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QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD



Fourth Quarter 2014 Report

October 1, 2014 – December 31, 2014

Office of Monitoring, Audit & Enforcement

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**State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Fourth Quarter 2014**

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Executive Summary

On April 7, 2015, the Maine Workers' Compensation Board of Directors approved the Fourth Quarter 2014 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

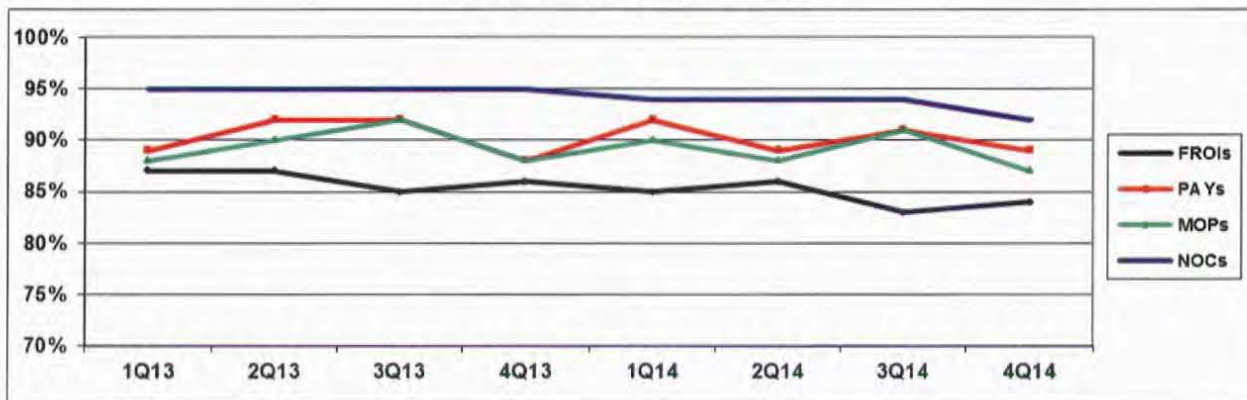
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 87 insurers on January 27, 2015; 64 responded, 20 were not required to respond and 3 did not respond.

The 4Q14 report represents results based upon data received by March 2, 2015. The results are:

	Number of Days	Benchmark	1Q13	2Q13	3Q13	4Q13	1Q14	2Q14	3Q14	4Q14
FROIs	7	85%	87%	87%	85%	86%	85%	86%	83%	84%
PAYs	14	87%	89%	92%	92%	88%	92%	89%	91%	89%
MOPs	17	85%	88%	90%	92%	88%	90%	88%	91%	87%
NOCs	14	90%	95%	95%	95%	95%	94%	94%	94%	92%

Compliance Benchmark Tracking



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 4Q14:

Auditee (alpha order)	Total Penalties
Gallagher Bassett Services	\$4,950.00
NGM Insurance	\$2,350.00
Synernet	\$4,500.00
York Risk Services	\$19,300.00

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LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

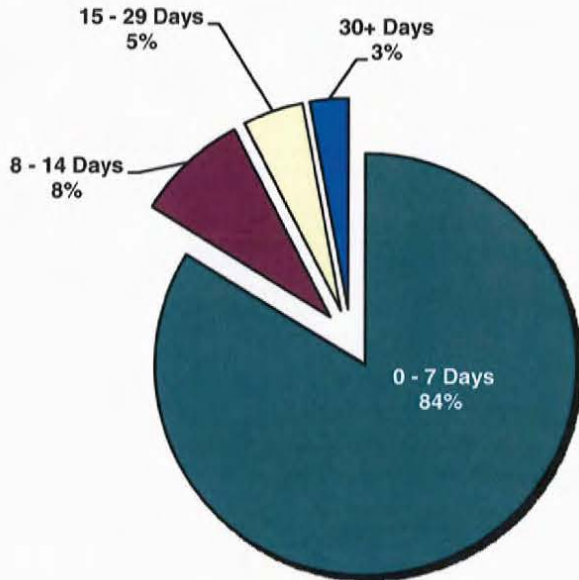


Table 1

Received Within:			
0 - 7	Days	2,736	84%
8 - 14	Days	282	8%
15 - 29	Days	152	5%
30+	Days	96	3%
Total		3,266	100%

Chart 2 Quarterly Compliance

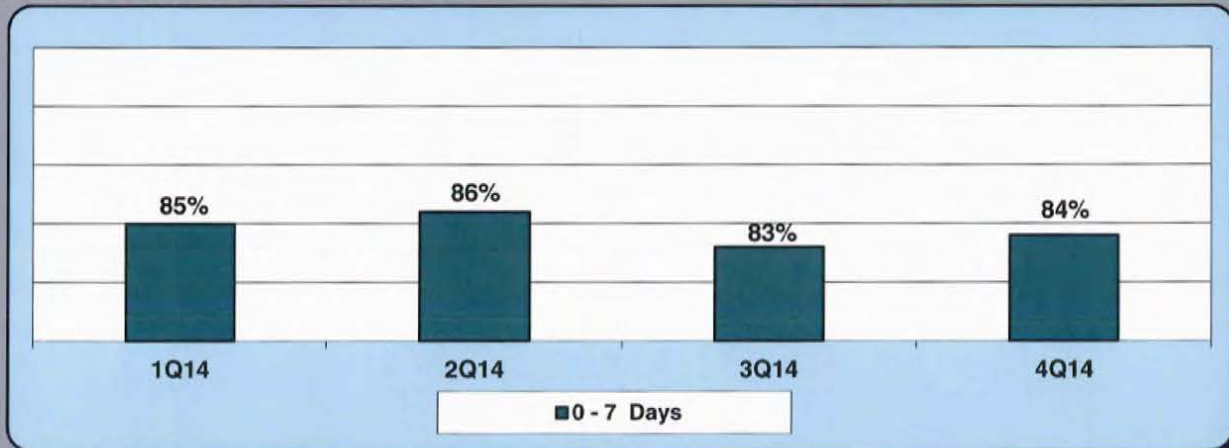
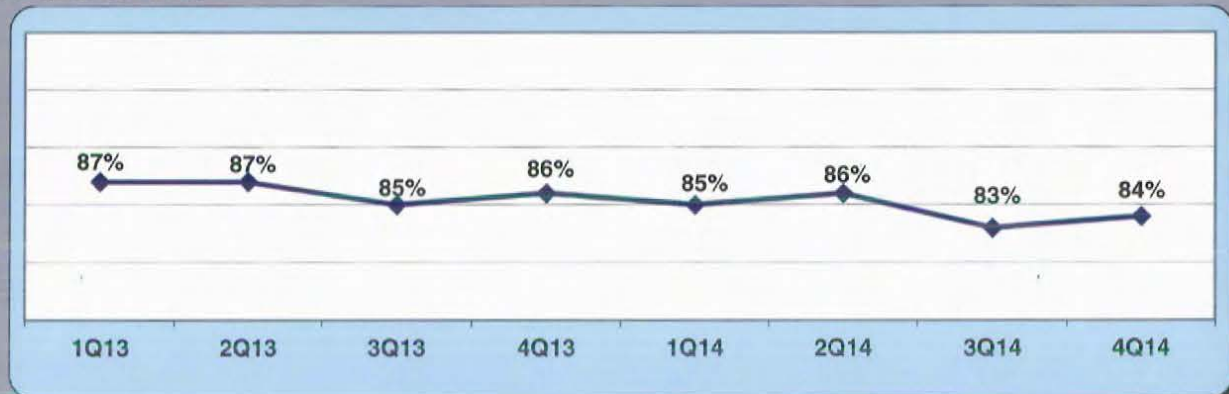


