

# MAINE STATE LEGISLATURE

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# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD



## **First Quarter 2016 Report**

January 1, 2016 – March 31, 2016

Office of Monitoring, Audit & Enforcement

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**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Fourth Quarter 2015**

**Table of Contents**

1.	Executive Summary	Pages 1-2
2.	Lost Time First Report of Injury Filings	Page 3
3.	Initial Indemnity Payments	Page 4
4.	Initial Memorandum of Payment Filings	Page 5
5.	Initial Indemnity Notice of Controversy Filings	Page 6
6.	Wage Information	Page 7

**Table of Charts**

1.	Lost Time First Report of Injury Filings Timeliness Distribution	Page 3
2.	Lost Time First Report of Injury Filings Quarterly Compliance	Page 3
3.	Lost Time First Report of Injury Filings Compliance Trend	Page 3
4.	Initial Indemnity Payments Timeliness Distribution	Page 4
5.	Initial Indemnity Payments Quarterly Compliance	Page 4
6.	Initial Indemnity Payments Compliance Trend	Page 4
7.	Initial Memorandum of Payment Filings Timeliness Distribution	Page 5
8.	Initial Memorandum of Payment Filings Quarterly Compliance	Page 5
9.	Initial Memorandum of Payment Filings Compliance Trend	Page 5
10.	Initial Indemnity Notice of Controversy Filings Timeliness Distribution	Page 6
11.	Initial Indemnity Notice of Controversy Filings Quarterly Compliance	Page 6
12.	Initial Indemnity Notice of Controversy Filings Compliance Trend	Page 6
13.	Wage Information Wage Statement Timeliness Distribution	Page 7
14.	Wage Information Fringe Benefits Worksheet(s) Timeliness Distribution	Page 7

**Appendices**

A.	Insurance Group Compliance	Pages A1-A9
	Lost Time FROI Filings and Initial Indemnity Payments	
B.	Insurance Group Compliance	Pages B1-B9
	Initial MOP and Initial Indemnity NOC Filings	



## Executive Summary

On July 12, 2016, the Maine Workers' Compensation Board of Directors approved the First Quarter 2016 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, Self-insurers, and third-party administrators (collectively "insurers").

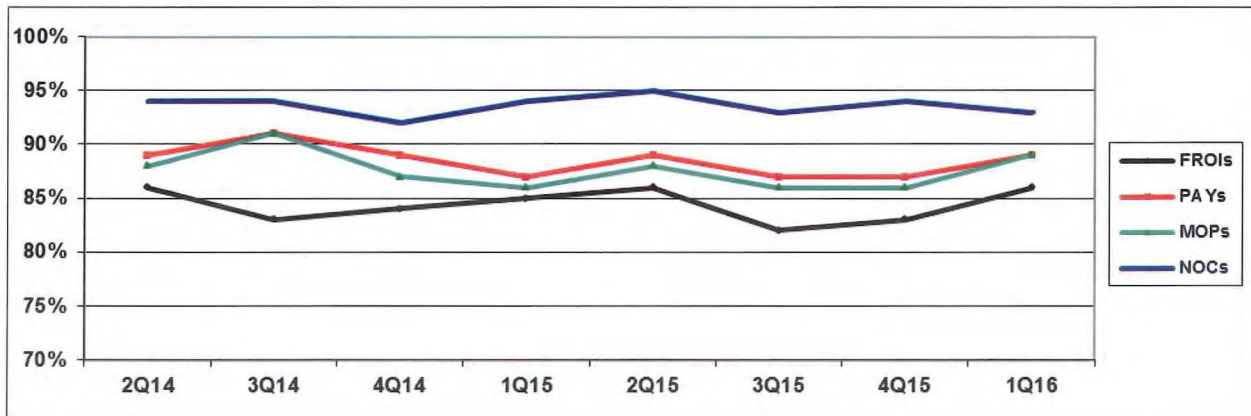
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 92 insurers on April 26, 2016; 60 responded, 29 were not required to respond and 3 did not respond.

*The 1Q16 report represents results based upon data received by June 3, 2016. The results are:*

	Number of Days	Benchmark	2Q14	3Q14	4Q14	1Q15	2Q15	3Q15	4Q15	1Q16
FROIs	7	85%	86%	83%	84%	85%	86%	82%	83%	86%
PAYs	14	87%	89%	91%	89%	87%	89%	87%	87%	89%
MOPs	17	85%	88%	91%	87%	86%	88%	86%	86%	89%
NOCs	14	90%	94%	94%	92%	94%	95%	93%	94%	93%

### Compliance Benchmark Tracking



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks ("?) within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

#### C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.



- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

**D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

**E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

**III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 1Q16:

Auditee	Total Penalties
Hartford Insurance	\$1,500.00
Sentry Insurance	\$25,000.00

## LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

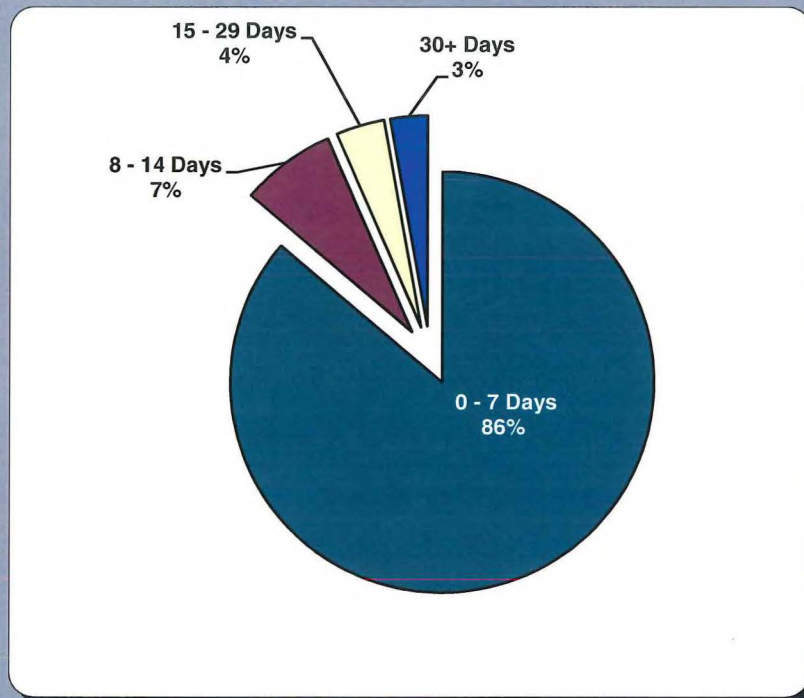


Table 1

Received Within:			
0 - 7	Days	3,008	86%
8 - 14	Days	253	7%
15 - 29	Days	129	4%
30+	Days	99	3%
Total		3,489	100%

