

# MAINE STATE LEGISLATURE

The following document is provided by the  
**LAW AND LEGISLATIVE DIGITAL LIBRARY**  
at the Maine State Law and Legislative Reference Library  
<http://legislature.maine.gov/lawlib>



Reproduced from electronic originals  
(may include minor formatting differences from printed original)



# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD

First Quarter 2017  
January 1, 2017 - March 31, 2017

Office of Monitoring, Audit &  
Enforcement

Paul H. Sighinolfi, Esq.  
Executive Director/Chair

27 State House Station  
Augusta, Maine 04333-0027  
207.287.3751

**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Fourth Quarter 2016**

**Table of Contents**

1.	Executive Summary	Pages 1-2
2.	Lost Time First Report of Injury Filings	Page 3
3.	Initial Indemnity Payments	Page 4
4.	Initial Memorandum of Payment Filings	Page 5
5.	Initial Indemnity Notice of Controversy Filings	Page 6
6.	Wage Information	Page 7

**Table of Charts**

1.	Lost Time First Report of Injury Filings Timeliness Distribution	Page 3
2.	Lost Time First Report of Injury Filings Quarterly Compliance	Page 3
3.	Lost Time First Report of Injury Filings Compliance Trend	Page 3
4.	Initial Indemnity Payments Timeliness Distribution	Page 4
5.	Initial Indemnity Payments Quarterly Compliance	Page 4
6.	Initial Indemnity Payments Compliance Trend	Page 4
7.	Initial Memorandum of Payment Filings Timeliness Distribution	Page 5
8.	Initial Memorandum of Payment Filings Quarterly Compliance	Page 5
9.	Initial Memorandum of Payment Filings Compliance Trend	Page 5
10.	Initial Indemnity Notice of Controversy Filings Timeliness Distribution	Page 6
11.	Initial Indemnity Notice of Controversy Filings Quarterly Compliance	Page 6
12.	Initial Indemnity Notice of Controversy Filings Compliance Trend	Page 6
13.	Wage Information Wage Statement Timeliness Distribution	Page 7
14.	Wage Information Fringe Benefits Worksheet(s) Timeliness Distribution	Page 7

**Appendices**

A.	Insurance Group Compliance	Pages A1-A12
	Lost Time FROI Filings and Initial Indemnity Payments	
B.	Insurance Group Compliance	Pages B1-B12
	Initial MOP and Initial Indemnity NOC Filings	

## Executive Summary

On August 28, 2017, the Maine Workers' Compensation Board of Directors approved the First Quarter 2017 Compliance Report (1/1/2017-3/31/2017). This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

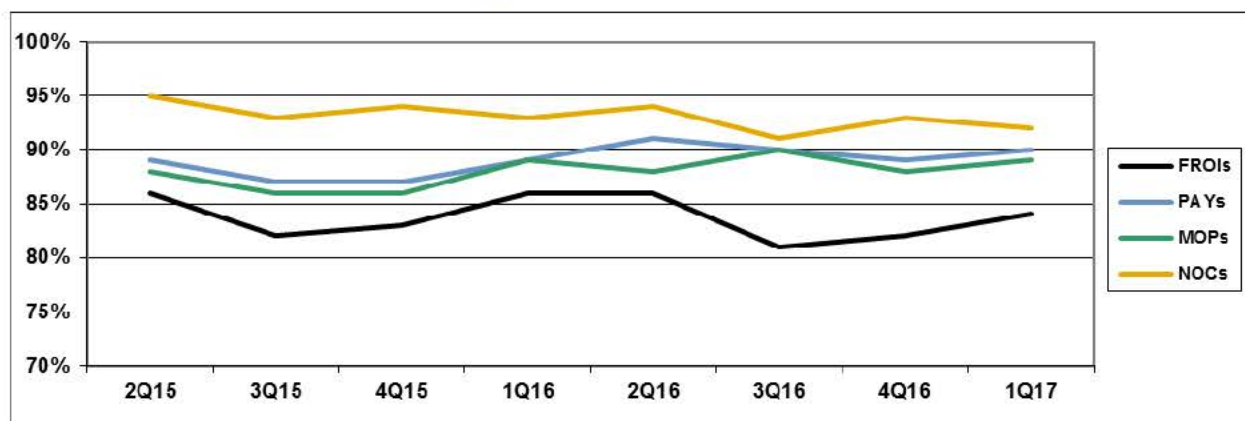
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 92 insurers on April 21, 2017; 61 responded, 22 were not required to respond and 9 did not respond.

The 1Q17 report represents results based upon data received by May 26, 2017. The results are:

	Number of Days	Benchmark	2Q15	3Q15	4Q15	1Q16	2Q16	3Q16	4Q16	1Q17
FROIs	7	85%	86%	82%	83%	86%	86%	81%	82%	84%
PAYs	14	87%	89%	87%	87%	89%	91%	90%	89%	90%
MOPs	17	85%	88%	86%	86%	89%	88%	90%	88%	89%
NOCs	14	90%	95%	93%	94%	93%	94%	91%	93%	92%

**Compliance Benchmark Tracking**



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.



**C. Initial Indemnity Payments (PAYs)**

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

**D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

**E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

**III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had an audit completed in the 1Q17:

Auditee (alpha order)	Total Penalties
Sompo Japan Insurance Company of America	\$200.00

## LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1: Timeliness Distribution



Table 1: Received Within

0-7 Days	3,044	84%
8-14 Days	298	8%
15-29 Days	163	4%
30+ Days	118	3%
? Days	0	0%
<b>Total</b>	<b>3,623</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

Chart 2: Quarterly Compliance

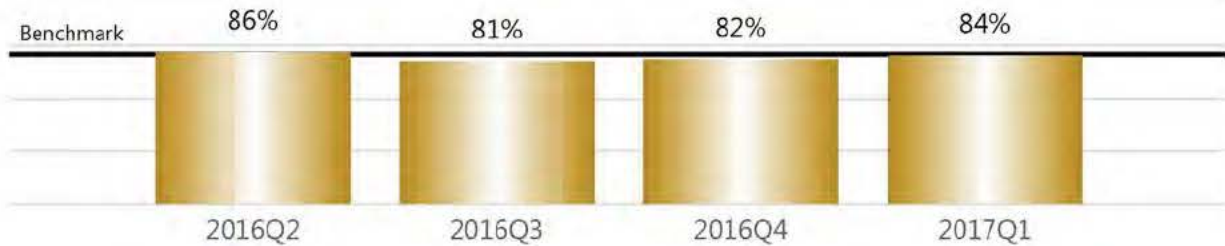
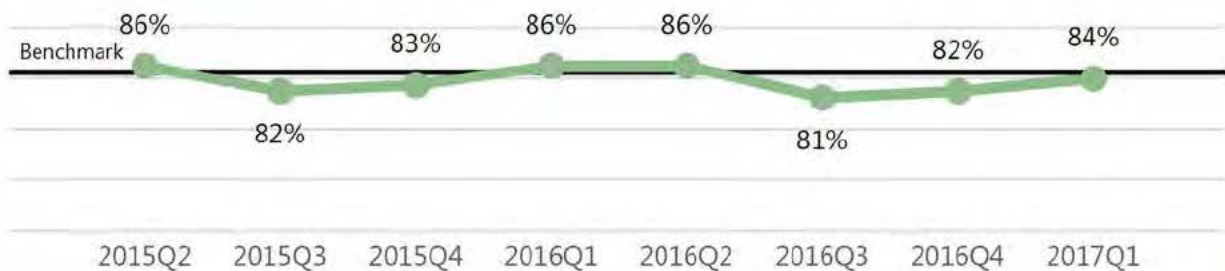


Chart 3: Compliance Trend



## INITIAL INDEMNITY PAYMENTS

Chart 4: Timeliness Distribution



Table 2: Made Within

0-14 Days	1,003	90%
15-21 Days	55	5%
22-44 Days	43	4%
45+ Days	15	1%
? Days	1	0%
<b>Total</b>	<b>1,117</b>	<b>100%</b>

