	5	71%
CA252	MATRIX ABSENCE MANAGEMENT	2	1	50%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	8	80%	3	3	100%
CA340	YORK RISK SERVICES	2	0	0%	2	1	50%
	TPA Total	38	28	74%	17	13	76%
	SAFETY NATIONAL INSURANCE Group Total	38	28	74%	17	13	76%
SAMSUNG FIRE & MARINE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
SAMSUNG FIRE & MARINE INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	SAMSUNG FIRE & MARINE INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
SEABRIGHT INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA298	Group Total	2	0	0%	2	2	100%
SEDGWICK CLAIMS MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	574	487	85%	197	180	91%
SENTRY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	SENTRY A MUTUAL CO.	16	7	44%	12	11	92%
CA402	SENTRY CASUALTY CO.	1	0	0%	1	1	100%
CA308	SENTRY SELECT INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Group Total	18	8	44%	13	12	92%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
SOMPO JAPAN INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
SOMPO JAPAN INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
TPA Total		2	2	100%	No filings	No filings	No filings
SOMPO JAPAN INSURANCE Group Total		2	2	100%	No filings	No filings	No filings
SPARTA INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
SPARTA INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	35	24	69%	16	15	94%
TPA Total		35	24	69%	16	15	94%
SPARTA INSURANCE Group Total		35	24	69%	16	15	94%
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		590	534	91%	149	135	91%
CA320	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		448	398	89%	118	99	84%
CA323	THE AMERICAN EQUITY UNDERWRITERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		2	2	100%	No filings	No filings	No filings
CA356	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		24	10	42%	13	10	77%
TRAVELERS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072	CHARTER OAK FIRE INS. CO.	178	145	81%	64	56	88%
CA164	FARMINGTON CASUALTY CO.	7	6	86%	3	3	100%
CA306	STANDARD FIRE INS. CO.	20	16	80%	10	10	100%
CA284	THE PHOENIX INS. CO.	8	4	50%	3	2	67%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	14	11	79%	6	6	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	32	25	78%	19	18	95%
CA349	TRAVELERS COMMERCIAL CASUALTY	12	10	83%	5	4	80%
CA343	TRAVELERS INDEMNITY CO.	3	2	67%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	9	8	89%	2	2	100%
CA344	TRAVELERS INDEMNITY OF CONNETICUT	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	24	20	83%	9	8	89%
Total		308	248	81%	121	109	90%
TRAVELERS INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	22	20	91%	13	13	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
TPA Total		31	27	87%	15	15	100%
TRAVELERS INSURANCE Group Total		339	275	81%	136	124	91%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
TRISTAR RISK ENTERPRISE MANAGEMENT		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	5	5	100%	1	1	100%
VANLINER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
VANLINER INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	2	0	0%	1	1	100%
TPA Total		2	0	0%	1	1	100%
VANLINER INSURANCE Group Total		2	0	0%	1	1	100%
WILLIS OF NORTHERN NEW ENGLAND		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA382	Group Total	966	902	93%	182	170	93%
XL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
XL INSURANCE AMERICA		*	*	*	*	*	*
XL SPECIALTY INSURANCE		*	*	*	*	*	*
Total		*	*	*	*	*	*
XL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	4	3	75%	2	0	0%
CA160	ESIS	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	15	13	87%	5	4	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	12	10	83%	3	2	100%
TPA Total		36	31	86%	11	7	64%
XL INSURANCE Group Total		36	31	86%	11	7	64%
YORK RISK SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	11	3	27%	3	2	67%
ZENITH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
ZENITH INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	3	3	100%	1	1	100%
TPA Total		3	3	100%	1	1	100%
ZENITH INSURANCE Group Total		3	3	100%	1	1	100%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ZURICH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA021	AMERICAN GUARANTEE & LIABILITY CO.	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH	48	39	81%	14	14	100%
CA227	MARYLAND CASUALTY CO.	2	1	50%	1	1	100%
CA259	NORTHERN INSURANCE OF NEW YORK	1	0	0%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	40	30	75%	12	9	75%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	15	12	80%	4	3	75%
	Total	107	83	78%	31	27	87%
ZURICH INSURANCE TPA Administered Claims							
CA080	CHESTERFIELD SERVICES	8	6	75%	2	2	100%
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	4	3	75%	1	1	100%
CA160	ESIS	2	2	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	37	31	84%	9	7	78%
CA292	PATRIOT RISK SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	34	29	85%	8	6	75%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	1	1	100%
	TPA Total	87	72	83%	23	19	83%
ZURICH INSURANCE Group Total		194	155	80%	54	46	85%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ACADIA INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA010	Group Total	64	59	92%	35	33	94%
ACE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
ACE INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	6	2	33%	10	10	100%
CA110	CONSTITUTION STATE SERVICES	10	10	100%	7	6	86%
CA160	ESIS	40	33	83%	26	23	88%
CA190	GALLAGHER BASSETT SERVICES	28	23	82%	13	13	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	7	7	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	72	67	93%	33	30	91%
CA382	WILLIS OF NORTHERN NEW ENGLAND	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	161	140	87%	97	90	93%
	ACE INSURANCE Group Total	161	140	87%	97	90	93%
AIG INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA015	AIG CLAIMS, INC.	30	28	93%	13	13	100%
CA258	NEW HAMPSHIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
	Total	31	29	94%	13	13	100%
AIG INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	23	22	96%	22	22	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	2	67%	1	1	100%
CA100	CLAIMS MANAGEMENT (WALMART)	33	32	97%	46	45	98%
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	37	31	84%	22	22	100%
CA190	GALLAGHER BASSETT SERVICES	32	30	94%	28	28	100%
CA204	HELMSMAN MANAGEMENT SERVICES	13	13	100%	12	11	92%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	45	43	96%	29	28	97%
	TPA Total	186	173	93%	160	157	98%
	AIG INSURANCE Group Total	217	202	93%	173	170	98%
AMTRUST NORTH AMERICA		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA342	TECHNOLOGY INSURANCE	7	4	57%	5	1	20%
CA381	WESCO INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	7	4	57%	6	2	33%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ARCH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
ARCH INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	7	100%	3	2	67%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	10	100%	5	5	100%
TPA Total		20	20	100%	10	9	90%
ARCH INSURANCE Group Total		20	20	100%	10	9	90%
ARGONAUT INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA020	Group Total	1	0	0%	No filings	No filings	No filings
ARROW MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA032	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
BATH IRON WORKS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	51	50	98%	23	21	91%
BERKSHIRE HATHAWAY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	2	1	50%	No filings	No filings	No filings
BROADSPIRE SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	49	47	96%	29	29	100%
CANNON COCHRAN MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	169	138	82%	133	126	95%
CHESTERFIELD SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	2	2	100%	1	1	100%
CHUBB INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		17	14	82%	1	1	100%
CHUBB INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	4	2	50%
TPA Total		6	5	83%	4	2	50%
CHUBB INSURANCE Group Total		23	19	83%	5	3	60%
CHURCH MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA084	Group Total	1	0	0%	1	1	100%
CIANBRO CORPORATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	1	0	0%	1	1	100%
CITY OF BANGOR		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	29	28	97%	1	1	100%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CLAIMS MANAGEMENT (WALMART)		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	33	32	97%	46	45	98%
