

# MAINE STATE LEGISLATURE

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# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD



## **Fourth Quarter 2015 Report**

October 1, 2015 – December 31, 2015

Office of Monitoring, Audit & Enforcement

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**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Fourth Quarter 2015**

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## Executive Summary

On April 12, 2016 the Maine Workers' Compensation Board of Director approved the Fourth Quarter 2016 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

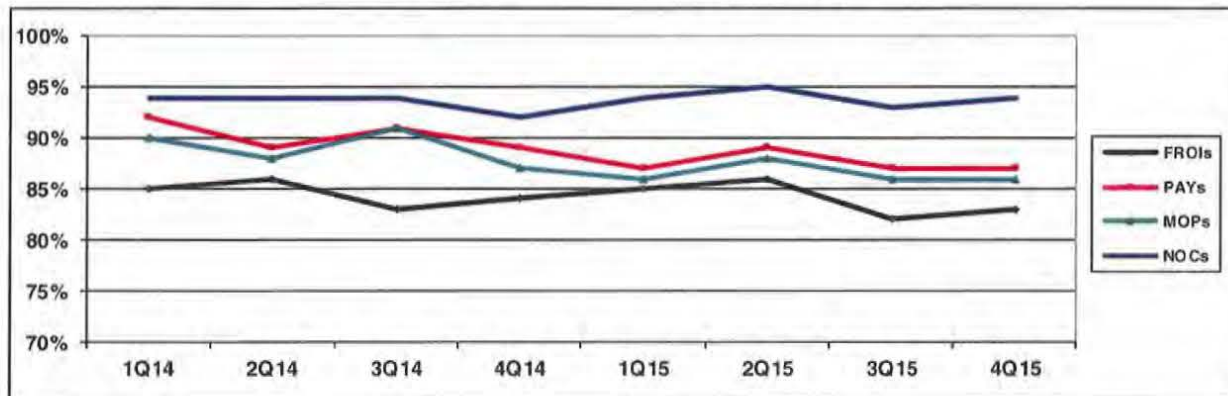
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 95 insurers on February 5, 2016; 75 responded, 15 were not required to respond and 5 did not respond.

*The 4Q15 report represents results based upon data received by March 11, 2016. The results are:*

	Number of Days	Benchmark	1Q14	2Q14	3Q14	4Q14	1Q15	2Q15	3Q15	4Q15
FROIs	7	85%	85%	86%	83%	84%	85%	86%	82%	83%
PAYs	14	87%	92%	89%	91%	89%	87%	89%	87%	87%
MOPs	17	85%	90%	88%	91%	87%	86%	88%	86%	86%
NOCs	14	90%	94%	94%	94%	92%	94%	95%	93%	94%

**Compliance Benchmark Tracking**



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks ("?",) within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

#### C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.



- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

**D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

**E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

**III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 4Q15:

Auditee	Total Penalties
Starr Indemnity & Liability Company	\$25.00

## LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

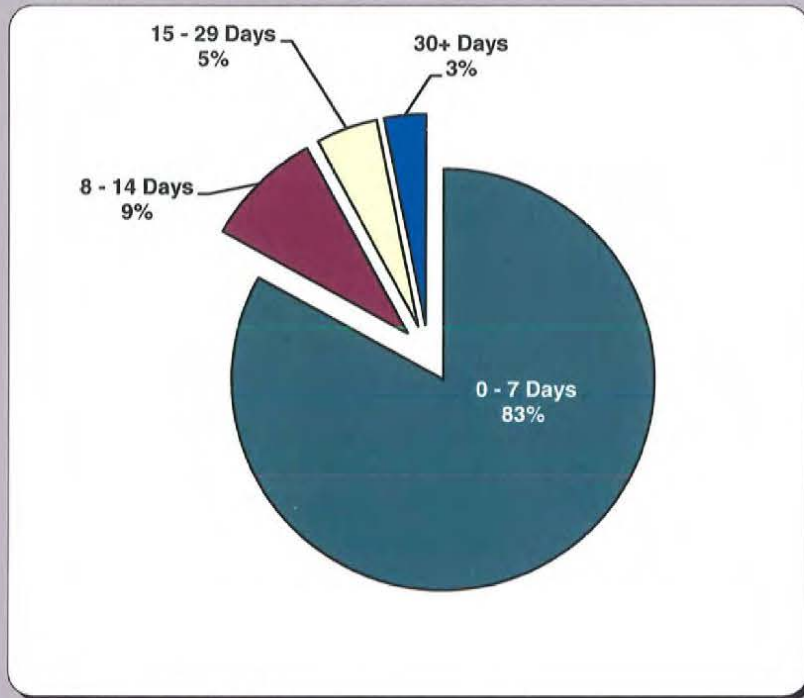


Table 1

Received Within:			
0 - 7	Days	2,708	83%
8 - 14	Days	294	9%
15 - 29	Days	155	5%
30+	Days	104	3%
Total		3,261	100%

Chart 2 Quarterly Compliance

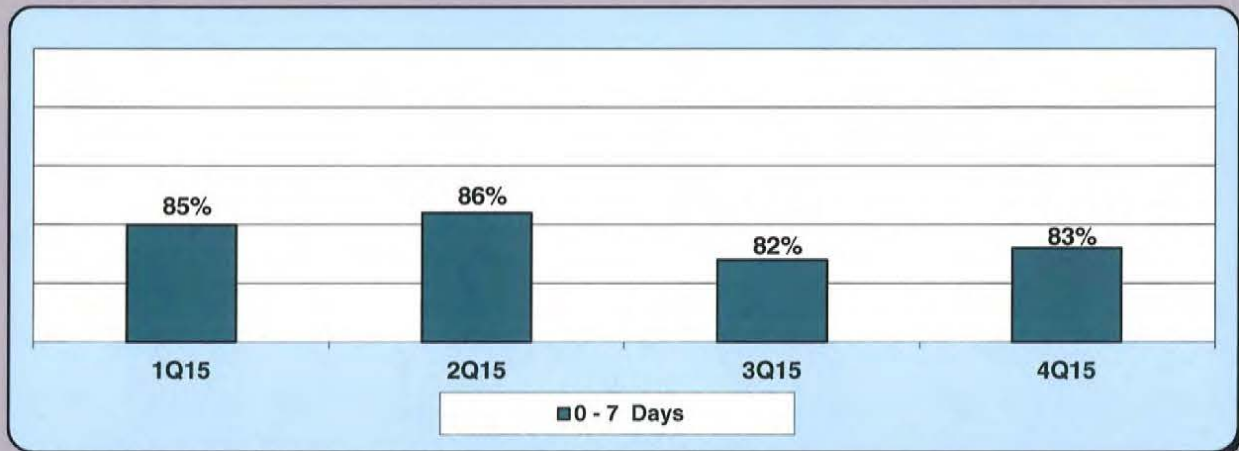
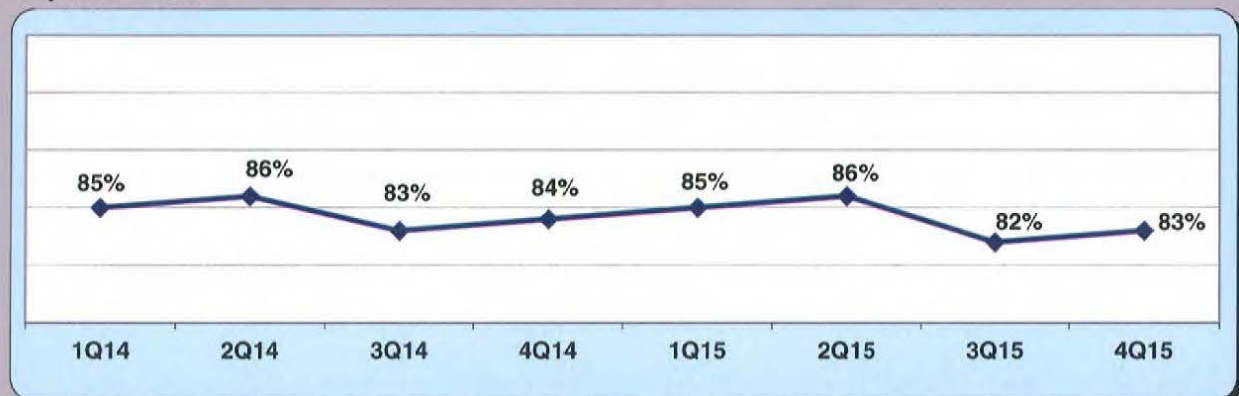


