

# MAINE STATE LEGISLATURE

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# QUARTERLY COMPLIANCE REPORT

STATE OF MAINE  
WORKERS' COMPENSATION BOARD



## Second Quarter 2015 Report

April 1, 2015 – June 30, 2015

Office of Monitoring, Audit & Enforcement

Paul H. Sighinolfi, Esq.  
Executive Director/Chair

27 State House Station  
Augusta, Maine 04333-0027  
(207) 287-3751

**State of Maine  
Workers' Compensation Board  
Quarterly Compliance Report  
Second Quarter 2015**

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## Executive Summary

On October 13, 2015, the Maine Workers' Compensation Board of Directors approved the Second Quarter 2015 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

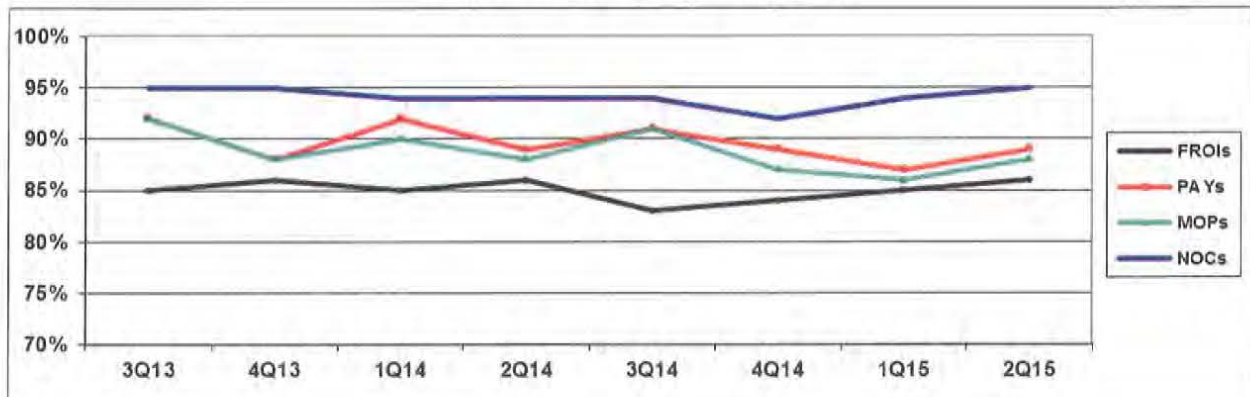
### I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 91 insurers on July 21, 2015; 62 responded, 27 were not required to respond and 2 did not respond.

*The 2Q15 report represents results based upon data received by September 3, 2015. The results are:*

	Number of Days	Benchmark	3Q13	4Q13	1Q14	2Q14	3Q14	4Q14	1Q15	2Q15
FROIs	7	85%	85%	86%	85%	86%	83%	84%	85%	86%
PAYs	14	87%	92%	88%	92%	89%	91%	89%	87%	89%
MOPs	17	85%	92%	88%	90%	88%	91%	87%	86%	88%
NOCs	14	90%	95%	95%	94%	94%	94%	92%	94%	95%

Compliance Benchmark Tracking



### II. CAVEATS & EXPLANATIONS

#### A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

#### B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.



### C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

### D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

### E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

## III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 2Q15:

Auditee	Total Penalties
Hannaford Brothers	\$7,500.00
Safety National Insurance	\$11,650.00
XL Specialty Insurance	\$400.00

## LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

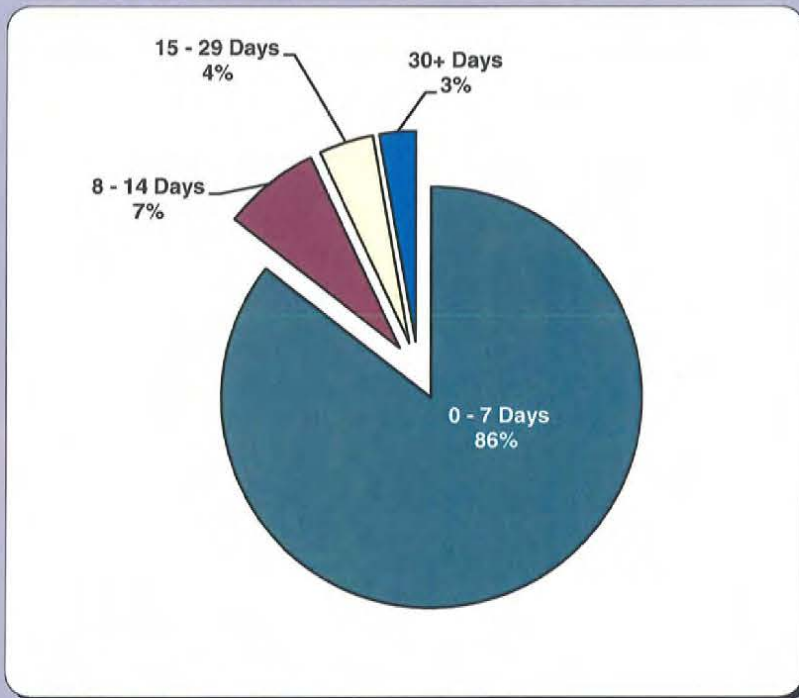


Table 1

Received Within:			
0 - 7	Days	2,781	86%
8 - 14	Days	243	7%
15 - 29	Days	137	4%
30+	Days	92	3%
Total		3,253	100%

Chart 2 Quarterly Compliance

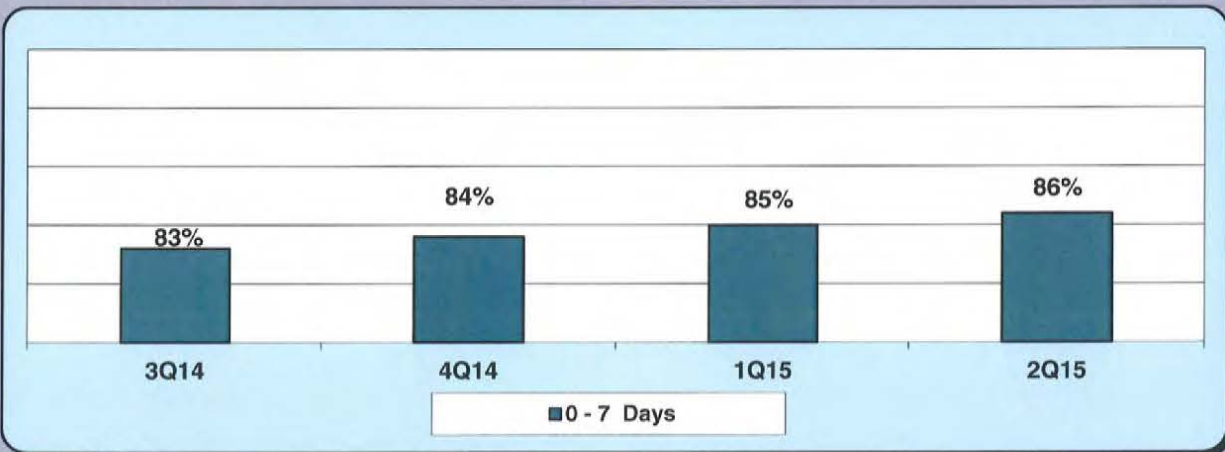
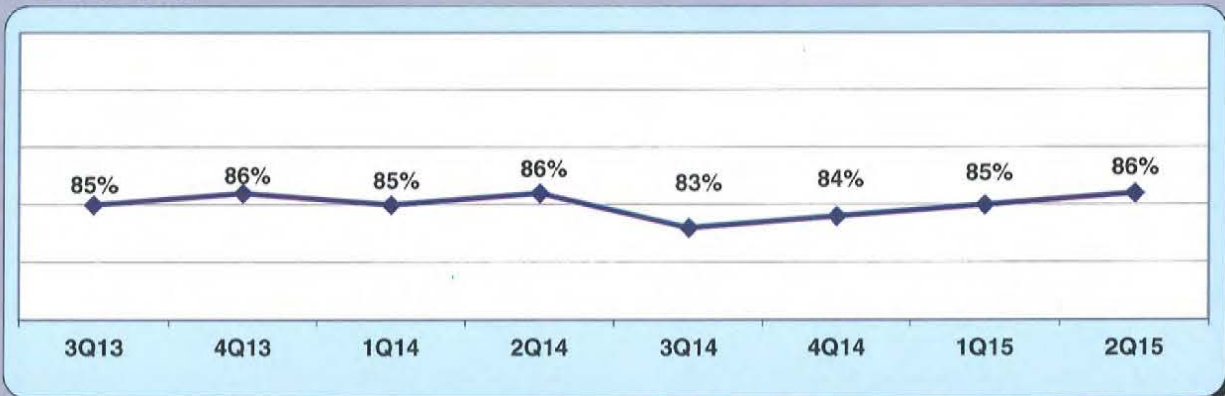