Chart 2 Quarterly Compliance

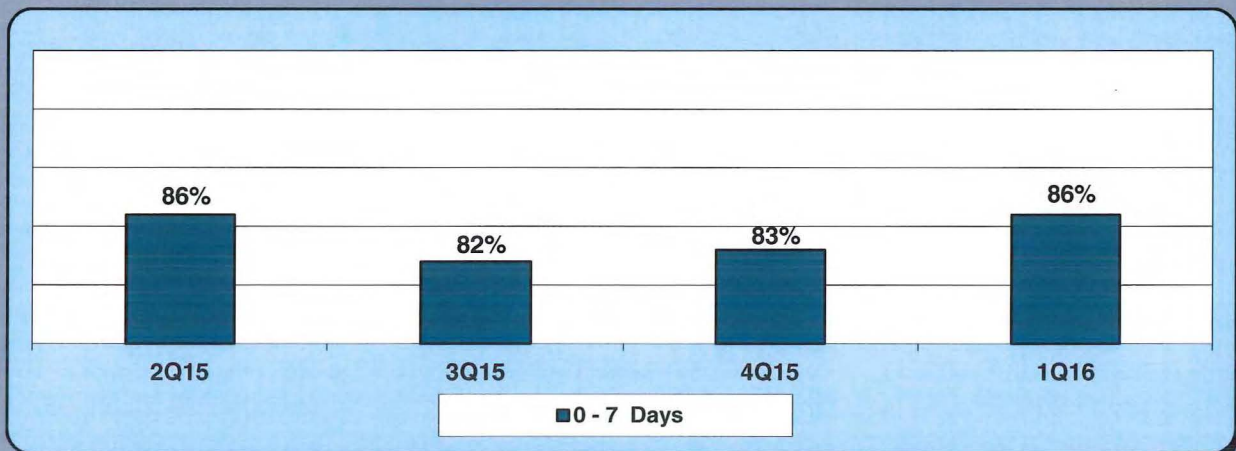
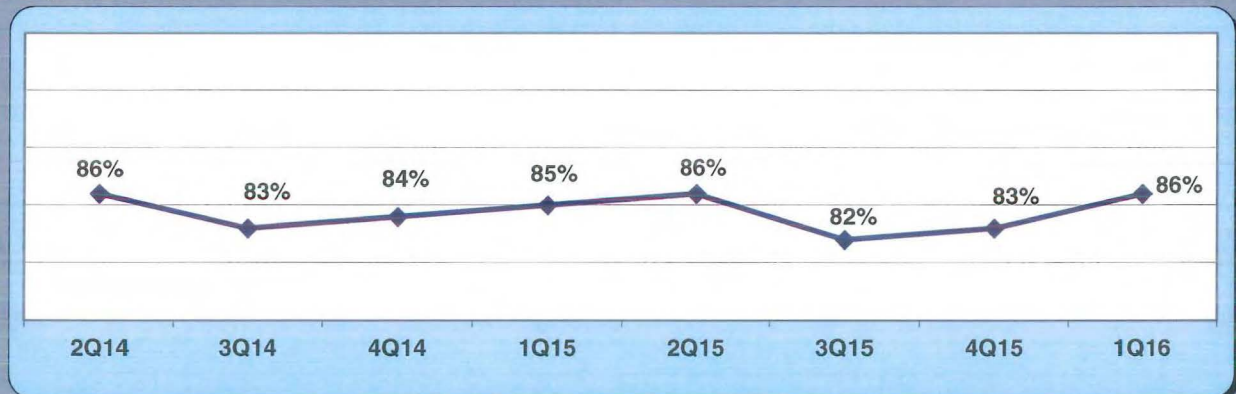


Chart 3 Compliance Trend





## INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

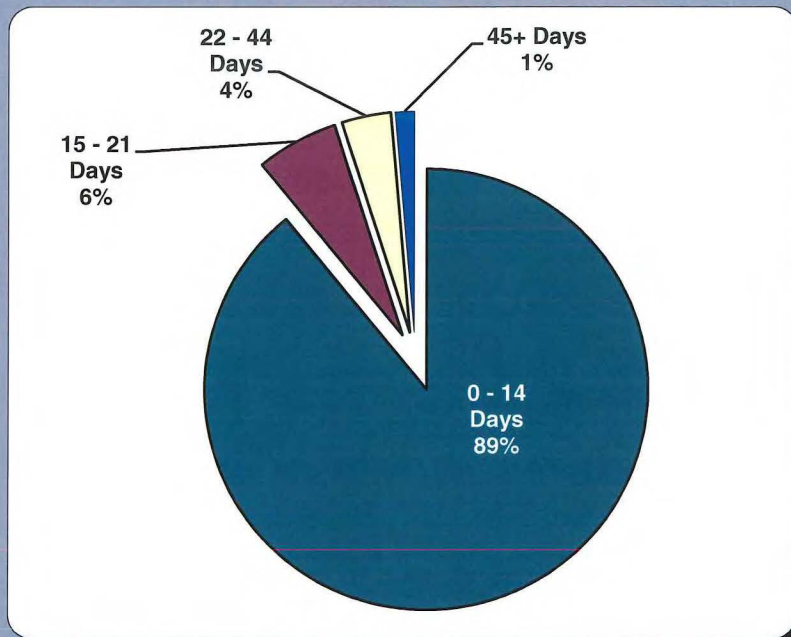


Table 2

Made Within:			
0 - 14	Days	820	89%
15 - 21	Days	55	6%
22 - 44	Days	33	4%
45+	Days	13	1%
?	Days	3	0%
Total		924	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$20,550 was issued to claimants in penalties and there is another \$1,600 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