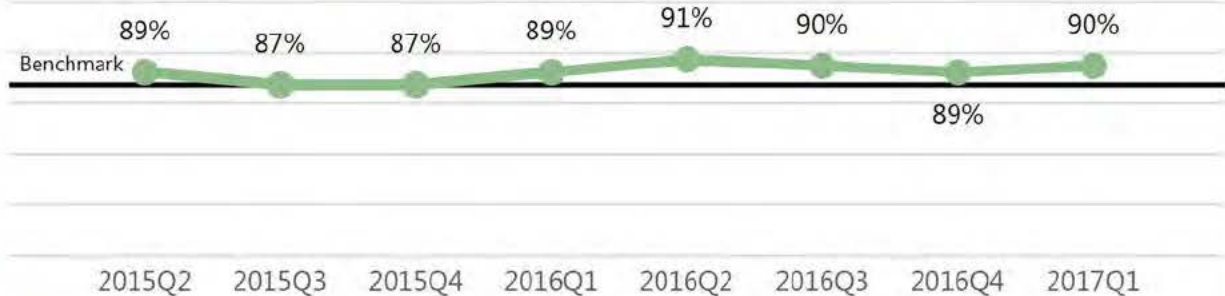
\*The percentages may not always add to 100% due to rounding

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$15,450 was issued to claimants in penalties and there is another \$11,000 in penalties awaiting resolution.

Chart 5: Quarterly Compliance



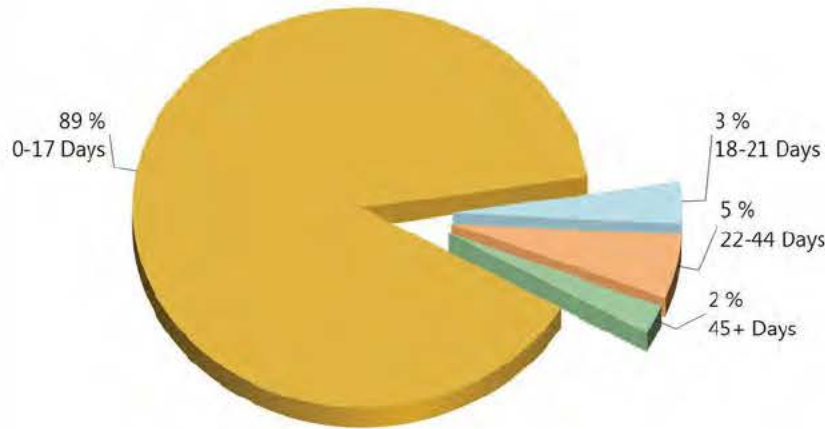
Chart 6: Compliance Trend





## INITIAL MEMORANDUM OF PAYMENT FILINGS

**Chart 7: Timeliness Distribution**

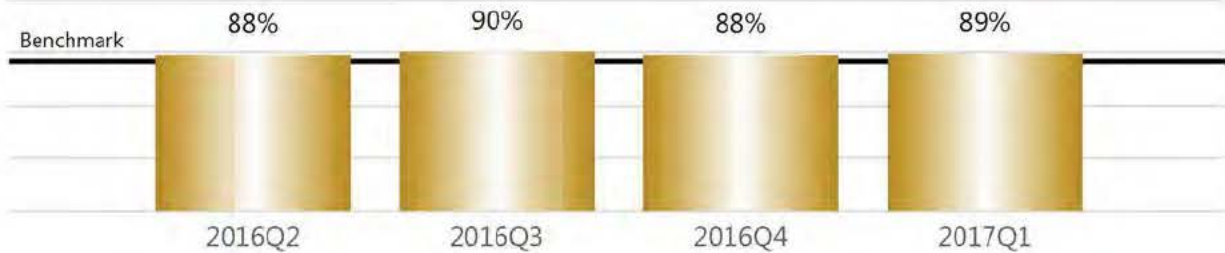


**Table 3: Received Within**

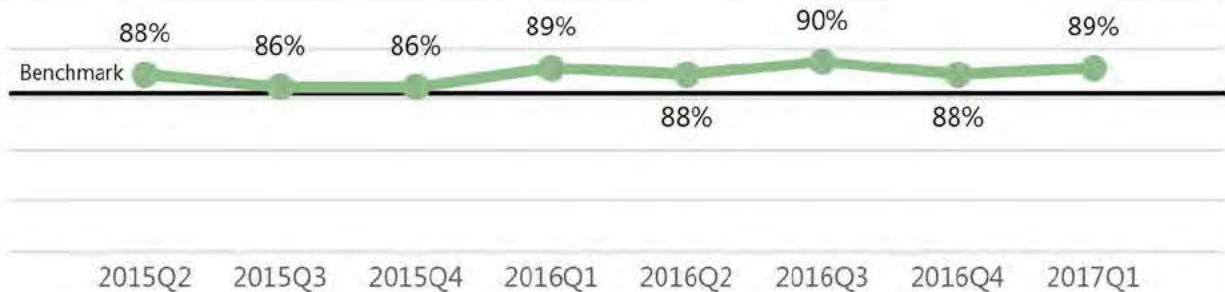
0-17 Days	995	89%
18-21 Days	37	3%
22-44 Days	58	5%
45+ Days	26	2%
? Days	1	0%
<b>Total</b>	<b>1,117</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 8: Quarterly Compliance**



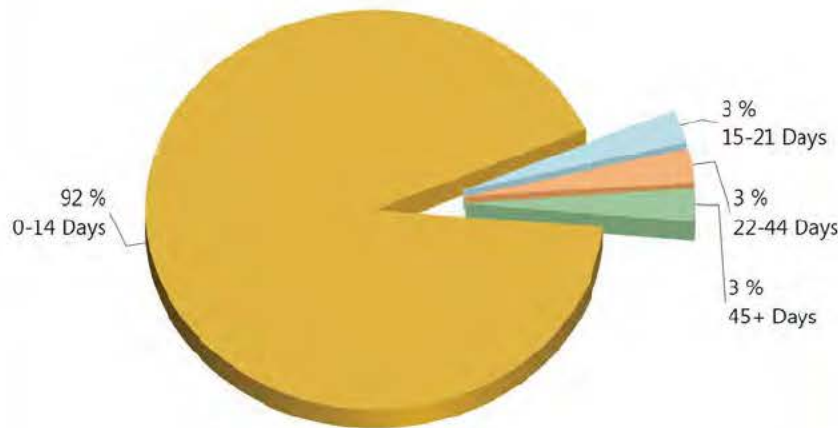
**Chart 9: Compliance Trend**





## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

**Chart 10: Timeliness Distribution**

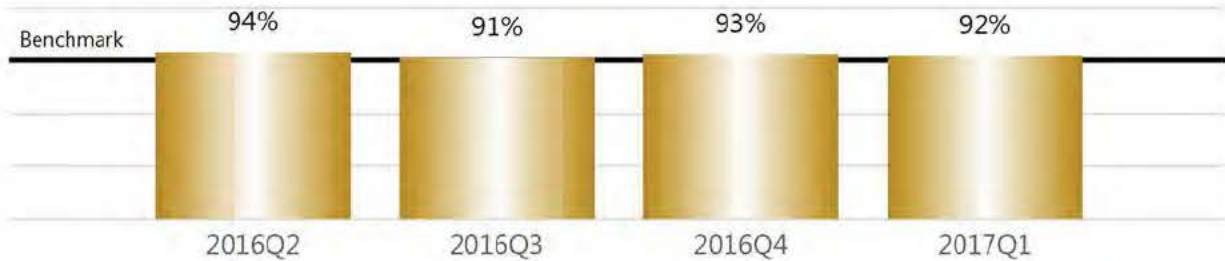


**Table 4: Received Within**

0-14 Days	612	92%
15-21 Days	18	3%
22-44 Days	18	3%
45+ Days	17	3%
? Days	1	0%
<b>Total</b>	<b>666</b>	<b>100%</b>

