

# MAINE STATE LEGISLATURE

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# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD



## **Second Quarter 2016 Report**

April 1, 2016 – June 30, 2016

Office of Monitoring, Audit & Enforcement

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**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Second Quarter 2016**

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On October 10, 2016, the Maine Workers' Compensation Board of Directors approved the Second Quarter 2016 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

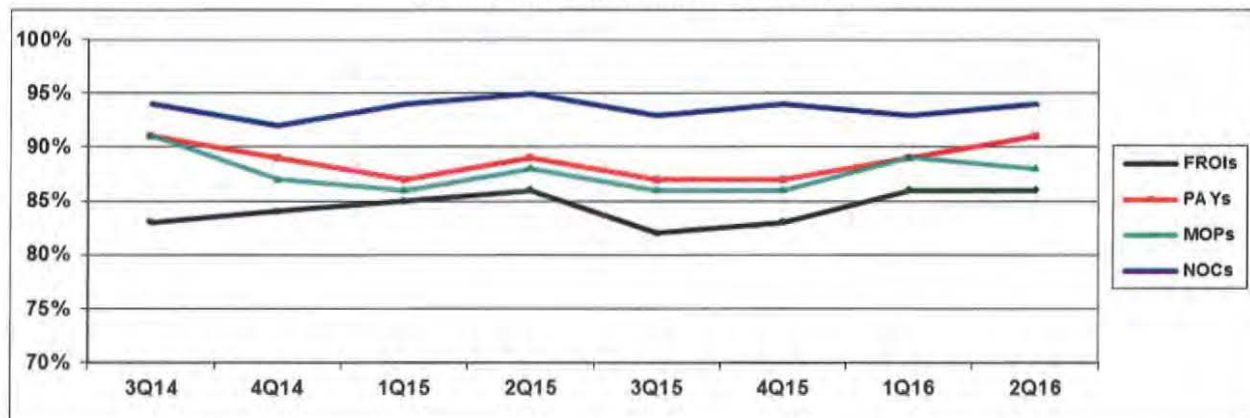
## I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 98 insurers on August 5, 2016; 66 responded, 22 were not required to respond and 10 did not respond.

*The 2Q16 report represents results based upon data received by September 9, 2016. The results are:*

	Number of Days	Benchmark	3Q14	4Q14	1Q15	2Q15	3Q15	4Q15	1Q16	2Q16
FROIs	7	85%	83%	84%	85%	86%	82%	83%	86%	86%
PAYs	14	87%	91%	89%	87%	89%	87%	87%	89%	91%
MOPs	17	85%	91%	87%	86%	88%	86%	86%	89%	88%
NOCs	14	90%	94%	92%	94%	95%	93%	94%	93%	94%

**Compliance Benchmark Tracking**



## II. CAVEATS & EXPLANATIONS

### A. General

- Question marks ("??") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

### C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.



#### **D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

#### **E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

### **III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 2Q16:

<b>Auditee</b>	<b>Total Penalties</b>
<b>Broadspire Services, Inc.</b>	<b>\$4,500.00</b>
<b>Lumbermen's Underwritings</b>	<b>\$1,200.00*</b>

\*penalties negotiated, but not collected because the insurer became insolvent

## LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

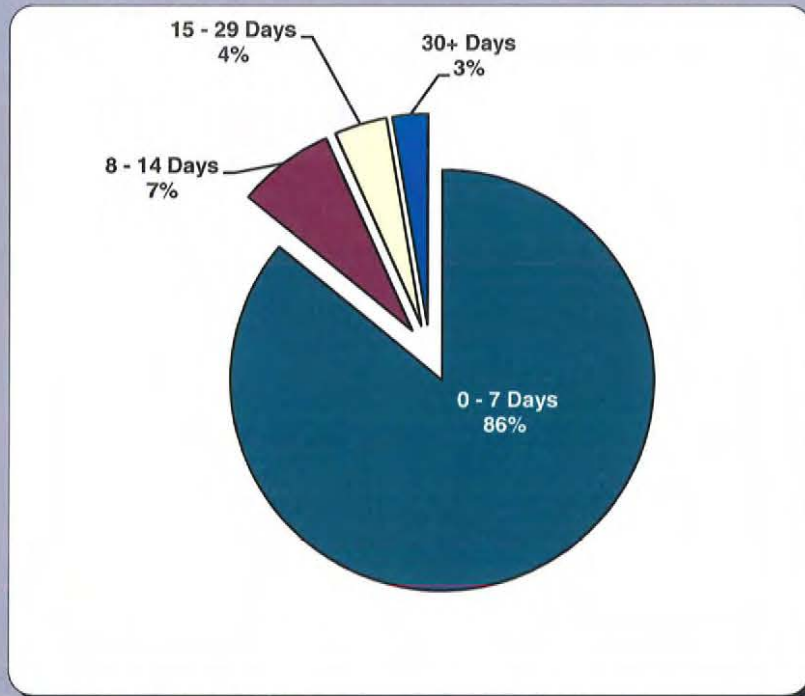


Table 1

Received Within:			
0 - 7	Days	2,863	86%
8 - 14	Days	245	7%
15 - 29	Days	134	4%
30+	Days	90	3%
Total		3,332	100%

