MAINE STATE LEGISLATURE

The following document is provided by the

LAW AND LEGISLATIVE DIGITAL LIBRARY

at the Maine State Law and Legislative Reference Library

http://legislature.maine.gov/lawlib



Reproduced from scanned originals with text recognition applied (searchable text may contain some errors and/or omissions)

QUARTERLY COMPLIANCE REPORT

STATE OF MAINE WORKERS' COMPENSATION BOARD



Third Quarter 2015 Report

July 1, 2015 - September 30, 2015

Office of Monitoring, Audit & Enforcement

Paul H. Sighinolfi, Esq. Executive Director/Chair

27 State House Station Augusta, Maine 04333-0027 (207) 287-3751

State of Maine Workers' Compensation Board Quarterly Compliance Report Third Quarter 2015

Table of Contents

	reconstruction of the second	D 10
1.	Executive Summary	Pages 1-2
2.	Lost Time First Report of Injury Filings	Page 3
3.	Initial Indemnity Payments	Page 4
4.	Initial Memorandum of Payment Filings	Page 5
5.	Initial Indemnity Notice of Controversy Filings	Page 6
6.	Wage Information	Page 7
	Table of Charts	
1.	Lost Time First Report of Injury Filings Timeliness Distribution	Page 3
2. 3. 4.	Lost Time First Report of Injury Filings Quarterly Compliance	Page 3
3.	Lost Time First Report of Injury Filings Compliance Trend	Page 3
4.	Initial Indemnity Payments Timeliness Distribution	Page 4
5.	Initial Indemnity Payments Quarterly Compliance	Page 4
6. 7.	Initial Indemnity Payments Compliance Trend	Page 4
7.	Initial Memorandum of Payment Filings Timeliness Distribution	Page 5
8.	Initial Memorandum of Payment Filings Quarterly Compliance	Page 5
9.	Initial Memorandum of Payment Filings Compliance Trend	Page 5
10.	Initial Indemnity Notice of Controversy Filings Timeliness Distribution	Page 6
11.	Initial Indemnity Notice of Controversy Filings Quarterly Compliance	Page 6
12.	Initial Indemnity Notice of Controversy Filings Compliance Trend	Page 6
13.	Wage Information Wage Statement Timeliness Distribution	Page 7
14.	Wage Information Fringe Benefits Worksheet(s) Timeliness	Page 7
	Distribution	
	Appendices	
A.	Insurance Group Compliance	Pages A1-A10
	Lost Time FROI Filings and Initial Indemnity Payments	
B.	Insurance Group Compliance	Pages B1-B10
	Initial MOP and Initial Indemnity NOC Filings	4.7

Executive Summary

On April 12, 2016 the Maine Workers' Compensation Board of Director approved the Third Quarter 2016 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

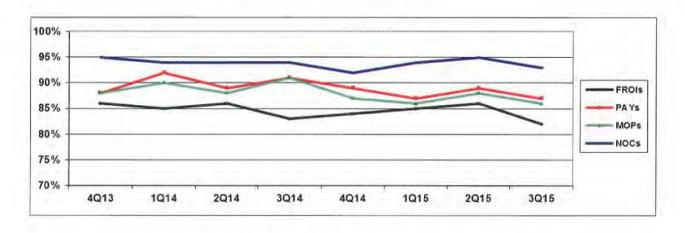
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 98 insurers on October 28, 2015; 71 responded, 21 were not required to respond and 6 did not respond.

The 3Q15 report represents results based upon data received by December 11, 2015. The results are:

	Number of Days	Benchmark	4Q13	1014	2Q14	3Q14	4Q14	1Q15	2Q15	3Q15
FROIs	7	85%	86%	85%	86%	83%	84%	85%	86%	82%
PAYs	14	87%	88%	92%	89%	91%	89%	87%	89%	87%
MOPs	17	85%	88%	90%	88%	91%	87%	86%	88%	86%
NOCs	14	90%	95%	94%	94%	94%	92%	94%	95%	93%

Compliance Benchmark Tracking



II. CAVEATS & EXPLANATIONS

A. General

 Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

 Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

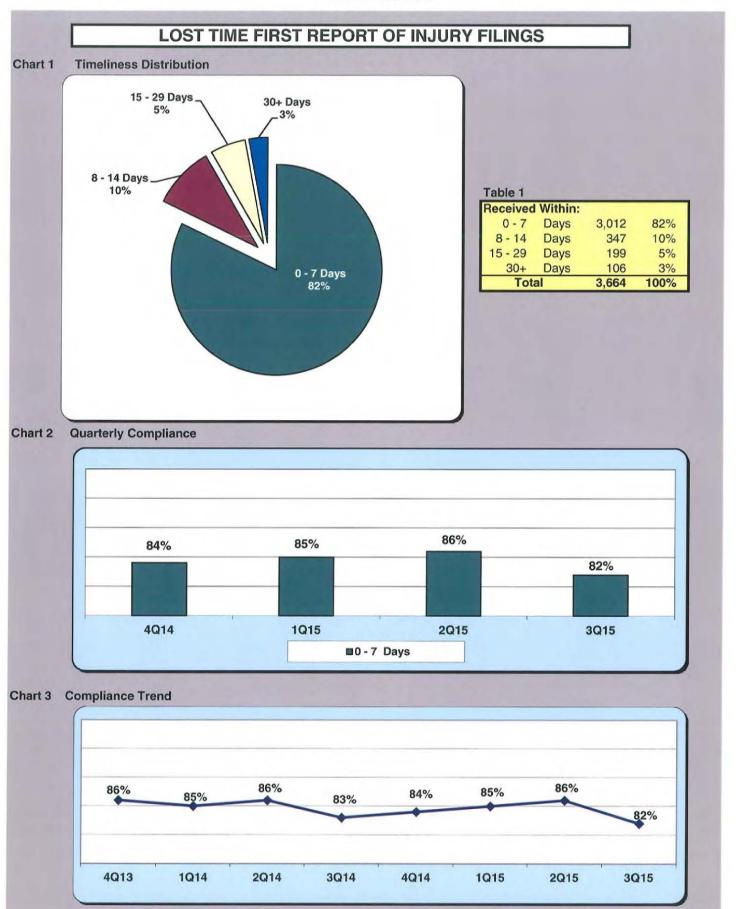
 Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 3Q15:

Auditee	Total Penalties
Maine Municipal Association	\$1,500.00
Maine School Management Association	\$4,500.00