Chart 3 Compliance Trend



## INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

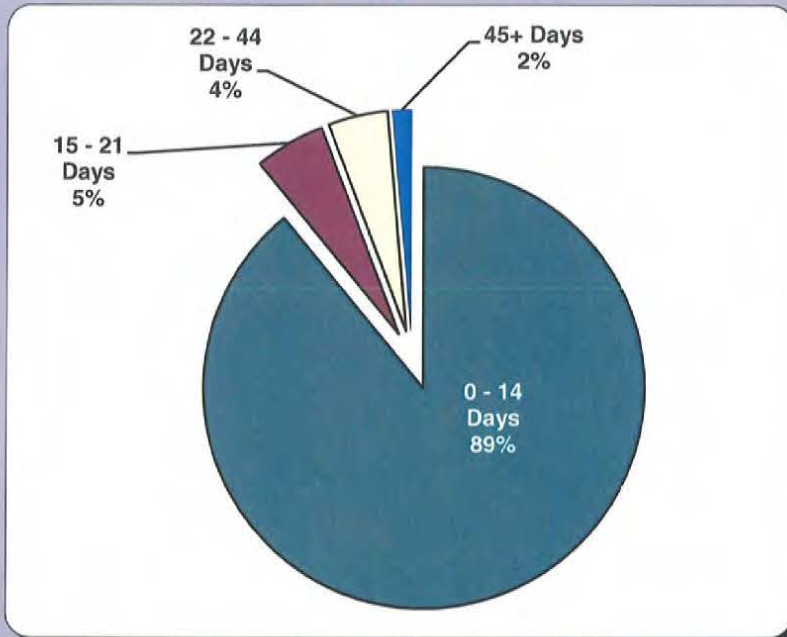


Table 2

Made Within:			
0 - 14	Days	751	89%
15 - 21	Days	44	5%
22 - 44	Days	37	4%
45+	Days	12	2%
?	Days	1	0%
Total		845	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$10,600 was issued to claimants in penalties and there is another \$8,000 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

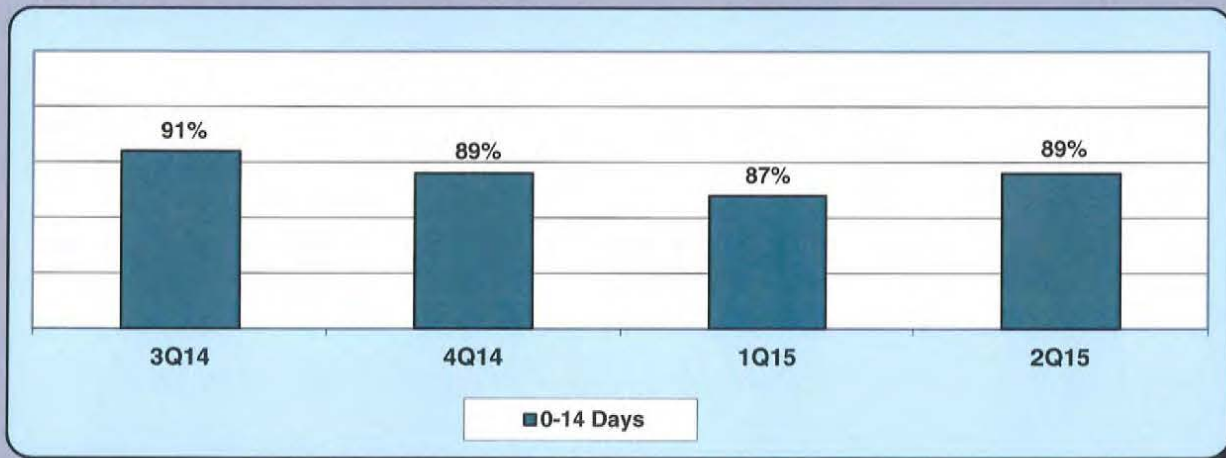
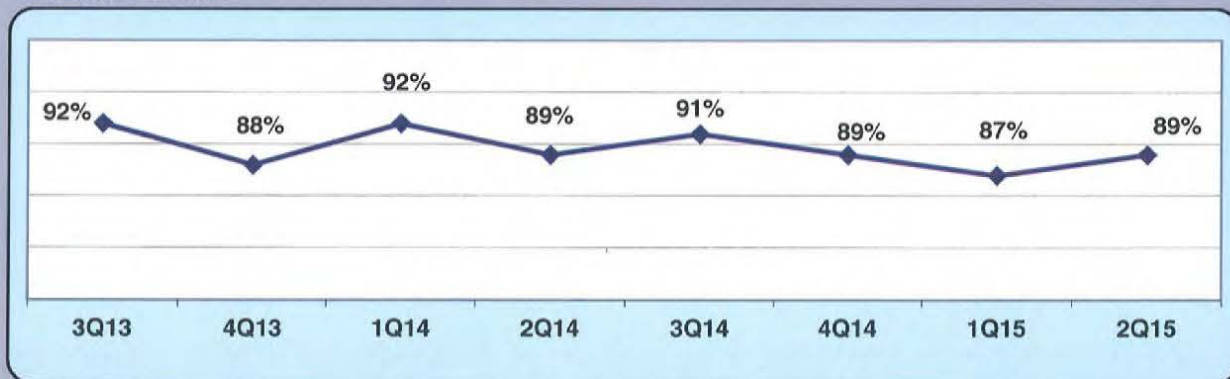


Chart 6 Compliance Trend





## INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

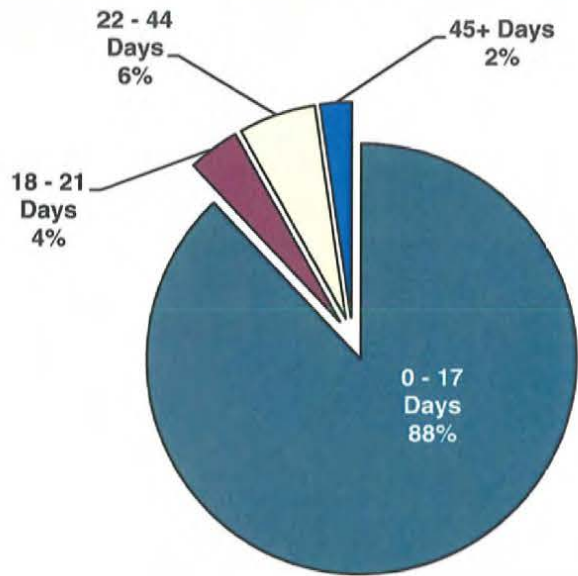


Table 3

Received Within:			
0 - 17	Days	742	88%
18 - 21	Days	33	4%
22 - 44	Days	49	6%
45+	Days	20	2%
?	Days	1	0%
Total		845	100%

