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QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD



Second Quarter 2014 Report

April 1, 2014 – June 30, 2014

Office of Monitoring, Audit & Enforcement

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**State of Maine
Workers' Compensation Board
Quarterly Compliance Report
Second Quarter 2014**

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Executive Summary

On October 14, 2014, the Maine Workers' Compensation Board of Directors approved the Second Quarter 2014 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

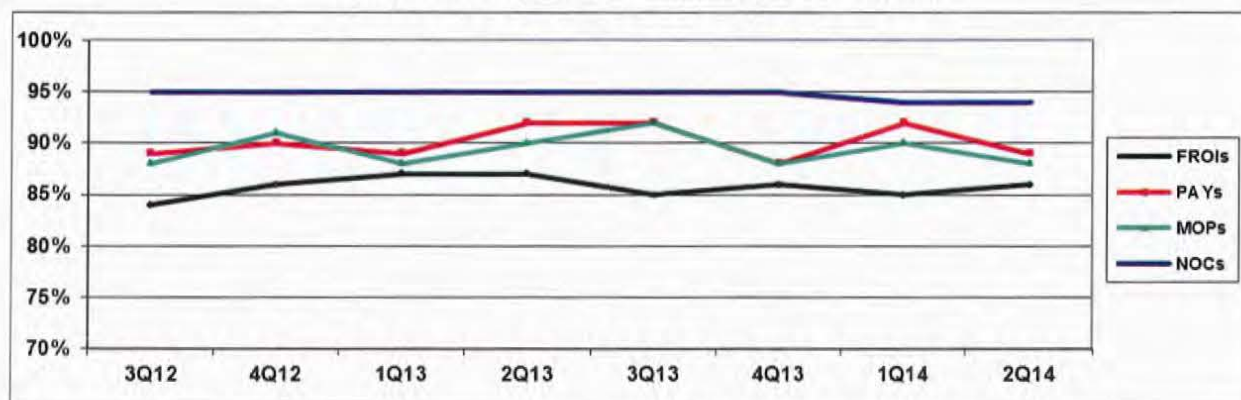
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 100 insurers on July 25, 2014; 69 responded, 22 were not required to respond and 9 did not respond.

The 2Q14 report represents results based upon data received by August 22, 2014. The results are:

	Number of Days	Benchmark	3Q12	4Q12	1Q13	2Q13	3Q13	4Q13	1Q14	2Q14
FROIs	7	85%	84%	86%	87%	87%	85%	86%	85%	86%
PAYs	14	87%	89%	90%	89%	92%	92%	88%	92%	89%
MOPs	17	85%	88%	91%	88%	90%	92%	88%	90%	88%
NOCs	14	90%	95%	95%	95%	95%	95%	95%	94%	94%

Compliance Benchmark Tracking (3Q12 – 2Q14)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?) within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 2Q14:

Auditee (alpha order)	Total Penalties
Berkshire Hathaway Insurance	\$4,950.00
FutureComp	\$9,950.00
Macy's Retail Holdings, Inc.	\$3,200.00
MMTA Workers' Compensation Trust	\$3,000.00
State of Maine	\$20,850.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

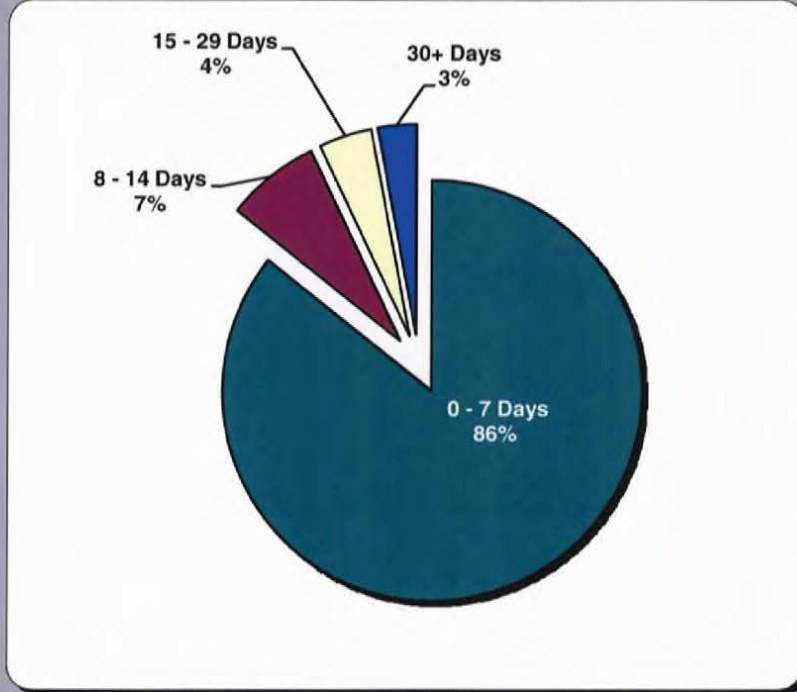


Table 1

Received Within:			
0 - 7	Days	2,776	86%
8 - 14	Days	235	7%
15 - 29	Days	133	4%
30+	Days	97	3%
Total		3,241	100%