\*The percentages may not always add to 100% due to rounding

**Chart 11: Quarterly Compliance**



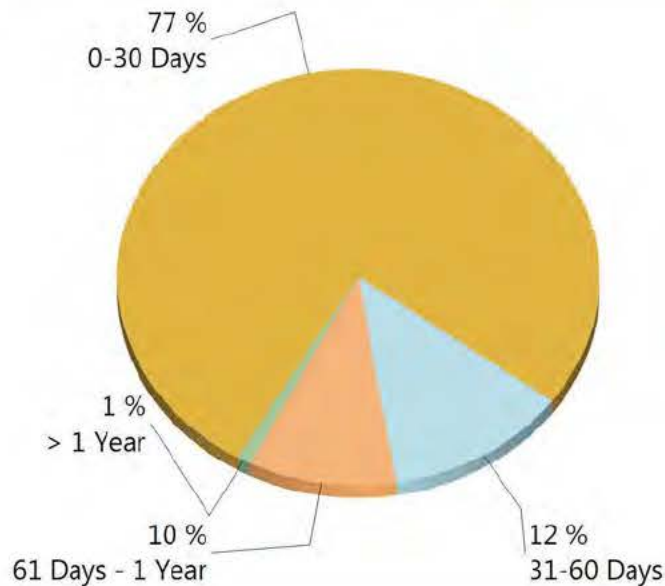
**Chart 12: Compliance Trend**



## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

**Chart 13: Wage Statement Timeliness Distribution**

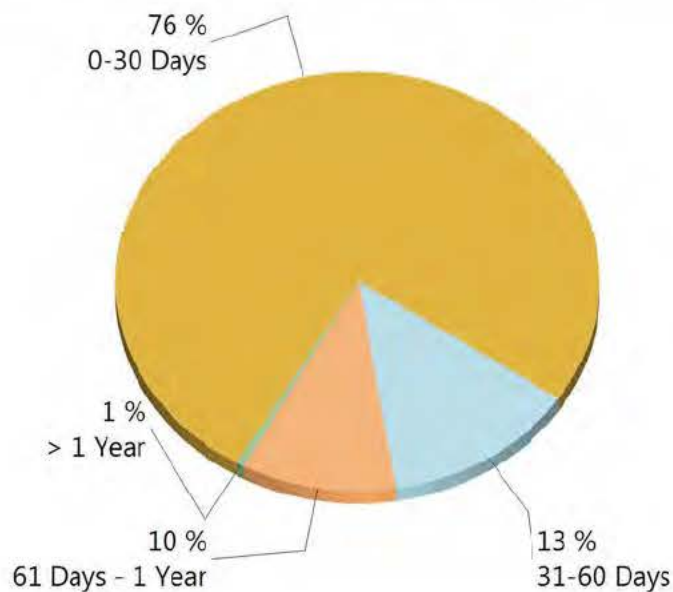


**Table 5: Wage Statements Received**

0-30 Days	1,743	77%
31-60 Days	280	12%
61 Days - 1 Year	225	10%
> 1 Year	21	1%
<b>Total</b>	<b>2,269</b>	<b>100%</b>

**Wage Statement(s):** 1,615 (75%) of the 2,142 Wage Statement(s) that were due in this quarter were filed timely, 408 (19%) were filed late, and 119 (6%) remain outstanding.

**Chart 14: Fringe Benefit Worksheet Timeliness Distribution**



**Table 6: Fringe Worksheets Received**

0-30 Days	1,718	76%
31-60 Days	295	13%
61 Days - 1 Year	232	10%
> 1 Year	12	1%
<b>Total</b>	<b>2,257</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,581 (74%) of the 2,142 Fringe Benefit Worksheet(s) due this quarter were filed timely, 421 (20%) were filed late, and 140 (7%) remain outstanding.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
First Quarter 2017  
1/1/2017-3/31/2017**

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	81	59	73%	29	22	76%
<b>Total</b>	<b>81</b>	<b>59</b>	<b>73% ▼</b>	<b>29</b>	<b>22</b>	<b>76% ▼</b>
<b>ACADIA INSURANCE Group Total</b>	<b>81</b>	<b>59</b>	<b>73% ▼</b>	<b>29</b>	<b>22</b>	<b>76% ▼</b>
<b>ACE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ACE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	3	2	67%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	11	10	91%	7	7	100%
CA110 CONSTITUTION STATE SERVICES	8	7	88%	4	4	100%
CA116 CORVEL ENTERPRISE COMP	2	1	50%	No Filings	No Filings	No Filings
CA160 ESIS	13	2	15%	5	2	40%
CA190 GALLAGHER BASSETT SERVICES	21	16	76%	11	6	55%
CA204 HELMSMAN MANAGEMENT SERVICES	3	2	67%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	71	68	96%	17	17	100%
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>133</b>	<b>109</b>	<b>82% ▼</b>	<b>45</b>	<b>37</b>	<b>82% ▼</b>
<b>ACE INSURANCE Group Total</b>	<b>133</b>	<b>109</b>	<b>82% ▼</b>	<b>45</b>	<b>37</b>	<b>82% ▼</b>
<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	75	67	89%	33	29	88%
<b>Total</b>	<b>75</b>	<b>67</b>	<b>89% ▲</b>	<b>33</b>	<b>29</b>	<b>88% ▲</b>
<b>AIG INSURANCE TPA Administered Claims</b>						
CA100 CLAIMS MANAGEMENT (WALMART)	38	36	95%	7	7	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>40</b>	<b>38</b>	<b>95% ▲</b>	<b>7</b>	<b>7</b>	<b>100% ▲</b>
<b>AIG INSURANCE Group Total</b>	<b>115</b>	<b>105</b>	<b>91% ▲</b>	<b>40</b>	<b>36</b>	<b>90% ▲</b>
<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA342 TECHNOLOGY INSURANCE	4	2	50%	2	2	100%
CA381 WESCO INSURANCE	11	9	82%	6	6	100%
<b>Total</b>	<b>15</b>	<b>11</b>	<b>73% ▼</b>	<b>8</b>	<b>8</b>	<b>100% ▲</b>
<b>AMTRUST INSURANCE Group Total</b>	<b>15</b>	<b>11</b>	<b>73% ▼</b>	<b>8</b>	<b>8</b>	<b>100% ▲</b>