Chart 8 Quarterly Compliance

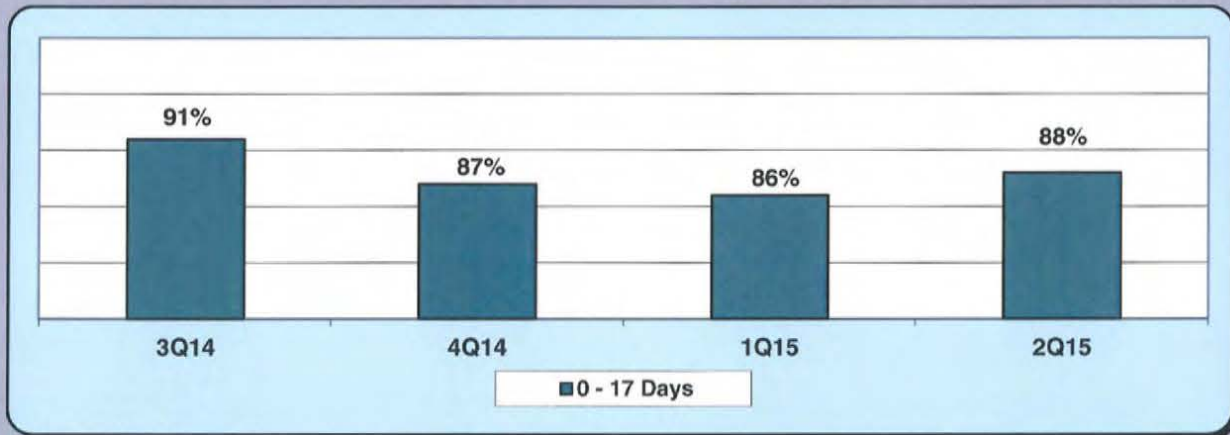
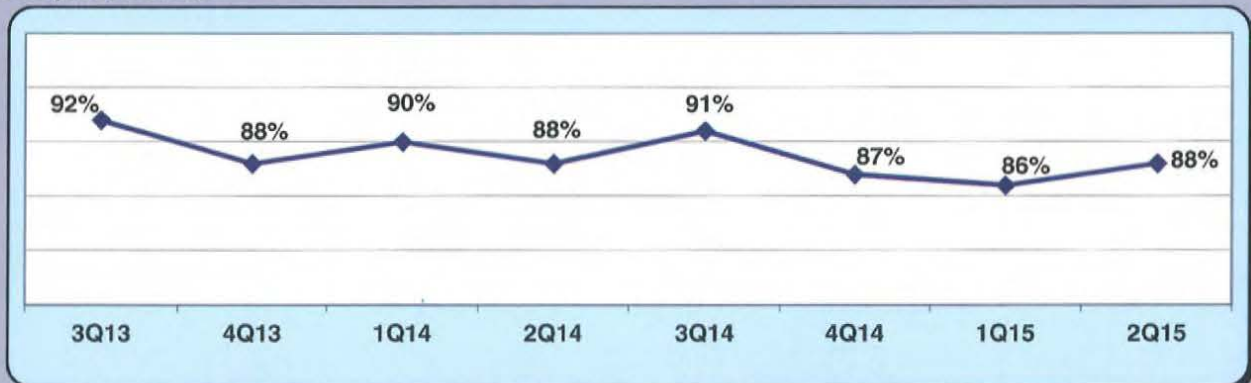


Chart 9 Compliance Trend





## INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

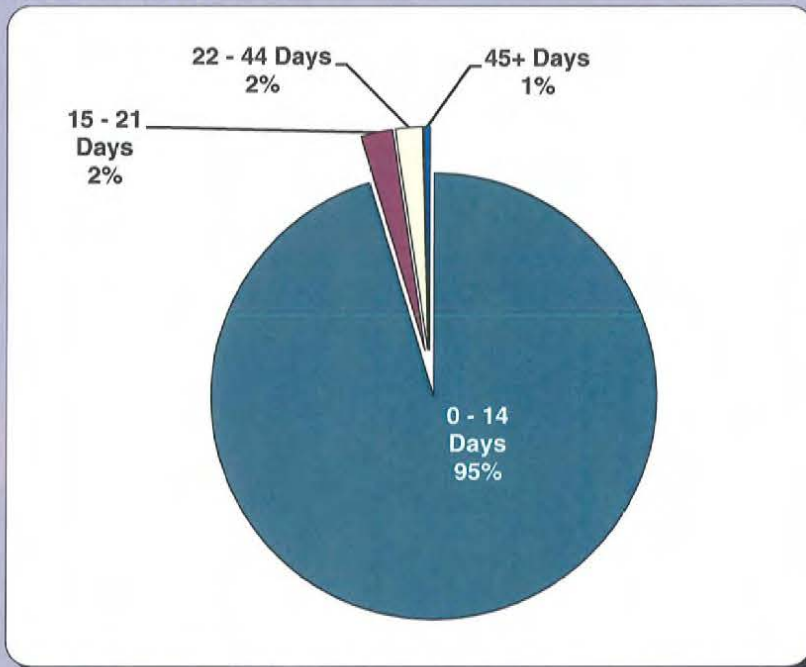


Table 4

Received Within:			
0 - 14	Days	674	95%
15 - 21	Days	16	2%
22 - 44	Days	14	2%
45+	Days	3	1%
Total		707	100%

Chart 11 Quarterly Compliance

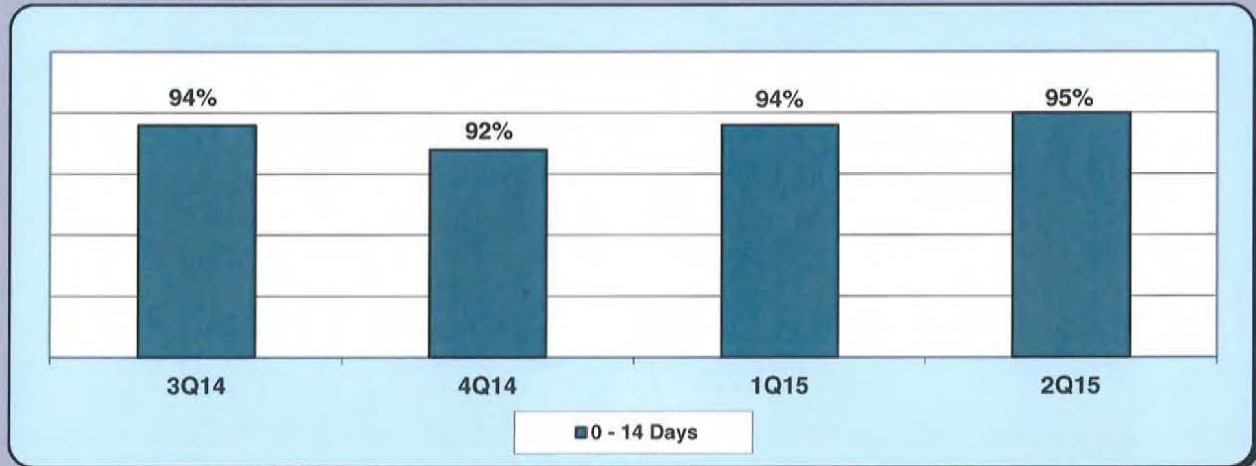
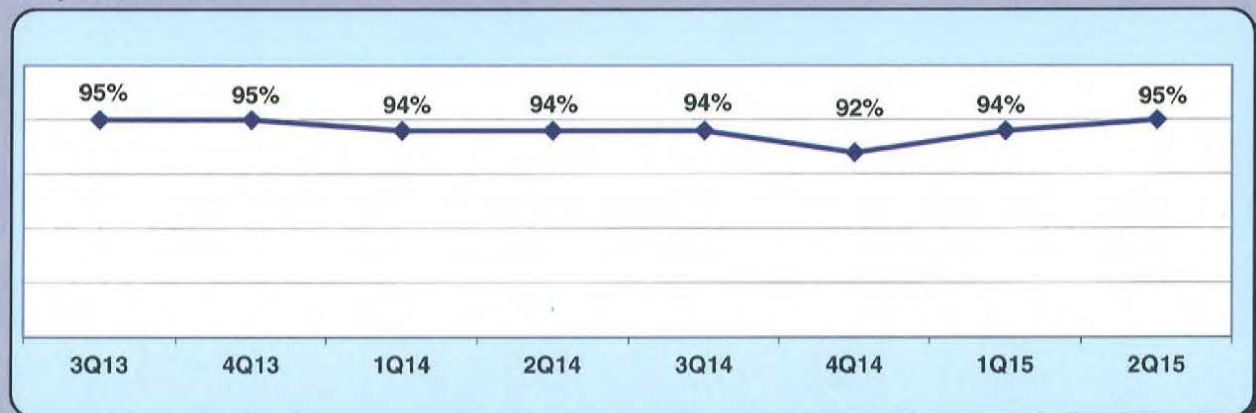