Chart 2 Quarterly Compliance

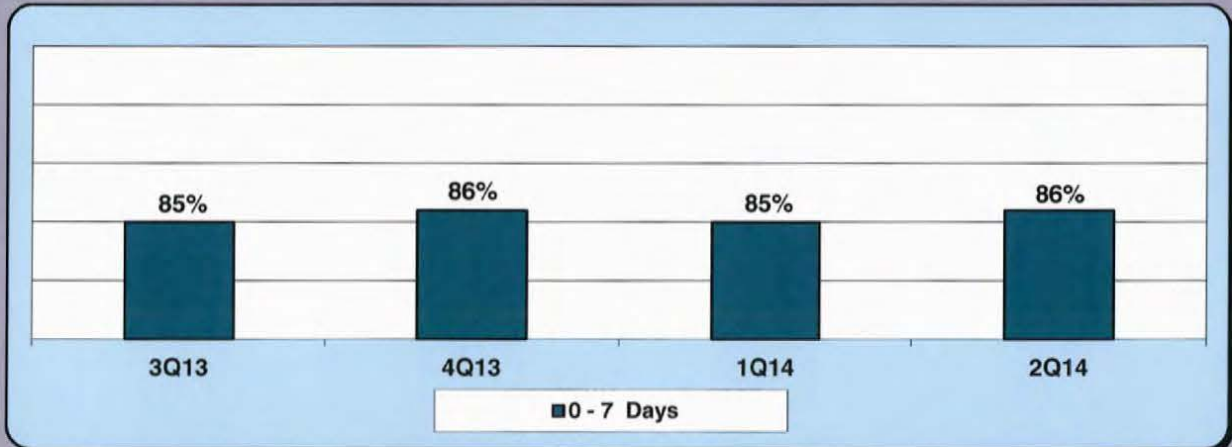
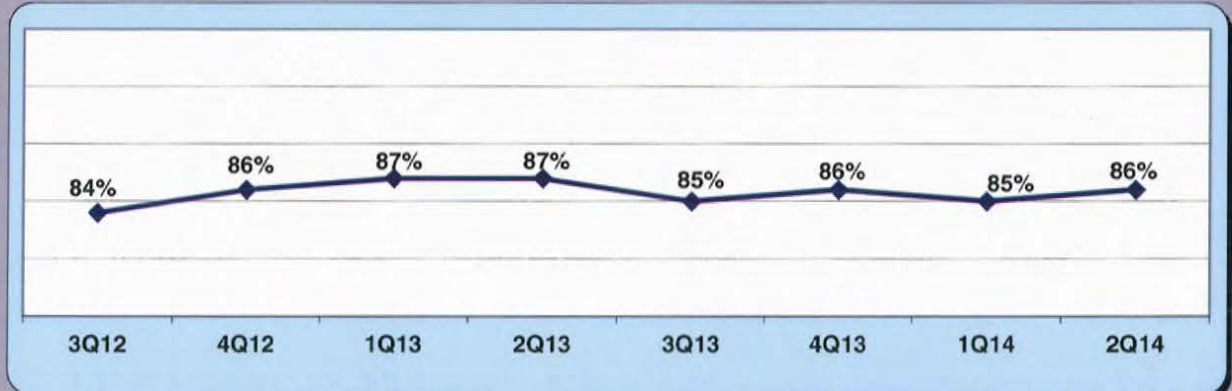


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

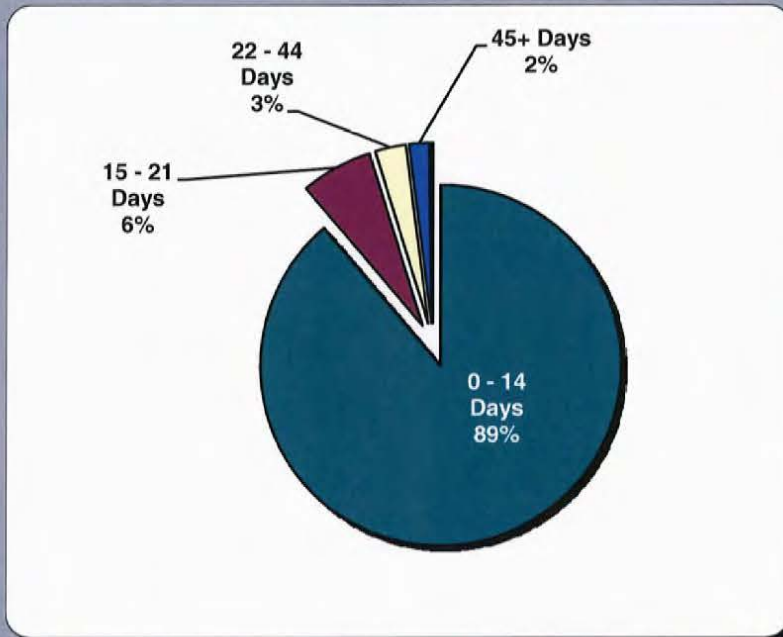


Table 2

Made Within:			
0 - 14	Days	832	89%
15 - 21	Days	60	6%
22 - 44	Days	26	3%
45+	Days	17	2%
?	Days	2	0%
Total		937	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$14,200 was issued to claimants in penalties and there is another \$4,300 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