Chart 3 Compliance Trend



## INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

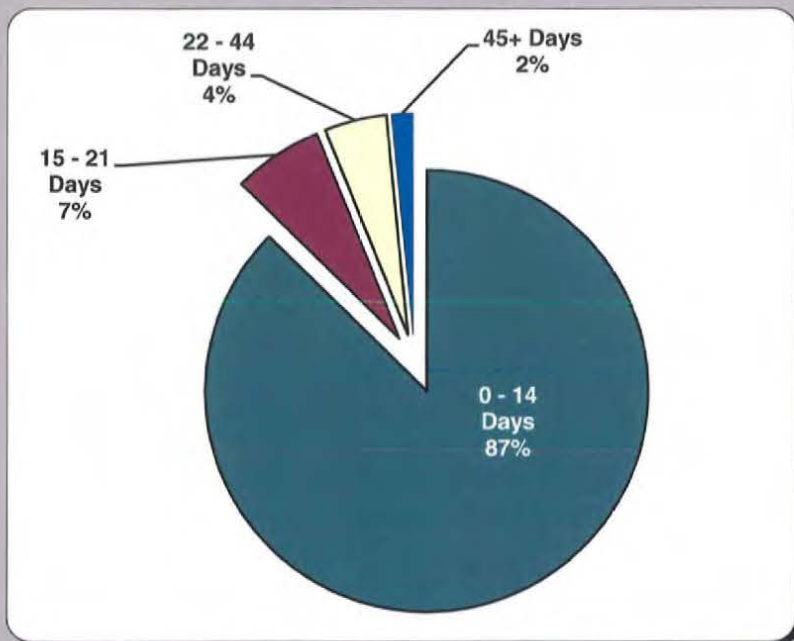


Table 2

Made Within:			
0 - 14	Days	828	87%
15 - 21	Days	62	7%
22 - 44	Days	43	4%
45+	Days	15	2%
?	Days	2	0%
Total		950	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$14,400 was issued to claimants in penalties and there is another \$7,600 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

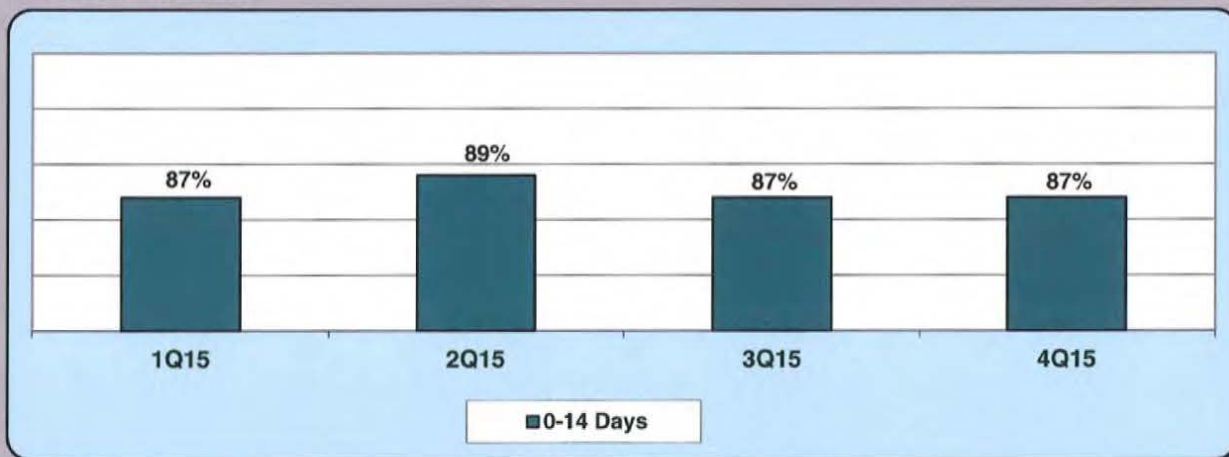
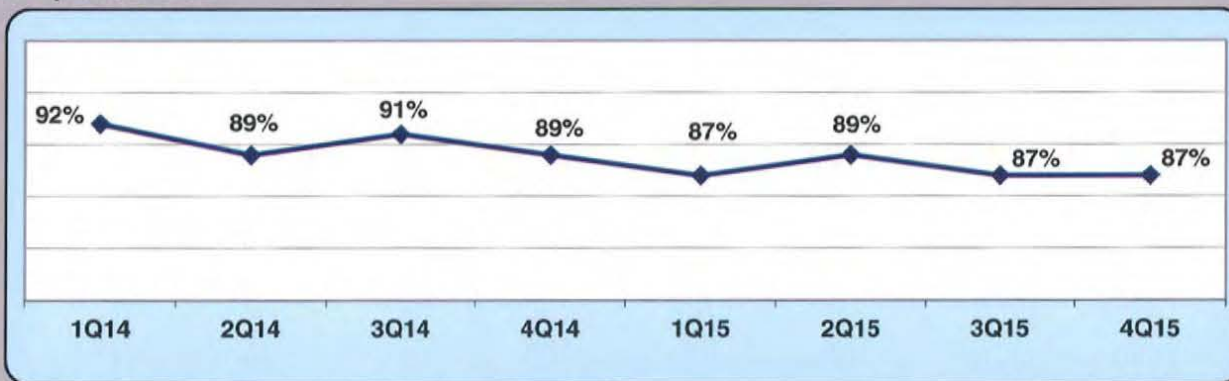