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ARCH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	10	7	70%	3	2	67%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	16	14	88%	8	8	100%
CA340 YORK RISK SERVICES	1	1	100%	1	1	100%
<b>TPA Total</b>	<b>30</b>	<b>25</b>	<b>83% ▼</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>
<b>ARCH INSURANCE Group Total</b>	<b>30</b>	<b>25</b>	<b>83% ▼</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>
<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	1	0	0%
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>
<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>
<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	96	92	96%	15	15	100%
<b>Total</b>	<b>96</b>	<b>92</b>	<b>96% ▲</b>	<b>15</b>	<b>15</b>	<b>100% ▲</b>
<b>BATH IRON WORKS Group Total</b>	<b>96</b>	<b>92</b>	<b>96% ▲</b>	<b>15</b>	<b>15</b>	<b>100% ▲</b>
<b>BENCHMARK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
BENCHMARK INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>BENCHMARK INSURANCE TPA Administered Claims</b>						
CA433 NEXT LEVEL ADMINISTRATOR LLC	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BENCHMARK INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	*	*	*	*	*	*
CA115 CONTINENTAL INDEMNITY	1	1	100%	1	1	100%
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>BROADSPIRE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	13	12	92%	4	4	100%
Total	13	12	92% ▲	4	4	100% ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA043 BROTHERHOOD MUTUAL INSURANCE COMPANY	1	0	0%	No Filings	No Filings	No Filings
Total	1	0	0% ▼	No Filings	No Filings	No Filings
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	182	148	81%	62	54	87%
Total	182	148	81% ▼	62	54	87% ▲
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>182</b>	<b>148</b>	<b>81% ▼</b>	<b>62</b>	<b>54</b>	<b>87% ▲</b>
<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA046 CHUBB INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160 ESIS	1	1	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	8	5	63%	6	5	83%
TPA Total	9	6	67% ▼	6	5	83% ▼
<b>CHUBB INSURANCE Group Total</b>	<b>9</b>	<b>6</b>	<b>67% ▼</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	38	36	95%	7	7	100%
Total	38	36	95% ▲	7	7	100% ▲
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>38</b>	<b>36</b>	<b>95% ▲</b>	<b>7</b>	<b>7</b>	<b>100% ▲</b>
<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA083 CNA CLAIMS PLUS	1	1	100%	1	1	100%
CA050 CONTINENTAL CASUALTY	5	5	100%	No Filings	No Filings	No Filings
CA329 VALLEY FORGE INSURANCE COMPANY	1	1	100%	1	1	100%
Total	7	7	100% ▲	2	2	100% ▲
<b>CNA INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
TPA Total	2	2	100% ▲	2	2	100% ▲
<b>CNA INSURANCE Group Total</b>	<b>9</b>	<b>9</b>	<b>100% ▲</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110 CONSTITUTION STATE SERVICES	11	10	91%	6	5	83%
Total	11	10	91% ▲	6	5	83% ▼
CONSTITUTION STATE SERVICES Group Total	11	10	91% ▲	6	5	83% ▼
<b>CORVEL ENTERPRISE COMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116 CORVEL ENTERPRISE COMP	7	3	43%	4	2	50%
Total	7	3	43% ▼	4	2	50% ▼
CORVEL ENTERPRISE COMP Group Total	7	3	43% ▼	4	2	50% ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	3	3	100%	1	1	100%
Total	3	3	100% ▲	1	1	100% ▲
COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	3	3	100% ▲	1	1	100% ▲
<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093 CROSS INSURANCE	321	307	96%	41	37	90%
Total	321	307	96% ▲	41	37	90% ▲
CROSS INSURANCE Group Total	321	307	96% ▲	41	37	90% ▲
<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	1	1	100%
TPA Total	3	2	67% ▼	1	1	100% ▲
ELECTRIC INSURANCE Group Total	3	2	67% ▼	1	1	100% ▲
<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160 ESIS	30	12	40%	11	7	64%
Total	30	12	40% ▼	11	7	64% ▼
ESIS Group Total	30	12	40% ▼	11	7	64% ▼
<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091 FEDERATED MUTUAL INSURANCE	3	2	67%	2	1	50%
CA092 FEDERATED SERVICE INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	4	2	50% ▼	2	1	50% ▼
FEDERATED MUTUAL INSURANCE Group Total	4	2	50% ▼	2	1	50% ▼

## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter 2017

1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>FIREMAN'S FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA170 FIREMAN'S FUND INSURANCE	1	0	0%	No Filings	No Filings	No Filings
Total	1	0	0% ▼	No Filings	No Filings	No Filings
<b>FIREMAN'S FUND INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175 FUTURECOMP	50	48	96%	15	13	87%
Total	50	48	96% ▲	15	13	87% ▲
<b>FUTURECOMP Group Total</b>	<b>50</b>	<b>48</b>	<b>96% ▲</b>	<b>15</b>	<b>13</b>	<b>87% ▲</b>
<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	91	69	76%	49	32	65%
Total	91	69	76% ▼	49	32	65% ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>91</b>	<b>69</b>	<b>76% ▼</b>	<b>49</b>	<b>32</b>	<b>65% ▼</b>
<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	96	70	73%	33	28	85%
TPA Total	96	70	73% ▼	33	28	85% ▼
<b>GREAT FALLS INSURANCE Group Total</b>	<b>96</b>	<b>70</b>	<b>73% ▼</b>	<b>33</b>	<b>28</b>	<b>85% ▼</b>
<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	12	5	42%	5	5	100%
CA140 EASTGUARD INSURANCE	4	1	25%	1	1	100%
Total	16	6	38% ▼	6	6	100% ▲
<b>GUARD INSURANCE Group Total</b>	<b>16</b>	<b>6</b>	<b>38% ▼</b>	<b>6</b>	<b>6</b>	<b>100% ▲</b>
<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	78	50	64%	35	25	71%
Total	78	50	64% ▼	35	25	71% ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>78</b>	<b>50</b>	<b>64% ▼</b>	<b>35</b>	<b>25</b>	<b>71% ▼</b>



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HANOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	1	1	100%	1	1	100%
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	4	3	75%	1	1	100%
CA429 HANOVER AMERICAN INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA202 HANOVER INSURANCE	3	1	33%	1	1	100%
CA228 MASSACHUSETTS BAY INSURANCE	3	3	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>11</b>	<b>8</b>	<b>73% ▼</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>
<b>HANOVER INSURANCE Group Total</b>	<b>11</b>	<b>8</b>	<b>73% ▼</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>
<b>HARTFORD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No Filings	No Filings	No Filings
CA185 HARTFORD CASUALTY INSURANCE	5	4	80%	2	2	100%
CA203 HARTFORD FIRE INSURANCE	7	4	57%	1	1	100%
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	2	1	50%	No Filings	No Filings	No Filings
CA187 HARTFORD UNDERWRITERS INSURANCE	6	4	67%	2	1	50%
CA296 SENTINEL INSURANCE	2	0	0%	No Filings	No Filings	No Filings
CA319 TRUMBULL INSURANCE	26	23	88%	8	8	100%
CA321 TWIN CITY FIRE INSURANCE	5	5	100%	1	1	100%
<b>Total</b>	<b>54</b>	<b>42</b>	<b>78% ▼</b>	<b>14</b>	<b>13</b>	<b>93% ▲</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070 CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	4	2	50%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	14	13	93%	4	3	75%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>22</b>	<b>18</b>	<b>82% ▼</b>	<b>7</b>	<b>5</b>	<b>71% ▼</b>
<b>HARTFORD INSURANCE Group Total</b>	<b>76</b>	<b>60</b>	<b>79% ▼</b>	<b>21</b>	<b>18</b>	<b>86% ▼</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	8	4	50%	3	3	100%
<b>Total</b>	<b>8</b>	<b>4</b>	<b>50% ▼</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>8</b>	<b>4</b>	<b>50% ▼</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA380 EMPLOYERS INSURANCE OF WAUSAU	2	2	100%	1	1	100%
CA210 LIBERTY MUTUAL INSURANCE	58	46	79%	29	24	83%
CA407 OHIO SECURITY INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	17	9	53%	9	5	56%
CA309 THE NETHERLANDS INSURANCE	1	1	100%	1	1	100%
CA408 WEST AMERICAN INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>80</b>	<b>60</b>	<b>75% ▼</b>	<b>40</b>	<b>31</b>	<b>78% ▼</b>
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>80</b>	<b>60</b>	<b>75% ▼</b>	<b>40</b>	<b>31</b>	<b>78% ▼</b>
<b>MACY'S CORPORATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA213 MACY'S CORPORATE SERVICES	2	2	100%	1	1	100%
<b>Total</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>MACY'S CORPORATE SERVICES Group Total</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	35	30	86%	14	11	79%
<b>Total</b>	<b>35</b>	<b>30</b>	<b>86% ▲</b>	<b>14</b>	<b>11</b>	<b>79% ▼</b>
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total</b>	<b>35</b>	<b>30</b>	<b>86% ▲</b>	<b>14</b>	<b>11</b>	<b>79% ▼</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	1270	1017	80%	414	395	95%
<b>Total</b>	<b>1270</b>	<b>1017</b>	<b>80% ▼</b>	<b>414</b>	<b>395</b>	<b>95% ▲</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE Group Total</b>	<b>1270</b>	<b>1017</b>	<b>80% ▼</b>	<b>414</b>	<b>395</b>	<b>95% ▲</b>
<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234 MAINE HEALTHCARE ASSOCIATION	33	31	94%	7	6	86%
<b>Total</b>	<b>33</b>	<b>31</b>	<b>94% ▲</b>	<b>7</b>	<b>6</b>	<b>86% ▼</b>
<b>MAINE HEALTHCARE ASSOCIATION Group Total</b>	<b>33</b>	<b>31</b>	<b>94% ▲</b>	<b>7</b>	<b>6</b>	<b>86% ▼</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230 MAINE MOTOR TRANSPORT ASSOCIATION	47	45	96%	6	6	100%
<b>Total</b>	<b>47</b>	<b>45</b>	<b>96% ▲</b>	<b>6</b>	<b>6</b>	<b>100% ▲</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION Group Total</b>	<b>47</b>	<b>45</b>	<b>96% ▲</b>	<b>6</b>	<b>6</b>	<b>100% ▲</b>
<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225 MAINE MUNICIPAL ASSOCIATION	264	253	96%	81	71	88%
<b>Total</b>	<b>264</b>	<b>253</b>	<b>96% ▲</b>	<b>81</b>	<b>71</b>	<b>88% ▲</b>
<b>MAINE MUNICIPAL ASSOCIATION Group Total</b>	<b>264</b>	<b>253</b>	<b>96% ▲</b>	<b>81</b>	<b>71</b>	<b>88% ▲</b>



## INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter 2017

1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	114	113	99%	31	31	100%
<b>Total</b>	<b>114</b>	<b>113</b>	<b>99% ▲</b>	<b>31</b>	<b>31</b>	<b>100% ▲</b>
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total</b>	<b>114</b>	<b>113</b>	<b>99% ▲</b>	<b>31</b>	<b>31</b>	<b>100% ▲</b>
<b>MEADOWBROOK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255 MEADOWBROOK INSURANCE	4	3	75%	2	2	100%
<b>Total</b>	<b>4</b>	<b>3</b>	<b>75% ▼</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>
<b>MEADOWBROOK INSURANCE Group Total</b>	<b>4</b>	<b>3</b>	<b>75% ▼</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>
<b>NATIONAL INTERSTATE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA267 NATIONAL INTERSTATE INSURANCE COMPANY	3	3	100%	3	3	100%
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>
<b>NATIONAL INTERSTATE INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>
<b>NATIONWIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA198 HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289 NATIONAL CASUALTY	1	0	0%	No Filings	No Filings	No Filings
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	2	0	0%	1	1	100%
<b>Total</b>	<b>3</b>	<b>0</b>	<b>0% ▼</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>NATIONWIDE INSURANCE Group Total</b>	<b>3</b>	<b>0</b>	<b>0% ▼</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>NORTH RIVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	9	8	89%	2	1	50%
CA110 CONSTITUTION STATE SERVICES	3	3	100%	2	1	50%
CA160 ESIS	2	1	50%	2	2	100%
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	10	9	90%	2	2	100%
<b>TPA Total</b>	<b>26</b>	<b>23</b>	<b>88% ▲</b>	<b>10</b>	<b>7</b>	<b>70% ▼</b>
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>26</b>	<b>23</b>	<b>88% ▲</b>	<b>10</b>	<b>7</b>	<b>70% ▼</b>

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>PENNSYLVANIA MFG ASSN</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	5	3	60%	4	2	50%
TPA Total	5	3	60% ▼	4	2	50% ▼
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>5</b>	<b>3</b>	<b>60% ▼</b>	<b>4</b>	<b>2</b>	<b>50% ▼</b>
<b>PROTECTIVE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	0	0%	1	1	100%
TPA Total	1	0	0% ▼	1	1	100% ▲
<b>PROTECTIVE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>QBE INSURANCE GROUP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
QBE INSURANCE GROUP	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	4	3	75%
TPA Total	11	10	91% ▲	4	3	75% ▼
<b>QBE INSURANCE GROUP Group Total</b>	<b>11</b>	<b>10</b>	<b>91% ▲</b>	<b>4</b>	<b>3</b>	<b>75% ▼</b>
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	1	1	100%
CA160 ESIS	1	0	0%	1	0	0%
CA190 GALLAGHER BASSETT SERVICES	9	8	89%	4	3	75%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	No Filings	No Filings	No Filings
TPA Total	18	16	89% ▲	6	4	67% ▼
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	<b>18</b>	<b>16</b>	<b>89% ▲</b>	<b>6</b>	<b>4</b>	<b>67% ▼</b>
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	200	186	93%	56	53	95%
Total	200	186	93% ▲	56	53	95% ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	<b>200</b>	<b>186</b>	<b>93% ▲</b>	<b>56</b>	<b>53</b>	<b>95% ▲</b>



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>SENTRY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA402 SENTRY CASUALTY	3	3	100%	No Filings	No Filings	No Filings
CA305 SENTRY INSURANCE	2	2	100%	2	2	100%
CA308 SENTRY SELECT INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>6</b>	<b>6</b>	<b>100% ▲</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>
<b>SENTRY INSURANCE Group Total</b>	<b>6</b>	<b>6</b>	<b>100% ▲</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>
<b>SOMPO JAPAN INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160 ESIS	3	2	67%	2	2	100%
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	151	136	90%	47	46	98%
<b>Total</b>	<b>151</b>	<b>136</b>	<b>90% ▲</b>	<b>47</b>	<b>46</b>	<b>98% ▲</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>151</b>	<b>136</b>	<b>90% ▲</b>	<b>47</b>	<b>46</b>	<b>98% ▲</b>
<b>SYNERNET</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320 SYNERNET	137	114	83%	32	30	94%
<b>Total</b>	<b>137</b>	<b>114</b>	<b>83% ▼</b>	<b>32</b>	<b>30</b>	<b>94% ▲</b>
<b>SYNERNET Group Total</b>	<b>137</b>	<b>114</b>	<b>83% ▼</b>	<b>32</b>	<b>30</b>	<b>94% ▲</b>
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	8	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>8</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>8</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter 2017  
1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	22	12	55%	11	8	73%
CA164 FARMINGTON CASUALTY	1	0	0%	No Filings	No Filings	No Filings
CA284 PHOENIX INSURANCE	3	2	67%	1	1	100%
CA306 STANDARD FIRE INSURANCE	6	5	83%	2	2	100%
CA347 TRAVELERS CASUALTY & SURETY	2	2	100%	2	1	50%
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	3	2	67%	1	1	100%
CA349 TRAVELERS COMMERCIAL CASUALTY	5	3	60%	1	1	100%
CA343 TRAVELERS INDEMNITY COMPANY	1	1	100%	No Filings	No Filings	No Filings
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	4	3	75%	2	1	50%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	2	1	50%	1	1	100%
<b>Total</b>	<b>49</b>	<b>31</b>	<b>63% ▼</b>	<b>21</b>	<b>16</b>	<b>76% ▼</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA160 ESIS	2	1	50%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	4	2	50%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	4	4	100%
<b>TPA Total</b>	<b>8</b>	<b>5</b>	<b>63% ▼</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>
<b>TRAVELERS INSURANCE Group Total</b>	<b>57</b>	<b>36</b>	<b>63% ▼</b>	<b>27</b>	<b>21</b>	<b>78% ▼</b>
<b>XL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	1	0	0%	1	0	0%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	24	19	79%	11	8	73%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	11	9	82%	3	3	100%
<b>TPA Total</b>	<b>39</b>	<b>30</b>	<b>77% ▼</b>	<b>15</b>	<b>11</b>	<b>73% ▼</b>
<b>XL INSURANCE Group Total</b>	<b>39</b>	<b>30</b>	<b>77% ▼</b>	<b>15</b>	<b>11</b>	<b>73% ▼</b>
<b>YORK RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	4	2	50%	3	3	100%
<b>Total</b>	<b>4</b>	<b>2</b>	<b>50% ▼</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>
<b>YORK RISK SERVICES Group Total</b>	<b>4</b>	<b>2</b>	<b>50% ▼</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>



# INSURANCE GROUP COMPLIANCE

Lost Time FROI and Initial Indemnity Payments

First Quarter 2017

1/1/2017 - 3/31/2017

Insurance Company	Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ZURICH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022 AMERICAN ZURICH	7	6	86%	2	2	100%
CA400 ZURICH AMERICAN INSURANCE	10	9	90%	1	1	100%
<b>Total</b>	<b>17</b>	<b>15</b>	<b>88% ▲</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	1	1	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	4	4	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	7	6	86%	1	0	0%
<b>TPA Total</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>	<b>2</b>	<b>1</b>	<b>50% ▼</b>
<b>ZURICH INSURANCE Group Total</b>	<b>30</b>	<b>27</b>	<b>90% ▲</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>

Maine Workers' Compensation Board

Lost Time FROI Filing Benchmark: 85%

Initial Indemnity Payment Benchmark: 87%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter 2017  
1/1/2017-3/31/2017**