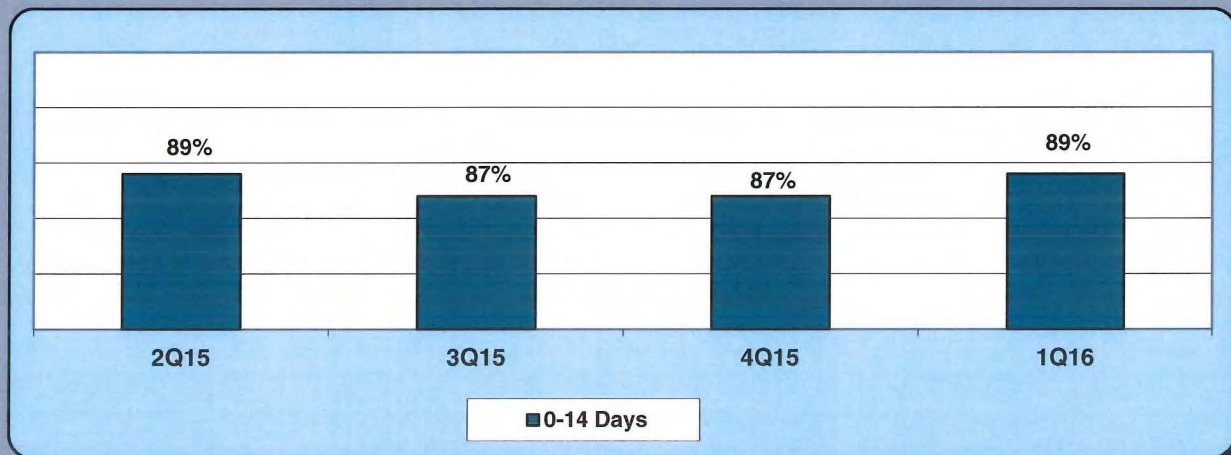
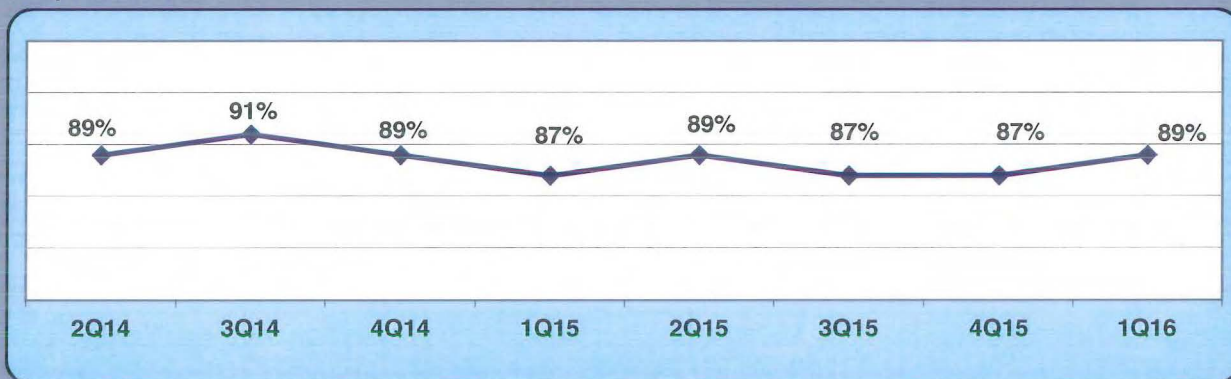


Chart 6 Compliance Trend



## INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

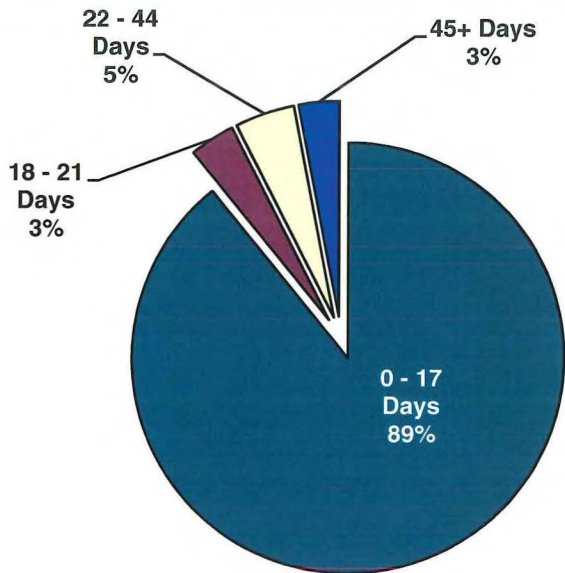


Table 3

Received Within:			
0 - 17	Days	821	89%
18 - 21	Days	31	3%
22 - 44	Days	41	5%
45+	Days	28	3%
?	Days	3	0%
Total		924	100%

Chart 8 Quarterly Compliance

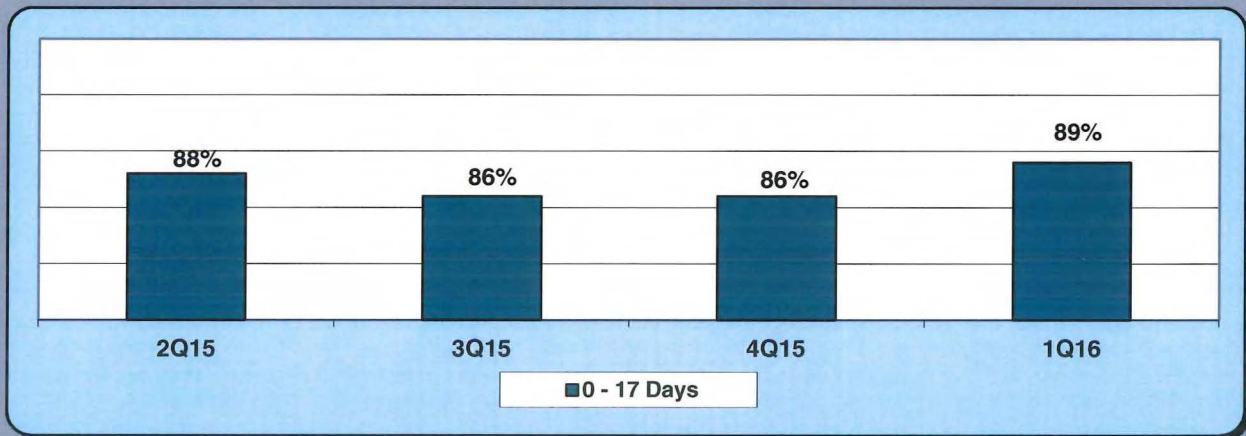
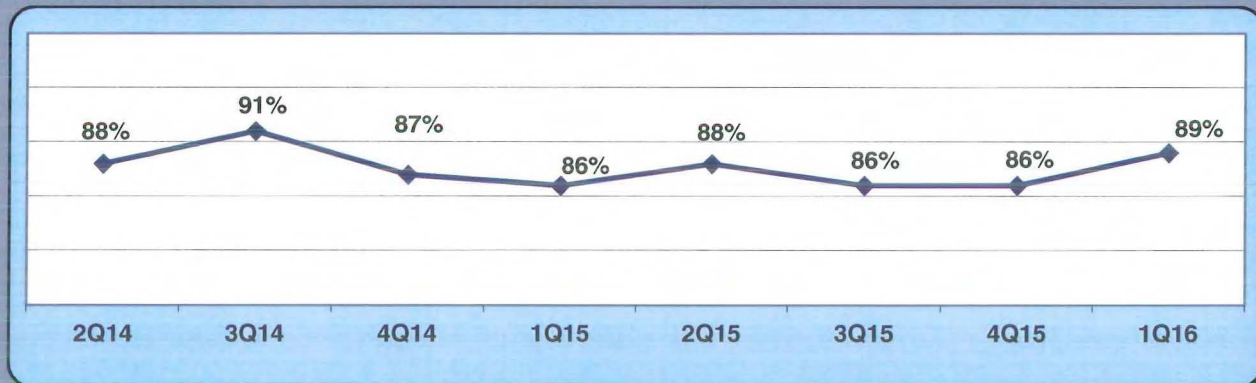