Chart 6 Compliance Trend





## INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

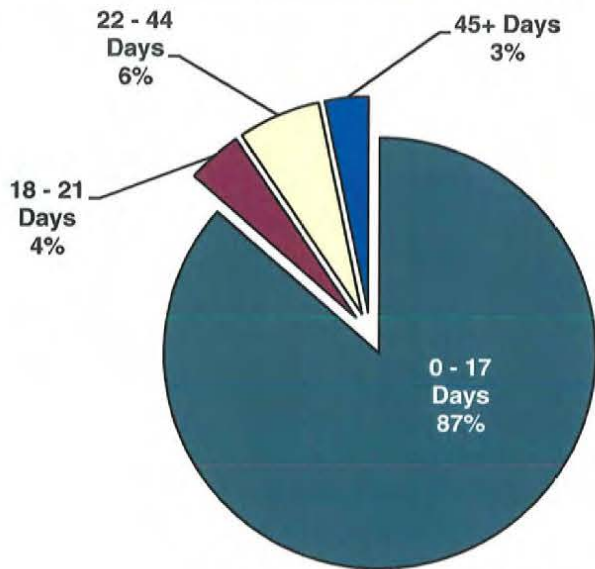


Table 3

Received Within:			
0 - 17	Days	819	87%
18 - 21	Days	39	4%
22 - 44	Days	59	6%
45+	Days	31	3%
?	Days	2	0%
Total		950	100%

Chart 8 Quarterly Compliance

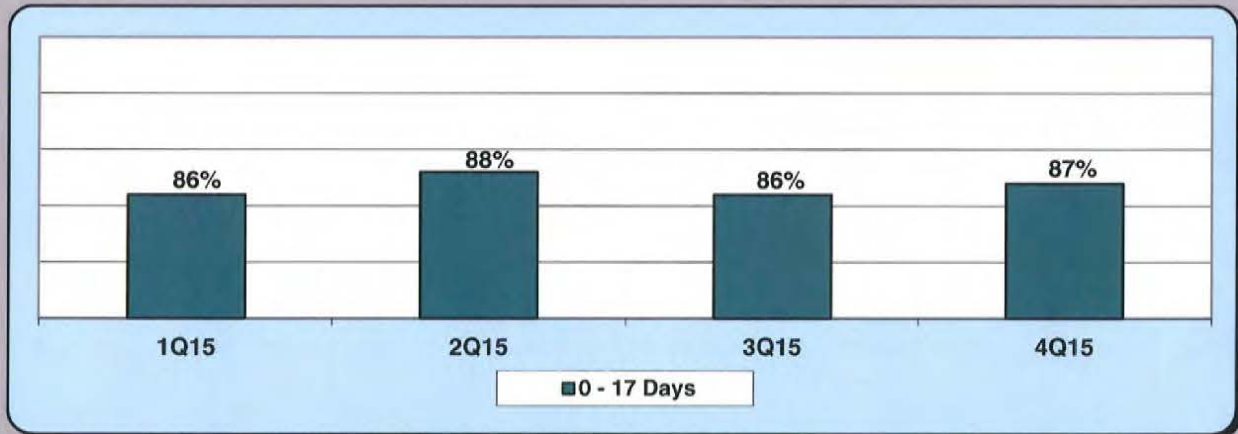
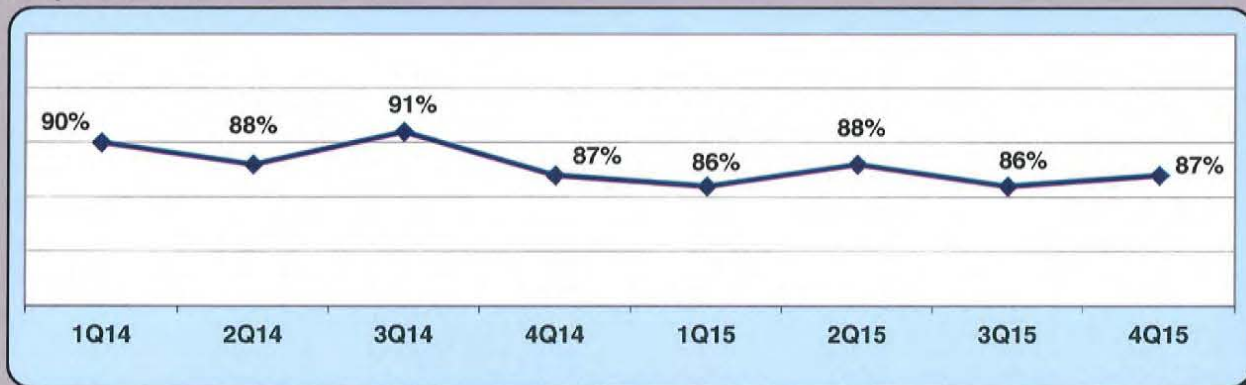


Chart 9 Compliance Trend





## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

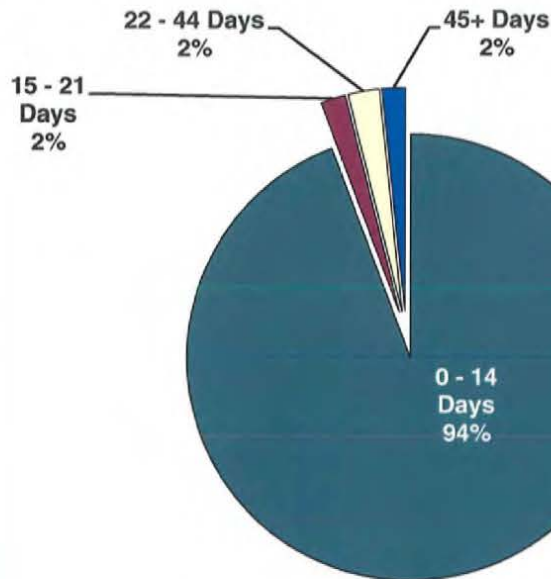


Table 4

Received Within:			
0 - 14	Days	625	94%
15 - 21	Days	12	2%
22 - 44	Days	15	2%
45+	Days	11	2%
Total		663	100%