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

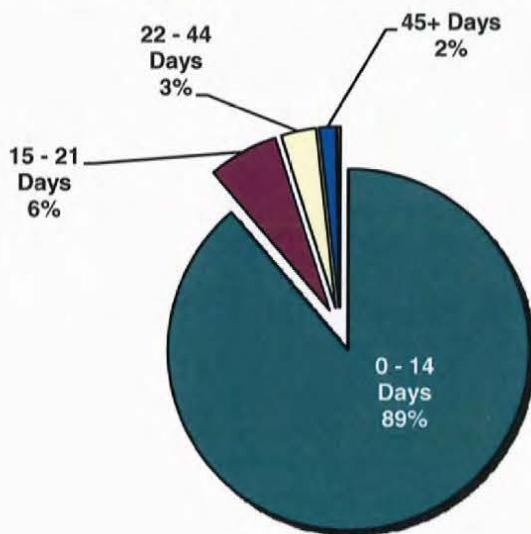


Table 2

Made Within:			
0 - 14	Days	845	89%
15 - 21	Days	60	6%
22 - 44	Days	30	3%
45+	Days	14	2%
?	Days	3	0%
Total		952	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$15,700 was issued to claimants in penalties and there is another \$5,900 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

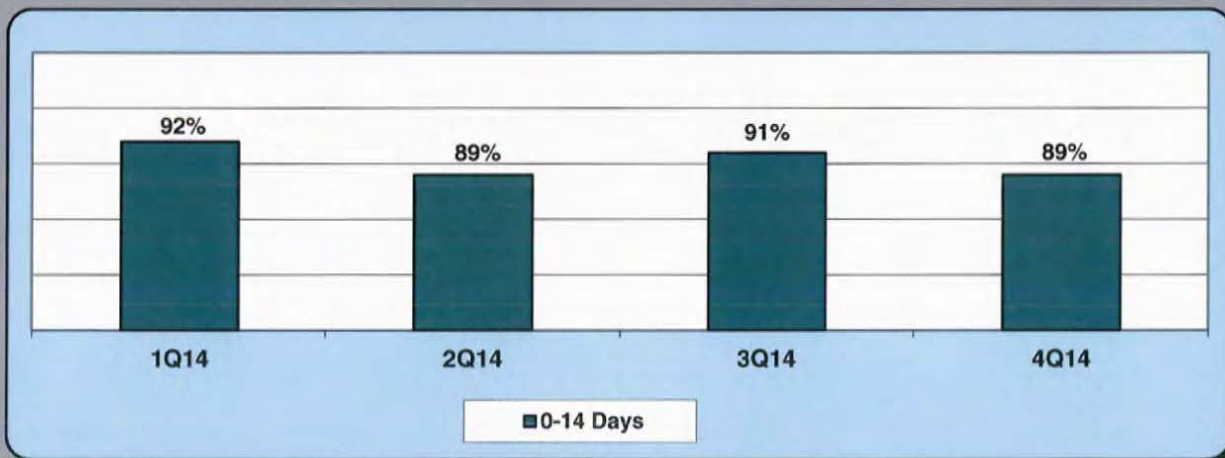
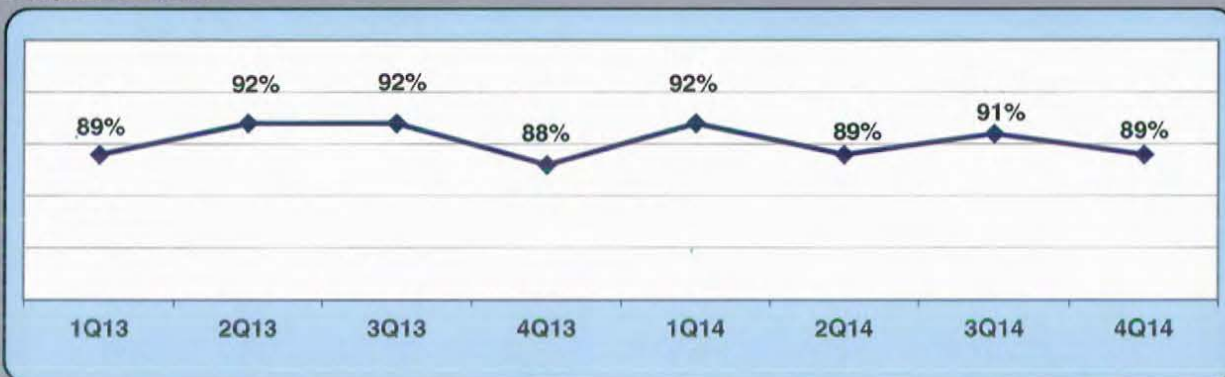


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

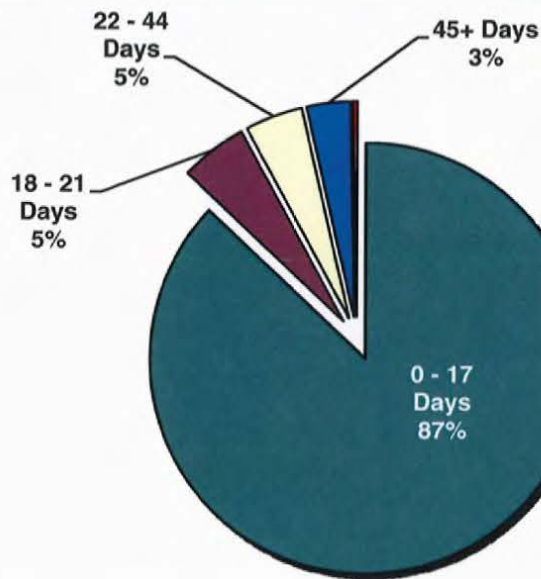


Table 3

Received Within:			
0 - 17	Days	829	87%
18 - 21	Days	48	5%
22 - 44	Days	41	5%
45+	Days	31	3%
?	Days	3	0%
Total		952	100%