Chart 9 Compliance Trend





## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

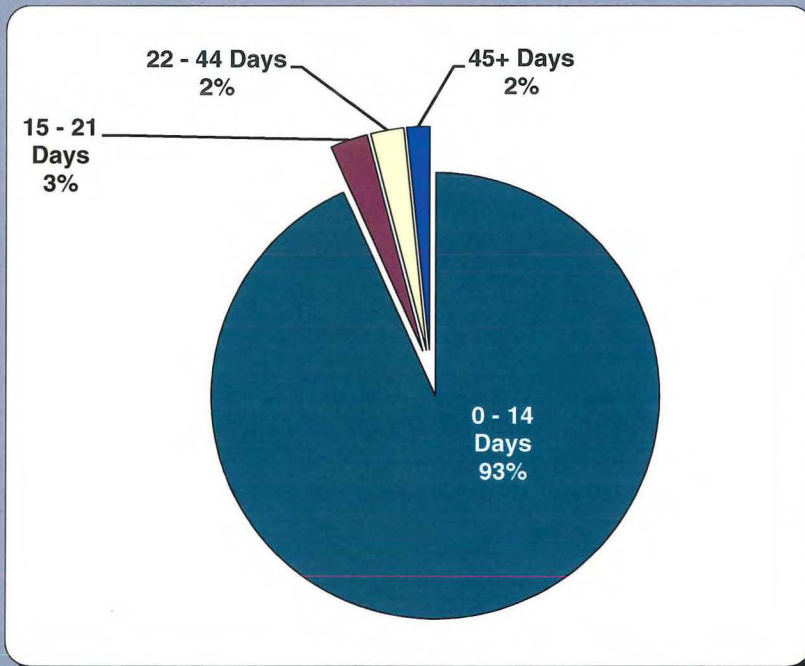


Table 4

Received Within:			
0 - 14	Days	625	93%
15 - 21	Days	18	3%
22 - 44	Days	16	2%
45+	Days	11	2%
Total		670	100%

Chart 11 Quarterly Compliance

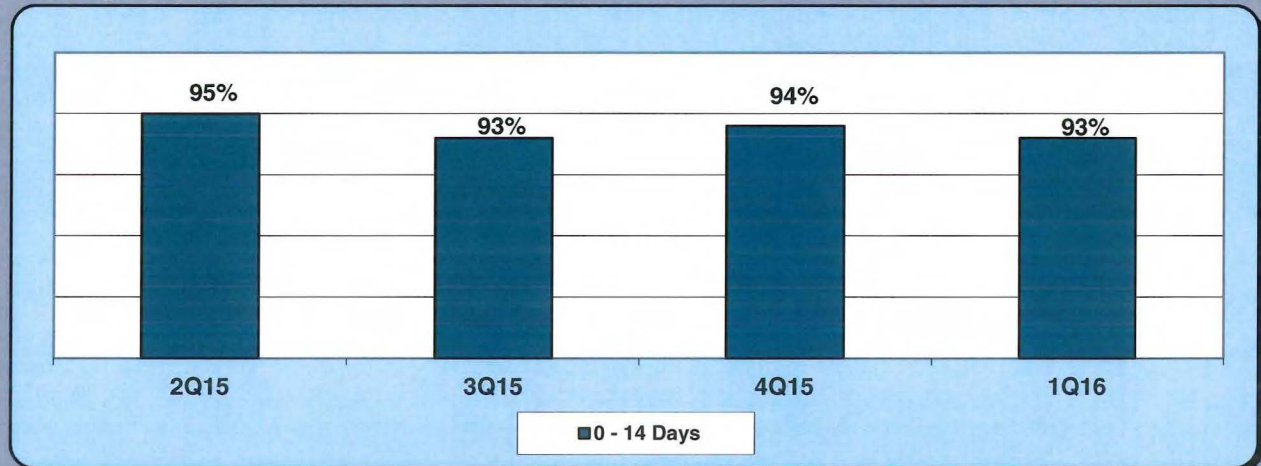
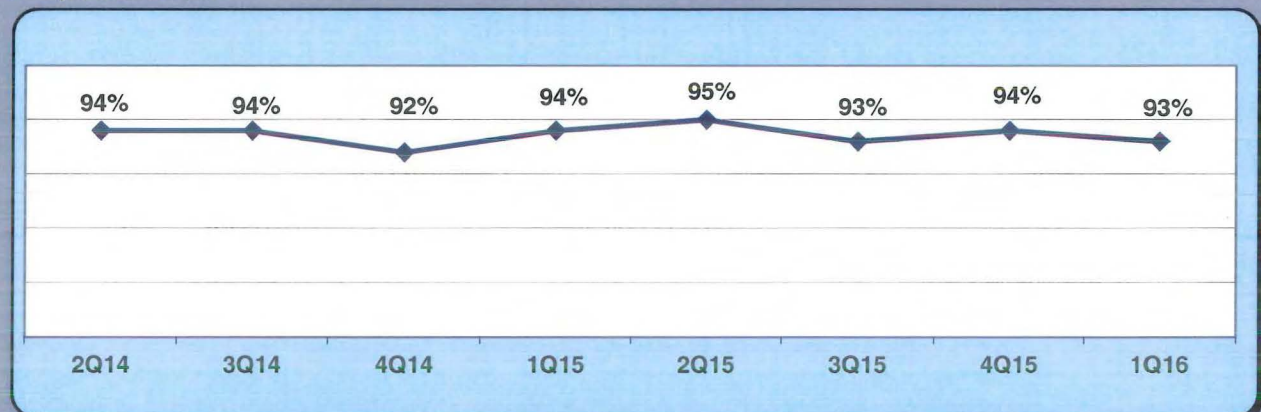