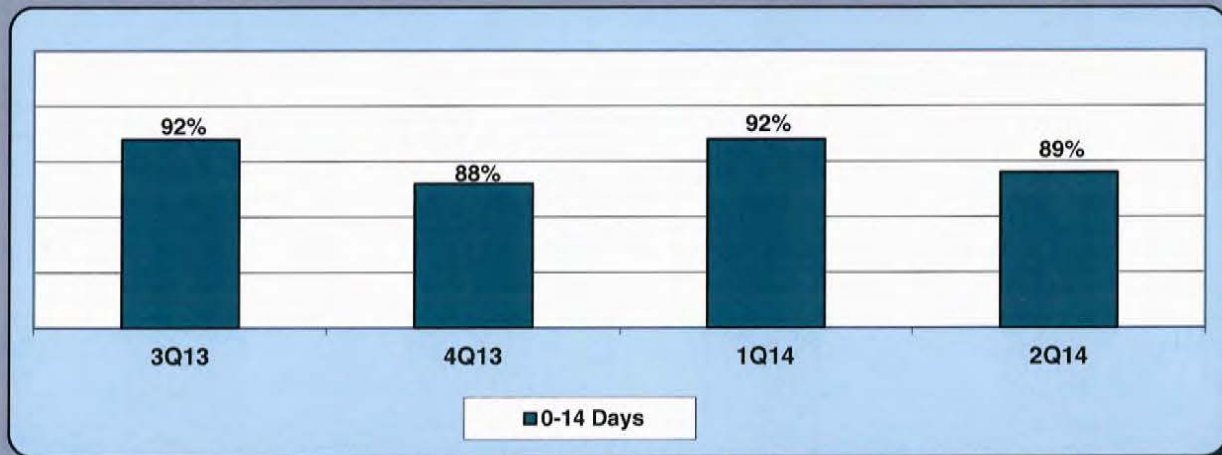
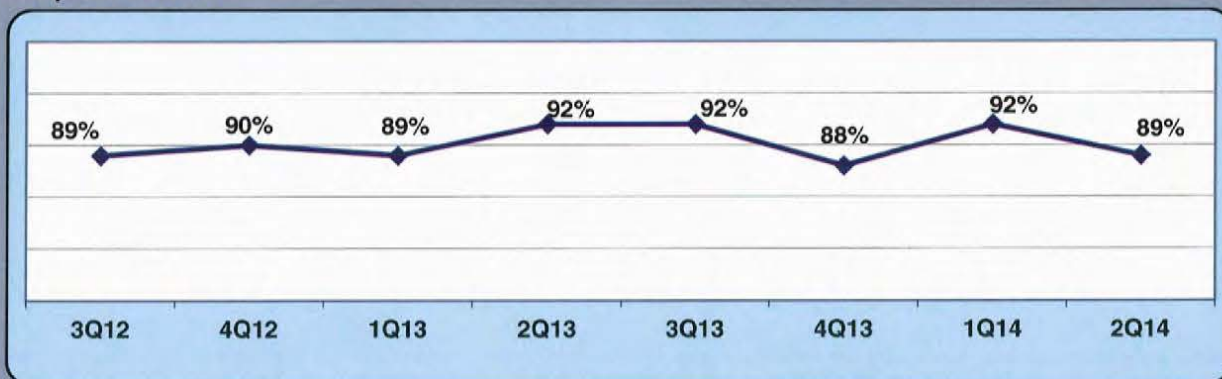


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

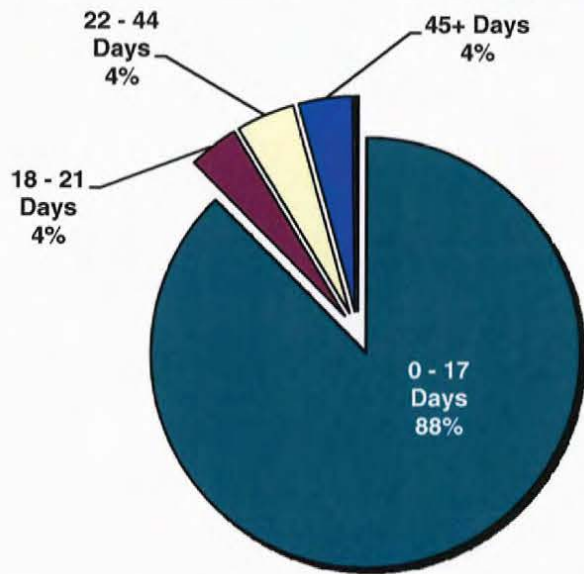


Table 3

Received Within:			
0 - 17	Days	821	88%
18 - 21	Days	35	4%
22 - 44	Days	41	4%
45+	Days	38	4%
?	Days	2	0%
Total		937	100%