CNA INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	5	4	80%	5	4	80%
CONSTITUTION STATE SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	10	10	100%	9	6	67%
CONTINENTAL INDEMNITY		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	3	2	67%	1	0	0%
CORVEL ENTERPRISE COMP.		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	8	4	50%	6	6	100%
COTTINGHAM & BUTLER CLAIMS SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	18	17	94%	14	12	86%
CRUM & FORSTER		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA089	CRUM & FORSTER	1	1	100%	2	0	0%
CA257	NORTH RIVER INSURANCE	1	0	0%	No filings	No filings	No filings
CA375	UNITED STATES FIRE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	3	1	33%	2	0	0%
ELECTRIC INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
ELECTRIC INSURANCE TPA Administered Claims							
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	9	7	78%	3	2	67%
	TPA Total	9	7	78%	3	2	67%
	ELECTRIC INSURANCE Group Total	9	7	78%	3	2	67%
ESIS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total	85	71	84%	50	46	92%
EVEREST NATIONAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
EVEREST NATIONAL INSURANCE TPA Administered Claims							
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	EVEREST NATIONAL INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
F.A. RICHARD		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
FEDERATED MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	3	3	100%	1	1	100%
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	3	3	100%	1	1	100%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
FUTURECOMP		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	82	75	91%	28	28	100%
GALLAGHER BASSETT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	123	108	88%	65	62	95%
GREAT AMERICAN INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA193	Group Total	1	1	100%	3	2	67%
GREAT FALLS INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
GREAT FALLS INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	42	36	86%	41	38	93%
Total		42	36	86%	41	38	93%
GREAT FALLS INSURANCE Group Total		42	36	86%	41	38	93%
GUARANTEE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA195	Group Total	3	0	0%	3	1	33%
GUARD INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	AMGUARD INSURANCE COMPANY	8	4	50%	5	4	80%
CA140	EASTGUARD INSURANCE COMPANY	17	12	71%	4	4	100%
CA272	NORGUARD INSURANCE COMPANY	11	8	73%	1	0	0%
Group Total		36	24	67%	10	8	80%
GUIDEONE MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
GUIDEONE MUTUAL INSURANCE TPA Administered Claims							
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
TPA Total		No filings	No filings	No filings	No filings	No filings	No filings
GUIDEONE MUTUAL INSURANCE Group Total		No filings	No filings	No filings	No filings	No filings	No filings
HANNAFORD BROTHERS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	57	50	88%	58	55	95%
HANOVER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	7	7	100%	3	3	100%
CA202	HANOVER INSURANCE CO.	17	16	94%	8	8	100%
CA228	MASSACHUSETTS BAY INSURANCE CO.	9	6	67%	1	1	100%
Group Total		33	29	88%	12	12	100%
HARLEYSVILLE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA197	Group Total	1	0	0%	1	0	0%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
HARTFORD INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	2	2	100%	5	4	80%
CA185	HARTFORD CASUALTY INS. CO.	4	3	75%	3	2	67%
CA203	HARTFORD FIRE INSURANCE CO.	4	2	50%	3	3	100%
CA186	HARTFORD INSURANCE CO. OF THE MIDWEST	9	6	67%	9	9	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	7	6	86%	6	6	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	4	4	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	4	4	100%	2	1	50%
CA319	TRUMBULL INSURANCE	13	12	92%	10	10	100%
CA321	TWIN CITY FIRE INSURANCE CO.	18	16	89%	16	16	100%
	Total	65	55	85%	54	51	94%
HARTFORD INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	3	1	33%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	4	80%	9	9	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	1	1	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	16	13	81%	13	13	100%
	HARTFORD INSURANCE Group Total	81	68	84%	67	64	96%
HELMSMAN MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA204	Group Total	20	19	95%	25	23	92%
LIBERTY MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	10	9	90%	11	11	100%
CA210	LIBERTY MUTUAL INSURANCE CO.	85	78	92%	69	65	94%
CA406	THE OHIO CASUALTY INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Total	95	87	92%	80	76	95%
LUMBERMEN'S UNDERWRITING		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA211	Group Total	3	0	0%	No filings	No filings	No filings
MACY'S CORPORATE SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
MAINE AUTOMOBILE DEALERS ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	49	46	94%	12	12	100%
MAINE EMPLOYERS' MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	1254	1159	92%	799	771	97%
MAINE HEALTH CARE ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	19	19	100%	20	20	100%
MAINE MOTOR TRANSPORT ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	47	44	94%	56	54	96%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
MAINE MUNICIPAL ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	220	204	93%	225	223	99%
MAINE SCHOOL MANAGEMENT ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	63	62	98%	60	59	98%
MATRIX ABSENCE MANAGEMENT		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA252	Group Total	1	1	100%	No filings	No filings	No filings
MEADOWBROOK		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	13	8	62%	3	3	100%
MITSUI SUMITOMO INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA233	Group Total	1	0	0%	No filings	No filings	No filings
NATIONAL CASUALTY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	Group Total	No filings	No filings	No filings	2	1	50%
NATIONAL INTERSTATE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100%	No filings	No filings	No filings
NATIONWIDE AGRIBUSINESS INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA291	Group Total	1	1	100%	No filings	No filings	No filings
NGM INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	6	5	83%	No filings	No filings	No filings
OLD REPUBLIC INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
OLD REPUBLIC INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	1	50%	3	3	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	4	100%	4	4	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	9	9	100%	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA295	RYDER SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	2	2	100%
TPA Total		25	24	96%	12	12	100%
OLD REPUBLIC INSURANCE Group Total		25	24	96%	12	12	100%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ONEBEACON INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
ONEBEACON INSURANCE TPA Administered Claims							
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
TPA Total		2	2	100%	No filings	No filings	No filings
ONEBEACON INSURANCE Group Total		2	2	100%	No filings	No filings	No filings
PATRIOT INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total	3	3	100%	No filings	No filings	No filings
PATRIOT RISK SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA292	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
PEERLESS INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELISOR INSURANCE CO.	2	0	0%	1	0	0%
CA309	NETHERLANDS INSURANCE COMPANY	5	4	80%	1	0	0%
CA275	PEERLESS INSURANCE CO.	56	42	75%	19	14	74%
Group Total		63	46	73%	21	14	67%
PENNSYLVANIA MANUFACTURERS' ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
PENNSYLVANIA MANUFACTURERS' ASSOC. TPA Administered Claims							
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	8	7	88%	5	5	100%
CA323	THE AMERICAN EQUITY UNDERWRITERS	No filings	No filings	No filings	No filings	No filings	No filings
TPA Total		8	7	88%	5	5	100%
PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total		8	7	88%	5	5	100%
PROTECTIVE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		No filings	No filings	No filings	No filings	No filings	No filings
PROTECTIVE INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	0	0%
TPA Total		No filings	No filings	No filings	1	0	0%
PROTECTIVE INSURANCE Group Total		No filings	No filings	No filings	1	0	0%
PUBLIC SERVICE MUTUAL		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	1	1	100%	No filings	No filings	No filings