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

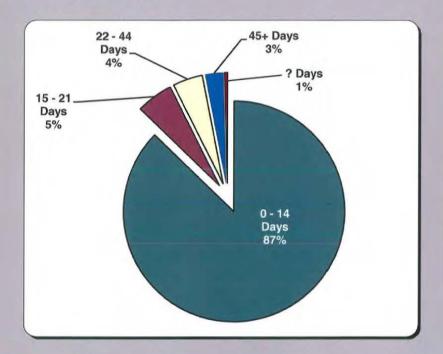


Table 2 Made Within: 87% 0 - 14 Days 797 15 - 21 Days 49 5% 22 - 44 40 4% Days 45+ 24 3% Days Days 1% Total 914 100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$10,600 was issued to claimants in penalties and there is another \$8,000 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

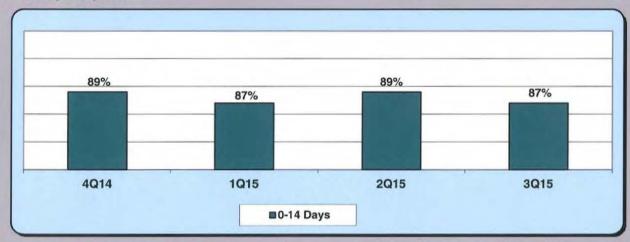
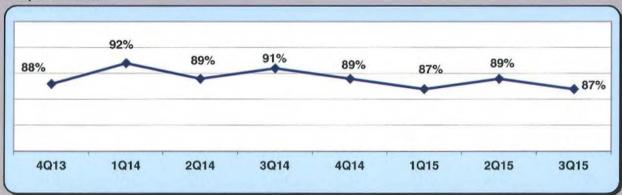


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

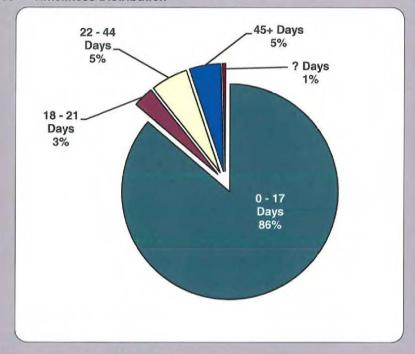


Table 3			
Received Wi	thin:		
0 - 17	Days	788	86%
18 - 21	Days	28	3%
22 - 44	Days	51	5%
45+	Days	43	5%
?	Days	4	1%
Total		914	100%

Chart 8 Quarterly Compliance

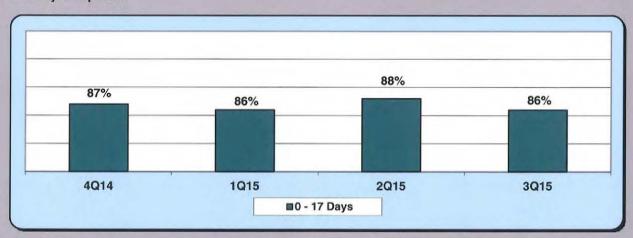
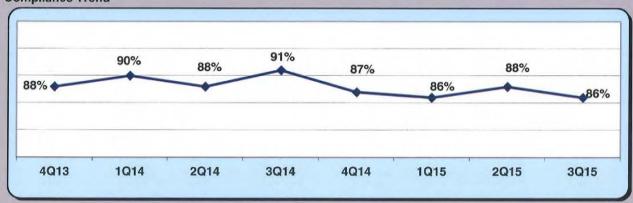
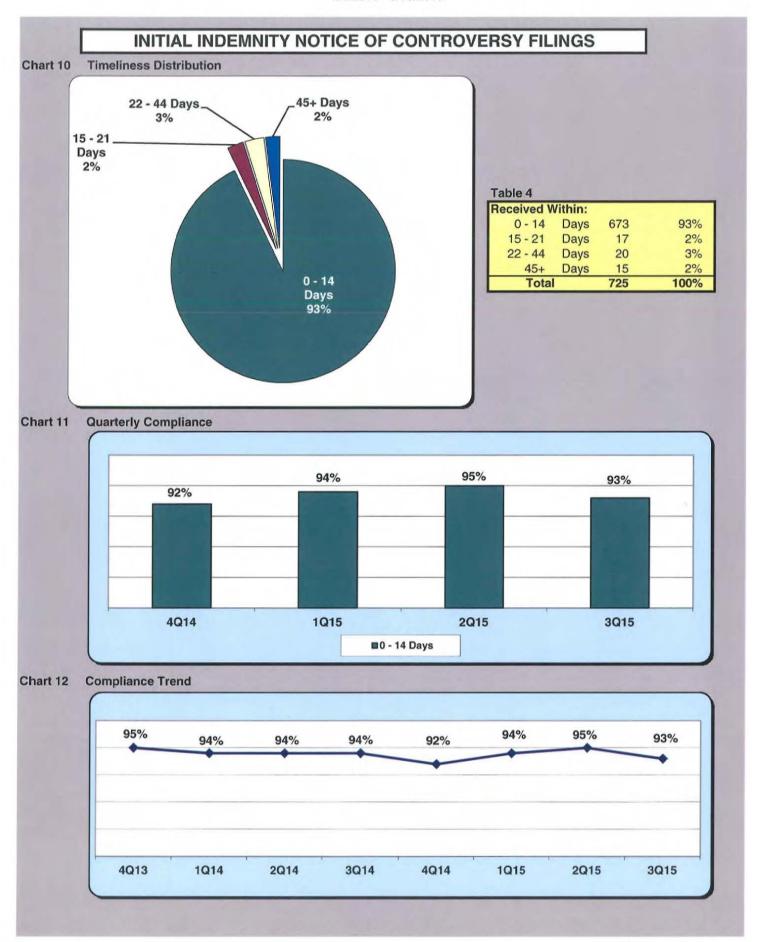


Chart 9 Compliance Trend





WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

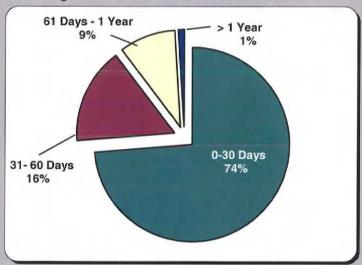


Table 5			
Received W	/ithin:		
0-30	Days	1,437	74%
31-60	Days	305	16%
61 Days-1	Year	181	9%
> 1	Year	9	1%
Total		1,932	100%

Wage Statement(s): 1,787 (84%) of the 2,128 Wage Statement(s) that were due this quarter were filed timely, 118 (6%) were filed late, and 223 (10%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

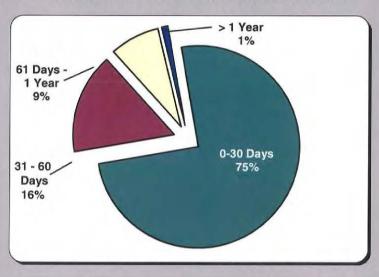


Table 6

Received Within:

0-30 Days 1,419 75%

31-60 Days 303 16%

61 Days-1 Year 150 8%

> 1 Year 9 1%

Total 1,881 100%

Fringe Benefit Worksheet(s): 1,752 (82%) of the 2,128 Fringe Benefit Worksheet(s) due this quarter were filed timely, 264 (13%) were filed late, and 112 (5%) remain outstanding.