Chart 12 Compliance Trend



## WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

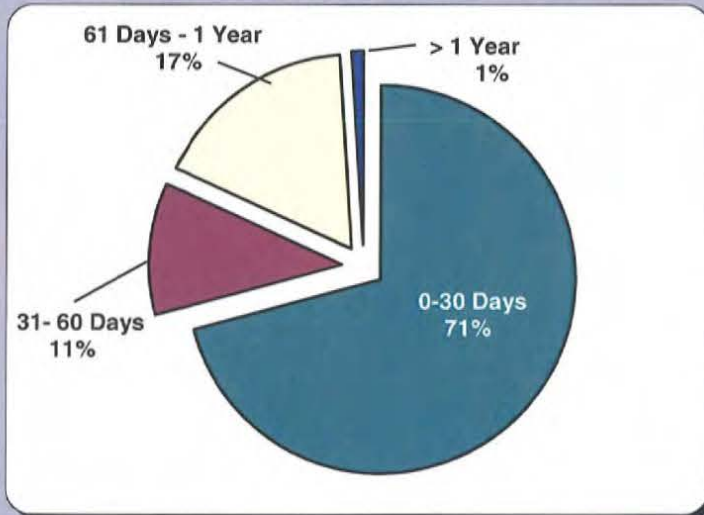


Table 5

**Received Within:**

0-30 Days	1,479	71%
31-60 Days	231	11%
61 Days-1 Year	362	17%
> 1 Year	27	1%
<b>Total</b>	<b>2,099</b>	<b>100%</b>

**Wage Statement(s):** 1,511 (76%) of the 1,997 Wage Statement(s) that were due this quarter were filed timely, 379 (19%) were filed late, and 107 (5%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

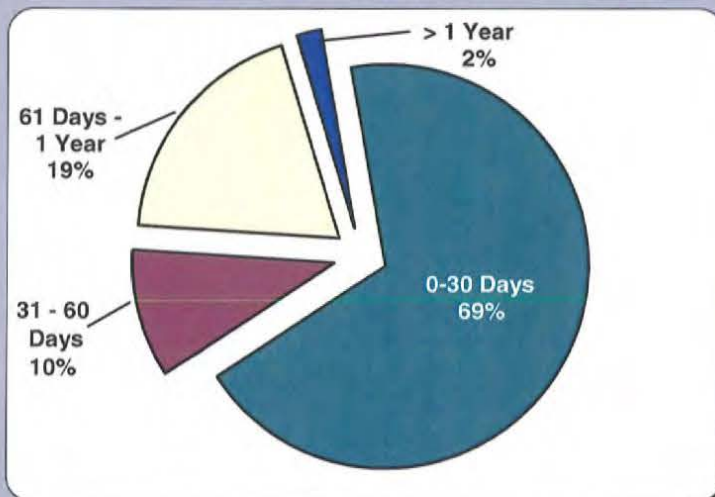


Table 6

**Received Within:**

0-30 Days	1,495	69%
31-60 Days	223	10%
61 Days-1 Year	419	19%
> 1 Year	34	2%
<b>Total</b>	<b>2,171</b>	<b>100%</b>

**Fringe Benefit Worksheet(s):** 1,496 (75%) of the 1,997 Fringe Benefit Worksheet(s) due this quarter were filed timely, 370 (18%) were filed late, and 131 (7%) remain outstanding.

## **Appendix A**

**Insurance Group Compliance  
Lost Time FROI Filings and  
Initial Indemnity Payments  
Second Quarter 2015  
4/1/2015-6/30/2015**