Chart 8 Quarterly Compliance

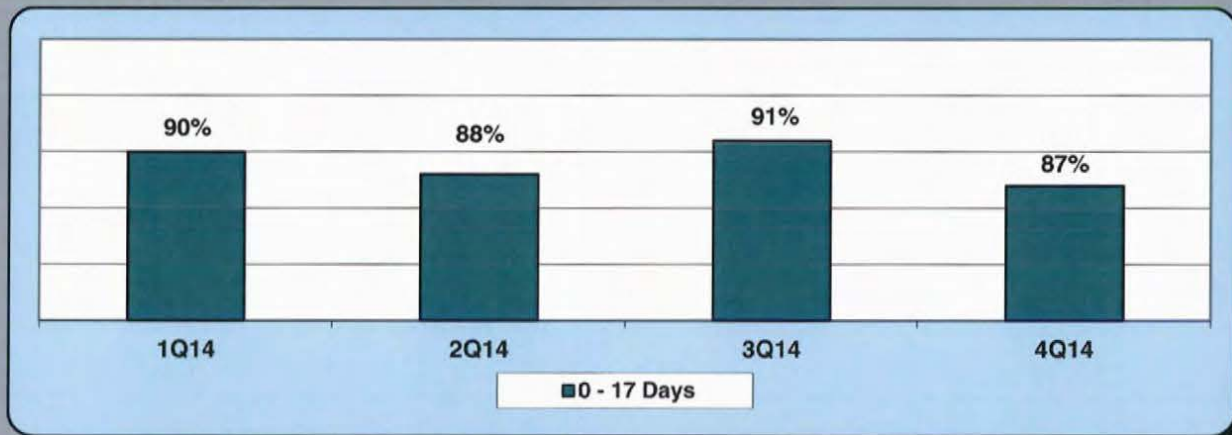
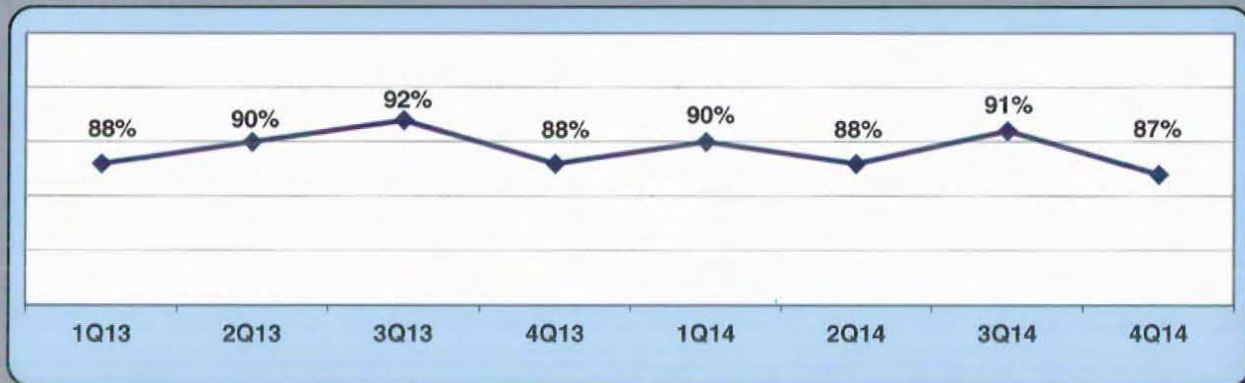


Chart 9 Compliance Trend



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INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

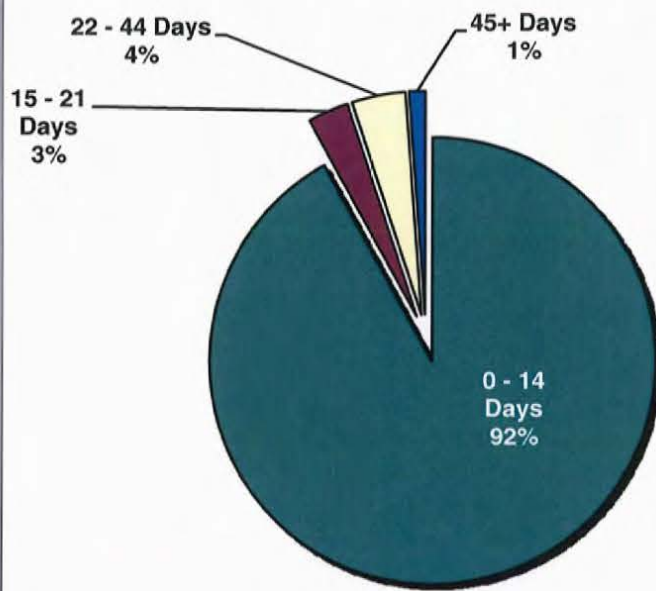


Table 4

Received Within:			
0 - 14	Days	619	92%
15 - 21	Days	20	3%
22 - 44	Days	26	4%
45+	Days	8	1%
Total		673	100%