Appendix A

Insurance Group Compliance Lost Time FROI Filings and Initial Indemnity Payments Third Quarter 2015 7/1/2015-9/30/2015

		ţ

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010	Group Total	52	44	85%	13	12	92%
				EFET PELLETIN			
	ACCIDENT FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Dage in the control of the control o		*	*		*	Procession and the second state of the second	*
	ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	11	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ACCIDENT FUND INSURANCE Group Total	1		100%	No filings	No filings	No filings
como Santal	ACCIDENT FUND INSURANCE Group Total	1	1	100%	1 No mings	100 mings	No mings
	ACE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	#	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
A012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	**************************************
A040	BROADSPIRE SERVICES	*	*	*	*	*	*
A070	CANNON COCHRAN MANAGEMENT SERVICES	9	6	67%	4	4	100%
A110	CONSTITUTION STATE SERVICES	7	4	57%	1	1	100%
A116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
A160	ESIS	33	24	73%	6	5	83%
CA190	GALLAGHER BASSETT SERVICES	16	12	75%	6	3	50%
A204	HELMSMAN MANAGEMENT SERVICES	10	7	70%	3	3	100%
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	75	72	96%	22	20	91%
A340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	150	125	83%	42	36	86%
055 956 W \$500 AK	ACE INSURANCE Group Total	150	125	83%	1 42	36	86%
	AIG INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A015	AIG DOMESTIC CLAIMS	90	78	87%	28	26	93%
עמזי	AIG DOMESTIC CLAIMS Total	90	78	87%	28	26	93%
	AIG INSURANCE TPA Administered Claims						
A070	CANNON COCHRAN MANGEMENT SERVICES	*	*	*	*	*	*
A100	CLAIMS MANAGEMENT (WAL-MART)	42	40	95%	7	7	100%
A190	GALLAGHER BASSETT SERVICES	3	2	67%	2	2	100%
A204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	45	42	93%	9	9	100%
	AIG INSURANCE Group Total	135	120	89%	37	35	95%
	ALTERNATIVE SERVICE CONCEPTS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A012	Group Total	*	*	*	*	*	*
1010	AMTRUST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A342	TECHNOLOGY INSURANCE	8	2	25%	4	3	75%
A381	WESCO INSURANCE	9	1 1	11%	1 5	0 3	0% 60%
	Group Total	17	3	18%	19.1 5		60%

Magazin 200 page		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ARCH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
A117	COTTINGHAM & BUTLER CLAIMS SERVICES	6	4	67%	1	1	100%
A160	ESIS	*	*	*	*	*	*
A190	GALLAGHER BASSETT SERVICES	11	9	82%	No filings	No filings	No filings
A204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	1	0	0%
A340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	25	20	80%	2	1	50%
	ARCH INSURANCE Group Total	25	20	80%	2	1	50%
						7757 57-50-50-50-50-50-50-50-50-50-50-50-50-50-	
	ARROW MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A024	Group Total	1	1	100%	No filings	No filings	No filings
	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A036	Group Total	122	120	98%	15	15	100%
	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A114	Group Total	No filings	No filings	No filings	1 ayments Made	0	0%
	Group Aven	The second second second	110/1111169	110 1111113			
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A040	Group Total	13	12	92%	3	3	100%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A070	Group Total	208	167	80%	65	49	75%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A080	Group Total	1	1	100%	1	1	100%
3 4	CHUBB INSURANCE	FROIs Filed	TiL-EDOY-	C 11	D	70:L. D	C
	Total	1	Timely FROIs	Compliance 100%	Payments Made	Timely Payments 1	Compliance 100%
	CHUBB INSURANCE TPA Administered Claims			100%			100%
A 160	ESIS CHOBB INSURANCE I PA Administered Claims	*	*	*	*	*	**************************************
A160 A190	GALLAGHER BASSETT SERVICES	7	5	71%	5	3	60%
V130	GALLAGRER BASSETT SERVICES TPA Total	7	5	71%	5	3	60%
	TFA 10tal			71.70			40 /u
	CHUBB INSURANCE Group Total	8	6	75%	6	4	67%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely EDOI:	Compliance	Downsonta Ma 2-	Timely Payments	Compliance
24004			Timely FROIs	Compliance 100%	Payments Made		Compliance
CA084	Group Total	1		100%	No filings	No filings	No filings
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	42	40	95%	7	7	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA017	AMERICAN CASUALTY COMPANY	*	*	*	*	*	*
CA083	CNA CLAIMS PLUS	11	1	100%	1	1	100%
CA050	CONTINENTAL CASUALTY	2	2	100%	1	1	100%
CA087	CONTINENTAL INSURANCE	11	1	100%	No filings	No filings	No filings
CA314	TRANSPORTATION INSURANCE	1	1	100%	No filings	No filings	No filings
	Total	5	5	100%	2	2	
	CNA INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	Group Total	5	5	100%	2	2	100%
							graffarian eur
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	7	4	57%	1	1	100%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	1	1	100%	1	1	100%
1 15			<u> </u>				
	CORVEL ENTERPRISE COMP.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	5	5	100%	2	2	100%
	COTTINGHAM & BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	7	5	71%		2	100%
	CROSS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093	Group Total	317	302	95%		31	100%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
NACOS PROPERTY AND A STATE OF	Group Total	*	*):	[2] 3	*	*
			28.00E:082255652.00				
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
2010/05/15/15/19/2016	Total				*		roter and Mathematica and a
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	4	4	100%
	TPA Total		4	100%	4	4	100%
	ELECTRIC INSURANCE Group Total	4	4	100%	4	4	100%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	43	31	72%	9	6	67%
6123.66							
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	1	0	0%	No filings	No filings	No filings