**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ACADIA INSURANCE</b>							
	<b>Group Total</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA010		46	38	83%	19	16	84%
<b>ACE INSURANCE</b>							
	<b>Total</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>ACE INSURANCE TPA Administered Claims</b>	*	*	*	*	*	*
CA012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	9	8	89%	4	3	75%
CA110	CONSTITUTION STATE SERVICES	6	5	83%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	29	21	72%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	23	18	78%	8	6	75%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	58	51	88%	24	23	96%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>128</b>	<b>105</b>	<b>82%</b>	<b>40</b>	<b>36</b>	<b>90%</b>
	<b>ACE INSURANCE Group Total</b>	<b>128</b>	<b>105</b>	<b>82%</b>	<b>40</b>	<b>36</b>	<b>90%</b>
<b>AIG INSURANCE</b>							
	<b>Group Total</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA015	AIG DOMESTIC CLAIMS	71	62	87%	31	29	94%
	<b>Total</b>	<b>71</b>	<b>62</b>	<b>87%</b>	<b>31</b>	<b>29</b>	<b>94%</b>
	<b>AIG INSURANCE TPA Administered Claims</b>	*	*	*	*	*	*
CA070	CANNON COCHRAN MANGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WAL-MART)	49	48	98%	4	4	100%
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	3	1	33%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	3	60%	2	1	50%
	<b>TPA Total</b>	<b>59</b>	<b>56</b>	<b>95%</b>	<b>10</b>	<b>7</b>	<b>70%</b>
	<b>AIG INSURANCE Group Total</b>	<b>130</b>	<b>118</b>	<b>91%</b>	<b>41</b>	<b>36</b>	<b>88%</b>
<b>ALTERNATIVE SERVICE CONCEPTS</b>							
CA012	<b>Group Total</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
		*	*	*	*	*	*
<b>AMTRUST INSURANCE</b>							
	<b>Group Total</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA342	TECHNOLOGY INSURANCE	9	6	67%	1	0	0%
CA381	WESCO INSURANCE	3	0	0%	4	2	50%
	<b>Group Total</b>	<b>12</b>	<b>6</b>	<b>50%</b>	<b>5</b>	<b>2</b>	<b>40%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>ARCH INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	2	1	50%	2	2	100%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	1	25%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	<b>TPA Total</b>	<b>9</b>	<b>4</b>	<b>44%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>ARCH INSURANCE Group Total</b>	<b>9</b>	<b>4</b>	<b>44%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>BATH IRON WORKS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA036	<b>Group Total</b>	<b>133</b>	<b>133</b>	<b>100%</b>	<b>17</b>	<b>16</b>	<b>94%</b>
	<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA114	<b>Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>BROADSPIRE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA040	<b>Group Total</b>	<b>19</b>	<b>14</b>	<b>74%</b>	<b>6</b>	<b>5</b>	<b>83%</b>
	<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA070	<b>Group Total</b>	<b>148</b>	<b>125</b>	<b>84%</b>	<b>51</b>	<b>37</b>	<b>73%</b>
	<b>CHUBB INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA090	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	8	3	38%	4	3	75%
	<b>TPA Total</b>	<b>9</b>	<b>3</b>	<b>33%</b>	<b>4</b>	<b>3</b>	<b>75%</b>
	<b>CHUBB INSURANCE Group Total</b>	<b>9</b>	<b>3</b>	<b>33%</b>	<b>4</b>	<b>3</b>	<b>75%</b>
	<b>CHURCH MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA084	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA100	<b>Group Total</b>	<b>49</b>	<b>48</b>	<b>98%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>CNA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA017	AMERICAN CASUALTY COMPANY	*	*	*	*	*	*
CA083	CNA CLAIMS PLUS	*	*	*	*	*	*
CA050	CONTINENTAL CASUALTY	2	2	100%	No filings	No filings	No filings
	<b>Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>CNA INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	1	0	0%
	<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>Group Total</b>	<b>3</b>	<b>2</b>	<b>67%</b>	<b>1</b>	<b>0</b>	<b>0%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA110	<b>CONSTITUTION STATE SERVICES</b> Group Total	FROIs Filed 6	Timely FROIs 5	Compliance 83%	Payments Made 1	Timely Payments 1	Compliance 100%
CA116	<b>CORVEL ENTERPRISE COMP.</b> Group Total	FROIs Filed 5	Timely FROIs 3	Compliance 60%	Payments Made 1	Timely Payments 0	Compliance 0%
CA117	<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b> Group Total	FROIs Filed 2	Timely FROIs 1	Compliance 50%	Payments Made 2	Timely Payments 2	Compliance 100%
CA093	<b>CROSS INSURANCE</b> Group Total	FROIs Filed 294	Timely FROIs 282	Compliance 96%	Payments Made 29	Timely Payments 27	Compliance 93%
CA375	<b>CRUM &amp; FORSTER</b> UNITED STATES FIRE INSURANCE Group Total	FROIs Filed * *	Timely FROIs * *	Compliance * *	Payments Made * *	Timely Payments * *	Compliance * *
CA300	<b>ELECTRIC INSURANCE</b> Total ELECTRIC INSURANCE TPA Administered Claims SEDGWICK CLAIMS MANAGEMENT SERVICES TPA Total ELECTRIC INSURANCE Group Total	FROIs Filed * 8 8 8	Timely FROIs * 7 7 7	Compliance * 88% 88% 88%	Payments Made * 8 8 8	Timely Payments * 8 8 8	Compliance * 100% 100% 100%
CA160	<b>ESIS</b> Group Total	FROIs Filed 46	Timely FROIs 35	Compliance 76%	Payments Made 5	Timely Payments 5	Compliance 100%
CA091	<b>FEDERATED MUTUAL INSURANCE</b> FEDERATED MUTUAL INSURANCE	FROIs Filed 2	Timely FROIs 2	Compliance 100%	Payments Made 3	Timely Payments 2	Compliance 67%
CA092	FEDERATED SERVICE INSURANCE Group Total	FROIs Filed * 2	Timely FROIs * 2	Compliance * 100%	Payments Made * 3	Timely Payments * 2	Compliance * 67%
CA170	<b>FIREMAN'S FUND INSURANCE</b> Group Total	FROIs Filed 1	Timely FROIs 1	Compliance 100%	Payments Made No filings	Timely Payments No filings	Compliance No filings
CA175	<b>FUTURECOMP</b> Group Total	FROIs Filed 31	Timely FROIs 29	Compliance 94%	Payments Made 10	Timely Payments 9	Compliance 90%
CA190	<b>GALLAGHER BASSETT SERVICES</b> Group Total	FROIs Filed 87	Timely FROIs 64	Compliance 74%	Payments Made 30	Timely Payments 20	Compliance 67%
CA193	<b>GREAT AMERICAN INSURANCE</b> Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
CA189	<b>GREAT DIVIDE INSURANCE</b> Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>GREAT FALLS INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	56	47	84%	21	12	57%
	TPA Total	56	47	84%	21	12	57%
	<b>GREAT FALLS INSURANCE Group Total</b>	<b>56</b>	<b>47</b>	<b>84%</b>	<b>21</b>	<b>12</b>	<b>57%</b>
	<b>GREAT WEST INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA196	Group Total	*	*	*	*	*	*
	<b>GUARANTEE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	1	0	0%	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	3	0	0%	3	1	33%
	TPA Total	3	0	0%	3	1	33%
CA195	<b>GUARANTEE INSURANCE Group Total</b>	<b>4</b>	<b>0</b>	<b>0%</b>	<b>3</b>	<b>1</b>	<b>33%</b>
	<b>GUARD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	25	14	56%	5	4	80%
CA140	EASTGUARD INSURANCE	7	3	43%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE	4	0	0%	1	1	100%
	Group Total	36	17	47%	6	5	83%
	<b>HANNAFORD BROTHERS</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA201	Group Total	73	43	59%	30	21	70%
	<b>HANNOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340	YORK RISK SERVICES	3	0	0%	1	1	100%
	TPA Total	3	0	0%	1	1	100%
	<b>HANNOVER INSURANCE Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>HANOVER INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	3	1	33%	2	2	100%
CA202	HANOVER INSURANCE	10	8	80%	4	4	100%
CA228	MASSACHUSETTS BAY INSURANCE	6	4	67%	No filings	No filings	No filings
	Group Total	19	13	68%	6	6	100%



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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	<b>HARTFORD INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	3	2	67%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE	1	1	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	3	3	100%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	12	11	92%	4	4	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	5	5	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	No filings	No filings	No filings	1	0	0%
CA319	TRUMBULL INSURANCE	19	17	89%	5	5	100%
CA321	TWIN CITY FIRE INSURANCE	4	4	100%	2	2	100%
	<b>Total</b>	<b>48</b>	<b>44</b>	<b>92%</b>	<b>13</b>	<b>12</b>	<b>92%</b>
	<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	5	3	60%	2	1	50%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	7	5	71%	4	4	100%
	<b>TPA Total</b>	<b>17</b>	<b>13</b>	<b>76%</b>	<b>7</b>	<b>6</b>	<b>86%</b>
	<b>HARTFORD INSURANCE Group Total</b>	<b>65</b>	<b>57</b>	<b>88%</b>	<b>20</b>	<b>18</b>	<b>90%</b>
	<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	<b>20</b>	<b>15</b>	<b>75%</b>	<b>11</b>	<b>9</b>	<b>82%</b>
	<b>LIBERTY MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	5	2	40%	3	1	33%
CA162	EXCELSIOR INSURANCE	2	0	0%	1	1	100%
CA210	LIBERTY MUTUAL INSURANCE	48	32	67%	22	16	73%
CA407	OHIO SECURITY INSURANCE	1	1	100%	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	12	6	50%	8	7	88%
CA283	PEERLESS INDEMNITY INSURANCE	2	1	50%	No filings	No filings	No filings
CA309	THE NETHERLANDS INSURANCE	2	1	50%	No filings	No filings	No filings
	<b>Total</b>	<b>72</b>	<b>43</b>	<b>60%</b>	<b>34</b>	<b>25</b>	<b>74%</b>
	<b>MACY'S CORPORATE SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA213	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	<b>20</b>	<b>18</b>	<b>90%</b>	<b>11</b>	<b>11</b>	<b>100%</b>
	<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	<b>1097</b>	<b>933</b>	<b>85%</b>	<b>305</b>	<b>289</b>	<b>95%</b>
	<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	<b>36</b>	<b>32</b>	<b>89%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	<b>56</b>	<b>52</b>	<b>93%</b>	<b>5</b>	<b>3</b>	<b>60%</b>

