

# MAINE STATE LEGISLATURE

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# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD

Third Quarter 2017  
July 1, 2017 - September 30, 2017

Office of Monitoring, Audit &  
Enforcement

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**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Third Quarter**

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## Executive Summary

On January 9, 2018, the Maine Workers' Compensation Board of Directors approved the 2017 Third Quarter (July 1, 2017- September 30, 2017) Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

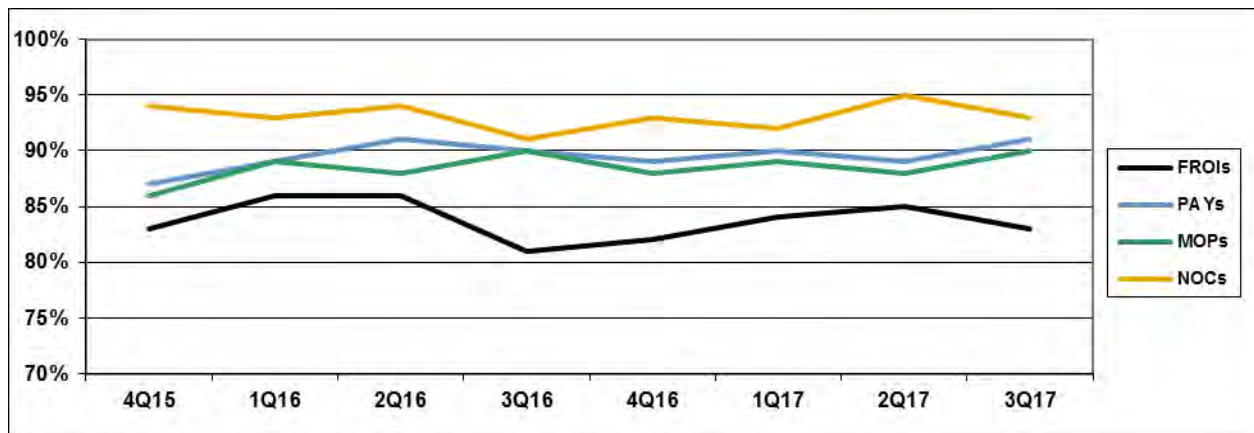
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 95 insurers on October 17, 2017; 60 responded, 25 were not required to respond and 10 did not respond.

The 3Q17 report represents results based upon data received by November 17, 2017. The results are:

	Number of Days	Benchmark	4Q15	1Q16	2Q16	3Q16	4Q16	1Q17	2Q17	3Q17
FROIs	7	85%	83%	86%	86%	81%	82%	84%	85%	83%
PAYs	14	87%	87%	89%	91%	90%	89%	90%	89%	91%
MOPs	17	85%	86%	89%	88%	90%	88%	89%	88%	90%
NOCs	14	90%	94%	93%	94%	91%	93%	92%	95%	93%

**Compliance Benchmark Tracking**



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks (“?”) within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day’s work.

**C. Initial Indemnity Payments (PAYs)**

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer’s notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee’s salary, payments are deemed timely for purposes of compliance if made consistent with the employer’s usual payroll practice.

**D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer’s notice or knowledge of incapacity.

**E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

**III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers’ Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had an audit completed in the 3Q17:

Auditee (alpha order)	Total Penalties
Cannon Cochran Management Services	\$13,500
Federated Mutual Insurance	\$23,900

## LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1: Timeliness Distribution



Table 1: Received Within

Timeliness Category	Count	Percentage
0-7 Days	3,005	83%
8-14 Days	355	10%
15-29 Days	164	5%
30+ Days	101	3%
? Days	0	0%
<b>Total</b>	<b>3,625</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

Chart 2: Quarterly Compliance

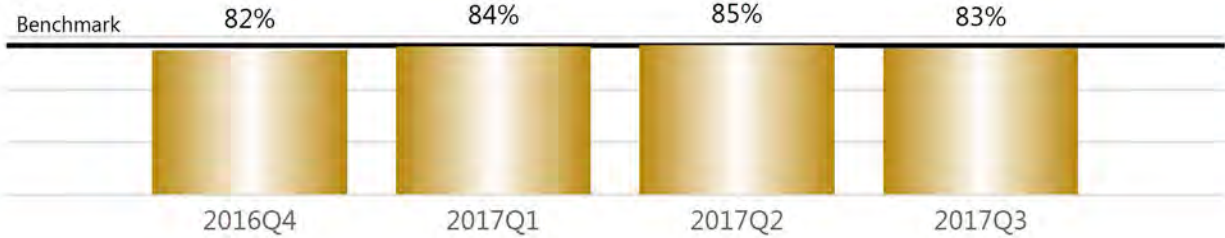
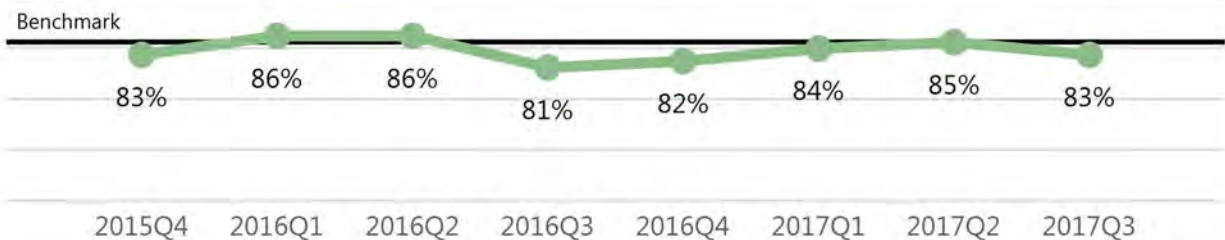
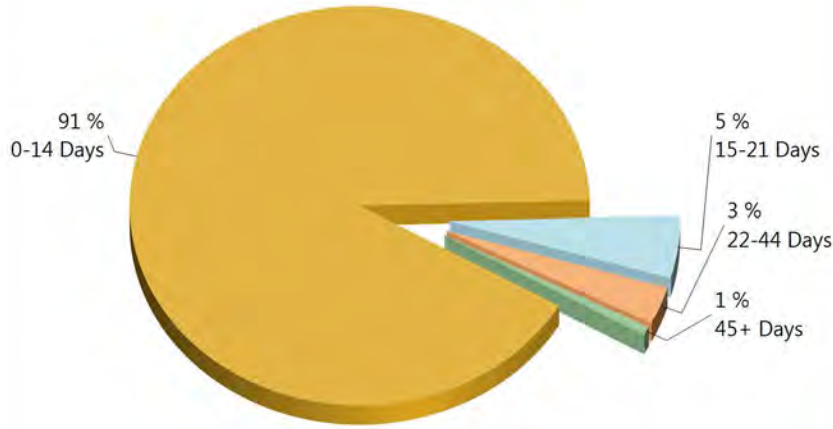