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA010 ACADIA INSURANCE	29	19	66%	14	12	86%
<b>Total</b>	<b>29</b>	<b>19</b>	<b>66% ▼</b>	<b>14</b>	<b>12</b>	<b>86% ▼</b>
<b>ACADIA INSURANCE Group Total</b>	<b>29</b>	<b>19</b>	<b>66% ▼</b>	<b>14</b>	<b>12</b>	<b>86% ▼</b>
<b>ACE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ACE INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>ACE INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	7	7	100%	1	1	100%
CA110 CONSTITUTION STATE SERVICES	4	4	100%	3	3	100%
CA116 CORVEL ENTERPRISE COMP	No Filings	No Filings	No Filings	1	0	0%
CA160 ESIS	5	3	60%	3	2	67%
CA190 GALLAGHER BASSETT SERVICES	11	6	55%	6	2	33%
CA204 HELMSMAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	17	17	100%	13	13	100%
CA340 YORK RISK SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>45</b>	<b>38</b>	<b>84% ▼</b>	<b>27</b>	<b>21</b>	<b>78% ▼</b>
<b>ACE INSURANCE Group Total</b>	<b>45</b>	<b>38</b>	<b>84% ▼</b>	<b>27</b>	<b>21</b>	<b>78% ▼</b>
<b>AIG INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA015 AIG DOMESTIC CLAIMS	33	30	91%	7	7	100%
<b>Total</b>	<b>33</b>	<b>30</b>	<b>91% ▲</b>	<b>7</b>	<b>7</b>	<b>100% ▲</b>
<b>AIG INSURANCE TPA Administered Claims</b>						
CA100 CLAIMS MANAGEMENT (WALMART)	7	7	100%	7	6	86%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	2	2	100%
<b>TPA Total</b>	<b>7</b>	<b>7</b>	<b>100% ▲</b>	<b>9</b>	<b>8</b>	<b>89% ▼</b>
<b>AIG INSURANCE Group Total</b>	<b>40</b>	<b>37</b>	<b>93% ▲</b>	<b>16</b>	<b>15</b>	<b>94% ▲</b>
<b>AMTRUST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA342 TECHNOLOGY INSURANCE	2	1	50%	1	1	100%
CA381 WESCO INSURANCE	6	5	83%	3	3	100%
<b>Total</b>	<b>8</b>	<b>6</b>	<b>75% ▼</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>
<b>AMTRUST INSURANCE Group Total</b>	<b>8</b>	<b>6</b>	<b>75% ▼</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
ARCH INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	3	2	67%	3	3	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	2	2	100%
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>	<b>6</b>	<b>6</b>	<b>100% ▲</b>
<b>ARCH INSURANCE Group Total</b>	<b>13</b>	<b>12</b>	<b>92% ▲</b>	<b>6</b>	<b>6</b>	<b>100% ▲</b>
<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA035 ATLANTIC SPECIALTY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	1	0	0%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036 BATH IRON WORKS	15	15	100%	21	14	67%
<b>Total</b>	<b>15</b>	<b>15</b>	<b>100% ▲</b>	<b>21</b>	<b>14</b>	<b>67% ▼</b>
<b>BATH IRON WORKS Group Total</b>	<b>15</b>	<b>15</b>	<b>100% ▲</b>	<b>21</b>	<b>14</b>	<b>67% ▼</b>
<b>BENCHMARK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
BENCHMARK INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>BENCHMARK INSURANCE TPA Administered Claims</b>						
CA433 NEXT LEVEL ADMINISTRATOR LLC	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BENCHMARK INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114 BERKSHIRE HATHAWAY HOMESTATE INSURANCE	*	*	*	*	*	*
CA115 CONTINENTAL INDEMNITY	1	1	100%	No Filings	No Filings	No Filings
CA293 REDWOOD FIRE AND CASUALTY INSURANCE	1	0	0%	No Filings	No Filings	No Filings
<b>Total</b>	<b>2</b>	<b>1</b>	<b>50% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>BERKSHIRE HATHAWAY INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040 BROADSPIRE SERVICES	4	4	100%	2	2	100%
Total	4	4	100% ▲	2	2	100% ▲
<b>BROADSPIRE SERVICES Group Total</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA043 BROTHERHOOD MUTUAL INSURANCE COMPANY	No Filings	No Filings	No Filings	1	1	100%
Total	No Filings	No Filings	No Filings	1	1	100% ▲
<b>BROTHERHOOD MUTUAL INSURANCE COMPANY Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070 CANNON COCHRAN MANAGEMENT SERVICES	62	55	89%	32	28	88%
Total	62	55	89% ▲	32	28	88% ▼
<b>CANNON COCHRAN MANAGEMENT SERVICES Group Total</b>	<b>62</b>	<b>55</b>	<b>89% ▲</b>	<b>32</b>	<b>28</b>	<b>88% ▼</b>
<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA046 CHUBB INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>CHUBB INSURANCE TPA Administered Claims</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
CA160 ESIS	6	5	83%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	6	5	83% ▼	1	1	100% ▲
TPA Total	6	5	83% ▼	1	1	100% ▲
<b>CHUBB INSURANCE Group Total</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100 CLAIMS MANAGEMENT (WALMART)	7	7	100%	7	6	86%
Total	7	7	100% ▲	7	6	86% ▼
<b>CLAIMS MANAGEMENT (WALMART) Group Total</b>	<b>7</b>	<b>7</b>	<b>100% ▲</b>	<b>7</b>	<b>6</b>	<b>86% ▼</b>
<b>CNA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA083 CNA CLAIMS PLUS	1	1	100%	No Filings	No Filings	No Filings
CA050 CONTINENTAL CASUALTY	No Filings	No Filings	No Filings	2	2	100%
CA329 VALLEY FORGE INSURANCE COMPANY	1	1	100%	No Filings	No Filings	No Filings
Total	2	2	100% ▲	2	2	100% ▲
<b>CNA INSURANCE TPA Administered Claims</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
CA190 GALLAGHER BASSETT SERVICES	2	2	100%	No Filings	No Filings	No Filings
TPA Total	2	2	100% ▲	No Filings	No Filings	No Filings
<b>CNA INSURANCE Group Total</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>	<b>2</b>	<b>2</b>	<b>100% ▲</b>



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CONSTITUTION STATE SERVICES</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110 CONSTITUTION STATE SERVICES	6	6	100%	3	3	100%
Total	6	6	100% ▲	3	3	100% ▲
CONSTITUTION STATE SERVICES Group Total	6	6	100% ▲	3	3	100% ▲
<b>CORVEL ENTERPRISE COMP</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116 CORVEL ENTERPRISE COMP	4	3	75%	3	1	33%
Total	4	3	75% ▼	3	1	33% ▼
CORVEL ENTERPRISE COMP Group Total	4	3	75% ▼	3	1	33% ▼
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	2	2	100%
Total	1	1	100% ▲	2	2	100% ▲
COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	1	1	100% ▲	2	2	100% ▲
<b>CROSS INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA093 CROSS INSURANCE	41	39	95%	73	73	100%
Total	41	39	95% ▲	73	73	100% ▲
CROSS INSURANCE Group Total	41	39	95% ▲	73	73	100% ▲
<b>ELECTRIC INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA150 ELECTRIC INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	0	0%
TPA Total	1	1	100% ▲	1	0	0% ▼
ELECTRIC INSURANCE Group Total	1	1	100% ▲	1	0	0% ▼
<b>ESIS</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160 ESIS	11	8	73%	7	6	86%
Total	11	8	73% ▼	7	6	86% ▼
ESIS Group Total	11	8	73% ▼	7	6	86% ▼
<b>FEDERATED MUTUAL INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091 FEDERATED MUTUAL INSURANCE	2	0	0%	2	2	100%
CA092 FEDERATED SERVICE INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
Total	2	0	0% ▼	2	2	100% ▲
FEDERATED MUTUAL INSURANCE Group Total	2	0	0% ▼	2	2	100% ▲