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
QBE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	PRAETORIAN INSURANCE	*	*	*	*	*	*
	QBE INSURANCE	*	*	*	*	*	*
	Total	*	*	*	*	*	*
QBE INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	17	17	100%	9	9	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	17	17	100%	9	9	100%
	QBE INSURANCE Group Total	17	17	100%	9	9	100%
RYDER SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA295	Group Total	2	2	100%	No filings	No filings	No filings
SAFETY NATIONAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
SAFETY NATIONAL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	2	2	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	1	1	100%
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	2	2	100%
CA340	YORK RISK SERVICES	2	0	0%	No filings	No filings	No filings
	TPA Total	17	13	76%	6	6	100%
	SAFETY NATIONAL INSURANCE Group Total	17	13	76%	6	6	100%
SAMSUNG FIRE & MARINE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
SAMSUNG FIRE & MARINE INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	SAMSUNG FIRE & MARINE INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
SEABRIGHT INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA298	Group Total	2	1	50%	No filings	No filings	No filings
SEDGWICK CLAIMS MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	197	183	93%	115	107	93%
SENTRY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA305	SENTRY A MUTUAL CO.	12	8	67%	2	0	0%
CA402	SENTRY CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA308	SENTRY SELECT INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	13	9	69%	2	0	0%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
SOMPO JAPAN INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
SOMPO JAPAN INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
TPA Total		No filings	No filings	No filings	No filings	No filings	No filings
SOMPO JAPAN INSURANCE Group Total		No filings	No filings	No filings	No filings	No filings	No filings
SPARTA INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
SPARTA INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	16	15	94%	13	11	85%
TPA Total		16	15	94%	13	11	85%
SPARTA INSURANCE Group Total		16	15	94%	13	11	85%
STATE OF MAINE WORKERS' COMPENSATION TRUST		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	149	134	90%	119	113	95%
SYNERNET		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	118	101	86%	96	89	93%
THE AMERICAN EQUITY UNDERWRITERS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
TOWER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	13	9	69%	2	2	100%
TRAVELERS INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INS. CO.	64	56	88%	33	30	91%
CA164	FARMINGTON CASUALTY CO.	3	3	100%	2	2	100%
CA306	STANDARD FIRE INS. CO.	10	10	100%	3	3	100%
CA284	THE PHOENIX INS. CO.	3	2	67%	4	2	50%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	6	6	100%	2	1	50%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	19	18	95%	3	3	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	5	4	80%	1	1	100%
CA343	TRAVELERS INDEMNITY CO.	No filings	No filings	No filings	1	1	100%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	2	100%	4	4	100%
CA344	TRAVELERS INDEMNITY OF CONNECTICUT	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	9	7	78%	4	4	100%
Total		121	108	89%	57	51	89%
TRAVELERS INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	13	13	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
TPA Total		15	14	93%	4	4	100%
TRAVELERS INSURANCE Group Total		136	122	90%	61	55	90%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
TRISTAR RISK ENTERPRISE MANAGEMENT		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	1	1	100%	1	1	100%
VANLINER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
VANLINER INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
TPA Total		1	1	100%	No filings	No filings	No filings
VANLINER INSURANCE Group Total		1	1	100%	No filings	No filings	No filings
WILLIS OF NORTHERN NEW ENGLAND		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA382	Group Total	182	165	91%	260	254	98%
XL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
XL INSURANCE AMERICA		*	*	*	*	*	*
XL SPECIALTY INSURANCE		*	*	*	*	*	*
Total		*	*	*	*	*	*
XL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	2	0	0%	1	1	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	0%	3	2	67%
TPA Total		11	6	55%	7	6	86%
XL INSURANCE Group Total		11	6	55%	7	6	86%
YORK RISK SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	3	1	33%	No filings	No filings	No filings
ZENITH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
ZENITH INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
TPA Total		1	1	100%	No filings	No filings	No filings
ZENITH INSURANCE Group Total		1	1	100%	No filings	No filings	No filings