Chart 3: Compliance Trend



## INITIAL INDEMNITY PAYMENTS

**Chart 4: Timeliness Distribution**



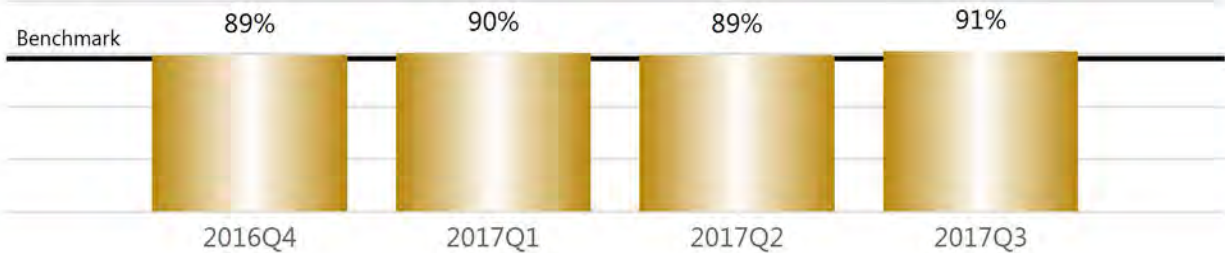
**Table 2: Made Within**

0-14 Days	782	91%
15-21 Days	43	5%
22-44 Days	26	3%
45+ Days	6	1%
? Days	0	0%
<b>Total</b>	<b>857</b>	<b>100%</b>

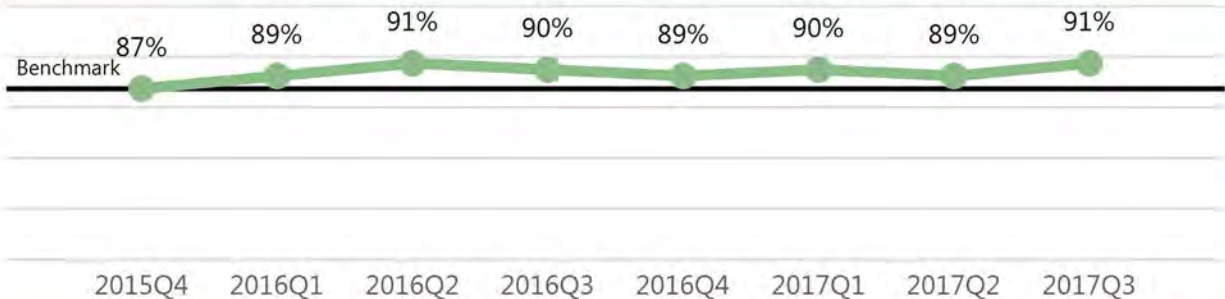
\*The percentages may not always add to 100% due to rounding

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$10,300 was issued to claimants in penalties and there is another \$7,650 in penalties awaiting resolution.

**Chart 5: Quarterly Compliance**

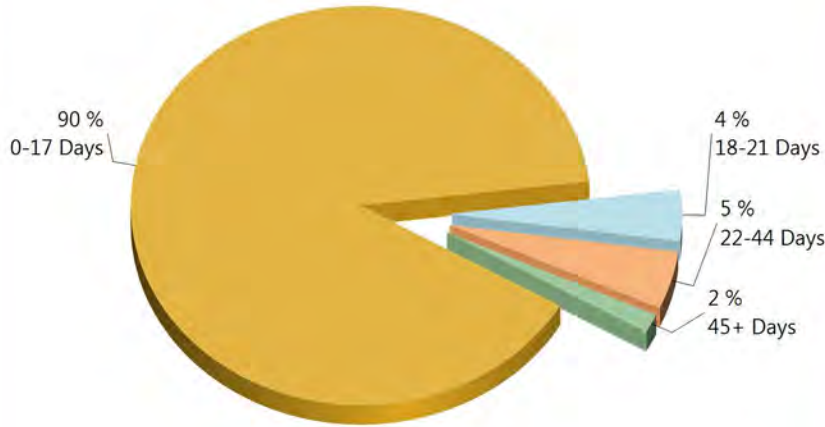


**Chart 6: Compliance Trend**



## INITIAL MEMORANDUM OF PAYMENT FILINGS

**Chart 7: Timeliness Distribution**

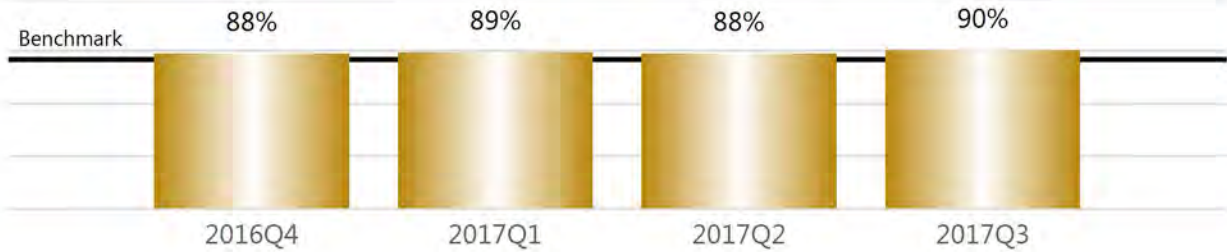


**Table 3: Received Within**

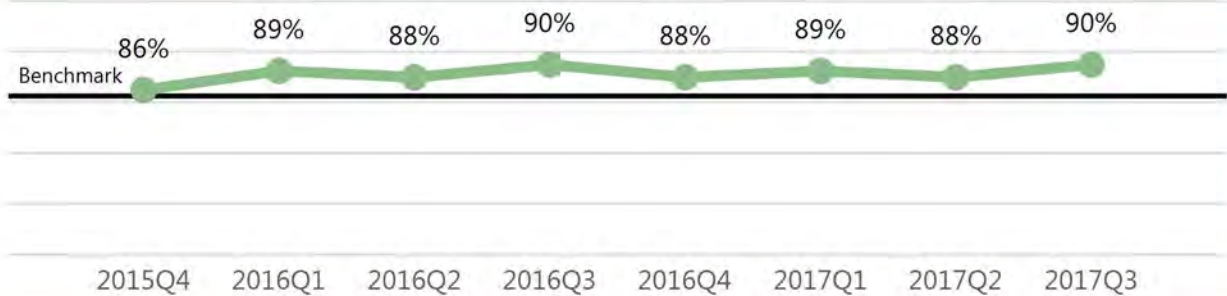
0-17 Days	769	90%
18-21 Days	35	4%
22-44 Days	40	5%
45+ Days	13	2%
? Days	0	0%
<b>Total</b>	<b>857</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 8: Quarterly Compliance**



**Chart 9: Compliance Trend**





## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10: Timeliness Distribution

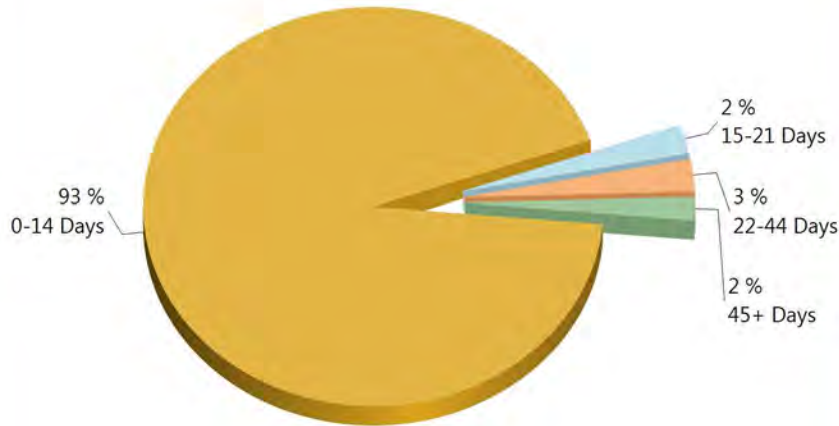


Table 4: Received Within

0-14 Days	756	93%
15-21 Days	19	2%
22-44 Days	21	3%
45+ Days	15	2%
? Days	3	0%
<b>Total</b>	<b>814</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