Chart 2 Quarterly Compliance

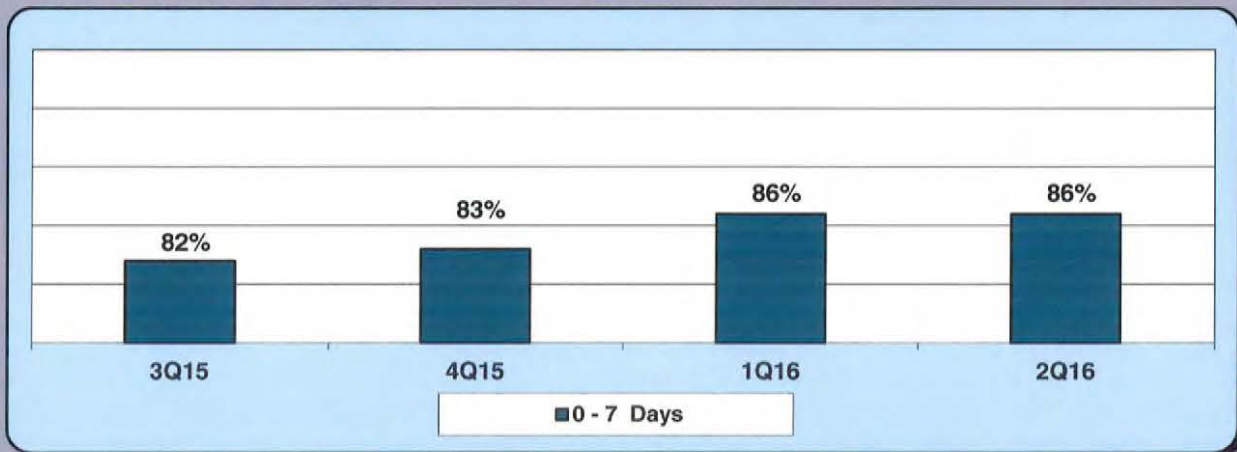


Chart 3 Compliance Trend





## INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

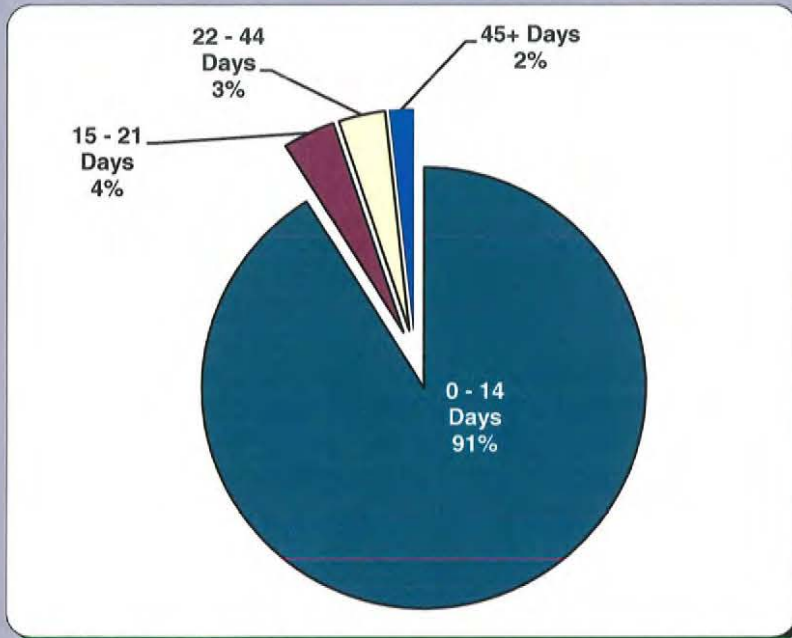


Table 2

Made Within:			
0 - 14	Days	820	91%
15 - 21	Days	34	4%
22 - 44	Days	31	3%
45+	Days	16	2%
?	Days	1	0%
Total		902	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$22,900 was issued to claimants in penalties.