Chart 11 Quarterly Compliance

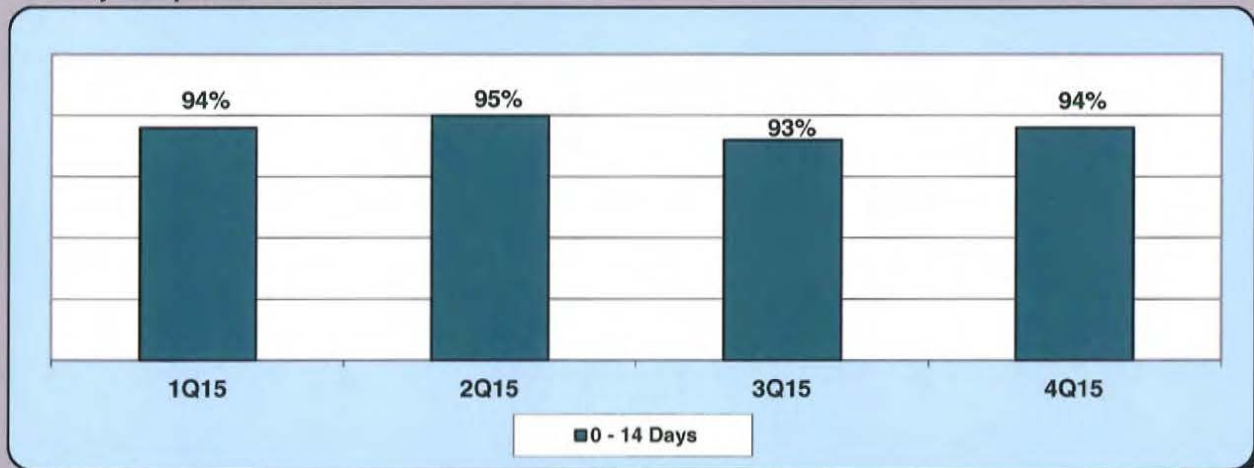
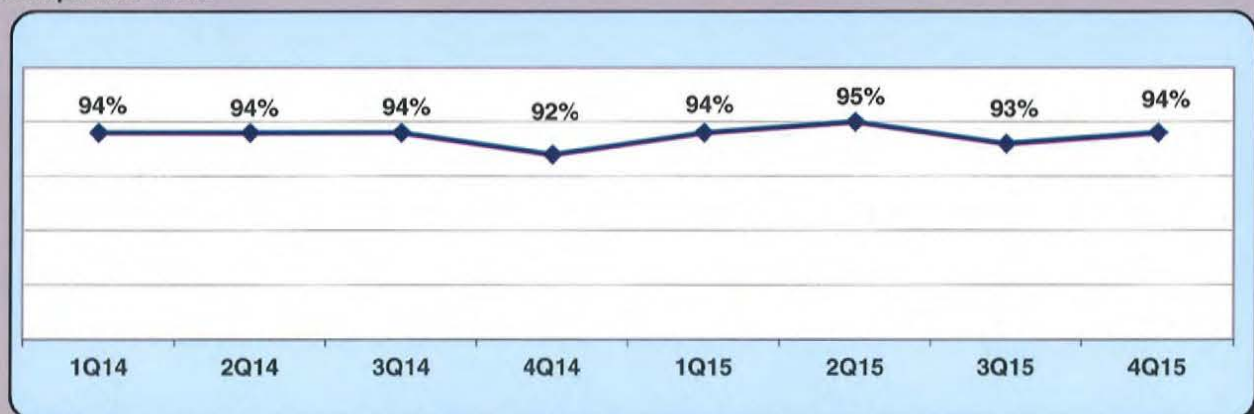


Chart 12 Compliance Trend



## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

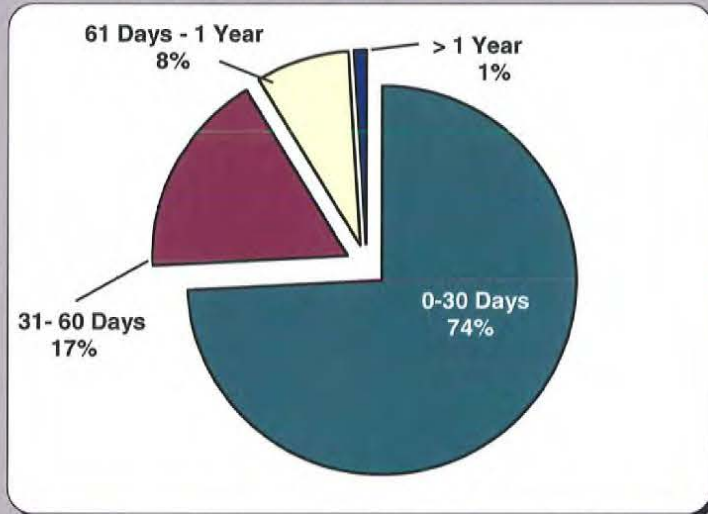


Table 5

Received Within:		
0-30 Days	1,390	74%
31-60 Days	338	17%
61 Days-1 Year	146	8%
> 1 Year	10	1%
<b>Total</b>	<b>1,884</b>	<b>100%</b>

**Wage Statement(s):** 1,802 (85%) of the 2,115 Wage Statement(s) that were due this quarter were filed timely, 214 (10%) were filed late, and 99 (5%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

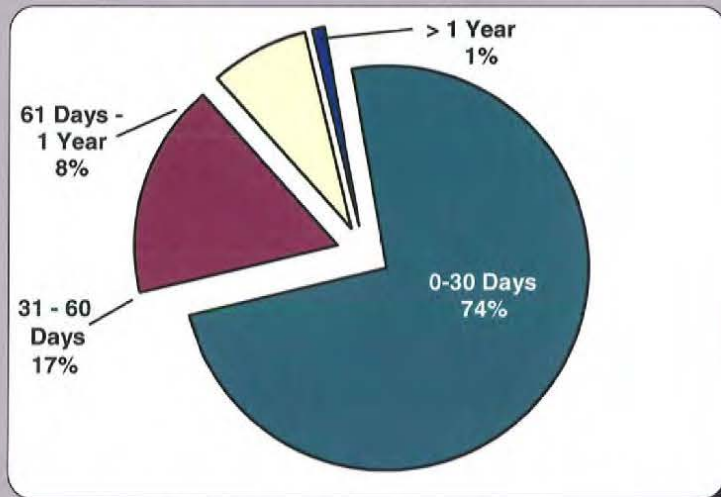


Table 6

Received Within:		
0-30 Days	1,371	74%
31-60 Days	317	17%
61 Days-1 Year	146	8%
> 1 Year	8	1%
<b>Total</b>	<b>1,842</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,772 (84%) of the 2,115 Fringe Benefit Worksheet(s) due this quarter were filed timely, 233 (11%) were filed late, and 110 (5%) remain outstanding.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
Fourth Quarter 2015  
10/1/2015-12/31/2015**