Chart 11: Quarterly Compliance

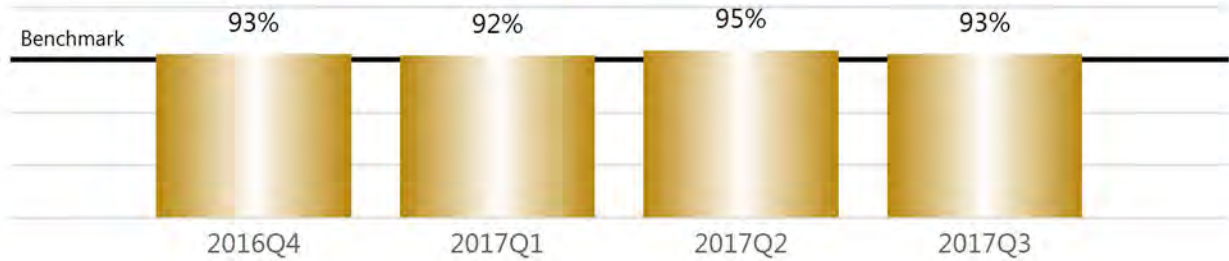
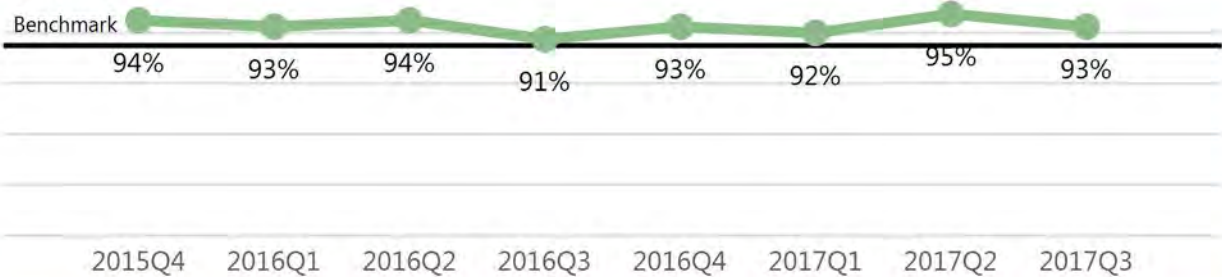


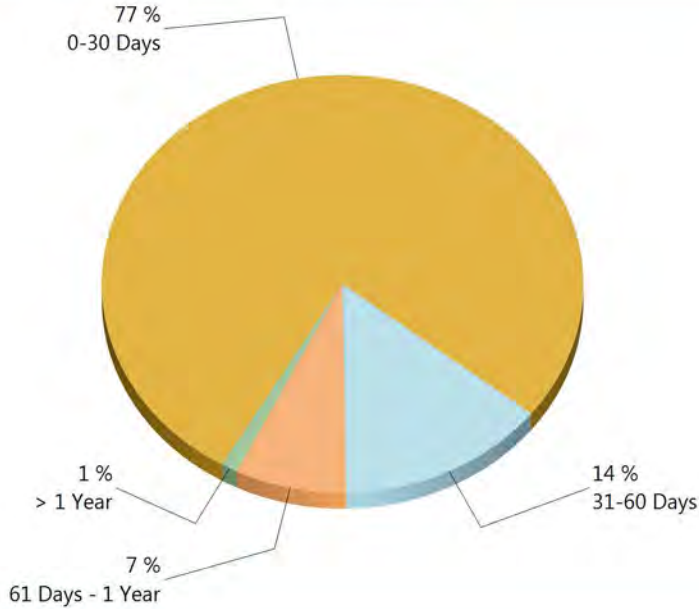
Chart 12: Compliance Trend



## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

**Chart 13: Wage Statement Timeliness Distribution**

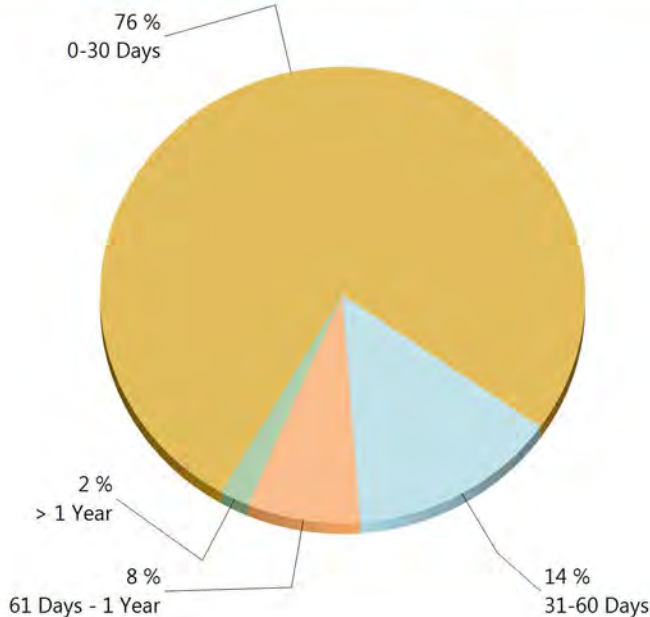


**Table 5: Wage Statements Received**

0-30 Days	1,550	77%
31-60 Days	282	14%
61 Days - 1 Year	150	7%
> 1 Year	22	1%
<b>Total</b>	<b>2,004</b>	<b>100%</b>

**Wage Statement(s):** 1,567 (73%) of the 2,140 Wage Statement(s) that were due in this quarter were filed timely, 383 (18%) were filed late, and 190 (9%) remain outstanding.

**Chart 14: Fringe Benefit Worksheet Timeliness Distribution**



**Table 6: Fringe Worksheets Received**

0-30 Days	1,545	76%
31-60 Days	283	14%
61 Days - 1 Year	152	8%
> 1 Year	40	2%
<b>Total</b>	<b>2,020</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,554 (73%) of the 2,140 Fringe Benefit Worksheet(s) due this quarter were filed timely, 373 (17%) were filed late, and 213 (10%) remain outstanding.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
Third Quarter 2017  
7/1/2017-9/30/2017**