Chart 11 Quarterly Compliance

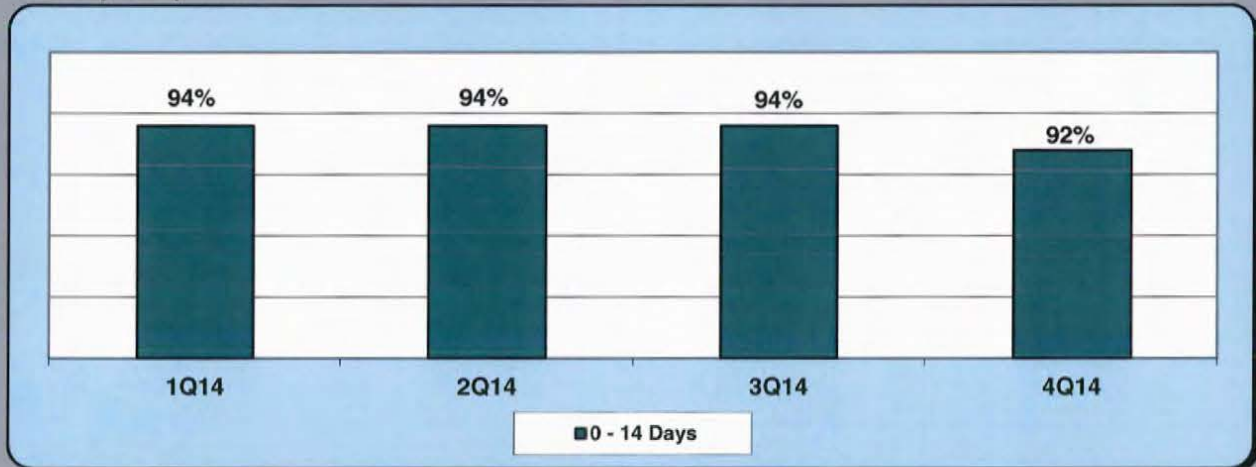
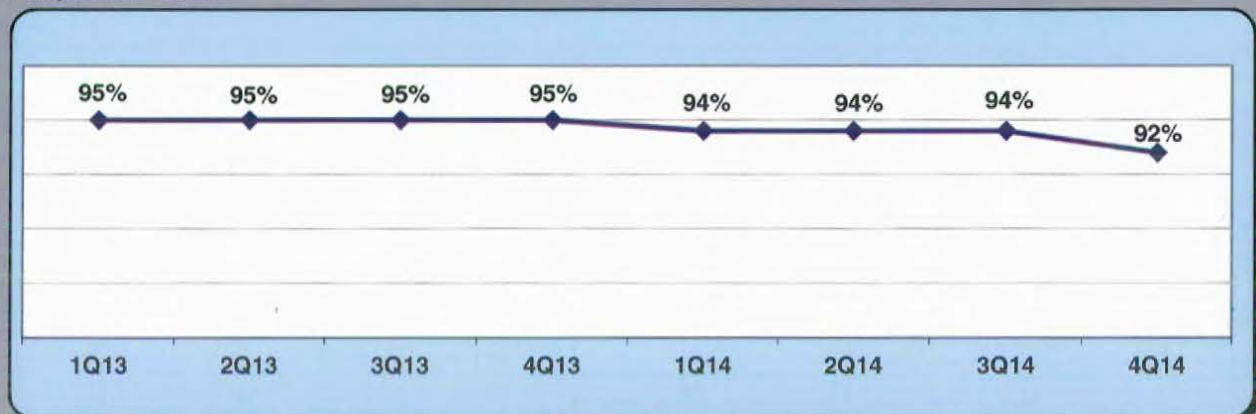


Chart 12 Compliance Trend



WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

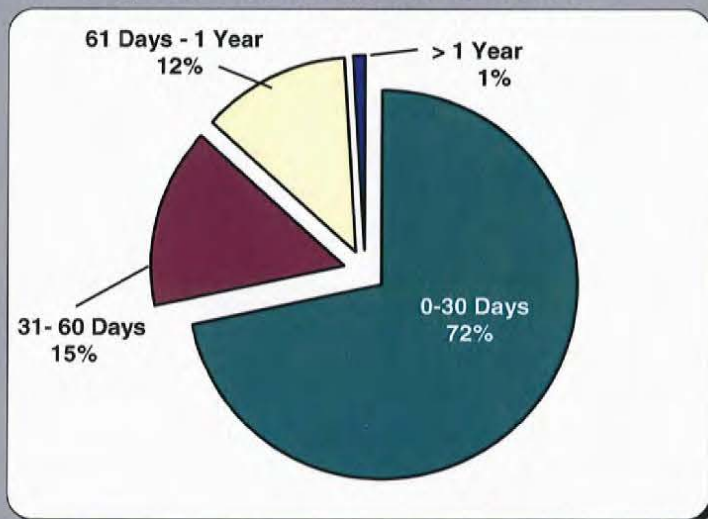


Table 5

Received Within:

0-30 Days	1,333	72%
31-60 Days	275	15%
61 Days-1 Year	232	12%
> 1 Year	25	1%
Total	1,865	100%

Wage Statement(s): 1,429 (70%) of the 2,048 Wage Statement(s) that were due this quarter were filed timely, 447 (22%) were filed late, and 172 (8%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

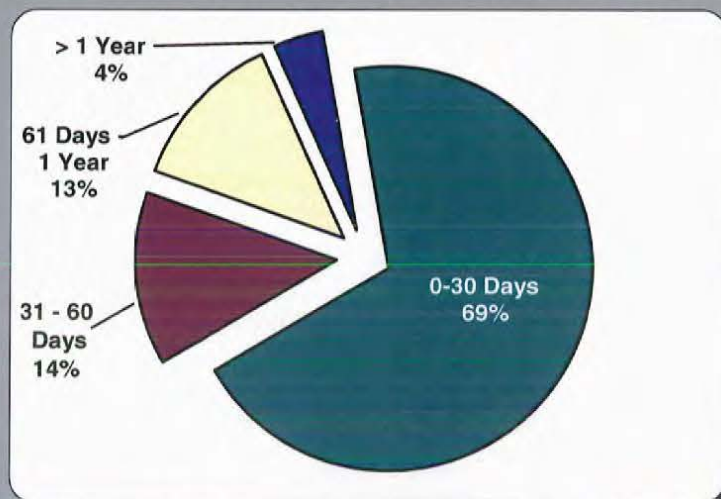


Table 6

Received Within:

0-30 Days	1,325	69%
31-60 Days	264	14%
61 Days-1 Year	245	13%
> 1 Year	86	4%
Total	1,920	100%

Fringe Benefit Worksheet(s): 1,405 (69%) of the 2,048 Fringe Benefit Worksheet(s) due this quarter were filed timely, 438 (21%) were filed late, and 205 (10%) remain outstanding.