**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Fourth Quarter  
10/1/2015 - 12/31/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA010	<b>ACADIA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	<b>45</b>	<b>33</b>	<b>73%</b>	<b>18</b>	<b>13</b>	<b>72%</b>
	<b>ACCIDENT FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACE INSURANCE TPA Administered Claims</b>						
CA012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	7	7	100%	3	2	67%
CA110	CONSTITUTION STATE SERVICES	8	7	88%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	23	11	48%	3	1	33%
CA190	GALLAGHER BASSETT SERVICES	16	15	94%	4	3	75%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	65	58	89%	20	18	90%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>121</b>	<b>100</b>	<b>83%</b>	<b>32</b>	<b>26</b>	<b>81%</b>
	<b>ACE INSURANCE Group Total</b>	<b>121</b>	<b>100</b>	<b>83%</b>	<b>32</b>	<b>26</b>	<b>81%</b>
CA015	<b>AIG INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>AIG DOMESTIC CLAIMS</b>	<b>86</b>	<b>79</b>	<b>92%</b>	<b>40</b>	<b>38</b>	<b>95%</b>
	<b>Total</b>	<b>86</b>	<b>79</b>	<b>92%</b>	<b>40</b>	<b>38</b>	<b>95%</b>
	<b>AIG INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WAL-MART)	35	34	97%	7	7	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>35</b>	<b>34</b>	<b>97%</b>	<b>7</b>	<b>7</b>	<b>100%</b>
	<b>AIG INSURANCE Group Total</b>	<b>121</b>	<b>113</b>	<b>93%</b>	<b>47</b>	<b>45</b>	<b>96%</b>
CA012	<b>ALTERNATIVE SERVICE CONCEPTS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
CA342	<b>AMTRUST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>TECHNOLOGY INSURANCE</b>	<b>9</b>	<b>3</b>	<b>33%</b>	<b>5</b>	<b>2</b>	<b>40%</b>
CA381	<b>WESCO INSURANCE</b>	<b>12</b>	<b>4</b>	<b>33%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>Group Total</b>	<b>21</b>	<b>7</b>	<b>33%</b>	<b>6</b>	<b>2</b>	<b>33%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Fourth Quarter  
10/1/2015 - 12/31/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ARCH INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
<b>ARCH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	4	4	100%	2	2	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	1	0	0%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	2	2	100%
CA340	YORK RISK SERVICES	5	0	0%	1	0	0%
	TPA Total	19	12	63%	6	4	67%
	<b>ARCH INSURANCE Group Total</b>	<b>19</b>	<b>12</b>	<b>63%</b>	<b>6</b>	<b>4</b>	<b>67%</b>
<b>ARROW MUTUAL LIABILITY INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA024	Group Total	1	1	100%	1	1	100%
<b>ATLANTIC SPECIALTY INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	0	0%
	TPA Total	1	1	100%	1	0	0%
	<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>BATH IRON WORKS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036	Group Total	94	90	96%	13	13	100%
<b>BERKSHIRE HATHAWAY INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA114	Group Total	*	*	*	*	*	*
<b>BROADSPIRE SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040	Group Total	9	9	100%	4	4	100%
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070	Group Total	176	148	84%	87	56	64%
<b>CHESTERFIELD SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA080	Group Total	1	1	100%	1	1	100%
<b>CHUBB INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA090	Total	1	0	0%	No filings	No filings	No filings
<b>CHUBB INSURANCE TPA Administered Claims</b>							
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	19	9	47%	6	4	67%
	TPA Total	19	9	47%	6	4	67%
	<b>CHUBB INSURANCE Group Total</b>	<b>19</b>	<b>9</b>	<b>47%</b>	<b>6</b>	<b>4</b>	<b>67%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Fourth Quarter  
10/1/2015 - 12/31/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA084	<b>CHURCH MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	*	*	*	*	*	*
CA085	<b>CIANBRO CORPORATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	1	1	100%	No filings	No filings	No filings
CA100	<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	35	34	97%	7	7	100%
CA017	<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA083	AMERICAN CASUALTY COMPANY	*	*	*	*	*	*
CA050	CNA CLAIMS PLUS	1	1	100%	1	1	100%
CA087	CONTINENTAL CASUALTY	4	4	100%	1	1	100%
CA314	CONTINENTAL INSURANCE	*	*	*	*	*	*
	TRANSPORTATION INSURANCE	1	1	100%	No filings	No filings	No filings
	<b>Total</b>	6	6	100%	2	2	100%
CA190	<b>CNA INSURANCE TPA Administered Claims</b>						
	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	1	0	0%	No filings	No filings	No filings
	<b>Group Total</b>	7	6	86%	2	2	100%
CA110	<b>CONSTITUTION STATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	8	7	88%	2	2	100%
CA115	<b>CONTINENTAL INDEMNITY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	*	*	*	*	*	*
CA116	<b>CORVEL ENTERPRISE COMP.</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	5	3	60%	1	1	100%
CA117	<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	4	4	100%	2	2	100%
CA093	<b>CROSS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Group Total</b>	295	288	98%	49	46	94%
CA375	<b>CRUM &amp; FORSTER</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	<b>Group Total</b>	*	*	*	*	*	*
CA300	<b>ELECTRIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	3	3	100%
	<b>TPA Total</b>	8	8	100%	3	3	100%
	<b>ELECTRIC INSURANCE Group Total</b>	8	8	100%	3	3	100%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>ESIS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160	Group Total	31	18	58%	7	5	71%
	<b>F.A. RICHARD</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA165	Group Total	*	*	*	*	*	*
	<b>FEDERATED MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	<b>FIREMAN'S FUND INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA170	Group Total	*	*	*	*	*	*
	<b>FUTURECOMP</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175	Group Total	57	49	86%	20	18	90%
	<b>GALLAGHER BASSETT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190	Group Total	87	60	69%	30	19	63%
	<b>GREAT AMERICAN INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA193	Group Total	*	*	*	*	*	*
	<b>GREAT DIVIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA189	Group Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	89	67	75%	51	27	53%
	TPA Total	89	67	75%	51	27	53%
	GREAT FALLS INSURANCE Group Total	89	67	75%	51	27	53%
	<b>GREAT WEST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	3	3	100%	1	1	100%
	TPA Total	3	3	100%	1	1	100%
CA195	GUARANTEE INSURANCE Group Total	3	3	100%	1	1	100%