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ZURICH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA021	AMERICAN GUARANTEE & LIABILITY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA022	AMERICAN ZURICH	14	13	93%	14	12	86%
CA227	MARYLAND CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA259	NORTHERN INSURANCE CO. OF NEW YORK	No filings	No filings	No filings	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	12	10	83%	9	9	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	4	3	75%	5	5	100%
	Total	31	27	87%	28	26	93%
ZURICH INSURANCE TPA Administered Claims							
CA080	CHESTERFIELD SERVICES	2	2	100%	1	1	100%
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA160	ESIS	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	9	7	78%	2	2	100%
CA292	PATRIOT RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	7	88%	8	7	88%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	23	20	87%	12	11	92%
	ZURICH INSURANCE Group Total	54	47	87%	40	37	93%

IN-STATE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA010	ACADIA INSURANCE Group Total	211	185	88%	64	58	91%
CA032	ARROW MUTUAL INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
CA036	BATH IRON WORKS Group Total	401	397	99%	51	51	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES Group Total	557	504	90%	169	149	88%
CA085	CIANBRO CORPORATION Group Total	3	1	33%	1	0	0%
CA033	CITY OF BANGOR Group Total	34	33	97%	29	29	100%
CA175	FUTURECOMP Group Total	249	231	93%	82	72	88%
	GREAT FALLS INSURANCE Group Total	156	136	87%	42	38	90%
CA201	HANNAFORD BROTHERS Group Total	310	284	92%	57	52	91%
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total	121	112	93%	49	47	96%
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE Group Total	4285	3487	81%	1254	1179	94%
CA234	MAINE HEALTHCARE ASSOCIATION Group Total	135	124	92%	19	18	95%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION Group Total	247	211	85%	47	41	87%
CA225	MAINE MUNICIPAL ASSOCIATION Group Total	909	873	96%	220	197	90%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	282	266	94%	63	60	95%
CA274	PATRIOT INSURANCE Group Total	11	8	73%	3	3	100%

IN-STATE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SEDGWICK CLAIMS MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	574	487	85%	197	180	91%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	590	534	91%	149	135	91%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	448	398	89%	118	99	84%
	WILLIS OF NORTHERN NEW ENGLAND	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA382	Group Total	966	902	93%	182	170	93%
	TOTAL IN-STATE	10490	9174	87%	2796	2578	92%

IN-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACADIA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA010	Group Total	64	59	92%	35	33	94%
	ARROW MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA032	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	51	50	98%	23	21	91%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	169	138	82%	133	126	95%
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	1	0	0%	1	1	100%
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	29	28	97%	1	1	100%
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	82	75	91%	28	28	100%
	GREAT FALLS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	42	36	86%	41	38	93%
	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	57	50	88%	58	55	95%
	MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	49	46	94%	12	12	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	1254	1159	92%	799	771	97%
	MAINE HEALTHCARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	19	19	100%	20	20	100%
	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	47	44	94%	56	54	96%
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	220	204	93%	225	223	99%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	63	62	98%	60	59	98%
	PATRIOT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total	3	3	100%	No filings	No filings	No filings

IN-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	197	183	93%	115	107	93%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	149	134	90%	119	113	95%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	118	101	86%	96	89	93%
	WILLIS OF NORTHERN NEW ENGLAND	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA382	Group Total	182	165	91%	260	254	98%
	TOTAL IN-STATE	2796	2556	91%	2082	2005	96%

OUT-OF-STATE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	487	410	84%	161	141	88%
	AIG INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	741	681	92%	217	196	90%
	AMTRUST NORTH AMERICA	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	71	26	37%	7	5	71%
	ARCH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	52	45	87%	20	18	90%
CA020	ARGONAUT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0%	1	1	100%
CA114	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	1	50%	2	1	50%
CA040	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	166	152	92%	49	45	92%
CA080	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	8	6	75%	2	2	100%
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	40	24	60%	23	20	87%
CA084	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	4	3	75%	1	0	0%
CA100	CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	186	184	99%	33	30	91%
CA050	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	15	11	73%	5	4	80%
CA110	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	26	23	88%	10	9	90%
CA115	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	5	2	40%	3	3	100%
CA116	CORVEL ENTERPRISE COMP.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	21	12	57%	8	4	50%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	41	27	66%	18	17	94%

OUT-OF-STATE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	5	2	40%	3	2	67%
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	16	11	69%	9	8	89%
CA160	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	232	180	78%	85	70	82%
	EVEREST NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0%	No filings	No filings	No filings
CA165	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	3	3	100%	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	8	2	25%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	353	301	85%	123	105	85%
CA193	GREAT AMERICAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	8	4	50%	1	1	100%
CA195	GUARANTEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	7	2	29%	3	1	33%
	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	129	85	66%	36	27	75%
	GUIDEONE MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0%	No filings	No filings	No filings
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	90	77	86%	33	29	88%
CA197	HARLEYSVILLE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	0	0%	1	0	0%
	HARTFORD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	323	261	81%	81	70	86%
CA204	HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	65	57	88%	20	19	95%
	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	268	217	81%	95	85	89%

