

# MAINE STATE LEGISLATURE

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# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD



## Third Quarter 2015 Report

July 1, 2015 – September 30, 2015

Office of Monitoring, Audit & Enforcement

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**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Third Quarter 2015**

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## Executive Summary

On April 12, 2016 the Maine Workers' Compensation Board of Director approved the Third Quarter 2016 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

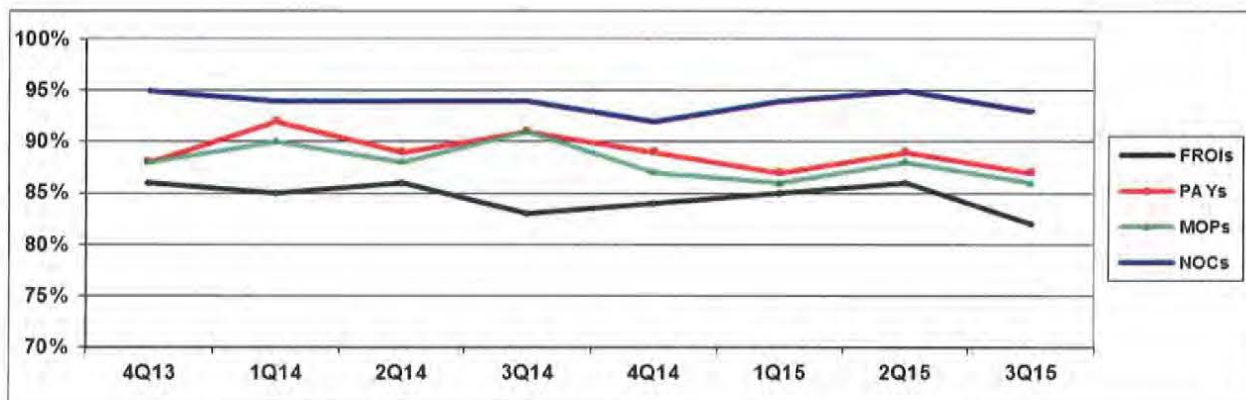
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 98 insurers on October 28, 2015; 71 responded, 21 were not required to respond and 6 did not respond.

*The 3Q15 report represents results based upon data received by December 11, 2015. The results are:*

	Number of Days	Benchmark	4Q13	1Q14	2Q14	3Q14	4Q14	1Q15	2Q15	3Q15
FROIs	7	85%	86%	85%	86%	83%	84%	85%	86%	82%
PAYs	14	87%	88%	92%	89%	91%	89%	87%	89%	87%
MOPs	17	85%	88%	90%	88%	91%	87%	86%	88%	86%
NOCs	14	90%	95%	94%	94%	94%	92%	94%	95%	93%

### Compliance Benchmark Tracking



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

**C. Initial Indemnity Payments (PAYs)**

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

**D. Initial Memorandum of Payment (MOP) Filings**

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

**E. Initial Indemnity Notice of Controversy (NOC) Filings**

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

**III. COMPLETED AUDITS**

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 3Q15:

<b>Auditee</b>	<b>Total Penalties</b>
<b>Maine Municipal Association</b>	<b>\$1,500.00</b>
<b>Maine School Management Association</b>	<b>\$4,500.00</b>

**LOST TIME FIRST REPORT OF INJURY FILINGS**

Chart 1 Timeliness Distribution

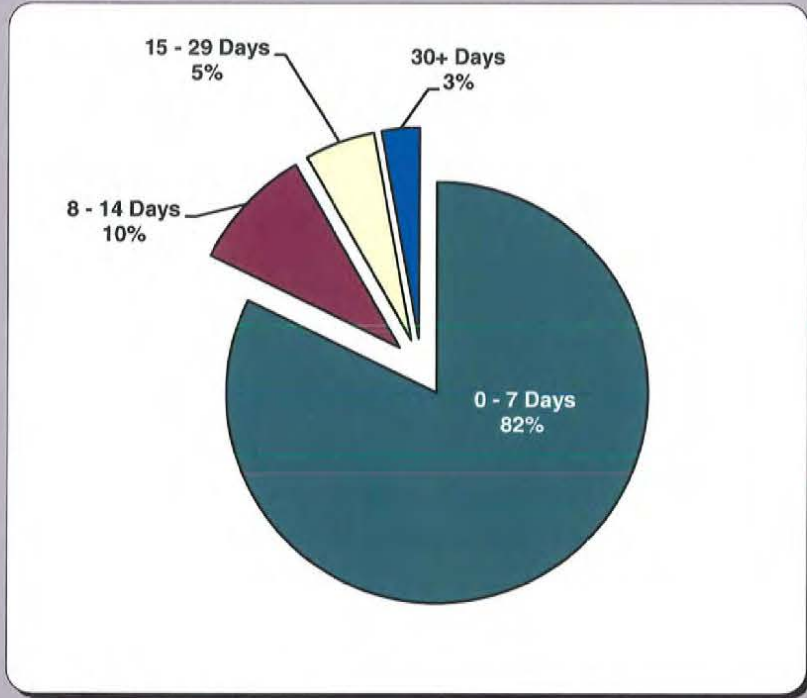


Table 1

Received Within:			
0 - 7	Days	3,012	82%
8 - 14	Days	347	10%
15 - 29	Days	199	5%
30+	Days	106	3%
<b>Total</b>		<b>3,664</b>	<b>100%</b>

Chart 2 Quarterly Compliance

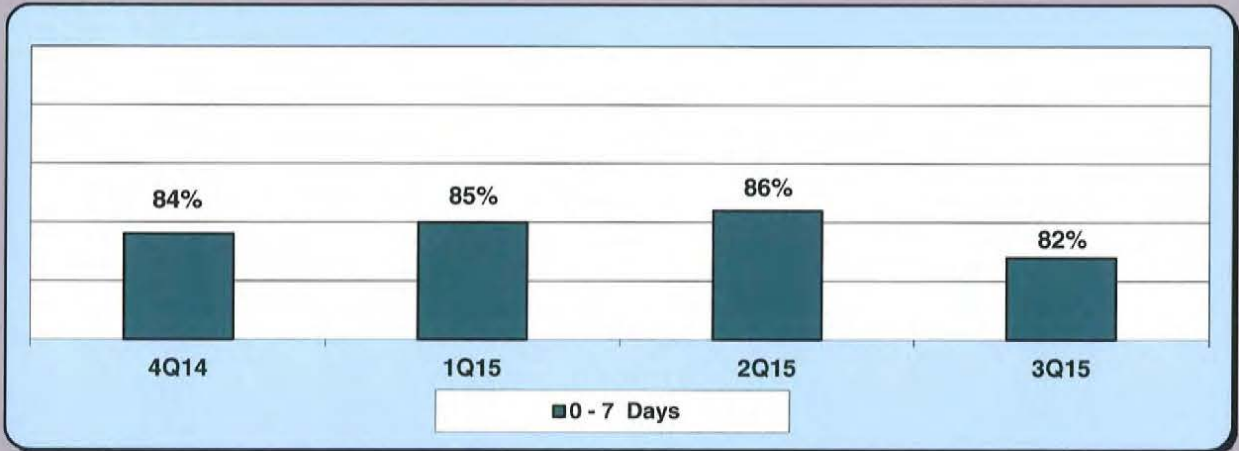
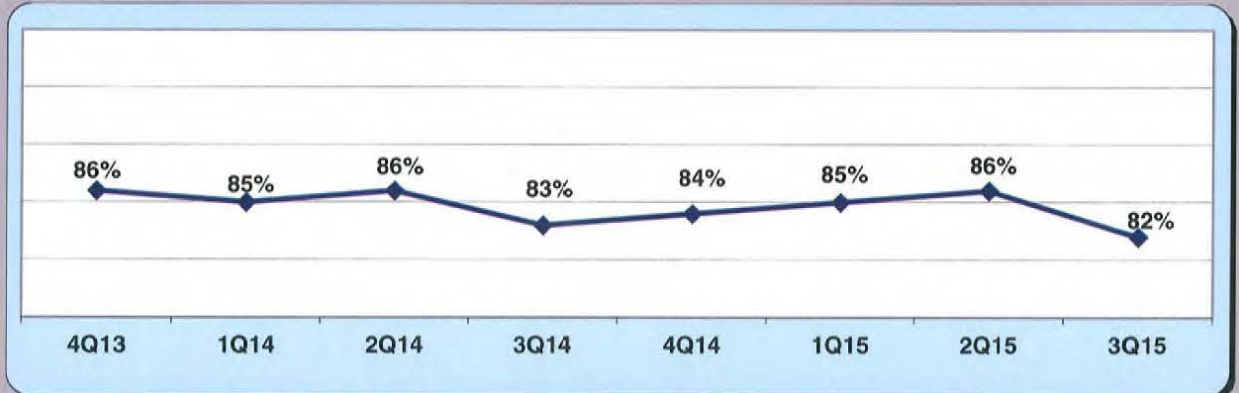