Chart 12 Compliance Trend



## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

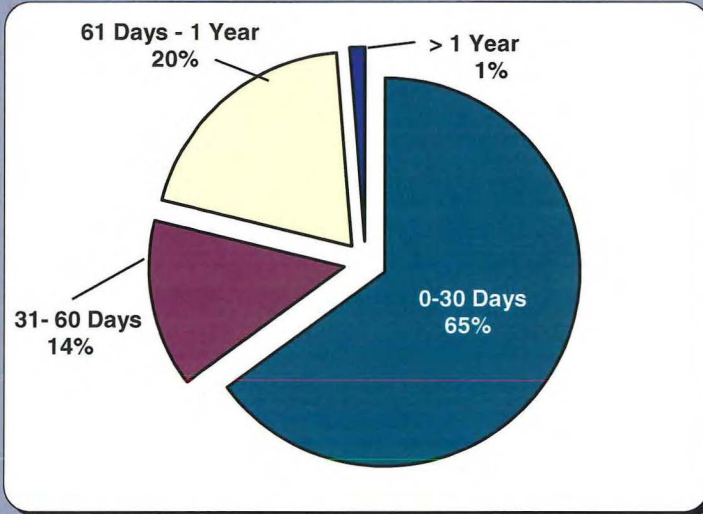


Table 5

Received Within:		
0-30 Days	1,483	65%
31-60 Days	319	14%
61 Days-1 Year	443	20%
> 1 Year	29	1%
Total	2,274	100%

**Wage Statement(s):** 1,419 (71%) of the 1,997 Wage Statement(s) that were due this quarter were filed timely, 414 (21%) were filed late, and 164 (8%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

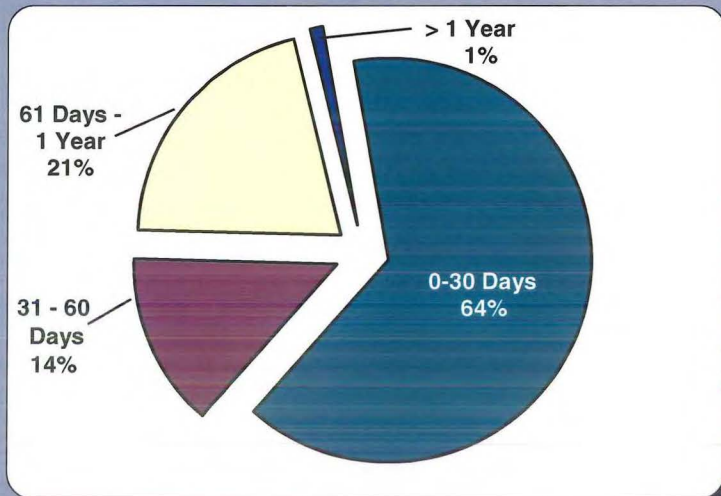


Table 6

Received Within:		
0-30 Days	1,502	64%
31-60 Days	325	14%
61 Days-1 Year	486	21%
> 1 Year	34	1%
Total	2,347	100%

**Fringe Benefit Worksheet(s):** 1,399 (70%) of the 1,997 Fringe Benefit Worksheet(s) due this quarter were filed timely, 415 (21%) were filed late, and 183 (9%) remain outstanding.





## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
First Quarter 2016  
1/1/2016-3/31/2016**



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010	Group Total	52	41	79%	12	11	92%
	<b>ACCIDENT FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	ACCIDENT FUND INSURANCE Group Total	1	1	100%	1	1	100%
	<b>ACE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	15	11	73%	7	4	57%
CA110	CONSTITUTION STATE SERVICES	4	4	100%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	14	10	71%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	30	27	90%	9	7	78%
CA204	HELMSMAN MANAGEMENT SERVICES	4	4	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	73	65	89%	20	18	90%
	TPA Total	141	122	87%	42	35	83%
	ACE INSURANCE Group Total	141	122	87%	42	35	83%
	<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015	AIG DOMESTIC CLAIMS	81	73	90%	40	36	90%
	Total	81	73	90%	40	36	90%
	AIG INSURANCE TPA Administered Claims						
CA100	CLAIMS MANAGEMENT (WAL-MART)	32	32	100%	5	5	100%
CA160	ESIS	5	3	60%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	40	37	93%	5	5	100%
	AIG INSURANCE Group Total	121	110	91%	45	41	91%
	<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA342	TECHNOLOGY INSURANCE	9	4	44%	2	1	50%
CA381	WESCO INSURANCE	14	10	71%	5	4	80%
	Group Total	23	14	61%	7	5	71%

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>ARCH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	6	6	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	8	6	75%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	3	60%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	1	100%	1	1	100%
	<b>TPA Total</b>	<b>22</b>	<b>18</b>	<b>82%</b>	<b>7</b>	<b>6</b>	<b>86%</b>
	<b>ARCH INSURANCE Group Total</b>	<b>22</b>	<b>18</b>	<b>82%</b>	<b>7</b>	<b>6</b>	<b>86%</b>
	<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036	<b>Group Total</b>	<b>108</b>	<b>99</b>	<b>92%</b>	<b>14</b>	<b>12</b>	<b>86%</b>
	<b>BROADSPIRE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040	<b>Group Total</b>	<b>16</b>	<b>11</b>	<b>69%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070	<b>Group Total</b>	<b>192</b>	<b>157</b>	<b>82%</b>	<b>64</b>	<b>52</b>	<b>81%</b>
	<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA090	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	21	14	67%	5	4	80%
	<b>TPA Total</b>	<b>21</b>	<b>14</b>	<b>67%</b>	<b>5</b>	<b>4</b>	<b>80%</b>
	<b>CHUBB INSURANCE Group Total</b>	<b>21</b>	<b>14</b>	<b>67%</b>	<b>5</b>	<b>4</b>	<b>80%</b>
	<b>CHURCH MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>CIANBRO CORPORATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA085	<b>Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
	<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100	<b>Group Total</b>	<b>32</b>	<b>32</b>	<b>100%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA083	CNA CLAIMS PLUS	1	1	100%	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	4	4	100%	1	1	100%
CA314	TRANSPORTATION INSURANCE	1	0	0%	1	1	100%
	<b>Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110	<b>Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>CONTINENTAL INDEMNITY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA115	Group Total	1	1	100%	1	1	100%
	<b>CORVEL ENTERPRISE COMP.</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116	Group Total	8	7	88%	1	0	0%
	<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117	Group Total	6	6	100%	2	2	100%
	<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093	Group Total	318	308	97%	41	37	90%
	<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	4	67%	1	1	100%
	TPA Total	6	4	67%	1	1	100%
	<b>ELECTRIC INSURANCE Group Total</b>	<b>6</b>	<b>4</b>	<b>67%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160	Group Total	29	19	66%	7	7	100%
	<b>EVEREST NATIONAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>EVEREST NATIONAL INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
	TPA Total	1	0	0%	1	1	100%
	<b>EVEREST NATIONAL INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	2	0	0%	1	0	0%
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	3	0	0%	1	0	0%
	<b>FIREMAN'S FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA170	Group Total	1	1	100%	1	0	0%
	<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175	Group Total	59	57	97%	18	16	89%
	<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190	Group Total	96	79	82%	26	22	85%
	<b>GREAT AMERICAN INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA193	Group Total	1	0	0%	No filings	No filings	No filings