Chart 8 Quarterly Compliance

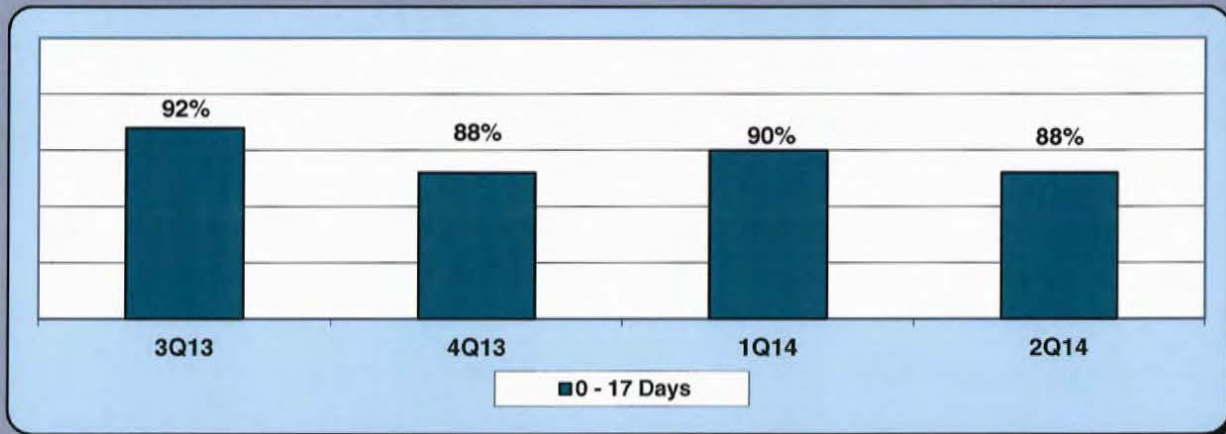
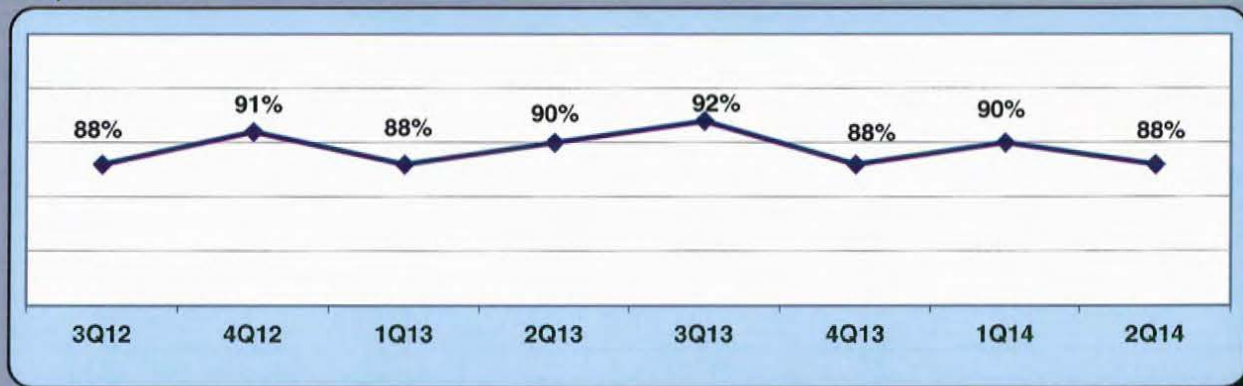


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

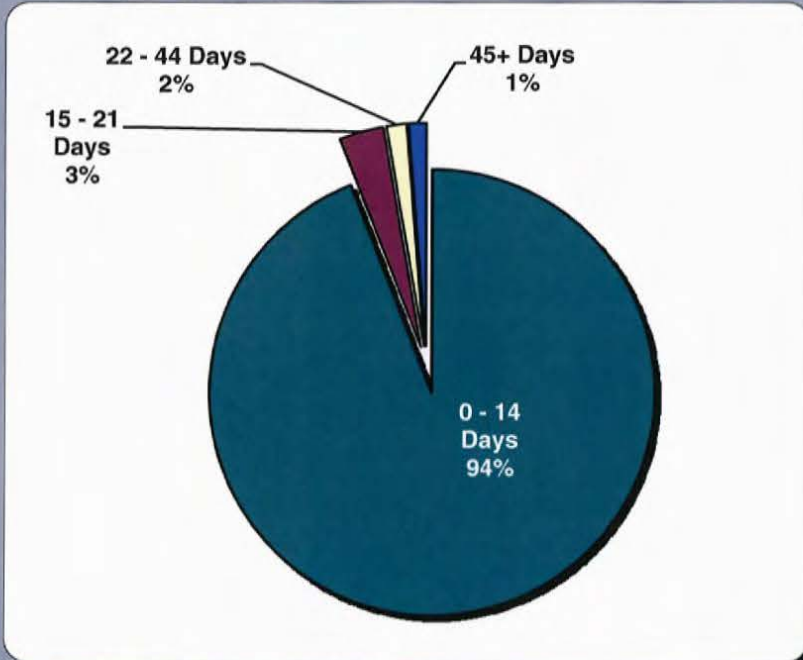


Table 4

Received Within:			
0 - 14	Days	644	94%
15 - 21	Days	22	3%
22 - 44	Days	10	2%
45+	Days	9	1%
Total		685	100%