Chart 5 Quarterly Compliance

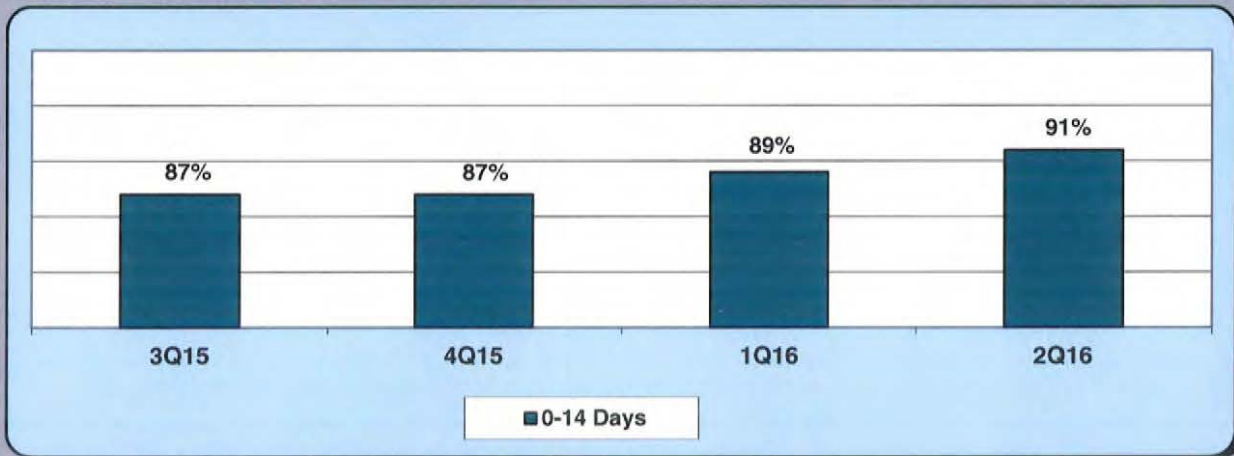


Chart 6 Compliance Trend



## INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

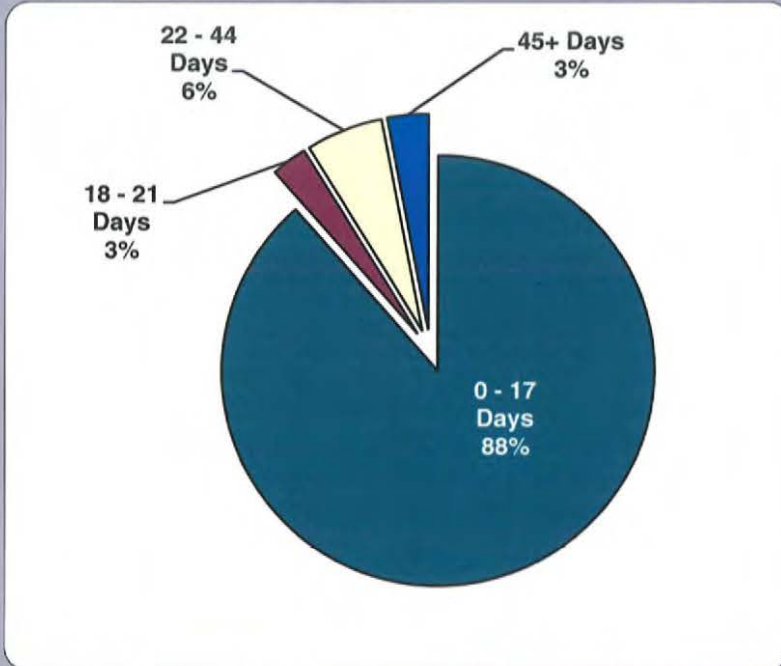


Table 3

Received Within:			
0 - 17	Days	797	88%
18 - 21	Days	24	3%
22 - 44	Days	52	6%
45+	Days	28	3%
?	Days	1	0%
Total		902	100%

Chart 8 Quarterly Compliance

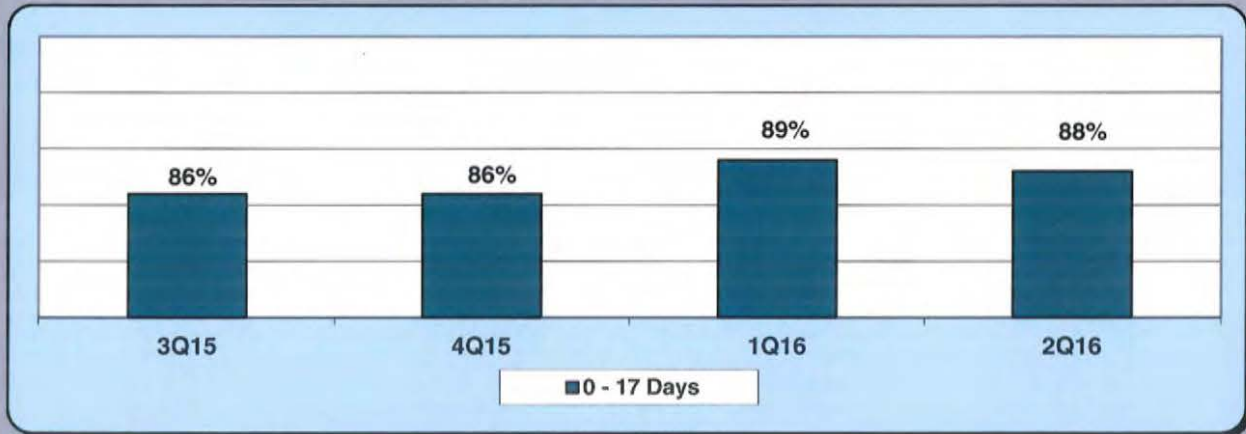


Chart 9 Compliance Trend



## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

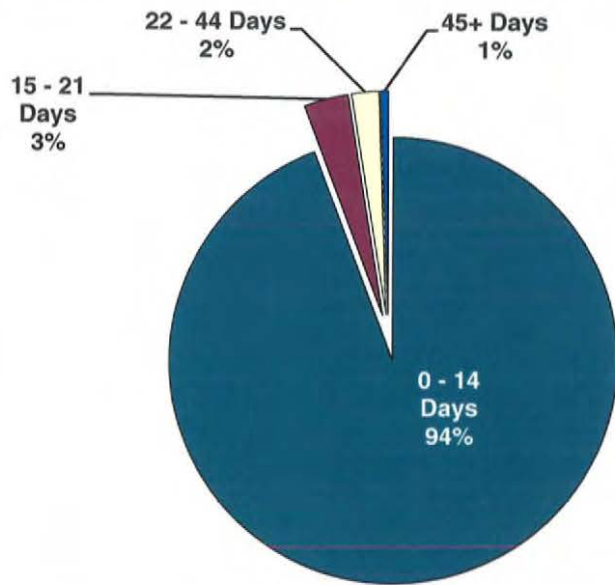


Table 4

Received Within:			
0 - 14	Days	673	94%
15 - 21	Days	23	3%
22 - 44	Days	14	2%
45+	Days	4	1%
Total		714	100%