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	87	66	76%	30	26	87%
	TPA Total	87	66	76%	30	26	87%
	<b>GREAT FALLS INSURANCE Group Total</b>	<b>87</b>	<b>66</b>	<b>76%</b>	<b>30</b>	<b>26</b>	<b>87%</b>
	<b>GREAT WEST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196	Group Total	3	1	33%	3	2	67%
	<b>GUARANTEE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	<b>GUARANTEE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	8	5	63%	4	3	75%
CA140	EASTGUARD INSURANCE	3	0	0%	1	1	100%
CA272	NORGUARD INSURANCE	*	*	*	*	*	*
	Group Total	11	5	45%	5	4	80%
	<b>GUIDEONE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GUIDEONE INSURANCE TPA Administered Claims</b>						
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	1	0	0%
	TPA Total	1	1	100%	1	0	0%
	<b>GUIDEONE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201	Group Total	87	59	68%	28	18	64%
	<b>HANOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA428	ALLMERICA FINANCIAL BENEFITS	2	1	50%	1	1	100%
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	5	5	100%	1	0	0%
CA202	HANOVER INSURANCE	3	2	67%	1	0	0%
CA228	MASSACHUSETTS BAY INSURANCE	2	0	0%	2	1	50%
	Group Total	12	8	67%	5	2	40%

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HARTFORD INSURANCE</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	5	4	80%	1	1	100%
CA185	HARTFORD CASUALTY INSURANCE	2	2	100%	2	1	50%
CA203	HARTFORD FIRE INSURANCE	1	0	0%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	5	4	80%	1	0	0%
CA187	HARTFORD UNDERWRITERS INSURANCE	5	3	60%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	2	1	50%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	*	*	*	*	*	*
CA319	TRUMBULL INSURANCE	28	25	89%	5	5	100%
CA321	TWIN CITY FIRE INSURANCE	2	1	50%	1	0	0%
	<b>Total</b>	<b>50</b>	<b>40</b>	<b>80%</b>	<b>11</b>	<b>8</b>	<b>73%</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	2	1	50%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>11</b>	<b>10</b>	<b>91%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>HARTFORD INSURANCE Group Total</b>	<b>61</b>	<b>50</b>	<b>82%</b>	<b>12</b>	<b>9</b>	<b>75%</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	<b>13</b>	<b>9</b>	<b>69%</b>	<b>5</b>	<b>4</b>	<b>80%</b>
<b>LIBERTY MUTUAL INSURANCE</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	4	4	100%	2	2	100%
CA162	EXCELSIOR INSURANCE	*	*	*	*	*	*
CA210	LIBERTY MUTUAL INSURANCE	48	37	77%	17	14	82%
CA406	OHIO CASUALTY INSURANCE	*	*	*	*	*	*
CA407	OHIO SECURITY INSURANCE	3	3	100%	1	1	100%
CA275	PEERLESS INSURANCE	15	10	67%	7	6	86%
CA283	PEERLESS INDEMNITY INSURANCE	1	1	100%	No filings	No filings	No filings
CA309	THE NETHERLANDS INSURANCE	1	0	0%	1	0	0%
CA408	WEST AMERICAN INSURANCE	1	0	0%	No filings	No filings	No filings
	<b>Group Total</b>	<b>73</b>	<b>55</b>	<b>75%</b>	<b>28</b>	<b>23</b>	<b>82%</b>
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	<b>35</b>	<b>33</b>	<b>94%</b>	<b>14</b>	<b>11</b>	<b>79%</b>
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	<b>1160</b>	<b>986</b>	<b>85%</b>	<b>345</b>	<b>324</b>	<b>94%</b>
<b>MAINE HEALTHCARE ASSOCIATION</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	<b>29</b>	<b>27</b>	<b>93%</b>	<b>6</b>	<b>5</b>	<b>83%</b>
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>							
		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	<b>58</b>	<b>52</b>	<b>90%</b>	<b>11</b>	<b>10</b>	<b>91%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225	Group Total	241	229	95%	52	51	98%
	<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250	Group Total	102	99	97%	31	30	97%
	<b>MEADOWBROOK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255	Group Total	4	4	100%	3	3	100%
	<b>NATIONWIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289	NATIONAL CASUALTY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	4	1	25%	No filings	No filings	No filings
	Group Total	4	1	25%	No filings	No filings	No filings
	<b>NGM INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA265	Group Total	1	0	0%	No filings	No filings	No filings
	<b>NORTH RIVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	2	0	0%	No filings	No filings	No filings
	TPA Total	2	0	0%	No filings	No filings	No filings
	<b>NORTH RIVER INSURANCE Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	6	3	50%	5	3	60%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA295	RYDER SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	3	3	100%
	TPA Total	24	20	83%	10	8	80%
	<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>24</b>	<b>20</b>	<b>83%</b>	<b>10</b>	<b>8</b>	<b>80%</b>
	<b>PATRIOT INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA274	Group Total	No filings	No filings	No filings	1	1	100%
	<b>PATRIOT RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA292	Group Total	1	1	100%	2	2	100%

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	6	5	83%	No filings	No filings	No filings
	TPA Total	6	5	83%	No filings	No filings	No filings
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>PROTECTIVE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	<b>PROTECTIVE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>PUBLIC SERVICE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA282	Group Total	1	0	0%	No filings	No filings	No filings
	<b>QBE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>QBE INSURANCE TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	12	11	92%	2	2	100%
	TPA Total	12	11	92%	2	2	100%
	<b>QBE INSURANCE Group Total</b>	<b>12</b>	<b>11</b>	<b>92%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>RYDER SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA295	Group Total	2	2	100%	1	1	100%
	<b>SAFETY NATIONAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>						
CA116	CORVEL ENTERPRISE COMP.	2	1	50%	No filings	No filings	No filings
CA160	ESIS	3	2	67%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	3	60%	1	0	0%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	16	12	75%	3	1	33%
	<b>SAFETY NATIONAL INSURANCE Group Total</b>	<b>16</b>	<b>12</b>	<b>75%</b>	<b>3</b>	<b>1</b>	<b>33%</b>
	<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300	Group Total	167	147	88%	43	40	93%