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>FIREMAN'S FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170 FIREMAN'S FUND INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
Total	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>FIREMAN'S FUND INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175 FUTURECOMP	15	14	93%	8	7	88%
Total	15	14	93% ▲	8	7	88% ▼
<b>FUTURECOMP Group Total</b>	<b>15</b>	<b>14</b>	<b>93% ▲</b>	<b>8</b>	<b>7</b>	<b>88% ▼</b>
<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190 GALLAGHER BASSETT SERVICES	49	32	65%	15	10	67%
Total	49	32	65% ▼	15	10	67% ▼
<b>GALLAGHER BASSETT SERVICES Group Total</b>	<b>49</b>	<b>32</b>	<b>65% ▼</b>	<b>15</b>	<b>10</b>	<b>67% ▼</b>
<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
GREAT FALLS INSURANCE	*	*	*	*	*	*
Total	*	*	*	*	*	*
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	33	28	85%	20	18	90%
TPA Total	33	28	85% ▲	20	18	90% ▲
<b>GREAT FALLS INSURANCE Group Total</b>	<b>33</b>	<b>28</b>	<b>85% ▲</b>	<b>20</b>	<b>18</b>	<b>90% ▲</b>
<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019 AMGUARD INSURANCE	5	4	80%	1	0	0%
CA140 EASTGUARD INSURANCE	1	1	100%	No Filings	No Filings	No Filings
Total	6	5	83% ▼	1	0	0% ▼
<b>GUARD INSURANCE Group Total</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>	<b>1</b>	<b>0</b>	<b>0% ▼</b>
<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201 HANNAFORD BROTHERS	35	27	77%	7	5	71%
Total	35	27	77% ▼	7	5	71% ▼
<b>HANNAFORD BROTHERS Group Total</b>	<b>35</b>	<b>27</b>	<b>77% ▼</b>	<b>7</b>	<b>5</b>	<b>71% ▼</b>
<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428 ALLMERICA FINANCIAL BENEFIT INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA048 CITIZENS INSURANCE COMPANY OF AMERICA	1	1	100%	No Filings	No Filings	No Filings
CA429 HANOVER AMERICAN INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA202 HANOVER INSURANCE	1	1	100%	2	2	100%
CA228 MASSACHUSETTS BAY INSURANCE	No Filings	No Filings	No Filings	3	3	100%
Total	4	3	75% ▼	5	5	100% ▲
<b>HANOVER INSURANCE Group Total</b>	<b>4</b>	<b>3</b>	<b>75% ▼</b>	<b>5</b>	<b>5</b>	<b>100% ▲</b>

Maine Workers' Compensation Board  
Initial MOP Filing Benchmark: 85%  
Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded  
▼ Indicates benchmark not met



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>HARTFORD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188 HARTFORD ACCIDENT & INDEMNITY	No Filings	No Filings	No Filings	1	1	100%
CA185 HARTFORD CASUALTY INSURANCE	2	2	100%	2	2	100%
CA203 HARTFORD FIRE INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA186 HARTFORD INSURANCE COMPANY OF THE MIDWEST	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA187 HARTFORD UNDERWRITERS INSURANCE	2	1	50%	1	1	100%
CA296 SENTINEL INSURANCE	No Filings	No Filings	No Filings	1	1	100%
CA319 TRUMBULL INSURANCE	8	8	100%	4	4	100%
CA321 TWIN CITY FIRE INSURANCE	1	1	100%	2	2	100%
<b>Total</b>	<b>14</b>	<b>13</b>	<b>93% ▲</b>	<b>11</b>	<b>11</b>	<b>100% ▲</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	1	0	0%
CA116 CORVEL ENTERPRISE COMP	2	2	100%	1	1	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	1	1	100%
CA280 TRISTAR RISK ENTERPRISE MANAGEMENT	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>7</b>	<b>6</b>	<b>86% ▲</b>	<b>3</b>	<b>2</b>	<b>67% ▼</b>
<b>HARTFORD INSURANCE Group Total</b>	<b>21</b>	<b>19</b>	<b>90% ▲</b>	<b>14</b>	<b>13</b>	<b>93% ▲</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204 HELMSMAN MANAGEMENT SERVICES	3	3	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>HELMSMAN MANAGEMENT SERVICES Group Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA380 EMPLOYERS INSURANCE OF WAUSAU	1	1	100%	No Filings	No Filings	No Filings
CA210 LIBERTY MUTUAL INSURANCE	29	23	79%	12	11	92%
CA407 OHIO SECURITY INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA275 PEERLESS INSURANCE	9	6	67%	5	4	80%
CA309 THE NETHERLANDS INSURANCE	1	0	0%	No Filings	No Filings	No Filings
CA408 WEST AMERICAN INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	<b>40</b>	<b>30</b>	<b>75% ▼</b>	<b>17</b>	<b>15</b>	<b>88% ▼</b>
<b>LIBERTY MUTUAL INSURANCE Group Total</b>	<b>40</b>	<b>30</b>	<b>75% ▼</b>	<b>17</b>	<b>15</b>	<b>88% ▼</b>
<b>MACY'S CORPORATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA213 MACY'S CORPORATE SERVICES	1	1	100%	1	1	100%
<b>Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>MACY'S CORPORATE SERVICES Group Total</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage		Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
MAINE AUTOMOBILE DEALERS ASSOCIATION CA220 MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed 14	Timely MOPs 14	Compliance 100%		NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
Total	14	14	100%	▲	No Filings	No Filings	No Filings
MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total	14	14	100%	▲	No Filings	No Filings	No Filings
MAINE EMPLOYERS' MUTUAL INSURANCE CA260 MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed 414	Timely MOPs 385	Compliance 93%		NOCs Filed 221	Timely NOCs 210	Compliance 95%
Total	414	385	93%	▲	221	210	95% ▲
MAINE EMPLOYERS' MUTUAL INSURANCE Group Total	414	385	93%	▲	221	210	95% ▲
MAINE HEALTHCARE ASSOCIATION CA234 MAINE HEALTHCARE ASSOCIATION	MOPs Filed 7	Timely MOPs 7	Compliance 100%		NOCs Filed 9	Timely NOCs 8	Compliance 89%
Total	7	7	100%	▲	9	8	89% ▼
MAINE HEALTHCARE ASSOCIATION Group Total	7	7	100%	▲	9	8	89% ▼
MAINE MOTOR TRANSPORT ASSOCIATION CA230 MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed 6	Timely MOPs 6	Compliance 100%		NOCs Filed 10	Timely NOCs 9	Compliance 90%
Total	6	6	100%	▲	10	9	90% ▲
MAINE MOTOR TRANSPORT ASSOCIATION Group Total	6	6	100%	▲	10	9	90% ▲
MAINE MUNICIPAL ASSOCIATION CA225 MAINE MUNICIPAL ASSOCIATION	MOPs Filed 81	Timely MOPs 74	Compliance 91%		NOCs Filed 50	Timely NOCs 49	Compliance 98%
Total	81	74	91%	▲	50	49	98% ▲
MAINE MUNICIPAL ASSOCIATION Group Total	81	74	91%	▲	50	49	98% ▲
MAINE SCHOOL MANAGEMENT ASSOCIATION CA250 MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed 31	Timely MOPs 31	Compliance 100%		NOCs Filed 12	Timely NOCs 12	Compliance 100%
Total	31	31	100%	▲	12	12	100% ▲
MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	31	31	100%	▲	12	12	100% ▲
MEADOWBROOK INSURANCE CA255 MEADOWBROOK INSURANCE	MOPs Filed 2	Timely MOPs 2	Compliance 100%		NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
Total	2	2	100%	▲	No Filings	No Filings	No Filings
MEADOWBROOK INSURANCE Group Total	2	2	100%	▲	No Filings	No Filings	No Filings
NATIONAL INTERSTATE INSURANCE CA267 NATIONAL INTERSTATE INSURANCE COMPANY	MOPs Filed 3	Timely MOPs 3	Compliance 100%		NOCs Filed No Filings	Timely NOCs No Filings	Compliance No Filings
Total	3	3	100%	▲	No Filings	No Filings	No Filings
NATIONAL INTERSTATE INSURANCE Group Total	3	3	100%	▲	No Filings	No Filings	No Filings