Chart 11 Quarterly Compliance

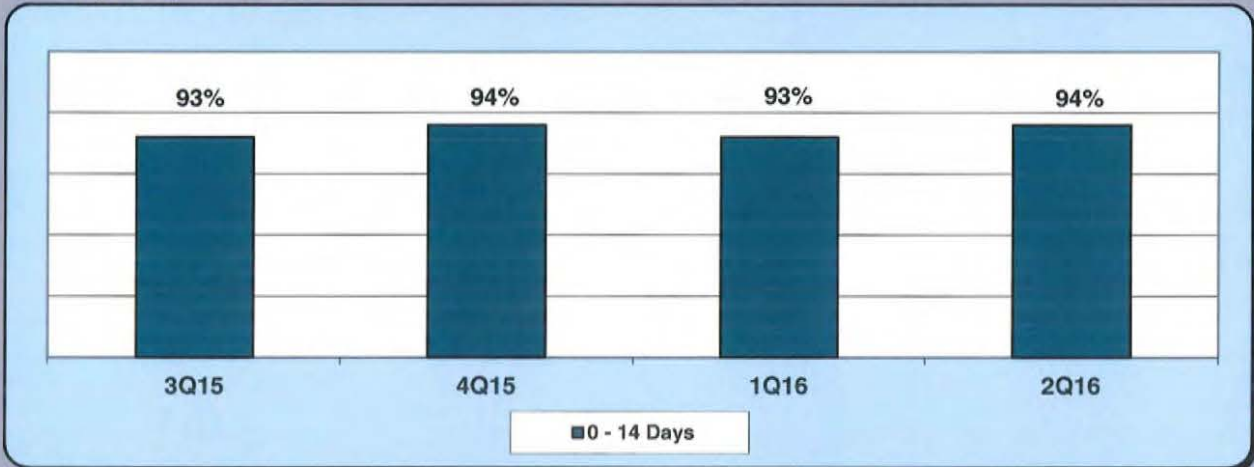


Chart 12 Compliance Trend





## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

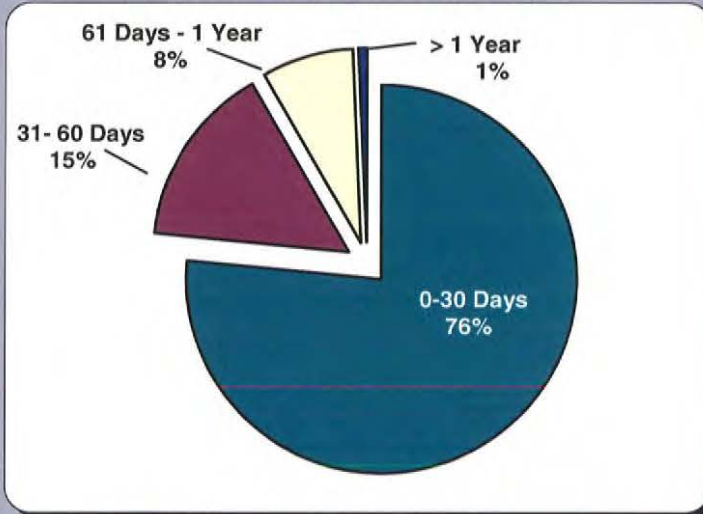


Table 5

Received Within:		
0-30 Days	1,419	76%
31-60 Days	291	15%
61 Days-1 Year	142	8%
> 1 Year	13	1%
<b>Total</b>	<b>1,865</b>	<b>100%</b>

**Wage Statement(s):** 1,498 (73%) of the 2,041 Wage Statement(s) that were due this quarter were filed timely, 470 (23%) were filed late, and 73 (4%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

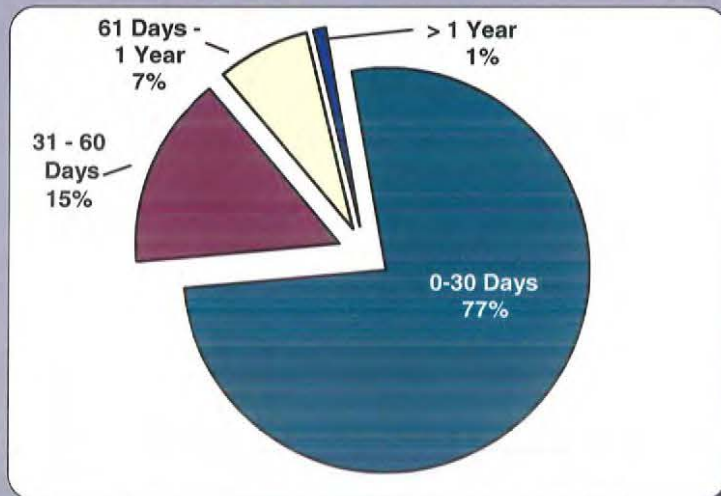


Table 6

Received Within:		
0-30 Days	1,408	77%
31-60 Days	282	15%
61 Days-1 Year	136	7%
> 1 Year	8	1%
<b>Total</b>	<b>1,834</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,472 (72%) of the 2,041 Fringe Benefit Worksheet(s) due this quarter were filed timely, 467 (23%) were filed late, and 102 (5%) remain outstanding.





## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
Second Quarter 2016  
4/1/2016-6/30/2016**



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA010	ACADIA INSURANCE Group Total	52	44	85%	12	10	83%
	ACCIDENT FUND INSURANCE Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ACCIDENT FUND INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	ACE INSURANCE Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	18	12	67%	6	6	100%
CA110	CONSTITUTION STATE SERVICES	6	5	83%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No filings	No filings	No filings
CA160	ESIS	8	5	63%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	20	17	85%	6	5	83%
CA204	HELMSMAN MANAGEMENT SERVICES	7	7	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	68	68	100%	21	18	86%
	TPA Total	128	114	89%	40	36	90%
	ACE INSURANCE Group Total	128	114	89%	40	36	90%
	AIG INSURANCE Total						
CA015	AIG DOMESTIC CLAIMS	90	79	88%	27	25	93%
	Total	90	79	88%	27	25	93%
	AIG INSURANCE TPA Administered Claims						
CA100	CLAIMS MANAGEMENT (WAL-MART)	31	29	94%	7	7	100%
CA160	ESIS	2	1	50%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
	TPA Total	36	33	92%	9	9	100%
	AIG INSURANCE Group Total	126	112	89%	36	34	94%
	AMTRUST INSURANCE Total						
CA342	TECHNOLOGY INSURANCE	7	6	86%	4	4	100%
CA381	WESCO INSURANCE	21	10	48%	6	2	33%
	Group Total	28	16	57%	10	6	60%

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ARCH INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
<b>ARCH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	8	8	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	4	67%	5	4	80%
CA340	YORK RISK SERVICES	2	1	50%	1	1	100%
	TPA Total	19	16	84%	8	7	88%
	ARCH INSURANCE Group Total	19	16	84%	8	7	88%
<b>ATLANTIC SPECIALTY INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	5	0	0%	2	1	50%
	TPA Total	5	0	0%	2	1	50%
	ATLANTIC SPECIALTY INSURANCE Group Total	5	0	0%	2	1	50%
<b>BATH IRON WORKS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036	Group Total	117	116	99%	12	11	92%
<b>BERKSHIRE HATHAWAY HOMESTATE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA114	Group Total	1	1	100%	No filings	No filings	No filings
<b>BROADSPIRE SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040	Group Total	13	12	92%	3	3	100%
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070	Group Total	196	162	83%	51	43	84%
<b>CHUBB INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA090	Total	*	*	*	*	*	*
<b>CHUBB INSURANCE TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	13	9	69%	7	7	100%
	TPA Total	13	9	69%	7	7	100%
	CHUBB INSURANCE Group Total	13	9	69%	7	7	100%
<b>CHURCH MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084	Group Total	1	0	0%	1	1	100%
<b>CIANBRO CORPORATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA085	Group Total	3	2	67%	2	0	0%