Appendix A

Insurance Group Compliance Lost Time FROI Filings and Initial Indemnity Payments Fourth Quarter 2014 10/1/2014-12/31/2014

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Fourth Quarter
10/1/2014 - 12/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ACADIA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010	Group Total	46	35	76%	16	12	75%
	ACCIDENT FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE Group Total	*	*	*	*	*	*
	ACE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA012	ALTERNATIVE SERVICE CONCEPTS	1	0	0%	No filings	No filings	No filings
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	3	3	100%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	1	100%
CA160	ESIS	25	20	80%	4	2	50%
CA190	GALLAGHER BASSETT SERVICES	16	15	94%	7	7	100%
CA204	HELMSMAN MANAGEMENT SERVICES	4	4	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	54	48	89%	18	18	100%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	105	91	87%	32	30	94%
	ACE INSURANCE Group Total	105	91	87%	32	30	94%
	AIG INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	AIG DOMESTIC CLAIMS	108	96	89%	38	36	95%
	Total	108	96	89%	38	36	95%
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA100	CLAIMS MANAGEMENT (WAL-MART)	41	37	90%	12	12	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	1	50%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	9	9	100%	2	2	100%
	TPA Total	59	52	88%	16	16	100%
	AIG INSURANCE Group Total	167	148	89%	54	52	96%
	ALTERNATIVE SERVICE CONCEPTS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA012	Group Total	1	0	0%	No filings	No filings	No filings
	AMTRUST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA342	TECHNOLOGY INSURANCE	22	15	68%	3	0	0%
CA381	WESCO INSURANCE	10	3	30%	1	0	0%
	Group Total	32	18	56%	4	0	0%

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Fourth Quarter
10/1/2014 - 12/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ARCH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	2	2	100%	1	1	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	5	100%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	3	0	0%	3	1	33%
	TPA Total	12	8	67%	6	3	50%
	ARCH INSURANCE Group Total	12	8	67%	6	3	50%
	ARROW MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA032	Group Total	1	1	100%	No filings	No filings	No filings
	ATLANTIC SPECIALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE Group Total	*	*	*	*	*	*
	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	95	94	99%	8	8	100%
	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	14	9	64%	3	3	100%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	153	128	84%	45	41	91%
	CHEROKEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA044	Group Total	*	*	*	*	*	*
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	1	1	100%	1	1	100%
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	No filings	No filings	No filings	1	1	100%
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	12	8	67%	5	4	80%
	TPA Total	12	8	67%	5	4	80%
	CHUBB INSURANCE Group Total	12	8	67%	6	5	83%

INSURANCE GROUP COMPLIANCE
 Lost Time FROI and Initial Indemnity Payments
 Fourth Quarter
 10/1/2014 - 12/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA084	Group Total	1	1	100%	1	1	100%
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	2	1	50%	1	1	100%
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	*	*	*	*	*	*
	CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	41	37	90%	12	12	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA083	CNA CLAIMS PLUS	*	*	*	*	*	*
CA050	CONTINENTAL CASUALTY	*	*	*	*	*	*
CA271	NATIONAL FIRE INSURANCE	1	1	100%	1	1	100%
CA314	TRANSPORTATION INSURANCE	1	0	0%	1	1	100%
	Group Total	2	1	50%	2	2	100%
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	3	3	100%	1	1	100%
	CONTINENTAL INDEMNITY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	1	0	0%	1	1	100%
	CORVEL ENTERPRISE COMP.	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Group Total	11	9	82%	2	1	50%
	COTTINGHAM & BUTLER CLAIMS SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA117	Group Total	3	2	67%	1	1	100%
	CROSS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093	Group Total	305	292	96%	47	42	89%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	CRUM & FORSTER	*	*	*	*	*	*
CA375	UNITED STATES FIRE INSURANCE	*	*	*	*	*	*
	Group Total	*	*	*	*	*	*
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	0	0%
	TPA Total	No filings	No filings	No filings	1	0	0%
	ELECTRIC INSURANCE Group Total	No filings	No filings	No filings	1	0	0%
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	37	29	78%	11	9	82%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	*	*	*	*	*	*

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Fourth Quarter
10/1/2014 - 12/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
FEDERATED MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	2	1	50%	1	0	0%
	Group Total	2	1	50%	1	0	0%
FIREMAN'S FUND INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	*	*	*	*	*	*
FLORISTS MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA207	Group Total	1	0	0%	1	1	100%
FUTURECOMP		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	58	51	88%	20	19	95%
GALLAGHER BASSETT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	80	64	80%	30	22	73%
GREAT AMERICAN INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193	Group Total	1	1	100%	1	0	0%
GREAT FALLS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
GREAT FALLS INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	59	47	80%	22	18	82%
	TPA Total	59	47	80%	22	18	82%
	GREAT FALLS INSURANCE Group Total	59	47	80%	22	18	82%
GREAT WEST INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA196	Group Total	2	0	0%	2	0	0%
GUARANTEE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA195	Group Total	3	0	0%	1	0	0%
GUARD INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	AMGUARD INSURANCE	24	11	46%	8	5	63%
CA140	EASTGUARD INSURANCE	5	5	100%	1	1	100%
CA272	NORGUARD INSURANCE	3	2	67%	2	2	100%
	Group Total	32	18	56%	11	8	73%
HANNAFORD BROTHERS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	54	34	63%	20	14	70%
HANNOVER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
HANNOVER INSURANCE TPA Administered Claims							
CA340	YORK RISK SERVICES	3	3	100%	2	2	100%
	TPA Total	3	3	100%	2	2	100%
	HANNOVER INSURANCE Group Total	3	3	100%	2	2	100%

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Fourth Quarter
10/1/2014 - 12/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	5	5	100%	3	3	100%
CA202	HANOVER INSURANCE	4	4	100%	6	6	100%
CA228	MASSACHUSETTS BAY INSURANCE	4	3	75%	No filings	No filings	No filings
	Group Total	13	12	92%	9	9	100%
	HARTFORD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INSURANCE	3	3	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE	2	1	50%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	3	3	100%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	3	3	100%	2	2	100%
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	4	3	75%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE	7	7	100%	1	1	100%
CA319	TRUMBULL INSURANCE	20	19	95%	7	7	100%
CA321	TWIN CITY FIRE INSURANCE	2	2	100%	2	2	100%
	Total	45	42	93%	14	14	100%
	HARTFORD INSURANCE TPA Administered Claims	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	2	2	100%	1	0	0%
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	7	7	100%	2	1	50%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	4	3	75%	2	2	100%
	TPA Total	16	14	88%	7	5	71%
	HARTFORD INSURANCE Group Total	61	56	92%	21	19	90%
	HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	Group Total	15	11	73%	7	7	100%
	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	4	1	25%	1	0	0%
CA210	LIBERTY MUTUAL INSURANCE	43	27	63%	22	16	73%
	Total	47	28	60%	23	16	70%
	LUMBERMEN'S UNDERWRITING	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA211	Group Total	*	*	*	*	*	*
	MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA213	Group Total	1	0	0%	1	1	100%
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	35	32	91%	10	8	80%
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	1117	884	79%	348	320	92%
	MAINE HEALTHCARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	31	22	71%	6	4	67%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	41	41	100%	7	6	86%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	238	226	95%	59	56	95%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	71	70	99%	23	23	100%
	MATRIX ABSENCE MANAGEMENT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA252	Group Total	*	*	*	*	*	*
	MEADOWBROOK INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	3	2	67%	2	2	100%
	MITSUI SUMITOMO INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA233	Group Total	*	*	*	*	*	*
	NATIONAL CASUALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA289	Group Total	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA267	Group Total	2	2	100%	1	1	100%
	NATIONWIDE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA198	HARLEYSVILLE WORSTER INSURANCE	1	0	0%	No filings	No filings	No filings
CA289	NATIONAL CASUALTY	*	*	*	*	*	*
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
	Total	1	0	0	No filings	No filings	No filings
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA265	Group Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE Group Total	*	*	*	*	*	*