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	237	231	97%	46	44	96%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	70	65	93%	18	17	94%
	MATRIX ABSENCE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA252	Group Total	1	0	0%	1	1	100%
	MEADOWBROOK INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	2	2	100%	3	3	100%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	*	*	*	*	*	*
	NATIONWIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA198	HARLEYSVILLE WORSTER INSURANCE	1	0	0%	No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
	Total	1	0	0%	No filings	No filings	No filings
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	2	0	0%	No filings	No filings	No filings
	NORTH RIVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	NORTH RIVER INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	6	86%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	4	100%	2	1	50%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	3	2	67%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	6	3	50%	3	2	67%
CA204	HELMSMAN MANAGEMENT SERVICES	2	1	50%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	9	9	100%	6	6	100%
	TPA Total	31	25	81%	14	12	86%
	OLD REPUBLIC INSURANCE Group Total	31	25	81%	14	12	86%
	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274	Group Total	2	1	50%	1	1	100%

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<b>PATRIOT RISK SERVICES</b>							
CA292	Group Total	3	0	0%	3	1	33%
<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>							
	Group Total	*	*	*	*	*	*
<b>PENNSYLVANIA MFG. ASSN. TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	7	3	43%	3	1	33%
	TPA Total	7	3	43%	3	1	33%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	7	3	43%	3	1	33%
<b>PROTECTIVE INSURANCE</b>							
CA277	Total	*	*	*	*	*	*
<b>PROTECTIVE INSURANCE TPA Administered Claims</b>							
CA320	SYNERNET	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	PROTECTIVE INSURANCE Group Total	*	*	*	*	*	*
<b>PUBLIC SERVICE INSURANCE</b>							
CA282	Group Total	1	0	0%	No filings	No filings	No filings
<b>QBE INSURANCE</b>							
	Total	*	*	*	*	*	*
<b>QBE INSURANCE TPA Administered Claims</b>							
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	6	60%	4	3	75%
	TPA Total	11	6	55%	5	4	80%
	QBE INSURANCE Group Total	11	6	55%	5	4	80%
<b>SAFETY NATIONAL INSURANCE</b>							
	Total	*	*	*	*	*	*
<b>SAFETY NATIONAL INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	2	1	50%	1	0	0%
CA160	ESIS	3	3	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	12	11	92%	2	2	100%
CA252	MATRIX ABSENCE MANAGEMENT	1	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	1	0	0%
	TPA Total	24	18	75%	7	5	71%
	SAFETY NATIONAL INSURANCE Group Total	24	18	75%	7	5	71%

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	<b>SEABRIGHT INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA298	Group Total	*	*	*	*	*	*
	<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA300	Group Total	142	118	83%	54	51	94%
	<b>SENTRY INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA305	SENTRY INSURANCE	2	2	100%	1	1	100%
CA402	SENTRY CASUALTY	*	*	*	*	*	*
	Group Total	2	2	100%	1	1	100%
	<b>SOMPO INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>SOMPO INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	SOMPO INSURANCE Group Total	1	1	100%	1	1	100%
	<b>SPARTA INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>SPARTA INSURANCE TPA Administered Claims</b>						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	SPARTA INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
	<b>STARR INDEMNITY &amp; LIABILITY</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>STARR INDEMNITY &amp; LIABILITY TPA Administered Claims</b>						
CA160	ESIS	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	STARR INDEMNITY & LIABILITY Group Total	*	*	*	*	*	*
	<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA307	Group Total	152	135	89%	32	31	97%
	<b>SYNERNET</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA320	Group Total	120	107	89%	15	13	87%
	<b>T.H.E INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA317	Group Total	*	*	*	*	*	*
	<b>TOKIO MARINE &amp; FIRE INSURANCE</b>	<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA414	TOKIO MARINE AMERICA INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	1	1	100%	No filings	No filings	No filings



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<b>TRAVELERS INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	41	30	73%	12	11	92%
CA164	FARMINGTON CASUALTY	1	1	100%	1	1	100%
CA284	PHOENIX INSURANCE	3	0	0%	2	2	100%
CA306	STANDARD FIRE INSURANCE	6	5	83%	2	1	50%
CA347	TRAVELERS CASUALTY & SURETY	3	1	33%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	4	2	50%	3	2	67%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	1	1	100%	1	1	100%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	1	0	0%	1	1	100%
	<b>Total</b>	<b>61</b>	<b>41</b>	<b>67%</b>	<b>24</b>	<b>21</b>	<b>88%</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	2	0	0%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>2</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>63</b>	<b>41</b>	<b>65%</b>	<b>25</b>	<b>22</b>	<b>88%</b>
<b>UTICA NATIONAL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA324	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>VANLINER INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA379	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>XL INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	3	2	67%	No filings	No filings	No filings
CA160	ESIS	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	7	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>19</b>	<b>17</b>	<b>89%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>XL INSURANCE Group Total</b>	<b>19</b>	<b>17</b>	<b>89%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>YORK RISK SERVICES</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA340	<b>Group Total</b>	<b>5</b>	<b>0</b>	<b>0%</b>	<b>2</b>	<b>1</b>	<b>50%</b>

**INSURANCE GROUP COMPLIANCE**  
Lost Time FROI and Initial Indemnity Payments  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
<b>ZURICH INSURANCE</b>		<b>FROIs Filed</b>	<b>Timely FROIs</b>	<b>Compliance</b>	<b>Payments Made</b>	<b>Timely Payments</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	7	6	86%	1	1	100%
CA400	ZURICH AMERICAN INSURANCE	5	5	100%	3	3	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	3	3	100%	1	1	100%
	<b>Total</b>	<b>15</b>	<b>14</b>	<b>93%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
<b>ZURICH INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	3	2	67%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	6	6	100%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>10</b>	<b>9</b>	<b>90%</b>	<b>2</b>	<b>1</b>	<b>50%</b>
	<b>ZURICH INSURANCE Group Total</b>	<b>25</b>	<b>23</b>	<b>92%</b>	<b>7</b>	<b>6</b>	<b>86%</b>

## **Appendix B**

### **Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings Second Quarter 2015 4/1/2015-6/30/2015**





**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA010	<b>ACADIA INSURANCE</b> Group Total	MOPs Filed 19	Timely MOPs 16	Compliance 84%	NOCs Filed 9	Timely NOCs 9	Compliance 100%
	<b>ACE INSURANCE</b> Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	<b>ACE INSURANCE TPA Administered Claims</b>						
CA012	ALTERNATIVE SERVICE CONCEPTS	*	*	*	*	*	*
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	4	3	75%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	1	1	100%	4	2	50%
CA116	CORVEL ENTERPRIS COMP.	*	*	*	*	*	*
CA160	ESIS	2	2	100%	7	7	100%
CA190	GALLAGHER BASSETT SERVICES	8	7	88%	5	4	80%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	24	23	96%	7	7	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	40	37	93%	25	21	84%
	ACE INSURANCE Group Total	40	37	93%	25	21	84%
	<b>AIG INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA015	AIG DOMESTIC CLAIMS	31	29	94%	11	11	100%
	Total	31	29	94%	11	11	100%
	<b>AIG INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA100	CLAIMS MANAGEMENT (WALMART)	4	4	100%	16	16	100%
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	No filings	No filings	No filings
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	TPA Total	10	7	70%	17	17	100%
	AIG INSURANCE Group Total	41	36	88%	28	28	100%
CA012	<b>ALTERNATIVE SERVICE CONCEPTS</b> Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	<b>AMTRUST INSURANCE</b>	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA342	TECHNOLOGY INSURANCE	1	0	0%	2	1	50%
CA381	WESCO INSURANCE	4	0	0%	No filings	No filings	No filings
	Group Total	5	0	0%	2	1	50%