Chart 3 Compliance Trend



**INITIAL INDEMNITY PAYMENTS**

Chart 4 Timeliness Distribution

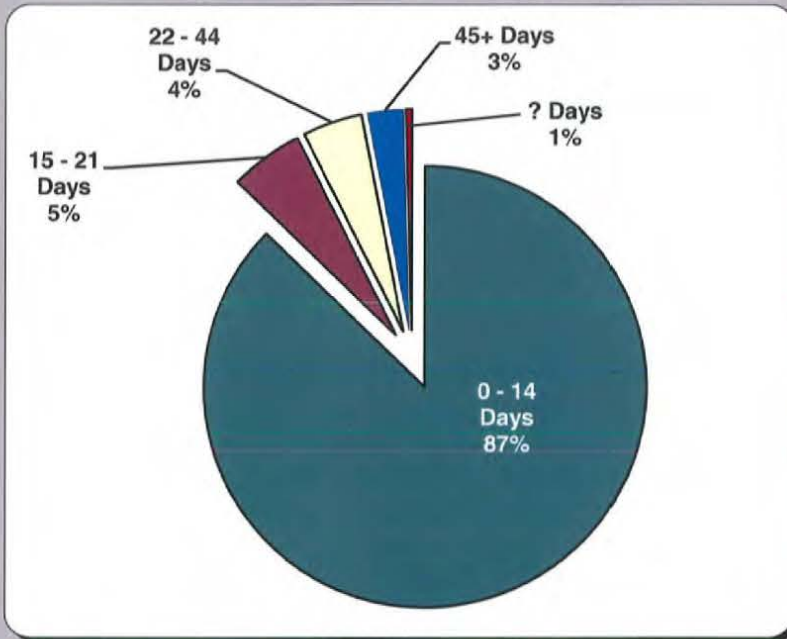


Table 2

Made Within:			
0 - 14	Days	797	87%
15 - 21	Days	49	5%
22 - 44	Days	40	4%
45+	Days	24	3%
?	Days	4	1%
<b>Total</b>		<b>914</b>	<b>100%</b>

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$10,600 was issued to claimants in penalties and there is another \$8,000 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

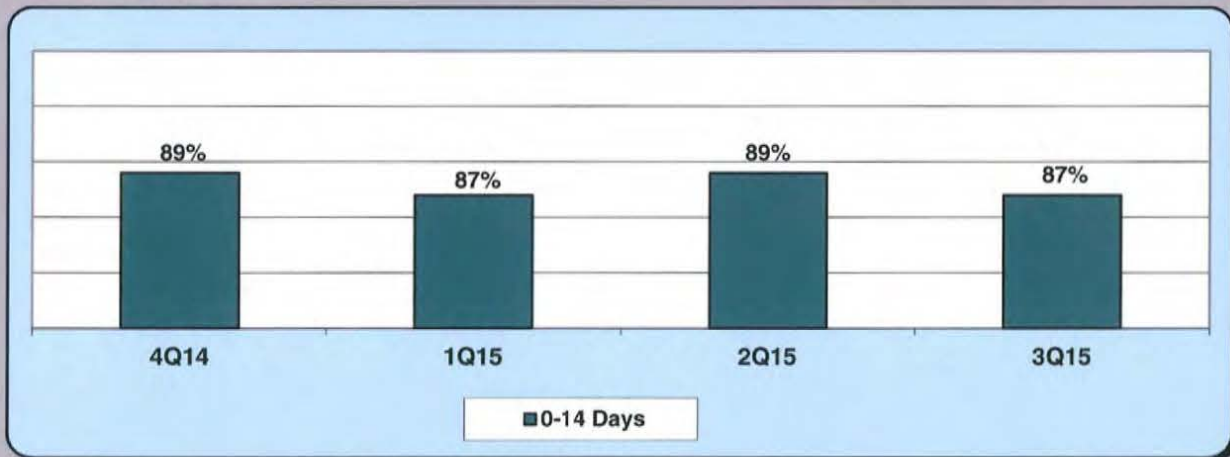
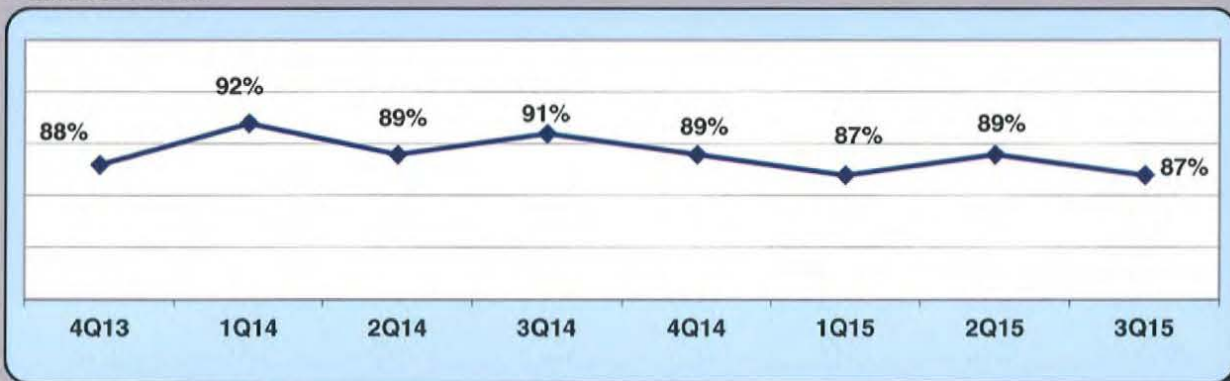


Chart 6 Compliance Trend



**INITIAL MEMORANDUM OF PAYMENT FILINGS**

Chart 7 Timeliness Distribution

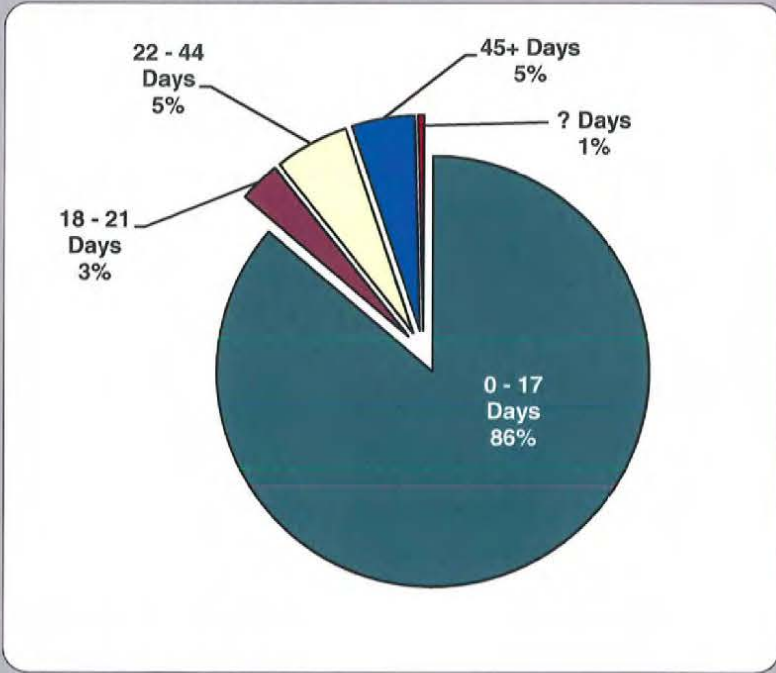


Table 3

Received Within:			
0 - 17	Days	788	86%
18 - 21	Days	28	3%
22 - 44	Days	51	5%
45+	Days	43	5%
?	Days	4	1%
<b>Total</b>		<b>914</b>	<b>100%</b>