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	5	Timely FROIS	40%	3	1 mery Fayments	33%
CA091	FEDERATED MUTUAL INSURANCE FEDERATED SERVICE INSURANCE	1	0	0%		0	0%
.A092	Group Total	6	2	33%	1 4	1	25%
						Dalkarya Bakili (Diagrica)	
ACCRECATE AND PERSONS ASSESSED.	FIREMAN'S FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A170	Group Total	No filings	No filings	No filings	1	0	0%
	MINIDE COMP	EBOL ES. 3	Timal EDOL	C	Payments Made	Timely Payments	Compliance
1175	FUTURECOMP	FROIs Filed	Timely FROIs 49	Compliance 88%	Payments Made	11meiy Payments	79%
A175	Group Total	56	49	88%	14	11	19%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A190	Group Total	84	68	81%	27	19	70%
		NN 07 201					
1102	GREAT AMERICAN INSURANCE	FROIs Filed	Timely FROIs	Compliance *	Payments Made	Timely Payments	Compliance
A193	Group Total						
	GREAT DIVIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A189	Group Total	*	*	*	*	*	मः
	GREAT FALLS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
constitution (Abi-script Avera	Total	#	# Common Sales and Sales a	*	ile	*	ita Onderno kovena etnerno al accounte de
	GREAT FALLS INSURANCE TPA Administered Claims						
A070	CANNON COCHRAN MANAGEMENT SERVICES	102	75	74%	30	19	63%
	TPA Total	102	75	74%	30	19	63%
	GREAT FALLS INSURANCE Group Total	102	75	74%	30	19	63%
	GREAT WEST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A196	Group Total	2	1	50%		1	100%
	GUARANTEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	ale .
	GUARANTEE INSURANCE TPA Administered Claims						
A292	PATRIOT RISK SERVICES	8	2	25%	1	0	0%
	TPA Total	8	2	25%	1		0%
A195	GUARANTEE INSURANCE Group Total	8	2	25%	1	0	0%
	Sink Ay 201 - 100 A						
***************************************	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A019	AMGUARD INSURANCE	23	14	61%	4	4	100%
A140	EASTGUARD INSURANCE	6	6	100%	No filings	No filings	No filings
:A272	NORGUARD INSURANCE	1	1	100%	1	1	100%
arta (22.450/22.01Fe)	Group Total	30	21	70%	5	5	100%
	HANNAFORD BROTHERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A201	Group Total	104	75	72%	25	18	72%
-4 14·U 1	Gloup I otal	LUT		**************************************			

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HANNOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	HANNOVER INSURANCE TPA Administered Claims						
A340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	HANNOVER INSURANCE Group Total	*	*	*	*	*	*
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A048	CITIZENS INSURANCE COMPANY OF AMERICA	5	4	80%	1 ayments wate	1 micry 1 ayments	100%
A202	HANOVER INSURANCE	12	6	50%	4	4	100%
A228	MASSACHUSETTS BAY INSURANCE	3	3	100%	No filings	No filings	No filings
	Group Total	20	13	65%	5	5	100%
	HARTFORD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A188	HARTFORD ACCIDENT & INDEMNITY	1	0	0%	No filings	No filings	No filings
4185	HARTFORD CASUALTY INSURANCE	3	2	67%	No filings	No filings	No filings
203	HARTFORD FIRE INSURANCE	*	*	*	*	*	*
A186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	*	*	*	*	*	*
1187	HARTFORD UNDERWRITERS INSURANCE	9	4	44%	No filings	No filings	No filings
A288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	3	2	67%	1	1	100%
1296	SENTINEL INSURANCE	2	2	100%	No filings	No filings	No filings
A319	TRUMBULL INSURANCE	15	11	73%	6	5	83%
A321	TWIN CITY FIRE INSURANCE	5	2	40%	2	2	100%
	Total	38	23	61%	9	8	89%
	HARTFORD INSURANCE TPA Administered Claims						
A040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
A070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
A116	CORVEL ENTERPRISE COMP.	2	2	100%	2	2	100%
A190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	1	0	0%
1280	TRISTAR RISK ENTERPRISE MANAGEMENT	2	1	50%	No filings	No filings	No filings
	TPA Total	9	7	78%	3	2	67%
	HARTFORD INSURANCE Group Total	47	30	64%	12	10	83%
1000							
	HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A204	Group Total	14	10	71%	5	4	80%
2000 (J. 100	IMPERIUM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	IMPERIUM INSURANCE TPA Administered Claims						
A280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	1	1	100%
	TPA Total	1	0	0%	1	1	100%
***************************************	IMPERIUM INSURANCE Group Total	1	0	0%	1	1	100%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A380	EMPLOYERS INSURANCE OF WAUSAU	5	4	80%	2	1	50%
A162	EXCELSIOR INSURANCE	1	1	100%	1	î	100%
A210	LIBERTY MUTUAL INSURANCE	47	35	74%	18	11	61%
A408	OHIO CASUALTY INSURANCE	1	1	100%	T I	1	100%
A275	PEERLESS INSURANCE	14	7	50%	6	4	67%
A283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
CA309	THE NETHERLANDS INSURANCE	3	0	0%	1	0	0%
	Total	71	48	68%	29	18	62%
							2002
in and the second second	MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A213	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
100 ET 1	J. J	AND IMMAS	110 111153	AND RRIEGS			
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A220	Group Total	24	21	88%	7	6	86%
	Group Rotal		21	30 /V			
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A260	Group Total	1339	1038	78%	380	355	93%
A200	Group Total	1339	1038	167/	380	333	9570
	MAINE HEALTHCARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A234	Group Total	42	34	81%	Fayments Wade 5	4	80%
A234	Group Total	4 4	34	01%	3 1		00%
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	68	60	88%	6	6	100%
.A230	Group Total			60 76	<u> </u>	V	10076
Complete Complete	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A225	Group Total	213	206	97%	45	44	98%
ALLS	Group Total	413	206	9170	11 45	44	98%
2.2 (2010) (500)	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Downson Made	Time In Daywee 4	Compliance
A250	Group Total	66	62	Compliance 94%	Payments Made	Timely Payments	Compliance 100%
A230	Group Total	00	02	94%	1 1 12	12	100%
	MATRIX ABSENCE MANAGEMENT	FROIs Filed	Ti	C1:	P	T:	<u> </u>
A252		1 r KOIS FREG	Timely FROIs	Compliance 100%	Payments Made	Timely Payments	Compliance
AZSZ	Group Total	A Company of the Comp	1	100%	No filings	No filings	No filings
		ERAY EN I					
	MEADOWBROOK INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A255	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NAMES OF THE PROPERTY OF THE P	DANT					
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A267	Group Total	2	1 2	100%	2	2	100%
y (18 m)							
	NATIONWIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A198	HARLEYSVILLE WORSTER INSURANCE	*	*	*	**	*	*
A289	NATIONAL CASUALTY	2	1	50%	No filings	No filings	No filings
A291	NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%	No filings	No filings	No filings
	Total	3	1	50%	No filings	No filings	No filings