Chart 11 Quarterly Compliance

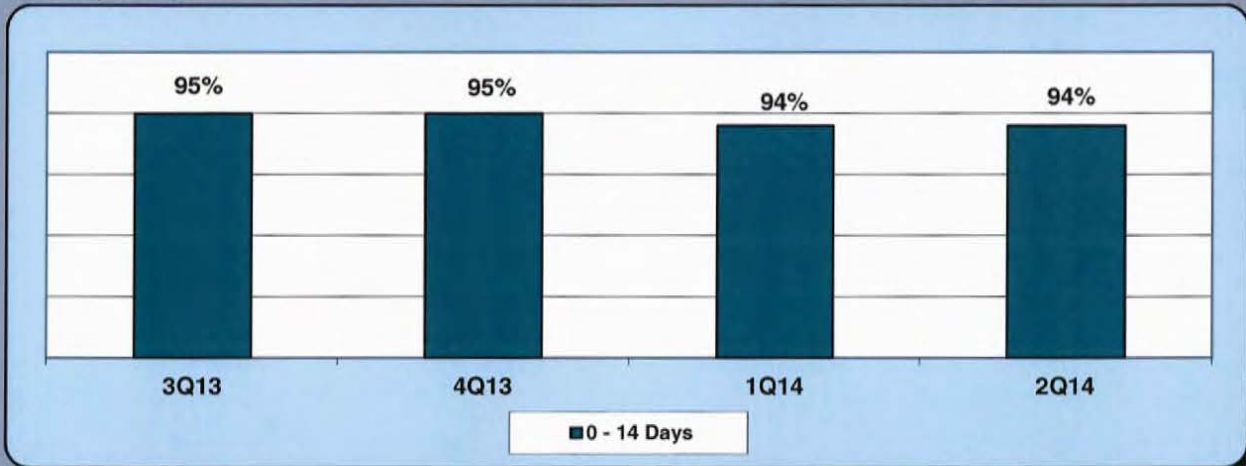
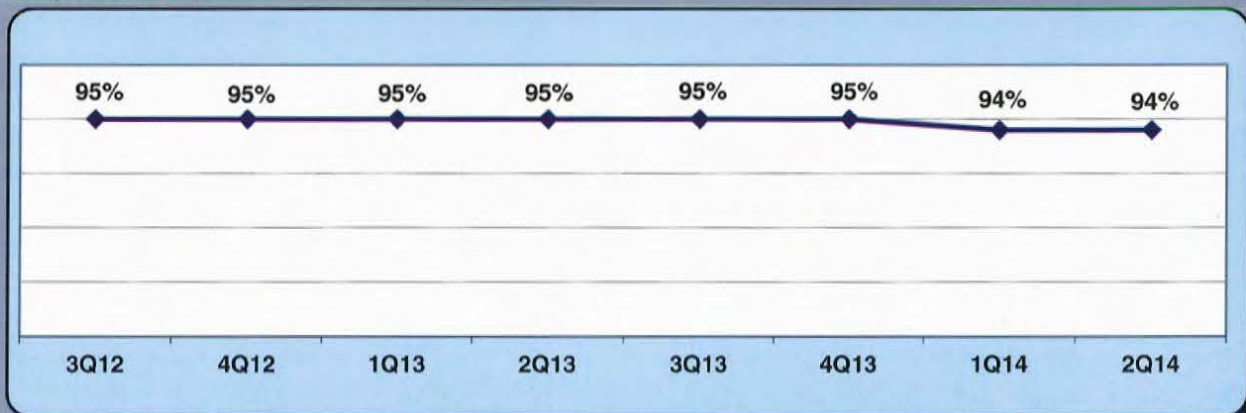


Chart 12 Compliance Trend



Second Quarter Compliance Report
4/1/2014 - 6/30/2014

WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

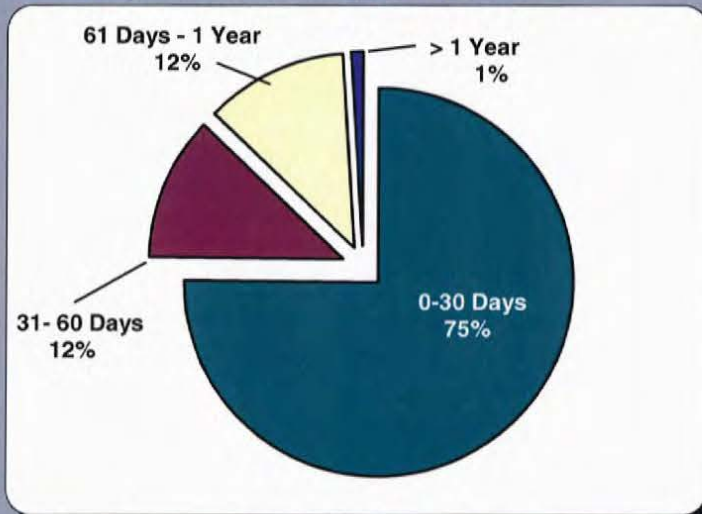


Table 5

Received Within:		
0-30 Days	1444	75%
31-60 Days	220	12%
61 Days-1 Year	228	12%
> 1 Year	23	1%
Total	1,915	100%