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	4	57%	2	2	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	1	0	0%
CA295	RYDER SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	14	14	100%	7	7	100%
	TPA Total	29	23	79%	11	10	91%
	OLD REPUBLIC INSURANCE Group Total	29	23	79%	11	10	91%
	ONEBEACON INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON INSURANCE Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274		*	*	*	*	*	*
	PATRIOT RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA292	Group Total	1	0	0%	No filings	No filings	No filings
	PEERLESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA162	EXCELSIOR INSURANCE	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	10	5	50%	7	5	71%
CA283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
	Group Total	10	5	50%	7	5	71%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	8	5	63%	5	3	60%
	TPA Total	8	5	63%	5	3	60%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	8	5	63%	5	3	60%
	PROTECTIVE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	1	1	100%	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	*	*	*	*	*	*

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
QBE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
QBE INSURANCE TPA Administered Claims							
CA160	ESIS	2	0	0%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	17	12	71%	2	2	100%
TPA Total		19	12	63%	3	3	100%
QBE INSURANCE Group Total		19	12	63%	3	3	100%
ROMAN CATHOLIC DIOCESE OF PORTLAND		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA285	Group Total	1	1	100%	No filings	No filings	No filings
RYDER SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	*	*	*	*	*	*
SAFETY NATIONAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
SAFETY NATIONAL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	2	2	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	10	9	90%	1	1	100%
CA252	MATRIX ABSENCE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	5	4	80%	2	1	50%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
TPA Total		19	17	89%	3	2	67%
SAFETY NATIONAL INSURANCE Group Total		19	17	89%	3	2	67%
SEDGWICK CLAIMS MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	145	123	85%	43	39	91%
SENTRY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	SENTRY INSURANCE	4	4	100%	2	2	100%
CA402	SENTRY CASUALTY	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE	1	1	100%	No filings	No filings	No filings
Group Total		5	5	100%	2	2	100%
SPARTA INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
SPARTA INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
TPA Total		*	*	*	*	*	*
SPARTA INSURANCE Group Total		*	*	*	*	*	*

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
STARR INDEMNITY & LIABILITY		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
STARR INDEMNITY & LIABILITY TPA Administered Claims							
CA160	ESIS	1	0	0%	1	1	100%
	TPA Total	1	0	0%	1	1	100%
	STARR INDEMNITY & LIABILITY Group Total	1	0	0%	1	1	100%
STATE OF MAINE WORKERS' COMPENSATION TRUST		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	154	142	92%	45	44	98%
SYNERNET		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	108	95	88%	24	17	71%
THE AMERICAN EQUITY UNDERWRITERS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323	Group Total	*	*	*	*	*	*
TOKIO MARINE & FIRE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA312	TRANS PACIFIC INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	1	0	0%	No filings	No filings	No filings
TOWER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	*	*	*	*	*	*
TRAVELERS INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072	CHARTER OAK FIRE INSURANCE	32	25	78%	12	10	83%
CA164	FARMINGTON CASUALTY	*	*	*	*	*	*
CA284	PHOENIX INSURANCE	5	3	60%	2	2	100%
CA306	STANDARD FIRE INSURANCE	6	6	100%	3	3	100%
CA347	TRAVELERS CASUALTY & SURETY	1	1	100%	2	2	100%
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	9	5	56%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	4	2	50%	4	4	100%
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	2	0	0%	1	0	0%
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	1	1	100%	No filings	No filings	No filings
	Total	60	43	72%	25	22	88%
TRAVELERS INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	7	6	86%	2	2	100%
	TRAVELERS INSURANCE Group Total	67	49	73%	27	24	89%
TRISTAR RISK ENTERPRISE MANAGEMENT		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	4	3	75%	2	2	100%
UNDERWRITERS SAFETY & CLAIMS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA360	Group Total	*	*	*	*	*	*

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
VANLINER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
VANLINER INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VANLINER INSURANCE Group Total	*	*	*	*	*	*
XL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
XL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	5	5	100%	No filings	No filings	No filings
CA160	ESIS	4	4	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	3	1	33%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	4	67%	1	1	100%
	TPA Total	19	16	84%	6	4	67%
	XL INSURANCE Group Total	19	16	84%	6	4	67%
YORK RISK SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	7	3	43%	5	3	60%
ZURICH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA022	AMERICAN ZURICH	12	11	92%	5	5	100%
CA259	NORTHERN INSURANCE OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE	4	4	100%	2	2	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	3	2	67%	No filings	No filings	No filings
	Total	19	17	89%	7	7	100%
ZURICH INSURANCE TPA Administered Claims							
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No filings	No filings	No filings
CA160	ESIS	4	4	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	7	7	100%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	3	3	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	18	16	89%	7	6	86%
	ZURICH INSURANCE Group Total	37	33	89%	14	13	93%

Appendix B

Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings Fourth Quarter 2014 10/1/2014-12/31/2014