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NKSJ HOLDINGS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Programme and the	Total	*	*			*	* EdityUrksiyadasyadilgan eyistya
CA040	NKSJ HOLDINGS TPA Administered Claims BROADSPIRE SERVICES	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	0	0%	No filings	No filings	No filings
CA040	TPA Total	1	0	0%	No filings	No filings No filings	No filings
	TITA TOUR				1 To failings	110 IIIIgs (HIGH WALLS
	NKSJ HOLDINGS Group Total	1	0	0%	No filings	No filings	No filings
							7
	NORTH RIVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
handan arvana van	Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*		* Bresser and the second of t			# States States and security
	NORTH RIVER INSURANCE Group Total	*	* 1	* .	*	*	*
	NORTH RIVER INSURANCE GROUP TOTAL			FOLGER OF THE			
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	2	2	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190 CA204	GALLAGHER BASSETT SERVICES HELMSMAN MANAGEMENT SERVICES	13	9	69% *	4	*	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
-A300	TPA Total	19	15	79%	6	4	67%
	TIA TOUL						
	OLD REPUBLIC INSURANCE Group Total	19	15	79%	6	4	67%
	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274	Group Total	2	1	50%	No filings	No filings	No filings
	PATRIOT RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA292	Group Total	8	2	25%		0	0%
	DENNICUT VANTA MANUTEA COULDENC! ACCOCUATION	EDOL YOUR	Timeler EDOX	C	L Dawner-4- Mr. J.	Time also December 1	C
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance *
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	4	4	100%		1 (1995)	100%
<u></u>	TPA Total	4	4	100%	i	1	100%
	11/1 1000						
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	4	4	100%	1 1	1	100%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
1 1	PROTECTIVE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	No filings	No filings	No filings	1 ayments made	0	0%
	PUBLIC SERVICE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	*	*	*	;k	*	*
	OBE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
miora a mangata 250 di sistema	Total	*	*	*	*	*	*
CA190	QBE INSURANCE TPA Administered Claims GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	2	2	100%
ation and the	TPA Total	2	2	100%	2	2	100%
	QBE INSURANCE Group Total	2	2	100%		2	100%
	RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	1	0	0%	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Total SAFETY NATIONAL INSURANCE TPA Administered Claims BROADSPIRE SERVICES	*	*	*	*	*	*
A070 A116	CANNON COCHRAN MANAGEMENT SERVICES CORVEL ENTERPRISE COMP.	* No filings	* No filings	* No filings	* No Eliza	* No filings	* No filings
CA160	ESIS ENTERFRISE COMF.	4	3	75%	No filings No filings	No filings	No filings No filings
A190	GALLAGHER BASSETT SERVICES	8	7	88%	1	0	0%
A252	MATRIX ABSENCE MANAGEMENT	1	i	100%	No filings	No filings	No filings
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	15	12	80%	1	0	0%
	ii A Totai						
	SAFETY NATIONAL INSURANCE Group Total	15	12	80%		0	0%
		15 FROIs Filed	Timely FROIs	80% Compliance	Payments Made	0 Timely Payments	0% Compliance
A298	SAFETY NATIONAL INSURANCE Group Total						
A298	SAFETY NATIONAL INSURANCE Group Total SEABRIGHT INSURANCE		Timely FROIs		Payments Made		Compliance
A298 A300	SAFETY NATIONAL INSURANCE Group Total SEABRIGHT INSURANCE Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
	SAFETY NATIONAL INSURANCE Group Total SEABRIGHT INSURANCE Group Total SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total	FROIs Filed * FROIs Filed 141	Timely FROIs * Timely FROIs 131	Compliance * Compliance 93%	Payments Made * Payments Made 45	Timely Payments * Timely Payments 39	Compliance * Compliance 87%
	SAFETY NATIONAL INSURANCE Group Total SEABRIGHT INSURANCE Group Total SEDGWICK CLAIMS MANAGEMENT SERVICES	FROIs Filed * FROIs Filed	Timely FROIs * Timely FROIs	Compliance * Compliance	Payments Made * Payments Made	Timely Payments * Timely Payments	Compliance *
A300	SAFETY NATIONAL INSURANCE Group Total SEABRIGHT INSURANCE Group Total SEDGWICK CLAIMS MANAGEMENT SERVICES Group Total SENTRY INSURANCE	FROIs Filed * FROIs Filed 141	Timely FROIs * Timely FROIs 131 Timely FROIs	Compliance * Compliance 93% Compliance	Payments Made * Payments Made 45	Timely Payments * Timely Payments 39	Compliance * Compliance 87% Compliance

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	STARR INDEMNITY & LIABILITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	Comphance *	*	1 mery Payments	*
	STARR INDEMNITY & LIABILITY TPA Administered Claims						
CA160	ESIS		0	0%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	1	0	0%
	TPA Total	2	0	0%	No filings	No filings	No filings
	STARR INDEMNITY & LIABILITY Group Total	2	0	0%	1	0	0%
						D: 1 D	A 12
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
:A307	Group Total	133	115	86%	35	34	97%
<u> </u>	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A320	Group Total	144	127	88%	29	21	72%
	Group Total		year constitution of the second	3376			,2,0
	T.H.E INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A317	Group Total	1	1	100%	No filings	No filings	No filings
	TOKIO MARINE & FIRE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A414	TOKIO MARINE AMERICA INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
A356	Group Total	1	0	0%	No filings	No filings	No filings
		EDAY ET 1				Tr. 1 V	6 P
1.070	TRAVELERS INSURANCE CHARTER OAK FIRE INSURANCE	FROIs Filed	Timely FROIs	Compliance 80%	Payments Made	Timely Payments	Compliance 88%
A072		404	32	75%	8	1	100%
A164 A284	FARMINGTON CASUALTY PHOENIX INSURANCE	5	4	80%	1	1	100%
A306	STANDARD FIRE INSURANCE	5	3	60%	1	1	100%
A303	ST. PAUL FIRE & MARINE INSURANCE	1	0	0%	No filings	No filings	No filings
A347	TRAVELERS CASUALTY & SURETY	3	2	67%	No filings	No filings	No filings
A348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	9	5	56%	3	1	33%
A349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	3	2	67%
A343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
A346	TRAVELERS INDEMNITY COMPANY OF AMERICA	2	1	50%	No filings	No filings	No filings
A345	TRAVELERS PROPERTY CASUALTY INSURANCE	5	3	60%	1	1	100%
	Total	77	55	71%	18	14	78%
	TRAVELERS INSURANCE TPA Administered Claims						
A040	BROADSPIRE SERVICES	44	4	100%	No filings	No filings	No filings
A190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	No filings	No filings	No filings
A340	YORK RISK SERVICES	No filings	No filings	No filings	2	1	50%
	TPA Total	10	9	90%	2		50%
	TRAVELERS INSURANCE Group Total	87	64	74%	20	15	75%
	TRISTAR RISK ENTERPRISE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	3	1	33%	2	2	100%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	UNDERWRITERS SAFETY & CLAIMS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA360	Group Total	1	1	100%	1	0	0%
	THE CANADA POWER AND THE CONTRACTOR OF THE CONTR	EBOL ELL	The Later of			***	6 1
CA324	UTICA NATIONAL INSURANCE Group Total	FROIs Filed	Timely FROIs	Compliance *	Payments Made	Timely Payments	Compliance *
A324	Group Total						
minimum and the latter of	VANLINER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA379	Group Total	1	0	0%	No filings	No filings	No filings
	XL INSURANCE	FROIs Filed	T:L EDOY-	C!	Dames A Made	Time In Decree	Compliance
	Total	*	Timely FROIs	Compliance *	Payments Made	Timely Payments	Computance *
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
A116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	5	5	100%
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	10	8	80%	6	6	100%
	XL INSURANCE Group Total	10	8	80%	No filings	No filings	No filings
	YORK RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	4	1 Innery FROIS	25%	1 ayments made	1 1	25%
22370	Group Total					Francisco de la composición de la comp	
	ZURICH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA022	AMERICAN ZURICH	13	9	69%	4	1	25%
A400	ZURICH AMERICAN INSURANCE	12	10	83%	3	2	67%
A404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No filings	No filings	No filings	No filings	No filings	No filings
	Total	25	19	76%	7	3	43%
5- 7	ZURICH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA160	ESIS	1	0	0%	2	1	50%_
CA190	GALLAGHER BASSETT SERVICES	11	11	100%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	2	67%	2	2	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	1	11	100%
	TPA Total	16	14	88%	9	8	89%
	ZURICH INSURANCE Group Total	41	32	80%	16	11	69%
Actual Programmer Commission	ZURICH INSUKANCE Group Total	41	33	80%		11	J 09%