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>CINCINNATI CASUALTY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>CINCINNATI CASUALTY TPA Administered Claims</b>						
CA340	YORK RISK SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	CINCINNATI CASUALTY Group Total	1	1	100%	1	1	100%
	<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100	Group Total	31	29	94%	7	7	100%
	<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA017	AMERICAN CASUALTY COMPANY OF READING PA	1	1	100%	No filings	No filings	No filings
CA083	CNA CLAIMS PLUS	2	2	100%	2	2	100%
CA050	CONTINENTAL CASUALTY	3	3	100%	2	2	100%
CA314	TRANSPORTATION INSURANCE	*	*	*	*	*	*
	Group Total	6	6	100%	4	4	100%
	<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110	Group Total	6	5	83%	2	2	100%
	<b>CONTINENTAL INDEMNITY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA115	Group Total	*	*	*	*	*	*
	<b>CORVEL ENTERPRISE COMP.</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116	Group Total	7	7	100%	No filings	No filings	No filings
	<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117	Group Total	5	4	80%	1	1	100%
	<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093	Group Total	309	293	95%	45	45	100%
	<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	5	100%	3	2	67%
	TPA Total	5	5	100%	3	2	67%
	ELECTRIC INSURANCE Group Total	5	5	100%	3	2	67%
	<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160	Group Total	15	10	67%	5	5	100%
	<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	4	3	75%	3	3	100%
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	5	3	60%	3	3	100%



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>FIREMAN'S FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA170	Group Total	No filings	No filings	No filings	2	1	50%
	<b>FRANKENMUTH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA095	FRANKENMUTH INSURANCE	1	0	0%	No filings	No filings	No filings
CA274	PATRIOT INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	2	0	0%	No filings	No filings	No filings
	<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175	Group Total	45	41	91%	12	11	92%
	<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190	Group Total	101	76	75%	29	24	83%
	<b>GREAT AMERICAN INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA193	Group Total	*	*	*	*	*	*
	<b>GREAT DIVIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA189	Group Total	1	0	0%	1	1	100%
	<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	68	53	78%	19	17	89%
	TPA Total	68	53	78%	19	17	89%
	<b>GREAT FALLS INSURANCE Group Total</b>	<b>68</b>	<b>53</b>	<b>78%</b>	<b>19</b>	<b>17</b>	<b>89%</b>
	<b>GREAT WEST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196	Group Total	2	0	0%	2	1	50%
	<b>GUARANTEE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	8	1	13%	1	1	100%
CA140	EASTGUARD INSURANCE	2	2	100%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	2	2	100%	1	1	100%
	Group Total	12	5	42%	2	2	100%
	<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201	Group Total	79	55	70%	37	30	81%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HANNOVER INSURANCE</b>							
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>							
CA340	YORK RISK SERVICE	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>HANNOVER INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>HANOVER INSURANCE</b>							
	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>	
CA428	ALLMERICA FINANCIAL INSURANCE	3	3	100%	3	3	100%
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	6	4	67%	1	1	100%
CA429	HANOVER AMERICAN INSURANCE	1	1	100%	1	1	100%
CA202	HANOVER INSURANCE	2	1	50%	1	1	100%
CA228	MASSACHUSETTS BAY INSURANCE	*	*	*	*	*	*
	<b>Group Total</b>	<b>12</b>	<b>9</b>	<b>75%</b>	<b>6</b>	<b>6</b>	<b>100%</b>
<b>HARTFORD INSURANCE</b>							
	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>	
CA188	HARTFORD ACCIDENT & INDEMNITY	4	3	75%	1	1	100%
CA185	HARTFORD CASUALTY INSURANCE	1	1	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE	4	2	50%	2	1	50%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	No filings	No filings	No filings	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	7	4	57%	4	3	75%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	*	*	*	*	*	*
CA296	SENTINEL INSURANCE	1	1	100%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	27	25	93%	12	9	75%
CA321	TWIN CITY FIRE INSURANCE	5	5	100%	2	1	50%
	<b>Total</b>	<b>49</b>	<b>41</b>	<b>84%</b>	<b>22</b>	<b>16</b>	<b>73%</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	2	1	50%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	4	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	3	3	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	1	1	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>18</b>	<b>16</b>	<b>89%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>HARTFORD INSURANCE Group Total</b>	<b>67</b>	<b>57</b>	<b>85%</b>	<b>23</b>	<b>17</b>	<b>74%</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>							
	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>	
CA204	<b>Group Total</b>	<b>11</b>	<b>10</b>	<b>91%</b>	<b>4</b>	<b>3</b>	<b>75%</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA003	AMERICAN FIRE & CASUALTY INSURANCE	1	0	0%	No filings	No filings	No filings
CA380	EMPLOYERS INSURANCE OF WAUSAU	2	1	50%	3	2	67%
CA162	EXCELSIOR INSURANCE	1	0	0%	No filings	No filings	No filings
CA210	LIBERTY MUTUAL INSURANCE	53	35	66%	15	12	80%
CA406	OHIO CASUALTY INSURANCE	1	1	100%	No filings	No filings	No filings
CA407	OHIO SECURITY INSURANCE	2	2	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	11	6	55%	5	4	80%
CA283	PEERLESS INDEMNITY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA309	THE NETHERLANDS INSURANCE	6	3	50%	3	2	67%
CA408	WEST AMERICAN INSURANCE	*	*	*	*	*	*
	<b>Group Total</b>	<b>77</b>	<b>48</b>	<b>62%</b>	<b>26</b>	<b>20</b>	<b>77%</b>
<b>MACY'S CORPORATE SERVICES, INC.</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA213	<b>Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	<b>30</b>	<b>28</b>	<b>93%</b>	<b>18</b>	<b>16</b>	<b>89%</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	<b>1061</b>	<b>872</b>	<b>82%</b>	<b>336</b>	<b>324</b>	<b>96%</b>
<b>MAINE HEALTHCARE ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	<b>17</b>	<b>15</b>	<b>88%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	<b>79</b>	<b>76</b>	<b>96%</b>	<b>11</b>	<b>10</b>	<b>91%</b>
<b>MAINE MUNICIPAL ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225	<b>Group Total</b>	<b>234</b>	<b>227</b>	<b>97%</b>	<b>49</b>	<b>47</b>	<b>96%</b>
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250	<b>Group Total</b>	<b>75</b>	<b>73</b>	<b>97%</b>	<b>21</b>	<b>21</b>	<b>100%</b>
<b>MEADOWBROOK INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>NATIONWIDE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289	NATIONAL CASUALTY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%	No filings	No filings	No filings
	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>NGM INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA265	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>NORTH RIVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
	TPA Total	2	2	100%	No filings	No filings	No filings
	<b>NORTH RIVER INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	5	4	80%	2	0	0%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	8	6	75%	5	3	60%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA295	RYDER SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	7	6	86%	1	1	100%
	TPA Total	23	19	83%	10	6	60%
	<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>23</b>	<b>19</b>	<b>83%</b>	<b>10</b>	<b>6</b>	<b>60%</b>
	<b>PATRIOT RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA292	Group Total	1	0	0%	No filings	No filings	No filings
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	12	8	67%	1	1	100%
	TPA Total	12	8	67%	1	1	100%
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>	<b>12</b>	<b>8</b>	<b>67%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>PUBLIC SERVICE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA282	Group Total	*	*	*	*	*	*
	<b>QBE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>QBE INSURANCE TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	9	9	100%	3	3	100%
	TPA Total	9	9	100%	3	3	100%
	<b>QBE INSURANCE Group Total</b>	<b>9</b>	<b>9</b>	<b>100%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>RYDER SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA295	Group Total	1	1	100%	1	1	100%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>SAFETY NATIONAL INSURANCE</b>						
	Total	*	*	*			
	<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	7	100%	2	1	50%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	15	15	100%	3	2	67%
	<b>SAFETY NATIONAL INSURANCE Group Total</b>	15	15	100%	3	2	67%
	<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>						
CA300	Group Total	167	158	95%	47	40	85%
	<b>SENTRY INSURANCE</b>						
CA305	SENTRY INSURANCE	4	2	50%	3	3	100%
CA402	SENTRY CASUALTY	*	*	*	*	*	*
	Group Total	4	2	50%	3	3	100%
	<b>STARR INDEMNITY INSURANCE</b>						
	Total	*	*	*			
	<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	2	2	100%	1	1	100%
	<b>STARR INDEMNITY INSURANCE Group Total</b>	2	2	100%	1	1	100%
	<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>						
CA307	Group Total	159	145	91%	33	33	100%
	<b>SYNERNET</b>						
CA320	Group Total	133	129	97%	18	17	94%
	<b>TOWER INSURANCE</b>						
	Total	*	*	*			
	<b>TOWER INSURANCE TPA Administered Claims</b>						
CA340	YORK RISK SERVICES	1	0	0%	1	0	0%
	TPA Total	1	0	0%	1	0	0%
	<b>TOWER INSURANCE Group Total</b>	1	0	0%	1	0	0%