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>SENTRY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA305	SENTRY INSURANCE	1	0	0%	No filings	No filings	No filings
CA402	SENTRY CASUALTY	3	1	33%	1	0	0%
	<b>Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307	<b>Group Total</b>	<b>153</b>	<b>135</b>	<b>88%</b>	<b>30</b>	<b>29</b>	<b>97%</b>
	<b>SYNERNET</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320	<b>Group Total</b>	<b>149</b>	<b>137</b>	<b>92%</b>	<b>18</b>	<b>14</b>	<b>78%</b>
	<b>TOWER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA356	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>TRAVELERS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	43	25	58%	14	10	71%
CA164	FARMINGTON CASUALTY	1	0	0%	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	3	2	67%	2	2	100%
CA306	STANDARD FIRE INSURANCE	9	6	67%	5	3	60%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA347	TRAVELERS CASUALTY & SURETY	2	2	100%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	5	4	80%	2	2	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	*	*	*	*	*	*
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	2	0	0%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	5	4	80%	1	1	100%
	<b>Total</b>	<b>70</b>	<b>43</b>	<b>61%</b>	<b>25</b>	<b>19</b>	<b>76%</b>
	<b>TRAVELERS INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	5	3	60%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
	<b>TPA Total</b>	<b>8</b>	<b>6</b>	<b>75%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>78</b>	<b>49</b>	<b>63%</b>	<b>29</b>	<b>23</b>	<b>79%</b>
	<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA280	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>VANLINER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA379	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
First Quarter  
1/1/2016 - 3/31/2016

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>XL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>							
CA116	CORVEL ENTERPRISE COMP.	2	2	100%	No filings	No filings	No filings
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	2	50%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	7	7	100%	2	2	100%
	<b>TPA Total</b>	<b>14</b>	<b>11</b>	<b>79%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>XL INSURANCE Group Total</b>	<b>14</b>	<b>11</b>	<b>79%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
<b>YORK RISK SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340	<b>Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
<b>ZURICH INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	2	1	50%	1	1	100%
CA400	ZURICH AMERICAN INSURANCE	10	10	100%	2	2	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	2	2	100%	No filings	No filings	No filings
	<b>Total</b>	<b>14</b>	<b>13</b>	<b>93%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA093	CROSS INSURANCE	2	1	50%	No filings	No filings	No filings
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	12	12	100%	6	6	100%
CA292	PATRIOT RISK SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	5	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>23</b>	<b>22</b>	<b>96%</b>	<b>8</b>	<b>8</b>	<b>100%</b>
	<b>ZURICH INSURANCE Group Total</b>	<b>37</b>	<b>35</b>	<b>95%</b>	<b>11</b>	<b>11</b>	<b>100%</b>



## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter 2016  
1/1/2016-3/31/2016**



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>							
CA010	Group Total	12	12	100%	6	5	83%
<b>ACCIDENT FUND INSURANCE</b>							
	Total	*	*	*	*	*	*
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ACCIDENT FUND INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
<b>ACE INSURANCE</b>							
	Total	*	*	*	*	*	*
<b>ACE INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	7	5	71%	4	4	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	3	3	100%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	9	8	89%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	20	19	95%	13	13	100%
	TPA Total	42	38	90%	28	28	100%
	ACE INSURANCE Group Total	42	38	90%	28	28	100%
<b>AIG INSURANCE</b>							
CA015	AIG DOMESTIC CLAIMS	40	38	95%	16	16	100%
	Total	40	38	95%	16	16	100%
<b>AIG INSURANCE TPA Administered Claims</b>							
CA100	CLAIMS MANAGEMENT (WALMART)	5	5	100%	8	8	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	5	5	100%	10	10	100%
	AIG INSURANCE Group Total	45	43	96%	26	26	100%
<b>AMTRUST INSURANCE</b>							
CA342	TECHNOLOGY INSURANCE	2	1	50%	3	2	67%
CA381	WESCO INSURANCE	5	3	60%	1	0	0%
	Group Total	7	4	57%	4	2	50%



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>7</b>	<b>6</b>	<b>86%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>ARCH INSURANCE Group Total</b>	<b>7</b>	<b>6</b>	<b>86%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036	<b>Group Total</b>	<b>14</b>	<b>11</b>	<b>79%</b>	<b>9</b>	<b>7</b>	<b>78%</b>
	<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040	<b>Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b>	<b>6</b>	<b>6</b>	<b>100%</b>
	<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070	<b>Group Total</b>	<b>64</b>	<b>50</b>	<b>78%</b>	<b>34</b>	<b>26</b>	<b>76%</b>
	<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	2	2	100%
	<b>TPA Total</b>	<b>5</b>	<b>4</b>	<b>80%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>CHUBB INSURANCE Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>CHURCH MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA084	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>CIANBRO CORPORATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA085	<b>Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100	<b>Group Total</b>	<b>5</b>	<b>5</b>	<b>100%</b>	<b>8</b>	<b>8</b>	<b>100%</b>
	<b>CNA INSURANCE</b>	<b>MOPS Filed</b>	<b>Timely MOPS</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA083	CNA CLAIMS PLUS	No filings	No filings	No filings	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	1	1	100%	1	1	100%
CA314	TRANSPORTATION INSURANCE	1	1	100%	No filings	No filings	No filings
	<b>Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>CONSTITUTION STATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>CONTINENTAL INDEMNITY</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA115	Group Total	1	1	100%	No filings	No filings	No filings
	<b>CORVEL ENTERPRISE COMP.</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116	Group Total	1	0	0%	1	1	100%
	<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117	Group Total	2	2	100%	2	2	100%
	<b>CROSS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA093	Group Total	41	37	90%	76	76	100%
	<b>ELECTRIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	<b>ELECTRIC INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>ESIS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160	Group Total	7	7	100%	4	4	100%
	<b>EVEREST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>EVEREST INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	<b>EVEREST INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>FEDERATED MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	1	0	0%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	1	0	0%	No filings	No filings	No filings
	<b>FIREMAN'S FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170	Group Total	1	1	100%	No filings	No filings	No filings
	<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175	Group Total	18	15	83%	12	12	100%
	<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190	Group Total	26	24	92%	15	15	100%
	<b>GREAT AMERICAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193	Group Total	No filings	No filings	No filings	No filings	No filings	No filings