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
Fourth Quarter
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ACADIA INSURANCE							
CA010	Group Total	MOPs Filed 16	Timely MOPs 13	Compliance 81 %	NOCs Filed 8	Timely NOCs 8	Compliance 100 %
ACCIDENT FUND INSURANCE							
	Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
ACCIDENT FUND INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ACCIDENT FUND INSURANCE Group Total	*	*	*	*	*	*
ACE INSURANCE							
	Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
ACE INSURANCE TPA Administered Claims							
CA012	ALTERNATIVE SERVICE CONCEPTS	No filings	No filings	No filings	No filings	No filings	No filings
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	0	0%	2	2	100%
CA116	CORVEL ENTERPRIS COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	4	3	75%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	7	7	100%	3	2	67%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	18	18	100%	8	7	88%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	32	30	94%	19	16	84%
	ACE INSURANCE Group Total	32	30	94%	19	16	84%
AIG INSURANCE							
CA015	AIG DOMESTIC CLAIMS	38	37	97%	22	18	82%
	Total	38	37	97%	22	18	82%
AIG INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA100	CLAIMS MANAGEMENT (WALMART)	12	10	83%	12	12	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	2	2	100%
CA204	HELMSMAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	4	4	100%
	TPA Total	16	14	88%	19	19	100%
	AIG INSURANCE Group Total	54	51	94%	41	37	90%
ALTERNATIVE SERVICE CONCEPTS							
CA012	Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
AMTRUST INSURANCE							
CA342	TECHNOLOGY INSURANCE	3	0	0%	1	0	0%
CA381	WESCO INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	4	0	0%	1	0	0%