Wage Statement(s): 1,525 (77%) of the 1,989 Wage Statement(s) that were due this quarter were filed timely, 374 (19%) were filed late, and 90 (4%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

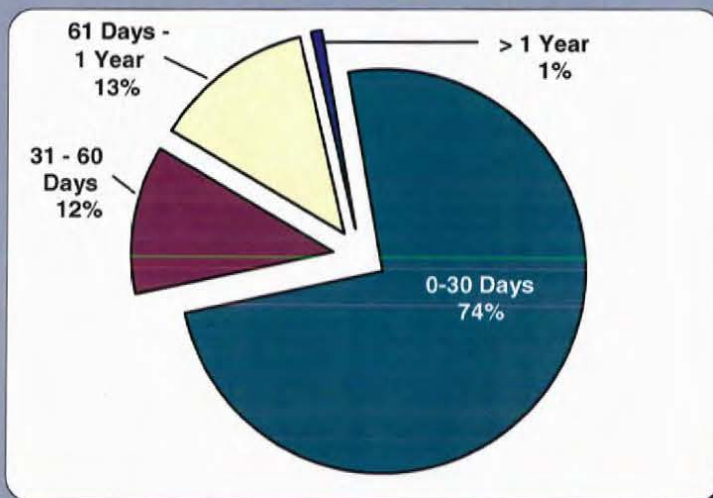


Table 6

Received Within:		
0-30 Days	1418	74%
31-60 Days	226	12%
61 Days-1 Year	245	13%
> 1 Year	16	1%
Total	1,905	100%

Fringe Benefit Worksheet(s): 1,473 (74%) of the 1,989 Fringe Benefit Worksheet(s) due this quarter were filed timely, 400 (20%) were filed late, and 116 (6%) remain outstanding.

Appendix A

**Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
Second Quarter 2014
4/1/2014-6/30/2014**

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Second Quarter
4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
ACADIA INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010	Group Total	46	41	89%	14	11	79%
ACE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
ACE INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	6	6	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	5	4	80%	1	1	100%
CA160	ESIS	26	17	65%	8	5	63%
CA190	GALLAGHER BASSETT SERVICES	20	19	95%	10	10	100%
CA204	HELMSMAN MANAGEMENT SERVICES	7	6	86%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	53	50	94%	11	8	73%
CA340	YORK RISK SERVICES	*	*	*	*	*	*
TPA Total		118	103	87%	35	28	80%
ACE INSURANCE Group Total		118	103	87%	35	28	80%
AIG INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	AIG CLAIMS, INC.	71	64	90%	19	16	84%
Total		71	64	90%	19	16	84%
AIG INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	3	2	67%	1	1	100%
CA100	CLAIMS MANAGEMENT (WAL-MART)	47	46	98%	11	11	100%
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	8	8	100%	5	4	80%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	8	8	100%	1	1	100%
TPA Total		70	67	96%	21	20	95%
AIG INSURANCE Group Total		141	131	93%	40	36	90%
AMTRUST INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA381	WESCO INSURANCE	11	3	27%	3	0	0%
CA342	TECHNOLOGY INSURANCE	20	13	65%	9	5	56%
Group Total		31	16	52%	12	5	42%
ARCH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
Total		*	*	*	*	*	*
ARCH INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	4	3	75%	2	1	50%
TPA Total		11	9	82%	3	2	67%
ARCH INSURANCE Group Total		11	9	82%	3	2	67%

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Second Quarter
4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	ATLANTIC SPECIALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	2	1	50%
	TPA Total	3	1	33%	2	1	50%
	ATLANTIC SPECIALTY INSURANCE Group Total	3	1	33%	2	1	50%
	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA036	Group Total	116	116	100%	9	9	100%
	BERKSHIRE HATHAWAY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	26	22	85%	10	10	100%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	154	134	87%	43	38	88%
	CHEROKEE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA044	Group Total	2	0	0%	1	0	0%
	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	9	6	67%	2	2	100%
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	CHUBB INSURANCE Group Total	10	7	70%	2	2	100%
	CHURCH MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080	Group Total	*	*	*	*	*	*
	CIANBRO CORPORATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA085	Group Total	1	1	100%	1	0	0%
	CITY OF BANGOR	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA033	Group Total	3	3	100%	1	1	100%
	CLAIMS MANAGEMENT (WALMART)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA100	Group Total	47	46	98%	11	11	100%
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	Group Total	6	6	100%	3	3	100%