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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>GUARD INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	28	12	43%	3	3	100%
CA140	EASTGUARD INSURANCE	6	3	50%	3	2	67%
CA272	NORGUARD INSURANCE	3	2	67%	No filings	No filings	No filings
	<b>Group Total</b>	<b>37</b>	<b>17</b>	<b>46%</b>	<b>6</b>	<b>5</b>	<b>83%</b>
<b>HANNAFORD BROTHERS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201	<b>Group Total</b>	<b>82</b>	<b>60</b>	<b>73%</b>	<b>12</b>	<b>7</b>	<b>58%</b>
<b>HANNOVER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>							
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>HANNOVER INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>HANOVER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	2	1	50%	No filings	No filings	No filings
CA202	HANOVER INSURANCE	9	6	67%	3	3	100%
CA228	MASSACHUSETTS BAY INSURANCE	2	1	50%	No filings	No filings	No filings
	<b>Group Total</b>	<b>13</b>	<b>8</b>	<b>62%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
<b>HARTFORD INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	3	3	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	4	4	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE	5	3	60%	2	2	100%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	6	4	67%	2	2	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	5	4	80%	4	3	75%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	2	2	100%	No filings	No filings	*
CA296	SENTINEL INSURANCE	2	0	0%	2	2	100%
CA319	TRUMBULL INSURANCE	12	9	75%	4	4	100%
CA321	TWIN CITY FIRE INSURANCE	3	2	67%	1	0	0%
	<b>Total</b>	<b>42</b>	<b>31</b>	<b>74%</b>	<b>16</b>	<b>14</b>	<b>88%</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	1	1	100%
	<b>TPA Total</b>	<b>13</b>	<b>8</b>	<b>62%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>HARTFORD INSURANCE Group Total</b>	<b>55</b>	<b>39</b>	<b>71%</b>	<b>19</b>	<b>17</b>	<b>89%</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	<b>13</b>	<b>6</b>	<b>46%</b>	<b>5</b>	<b>3</b>	<b>60%</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>IMPERIUM INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>IMPERIUM INSURANCE TPA Administered Claims</b>						
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	<b>IMPERIUM INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>LIBERTY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	7	6	86%	1	1	100%
CA162	EXCELSIOR INSURANCE	1	1	100%	No filings	No filings	No filings
CA210	LIBERTY MUTUAL INSURANCE	42	29	69%	16	11	69%
CA406	THE OHIO CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA407	OHIO SECURITY INSURANCE	1	1	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	16	9	56%	8	7	88%
CA283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
CA309	THE NETHERLANDS INSURANCE	5	4	80%	4	2	50%
	Group Total	72	50	69%	29	21	72%
	<b>MACY'S CORPORATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA213	Group Total	*	*	*	*	*	*
	<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220	Group Total	27	25	93%	14	13	93%
	<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260	Group Total	1136	898	79%	355	333	94%
	<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234	Group Total	36	30	83%	2	1	50%
	<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230	Group Total	73	71	97%	9	7	78%
	<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225	Group Total	192	187	97%	43	41	95%
	<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250	Group Total	72	70	97%	24	24	100%
	<b>MATRIX ABSENCE MANAGEMENT</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA252	Group Total	1	1	100%	1	1	100%
	<b>MEADOWBROOK INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255	Group Total	6	6	100%	3	3	100%
	<b>NATIONAL INTERSTATE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA267	Group Total	1	1	100%	No filings	No filings	No filings

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>NATIONWIDE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289	NATIONAL CASUALTY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	<b>Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NGM INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA265	<b>Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>NKSJ HOLDINGS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NKSJ HOLDINGS TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NKSJ HOLDINGS Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NORTH RIVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NORTH RIVER INSURANCE Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>OLD REPUBLIC INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	1	33%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	1	1	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	3	2	67%
CA204	HELMSMAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA295	RYDER SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	4	67%	3	3	100%
	<b>TPA Total</b>	<b>16</b>	<b>9</b>	<b>56%</b>	<b>10</b>	<b>9</b>	<b>90%</b>
	<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>16</b>	<b>9</b>	<b>56%</b>	<b>10</b>	<b>9</b>	<b>90%</b>
	<b>PATRIOT INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA274	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>PATRIOT RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA292	<b>Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	6	3	50%	2	1	50%
	TPA Total	6	3	50%	2	1	50%
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>	<b>6</b>	<b>3</b>	<b>50%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
	<b>PROTECTIVE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>PUBLIC SERVICE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA282	Group Total	*	*	*	*	*	*
	<b>QBE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>QBE INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	2	50%	3	1	33%
	TPA Total	4	2	50%	3	1	33%
	<b>QBE INSURANCE Group Total</b>	<b>4</b>	<b>2</b>	<b>50%</b>	<b>3</b>	<b>1</b>	<b>33%</b>
	<b>RYDER SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA295	Group Total	No filings	No filings	No filings	1	1	100%
	<b>SAFETY NATIONAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	2	2	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	12	11	92%	1	1	100%
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	2	0	0%	No filings	No filings	No filings
	TPA Total	23	18	78%	5	5	100%
	<b>SAFETY NATIONAL INSURANCE Group Total</b>	<b>23</b>	<b>18</b>	<b>78%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>SEABRIGHT INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA298	Group Total	*	*	*	*	*	*
	<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300	Group Total	138	119	86%	36	31	86%



**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Fourth Quarter  
10/1/2015 - 12/31/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>SENTRY INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA305	SENTRY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA402	SENTRY CASUALTY	2	1	50%	2	2	100%
	<b>Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
<b>STARR INDEMNITY &amp; LIABILITY</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARR INDEMNITY &amp; LIABILITY TPA Administered Claims</b>							
CA160	ESIS	*	*	*	*	*	*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>STARR INDEMNITY &amp; LIABILITY Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307	<b>Group Total</b>	<b>144</b>	<b>128</b>	<b>89%</b>	<b>33</b>	<b>33</b>	<b>100%</b>
<b>SYNERNET</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320	<b>Group Total</b>	<b>125</b>	<b>114</b>	<b>91%</b>	<b>32</b>	<b>29</b>	<b>91%</b>
<b>T.H.E INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA317	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>TOWER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA356	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>TRAVELERS INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	27	11	41%	10	8	80%
CA164	FARMINGTON CASUALTY	3	1	33%	1	1	100%
CA284	PHOENIX INSURANCE	11	8	73%	4	2	50%
CA306	STANDARD FIRE INSURANCE	6	5	83%	4	4	100%
CA303	ST. PAUL FIRE & MARINE INSURANCE	*	*	*	*	*	*
CA347	TRAVELERS CASUALTY & SURETY	1	0	0%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	3	2	67%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	1	1	100%
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	3	2	67%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	7	6	86%	3	2	67%
	<b>Total</b>	<b>64</b>	<b>37</b>	<b>58%</b>	<b>25</b>	<b>20</b>	<b>80%</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	3	3	100%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	2	1	50%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>8</b>	<b>6</b>	<b>75%</b>	<b>5</b>	<b>4</b>	<b>80%</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>72</b>	<b>43</b>	<b>60%</b>	<b>30</b>	<b>24</b>	<b>80%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Fourth Quarter  
10/1/2015 - 12/31/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA280	Group Total	1	0	0%	1	1	100%
	<b>UNDERWRITERS SAFETY &amp; CLAIMS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA360	Group Total	*	*	*	*	*	*
	<b>UTICA NATIONAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA324	Group Total	*	*	*	*	*	*
	<b>VANLINER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA379	Group Total	*	*	*	*	*	*
	<b>XL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>XL INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	2	1	50%	No filings	No filings	No filings
CA160	ESIS	2	1	50%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	10	6	60%	7	4	57%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	11	100%	1	1	100%
	TPA Total	25	19	76%	9	6	67%
	<b>XL INSURANCE Group Total</b>	<b>25</b>	<b>19</b>	<b>76%</b>	<b>9</b>	<b>6</b>	<b>67%</b>
	<b>YORK RISK SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340	Group Total	8	1	13%	1	0	0%
	<b>ZURICH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	3	2	67%	4	2	50%
CA400	ZURICH AMERICAN INSURANCE	3	3	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	*	*	*	*	*	*
	Total	6	5	83%	5	3	60%
	<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	9	9	100%	3	3	100%
	<b>ZURICH INSURANCE Group Total</b>	<b>15</b>	<b>14</b>	<b>93%</b>	<b>8</b>	<b>6</b>	<b>75%</b>

## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
Fourth Quarter 2015  
10/1/2015-12/31/2015**





**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Fourth Quarter  
10/1/2015 - 12/31/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA010	<b>ACADIA INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Group Total</b>	<b>18</b>	<b>15</b>	<b>83%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>ACCIDENT FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACCIDENT FUND INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ACE INSURANCE TPA Administered Claims</b>						
CA012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	2	67%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	2	2	100%	4	3	75%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	3	1	33%	6	6	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	20	15	75%	16	16	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>32</b>	<b>23</b>	<b>72%</b>	<b>28</b>	<b>27</b>	<b>96%</b>
	<b>ACE INSURANCE Group Total</b>	<b>32</b>	<b>23</b>	<b>72%</b>	<b>28</b>	<b>27</b>	<b>96%</b>
CA015	<b>AIG INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>AIG DOMESTIC CLAIMS</b>	<b>40</b>	<b>38</b>	<b>95%</b>	<b>16</b>	<b>13</b>	<b>81%</b>
	<b>Total</b>	<b>40</b>	<b>38</b>	<b>95%</b>	<b>16</b>	<b>13</b>	<b>81%</b>
	<b>AIG INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WALMART)	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>AIG INSURANCE Group Total</b>	<b>40</b>	<b>38</b>	<b>95%</b>	<b>16</b>	<b>13</b>	<b>81%</b>
CA012	<b>ALTERNATIVE SERVICE CONCEPTS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
CA342	<b>AMTRUST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>TECHNOLOGY INSURANCE</b>	<b>5</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
CA381	<b>WESCO INSURANCE</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>3</b>	<b>1</b>	<b>33%</b>
	<b>Group Total</b>	<b>6</b>	<b>0</b>	<b>0%</b>	<b>3</b>	<b>1</b>	<b>33%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Fourth Quarter  
10/1/2015 - 12/31/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	2	2	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	0	0%	2	2	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	6	2	33%	4	4	100%
	<b>ARCH INSURANCE Group Total</b>	<b>6</b>	<b>2</b>	<b>33%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>ARROW MUTUAL LIABILITY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA024	Group Total	1	1	100%	No filings	No filings	No filings
	<b>ATLANTIC SPECIALTY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>ATLANTIC SPECIALTY INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
	TPA Total	1	0	0%	1	1	100%
	<b>ATLANTIC SPECIALTY INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036	Group Total	13	12	92%	9	8	89%
	<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114	Group Total	*	*	*	*	*	*
	<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040	Group Total	4	4	100%	No filings	No filings	No filings
	<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070	Group Total	87	56	64%	28	26	93%
	<b>CHESTERFIELD SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA080	Group Total	1	1	100%	No filings	No filings	No filings
	<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	No filings	No filings	No filings	1	0	0%
	<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	6	3	50%	1	1	100%
	TPA Total	6	3	50%	1	1	100%
	<b>CHUBB INSURANCE Group Total</b>	<b>6</b>	<b>3</b>	<b>50%</b>	<b>2</b>	<b>1</b>	<b>50%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Fourth Quarter  
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA084	<b>CHURCH MUTUAL INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
CA085	<b>CIANBRO CORPORATION</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
CA100	<b>CLAIMS MANAGEMENT (WALMART)</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	7	7	100%	5	4	80%
	<b>CNA INSURANCE</b>	MOPS Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA017	AMERICAN CASUALTY COMPANY	*	*	*	*	*	*
CA083	CNA CLAIMS PLUS	1	1	100%	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA087	CONTINENTAL INSURANCE	*	*	*	*	*	*
CA314	TRANSPORTATION INSURANCE	No filings	No filings	No filings	1	1	100%
	Total	2	2	100%	1	1	100%
	<b>CNA INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	2	2	100%	1	1	100%
CA110	<b>CONSTITUTION STATE SERVICES</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	2	100%	4	3	75%
CA115	<b>CONTINENTAL INDEMNITY</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
CA116	<b>CORVEL ENTERPRISE COMP.</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	1	100%	2	2	100%
CA117	<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	2	100%	2	2	100%
CA093	<b>CROSS INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	49	47	96%	81	80	99%
CA375	<b>CRUM &amp; FORSTER</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	4	3	75%
	TPA Total	3	2	67%	4	3	75%
	ELECTRIC INSURANCE Group Total	3	2	67%	4	3	75%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>ESIS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160	Group Total	7	5	71%	8	8	100%
	<b>F.A. RICHARD</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA165	Group Total	*	*	*	*	*	*
	<b>FEDERATED MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	No filing	No filings	No filings	1	0	0%
CA092	FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
	Group Total	No filings	No filings	No filings	1	0	0%
	<b>FIREMAN'S FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170	Group Total	*	*	*	*	*	*
	<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175	Group Total	20	16	80%	12	12	100%
	<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190	Group Total	30	20	67%	7	6	86%
	<b>GREAT AMERICAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193	Group Total	*	*	*	*	*	*
	<b>GREAT DIVIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA189	Group Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	51	27	53%	11	9	82%
	TPA Total	51	27	53%	11	9	82%
	<b>GREAT FALLS INSURANCE Group Total</b>	51	27	53%	11	9	82%
	<b>GREAT WEST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE Group Total</b>	1	1	100%	No filings	No filings	No filings