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	115	82	71%	28	21	75%
<b>Total</b>	<b>115</b>	<b>82</b>	<b>71%</b> ▼	<b>28</b>	<b>21</b>	<b>75%</b> ▼
<b>ACADIA INSURANCE Group Total</b>	<b>115</b>	<b>82</b>	<b>71%</b> ▼	<b>28</b>	<b>21</b>	<b>75%</b> ▼
<b>ACE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACE INSURANCE	*	*	*	*	*	*
INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	1	50%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	9	8	89%	4	4	100%
CA110 CONSTITUTION STATE SERVICES	9	7	78%	No Filings	No Filings	No Filings
CA160 ESIS	15	8	53%	7	5	71%
CA190 GALLAGHER BASSETT SERVICES	22	15	68%	8	5	63%
CA204 HELMSMAN MANAGEMENT SERVICES	5	2	40%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	82	82	100%	12	12	100%
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>145</b>	<b>123</b>	<b>85%</b> ▲	<b>32</b>	<b>27</b>	<b>84%</b> ▼
<b>ACE INSURANCE Group Total</b>	<b>145</b>	<b>123</b>	<b>85%</b> ▲	<b>32</b>	<b>27</b>	<b>84%</b> ▼
<b>ACUITY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	2	2	100%	1	0	0%
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	90	78	87%	42	40	95%
<b>Total</b>	<b>90</b>	<b>78</b>	<b>87%</b> ▲	<b>42</b>	<b>40</b>	<b>95%</b> ▲
<b>AIG INSURANCE TPA Administered Claims</b>						
CA100 CLAIMS MANAGEMENT (WALMART)	49	47	96%	8	7	88%
CA160 ESIS	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>51</b>	<b>49</b>	<b>96%</b> ▲	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>AIG INSURANCE Group Total</b>	<b>141</b>	<b>127</b>	<b>90%</b> ▲	<b>50</b>	<b>47</b>	<b>94%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA342 TECHNOLOGY INSURANCE	9	5	56%	2	0	0%
CA381 WESCO INSURANCE	4	3	75%	No Filings	No Filings	No Filings
<b>Total</b>	<b>13</b>	<b>8</b>	<b>62%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>13</b>	<b>8</b>	<b>62%</b> ▼	<b>2</b>	<b>0</b>	<b>0%</b> ▼
<b>ARCH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	*	*	*	*	*	*
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	4	3	75%	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	5	2	40%	No Filings	No Filings	No Filings
CA204 HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	6	75%	2	2	100%
CA340 YORK RISK SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>17</b>	<b>11</b>	<b>65%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>17</b>	<b>11</b>	<b>65%</b> ▼	<b>4</b>	<b>3</b>	<b>75%</b> ▼
<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	76	72	95%	6	6	100%
<b>Total</b>	<b>76</b>	<b>72</b>	<b>95%</b> ▲	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>76</b>	<b>72</b>	<b>95%</b> ▲	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>BENCHMARK INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA433 NEXT LEVEL ADMINISTRATOR LLC	*	*	*	*	*	*
<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>BENCHMARK INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA115 CONTINENTAL INDEMNITY	1	0	0%	1	0	0%
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>						
	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>BROADSPIRE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	7	6	86%	2	2	100%
<b>Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>						
	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA043 BROTHERHOOD MUTUAL INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY Group Total</b>						
	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	164	130	79%	55	50	91%
<b>Total</b>	<b>164</b>	<b>130</b>	<b>79%</b> ▼	<b>55</b>	<b>50</b>	<b>91%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>						
	<b>164</b>	<b>130</b>	<b>79%</b> ▼	<b>55</b>	<b>50</b>	<b>91%</b> ▲
<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA046 CHUBB INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160 ESIS	3	2	67%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	5	3	60%	3	2	67%
<b>TPA Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>CHUBB INSURANCE Group Total</b>						
	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>CHURCH MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CHURCH MUTUAL INSURANCE Group Total</b>						
	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	49	47	96%	8	7	88%
<b>Total</b>	<b>49</b>	<b>47</b>	<b>96%</b> ▲	<b>8</b>	<b>7</b>	<b>88%</b> ▲
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>						
	<b>49</b>	<b>47</b>	<b>96%</b> ▲	<b>8</b>	<b>7</b>	<b>88%</b> ▲

Maine Workers' Compensation Board  
 Lost Time FROI Filing Benchmark: 85%  
 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Third Quarter  
7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA017 AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	1	1	100%
CA083 CNA CLAIMS PLUS	*	*	*	*	*	*
CA050 CONTINENTAL CASUALTY	1	1	100%	No Filings	No Filings	No Filings
CA314 TRANSPORTATION INSURANCE	1	1	100%	1	1	100%
CA329 VALLEY FORGE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CNA INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>CNA INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	9	7	78%	No Filings	No Filings	No Filings
<b>Total</b>	<b>9</b>	<b>7</b>	<b>78%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>9</b>	<b>7</b>	<b>78%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CORVEL ENTERPRISE COMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	8	4	50%	4	2	50%
<b>Total</b>	<b>8</b>	<b>4</b>	<b>50%</b> ▼	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>8</b>	<b>4</b>	<b>50%</b> ▼	<b>4</b>	<b>2</b>	<b>50%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	5	4	80%	3	2	67%
<b>Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	301	278	92%	34	33	97%
<b>Total</b>	<b>301</b>	<b>278</b>	<b>92%</b> ▲	<b>34</b>	<b>33</b>	<b>97%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>301</b>	<b>278</b>	<b>92%</b> ▲	<b>34</b>	<b>33</b>	<b>97%</b> ▲
<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	9	9	100%	2	2	100%
<b>TPA Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160 ESIS	23	13	57%	8	6	75%
<b>Total</b>	<b>23</b>	<b>13</b>	<b>57%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>ESIS Group Total</b>	<b>23</b>	<b>13</b>	<b>57%</b> ▼	<b>8</b>	<b>6</b>	<b>75%</b> ▼
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	0	0%
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	4	2	50%	1	1	100%
CA092 FEDERATED SERVICE INSURANCE	1	1	100%	1	1	100%
<b>Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>FIREMAN'S FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA170 FIREMAN'S FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>FIREMAN'S FUND INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>FRANKENMUTH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA095 FRANKENMUTH INSURANCE	1	0	0%	1	1	100%
CA274 PATRIOT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FRANKENMUTH INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175 FUTURECOMP	71	66	93%	18	16	89%
<b>Total</b>	<b>71</b>	<b>66</b>	<b>93%</b> ▲	<b>18</b>	<b>16</b>	<b>89%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>71</b>	<b>66</b>	<b>93%</b> ▲	<b>18</b>	<b>16</b>	<b>89%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	77	47	61%	28	18	64%
<b>Total</b>	<b>77</b>	<b>47</b>	<b>61%</b> ▼	<b>28</b>	<b>18</b>	<b>64%</b> ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>77</b>	<b>47</b>	<b>61%</b> ▼	<b>28</b>	<b>18</b>	<b>64%</b> ▼



## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>GREAT AMERICAN</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
GREAT AMERICAN	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>GREAT AMERICAN Group Total</b>						
GREAT AMERICAN	*	*	*	*	*	*
<b>GREAT AMERICAN INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT AMERICAN INSURANCE Group Total</b>						
GREAT AMERICAN	1	0	0%	No Filings	No Filings	No Filings
<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	95	71	75%	35	32	91%
<b>TPA Total</b>	<b>95</b>	<b>71</b>	<b>75%</b> ▼	<b>35</b>	<b>32</b>	<b>91%</b> ▲
<b>GREAT FALLS INSURANCE Group Total</b>						
GREAT FALLS	95	71	75%	35	32	91%
<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	15	4	27%	5	5	100%
CA140 EASTGUARD INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	1	0	0%	2	2	100%
<b>Total</b>	<b>17</b>	<b>4</b>	<b>24%</b> ▼	<b>7</b>	<b>7</b>	<b>100%</b> ▲
<b>GUARD INSURANCE Group Total</b>						
GUARD	17	4	24%	7	7	100%
<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	72	54	75%	25	24	96%
<b>Total</b>	<b>72</b>	<b>54</b>	<b>75%</b> ▼	<b>25</b>	<b>24</b>	<b>96%</b> ▲
<b>HANNAFORD BROTHERS Group Total</b>						
HANNAFORD	72	54	75%	25	24	96%
<b>HANNOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>HANNOVER INSURANCE TPA Administered Claims</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	2	1	50%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANNOVER INSURANCE Group Total</b>						
HANNOVER	2	1	50%	No Filings	No Filings	No Filings