Chart 8 Quarterly Compliance

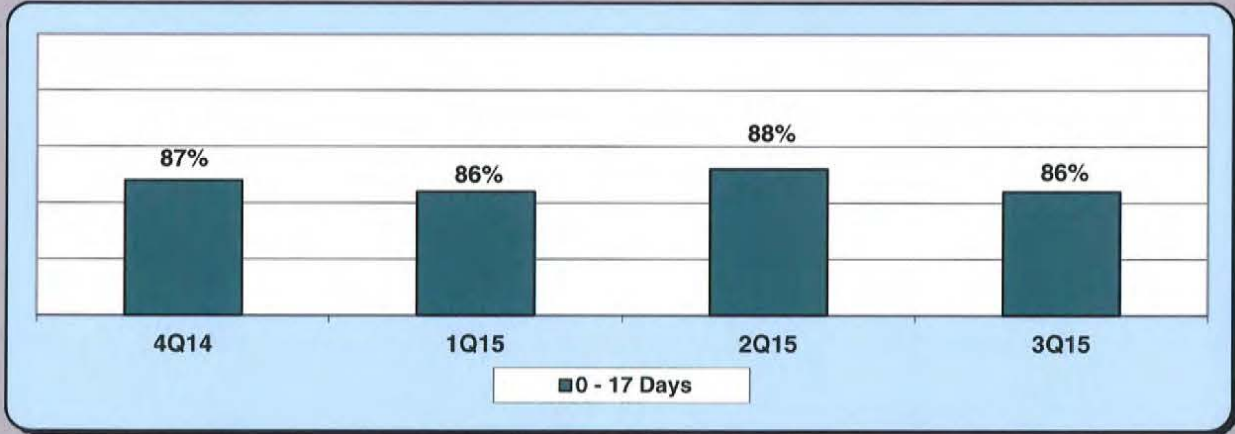
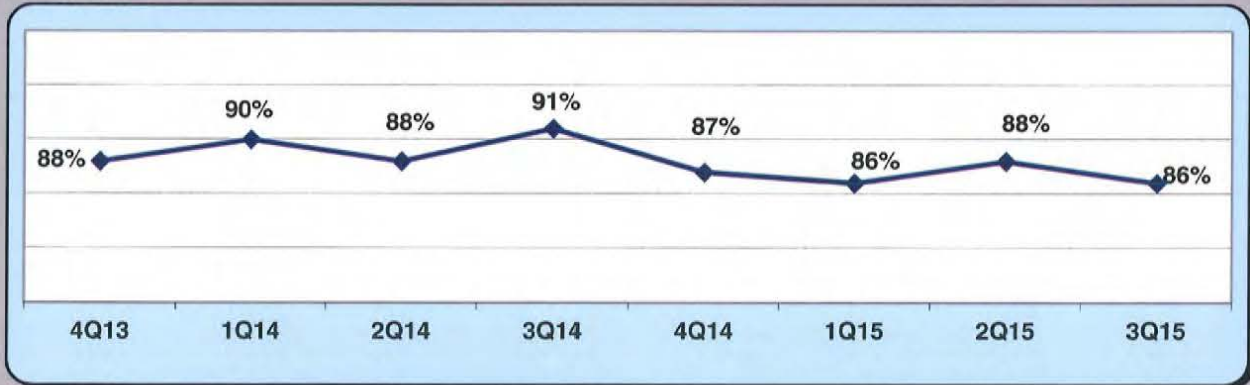


Chart 9 Compliance Trend





**INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS**

Chart 10 Timeliness Distribution

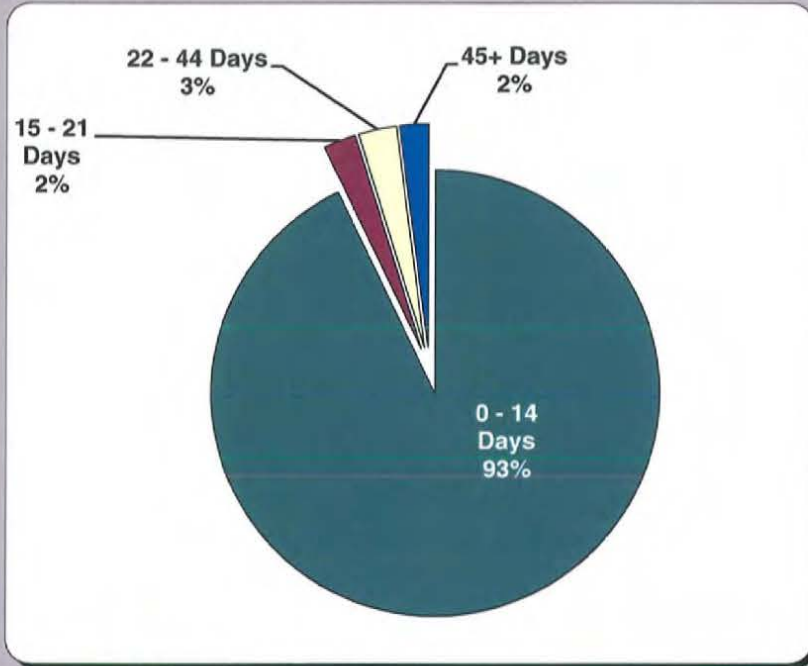


Table 4

Received Within:			
0 - 14	Days	673	93%
15 - 21	Days	17	2%
22 - 44	Days	20	3%
45+	Days	15	2%
<b>Total</b>		<b>725</b>	<b>100%</b>

Chart 11 Quarterly Compliance

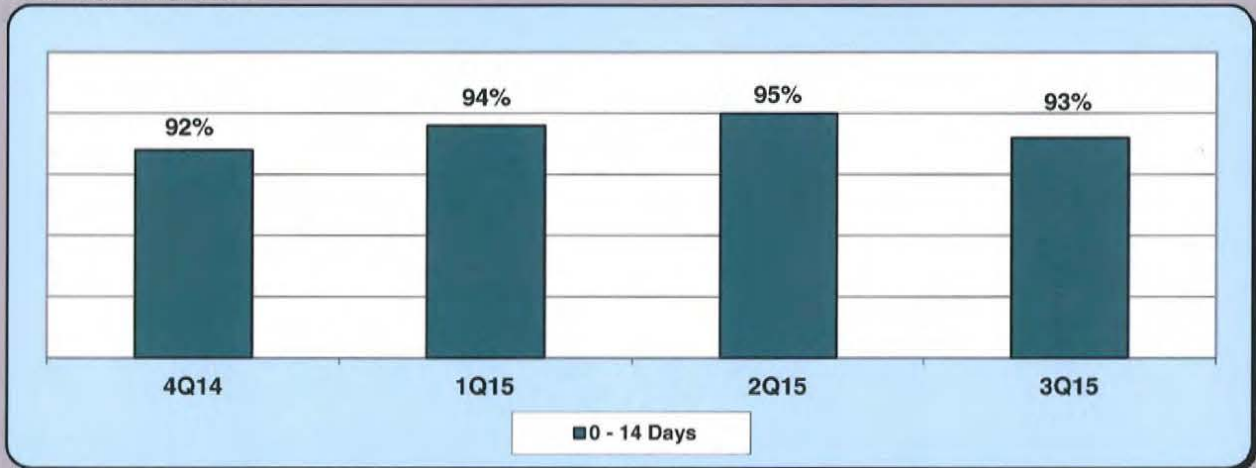
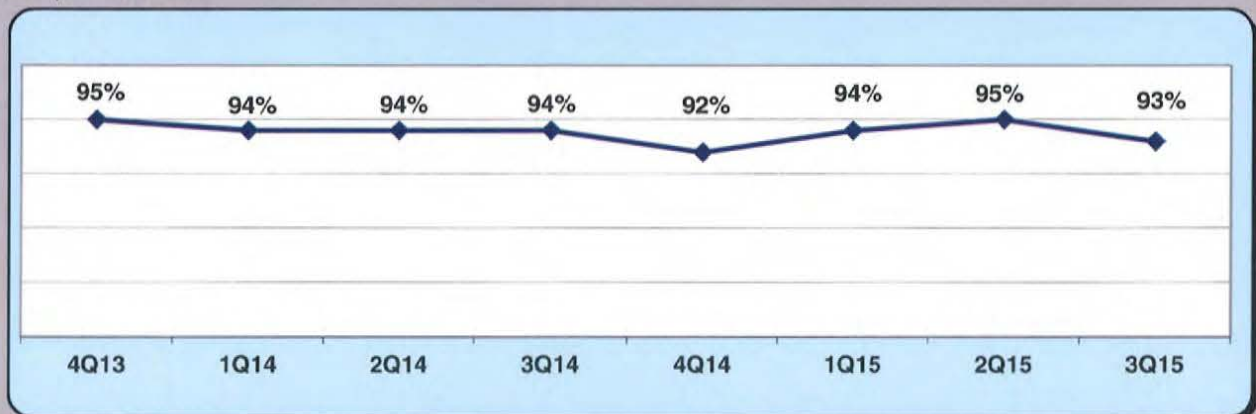