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<b>TRAVELERS INSURANCE</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	33	25	76%	12	11	92%
CA164	FARMINGTON CASUALTY	3	1	33%	1	0	0%
CA284	PHOENIX INSURANCE	4	3	75%	1	1	100%
CA306	STANDARD FIRE INSURANCE	7	4	57%	2	1	50%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA347	TRAVELERS CASUALTY & SURETY	2	1	50%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	2	1	50%	1	0	0%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	4	0	0%	3	1	33%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	6	4	67%	3	1	33%
	<b>Total</b>	<b>62</b>	<b>40</b>	<b>65%</b>	<b>23</b>	<b>15</b>	<b>65%</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	3	3	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
	<b>TPA Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>68</b>	<b>45</b>	<b>66%</b>	<b>26</b>	<b>18</b>	<b>69%</b>
<b>VANLINER INSURANCE</b>							
CA379	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>XL INSURANCE</b>							
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>							
CA116	CORVEL ENTERPRISE COMP.	3	3	100%	No filings	No filings	No filings
CA117	COTTINGHAM AND BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	6	2	33%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	9	8	89%	1	0	0%
	<b>TPA Total</b>	<b>20</b>	<b>15</b>	<b>75%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
	<b>XL INSURANCE Group Total</b>	<b>20</b>	<b>15</b>	<b>75%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
<b>YORK RISK SERVICES</b>							
CA340	<b>Group Total</b>	<b>7</b>	<b>2</b>	<b>29%</b>	<b>4</b>	<b>2</b>	<b>50%</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ZURICH INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	8	6	75%	2	2	100%
CA400	ZURICH AMERICAN INSURANCE	10	10	100%	5	5	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	*	*	*	*	*	*
	<b>Total</b>	<b>18</b>	<b>16</b>	<b>89%</b>	<b>7</b>	<b>7</b>	<b>100%</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	9	8	89%	1	1	100%
CA292	PATRIOT RISK SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	*	1	0	*
	<b>TPA Total</b>	<b>18</b>	<b>15</b>	<b>83%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
	<b>ZURICH INSURANCE Group Total</b>	<b>36</b>	<b>31</b>	<b>86%</b>	<b>9</b>	<b>8</b>	<b>89%</b>

## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter 2016  
4/1/2016-6/30/2016**