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HANOVER INSURANCE</b>						
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	2	1	50%	1	0	0%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	4	3	75%	2	2	100%
CA429 HANOVER AMERICAN INSURANCE	2	0	0%	No Filings	No Filings	No Filings
CA202 HANOVER INSURANCE	1	1	100%	1	1	100%
CA228 MASSACHUSETTS BAY INSURANCE	2	2	100%	2	2	100%
<b>Total</b>	<b>11</b>	<b>7</b>	<b>64%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>HANOVER INSURANCE Group Total</b>	<b>11</b>	<b>7</b>	<b>64%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>HARTFORD INSURANCE</b>						
CA188 HARTFORD ACCIDENT & INDEMNITY	*	*	*	*	*	*
CA185 HARTFORD CASUALTY INSURANCE	3	1	33%	2	1	50%
CA203 HARTFORD FIRE INSURANCE	4	4	100%	No Filings	No Filings	No Filings
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	3	2	67%	1	1	100%
CA187 HARTFORD UNDERWRITERS INSURANCE	5	2	40%	No Filings	No Filings	No Filings
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	2	2	100%	1	1	100%
CA296 SENTINEL INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA319 TRUMBULL INSURANCE	13	11	85%	2	2	100%
CA321 TWIN CITY FIRE INSURANCE	7	6	86%	3	3	100%
<b>Total</b>	<b>38</b>	<b>28</b>	<b>74%</b> ▼	<b>9</b>	<b>8</b>	<b>89%</b> ▲
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	*	*	*	*	*	*
CA070 CANNON COCHRAN MANAGEMENT SERVICES	5	4	80%	1	1	100%
CA116 CORVEL ENTERPRISE COMP	4	2	50%	2	1	50%
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	4	80%	2	1	50%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>16</b>	<b>11</b>	<b>69%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>HARTFORD INSURANCE Group Total</b>	<b>54</b>	<b>39</b>	<b>72%</b> ▼	<b>15</b>	<b>12</b>	<b>80%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES</b>						
CA204 HELMSMAN MANAGEMENT SERVICES	12	7	58%	3	1	33%
<b>Total</b>	<b>12</b>	<b>7</b>	<b>58%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>12</b>	<b>7</b>	<b>58%</b> ▼	<b>3</b>	<b>1</b>	<b>33%</b> ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	3	1	33%	1	1	100%
CA380 EMPLOYERS INSURANCE OF WAUSAU	2	1	50%	1	1	100%
CA162 EXCELSIOR INSURANCE	2	2	100%	2	2	100%
CA210 LIBERTY MUTUAL INSURANCE	46	38	83%	14	12	86%
CA406 OHIO CASUALTY INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA407 OHIO SECURITY INSURANCE	12	8	67%	6	5	83%
CA283 PEERLESS INDEMNITY INSURANCE	3	2	67%	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	3	2	67%	1	1	100%
CA309 THE NETHERLANDS INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA408 WEST AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>73</b>	<b>56</b>	<b>77%</b> ▼	<b>25</b>	<b>22</b>	<b>88%</b> ▲
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>73</b>	<b>56</b>	<b>77%</b> ▼	<b>25</b>	<b>22</b>	<b>88%</b> ▲
<b>MACY'S CORPORATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA213 MACY'S CORPORATE SERVICES	1	1	100%	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MACY'S CORPORATE SERVICES Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	35	33	94%	9	8	89%
<b>Total</b>	<b>35</b>	<b>33</b>	<b>94%</b> ▲	<b>9</b>	<b>8</b>	<b>89%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>35</b>	<b>33</b>	<b>94%</b> ▲	<b>9</b>	<b>8</b>	<b>89%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	1426	1151	81%	323	309	96%
<b>Total</b>	<b>1426</b>	<b>1151</b>	<b>81%</b> ▼	<b>323</b>	<b>309</b>	<b>96%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>1426</b>	<b>1151</b>	<b>81%</b> ▼	<b>323</b>	<b>309</b>	<b>96%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	27	19	70%	4	1	25%
<b>Total</b>	<b>27</b>	<b>19</b>	<b>70%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>27</b>	<b>19</b>	<b>70%</b> ▼	<b>4</b>	<b>1</b>	<b>25%</b> ▼
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	40	39	98%	4	4	100%
<b>Total</b>	<b>40</b>	<b>39</b>	<b>98%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>40</b>	<b>39</b>	<b>98%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲

Maine Workers' Compensation Board  
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 Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded  
 ▼ Indicates benchmark not met

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	202	188	93%	60	59	98%
<b>Total</b>	<b>202</b>	<b>188</b>	<b>93%</b> ▲	<b>60</b>	<b>59</b>	<b>98%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>						
	202	188	93% ▲	60	59	98% ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	57	56	98%	9	9	100%
<b>Total</b>	<b>57</b>	<b>56</b>	<b>98%</b> ▲	<b>9</b>	<b>9</b>	<b>100%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>						
	57	56	98% ▲	9	9	100% ▲
<b>MEADOWBROOK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	2	2	100%	1	1	100%
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MEADOWBROOK INSURANCE Group Total</b>						
	2	2	100% ▲	1	1	100% ▲
<b>NATIONAL INTERSTATE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA267 NATIONAL INTERSTATE INSURANCE COMPANY	2	2	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NATIONAL INTERSTATE INSURANCE Group Total</b>						
	2	2	100% ▲	No Filings	No Filings	No Filings
<b>NATIONWIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA289 NATIONAL CASUALTY	1	1	100%	No Filings	No Filings	No Filings
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	No Filings	No Filings	No Filings	1	0	0%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>NATIONWIDE INSURANCE Group Total</b>						
	1	1	100% ▲	1	0	0% ▼
<b>NGM INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA265 NGM INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE Group Total</b>						
	1	1	100% ▲	No Filings	No Filings	No Filings
<b>NORTH RIVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE Group Total</b>						
	2	2	100% ▲	No Filings	No Filings	No Filings

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA110 CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA116 CORVEL ENTERPRISE COMP	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	9	7	78%	1	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	5	100%	1	1	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>18</b>	<b>16</b>	<b>89%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>PENNSYLVANIA MFG ASSN</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	1	0	0%
CA323 THE AMERICAN EQUITY UNDERWRITERS	2	1	50%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>1</b>	<b>0</b>	<b>0%</b> ▼
<b>PROTECTIVE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PROTECTIVE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>QBE INSURANCE GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
QBE INSURANCE GROUP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	10	10	100%	3	3	100%
<b>TPA Total</b>	<b>10</b>	<b>10</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>10</b>	<b>10</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲