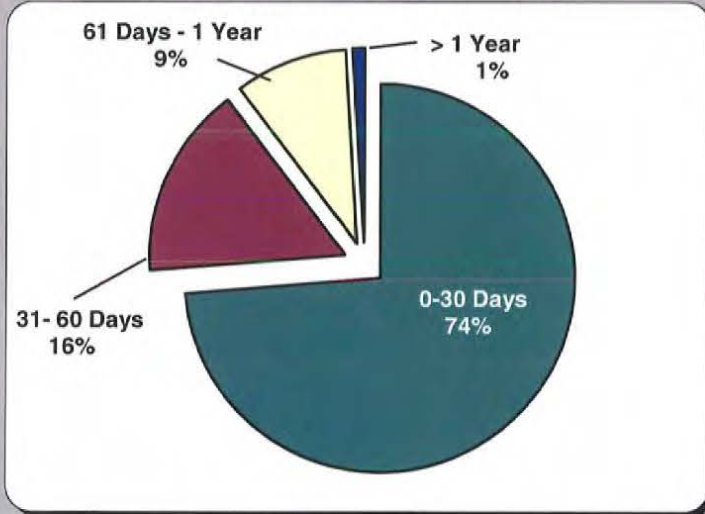
Chart 12 Compliance Trend



## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

**Chart 13 Wage Statement Timeliness Distribution**

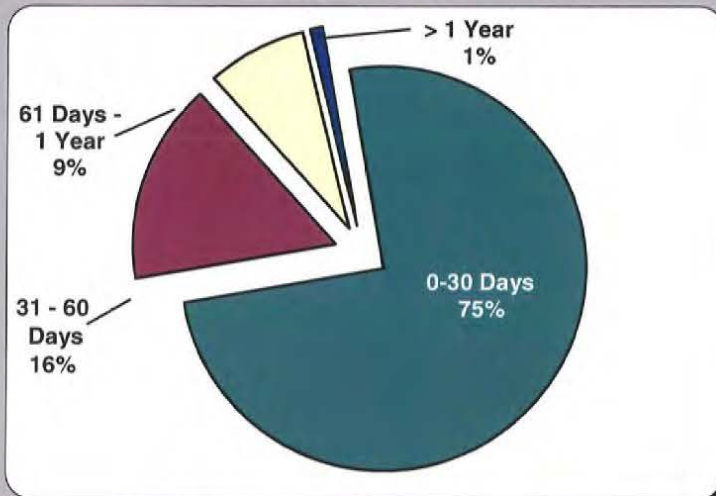


**Table 5**

Received Within:		
0-30 Days	1,437	74%
31-60 Days	305	16%
61 Days-1 Year	181	9%
> 1 Year	9	1%
<b>Total</b>	<b>1,932</b>	<b>100%</b>

**Wage Statement(s):** 1,787 (84%) of the 2,128 Wage Statement(s) that were due this quarter were filed timely, 118 (6%) were filed late, and 223 (10%) remain outstanding.

**Chart 14 Fringe Benefit Worksheet Timeliness Distribution**



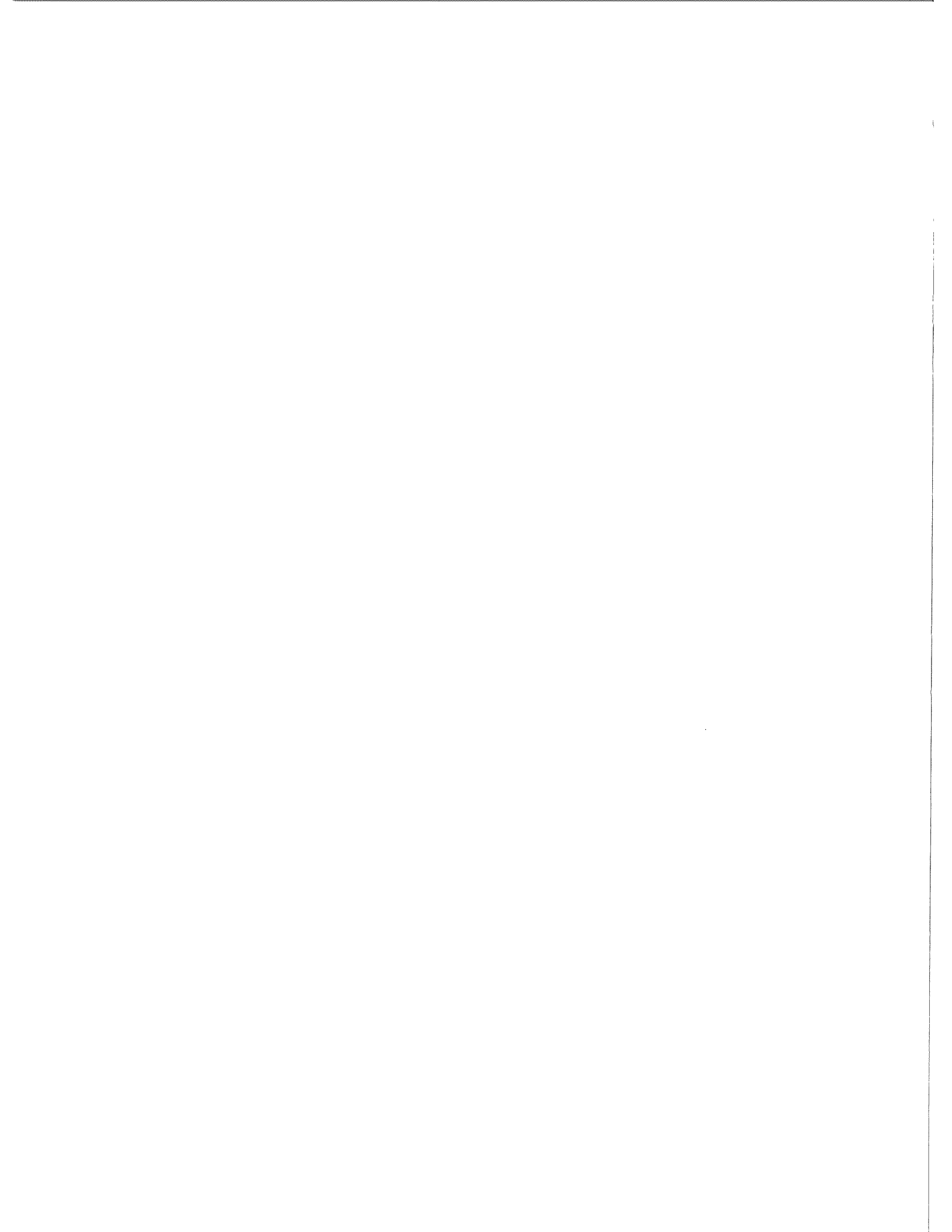
**Table 6**

Received Within:		
0-30 Days	1,419	75%
31-60 Days	303	16%
61 Days-1 Year	150	8%
> 1 Year	9	1%
<b>Total</b>	<b>1,881</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,752 (82%) of the 2,128 Fringe Benefit Worksheet(s) due this quarter were filed timely, 264 (13%) were filed late, and 112 (5%) remain outstanding.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
Third Quarter 2015  
7/1/2015-9/30/2015**



**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2015 - 9/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010	Group Total	52	44	85%	13	12	92%
<b>ACCIDENT FUND INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
TPA Total		1	1	100%	No filings	No filings	No filings
ACCIDENT FUND INSURANCE Group Total		1	1	100%	No filings	No filings	No filings
<b>ACE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>ACE INSURANCE TPA Administered Claims</b>							
CA012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	9	6	67%	4	4	100%
CA110	CONSTITUTION STATE SERVICES	7	4	57%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	33	24	73%	6	5	83%
CA190	GALLAGHER BASSETT SERVICES	16	12	75%	6	3	50%
CA204	HELMSMAN MANAGEMENT SERVICES	10	7	70%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	75	72	96%	22	20	91%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
TPA Total		150	125	83%	42	36	86%
ACE INSURANCE Group Total		150	125	83%	42	36	86%
<b>AIG INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015	AIG DOMESTIC CLAIMS	90	78	87%	28	26	93%
Total		90	78	87%	28	26	93%
<b>AIG INSURANCE TPA Administered Claims</b>							
CA070	CANNON COCHRAN MANGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WAL-MART)	42	40	95%	7	7	100%
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
TPA Total		45	42	93%	9	9	100%
AIG INSURANCE Group Total		135	120	89%	37	35	95%
<b>ALTERNATIVE SERVICE CONCEPTS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA012	Group Total	*	*	*	*	*	*
<b>AMTRUST INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA342	TECHNOLOGY INSURANCE	8	2	25%	4	3	75%
CA381	WESCO INSURANCE	9	1	11%	1	0	0%
Group Total		17	3	18%	5	3	60%