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA010	ACADIA INSURANCE Group Total	MOPs Filed 12	Timely MOPs 8	Compliance 67%	NOCs Filed 9	Timely NOCs 9	Compliance 100%
	ACCIDENT FUND INSURANCE Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA040	ACCIDENT FUND INSURANCE TPA Administered Claims BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	ACCIDENT FUND INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	ACE INSURANCE Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA040	ACE INSURANCE TPA Administered Claims BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	6	6	100%	3	3	100%
CA110	CONSTITUTION STATE SERVICES	2	1	50%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	No filings	No filings	No filings	1	1	100%
CA160	ESIS	3	3	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	6	5	83%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	21	18	86%	15	15	100%
	TPA Total	40	35	88%	26	26	100%
	ACE INSURANCE Group Total	40	35	88%	26	26	100%
CA015	AIG INSURANCE AIG DOMESTIC CLAIMS	MOPs Filed 27	Timely MOPs 25	Compliance 93%	NOCs Filed 30	Timely NOCs 28	Compliance 93%
	Total	27	25	93%	30	28	93%
CA100	AIG INSURANCE TPA Administered Claims CLAIMS MANAGEMENT (WALMART)	7	7	100%	9	9	100%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	9	9	100%	11	11	100%
	AIG INSURANCE Group Total	36	34	94%	41	39	95%
CA342	AMTRUST INSURANCE TECHNOLOGY INSURANCE	MOPs Filed 4	Timely MOPs 4	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA381	WESCO INSURANCE	6	0	0%	9	6	67%
	Group Total	10	4	40%	9	6	67%



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	4	80%	1	1	100%
CA340	YORK RISK SERVICES	1	1	100%	1	1	100%
	<b>TPA Total</b>	<b>8</b>	<b>7</b>	<b>88%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>ARCH INSURANCE Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	2	0	0%
	<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>2</b>	<b>0</b>	<b>0%</b>
	<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>2</b>	<b>0</b>	<b>0%</b>
	<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036	<b>Group Total</b>	<b>12</b>	<b>11</b>	<b>92%</b>	<b>13</b>	<b>13</b>	<b>100%</b>
	<b>BERKSHIRE HATAWAY HOMESTATE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040	<b>Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070	<b>Group Total</b>	<b>51</b>	<b>46</b>	<b>90%</b>	<b>36</b>	<b>34</b>	<b>94%</b>
	<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	7	7	100%	1	0	0%
	<b>TPA Total</b>	<b>7</b>	<b>7</b>	<b>100%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>CHUBB INSURANCE Group Total</b>	<b>7</b>	<b>7</b>	<b>100%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>CHURCH MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA084	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>CIANBRO CORPORATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA085	<b>Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>1</b>	<b>1</b>	<b>100%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA100	CLAIMS MANAGEMENT (WALMART) Group Total	7	7	100%	9	9	100%
	CINCINNATI CASUALTY Group Total	*	*	*	*	*	*
	CINCINNATI CASUALTY TPA Administered Claims						
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	CINCINNATI CASUALTY Group Total	1	0	0%	No filings	No filings	No filings
CA017	CNA INSURANCE AMERICAN CASUALTY COMPANY OF READING PA	No filings	No filings	No filings	NOCs Filed	Timely NOCs	Compliance
CA083	CNA CLAIMS PLUS	2	2	100%	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	2	2	100%	No filings	No filings	No filings
CA314	TRANSPORTATION INSURANCE	*	*	*	*	*	*
	Group Total	4	4	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES Group Total	2	1	50%	2	2	100%
CA115	CONTINENTAL INDEMNITY Group Total	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP. Group Total	No filings	No filings	No filings	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	1	1	100%	3	3	100%
CA093	CROSS INSURANCE Group Total	45	45	100%	55	54	98%
	ELECTRIC INSURANCE Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
	TPA Total	3	3	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE Group Total	3	3	100%	No filings	No filings	No filings
CA160	ESIS Group Total	5	5	100%	3	3	100%
CA091	FEDERATED MUTUAL INSURANCE FEDERATED MUTUAL INSURANCE	3	0	0%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	1	0	0%
	Group Total	3	0	0%	1	0	0%