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Third Quarter 2015
7/1/2015-9/30/2015

		-
		THE PARTY OF THE P
		The second secon
		And the second s
		THE PERSON NAMED IN COLUMN TWO ISSUES OF THE PERSON NAMED IN COLUMN TO THE PERSON NAMED IN COLUM
		A STATE OF THE PROPERTY OF THE
		MAN TO THE REAL PROPERTY OF THE PERSON OF TH
		WANTED CALL TO THE TOTAL THE TOTAL TO THE TOTAL THE TOTAL TO THE TOTAL
		A CONTRACTOR OF THE CONTRACTOR

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA010	ACADIA INSURANCE Group Total	MOPs Filed	Timely MOPs	Compliance 100%	NOCs Filed 9	Timely NOCs 8	Compliance 89%
	ACCIDENT FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	ACCIDENT FUND INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	ACE INSURANCE	MOPs Filed	Timely MOPs	Compliance *	NOCs Filed	Timely NOCs	Compliance
GERT STORAGE	Total ACE INSURANCE TPA Administered Claims	▼ Vajpuški Tilikumi Barkārkaska karak		* 98:00:8:=:::::::::::::::::::::::::::::::	*		* 0x528x8x8x8x8x8x8x8xxxxxxxxxxxxxxxxxxxxx
CA012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	######################################
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	3	75%	2	2	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	3	2	67%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	6	5	83%	7	7	100%
CA190	GALLAGHER BASSETT SERVICES	6	2	33%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	22	20	91%	13	13	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	42	34	81%	27	26	96%
	ACE INSURANCE Group Total	42	34	81%	27	26	96%
	ACE INSURANCE Gloup Total			31 %		20	
	AIG INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA015	AIG DOMESTIC CLAIMS	28	24	86%	18	16	89%
	Total	28	24	86%	18	16	89%
	AIG INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WALMART)	7	7	100%	8	8	100%
CA190	GALLAGHER BASSETT SERVICES	2	2	100%_	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	9	9	100%	8	8	100%
	AIG INSURANCE Group Total	37	33	89%	26	24	92%
	ALTERNATIVE CENTRAL CONCERNS	MOD TO		<u> </u>	NOC FOR	Ti L NOO	C
CA012	ALTERNATIVE SERVICE CONCEPTS Group Total	MOPs Filed *	Timely MOPs	Compliance *	NOCs Filed	Timely NOCs	Compliance
AU12	Group Lotal)	- -			7		
	AMTRUST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA342	TECHNOLOGY INSURANCE	4	2	50%	No filings	No filings	No filings
The same of the sa	WESCO INSURANCE	1	0	0%	No filings	No filings	No filings
CA381							

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ARCH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	4	4	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES		0	0%	No filings	No filings	No filings
CA340	YORK RISK SERVICES TPA Total	No filings 2	No filings	No filings 50%	6	5	83%
	IPA 10tal	in 2 Totalista in the section with the section of the sec	1	50%	0	3 ::::::::::::::::::::::::::::::::::::	83%
	ARCH INSURANCE Group Total	2	1	50%	6	5	83%
	ARCH INSURANCE Group Total			30 76			6376
	ARROW MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA032	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
		ALBA ERRENA BARRANTA					
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	15	15	100%	15	14	93%
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	1	0	0%	No filings	No filings	No filings
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	3	3	100%	2	2	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	C1:	NOCs Filed	Timely NOCs	C1:
CA070	CANNON COCHRAN MANAGEMENT SERVICES Group Total	65	50	Compliance 77%	NOCS Filed	36	Compliance 84%
CAU/U	Group Total	03	30	7776	43	30	04 76
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	1	1	100%	No filings	No filings	No filings
CA090	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
various estatus	Total	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE TPA Administered Claims						
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	1	1	100%
	TPA Total	5	3	60%	1		100%
	CHUBB INSURANCE Group Total	6	4	67%	1	1	100%
	CRUBB INSUKANCE GROUP LOTAI	0	4	0170			100%
N.O. (1000)	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA084	Group Total		No filings	No filings	1 NOCS FILED	0	0%
J1 1007	(Group votal)	.10 mm53	ATO HIMES	TWIMES		V	970
The state of the s	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total		No filings	No filings	1	1	100%