OUT-OF-STATE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA211	LUMBERMEN'S UNDERWRITING Group Total	5	1	20%	3	0	0%
CA213	MACY'S CORPORATE SERVICES Group Total	1	1	100%	No filings	No filings	No filings
CA252	MATRIX ABSENCE MANAGEMENT Group Total	2	1	50%	1	1	100%
CA255	MEADOWBROOK Group Total	34	23	68%	13	10	77%
CA233	MITSUI SUMITOMO INSURANCE Group Total	1	0	0%	1	1	100%
CA289	NATIONAL CASUALTY INSURANCE Group Total	4	2	50%	No filings	No filings	No filings
CA267	NATIONAL INTERSTATE INSURANCE Group Total	3	3	100%	1	1	100%
CA291	NATIONWIDE AGRIBUSINESS INSURANCE Group Total	2	1	50%	1	1	100%
CA265	NGM INSURANCE Group Total	6	3	50%	6	6	100%
	OLD REPUBLIC INSURANCE Group Total	54	44	81%	25	24	96%
	ONEBEACON INSURANCE Group Total	2	2	100%	2	2	100%
CA292	PATRIOT RISK SERVICES Group Total	1	0	0%	No filings	No filings	No filings
	PEERLESS INSURANCE Group Total	158	113	72%	63	49	78%
	PENNSYLVANIA MFG. ASSOCIATION Group Total	23	17	74%	8	7	88%
CA277	PROTECTIVE INSURANCE Group Total	3	1	33%	No filings	No filings	No filings

OUT-OF-STATE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	PUBLIC SERVICE MUTUAL	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	2	1	50%	1	1	100%
	QBE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	40	33	83%	17	17	100%
	RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	2	2	100%	2	2	100%
	SAFETY NATIONAL CASUALTY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	38	28	74%	17	13	76%
	SAMSUNG FIRE & MARINE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	0	0%	No filings	No filings	No filings
	SEABRIGHT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA298	Group Total	2	0	0%	2	2	100%
	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	18	8	44%	13	12	92%
	SOMPO JAPAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	2	100%	No filings	No filings	No filings
	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	35	24	69%	16	15	94%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	448	398	89%	118	99	84%
	THE AMERICAN EQUITY UNDERWRITERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323	Group Total	2	2	100%	No filings	No filings	No filings
	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	24	10	42%	13	10	77%
	TRAVELERS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	339	275	81%	136	124	91%
	TRISTAR RISK ENTERPRISE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	5	5	100%	1	1	100%
	VANLINER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	0	0%	1	1	100%

OUT-OF-STATE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
XL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		36	31	86%	11	7	64%
YORK RISK SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	11	3	27%	3	2	67%
ZENITH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		3	3	100%	1	1	100%
ZURICH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Group Total		194	155	80%	54	46	85%
TOTAL OUT-OF-STATE		4880	4001	82%	1583	1370	87%

OUT-OF-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ACE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	161	140	87%	97	90	93%
	AIG INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	217	202	93%	173	170	98%
	AMTRUST NORTH AMERICA	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	7	4	57%	6	2	33%
	ARCH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	20	20	100%	10	9	90%
CA020	ARGONAUT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	0	0%	No filings	No filings	No filings
CA114	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	1	50%	No filings	No filings	No filings
CA040	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	49	47	96%	29	29	100%
CA080	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	2	100%	1	1	100%
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	23	19	83%	5	3	60%
CA084	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	0	0%	1	1	100%
CA100	CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	33	32	97%	46	45	98%
CA050	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	5	4	80%	5	4	80%
CA110	CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	10	10	100%	9	6	67%
CA115	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	3	2	67%	1	0	0%
CA116	CORVEL ENTERPRISE COMP.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	8	4	50%	6	6	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	18	17	94%	14	12	86%

OUT-OF-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	3	1	33%	2	0	0%
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	9	7	78%	3	2	67%
CA160	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	85	71	84%	50	46	92%
	EVEREST NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
CA165	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	3	3	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	123	108	88%	65	62	95%
CA193	GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	1	100%	3	2	67%
CA195	GUARANTEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	3	0	0%	3	1	33%
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	36	24	67%	10	8	80%
	GUIDEONE MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	33	29	88%	12	12	100%
CA197	HARLEYSVILLE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	0	0%	1	0	0%
	HARTFORD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	81	68	84%	67	64	96%
CA204	HELMSMAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	20	19	95%	25	23	92%
	LIBERTY MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	95	87	92%	80	76	95%

OUT-OF-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	LUMBERMEN'S UNDERWRITING	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA211	Group Total	3	0	0%	No filings	No filings	No filings
	MACY'S CORPORATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	MATRIX ABSENCE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA252	Group Total	1	1	100%	No filings	No filings	No filings
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	13	8	62%	3	3	100%
	MITSUBI SUMITOMO INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA233	Group Total	1	0	0%	No filings	No filings	No filings
	NATIONAL CASUALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	Group Total	No filings	No filings	No filings	2	1	50%
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100%	No filings	No filings	No filings
	NATIONWIDE AGRIBUSINESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA291	Group Total	1	1	100%	No filings	No filings	No filings
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	6	5	83%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	25	24	96%	12	12	100%
	ONEBEACON INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	2	100%	No filings	No filings	No filings
	PATRIOT RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA292	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	63	46	73%	21	14	67%
	PENNSYLVANIA MFG. ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	8	7	88%	5	5	100%
	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	No filings	No filings	No filings	1	0	0%

OUT-OF-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	PUBLIC SERVICE MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	1	1	100%	No filings	No filings	No filings
	QBE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	17	17	100%	9	9	100%
	RYDER SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA295	Group Total	2	2	100%	No filings	No filings	No filings
	SAFETY NATIONAL CASUALTY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	17	13	76%	6	6	100%
	SAMSUNG FIRE & MARINE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	SEABRIGHT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA298	Group Total	2	1	50%	No filings	No filings	No filings
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	13	9	69%	2	0	0%
	SOMPO JAPAN INSURANCE	MOPs Filed	Timely MOPx	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	16	15	94%	13	11	85%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	118	101	86%	96	89	93%
	THE AMERICAN EQUITY UNDERWRITERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	13	9	69%	2	2	100%
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	136	122	90%	61	55	90%
	TRISTAR RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	1	1	100%	1	1	100%
	VALINER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	1	100%	No filings	No filings	No filings

OUT-OF-STATE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013-12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	XL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCx Filed	Timely NOCs	Compliance
	Group Total	11	6	55%	7	6	86%
	YORK RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	3	1	33%	No filings	No filings	No filings
	ZENITH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	1	100%	No filings	No filings	No filings
	ZURICH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	54	47	87%	40	37	93%
	OUT-OF-STATE TOTAL	1583	1364	86%	1006	926	92%