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Initial MOP and Initial Indemnity NOC Filings
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10/1/2014 - 12/31/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ARCH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	1	100%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	3	1	33%	No filings	No filings	No filings
	TPA Total	6	3	50%	4	4	100%
	ARCH INSURANCE Group Total	6	3	50%	4	4	100%
	ARROW MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA032	Group Total	No filings	No filings	No filings	1	1	100%
	ATLANTIC SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE Group Total	*	*	*	*	*	*
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	8	8	100%	11	11	100%
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	3	2	67%	4	4	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	45	38	84%	45	43	96%
	CHEROKEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044	Group Total	*	*	*	*	*	*
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	1	1	100%
	TPA Total	5	4	80%	1	1	100%
	CHUBB INSURANCE Group Total	6	5	83%	1	1	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA080	CHURCH MUTUAL INSURANCE Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA085	CIANBRO CORPORATION Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA033	CITY OF BANGOR Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA100	CLAIMS MANAGEMENT (WALMART) Group Total	MOPs Filed 12	Timely MOPs 10	Compliance 83%	NOCs Filed 12	Timely NOCs 12	Compliance 100%
CA083	CNA INSURANCE CNA CLAIMS PLUS	MOPS Filed *	Timely MOPS *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA050	CONTINENTAL CASUALTY	MOPS Filed *	Timely MOPS *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA271	NATIONAL FIRE INSURANCE	MOPS Filed 1	Timely MOPS 1	Compliance 100%	NOCs Filed 1	Timely NOCs 1	Compliance 100%
CA314	TRANSPORTATION INSURANCE	MOPS Filed 1	Timely MOPS 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
	Group Total	2	2	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES Group Total	MOPs Filed 1	Timely MOPs 0	Compliance 0%	NOCs Filed 2	Timely NOCs 2	Compliance 100%
CA115	CONTINENTAL INDEMNITY Group Total	MOPs Filed 1	Timely MOPs 0	Compliance 0%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA116	CORVEL ENTERPRISE COMP. Group Total	MOPs Filed 2	Timely MOPs 2	Compliance 100%	NOCs Filed 1	Timely NOCs 1	Compliance 100%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed 1	Timely NOCs 1	Compliance 100%
	CROSS INSURANCE Group Total	MOPs Filed 47	Timely MOPs 43	Compliance 91%	NOCs Filed 65	Timely NOCs 64	Compliance 98%
CA089	CRUM & FORSTER CRUM & FORSTER	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA375	UNITED STATES FIRE INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	Group Total	*	*	*	*	*	*
CA300	ELECTRIC INSURANCE ELECTRIC INSURANCE TPA Administered Claims SEDGWICK CLAIMS MANAGEMENT SERVICES TPA Total	MOPs Filed 1 1	Timely MOPs 1 1	Compliance 100% 100%	NOCs Filed No filings No filings	Timely NOCs No filings No filings	Compliance No filings No filings
	ELECTRIC INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
CA160	ESIS Group Total	MOPs Filed 11	Timely MOPs 10	Compliance 91%	NOCs Filed 7	Timely NOCs 6	Compliance 86%
CA165	F.A. RICHARD Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	FEDERATED MUTUAL INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	1	0	0%	No filings	No filings	No filings
	FIREMAN'S FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	*	*	*	*	*	*
	FLORISTS MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA207	Group Total	1	0	0%	No filings	No filings	No filings
	FUTURECOMP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA175	Group Total	20	16	80%	9	9	100%
	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	30	23	77%	15	13	87%
	GREAT AMERICAN INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA193	Group Total	1	0	0%	No filings	No filings	No filings
	GREAT FALLS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	22	17	77%	11	9	82%
	TPA Total	22	17	77%	11	9	82%
	GREAT FALLS INSURANCE Group Total	22	17	77%	11	9	82%
	GREAT WEST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA196	Group Total	2	1	50%	No filings	No filings	No filings
	GUARANTEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA195	Group Total	1	0	0%	1	0	0%
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA019	AMGUARD INSURANCE	8	4	50%	3	0	0%
CA140	EASTGUARD INSURANCE	1	0	0%	2	1	50%
CA272	NORGUARD INSURANCE	2	1	50%	No filings	No filings	No filings
	Group Total	11	5	45%	5	1	20%
	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total	20	13	65%	6	4	67%
	HANNOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	HANNOVER INSURANCE TPA Administered Claims						
CA340	YORK RISK SERVICES	2	2	100%	1	1	100%
	TPA Total	2	2	100%	1	1	100%
	HANNOVER INSURANCE Group Total	2	2	100%	1	1	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
HANOVER INSURANCE							
CA048	CITIZENS INSURANCE COMPANY OF AMERICA	MOPs Filed 3	Timely MOPs 3	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA202	HANOVER INSURANCE	6	5	83%	1	1	100%
CA228	MASSACHUSETTS BAY INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	9	8	89%	2	2	100%
HARTFORD INSURANCE							
CA188	HARTFORD ACCIDENT & INDEMNITY	No filings	No filings	No filings	1	1	100%
CA185	HARTFORD CASUALTY INSURANCE	1	1	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA186	HARTFORD INSURANCE COMPANY OF THE MIDWEST	1	1	100%	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	2	2	100%	No filings	No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE COMPANY OF HARTFORD	No filings	No filings	No filings	2	2	100%
CA296	SENTINEL INSURANCE	1	1	100%	1	1	100%
CA319	TRUMBULL INSURANCE	7	7	100%	5	5	100%
CA321	TWIN CITY FIRE INSURANCE	2	2	100%	No filings	No filings	No filings
	Total	14	14	100%	10	10	100%
HARTFORD INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	2	2	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	2	1	50%	1	1	100%
	TPA Total	7	5	71%	3	3	100%
	HARTFORD INSURANCE Group Total	21	19	90%	13	13	100%
HELMSMAN MANAGEMENT SERVICES							
CA204	Group Total	7	7	100%	2	2	100%
LIBERTY MUTUAL INSURANCE							
CA380	EMPLOYERS INSURANCE OF WAUSAU	1	0	0%	3	1	33%
CA210	LIBERTY MUTUAL INSURANCE	22	19	86%	8	6	75%
	Total	23	19	83%	11	7	64%
LUMBERMEN'S UNDERWRITING							
CA211	Group Total	*	*	*	*	*	*
MACY'S CORPORATE SERVICES							
CA213	Group Total	1	1	100%	No filings	No filings	No filings
MAINE AUTOMOBILE DEALERS ASSOCIATION							
CA220	Group Total	10	8	80%	2	2	100%
MAINE EMPLOYERS' MUTUAL INSURANCE							
CA260	Group Total	348	316	91%	240	225	94%
MAINE HEALTHCARE ASSOCIATION							
CA234	Group Total	6	5	83%	6	6	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA230	MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	7	7	100%	9	9	100%
CA225	MAINE MUNICIPAL ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	59	55	93%	51	47	92%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	23	23	100%	9	9	100%
CA252	MATRIX ABSENCE MANAGEMENT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
CA252	MEADOWBROOK INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	2	1	50%	No filings	No filings	No filings
CA233	mitsui SUMITOMO INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
CA289	NATIONAL CASUALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
CA267	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	1	1	100%	1	1	100%
CA198 CA289 CA291	NATIONWIDE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	HARLEYSVILLE WORSTER INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	NATIONAL CASUALTY	*	*	*	*	*	*
	NATIONWIDE AGRIBUSINESS INSURANCE	*	*	*	*	*	*
	Total	No filings	No filings	No filings	No filings	No filings	No filings
CA265	NGM INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
CA040	NORTH RIVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE TPA Administered Claims	*	*	*	*	*	*
	BROADSPIRE SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	NORTH RIVER INSURANCE Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA295	RYDER SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	7	7	100%	5	4	80%
	TPA Total	11	9	82%	5	4	80%
	OLD REPUBLIC INSURANCE Group Total	11	9	82%	5	4	80%
	ONEBEACON INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON INSURANCE Group Total	*	*	*	*	*	*
	PATRIOT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total	*	*	*	*	*	*
	PATRIOT RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA292	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELSIOR INSURANCE	*	*	*	*	*	*
CA309	NETHERLANDS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
CA275	PEERLESS INSURANCE	7	5	71%	3	1	33%
CA283	PEERLESS INDEMNITY INSURANCE	*	*	*	*	*	*
	Group Total	7	5	71%	3	1	33%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	PENNSYLVANIA MFG. ASSN. TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	5	3	60%	No filings	No filings	No filings
	TPA Total	5	3	60%	No filings	No filings	No filings
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	5	3	60%	No filings	No filings	No filings
	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	QBE INSURANCE						
	Total	*	*	*	*	*	*
	QBE INSURANCE GROUP TPA Administered Claims						
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	3	3	100%
	TPA Total	3	3	100%	3	3	100%
	QBE INSURANCE Group Total	3	3	100%	3	3	100%
	ROMAN CATHOLIC DIOCESE OF PORTLAND						
CA285	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	RYDER SERVICES						
CA295	Group Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE						
	Total	*	*	*	*	*	*
	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	2	2	100%
CA252	MATRIX ABSENCE MANAGEMENT	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	*	*	*	*	*	*
	TPA Total	3	3	100%	3	3	100%
	SAFETY NATIONAL INSURANCE Group Total	3	3	100%	3	3	100%
	SEDGWICK CLAIMS MANAGEMENT SERVICES						
CA300	Group Total	43	41	95%	26	23	88%
	SENTRY INSURANCE						
CA305	SENTRY INSURANCE	2	1	50%	2	2	100%
CA402	SENTRY CASUALTY	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	2	1	50%	2	2	100%
	SPARTA INSURANCE						
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	SPARTA INSURANCE Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	STARR INDEMNITY & LIABILITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	STARR INDEMNITY & LIABILITY TPA Administered Claims						
CA160	ESIS	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	STARR INDEMNITY & LIABILITY Group Total	1	1	100%	No filings	No filings	No filings
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	45	44	98%	23	22	96%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	24	17	71%	37	35	95%
	THE AMERICAN EQUITY UNDERWRITERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	*	*	*	*	*	*
	TOKIO MARINE & FIRE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA312	TRANS PACIFIC INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	No filings	No filings	No filings	1	1	100%
	TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	*	*	*	*	*	*
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INSURANCE	12	10	83%	7	4	57%
CA164	FARMINGTON CASUALTY	*	*	*	*	*	*
CA234	PHOENIX INSURANCE	2	1	50%	1	0	0%
CA306	STANDARD FIRE INSURANCE	3	2	67%	2	2	100%
CA347	TRAVELERS CASUALTY & SURETY	2	2	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE COMPANY OF AMERICA	1	0	0%	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	4	2	50%	1	0	0%
CA346	TRAVELERS INDEMNITY COMPANY OF AMERICA	1	0	0%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY INSURANCE	No filings	No filings	No filings	1	1	100%
	Total	25	17	68%	13	8	62%
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	2	2	100%	No filings	No filings	No filings
	TRAVELERS INSURANCE Group Total	27	19	70%	13	8	62%
	TRISTAR RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	2	1	50%	1	1	100%
	UNDERWRITERS SAFETY & CLAIMS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA360	Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	VALINER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	VALINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VALINER INSURANCE Group Total	*	*	*	*	*	*
	XL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA160	ESIS	2	2	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	2	1	50%
	TPA Total	6	4	67%	4	3	75%
	XL INSURANCE Group Total	6	4	67%	4	3	75%
	YORK RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	5	3	60%	1	1	100%
	ZURICH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA022	AMERICAN ZURICH	5	5	100%	3	3	100%
CA259	NORTHERN INSURANCE COMPANY OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE	2	2	100%	2	2	100%
CA404	ZURICH AMERICAN INSURANCE COMPANY OF ILLINOIS	No filings	No filings	No filings	2	2	100%
	Total	7	7	100%	7	7	100%
	ZURICH INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	1	1	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	7	7	100%	2	2	100%
	ZURICH INSURANCE Group Total	14	14	100%	9	9	100%