Initial MOP and Initial Indemnity NOC Filings

Third Quarter 7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	7	7	100%	1 8	8	100%
	CNA INSURANCE	MOPS Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA017 A	MERICAN CASUALTY COMPANY	*	*	*	*	*	*
	NA CLAIMS PLUS	1	1	100%	No filings	No filings	No filings
A050 C	ONTINENTAL CASUALTY	1	1	100%	1	1	100%
A087 C	ONTINENTAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA314 TI	RANSPORTATION INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Total	2	2	100%	1	1	100%
	CNA INSURANCE TPA Administered Claims			atama Passa			
A190 G	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*			*	de Salante de la 1980 de 1990 de 1991 de 1	# attas en ulleng enteredenjaggere tech
	0 7 1			1000			1000
	Group Total	2	2	100%	2	2	100%
	CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	1	1	100%	3	2	67%
	ORVAD AVAIL	1			at American		
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A115	Group Total	1	1	100%	No filings	No filings	No filings
	CORVEL ENTERPRISE COMP.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A116	Group Total	2	2	100%	1	1	100%
14.117	COTTINGHAM & BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A117	Group Total	2	2	100%	4	4	100%
	CROSS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA093	Group Total	31	30	97%	NOCS Filed 84	82	98%
A093	Group Total	31	30	9170	84	- OZ	9070
	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A375 U	INITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	*	#	*	*	*	*
	ELECTRIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	***************************************	*	# Description of the Control of the	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
:A300 SI	EDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
	TPA Total	4	4	100%		1	100%
	El Ecopio Piorip Viole C	4		1000			1000
	ELECTRIC INSURANCE Group Total	4	4	100%	1	1	100%
	ESIS	MOPs Filed	Timely MODe	Complessor	NOCa Ellad	Timely NOC-	Complex
A160		·······	Timely MOPs 7	Compliance	NOCs Filed	Timely NOCs	Compliance
A100	Group Total	9		78%		Charles Sales Co.	100%
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A165	F.A. RICHARD Group Total	No filings	No filings	No filings	NOCS FIEED	0	Compliance 0%
VIO?	Group 10tal	130 mings	140 HRDS	140 HHIDE2	11	ν	U70

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	3	0	0%	1	0	0%
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
grader reprod	Group Total	4	0	0%		0	0%
	FIREMAN'S FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	1	0	0%	No filings	No filings	No filings
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A175	Group Total	14	10	71%	14	13	93%
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total		19	70%	11	10	91%
	GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A193	Group Total	*	*	*	*	*	*
	GREAT DIVIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA189	Group Total	*	*	*	#	*	*
	GREAT FALLS INSURANCE Total	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	30	19	63%	14	9	64%
	TPA Total	30	19	63%	14	9	64%
	GREAT FALLS INSURANCE Group Total	30	19	63%	14	9	64%
	GREAT WEST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA196	Group Total	1	1	100%	1	1	100%
	GUARANTEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	No filings	No filings	No filings	No filings	No filings	No filings
	GUARANTEE INSURANCE TPA Administered Claims						
CA292	PATRIOT RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	GUARANTEE INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	AMGUARD INSURANCE	4	4	100%	No filings	No filings	No filings
A140	EASTGUARD INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	5	5	100%	No filings	No filings	No filings
MATERIA (A)	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total		21	84%	16	10	63%

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HANNOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Teaconton and an analysis Scotl	Total		*	*		# Noning of the Control of the Contr	
	HANNOVER INSURANCE TPA Administered Claims				*		*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	т 7377-жылы жайын жайы					enticopiesases il ilionete
	HANNOVER INSURANCE Group Total	*	*	*	*	*	*
	HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	1	1 1	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE	1	4	100%	2	2 140 mings	100%
CA228	MASSACHUSETTS BAY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	5 5	5 5	100%	2	2	100%
	HARTFORD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	No filings	No filings	No filings	1	1	100%
CA203	HARTFORD FIRE INSURANCE	*	*	*	*	*	*
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	*	*	*	*	*	*
CA187	HARTFORD UNDERWRITERS INSURANCE	No filings	No filings	No filings	2	0	0%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	11	1	100%	No filings	No filings	No filings
A296	SENTINEL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	6	6	100%	4	4	100%
CA321	TWIN CITY FIRE INSURANCE	2	2	100%	I	1	100%
	Total	9	9	100%	8		75%
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1 *	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	P		
CA116	CORVEL ENTERPRISE COMP.	2	2 *	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%			No filings
CA300 CA280	SEDGWICK CLAIMS MANAGEMENT SERVICES TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	No filings No filings	No filings No filings	No filings No filings
A28U	TPA Total		No nings 2	67%	No mings	No linings	100%
	Tra Total			97.76 92757445555555555			100 %
	HARTFORD INSURANCE Group Total	12	11	92%	9	7	78%
	HELMSMAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA204	Group Total	5	4	80%	1	1	100%
	IMPERIUM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total		*	*	*	*	*
	IMPERIUM INSURANCE TPA Administered Claims						
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	IMPERIUM INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
Valorica o positivos	LWPERIUM INSURANCE Group Total	I	1	100%	No filings	No filings	No Imngs

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	LIBERTY MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	2	1	50%	1	1	100%
A162	EXCELSIOR INSURANCE	1	1	100%	No filings	No filings	No filings
A210	LIBERTY MUTUAL INSURANCE	18	12	67%	15	12	80%
A408	OHIO CASUALTY INSURANCE	l	1	100%	No filings	No filings	No filings
A275	PEERLESS INSURANCE	6	4	67%	2	2	100%
A283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
A309	THE NETHERLANDS INSURANCE	1	0	0%	2	1	50%
	Total	29	19	66%	20	16	80%
	MACY'S CORPORATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A213	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
E			T. 1 105		T NOC EL I	T' I NOC	
A220	MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed 7	Timely MOPs	Compliance 86%	NOCs Filed	Timely NOCs	Compliance 100%
AZZU	Group Total		V Control of the cont	00%	3	3	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A260	Group Total	380	349	92%	254	241	95%
	MAINE HEALTHCARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A234	Group Total	5	4	80%	14	13	93%
	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	6	6	100%	10	10	100%
	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A225	Group Total	45	42	93%	49	48	98%
	MATRIC COMOOL MANACEMENTS ACCOUNTION	340D- XVI- J	TiI-MOD-		NOCs Filed	Timela NOCa	Compliance
A250	MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	MOPs Filed 12	Timely MOPs 12	Compliance 100%	NOCS FIRED 7	Timely NOCs	100%
A230	Group Total	12	1.2	100%			100 %
	MATRIX ABSENCE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A252	Group Total		No filings	No filings	No filings	No filings	No filings
	MEADOWBROOK INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A252	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A267	Group Total		2	100%	No filings	No filings	No filings
	NATIONWIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A198	HARLEYSVILLE WORSTER INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
A289	NATIONAL CASUALTY	No filings	No filings	No filings	1	1	100%
A291	NATIONWIDE AGRIBUSINESS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Total	No filings	No filings	No filings	1	11	100%