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## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>SAFETY NATIONAL CASUALTY CORP</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116 CORVEL ENTERPRISE COMP	2	1	50%	1	1	100%
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	10	7	70%	3	2	67%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	3	3	100%
<b>TPA Total</b>	<b>23</b>	<b>18</b>	<b>78%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>23</b>	<b>18</b>	<b>78%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	196	187	95%	39	38	97%
<b>Total</b>	<b>196</b>	<b>187</b>	<b>95%</b> ▲	<b>39</b>	<b>38</b>	<b>97%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>196</b>	<b>187</b>	<b>95%</b> ▲	<b>39</b>	<b>38</b>	<b>97%</b> ▲
<b>SENTRY INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA426 MIDDLESEX INSURANCE COMPANY	1	1	100%	No Filings	No Filings	No Filings
CA402 SENTRY CASUALTY	5	4	80%	3	3	100%
CA305 SENTRY INSURANCE	2	0	0%	1	1	100%
CA308 SENTRY SELECT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>SENTRY INSURANCE Group Total</b>	<b>8</b>	<b>5</b>	<b>63%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>SOMPO JAPAN INSURANCE</b>	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

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<b>STARR INDEMNITY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	184	166	90%	48	48	100%
<b>Total</b>	<b>184</b>	<b>166</b>	<b>90%</b> ▲	<b>48</b>	<b>48</b>	<b>100%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>184</b>	<b>166</b>	<b>90%</b> ▲	<b>48</b>	<b>48</b>	<b>100%</b> ▲
<b>SYNERNET</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320 SYNERNET	130	107	82%	17	15	88%
<b>Total</b>	<b>130</b>	<b>107</b>	<b>82%</b> ▼	<b>17</b>	<b>15</b>	<b>88%</b> ▲
<b>SYNERNET Group Total</b>	<b>130</b>	<b>107</b>	<b>82%</b> ▼	<b>17</b>	<b>15</b>	<b>88%</b> ▲
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	3	1	33%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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 ▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	22	12	55%	7	4	57%
CA164 FARMINGTON CASUALTY	4	0	0%	1	0	0%
CA284 PHOENIX INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA306 STANDARD FIRE INSURANCE	8	5	63%	5	3	60%
CA347 TRAVELERS CASUALTY & SURETY	1	0	0%	No Filings	No Filings	No Filings
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	1	1	100%	1	1	100%
CA349 TRAVELERS COMMERCIAL CASUALTY	8	5	63%	1	1	100%
CA343 TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	*	*	*	*	*	*
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>45</b>	<b>23</b>	<b>51%</b> ▼	<b>15</b>	<b>9</b>	<b>60%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	3	1	33%	2	2	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	5	4	80%	2	2	100%
<b>TPA Total</b>	<b>9</b>	<b>6</b>	<b>67%</b> ▼	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>54</b>	<b>29</b>	<b>54%</b> ▼	<b>20</b>	<b>14</b>	<b>70%</b> ▼
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRISTAR RISK ENTERPRISE MANAGEMENT Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>XL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	1	0	0%	1	0	0%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	8	5	63%	3	1	33%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	3	3	100%
<b>TPA Total</b>	<b>18</b>	<b>14</b>	<b>78%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>18</b>	<b>14</b>	<b>78%</b> ▼	<b>7</b>	<b>4</b>	<b>57%</b> ▼



## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

Third Quarter

7/1/2017 - 9/30/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>YORK RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	5	2	40%	No Filings	No Filings	No Filings
<b>Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>YORK RISK SERVICES Group Total</b>	<b>5</b>	<b>2</b>	<b>40%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ZURICH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022 AMERICAN ZURICH	7	7	100%	1	0	0%
CA400 ZURICH AMERICAN INSURANCE	8	6	75%	1	1	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>16</b>	<b>14</b>	<b>88%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	8	5	63%	4	4	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	1	1	100%
<b>TPA Total</b>	<b>12</b>	<b>8</b>	<b>67%</b> ▼	<b>6</b>	<b>6</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE Group Total</b>	<b>28</b>	<b>22</b>	<b>79%</b> ▼	<b>8</b>	<b>7</b>	<b>88%</b> ▲

## **Appendix B**

### **Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings**

**Third Quarter 2017  
7/1/2017-9/30/2017**

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2017 - 9/30/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	28	22	79%	20	18	90%
<b>Total</b>	<b>28</b>	<b>22</b>	<b>79%</b> ▼	<b>20</b>	<b>18</b>	<b>90%</b> ▲
<b>ACADIA INSURANCE Group Total</b>	<b>28</b>	<b>22</b>	<b>79%</b> ▼	<b>20</b>	<b>18</b>	<b>90%</b> ▲
<b>ACE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACE INSURANCE	*	*	*	*	*	*
INDEMNITY INS CO OF NORTH AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	4	4	100%	No Filings	No Filings	No Filings
CA110 CONSTITUTION STATE SERVICES	No Filings	No Filings	No Filings	8	8	100%
CA160 ESIS	7	6	86%	3	3	100%
CA190 GALLAGHER BASSETT SERVICES	8	5	63%	4	3	75%
CA204 HELMSMAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	12	12	100%	17	17	100%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>32</b>	<b>28</b>	<b>88%</b> ▲	<b>33</b>	<b>32</b>	<b>97%</b> ▲
<b>ACE INSURANCE Group Total</b>	<b>32</b>	<b>28</b>	<b>88%</b> ▲	<b>33</b>	<b>32</b>	<b>97%</b> ▲
<b>ACUITY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA418 ACUITY MUTUAL INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ACUITY MUTUAL INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>AIG INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	42	40	95%	18	17	94%
<b>Total</b>	<b>42</b>	<b>40</b>	<b>95%</b> ▲	<b>18</b>	<b>17</b>	<b>94%</b> ▲
<b>AIG INSURANCE TPA Administered Claims</b>						
CA100 CLAIMS MANAGEMENT (WALMART)	8	7	88%	16	16	100%
CA160 ESIS	No Filings	No Filings	No Filings	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>17</b>	<b>17</b>	<b>100%</b> ▲
<b>AIG INSURANCE Group Total</b>	<b>50</b>	<b>47</b>	<b>94%</b> ▲	<b>35</b>	<b>34</b>	<b>97%</b> ▲
<b>AMTRUST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA342 TECHNOLOGY INSURANCE	2	0	0%	4	4	100%
CA381 WESCO INSURANCE	No Filings	No Filings	No Filings	3	2	67%
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼
<b>AMTRUST INSURANCE Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>7</b>	<b>6</b>	<b>86%</b> ▼

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2017 - 9/30/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	*	*	*	*	*	*
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	2	1	50%	2	2	100%
CA190 GALLAGHER BASSETT SERVICES	No Filings	No Filings	No Filings	2	2	100%
CA204 HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	0	0%
CA340 YORK RISK SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>ARCH INSURANCE Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b> ▼	<b>6</b>	<b>4</b>	<b>67%</b> ▼
<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	6	6	100%	19	19	100%
<b>Total</b>	<b>6</b>	<b>6</b>	<b>100%</b> ▲	<b>19</b>	<b>19</b>	<b>100%</b> ▲
<b>BATH IRON WORKS Group Total</b>	<b>6</b>	<b>6</b>	<b>100%</b> ▲	<b>19</b>	<b>19</b>	<b>100%</b> ▲
<b>BENCHMARK INSURANCE TPA Administered Claims</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA433 NEXT LEVEL ADMINISTRATOR LLC	*	*	*	*	*	*
<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>BENCHMARK INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA115 CONTINENTAL INDEMNITY	1	0	0%	No Filings	No Filings	No Filings
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	2	2	100%	2	2	100%
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2017 - 9/30/20176