TYPE OF INSURER GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
INSURANCE COMPANY							
CA010	ACADIA INSURANCE	211	185	88%	64	58	91%
CA015	AIG CLAIMS, INC.	78	68	87%	30	29	97%
CA021	AMERICAN GUARANTEE & LIABILITY CO.	1	1	100%	No filings	No filings	No filings
CA022	AMERICAN ZURICH	48	39	81%	14	14	100%
CA019	AMGUARD INSURANCE COMPANY	39	27	69%	8	3	38%
CA020	ARGONAUT INSURANCE	1	0	0%	1	1	100%
CA032	ARROW MUTUAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA114	BERKSHIRE HATHAWAY INSURANCE	2	1	50%	2	1	50%
CA072	CHARTER OAK FIRE INS. CO.	178	145	81%	64	56	88%
CA090	CHUBB INSURANCE	24	11	46%	17	15	88%
CA084	CHURCH MUTUAL INSURANCE	4	3	75%	1	0	0%
CA048	CITIZENS INSURANCE CO. OF AMERICA	22	15	68%	7	7	100%
CA050	CNA INSURANCE	15	11	73%	5	4	80%
CA089	CRUM & FORSTER	3	2	67%	1	1	100%
CA140	EASTGUARD INSURANCE COMPANY	71	47	66%	17	15	88%
CA380	EMPLOYERS INSURANCE OF WAUSAU	34	28	82%	10	8	80%
CA162	EXCELSIOR INSURANCE CO.	4	2	50%	2	0	0%
CA164	FARMINGTON CASUALTY CO.	7	6	86%	3	3	100%
CA091	FEDERATED MUTUAL INSURANCE	5	1	20%	3	2	67%
CA092	FEDERATED SERVICE INSURANCE	3	1	33%	No filings	No filings	No filings
CA193	GREAT AMERICAN INSURANCE	8	4	50%	1	1	100%
CA195	GUARANTEE INSURANCE	7	2	29%	3	1	33%
CA202	HANOVER INSURANCE CO.	55	50	91%	17	15	88%
CA197	HARLEYSVILLE INSURANCE	2	0	0%	1	0	0%
CA188	HARTFORD ACCIDENT & INDEMNITY	22	18	82%	2	2	100%
CA185	HARTFORD CASUALTY INS. CO.	21	16	76%	4	3	75%
CA203	HARTFORD FIRE INSURANCE CO.	13	6	46%	4	2	50%
CA186	HARTFORD INSURANCE CO. OF THE MIDWEST	30	25	83%	9	7	78%
CA187	HARTFORD UNDERWRITERS INSURANCE	31	24	77%	7	6	86%
CA210	LIBERTY MUTUAL INSURANCE CO.	233	188	81%	85	77	91%
CA211	LUMBERMEN'S UNDERWRITING	5	1	20%	3	0	0%
CA227	MARYLAND CASUALTY CO.	2	1	50%	1	1	100%
CA228	MASSACHUSETTS BAY INSURANCE CO.	13	12	92%	9	7	78%
CA252	MATRIX ABSENCE MANAGEMENT	2	1	50%	1	1	100%
CA255	MEADOWBROOK	34	23	68%	13	10	77%
CA233	MITSUI SUMITOMO INSURANCE	1	0	0%	1	1	100%
CA289	NATIONAL CASUALTY INSURANCE	4	2	50%	No filings	No filings	No filings
CA267	NATIONAL INTERSTATE INSURANCE	3	3	100%	1	1	100%
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	2	1	50%	1	1	100%
CA309	NETHERLANDS INSURANCE COMPANY	7	5	71%	5	4	80%
CA258	NEW HAMPSHIRE INSURANCE CO.	2	2	100%	1	1	100%
CA265	NGM INSURANCE	6	3	50%	6	6	100%
CA272	NORGUARD INSURANCE COMPANY	19	11	58%	11	9	82%
CA259	NORTHERN INSURANCE OF NEW YORK	1	0	0%	No filings	No filings	No filings
CA257	NORTH RIVER INSURANCE	1	0	0%	1	0	0%
CA274	PATRIOT INSURANCE	11	8	73%	3	3	100%
CA275	PEERLESS INSURANCE CO.	147	106	72%	56	45	80%

TYPE OF INSURER GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA288	PROPERTY & CASUALTY INSURANCE CO.	11	7	64%	4	4	100%
CA277	PROTECTIVE INSURANCE	2	1	50%	No filings	No filings	No filings
CA282	PUBLIC SERVICE MUTUAL INSURANCE	2	1	50%	1	1	100%
CA298	SEABRIGHT INSURANCE	2	0	0%	2	2	100%
CA296	SENTINEL INSURANCE CO.	10	5	50%	4	4	100%
CA305	SENTRY A MUTUAL CO.	16	7	44%	12	11	92%
CA402	SENTRY CASUALTY CO.	1	0	0%	1	1	100%
CA308	SENTRY SELECT INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	20	16	80%	10	10	100%
CA342	TECHNOLOGY INSURANCE	60	22	37%	7	5	71%
CA406	THE OHIO CASUALTY INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA284	THE PHOENIX INS. CO.	8	4	50%	3	2	67%
CA356	TOWER INSURANCE	24	10	42%	13	10	77%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	14	11	79%	6	6	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	32	25	78%	19	18	95%
CA349	TRAVELERS COMMERCIAL CASUALTY	12	10	83%	5	4	80%
CA343	TRAVELERS INDEMNITY CO.	3	2	67%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	9	8	89%	2	2	100%
CA344	TRAVELERS INDEMNITY OF CONNETICUT	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	24	20	83%	9	8	89%
CA319	TRUMBULL INSURANCE	54	52	96%	13	12	92%
CA321	TWIN CITY FIRE INSURANCE CO.	74	63	85%	18	16	89%
CA375	UNITED STATES FIRE INSURANCE	1	0	0%	1	1	100%
CA381	WESCO INSURANCE	11	4	36%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	40	30	75%	12	9	75%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	15	12	80%	4	3	75%
	TOTAL INSURER ADMINISTERED	1881	1419	75%	641	550	86%
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE	4285	3487	81%	1254	1179	94%
TPAS ADMINISTERING FOR INSURERS							
CA040	BROADSPIRE SERVICES	161	148	92%	46	42	91%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	210	183	87%	58	51	88%
CA080	CHESTERFIELD SERVICES	8	6	75%	2	2	100%
CA100	CLAIMS MANAGEMENT (WALMART)	130	130	100%	27	24	89%
CA110	CONSTITUTION STATE SERVICES	25	23	92%	10	9	90%
CA115	CONTINENTAL INDEMNITY	5	2	40%	3	3	100%
CA116	CORVEL ENTERPRISE COMP.	21	12	57%	8	4	50%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	41	27	66%	18	17	94%
CA160	ESIS	223	176	79%	82	70	85%
CA165	F.A. RICHARD	3	3	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	336	287	85%	121	102	84%
CA204	HELMSMAN MANAGEMENT SERVICES	59	55	93%	17	17	100%
CA252	MATRIX ABSENCE MANAGEMENT	2	1	50%	1	1	100%
CA292	PATRIOT RISK SERVICES	1	0	0%	No filings	No filings	No filings
CA295	RYDER SERVICES	2	2	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	513	442	86%	178	163	92%