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>FIREMAN'S FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170	<b>Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>FRANKENMUTH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA095	FRANKENMUTH INSURANCE	No filings	No filings	No filings	1	0	0%
CA274	PATRIOT INSURANCE	No filings	No filings	No filings	1	0	0%
	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>2</b>	<b>0</b>	<b>0%</b>
	<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175	<b>Group Total</b>	<b>12</b>	<b>11</b>	<b>92%</b>	<b>11</b>	<b>11</b>	<b>100%</b>
	<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190	<b>Group Total</b>	<b>29</b>	<b>23</b>	<b>79%</b>	<b>13</b>	<b>9</b>	<b>69%</b>
	<b>GREAT AMERICAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>GREAT DIVIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA189	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	19	17	89%	13	11	85%
	<b>TPA Total</b>	<b>19</b>	<b>17</b>	<b>89%</b>	<b>13</b>	<b>11</b>	<b>85%</b>
	<b>GREAT FALLS INSURANCE Group Total</b>	<b>19</b>	<b>17</b>	<b>89%</b>	<b>13</b>	<b>11</b>	<b>85%</b>
	<b>GREAT WEST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196	<b>Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>GUARANTEE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	No filings	No filings	No filings	1	0	0%
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>GUARANTEE INSURANCE Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	1	1	100%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	1	1	100%	No filings	No filings	No filings
	<b>Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201	<b>Group Total</b>	<b>37</b>	<b>25</b>	<b>68%</b>	<b>5</b>	<b>3</b>	<b>60%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>HANNOVER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>							
CA340	YORK RISK SERVICE	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>HANNOVER INSURANCE Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>HANOVER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428	ALLMERICA FINANCIAL INSURANCE	3	3	100%	1	1	100%
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	1	1	100%	No filings	No filings	No filings
CA429	HANOVER AMERICAN INSURANCE	1	1	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE	1	1	100%	1	1	100%
CA228	MASSACHUSETTS BAY INSURANCE	*	*	*	*	*	*
	<b>Group Total</b>	<b>6</b>	<b>6</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
<b>HARTFORD INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	1	1	1
CA185	HARTFORD CASUALTY INSURANCE	1	1	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE	2	1	50%	1	1	100%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	No filings	No filings	No filings	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	4	3	75%	2	2	100%
CA288	PROPERTY & CASUALTY INSURANCE	*	*	*	*	*	*
CA296	SENTINEL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	12	10	83%	4	3	75%
CA321	TWIN CITY FIRE INSURANCE	2	1	50%	2	2	100%
	<b>Total</b>	<b>22</b>	<b>17</b>	<b>77%</b>	<b>10</b>	<b>9</b>	<b>90%</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA340	YORK RISK SERVICE	No filings	No filings	No filings	1	0	0%
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>4</b>	<b>3</b>	<b>75%</b>
	<b>HARTFORD INSURANCE Group Total</b>	<b>23</b>	<b>18</b>	<b>78%</b>	<b>14</b>	<b>12</b>	<b>86%</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	<b>4</b>	<b>3</b>	<b>75%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2016 - 6/30/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA003	AMERICAN FIRE & CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA380	EMPLOYERS INSURANCE OF WAUSAU	3	1	33%	No filings	No filings	No filings
CA162	EXCELSIOR INSURANCE	No filings	No filings	No filings	1	1	100%
CA210	LIBERTY MUTUAL INSURANCE	15	12	80%	20	17	85%
CA406	OHIO CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA407	OHIO SECURITY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	5	4	80%	2	2	100%
CA283	PEERLESS INDEMNITY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA309	THE NETHERLANDS INSURANCE	3	3	100%	No filings	No filings	No filings
CA408	WEST AMERICAN INSURANCE	*	*	*	*	*	*
	<b>Group Total</b>	<b>26</b>	<b>20</b>	<b>77%</b>	<b>23</b>	<b>20</b>	<b>87%</b>
<b>MACY'S CORPORATE SERVICES, INC.</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA213	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	<b>18</b>	<b>17</b>	<b>94%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	<b>336</b>	<b>318</b>	<b>95%</b>	<b>243</b>	<b>232</b>	<b>95%</b>
<b>MAINE HEALTHCARE ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	<b>11</b>	<b>11</b>	<b>100%</b>	<b>16</b>	<b>16</b>	<b>100%</b>
<b>MAINE MUNICIPAL ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225	<b>Group Total</b>	<b>49</b>	<b>47</b>	<b>96%</b>	<b>66</b>	<b>64</b>	<b>97%</b>
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250	<b>Group Total</b>	<b>21</b>	<b>21</b>	<b>100%</b>	<b>10</b>	<b>10</b>	<b>100%</b>
<b>MEADOWBROOK INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA255	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>NATIONWIDE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289	NATIONAL CASUALTY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	No filings	No filings	No filings	1	1	100%
	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>NGM INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA265	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>NORTH RIVER INSURANCE Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	0	0%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA295	RYDER SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>10</b>	<b>6</b>	<b>60%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>10</b>	<b>6</b>	<b>60%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>PATRIOT INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA274	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>PATRIOT RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA292	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	0	0%
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>PUBLIC SERVICE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA282	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>QBE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	4	4	100%
	<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>QBE INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>RYDER SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA295	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>



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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>SAFETY NATIONAL INSURANCE</b>						
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>3</b>	<b>2</b>	<b>67%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>SAFETY NATIONAL INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>						
CA300	<b>Group Total</b>	<b>47</b>	<b>40</b>	<b>85%</b>	<b>39</b>	<b>39</b>	<b>100%</b>
	<b>SENTRY INSURANCE</b>						
CA305	SENTRY INSURANCE	3	2	67%	1	0	0%
CA402	SENTRY CASUALTY	*	*	*	*	*	*
	<b>Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>STARR INDEMNITY INSURANCE</b>						
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>STARR INDEMNITY INSURANCE TPA Administered Claims</b>						
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>STARR INDEMNITY INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>						
CA307	<b>Group Total</b>	<b>33</b>	<b>31</b>	<b>94%</b>	<b>32</b>	<b>32</b>	<b>100%</b>
	<b>SYNERNET</b>						
CA320	<b>Group Total</b>	<b>18</b>	<b>17</b>	<b>94%</b>	<b>38</b>	<b>36</b>	<b>95%</b>
	<b>TOWER INSURANCE</b>						
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>TOWER INSURANCE TPA Administered Claims</b>						
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>TOWER INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>



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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>							
CA072	CHARTER OAK FIRE INSURANCE	12	11	92%	4	4	100%
CA164	FARMINGTON CASUALTY	1	0	0%	1	1	100%
CA284	PHOENIX INSURANCE	1	1	100%	1	1	100%
CA306	STANDARD FIRE INSURANCE	2	0	0%	2	1	50%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA347	TRAVELERS CASUALTY & SURETY	No filings	No filings	No filings	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	1	0	0%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	No filings	No filings	No filings	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	3	0	0%	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	3	1	33%	1	1	100%
	<b>Total</b>	<b>23</b>	<b>13</b>	<b>57%</b>	<b>11</b>	<b>10</b>	<b>91%</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>26</b>	<b>16</b>	<b>62%</b>	<b>12</b>	<b>11</b>	<b>92%</b>
<b>VANLINER INSURANCE</b>							
CA379	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>XL INSURANCE</b>							
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>							
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA117	COTTINGHAM AND BUTLER CLAIMS SERVICES	No filings	No filings	No filings	1	1	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	1	1	100%
	<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>XL INSURANCE Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
<b>YORK RISK SERVICES</b>							
CA340	<b>Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b>	<b>2</b>	<b>1</b>	<b>50%</b>

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ZURICH INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	2	2	100%	3	3	100%
CA400	ZURICH AMERICAN INSURANCE	5	5	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	*	*	*	*	*	*
	<b>Total</b>	<b>7</b>	<b>7</b>	<b>100%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	2	2	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA292	PATRIOT RISK SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>ZURICH INSURANCE Group Total</b>	<b>9</b>	<b>8</b>	<b>89%</b>	<b>8</b>	<b>8</b>	<b>100%</b>