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Second Quarter
4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA110	CONSTITUTION STATE SERVICES Group Total	FROIs Filed 5	Timely FROIs 4	Compliance 80%	Payments Made 1	Timely Payments 1	Compliance 100%
CA115	CONTINENTAL INDEMNITY Group Total	FROIs Filed 1	Timely FROIs 1	Compliance 100%	Payments Made 1	Timely Payments 1	Compliance 100%
CA116	CORVEL ENTERPRISE COMP. Group Total	FROIs Filed 6	Timely FROIs 4	Compliance 67%	Payments Made 1	Timely Payments 0	Compliance 0%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	FROIs Filed 5	Timely FROIs 5	Compliance 100%	Payments Made 4	Timely Payments 4	Compliance 100%
CA093	CROSS INSURANCE Group Total	FROIs Filed 285	Timely FROIs 265	Compliance 93%	Payments Made 44	Timely Payments 41	Compliance 93%
CA089	CRUM & FORSTER CRUM & FORSTER	FROIs Filed 1	Timely FROIs 0	Compliance 0%	Payments Made 1	Timely Payments 0	Compliance 0%
CA257	NORTH RIVER INSURANCE	1	0	0%	No filings	No filings	No filings
CA375	UNITED STATES FIRE INSURANCE	No filings	No filings	No filings	1	0	0%
	Group Total	2	0	0%	2	0	0%
	ELECTRIC INSURANCE Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
CA300	ELECTRIC INSURANCE TPA Administered Claims SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	ELECTRIC INSURANCE Group Total	1	1	100%	1	1	100%
CA160	ESIS Group Total	FROIs Filed 30	Timely FROIs 19	Compliance 63%	Payments Made 10	Timely Payments 7	Compliance 70%
CA165	F.A. RICHARD Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
CA091	FEDERATED MUTUAL INSURANCE FEDERATED MUTUAL INSURANCE	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
CA092	FEDERATED SERVICE INSURANCE	2	2	100%	1	1	100%
	Group Total	2	2	100%	1	1	100%
CA170	FIREMAN'S FUND INSURANCE Group Total	FROIs Filed 1	Timely FROIs 1	Compliance 100%	Payments Made No filings	Timely Payments No filings	Compliance No filings
CA175	FUTURECOMP Group Total	FROIs Filed 57	Timely FROIs 54	Compliance 95%	Payments Made 16	Timely Payments 16	Compliance 100%
CA190	GALLAGHER BASSETT SERVICES Group Total	FROIs Filed 82	Timely FROIs 69	Compliance 84%	Payments Made 36	Timely Payments 33	Compliance 92%

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Second Quarter
4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
GREAT AMERICAN INSURANCE							
CA193	Group Total	2	1	50%	1	1	100%
GREAT FALLS INSURANCE							
	Total	*	*	*	*	*	*
GREAT FALLS INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	52	40	77%	20	18	90%
	TPA Total	52	40	77%	20	18	90%
	GREAT FALLS INSURANCE Group Total	52	40	77%	20	18	90%
GREAT WEST INSURANCE							
CA196	Group Total	1	0	0%	1	0	0%
GUARANTEE INSURANCE							
CA195	Group Total	13	0	0%	11	1	9%
GUARD INSURANCE							
CA019	AMGUARD INSURANCE COMPANY	19	13	68%	3	2	67%
CA140	EASTGUARD INSURANCE COMPANY	6	4	67%	2	1	50%
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	25	17	68%	5	3	60%
HANNAFORD BROTHERS							
CA201	Group Total	71	52	73%	17	16	94%
HANNOVER INSURANCE							
	Total	*	*	*	*	*	*
HANNOVER INSURANCE TPA Administered Claims							
CA340	YORK RISK SERVICES	1	0	0%	1	1	100%
	TPA Total	1	0	0%	1	1	100%
	HANNOVER INSURANCE Group Total	1	0	0%	1	1	100%
HANOVER INSURANCE							
CA048	CITIZENS INSURANCE CO. OF AMERICA	1	1	100%	1	1	100%
CA202	HANOVER INSURANCE CO.	7	6	86%	3	3	100%
CA228	MASSACHUSETTS BAY INS. CO.	4	3	75%	No filings	No filings	No filings
	Group Total	12	10	83%	4	4	100%
HARLEYSVILLE INSURANCE							
CA197	Group Total	*	*	*	*	*	*