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA043 BROTHERHOOD MUTUAL INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY Group Total</b>	*	*	*	*	*	*
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	55	50	91%	27	25	93%
<b>Total</b>	<b>55</b>	<b>50</b>	<b>91%</b> ▲	<b>27</b>	<b>25</b>	<b>93%</b> ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>55</b>	<b>50</b>	<b>91%</b> ▲	<b>27</b>	<b>25</b>	<b>93%</b> ▲
<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA046 CHUBB INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160 ESIS	No Filings	No Filings	No Filings	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	3	3	100%
<b>TPA Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>CHUBB INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>CHURCH MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA084 CHURCH MUTUAL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>CHURCH MUTUAL INSURANCE Group Total</b>	*	*	*	*	*	*
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	8	7	88%	16	16	100%
<b>Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>16</b>	<b>16</b>	<b>100%</b> ▲
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>16</b>	<b>16</b>	<b>100%</b> ▲
<b>CNA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA017 AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	No Filings	No Filings	No Filings
CA083 CNA CLAIMS PLUS	*	*	*	*	*	*
CA050 CONTINENTAL CASUALTY	No Filings	No Filings	No Filings	1	1	100%
CA314 TRANSPORTATION INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA329 VALLEY FORGE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>CNA INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
<b>TPA Total</b>	*	*	*	*	*	*
<b>CNA INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2017 - 9/30/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CONSTITUTION STATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	No Filings	No Filings	No Filings	8	8	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>CONSTITUTION STATE SERVICES Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>8</b>	<b>8</b>	<b>100%</b> ▲
<b>CORVEL ENTERPRISE COMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	4	2	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CORVEL ENTERPRISE COMP Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	3	2	67%	2	2	100%
<b>Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b> ▼	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>CROSS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	34	31	91%	83	78	94%
<b>Total</b>	<b>34</b>	<b>31</b>	<b>91%</b> ▲	<b>83</b>	<b>78</b>	<b>94%</b> ▲
<b>CROSS INSURANCE Group Total</b>	<b>34</b>	<b>31</b>	<b>91%</b> ▲	<b>83</b>	<b>78</b>	<b>94%</b> ▲
<b>ELECTRIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ELECTRIC INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>ESIS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160 ESIS	8	7	88%	5	5	100%
<b>Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>ESIS Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b> ▲	<b>5</b>	<b>5</b>	<b>100%</b> ▲
<b>EVEREST REINS HOLDINGS GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
EVEREST REINS HOLDINGS	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>EVEREST REINS HOLDINGS GROUP TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>EVEREST REINS HOLDINGS GROUP Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>FEDERATED MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	1	0	0%	1	1	100%
CA092 FEDERATED SERVICE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FEDERATED MUTUAL INSURANCE Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>FIREMAN'S FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170 FIREMAN'S FUND INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>FIREMAN'S FUND INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>FRANKENMUTH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA095 FRANKENMUTH INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA274 PATRIOT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FRANKENMUTH INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175 FUTURECOMP	18	17	94%	15	14	93%
<b>Total</b>	<b>18</b>	<b>17</b>	<b>94%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>FUTURECOMP Group Total</b>	<b>18</b>	<b>17</b>	<b>94%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	28	17	61%	17	13	76%
<b>Total</b>	<b>28</b>	<b>17</b>	<b>61%</b> ▼	<b>17</b>	<b>13</b>	<b>76%</b> ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>28</b>	<b>17</b>	<b>61%</b> ▼	<b>17</b>	<b>13</b>	<b>76%</b> ▼
<b>GREAT AMERICAN</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
GREAT AMERICAN	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT AMERICAN Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT AMERICAN INSURANCE TPA Administered Claims</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>GREAT AMERICAN INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2017 - 9/30/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	35	31	89%	15	14	93%
<b>TPA Total</b>	<b>35</b>	<b>31</b>	<b>89%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>GREAT FALLS INSURANCE Group Total</b>	<b>35</b>	<b>31</b>	<b>89%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	5	4	80%	2	1	50%
CA140 EASTGUARD INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA272 NORGUARD INSURANCE	2	1	50%	No Filings	No Filings	No Filings
<b>Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>GUARD INSURANCE Group Total</b>	<b>7</b>	<b>5</b>	<b>71%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	25	22	88%	15	10	67%
<b>Total</b>	<b>25</b>	<b>22</b>	<b>88%</b> ▲	<b>15</b>	<b>10</b>	<b>67%</b> ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>25</b>	<b>22</b>	<b>88%</b> ▲	<b>15</b>	<b>10</b>	<b>67%</b> ▼
<b>HANNOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
HANNOVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANNOVER INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	2	2	100%	No Filings	No Filings	No Filings
CA429 HANOVER AMERICAN INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA202 HANOVER INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA228 MASSACHUSETTS BAY INSURANCE	2	2	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HANOVER INSURANCE Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲



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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>HARTFORD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	*	*	*	*	*	*
CA185 HARTFORD CASUALTY INSURANCE	2	2	100%	No Filings	No Filings	No Filings
CA203 HARTFORD FIRE INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	1	100%	No Filings	No Filings	No Filings
CA187 HARTFORD UNDERWRITERS INSURANCE	No Filings	No Filings	No Filings	2	2	100%
CA288 PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	1	1	100%	No Filings	No Filings	No Filings
CA296 SENTINEL INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA319 TRUMBULL INSURANCE	2	2	100%	6	6	100%
CA321 TWIN CITY FIRE INSURANCE	3	3	100%	1	1	100%
<b>Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>10</b>	<b>10</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	*	*	*	*	*	*
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	2	1	50%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>6</b>	<b>4</b>	<b>67%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>HARTFORD INSURANCE Group Total</b>	<b>15</b>	<b>13</b>	<b>87%</b> ▲	<b>11</b>	<b>11</b>	<b>100%</b> ▲
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	3	1	33%	3	2	67%
<b>Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b> ▼	<b>3</b>	<b>2</b>	<b>67%</b> ▼
<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA003 AMERICAN FIRE & CASUALTY INSURANCE	1	1	100%	1	1	100%
CA380 EMPLOYERS INSURANCE OF WAUSAU	1	1	100%	No Filings	No Filings	No Filings
CA162 EXCELSIOR INSURANCE	2	1	50%	No Filings	No Filings	No Filings
CA210 LIBERTY MUTUAL INSURANCE	14	11	79%	14	12	86%
CA406 OHIO CASUALTY INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA407 OHIO SECURITY INSURANCE	6	5	83%	1	0	0%
CA283 PEERLESS INDEMNITY INSURANCE	No Filings	No Filings	No Filings	2	2	100%
CA275 PEERLESS INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA309 THE NETHERLANDS INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA408 WEST AMERICAN INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>25</b>	<b>20</b>	<b>80%</b> ▼	<b>19</b>	<b>16</b>	<b>84%</b> ▼
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>25</b>	<b>20</b>	<b>80%</b> ▼	<b>19</b>	<b>16</b>	<b>84%</b> ▼