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA265	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NICO MON DINICO	MOD-Ett-J	TiI. MOD	C	NOC-E2-4	TiINOC-	Compliance
	NKSJ HOLDINGS Total	MOPs Filed *	Timely MOPs	Compliance *	NOCs Filed	Timely NOCs	Compliance *
	NKSJ HOLDINGS TPA Administered Claims						Geragasia kasalahan
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	NKSJ HOLDINGS Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NORTH RIVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	# # x645 83495 9065 26 56
	NORTH RIVER INSURANCE Group Total	*	*	*	*	*	
	NORTH RIVER INSURANCE GROUP 1021						
III. SWA PRINCE CHOOSE LESS	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1 **	1 *	100%
CA070 CA116	CANNON COCHRAN MANAGEMENT SERVICES CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings No filings	No filings	No filings	No filings No filings	No filings	No filings No filings
CA190	GALLAGHER BASSETT SERVICES	4	2	50%	2	2 2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total		4	67%	3	3	100%
	ON D. DEDVIDE TO DISCUSS A VICTOR OF THE L	6			3	3	100%
92 PK 02 PK 153	OLD REPUBLIC INSURANCE Group Total	0	4	67%	3	3	100%
	PATRIOT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total		No filings	No filings	1	1	100%
0.000	PATRIOT RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA292	Group Total	1	0	0%	No filings	No filings	No filings
emostra subjective	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total		*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims					ng garang ang	
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	DENNICYT MANITA MANITEACTUDEDC! ACCOCTATION C		1	100%	No filings	No Clinas	No filina-
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	1	1 1	100%	No filings	No filings	No filings

and the second		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	1	0	0%	No filings	No filings	No filings
	PUBLIC SERVICE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	*	*	*	*	*	*
	OBE INSURANCE	MOPs Filed	TULLMOR	A	NOC. Et al.	m. I NOC	
	QBE INSURANCE Total	MOPS Filed	Timely MOPs	Compliance *	NOCs Filed	Timely NOCs	Compliance
NASSEMBLE	OBE INSURANCE GROUP TPA Administered Claims						easactii 20salorse o
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
11000	TPA Total	2	2	100%	No filings	No filings	No filings
					140 milgs	110 timigs	140 miligs
Section (SP2/03/3	OBE INSURANCE Group Total	2	2	100%	No filings	No filings	No filings
34600 iii.					and Summer		EVELOS ASSOCIATION
	RYDER SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A295	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	SAFETY NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040 CA070	BROADSPIRE SERVICES CANNON COCHRAN MANAGEMENT SERVICES	*	* *	*	* *	*	*
A116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
A190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
A252	MATRIX ABSENCE MANAGEMENT	No filings	No filings	No filings	No filings	No filings	No filings
A300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	1	1	100%	2	1	50%
	SAFETY NATIONAL INSURANCE Group Total	1	1 1	100%	2	1	50%
	SEABRIGHT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A298	Group Total	*	*	*	*	*	*
	Group Addin						
	SEDGWICK CLAIMS MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A300	Group Total		38	84%	22	22	100%
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
					123	1	100%
A305	SENTRY INSURANCE SENTRY INSURANCE	1	0	0%		1	100%
A305 A402		1	1	100%	No filings	No filings	No filings

Initial MOP and Initial Indemnity NOC Filings Third Quarter

7/1/2015 - 9/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	STARR INDEMNITY & LIABILITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	STARR INDEMNITY & LIABILITY TPA Administered Claims						
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	STARR INDEMNITY & LIABILITY Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	TiI- MOD-	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	STATE OF MAINE WORKERS COMPENSATION TRUST Group Total	35	Timely MOPs 33	94%	NOCS Filed	22	96%
_A307	Group 10tal		33	94%	1 23	44	90%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	SYNERIVE T Group Total	29	21	72%	36	36	100%
-AJ4U	Group Total	47 Salau and 24 and 25	<u> </u>	1470	1 30	50	100 /0
	T.H.E INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA317	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	TOKIO MARINE & FIRE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA414	TOKIO MARINE AMERICA INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	No filings	No filings	No filings	1	0	0%
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INSURANCE	8	7	88%	10	8	80%
CA164	FARMINGTON CASUALTY		1	100%	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	1	1	100%	No filings	No filings	No filings
CA306	STANDARD FIRE INSURANCE	1	1	100%	2	1	50%
CA303	ST. PAUL FIRE & MARINE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY	No filings	No filings	No filings	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	3	1	33%	3	3	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	3	2	67%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	No filings	No filings	No filings	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	1	1	100%	1	1	100%
	Total	18	14	78%	18	15	83%
	TRAVELERS INSURANCE TPA Administered Claims						
	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA040		No filings	No filings	No filings	2	2	100%
	GALLAGHER BASSETT SERVICES		No filings	No filings	1	1	100%
CA190 CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	110 100150	0.44	No filings	No filings	No filings
CA190 CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES YORK RISK SERVICES	2	0	0%			
CA190 CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES			0% 0 %	3	3	100%
CA190 CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES YORK RISK SERVICES TPA Total	2	0				100 % 86 %
CA190 CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES YORK RISK SERVICES TPA Total TRAVELERS INSURANCE Group Total	2 2	0 0	0% 70%	21	3 18	86%
CA040 CA190 CA300 CA340	SEDGWICK CLAIMS MANAGEMENT SERVICES YORK RISK SERVICES TPA Total	2 2	0	0%	3	3	

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
dn - 44 - 427	UNDERWRITERS SAFETY & CLAIMS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA360	Group Total	1	1	100%	No filings	No filings	No filings
	UTICA NATIONAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA324	Group Total	1þ	*	*	*	*	*
CA379	VANLINER INSURANCE Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
	XL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total XL INSURANCE TPA Administered Claims	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES		1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	I	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	6	6	100%	2	2	100%
	XL INSURANCE Group Total	6	6	100%	2	2	100%
	YORK RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	4	1 Innery WOLS	25%	2	1	50%
	Group Total						
	ZURICH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A022	AMERICAN ZURICH	4	1	25%	6	6	100%
CA400	ZURICH AMERICAN INSURANCE	3	2	67%	3	3	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No filings	No filings	No filings	No filings	No filings	No filings
	Total	7 Section	3	43%	9	9	100%
CA040	ZURICH INSURANCE TPA Administered Claims BROADSPIRE SERVICES	*	*	*	1 *	*	*
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS ESIS	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	9	7	78%	1		100%
	ZURICH INSURANCE Group Total	16	10	63%	10	10	100%
	ZURICH INSURANCE Group Total	16	10	65%	[10]	10	100%