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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>GUARD INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	3	2	67%	2	0	0%
CA140	EASTGUARD INSURANCE	3	1	33%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
<b>Group Total</b>		<b>6</b>	<b>3</b>	<b>50%</b>	<b>2</b>	<b>0</b>	<b>0%</b>
<b>HANNAFORD BROTHERS</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201	<b>Group Total</b>	<b>12</b>	<b>8</b>	<b>67%</b>	<b>9</b>	<b>8</b>	<b>89%</b>
<b>HANNOVER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
<b>Total</b>		<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANNOVER INSURANCE TPA Administered Claims</b>							
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	1	100%
<b>TPA Total</b>		<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>HANNOVER INSURANCE Group Total</b>		<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>HANOVER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA202	HANOVER INSURANCE	3	3	100%	2	1	50%
CA228	MASSACHUSETTS BAY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
<b>Group Total</b>		<b>3</b>	<b>3</b>	<b>100%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
<b>HARTFORD INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	1	1	100%	2	2	100%
CA203	HARTFORD FIRE INSURANCE	2	2	100%	1	1	100%
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	2	2	100%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	4	3	75%	No filings	No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE	No filings	No filings	No filings	1	1	100%
CA296	SENTINEL INSURANCE	2	1	50%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	4	4	100%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE	1	1	100%	1	1	100%
<b>Total</b>		<b>16</b>	<b>14</b>	<b>88%</b>	<b>8</b>	<b>8</b>	<b>100%</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	3	3	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No filings	No filings	No filings
<b>TPA Total</b>		<b>3</b>	<b>2</b>	<b>67%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
<b>HARTFORD INSURANCE Group Total</b>		<b>19</b>	<b>16</b>	<b>84%</b>	<b>12</b>	<b>12</b>	<b>100%</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	<b>5</b>	<b>3</b>	<b>60%</b>	<b>3</b>	<b>3</b>	<b>100%</b>

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	<b>IMPERIUM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>IMPERIUM INSURANCE TPA Administered Claims</b>						
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>IMPERIUM INSURANCE Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	1	1	100%	1	1	100%
CA162	EXCELSIOR INSURANCE	No filings	No filings	No filings	1	1	100%
CA210	LIBERTY MUTUAL INSURANCE	16	10	63%	10	9	90%
CA406	OHIO CASUALTY INSURANCE	*	*	*	*	*	*
CA407	OHIO SECURITY INSURANCE	No filings	No filings	No filings	1	1	100%
CA275	PEERLESS INSURANCE	8	6	75%	3	3	100%
CA283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
CA309	THE NETHERLANDS INSURANCE	4	4	100%	1	1	100%
	<b>Total</b>	<b>29</b>	<b>21</b>	<b>72%</b>	<b>17</b>	<b>16</b>	<b>94%</b>
	<b>MACY'S CORPORATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA213	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	<b>14</b>	<b>14</b>	<b>100%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	<b>355</b>	<b>326</b>	<b>92%</b>	<b>217</b>	<b>205</b>	<b>94%</b>
	<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>10</b>	<b>10</b>	<b>100%</b>
	<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	<b>9</b>	<b>8</b>	<b>89%</b>	<b>18</b>	<b>17</b>	<b>94%</b>
	<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225	<b>Group Total</b>	<b>43</b>	<b>41</b>	<b>95%</b>	<b>50</b>	<b>49</b>	<b>98%</b>
	<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250	<b>Group Total</b>	<b>24</b>	<b>24</b>	<b>100%</b>	<b>15</b>	<b>15</b>	<b>100%</b>
	<b>MATRIX ABSENCE MANAGEMENT</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA252	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>MEADOWBROOK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA255	<b>Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>NATIONAL INTERSTATE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA267	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

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	<b>NATIONWIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289	NATIONAL CASUALTY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	<b>Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NGM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA265	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NKSJ HOLDINGS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NKSJ HOLDINGS TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NKSJ HOLDINGS Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NORTH RIVER INSURANCE Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA295	RYDER SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	2	2	100%
	<b>TPA Total</b>	<b>10</b>	<b>8</b>	<b>80%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>10</b>	<b>8</b>	<b>80%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>PATRIOT INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA274	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>PATRIOT RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA292	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

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Fourth Quarter  
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
	TPA Total	2	2	100%	No filings	No filings	No filings
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>PROTECTIVE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>PUBLIC SERVICE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA282	Group Total	*	*	*	*	*	*
	<b>QBE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>QBE INSURANCE GROUP TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	1	1	100%
	TPA Total	3	2	67%	1	1	100%
	<b>QBE INSURANCE Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>RYDER SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA295	Group Total	1	0	0%	No filings	No filings	No filings
	<b>SAFETY NATIONAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	5	4	80%	1	1	100%
	<b>SAFETY NATIONAL INSURANCE Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>SEABRIGHT INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA298	Group Total	*	*	*	*	*	*
	<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300	Group Total	36	30	83%	41	40	98%



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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>SENTRY INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA305	SENTRY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA402	SENTRY CASUALTY	2	2	100%	No filings	No filings	No filings
	<b>Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>STARR INDEMNITY &amp; LIABILITY</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STARR INDEMNITY &amp; LIABILITY TPA Administered Claims</b>							
CA160	ESIS	*	*	*	*	*	*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>STARR INDEMNITY &amp; LIABILITY Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307	<b>Group Total</b>	<b>33</b>	<b>33</b>	<b>100%</b>	<b>34</b>	<b>32</b>	<b>94%</b>
<b>SYNERNET</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320	<b>Group Total</b>	<b>32</b>	<b>30</b>	<b>94%</b>	<b>23</b>	<b>23</b>	<b>100%</b>
<b>T.H.E INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA317	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>TOWER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA356	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>TRAVELERS INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	10	7	70%	10	9	90%
CA164	FARMINGTON CASUALTY	1	1	100%	1	1	100%
CA284	PHOENIX INSURANCE	4	2	50%	4	4	100%
CA306	STANDARD FIRE INSURANCE	4	4	100%	2	2	100%
CA303	ST. PAUL FIRE & MARINE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY	1	1	100%	1	0	0%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	1	1	100%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	3	2	67%	2	2	100%
	<b>Total</b>	<b>25</b>	<b>19</b>	<b>76%</b>	<b>20</b>	<b>18</b>	<b>90%</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>5</b>	<b>4</b>	<b>80%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>30</b>	<b>23</b>	<b>77%</b>	<b>20</b>	<b>18</b>	<b>90%</b>

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA280	Group Total	1	0	0%	No filings	No filings	No filings
	<b>UNDERWRITERS SAFETY &amp; CLAIMS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA360	Group Total	*	*	*	*	*	*
	<b>UTICA NATIONAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA324	Group Total	*	*	*	*	*	*
	<b>VANLINER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Group Total	*	*	*	*	*	*
	<b>XL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>XL INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA160	ESIS	1	0	0%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	7	4	57%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	9	5	56%	5	5	100%
	<b>XL INSURANCE Group Total</b>	<b>9</b>	<b>5</b>	<b>56%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>YORK RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340	Group Total	1	0	0%	1	1	100%
	<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	4	3	75%	1	1	100%
CA400	ZURICH AMERICAN INSURANCE	1	1	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	*	*	*	*	*	*
	Total	5	4	80%	2	2	100%
	<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	3	3	100%	No filings	No filings	No filings
	<b>ZURICH INSURANCE Group Total</b>	<b>8</b>	<b>7</b>	<b>88%</b>	<b>2</b>	<b>2</b>	<b>100%</b>