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>NATIONWIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA198 HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289 NATIONAL CASUALTY	No Filings	No Filings	No Filings	1	0	0%
CA291 NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%	2	0	0%
<b>Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>0</b>	<b>0%</b> ▼
<b>NATIONWIDE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b> ▼	<b>3</b>	<b>0</b>	<b>0%</b> ▼
<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA257 NORTH RIVER INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>NORTH RIVER INSURANCE TPA Administered Claims</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>NORTH RIVER INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
OLD REPUBLIC INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	1	1	100%
CA110 CONSTITUTION STATE SERVICES	2	2	100%	No Filings	No Filings	No Filings
CA160 ESIS	2	2	100%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
<b>TPA Total</b>	<b>10</b>	<b>8</b>	<b>80%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>10</b>	<b>8</b>	<b>80%</b> ▼	<b>3</b>	<b>3</b>	<b>100%</b> ▲
<b>PENNSYLVANIA MFG ASSN</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
PENNSYLVANIA MFG ASSN	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>PENNSYLVANIA MFG ASSN TPA Administered Claims</b>						
CA190 GALLAGHER BASSETT SERVICES	4	1	25%	1	1	100%
<b>TPA Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲
<b>PENNSYLVANIA MFG ASSN Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b> ▼	<b>1</b>	<b>1</b>	<b>100%</b> ▲



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>PROTECTIVE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA277 PROTECTIVE INSURANCE COMPANY	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340 YORK RISK SERVICES	1	1	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	1	1	100% ▲	No Filings	No Filings	No Filings
<b>PROTECTIVE INSURANCE Group Total</b>	1	1	100% ▲	No Filings	No Filings	No Filings
<b>QBE INSURANCE GROUP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
QBE INSURANCE GROUP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	2	2	100%
<b>TPA Total</b>	4	3	75% ▼	2	2	100% ▲
<b>QBE INSURANCE GROUP Group Total</b>	4	3	75% ▼	2	2	100% ▲
<b>SAFETY NATIONAL CASUALTY CORP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SAFETY NATIONAL CASUALTY CORP	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SAFETY NATIONAL CASUALTY CORP TPA Administered Claims</b>						
CA070 CANNON COCHRAN MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	1	1	100%	No Filings	No Filings	No Filings
CA160 ESIS	1	0	0%	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	4	3	75%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	4	4	100%
<b>TPA Total</b>	6	4	67% ▼	4	4	100% ▲
<b>SAFETY NATIONAL CASUALTY CORP Group Total</b>	6	4	67% ▼	4	4	100% ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	56	53	95%	36	35	97%
<b>Total</b>	56	53	95% ▲	36	35	97% ▲
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total</b>	56	53	95% ▲	36	35	97% ▲
<b>SENTRY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA402 SENTRY CASUALTY	No Filings	No Filings	No Filings	1	1	100%
CA305 SENTRY INSURANCE	2	2	100%	1	1	100%
CA308 SENTRY SELECT INSURANCE	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>Total</b>	2	2	100% ▲	2	2	100% ▲
<b>SENTRY INSURANCE Group Total</b>	2	2	100% ▲	2	2	100% ▲



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>SOMPO JAPAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
SOMPO JAPAN INSURANCE COMPANY OF AMERICA	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>SOMPO JAPAN INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>SOMPO JAPAN INSURANCE Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>STARR INDEMNITY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
STARR INDEMNITY INSURANCE	*	*	*	*	*	*
<b>Total</b>	*	*	*	*	*	*
<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160 ESIS	2	2	100%	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307 STATE OF MAINE WORKERS' COMPENSATION TRUST	47	46	98%	22	20	91%
<b>Total</b>	<b>47</b>	<b>46</b>	<b>98% ▲</b>	<b>22</b>	<b>20</b>	<b>91% ▲</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST Group Total</b>	<b>47</b>	<b>46</b>	<b>98% ▲</b>	<b>22</b>	<b>20</b>	<b>91% ▲</b>
<b>SYNERNET</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320 SYNERNET	32	28	88%	43	38	88%
<b>Total</b>	<b>32</b>	<b>28</b>	<b>88% ▲</b>	<b>43</b>	<b>38</b>	<b>88% ▼</b>
<b>SYNERNET Group Total</b>	<b>32</b>	<b>28</b>	<b>88% ▲</b>	<b>43</b>	<b>38</b>	<b>88% ▼</b>
<b>THE AMERICAN EQUITY UNDERWRITERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA323 THE AMERICAN EQUITY UNDERWRITERS	No Filings	No Filings	No Filings	1	1	100%
<b>Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>
<b>THE AMERICAN EQUITY UNDERWRITERS Group Total</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>	<b>1</b>	<b>1</b>	<b>100% ▲</b>

Maine Workers' Compensation Board

Initial MOP Filing Benchmark: 85%

Initial Indemnity NOC Benchmark: 90%

▲ Indicates benchmark met or exceeded

▼ Indicates benchmark not met

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072 CHARTER OAK FIRE INSURANCE	11	6	55%	3	3	100%
CA164 FARMINGTON CASUALTY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA284 PHOENIX INSURANCE	1	1	100%	No Filings	No Filings	No Filings
CA306 STANDARD FIRE INSURANCE	2	2	100%	No Filings	No Filings	No Filings
CA347 TRAVELERS CASUALTY & SURETY	2	2	100%	No Filings	No Filings	No Filings
CA348 TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	1	1	100%	2	1	50%
CA349 TRAVELERS COMMERCIAL CASUALTY	1	1	100%	2	2	100%
CA343 TRAVELERS INDEMNITY COMPANY	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA346 TRAVELERS INDEMNITY COMPANY OF AMERICA	2	0	0%	1	1	100%
CA345 TRAVELERS PROPERTY CASUALTY INSURANCE	1	1	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>21</b>	<b>14</b>	<b>67% ▼</b>	<b>8</b>	<b>7</b>	<b>88% ▼</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA160 ESIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	2	1	50%	No Filings	No Filings	No Filings
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No Filings	No Filings	No Filings
<b>TPA Total</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>TRAVELERS INSURANCE Group Total</b>	<b>27</b>	<b>19</b>	<b>70% ▼</b>	<b>8</b>	<b>7</b>	<b>88% ▼</b>
<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA384 XL INSURANCE	*	*	*	*	*	*
<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA116 CORVEL ENTERPRISE COMP	1	0	0%	1	0	0%
CA117 COTTINGHAM & BUTLER CLAIMS SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA160 ESIS	No Filings	No Filings	No Filings	No Filings	No Filings	No Filings
CA190 GALLAGHER BASSETT SERVICES	11	9	82%	3	3	100%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	1	1	100%
<b>TPA Total</b>	<b>15</b>	<b>12</b>	<b>80% ▼</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>
<b>XL INSURANCE Group Total</b>	<b>15</b>	<b>12</b>	<b>80% ▼</b>	<b>6</b>	<b>5</b>	<b>83% ▼</b>
<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340 YORK RISK SERVICES	3	3	100%	No Filings	No Filings	No Filings
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>
<b>YORK RISK SERVICES Group Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>No Filings</b>	<b>No Filings</b>	<b>No Filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2017 - 3/31/2017

Insurance Company	Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022 AMERICAN ZURICH	2	2	100%	2	2	100%
CA400 ZURICH AMERICAN INSURANCE	1	1	100%	2	2	100%
<b>Total</b>	<b>3</b>	<b>3</b>	<b>100% ▲</b>	<b>4</b>	<b>4</b>	<b>100% ▲</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040 BROADSPIRE SERVICES	No Filings	No Filings	No Filings	1	1	100%
CA160 ESIS	No Filings	No Filings	No Filings	1	1	100%
CA190 GALLAGHER BASSETT SERVICES	1	1	100%	2	1	50%
CA300 SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	1	1	100%
<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50% ▼</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>
<b>ZURICH INSURANCE Group Total</b>	<b>5</b>	<b>4</b>	<b>80% ▼</b>	<b>9</b>	<b>8</b>	<b>89% ▼</b>