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>ARCH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>ARCH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	<b>TPA Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>ARCH INSURANCE Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>BATH IRON WORKS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA036	<b>Group Total</b>	<b>17</b>	<b>16</b>	<b>94%</b>	<b>23</b>	<b>23</b>	<b>100%</b>
	<b>BERKSHIRE HATHAWAY INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA114	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>BROADSPIRE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA040	<b>Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>6</b>	<b>6</b>	<b>100%</b>
	<b>CANNON COCHRAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA070	<b>Group Total</b>	<b>51</b>	<b>43</b>	<b>84%</b>	<b>30</b>	<b>29</b>	<b>97%</b>
	<b>CHUBB INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA090	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>CHUBB INSURANCE TPA Administered Claims</b>						
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>4</b>	<b>4</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>CHUBB INSURANCE Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>CHURCH MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA080	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>CLAIMS MANAGEMENT (WALMART)</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA100	<b>Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b>	<b>16</b>	<b>16</b>	<b>100%</b>
	<b>CNA INSURANCE</b>	<b>MOPS Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA017	AMERICAN CASUALTY COMPANY	*	*	*	*	*	*
CA083	CNA CLAIMS PLUS	*	*	*	*	*	*
CA050	CONTINENTAL CASUALTY	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>CNA INSURANCE TPA Administered Claims</b>						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>CONSTITUTION STATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA110	Group Total	1	1	100%	4	2	50%
	<b>CORVEL ENTERPRISE COMP.</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA116	Group Total	1	0	0%	2	2	100%
	<b>COTTINGHAM &amp; BUTLER CLAIMS SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA117	Group Total	2	2	100%	No filings	No filings	No filings
	<b>CROSS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Group Total	29	29	100%	74	72	97%
	<b>CRUM &amp; FORSTER</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>ELECTRIC INSURANCE TPA Administered Claims</b>						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	3	38%	1	0	0%
	TPA Total	8	3	38%	1	0	0%
	<b>ELECTRIC INSURANCE Group Total</b>	<b>8</b>	<b>3</b>	<b>38%</b>	<b>1</b>	<b>0</b>	<b>0%</b>
	<b>ESIS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA160	Group Total	5	5	100%	12	11	92%
	<b>FEDERATED MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA091	FEDERATED MUTUAL INSURANCE	3	2	67%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	*	*	*	*	*	*
	Group Total	3	2	67%	No filings	No filings	No filings
	<b>FIREMAN'S FUND INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA170	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	<b>FUTURECOMP</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA175	Group Total	10	8	80%	9	9	100%
	<b>GALLAGHER BASSETT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA190	Group Total	30	23	77%	12	11	92%
	<b>GREAT AMERICAN INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA193	Group Total	*	*	*	*	*	*
	<b>GREAT DIVIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA189	Group Total	*	*	*	*	*	*

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>GREAT FALLS INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>GREAT FALLS INSURANCE TPA Administered Claims</b>						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	21	16	76%	11	11	100%
	<b>TPA Total</b>	<b>21</b>	<b>16</b>	<b>76%</b>	<b>11</b>	<b>11</b>	<b>100%</b>
	<b>GREAT FALLS INSURANCE Group Total</b>	<b>21</b>	<b>16</b>	<b>76%</b>	<b>11</b>	<b>11</b>	<b>100%</b>
	<b>GREAT WEST INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA196	Group Total	*	*	*	*	*	*
	<b>GUARANTEE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	No filings	No filings	No filings	No filings	No filings	No filings
	<b>GUARANTEE INSURANCE TPA Administered Claims</b>						
CA292	PATRIOT RISK SERVICES	3	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>3</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
CA195	<b>GUARANTEE INSURANCE Group Total</b>	<b>3</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>GUARD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA019	AMGUARD INSURANCE	5	5	100%	2	1	50%
CA140	EASTGUARD INSURANCE	No filings	No filings	No filings	1	0	0%
CA272	NORGUARD INSURANCE	1	1	100%	No filings	No filings	No filings
	<b>Group Total</b>	<b>6</b>	<b>6</b>	<b>100%</b>	<b>3</b>	<b>1</b>	<b>33%</b>
	<b>HANNAFORD BROTHERS</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA201	Group Total	30	20	67%	6	3	50%
	<b>HANNOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	Total	*	*	*	*	*	*
	<b>HANNOVER INSURANCE TPA Administered Claims</b>						
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	<b>TPA Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>HANNOVER INSURANCE Group Total</b>	<b>1</b>	<b>0</b>	<b>0%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>HANOVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	2	2	100%	No filings	No filings	No filings
CA202	HANOVER INSURANCE	4	3	75%	No filings	No filings	No filings
CA228	MASSACHUSETTS BAY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	<b>Group Total</b>	<b>6</b>	<b>5</b>	<b>83%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

**INSURANCE GROUP COMPLIANCE**  
Initial MOP and Initial Indemnity NOC Filings  
Second Quarter  
4/1/2015 - 6/30/2015

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>HARTFORD INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	1	100%	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	4	4	100%	3	3	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	No filings	No filings	No filings	1	1	100%
CA296	SENTINEL INSURANCE	1	0	0%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	5	5	100%	7	7	100%
CA321	TWIN CITY FIRE INSURANCE	2	2	100%	No filings	No filings	No filings
	<b>Total</b>	<b>13</b>	<b>12</b>	<b>92%</b>	<b>11</b>	<b>11</b>	<b>100%</b>
	<b>HARTFORD INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	2	1	50%	2	2	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
	<b>TPA Total</b>	<b>7</b>	<b>6</b>	<b>86%</b>	<b>3</b>	<b>3</b>	<b>100%</b>
	<b>HARTFORD INSURANCE Group Total</b>	<b>20</b>	<b>18</b>	<b>90%</b>	<b>14</b>	<b>14</b>	<b>100%</b>
	<b>HELMSMAN MANAGEMENT SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA204	<b>Group Total</b>	<b>11</b>	<b>9</b>	<b>82%</b>	<b>4</b>	<b>3</b>	<b>75%</b>
	<b>LIBERTY MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA380	EMPLOYERS INSURANCE OF WAUSAU	3	1	33%	No filings	No filings	No filings
CA162	EXCELSIOR INSURANCE	1	1	100%	No filings	No filings	No filings
CA210	LIBERTY MUTUAL INSURANCE	22	17	77%	7	6	86%
CA407	OHIO SECURITY INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	8	7	88%	No filings	No filings	No filings
CA283	PEERLESS INDEMNITY INSURANCE	No filings	No filings	No filings	2	2	100%
CA309	THE NETHERLANDS INSURANCE	No filings	No filings	No filings	1	1	100%
	<b>Total</b>	<b>34</b>	<b>26</b>	<b>76%</b>	<b>10</b>	<b>9</b>	<b>90%</b>
	<b>MACY'S CORPORATE SERVICES</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA213	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>1</b>	<b>1</b>	<b>100%</b>
	<b>MAINE AUTOMOBILE DEALERS ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA220	<b>Group Total</b>	<b>11</b>	<b>11</b>	<b>100%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>MAINE EMPLOYERS' MUTUAL INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA260	<b>Group Total</b>	<b>305</b>	<b>286</b>	<b>94%</b>	<b>224</b>	<b>218</b>	<b>97%</b>
	<b>MAINE HEALTHCARE ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA234	<b>Group Total</b>	<b>4</b>	<b>4</b>	<b>100%</b>	<b>11</b>	<b>10</b>	<b>91%</b>
	<b>MAINE MOTOR TRANSPORT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA230	<b>Group Total</b>	<b>5</b>	<b>4</b>	<b>80%</b>	<b>8</b>	<b>8</b>	<b>100%</b>