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2015 - 9/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ARCH INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>ARCH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	6	4	67%	1	1	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	11	9	82%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	1	0	0%
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
TPA Total		25	20	80%	2	1	50%
<b>ARCH INSURANCE Group Total</b>		<b>25</b>	<b>20</b>	<b>80%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
<b>ARROW MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA024	Group Total	1	1	100%	No filings	No filings	No filings
<b>BATH IRON WORKS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036	Group Total	122	120	98%	15	15	100%
<b>BERKSHIRE HATHAWAY INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA114	Group Total	No filings	No filings	No filings	1	0	0%
<b>BROADSPIRE SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040	Group Total	13	12	92%	3	3	100%
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070	Group Total	208	167	80%	65	49	75%
<b>CHESTERFIELD SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA080	Group Total	1	1	100%	1	1	100%
<b>CHUBB INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		1	1	100%	1	1	100%
<b>CHUBB INSURANCE TPA Administered Claims</b>							
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	7	5	71%	5	3	60%
TPA Total		7	5	71%	5	3	60%
<b>CHUBB INSURANCE Group Total</b>		<b>8</b>	<b>6</b>	<b>75%</b>	<b>6</b>	<b>4</b>	<b>67%</b>
<b>CHURCH MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084	Group Total	1	1	100%	No filings	No filings	No filings
<b>CIANBRO CORPORATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA085	Group Total	1	1	100%	No filings	No filings	No filings

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2015 - 9/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>CLAIMS MANAGEMENT (WALMART)</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100	Group Total	42	40	95%	7	7	100%
<b>CNA INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA017	AMERICAN CASUALTY COMPANY	*	*	*	*	*	*
CA083	CNA CLAIMS PLUS	1	1	100%	1	1	100%
CA050	CONTINENTAL CASUALTY	2	2	100%	1	1	100%
CA087	CONTINENTAL INSURANCE	1	1	100%	No filings	No filings	No filings
CA314	TRANSPORTATION INSURANCE	1	1	100%	No filings	No filings	No filings
	Total	5	5	100%	2	2	
<b>CNA INSURANCE TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	Group Total	5	5	100%	2	2	100%
<b>CONSTITUTION STATE SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA110	Group Total	7	4	57%	1	1	100%
<b>CONTINENTAL INDEMNITY</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA115	Group Total	1	1	100%	1	1	100%
<b>CORVEL ENTERPRISE COMP.</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA116	Group Total	5	5	100%	2	2	100%
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA117	Group Total	7	5	71%	2	2	100%
<b>CROSS INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA093	Group Total	317	302	95%	31	31	100%
<b>CRUM &amp; FORSTER</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
<b>ELECTRIC INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b>							
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	4	4	100%
	TPA Total	4	4	100%	4	4	100%
	ELECTRIC INSURANCE Group Total	4	4	100%	4	4	100%
<b>ESIS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA160	Group Total	43	31	72%	9	6	67%
<b>F.A. RICHARD</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA165	Group Total	1	0	0%	No filings	No filings	No filings

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>FEDERATED MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	5	2	40%	3	1	33%
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	1	0	0%
<b>Group Total</b>		<b>6</b>	<b>2</b>	<b>33%</b>	<b>4</b>	<b>1</b>	<b>25%</b>
<b>FIREMAN'S FUND INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA170	<b>Group Total</b>	No filings	No filings	No filings	1	0	0%
<b>FUTURECOMP</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA175	<b>Group Total</b>	56	49	88%	14	11	79%
<b>GALLAGHER BASSETT SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA190	<b>Group Total</b>	84	68	81%	27	19	70%
<b>GREAT AMERICAN INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA193	<b>Group Total</b>	*	*	*	*	*	*
<b>GREAT DIVIDE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA189	<b>Group Total</b>	*	*	*	*	*	*
<b>GREAT FALLS INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
<b>Total</b>		*	*	*	*	*	*
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	102	75	74%	30	19	63%
<b>TPA Total</b>		<b>102</b>	<b>75</b>	<b>74%</b>	<b>30</b>	<b>19</b>	<b>63%</b>
<b>GREAT FALLS INSURANCE Group Total</b>		<b>102</b>	<b>75</b>	<b>74%</b>	<b>30</b>	<b>19</b>	<b>63%</b>
<b>GREAT WEST INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196	<b>Group Total</b>	2	1	50%	1	1	100%
<b>GUARANTEE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
<b>Total</b>		*	*	*	*	*	*
<b>GUARANTEE INSURANCE TPA Administered Claims</b>							
CA292	PATRIOT RISK SERVICES	8	2	25%	1	0	0%
<b>TPA Total</b>		<b>8</b>	<b>2</b>	<b>25%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
CA195	<b>GUARANTEE INSURANCE Group Total</b>	<b>8</b>	<b>2</b>	<b>25%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>GUARD INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	23	14	61%	4	4	100%
CA140	EASTGUARD INSURANCE	6	6	100%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	1	1	100%	1	1	100%
<b>Group Total</b>		<b>30</b>	<b>21</b>	<b>70%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
<b>HANNAFORD BROTHERS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201	<b>Group Total</b>	104	75	72%	25	18	72%



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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>HANNOVER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>HANNOVER INSURANCE TPA Administered Claims</b>							
CA340	YORK RISK SERVICES	*	*	*	*	*	*
TPA Total		*	*	*	*	*	*
<b>HANNOVER INSURANCE Group Total</b>		<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>HANOVER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	5	4	80%	1	1	100%
CA202	HANOVER INSURANCE	12	6	50%	4	4	100%
CA228	MASSACHUSETTS BAY INSURANCE	3	3	100%	No filings	No filings	No filings
Group Total		<b>20</b>	<b>13</b>	<b>65%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
<b>HARTFORD INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	1	0	0%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	3	2	67%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE	*	*	*	*	*	*
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	*	*	*	*	*	*
CA187	HARTFORD UNDERWRITERS INSURANCE	9	4	44%	No filings	No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	3	2	67%	1	1	100%
CA296	SENTINEL INSURANCE	2	2	100%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	15	11	73%	6	5	83%
CA321	TWIN CITY FIRE INSURANCE	5	2	40%	2	2	100%
Total		<b>38</b>	<b>23</b>	<b>61%</b>	<b>9</b>	<b>8</b>	<b>89%</b>
<b>HARTFORD INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	2	2	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	1	0	0%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	2	1	50%	No filings	No filings	No filings
TPA Total		<b>9</b>	<b>7</b>	<b>78%</b>	<b>3</b>	<b>2</b>	<b>67%</b>
<b>HARTFORD INSURANCE Group Total</b>		<b>47</b>	<b>30</b>	<b>64%</b>	<b>12</b>	<b>10</b>	<b>83%</b>
<b>HELMSMAN MANAGEMENT SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA204	Group Total	<b>14</b>	<b>10</b>	<b>71%</b>	<b>5</b>	<b>4</b>	<b>80%</b>
<b>IMPERIUM INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>IMPERIUM INSURANCE TPA Administered Claims</b>							
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	1	1	100%
TPA Total		<b>1</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>IMPERIUM INSURANCE Group Total</b>		<b>1</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>1</b>	<b>100%</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	5	4	80%	2	1	50%
CA162	EXCELSIOR INSURANCE	1	1	100%	1	1	100%
CA210	LIBERTY MUTUAL INSURANCE	47	35	74%	18	11	61%
CA408	OHIO CASUALTY INSURANCE	1	1	100%	1	1	100%
CA275	PEERLESS INSURANCE	14	7	50%	6	4	67%
CA283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
CA309	THE NETHERLANDS INSURANCE	3	0	0%	1	0	0%
<b>Total</b>		<b>71</b>	<b>48</b>	<b>68%</b>	<b>29</b>	<b>18</b>	<b>62%</b>
<b>MACY'S CORPORATE SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA213	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	24	21	88%	7	6	86%
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	1339	1038	78%	380	355	93%
<b>MAINE HEALTHCARE ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	42	34	81%	5	4	80%
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	68	60	88%	6	6	100%
<b>MAINE MUNICIPAL ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA225	<b>Group Total</b>	213	206	97%	45	44	98%
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA250	<b>Group Total</b>	66	62	94%	12	12	100%
<b>MATRIX ABSENCE MANAGEMENT</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA252	<b>Group Total</b>	1	1	100%	No filings	No filings	No filings
<b>MEADOWBROOK INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA255	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
<b>NATIONAL INTERSTATE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA267	<b>Group Total</b>	2	2	100%	2	2	100%
<b>NATIONWIDE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	*	*	*
CA289	NATIONAL CASUALTY	2	1	50%	No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%	No filings	No filings	No filings
<b>Total</b>		<b>3</b>	<b>1</b>	<b>50%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>NGM INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA265	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
<b>NKSJ HOLDINGS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
<b>Total</b>		*	*	*	*	*	*
<b>NKSJ HOLDINGS TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
<b>TPA Total</b>		1	0	0%	No filings	No filings	No filings
<b>NKSJ HOLDINGS Group Total</b>		1	0	0%	No filings	No filings	No filings
<b>NORTH RIVER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
<b>Total</b>		*	*	*	*	*	*
<b>NORTH RIVER INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
<b>TPA Total</b>		*	*	*	*	*	*
<b>NORTH RIVER INSURANCE Group Total</b>		*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
<b>Total</b>		*	*	*	*	*	*
<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	4	4	100%	2	2	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	13	9	69%	4	2	50%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
<b>TPA Total</b>		19	15	79%	6	4	67%
<b>OLD REPUBLIC INSURANCE Group Total</b>		19	15	79%	6	4	67%
<b>PATRIOT INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA274	<b>Group Total</b>	2	1	50%	No filings	No filings	No filings
<b>PATRIOT RISK SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA292	<b>Group Total</b>	8	2	25%	1	0	0%
<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
<b>Group Total</b>		*	*	*	*	*	*
<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	1	1	100%
<b>TPA Total</b>		4	4	100%	1	1	100%
<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>		4	4	100%	1	1	100%