TYPE OF INSURER GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
Annual Report
1/1/2013 - 12/31/2013

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
TPAS ADMINISTERING FOR INSURERS CONTINUED							
CA323	THE AMERICAN EQUITY UNDERWRITERS	2	2	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	5	5	100%	1	1	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND	2	2	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	11	3	27%	3	2	67%
TOTAL TPAS ADMINISTERING FOR INSURERS		1760	1509	86%	577	510	88%
SELF INSURED SELF ADMINISTERED							
CA036	BATH IRON WORKS	401	397	99%	51	51	100%
CA085	CIANBRO CORPORATION	3	1	33%	1	0	0%
CA033	CITY OF BANGOR	34	33	97%	29	29	100%
CA201	HANNAFORD BROTHERS	310	284	92%	57	52	91%
CA213	MACY'S CORPORATE SERVICES	1	1	100%	No filings	No filings	No filings
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION	121	112	93%	49	47	96%
CA234	MAINE HEALTHCARE ASSOCIATION	135	124	92%	19	18	95%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION	247	211	85%	47	41	87%
CA225	MAINE MUNICIPAL ASSOCIATION	909	873	96%	220	197	90%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	282	266	94%	63	60	95%
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	590	534	91%	149	135	91%
TOTAL SELF INSURED SELF ADMINISTERED		3033	2836	94%	685	630	92%
TPA ADMINISTERED FOR SELF INSURED							
CA040	BROADSPIRE SERVICES	5	4	80%	3	3	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	347	321	93%	111	98	88%
CA100	CLAIMS MANAGEMENT (WALMART)	56	54	96%	6	6	100%
CA110	CONSTITUTION STATE SERVICES	1	0	0%	No filings	No filings	No filings
CA160	ESIS	9	4	44%	3	0	0%
CA175	FUTURECOMP	249	231	93%	81	72	89%
CA190	GALLAGHER BASSETT SERVICES	17	14	82%	4	3	75%
CA204	HELMSMAN MANAGEMENT SERVICES	6	2	33%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	61	45	74%	19	17	89%
CA320	SYNERNET	448	398	89%	118	99	84%
CA382	WILLIS OF NORTHERN NEW ENGLAND	964	900	93%	182	170	93%
TOTAL TPA ADMINISTERED FOR SELF INSURED		2163	1973	91%	530	470	89%

TYPE OF INSURER GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013 - 12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
INSURANCE COMPANY							
CA010	ACADIA INSURANCE	64	59	92%	35	33	94%
CA015	AIG CLAIMS, INC.	30	28	93%	13	13	100%
CA021	AMERICAN GUARANTEE & LIABILITY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA022	AMERICAN ZURICH	14	13	93%	14	12	86%
CA019	AMGUARD INSURANCE COMPANY	8	4	50%	5	4	80%
CA020	ARGONAUT INSURANCE	1	0	0%	No filings	No filings	No filings
CA032	ARROW MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA114	BERKSHIRE HATHAWAY INSURANCE	2	1	50%	No filings	No filings	No filings
CA072	CHARTER OAK FIRE INS. CO.	64	56	88%	33	30	91%
CA090	CHUBB INSURANCE	17	14	82%	1	1	100%
CA084	CHURCH MUTUAL INSURANCE	1	0	0%	1	1	100%
CA048	CITIZENS INSURANCE CO. OF AMERICA	7	7	100%	3	3	100%
CA050	CNA INSURANCE	5	4	80%	5	4	80%
CA089	CRUM & FORSTER	1	1	100%	2	0	100%
CA140	EASTGUARD INSURANCE COMPANY	17	12	71%	4	4	100%
CA380	EMPLOYERS INSURANCE OF WAUSAU	10	9	90%	11	11	100%
CA162	EXCELSIOR INSURANCE CO.	2	0	0%	1	0	0%
CA164	FARMINGTON CASUALTY CO.	3	3	100%	2	2	100%
CA091	FEDERATED MUTUAL INSURANCE	3	3	100%	1	1	100%
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA193	GREAT AMERICAN INSURANCE	1	1	100%	3	2	67%
CA195	GUARANTEE INSURANCE	3	0	0%	3	1	33%
CA202	HANOVER INSURANCE CO.	17	16	94%	8	8	100%
CA197	HARLEYSVILLE INSURANCE	1	0	0%	1	0	0%
CA188	HARTFORD ACCIDENT & INDEMNITY	2	2	100%	5	4	80%
CA185	HARTFORD CASUALTY INS. CO.	4	3	75%	3	2	67%
CA203	HARTFORD FIRE INSURANCE CO.	4	2	50%	3	3	100%
CA186	HARTFORD INSURANCE CO. OF THE MIDWEST	9	6	67%	9	9	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	7	6	86%	6	6	100%
CA210	LIBERTY MUTUAL INSURANCE CO.	85	78	92%	69	65	94%
CA211	LUMBERMEN'S UNDERWRITING	3	0	0%	No filings	No filings	No filings
CA227	MARYLAND CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INSURANCE CO.	9	6	67%	1	1	100%
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA255	MEADOWBROOK	13	8	62%	3	3	100%
CA233	mitsui sumitomo insurance	1	0	0%	No filings	No filings	No filings
CA289	NATIONAL CASUALTY INSURANCE	No filings	No filings	No filings	2	1	No filings
CA267	NATIONAL INTERSTATE INSURANCE	1	1	100%	No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	1	1	100%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	5	4	80%	1	0	0%
CA258	NEW HAMPSHIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA265	NGM INSURANCE	6	5	83%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	11	8	73%	1	0	0%
CA259	NORTHERN INSURANCE OF NEW YORK	No filings	No filings	No filings	No filings	No filings	No filings
CA257	NORTH RIVER INSURANCE	1	0	0%	No filings	No filings	No filings
CA274	PATRIOT INSURANCE	3	3	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE CO.	56	42	75%	19	14	74%