**INSURANCE GROUP COMPLIANCE**  
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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>MACY'S CORPORATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA213 MACY'S CORPORATE SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MACY'S CORPORATE SERVICES Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	9	9	100%	3	3	100%
<b>Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	323	301	93%	288	269	93%
<b>Total</b>	<b>323</b>	<b>301</b>	<b>93%</b> ▲	<b>288</b>	<b>269</b>	<b>93%</b> ▲
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>323</b>	<b>301</b>	<b>93%</b> ▲	<b>288</b>	<b>269</b>	<b>93%</b> ▲
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	4	1	25%	6	5	83%
<b>Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	4	4	100%	15	14	93%
<b>Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>15</b>	<b>14</b>	<b>93%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	60	59	98%	49	45	92%
<b>Total</b>	<b>60</b>	<b>59</b>	<b>98%</b> ▲	<b>49</b>	<b>45</b>	<b>92%</b> ▲
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>60</b>	<b>59</b>	<b>98%</b> ▲	<b>49</b>	<b>45</b>	<b>92%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	9	9	100%	21	21	100%
<b>Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>21</b>	<b>21</b>	<b>100%</b> ▲
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>9</b>	<b>9</b>	<b>100%</b> ▲	<b>21</b>	<b>21</b>	<b>100%</b> ▲
<b>MEADOWBROOK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	1	1	100%	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>MEADOWBROOK INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>NATIONAL INTERSTATE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA267 NATIONAL INTERSTATE INSURANCE COMPANY	No Filings	No Filings	No Filings	2	2	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>NATIONAL INTERSTATE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>NATIONWIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA289 NATIONAL CASUALTY	No Filings	No Filings	No Filings	1	1	100%
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NATIONWIDE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>NGM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA265 NGM INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NGM INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
OLD REPUBLIC GENERAL INSURANCE CORP.	*	*	*	*	*	*
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA110 CONSTITUTION STATE SERVICES	*	*	*	*	*	*
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>PENNSYLVANIA MFG ASSN</b> PENNSYLVANIA MFG ASSN	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b> CA190 GALLAGHER BASSETT SERVICES CA323 THE AMERICAN EQUITY UNDERWRITERS	1 No Filings	0 No Filings	0% No Filings	No Filings No Filings	No Filings No Filings	No Filings No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PROTECTIVE INSURANCE</b> CA277 PROTECTIVE INSURANCE COMPANY	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b> CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>PROTECTIVE INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>QBE INSURANCE GROUP</b> QBE INSURANCE GROUP	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b> CA190 GALLAGHER BASSETT SERVICES CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	* 3	* 3	* 100%	* 2	* 2	* 100%
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>QBE INSURANCE GROUP Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b> ▲	<b>2</b>	<b>2</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP</b> SAFETY NATIONAL CASUALTY CORP	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b> CA070 CANNON COCHRAN MANAGEMENT SERVICES CA116 CORVEL ENTERPRISE COMP CA160 ESIS CA190 GALLAGHER BASSETT SERVICES CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	* 1 * 3 3	* 1 * 2 3	* 100% * 67% 100%	* No Filings * 2 2	* No Filings * 2 2	* No Filings * 100% 100%
<b>TPA Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>7</b>	<b>6</b>	<b>86%</b> ▲	<b>4</b>	<b>4</b>	<b>100%</b> ▲

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	39	38	97%	41	39	95%
<b>Total</b>	<b>39</b>	<b>38</b>	<b>97%</b> ▲	<b>41</b>	<b>39</b>	<b>95%</b> ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>39</b>	<b>38</b>	<b>97%</b> ▲	<b>41</b>	<b>39</b>	<b>95%</b> ▲
<b>SENTRY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA426 MIDDLESEX INSURANCE COMPANY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA402 SENTRY CASUALTY	3	3	100%	1	0	0%
CA305 SENTRY INSURANCE	1	1	100%	1	1	100%
CA308 SENTRY SELECT INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>SENTRY INSURANCE Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b> ▲	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>SOMPO JAPAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	1	1	100%
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>STARR INDEMNITY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b> ▲	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	48	47	98%	38	38	100%
<b>Total</b>	<b>48</b>	<b>47</b>	<b>98%</b> ▲	<b>38</b>	<b>38</b>	<b>100%</b> ▲
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>48</b>	<b>47</b>	<b>98%</b> ▲	<b>38</b>	<b>38</b>	<b>100%</b> ▲
<b>SYNERNET</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320 SYNERNET	17	17	100%	42	40	95%
<b>Total</b>	<b>17</b>	<b>17</b>	<b>100%</b> ▲	<b>42</b>	<b>40</b>	<b>95%</b> ▲
<b>SYNERNET Group Total</b>	<b>17</b>	<b>17</b>	<b>100%</b> ▲	<b>42</b>	<b>40</b>	<b>95%</b> ▲

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	7	2	29%	6	6	100%
CA164 FARMINGTON CASUALTY	1	1	100%	1	0	0%
CA284 PHOENIX INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA306 STANDARD FIRE INSURANCE	5	3	60%	No Filings	No Filings	No Filings
CA347 TRAVELERS CASUALTY & SURETY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	1	1	100%	No Filings	No Filings	No Filings
CA349 TRAVELERS COMMERCIAL CASUALTY	1	1	100%	1	1	100%
CA343 TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	*	*	*	*	*	*
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>15</b>	<b>8</b>	<b>53%</b> ▼	<b>9</b>	<b>8</b>	<b>89%</b> ▼
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
<b>TPA Total</b>	<b>5</b>	<b>5</b>	<b>100%</b> ▲	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>TRAVELERS INSURANCE Group Total</b>	<b>20</b>	<b>13</b>	<b>65%</b> ▼	<b>10</b>	<b>9</b>	<b>90%</b> ▲
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRISTAR RISK ENTERPRISE MANAGEMENT Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

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Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	1	0	0%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	3	1	33%	3	2	67%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	2	2	100%
<b>TPA Total</b>	<b>7</b>	<b>4</b>	<b>57%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>XL INSURANCE Group Total</b>	<b>7</b>	<b>4</b>	<b>57%</b> ▼	<b>5</b>	<b>4</b>	<b>80%</b> ▼
<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>YORK RISK SERVICES Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022 AMERICAN ZURICH	1	0	0%	2	2	100%
CA400 ZURICH AMERICAN INSURANCE	1	1	100%	2	2	100%
CA404 ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50%</b> ▼	<b>4</b>	<b>4</b>	<b>100%</b> ▲
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	*	*	*	*	*	*
CA190 GALLAGHER BASSETT SERVICES	4	3	75%	1	0	0%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>6</b>	<b>5</b>	<b>83%</b> ▼	<b>2</b>	<b>1</b>	<b>50%</b> ▼
<b>ZURICH INSURANCE Group Total</b>	<b>8</b>	<b>6</b>	<b>75%</b> ▼	<b>6</b>	<b>5</b>	<b>83%</b> ▼