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<b>PROTECTIVE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA277	Group Total	No filings	No filings	No filings	1	0	0%
<b>PUBLIC SERVICE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA282	Group Total	*	*	*	*	*	*
<b>QBE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>QBE INSURANCE TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
TPA Total		2	2	100%	2	2	100%
<b>QBE INSURANCE Group Total</b>		<b>2</b>	<b>2</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
<b>RYDER SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA295	Group Total	1	0	0%	No filings	No filings	No filings
<b>SAFETY NATIONAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	4	3	75%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	8	7	88%	1	0	0%
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
TPA Total		15	12	80%	1	0	0%
<b>SAFETY NATIONAL INSURANCE Group Total</b>		<b>15</b>	<b>12</b>	<b>80%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>SEABRIGHT INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA298	Group Total	*	*	*	*	*	*
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300	Group Total	141	131	93%	45	39	87%
<b>SENTRY INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA305	SENTRY INSURANCE	1	0	0%	1	1	100%
CA402	SENTRY CASUALTY	2	1	50%	1	1	100%
Group Total		3	1	33%	2	2	100%

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2015 - 9/30/2015

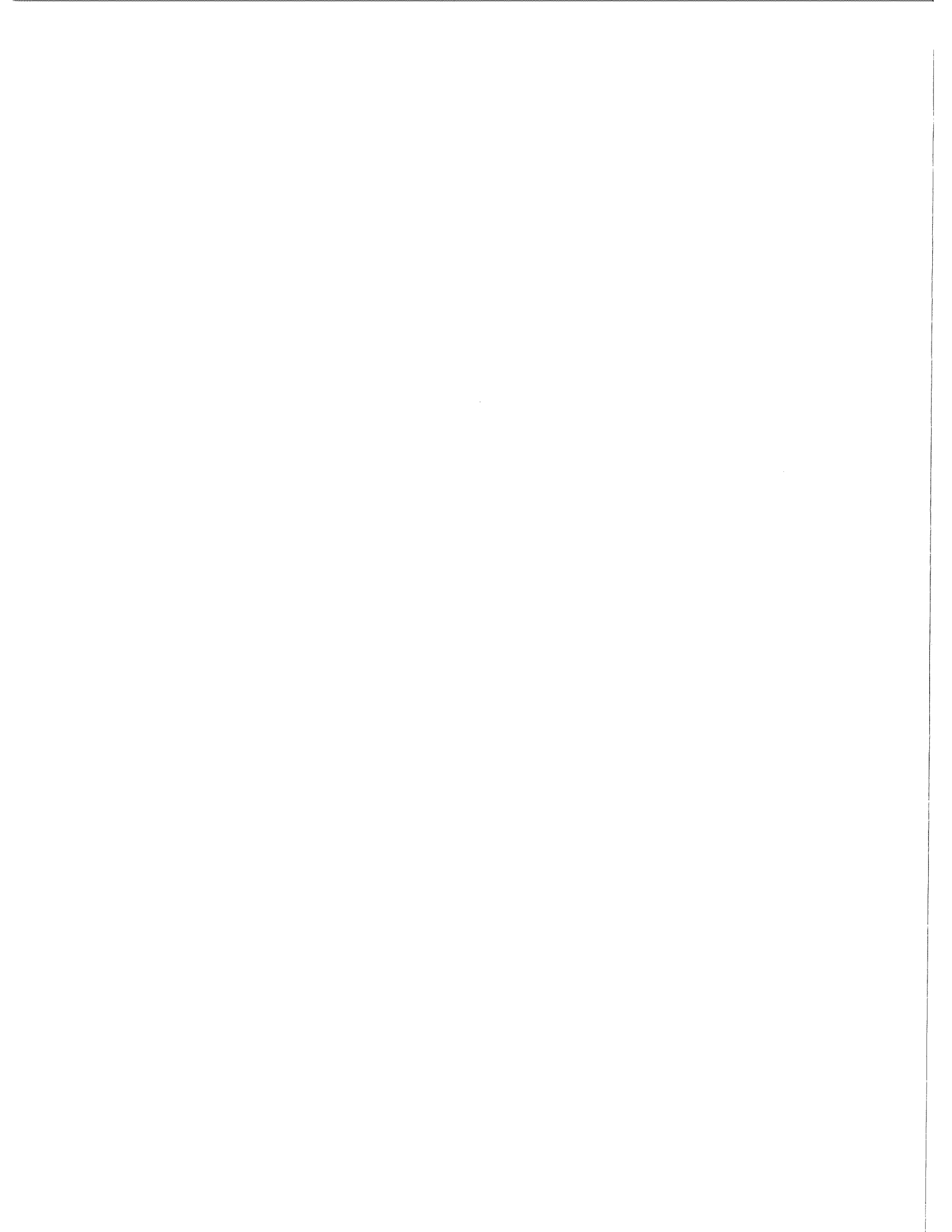
		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>STARR INDEMNITY &amp; LIABILITY</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>STARR INDEMNITY &amp; LIABILITY TPA Administered Claims</b>							
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	1	0	0%
TPA Total		2	0	0%	No filings	No filings	No filings
<b>STARR INDEMNITY &amp; LIABILITY Group Total</b>		<b>2</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307	Group Total	133	115	86%	35	34	97%
<b>SYNERNET</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320	Group Total	144	127	88%	29	21	72%
<b>T.H.E INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA317	Group Total	1	1	100%	No filings	No filings	No filings
<b>TOKIO MARINE &amp; FIRE INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA414	TOKIO MARINE AMERICA INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
Group Total		No filings	No filings	No filings	No filings	No filings	No filings
<b>TOWER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA356	Group Total	1	0	0%	No filings	No filings	No filings
<b>TRAVELERS INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	40	32	80%	8	7	88%
CA164	FARMINGTON CASUALTY	4	3	75%	1	1	100%
CA284	PHOENIX INSURANCE	5	4	80%	1	1	100%
CA306	STANDARD FIRE INSURANCE	5	3	60%	1	1	100%
CA303	ST. PAUL FIRE & MARINE INSURANCE	1	0	0%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY	3	2	67%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	9	5	56%	3	1	33%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	3	2	67%
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	2	1	50%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	5	3	60%	1	1	100%
Total		77	55	71%	18	14	78%
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	4	4	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	2	1	50%
TPA Total		10	9	90%	2	1	50%
<b>TRAVELERS INSURANCE Group Total</b>		<b>87</b>	<b>64</b>	<b>74%</b>	<b>20</b>	<b>15</b>	<b>75%</b>
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA280	Group Total	3	1	33%	2	2	100%