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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>MAINE MUNICIPAL ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA225	<b>Group Total</b>	<b>46</b>	<b>43</b>	<b>93%</b>	<b>86</b>	<b>84</b>	<b>98%</b>
	<b>MAINE SCHOOL MANAGEMENT ASSOCIATION</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA250	<b>Group Total</b>	<b>18</b>	<b>17</b>	<b>94%</b>	<b>12</b>	<b>12</b>	<b>100%</b>
	<b>MATRIX ABSENCE MANAGEMENT</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA252	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>MEADOWBROOK INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA252	<b>Group Total</b>	<b>3</b>	<b>3</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NATIONAL INTERSTATE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA267	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NATIONWIDE INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA198	HARLEYSVILLE WORSTER INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
	<b>Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NGM INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA265	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NORTH RIVER INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>NORTH RIVER INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	<b>TPA Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>NORTH RIVER INSURANCE Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>OLD REPUBLIC INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
	<b>OLD REPUBLIC INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	1	1	100%	4	4	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	*	*	*	*	*	*
CA160	ESIS	No filings	No filings	No filings	2	1	50%
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	2	2	100%
	<b>TPA Total</b>	<b>14</b>	<b>11</b>	<b>79%</b>	<b>13</b>	<b>12</b>	<b>92%</b>
	<b>OLD REPUBLIC INSURANCE Group Total</b>	<b>14</b>	<b>11</b>	<b>79%</b>	<b>13</b>	<b>12</b>	<b>92%</b>
	<b>PATRIOT INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA274	<b>Group Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>PATRIOT RISK SERVICES</b>							
CA292	Group Total	3	0	0%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
<b>PENNSYLVANIA MANUFACTURERS' ASSOCIATION</b>							
	Total	*	*	*	NOCs Filed *	Timely NOCs *	Compliance *
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	No filings	No filings	No filings
	TPA Total	3	1	33%	No filings	No filings	No filings
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	3	1	33%	No filings	No filings	No filings
<b>PROTECTIVE INSURANCE</b>							
CA277	Total	*	*	*	NOCs Filed *	Timely NOCs *	Compliance *
	PROTECTIVE INSURANCE TPA Administered Claims						
CA320	SYNERNET	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	PROTECTIVE INSURANCE Group Total	*	*	*	*	*	*
<b>PUBLIC SERVICE INSURANCE</b>							
CA282	Group Total	No filings	No filings	No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
<b>QBE INSURANCE</b>							
	Total	*	*	*	NOCs Filed *	Timely NOCs *	Compliance *
	QBE INSURANCE GROUP TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	3	75%	3	3	100%
	TPA Total	5	4	80%	3	3	100%
	QBE INSURANCE Group Total	5	4	80%	3	3	100%
<b>SAFETY NATIONAL INSURANCE</b>							
	Total	*	*	*	NOCs Filed *	Timely NOCs *	Compliance *
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	1	100%
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA252	MATRIX ABSENCE MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	1	0	0%
	TPA Total	7	5	71%	4	3	75%
	SAFETY NATIONAL INSURANCE Group Total	7	5	71%	4	3	75%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>SEABRIGHT INSURANCE</b>							
CA298	Group Total	*	*	*	*	*	*
<b>SEDGWICK CLAIMS MANAGEMENT SERVICES</b>							
CA300	Group Total	54	45	83%	26	24	92%
<b>SENTRY INSURANCE</b>							
CA305	SENTRY INSURANCE	1	1	100%	1	1	100%
CA402	SENTRY CASUALTY	*	*	*	*	*	*
	Group Total	1	1	100%	1	1	100%
<b>SOMPO INSURANCE</b>							
	Total	*	*	*	*	*	*
<b>SOMPO INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	SOMPO INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
<b>SPARTA INSURANCE</b>							
	Total	*	*	*	*	*	*
<b>SPARTA INSURANCE TPA Administered Claims</b>							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	SPARTA INSURANCE Group Total	No filings	No filings	No filings	No filings	No filings	No filings
<b>STARR INDEMNITY &amp; LIABILITY</b>							
	Total	*	*	*	*	*	*
<b>STARR INDEMNITY &amp; LIABILITY TPA Administered Claims</b>							
CA160	ESIS	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	STARR INDEMNITY & LIABILITY Group Total	*	*	*	*	*	*
<b>STATE OF MAINE WORKERS' COMPENSATION TRUST</b>							
CA307	Group Total	32	32	100%	29	28	97%
<b>SYNERNET</b>							
CA320	Group Total	15	15	100%	36	34	94%
<b>T.H.E INSURANCE</b>							
CA317	Group Total	*	*	*	*	*	*
<b>TOKIO MARINE &amp; FIRE INSURANCE</b>							
CA414	TOKIO MARINE AMERICA INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	No filings	No filings	No filings	1	1	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
<b>TRAVELERS INSURANCE</b>							
		<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA072	CHARTER OAK FIRE INSURANCE	12	6	50%	11	11	100%
CA164	FARMINGTON CASUALTY	1	1	100%	No filings	No filings	No filings
CA284	PHOENIX INSURANCE	2	1	50%	No filings	No filings	No filings
CA306	STANDARD FIRE INSURANCE	2	1	50%	3	2	67%
CA347	TRAVELERS CASUALTY & SURETY	2	1	50%	2	1	50%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	3	0	0%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	No filings	No filings	No filings	1	1	100%
CA343	TRAVELERS INDEMNITY COMPANY	*	*	*	*	*	*
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	1	1	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	1	1	100%	1	0	0%
	<b>Total</b>	<b>24</b>	<b>12</b>	<b>50%</b>	<b>18</b>	<b>15</b>	<b>83%</b>
<b>TRAVELERS INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>1</b>	<b>1</b>	<b>100%</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
	<b>TRAVELERS INSURANCE Group Total</b>	<b>25</b>	<b>13</b>	<b>52%</b>	<b>18</b>	<b>15</b>	<b>83%</b>
<b>UTICA NATIONAL INSURANCE</b>							
CA324	<b>Group Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>VANLINER INSURANCE</b>							
	<b>Group Total</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>	<b>No filings</b>
<b>XL INSURANCE</b>							
	<b>Total</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>	<b>*</b>
<b>XL INSURANCE TPA Administered Claims</b>							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	3	3	100%
	<b>TPA Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
	<b>XL INSURANCE Group Total</b>	<b>2</b>	<b>2</b>	<b>100%</b>	<b>4</b>	<b>4</b>	<b>100%</b>
<b>YORK RISK SERVICES</b>							
CA340	<b>Group Total</b>	<b>2</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>0</b>	<b>0%</b>

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	<b>ZURICH INSURANCE</b>	<b>MOPs Filed</b>	<b>Timely MOPs</b>	<b>Compliance</b>	<b>NOCs Filed</b>	<b>Timely NOCs</b>	<b>Compliance</b>
CA022	AMERICAN ZURICH	1	1	100%	4	4	100%
CA400	ZURICH AMERICAN INSURANCE	3	3	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	1	1	100%	No filings	No filings	No filings
	<b>Total</b>	<b>5</b>	<b>5</b>	<b>100%</b>	<b>5</b>	<b>5</b>	<b>100%</b>
	<b>ZURICH INSURANCE TPA Administered Claims</b>						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	<b>TPA Total</b>	<b>2</b>	<b>1</b>	<b>50%</b>	<b>2</b>	<b>2</b>	<b>100%</b>
	<b>ZURICH INSURANCE Group Total</b>	<b>7</b>	<b>6</b>	<b>86%</b>	<b>7</b>	<b>7</b>	<b>100%</b>