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	30	22	73%	16	8	50%
	TPA Total	30	22	73%	16	8	50%
	<b>GREAT FALLS INSURANCE Group Total</b>	<b>30</b>	<b>22</b>	<b>73%</b>	<b>16</b>	<b>8</b>	<b>50%</b>
	<b>GREAT WEST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196	Group Total	3	1	33%	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	4	4	100%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE	1	1	100%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	*	*	*	*	*	*
	Group Total	5	5	100%	No filings	No filings	No filings
	<b>GUIDEONE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GUIDEONE INSURANCE TPA Administered Claims</b>						
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	<b>GUIDEONE INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201	Group Total	28	22	79%	12	8	67%
	<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA428	ALLMERICA FINANCIAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	1	0	0%	1	1	100%
CA202	HANOVER INSURANCE	1	1	100%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INSURANCE	2	1	50%	No filings	No filings	No filings
	Group Total	5	3	60%	1	1	100%

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>HARTFORD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	2	1	50%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	0	0%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	1	1	100%	1	0	0%
CA288	PROPERTY & CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	*	*	*	*	*	*
CA319	TRUMBULL INSURANCE	5	5	100%	7	6	86%
CA321	TWIN CITY FIRE INSURANCE	1	0	0%	No filings	No filings	No filings
	<b>Total</b>	<b>11</b>	<b>8</b>	<b>73%</b>	<b>9</b>	<b>7</b>	<b>78%</b>
	<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>HARTFORD INSURANCE Group Total</b>	<b>12</b>	<b>9</b>	<b>75%</b>	<b>12</b>	<b>10</b>	<b>83%</b>
	<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	2	2	100%	No filings	No filings	No filings
CA162	EXCELSIOR INSURANCE	*	*	*	*	*	*
CA210	LIBERTY MUTUAL INSURANCE	17	14	82%	10	9	90%
CA406	OHIO CASUALTY INSURANCE	*	*	*	*	*	*
CA407	OHIO SECURITY INSURANCE	1	1	100%	2	2	100%
CA275	PEERLESS INSURANCE	7	5	71%	4	2	50%
CA283	PEERLESS INDEMNITY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA309	THE NETHERLANDS INSURANCE	1	0	0%	No filings	No filings	No filings
CA408	WEST AMERICAN INSURANCE	No filings	No filings	No filings	1	1	100%
	<b>Group Total</b>	<b>28</b>	<b>22</b>	<b>79%</b>	<b>17</b>	<b>14</b>	<b>82%</b>
	<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	<b>14</b>	<b>13</b>	<b>93%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	<b>345</b>	<b>326</b>	<b>94%</b>	<b>204</b>	<b>193</b>	<b>95%</b>
	<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>6</b>	<b>6</b>	<b>100%</b>
	<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	<b>11</b>	<b>11</b>	<b>100%</b>	<b>9</b>	<b>9</b>	<b>100%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225	<b>Group Total</b>	52	49	94%	62	60	97%
	<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250	<b>Group Total</b>	31	30	97%	15	14	93%
	<b>MEADOWBROOK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA255	<b>Group Total</b>	3	3	100%	No filings	No filings	No filings
	<b>NATIONWIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289	NATIONAL CASUALTY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	No filings	No filings	No filings	2	0	0%
	<b>Group Total</b>	No filings	No filings	No filings	2	0	0%
	<b>NGM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA265	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
	<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
	<b>NORTH RIVER INSURANCE Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
	<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	5	3	60%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA295	RYDER SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	3	3	100%
	<b>TPA Total</b>	10	8	80%	7	7	100%
	<b>OLD REPUBLIC INSURANCE Group Total</b>	10	8	80%	7	7	100%
	<b>PATRIOT INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA274	<b>Group Total</b>	1	1	100%	No filings	No filings	No filings
	<b>PATRIOT RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA292	<b>Group Total</b>	2	1	50%	No filings	No filings	No filings

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>PROTECTIVE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>PROTECTIVE INSURANCE TPA Administered Claims</b>						
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>PROTECTIVE INSURANCE Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>PUBLIC SERVICE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA282	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>QBE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	5	5	100%
	<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>QBE INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>RYDER SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA295	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>SAFETY NATIONAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>						
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>3</b>	<b>1</b>	<b>33%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>SAFETY NATIONAL INSURANCE Group Total</b>	<b>3</b>	<b>1</b>	<b>33%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300	<b>Group Total</b>	<b>43</b>	<b>41</b>	<b>95%</b>	<b>33</b>	<b>33</b>	<b>100%</b>



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>SENTRY INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA305	SENTRY INSURANCE	No filings	No filings	No filings	1	0	0%
CA402	SENTRY CASUALTY	1	0	0%	No filings	No filings	No filings
	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307	<b>Group Total</b>	<b>30</b>	<b>27</b>	<b>90%</b>	<b>34</b>	<b>31</b>	<b>91%</b>
<b>SYNERNET</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320	<b>Group Total</b>	<b>18</b>	<b>14</b>	<b>78%</b>	<b>38</b>	<b>38</b>	<b>100%</b>
<b>TOWER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA356	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>TRAVELERS INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	14	9	64%	15	13	87%
CA164	FARMINGTON CASUALTY	No filings	No filings	No filings	1	1	100%
CA284	PHOENIX INSURANCE	2	2	100%	1	1	100%
CA306	STANDARD FIRE INSURANCE	5	3	60%	No filings	No filings	No filings
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA347	TRAVELERS CASUALTY & SURETY	1	0	0%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	2	2	100%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	*	*	*	*	*	*
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	1	0	0%	1	1	100%
	<b>Total</b>	<b>25</b>	<b>16</b>	<b>64%</b>	<b>19</b>	<b>17</b>	<b>89%</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	2	2	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>4</b>	<b>4</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>29</b>	<b>20</b>	<b>69%</b>	<b>21</b>	<b>19</b>	<b>90%</b>
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA280	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>VANLINER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA379	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>0</b>	<b>0%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
First Quarter  
1/1/2016 - 3/31/2016

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>XL INSURANCE TPA Administered Claims</b>						
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>XL INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340	<b>Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	1	1	100%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE	2	2	100%	5	5	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No filings	No filings	No filings	1	1	100%
	<b>Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>6</b>	<b>6</b>	<b>100%</b>
	<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	3	3	100%
CA093	CROSS INSURANCE	No filings	No filings	No filings	2	2	100%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	6	6	100%	No filings	No filings	No filings
CA292	PATRIOT RISK SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	<b>8</b>	<b>7</b>	<b>88%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>ZURICH INSURANCE Group Total</b>	<b>11</b>	<b>10</b>	<b>91%</b>	<b>11</b>	<b>11</b>	<b>100%</b>