**INSURANCE GROUP COMPLIANCE**  
 Lost Time FROI and Initial Indemnity Payments  
 Third Quarter  
 7/1/2015 - 9/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>UNDERWRITERS SAFETY &amp; CLAIMS</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA360	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>UTICA NATIONAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA324	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>VANLINER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA379	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>XL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
<b>Total</b>		<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	5	5	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
<b>TPA Total</b>		<b>10</b>	<b>8</b>	<b>80%</b>	<b>6</b>	<b>6</b>	<b>100%</b>
<b>XL INSURANCE Group Total</b>		<b>10</b>	<b>8</b>	<b>80%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>YORK RISK SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340	<b>Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b>	<b>4</b>	<b>1</b>	<b>25%</b>
<b>ZURICH INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	13	9	69%	4	1	25%
CA400	ZURICH AMERICAN INSURANCE	12	10	83%	3	2	67%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No filings	No filings	No filings	No filings	No filings	No filings
<b>Total</b>		<b>25</b>	<b>19</b>	<b>76%</b>	<b>7</b>	<b>3</b>	<b>43%</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA160	ESIS	1	0	0%	2	1	50%
CA190	GALLAGHER BASSETT SERVICES	11	11	100%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	2	2	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	1	1	100%
<b>TPA Total</b>		<b>16</b>	<b>14</b>	<b>88%</b>	<b>9</b>	<b>8</b>	<b>89%</b>
<b>ZURICH INSURANCE Group Total</b>		<b>41</b>	<b>33</b>	<b>80%</b>	<b>16</b>	<b>11</b>	<b>69%</b>

## **Appendix B**

**Insurance Group Compliance  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter 2015  
7/1/2015-9/30/2015**





**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA010	Group Total	13	13	100%	9	8	89%
<b>ACCIDENT FUND INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>ACCIDENT FUND INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
TPA Total		No filings	No filings	No filings	No filings	No filings	No filings
<b>ACCIDENT FUND INSURANCE Group Total</b>		<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>ACE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>ACE INSURANCE TPA Administered Claims</b>							
CA012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	3	75%	2	2	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	3	2	67%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	6	5	83%	7	7	100%
CA190	GALLAGHER BASSETT SERVICES	6	2	33%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	22	20	91%	13	13	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
TPA Total		42	34	81%	27	26	96%
<b>ACE INSURANCE Group Total</b>		<b>42</b>	<b>34</b>	<b>81%</b>	<b>27</b>	<b>26</b>	<b>96%</b>
<b>AIG INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA015	AIG DOMESTIC CLAIMS	28	24	86%	18	16	89%
Total		28	24	86%	18	16	89%
<b>AIG INSURANCE TPA Administered Claims</b>							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WALMART)	7	7	100%	8	8	100%
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
TPA Total		9	9	100%	8	8	100%
<b>AIG INSURANCE Group Total</b>		<b>37</b>	<b>33</b>	<b>89%</b>	<b>26</b>	<b>24</b>	<b>92%</b>
<b>ALTERNATIVE SERVICE CONCEPTS</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA012	Group Total	*	*	*	*	*	*
<b>AMTRUST INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA342	TECHNOLOGY INSURANCE	4	2	50%	No filings	No filings	No filings
CA381	WESCO INSURANCE	1	0	0%	No filings	No filings	No filings
Group Total		5	2	40%	No filings	No filings	No filings

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>ARCH INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>ARCH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	4	4	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	1	*
TPA Total		2	1	50%	6	5	83%
<b>ARCH INSURANCE Group Total</b>		<b>2</b>	<b>1</b>	<b>50%</b>	<b>6</b>	<b>5</b>	<b>83%</b>
<b>ARROW MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA032	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
<b>BATH IRON WORKS</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036	Group Total	15	15	100%	15	14	93%
<b>BERKSHIRE HATHAWAY INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114	Group Total	1	0	0%	No filings	No filings	No filings
<b>BROADSPIRE SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040	Group Total	3	3	100%	2	2	100%
<b>CANNON COCHRAN MANAGEMENT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070	Group Total	65	50	77%	43	36	84%
<b>CHESTERFIELD SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA080	Group Total	1	1	100%	No filings	No filings	No filings
<b>CHUBB INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA090	Total	1	1	100%	No filings	No filings	No filings
<b>CHUBB INSURANCE TPA Administered Claims</b>							
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	1	1	100%
TPA Total		5	3	60%	1	1	100%
<b>CHUBB INSURANCE Group Total</b>		<b>6</b>	<b>4</b>	<b>67%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>CHURCH MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA084	Group Total	No filings	No filings	No filings	1	0	0%
<b>CIANBRO CORPORATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA085	Group Total	No filings	No filings	No filings	1	1	100%