TYPE OF INSURER GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013 - 12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA288	PROPERTY & CASUALTY INSURANCE CO.	4	4	100%	No filings	No filings	No filings
CA277	PROTECTIVE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA282	PUBLIC SERVICE MUTUAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA298	SEABRIGHT INSURANCE	2	1	50%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	4	4	100%	2	1	50%
CA305	SENTRY A MUTUAL CO.	12	8	67%	2	0	No filings
CA402	SENTRY CASUALTY CO.	1	1	100%	No filings	No filings	No filings
CA308	SENTRY SELECT INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	10	10	100%	3	3	100%
CA342	TECHNOLOGY INSURANCE	7	4	57%	5	1	20%
CA406	THE OHIO CASUALTY INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA284	THE PHOENIX INS. CO.	3	2	67%	4	2	50%
CA356	TOWER INSURANCE	13	9	69%	2	2	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	6	6	100%	2	1	50%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	19	18	95%	3	3	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	5	4	80%	1	1	100%
CA343	TRAVELERS INDEMNITY CO.	No filings	No filings	No filings	1	1	100%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	2	100%	4	4	100%
CA344	TRAVELERS INDEMNITY OF CONNETICUT	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	9	7	78%	4	4	100%
CA319	TRUMBULL INSURANCE	13	12	92%	10	10	100%
CA321	TWIN CITY FIRE INSURANCE CO.	18	16	89%	16	16	100%
CA375	UNITED STATES FIRE INSURANCE	1	0	0%	No filings	No filings	No filings
CA381	WESCO INSURANCE	No filings	No filings	No filings	1	1	1
CA400	ZURICH AMERICAN INSURANCE CO.	12	10	83%	9	9	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	4	3	75%	5	5	100%
	TOTAL INSURER ADMINISTERED	641	531	83%	342	302	88%
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE	1254	1159	92%	799	771	97%
TPAS ADMINISTERING FOR INSURERS							
CA040	BROADSPIRE SERVICES	46	44	96%	29	29	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	58	45	78%	58	54	93%
CA080	CHESTERFIELD SERVICES	2	2	100%	1	1	100%
CA100	CLAIMS MANAGEMENT (WALMART)	27	26	96%	36	35	97%
CA110	CONSTITUTION STATE SERVICES	10	10	100%	7	6	86%
CA115	CONTINENTAL INDEMNITY	3	2	67%	1	0	0%
CA116	CORVEL ENTERPRISE COMP.	8	4	50%	6	6	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	18	17	94%	14	12	86%
CA160	ESIS	82	69	84%	49	46	94%
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	119	105	88%	62	59	95%
CA193	GREAT AMERICAN INSURANCE	No filings	No filings	No filings	1	0	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	17	17	100%	22	21	95%
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA292	PATRIOT RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA295	RYDER SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	178	166	93%	104	97	93%

TYPE OF INSURER GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Annual Report
1/1/2013 - 12/31/2013

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
TPAS ADMINISTERING FOR INSURERS CONTINUED							
CA323	THE AMERICAN EQUITY UNDERWRITERS	No filings	No filings	No filings	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	1	1	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	3	1	33%	No filings	No filings	No filings
TOTAL TPAS ADMINISTERING FOR INSURERS		575	512	89%	391	367	94%
SELF INSURED SELF ADMINISTERED							
CA036	BATH IRON WORKS	51	50	98%	23	21	91%
CA085	CIANBRO CORPORATION	1	0	0%	1	1	100%
CA033	CITY OF BANGOR	29	28	97%	1	1	100%
CA201	HANNAFORD BROTHERS	57	50	88%	58	55	95%
CA213	MACY'S CORPORATE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION	49	46	94%	12	12	100%
CA234	MAINE HEALTHCARE ASSOCIATION	19	19	100%	20	20	100%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION	47	44	94%	56	54	96%
CA225	MAINE MUNICIPAL ASSOCIATION	220	204	93%	225	223	99%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	63	62	98%	60	59	98%
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	149	134	90%	119	113	95%
TOTAL SELF INSURED SELF ADMINISTERED		685	637	93%	575	559	97%
TPA ADMINISTERED FOR SELF INSURED							
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	111	93	84%	75	72	96%
CA100	CLAIMS MANAGEMENT (WALMART)	6	6	100%	10	10	100%
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	2	0	0%
CA160	ESIS	3	2	67%	1	0	0%
CA175	FUTURECOMP	82	75	91%	28	28	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	19	17	89%	11	10	91%
CA320	SYNERNET	118	101	86%	96	89	93%
CA382	WILLIS OF NORTHERN NEW ENGLAND	182	165	91%	260	254	98%
TOTAL TPA ADMINISTERED FOR SELF INSURED		531	467	88%	489	468	96%