**INSURANCE GROUP COMPLIANCE**  
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Third Quarter  
7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>CLAIMS MANAGEMENT (WALMART)</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100	<b>Group Total</b>	7	7	100%	8	8	100%
<b>CNA INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA017	AMERICAN CASUALTY COMPANY	*	*	*	*	*	*
CA083	CNA CLAIMS PLUS	1	1	100%	No filings	No filings	No filings
CA050	CONTINENTAL CASUALTY	1	1	100%	1	1	100%
CA087	CONTINENTAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA314	TRANSPORTATION INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	<b>Total</b>	2	2	100%	1	1	100%
<b>CNA INSURANCE TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	*	*	*	*	*	*
	<b>Group Total</b>	2	2	100%	2	2	100%
<b>CONSTITUTION STATE SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110	<b>Group Total</b>	1	1	100%	3	2	67%
<b>CONTINENTAL INDEMNITY</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA115	<b>Group Total</b>	1	1	100%	No filings	No filings	No filings
<b>CORVEL ENTERPRISE COMP.</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116	<b>Group Total</b>	2	2	100%	1	1	100%
<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117	<b>Group Total</b>	2	2	100%	4	4	100%
<b>CROSS INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA093	<b>Group Total</b>	31	30	97%	84	82	98%
<b>CRUM &amp; FORSTER</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	<b>Group Total</b>	*	*	*	*	*	*
<b>ELECTRIC INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
<b>ELECTRIC INSURANCE TPA Administered Claims</b>							
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
	<b>TPA Total</b>	4	4	100%	1	1	100%
	<b>ELECTRIC INSURANCE Group Total</b>	4	4	100%	1	1	100%
<b>ESIS</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160	<b>Group Total</b>	9	7	78%	9	9	100%
<b>F.A. RICHARD</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA165	<b>Group Total</b>	No filings	No filings	No filings	1	0	0%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>FEDERATED MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	3	0	0%	1	0	0%
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
<b>Group Total</b>		<b>4</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
<b>FIREMAN'S FUND INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170	<b>Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>FUTURECOMP</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175	<b>Group Total</b>	<b>14</b>	<b>10</b>	<b>71%</b>	<b>14</b>	<b>13</b>	<b>93%</b>
<b>GALLAGHER BASSETT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190	<b>Group Total</b>	<b>27</b>	<b>19</b>	<b>70%</b>	<b>11</b>	<b>10</b>	<b>91%</b>
<b>GREAT AMERICAN INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT DIVIDE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA189	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT FALLS INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
<b>Total</b>		<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>GREAT FALLS INSURANCE TPA Administered Claims</b>							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	30	19	63%	14	9	64%
<b>TPA Total</b>		<b>30</b>	<b>19</b>	<b>63%</b>	<b>14</b>	<b>9</b>	<b>64%</b>
<b>GREAT FALLS INSURANCE Group Total</b>		<b>30</b>	<b>19</b>	<b>63%</b>	<b>14</b>	<b>9</b>	<b>64%</b>
<b>GREAT WEST INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>GUARANTEE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
<b>Total</b>		<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>GUARANTEE INSURANCE TPA Administered Claims</b>							
CA292	PATRIOT RISK SERVICES	1	0	0%	No filings	No filings	No filings
<b>TPA Total</b>		<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>GUARANTEE INSURANCE Group Total</b>		<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>GUARD INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	4	4	100%	No filings	No filings	No filings
CA140	EASTGUARD INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	1	1	100%	No filings	No filings	No filings
<b>Group Total</b>		<b>5</b>	<b>5</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>HANNAFORD BROTHERS</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201	<b>Group Total</b>	<b>25</b>	<b>21</b>	<b>84%</b>	<b>16</b>	<b>10</b>	<b>63%</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
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7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>HANNOVER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
<b>HANNOVER INSURANCE TPA Administered Claims</b>							
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	*	*	*	*	*	*
	<b>HANNOVER INSURANCE Group Total</b>	*	*	*	*	*	*
<b>HANOVER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	1	1	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE	4	4	100%	2	2	100%
CA228	MASSACHUSETTS BAY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	<b>Group Total</b>	5	5	100%	2	2	100%
<b>HARTFORD INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	No filings	No filings	No filings	1	1	100%
CA203	HARTFORD FIRE INSURANCE	*	*	*	*	*	*
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	*	*	*	*	*	*
CA187	HARTFORD UNDERWRITERS INSURANCE	No filings	No filings	No filings	2	0	0%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	1	1	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	6	6	100%	4	4	100%
CA321	TWIN CITY FIRE INSURANCE	2	2	100%	1	1	100%
	<b>Total</b>	9	9	100%	8	6	75%
<b>HARTFORD INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	0	0%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	3	2	67%	1	1	100%
	<b>HARTFORD INSURANCE Group Total</b>	12	11	92%	9	7	78%
<b>HELMSMAN MANAGEMENT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	5	4	80%	1	1	100%
<b>IMPERIUM INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
<b>IMPERIUM INSURANCE TPA Administered Claims</b>							
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
	<b>TPA Total</b>	1	1	100%	No filings	No filings	No filings
	<b>IMPERIUM INSURANCE Group Total</b>	1	1	100%	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>LIBERTY MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	2	1	50%	1	1	100%
CA162	EXCELSIOR INSURANCE	1	1	100%	No filings	No filings	No filings
CA210	LIBERTY MUTUAL INSURANCE	18	12	67%	15	12	80%
CA408	OHIO CASUALTY INSURANCE	1	1	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	6	4	67%	2	2	100%
CA283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
CA309	THE NETHERLANDS INSURANCE	1	0	0%	2	1	50%
<b>Total</b>		<b>29</b>	<b>19</b>	<b>66%</b>	<b>20</b>	<b>16</b>	<b>80%</b>
<b>MACY'S CORPORATE SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA213	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	7	6	86%	3	3	100%
<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	380	349	92%	254	241	95%
<b>MAINE HEALTHCARE ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	5	4	80%	14	13	93%
<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	6	6	100%	10	10	100%
<b>MAINE MUNICIPAL ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225	<b>Group Total</b>	45	42	93%	49	48	98%
<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250	<b>Group Total</b>	12	12	100%	7	7	100%
<b>MATRIX ABSENCE MANAGEMENT</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA252	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
<b>MEADOWBROOK INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA252	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
<b>NATIONAL INTERSTATE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA267	<b>Group Total</b>	2	2	100%	No filings	No filings	No filings
<b>NATIONWIDE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA289	NATIONAL CASUALTY	No filings	No filings	100%	1	1	100%
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
<b>Total</b>		<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA265	<b>NGM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
	<b>NKSJ HOLDINGS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
	<b>NKSJ HOLDINGS TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
	<b>NKSJ HOLDINGS Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
	<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	*	*	*	*	*	*
	<b>NORTH RIVER INSURANCE Group Total</b>	*	*	*	*	*	*
	<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	2	50%	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	6	4	67%	3	3	100%
	<b>OLD REPUBLIC INSURANCE Group Total</b>	6	4	67%	3	3	100%
CA274	<b>PATRIOT INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Group Total</b>	No filings	No filings	No filings	1	1	100%
CA292	<b>PATRIOT RISK SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Group Total</b>	1	0	0%	No filings	No filings	No filings
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	*	*	*	*	*	*
	<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	<b>TPA Total</b>	1	1	100%	No filings	No filings	No filings
	<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total</b>	1	1	100%	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>PROTECTIVE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA277	<b>Group Total</b>	1	0	0%	No filings	No filings	No filings
<b>PUBLIC SERVICE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA282	<b>Group Total</b>	*	*	*	*	*	*
<b>QBE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
<b>Total</b>		*	*	*	*	*	*
<b>QBE INSURANCE GROUP TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
<b>TPA Total</b>		2	2	100%	No filings	No filings	No filings
<b>QBE INSURANCE Group Total</b>		2	2	100%	No filings	No filings	No filings
<b>RYDER SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA295	<b>Group Total</b>	No filings	No filings	No filings	No filings	No filings	No filings
<b>SAFETY NATIONAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
<b>Total</b>		*	*	*	*	*	*
<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA252	MATRIX ABSENCE MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
<b>TPA Total</b>		1	1	100%	2	1	50%
<b>SAFETY NATIONAL INSURANCE Group Total</b>		1	1	100%	2	1	50%
<b>SEABRIGHT INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA298	<b>Group Total</b>	*	*	*	*	*	*
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA300	<b>Group Total</b>	45	38	84%	22	22	100%
<b>SENTRY INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA305	SENTRY INSURANCE	1	0	0%	1	1	100%
CA402	SENTRY CASUALTY	1	1	100%	No filings	No filings	No filings
<b>Group Total</b>		2	1	50%	1	1	100%



**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>STARR INDEMNITY &amp; LIABILITY</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
Total		*	*	*	*	*	*
<b>STARR INDEMNITY &amp; LIABILITY TPA Administered Claims</b>							
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
TPA Total		No filings	No filings	No filings	No filings	No filings	No filings
<b>STARR INDEMNITY &amp; LIABILITY Group Total</b>		No filings	No filings	No filings	No filings	No filings	No filings
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA307	Group Total	35	33	94%	23	22	96%
<b>SYNERNET</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA320	Group Total	29	21	72%	36	36	100%
<b>T.H.E INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA317	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
<b>TOKIO MARINE &amp; FIRE INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA414	TOKIO MARINE AMERICA INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
Group Total		No filings	No filings	No filings	No filings	No filings	No filings
<b>TOWER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA356	Group Total	No filings	No filings	No filings	1	0	0%
<b>TRAVELERS INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	8	7	88%	10	8	80%
CA164	FARMINGTON CASUALTY	1	1	100%	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	1	1	100%	No filings	No filings	No filings
CA306	STANDARD FIRE INSURANCE	1	1	100%	2	1	50%
CA303	ST. PAUL FIRE & MARINE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY	No filings	No filings	No filings	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	3	1	33%	3	3	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	No filings	No filings	No filings	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	1	1	100%	1	1	100%
Total		18	14	78%	18	15	83%
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA340	YORK RISK SERVICES	2	0	0%	No filings	No filings	No filings
TPA Total		2	0	0%	3	3	100%
<b>TRAVELERS INSURANCE Group Total</b>		20	14	70%	21	18	86%
<b>TRISTAR RISK ENTERPRISE MANAGEMENT</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA280	Group Total	2	1	50%	No filings	No filings	No filings

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Third Quarter  
7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>UNDERWRITERS SAFETY &amp; CLAIMS</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA360	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>UTICA NATIONAL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA324	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>VANLINER INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA379	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>XL INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
<b>Total</b>		<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
<b>TPA Total</b>		<b>6</b>	<b>6</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
<b>XL INSURANCE Group Total</b>		<b>6</b>	<b>6</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
<b>YORK RISK SERVICES</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA340	<b>Group Total</b>	<b>4</b>	<b>1</b>	<b>25%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
<b>ZURICH INSURANCE</b>		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	4	1	25%	6	6	100%
CA400	ZURICH AMERICAN INSURANCE	3	2	67%	3	3	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No filings	No filings	No filings	No filings	No filings	No filings
<b>Total</b>		<b>7</b>	<b>3</b>	<b>43%</b>	<b>9</b>	<b>9</b>	<b>100%</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No filings	No filings	No filings
<b>TPA Total</b>		<b>9</b>	<b>7</b>	<b>78%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
<b>ZURICH INSURANCE Group Total</b>		<b>16</b>	<b>10</b>	<b>63%</b>	<b>10</b>	<b>10</b>	<b>100%</b>