INSURANCE GROUP COMPLIANCE
Lost Time FROI and Initial Indemnity Payments
Second Quarter
4/1/2014 - 6/30/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	HARTFORD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	6	3	50%	1	1	100%
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	3	3	100%	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	8	6	75%	4	4	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	3	3	100%	2	2	100%
CA319	TRUMBULL INSURANCE	10	9	90%	5	3	60%
CA321	TWIN CITY FIRE INSURANCE CO.	9	9	100%	1	1	100%
	Total	42	36	86%	14	12	86%
	HARTFORD INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	3	75%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	9	7	78%	3	3	100%
	HARTFORD INSURANCE Group Total	51	43	84%	17	15	88%
	HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	Group Total	18	16	89%	7	6	86%
	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	3	2	67%	1	1	100%
CA210	LIBERTY MUTUAL INSURANCE CO.	35	26	74%	20	19	95%
	Total	38	28	74%	21	20	95%
	LUMBERMEN'S UNDERWRITING	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA211	Group Total	No filings	No filings	No filings	1	0	0%
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA220	Group Total	28	27	96%	15	14	93%
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA260	Group Total	1089	896	82%	344	319	93%
	MAINE HEALTHCARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA234	Group Total	29	27	93%	7	6	86%
	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA230	Group Total	61	59	97%	14	14	100%
	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA225	Group Total	245	242	99%	62	58	94%
	MAINE SCHOOL MANAGEMENT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA250	Group Total	67	67	100%	26	26	100%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	MEADOWBROOK	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA255	Group Total	3	2	67%	2	1	50%
	MITSUI SUMITOMO INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA233	Group Total	*	*	*	*	*	*
	NATIONAL CASUALTY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA289	Group Total	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	1	50%	1	1	100%
	NATIONWIDE AGRIBUSINESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA291	Group Total	1	0	0%	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	7	6	86%	2	2	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	7	4	57%	4	4	100%
CA295	RYDER SERVICES CORPORATION	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	5	83%	3	3	100%
	TPA Total	22	16	73%	9	9	100%
	OLD REPUBLIC INSURANCE Group Total	22	16	73%	9	9	100%
	ONEBEACON INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	1	100%	No filings	No filings	No filings
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA274		1	1	100%	No filings	No filings	No filings
	PATRIOT RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA292	Group Total	*	*	*	*	*	*
	PEERLESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA162	EXCELISOR INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	20	10	50%	7	6	86%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	21	11	52%	7	6	86%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
PENNSYLVANIA MANUFACTURERS' ASSOCIATION		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
PENNSYLVANIA MFG. ASSN. TPA Administered Claims							
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	1	100%
	TPA Total	3	2	67%	1	1	100%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	3	2	67%	1	1	100%
PROTECTIVE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA277	Group Total	1	1	100%	No filings	No filings	No filings
PUBLIC SERVICE MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA282	Group Total	*	*	*	*	*	*
QBE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
QBE INSURANCE TPA Administered Claims							
CA160	ESIS	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	11	100%	4	4	100%
	TPA Total	13	12	92%	5	5	100%
	QBE INSURANCE Group Total	13	12	92%	5	5	100%
RYDER SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA295	Group Total	*	*	*	*	*	*
SAFETY NATIONAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
SAFETY NATIONAL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	4	3	75%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	4	4	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA340	YORK RISK SERVICES	2	0	0%	2	0	0%
	TPA Total	13	10	77%	3	1	33%
	SAFETY NATIONAL INSURANCE Group Total	13	10	77%	1	1	100%
SEDGWICK CLAIMS MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA300	Group Total	97	91	94%	26	23	88%
SENTRY INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	SENTRY A MUTUAL CO.	3	2	67%	3	2	67%
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	3	2	67%	3	2	67%

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	5	5	100%	4	4	100%
	TPA Total	5	5	100%	4	4	100%
	SPARTA INSURANCE Group Total	5	5	100%	4	4	100%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	156	134	86%	43	42	98%
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	123	102	83%	30	26	87%
	THE AMERICAN EQUITY UNDERWRITERS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA323	Group Total	*	*	*	*	*	*
	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total	1	0	0%	No filings	No filings	No filings
	TRAVELERS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072	CHARTER OAK FIRE INS. CO.	35	29	83%	7	5	71%
CA164	FARMINGTON CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	11	5	45%	3	2	67%
CA284	THE PHOENIX INS. CO.	2	1	50%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	13	10	77%	5	5	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	4	2	50%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	1	0	0%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	6	3	50%	2	0	0%
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	6	4	67%	2	2	100%
	Total	78	55	71%	20	14	70%
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	8	7	88%	4	4	100%
CA164	FARMINGTON CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	11	8	73%	6	6	100%
	TRAVELERS INSURANCE Group Total	89	63	71%	26	20	77%
	TRISTAR RISK ENTERPRISE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	1	1	100%	2	1	50%
	UNDERWRITERS SAFETY & CLAIMS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA360	Group Total	*	*	*	*	*	*

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		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
VANLINER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	VANLINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VANLINER INSURANCE Group Total	*	*	*	*	*	*
XL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	0	0%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	7	5	71%	1	0	0%
	XL INSURANCE Group Total	7	5	71%	1	0	0%
YORK RISK SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	7	3	43%	5	2	40%
ZURICH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA022	AMERICAN ZURICH	11	10	91%	3	2	67%
CA259	NORTHERN INSURANCE OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	3	2	67%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	1	1	100%	1	0	0%
	Total	15	13	87%	5	3	60%
	ZURICH INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	21	20	95%	9	8	89%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	1	1	100%
	TPA Total	22	21	95%	10	9	90%
	ZURICH INSURANCE Group Total	37	34	92%	15	12	80%

Appendix B

**Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Second Quarter 2014
4/1/2014-6/30/2014**

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
ACADIA INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA010	Group Total	14	12	86%	7	7	100%
ACE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
ACE INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	2	2	100%
CA160	ESIS	8	7	88%	4	3	75%
CA190	GALLAGHER BASSETT SERVICES	10	8	80%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	8	73%	12	12	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	1	0	0%
TPA Total		35	28	80%	26	24	92%
ACE INSURANCE Group Total		35	28	80%	26	24	92%
AIG INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA015	AIG CLAIMS, INC.	19	17	89%	17	17	100%
Total		19	17	89%	17	17	100%
AIG INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	1	1	100%	1	1	100%
CA100	CLAIMS MANAGEMENT (WALMART)	11	11	100%	13	11	85%
CA160	ESIS	1	0	0%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	3	3	100%
CA204	HELMSMAN MANAGEMENT SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	5	5	100%
TPA Total		21	19	90%	24	22	92%
AIG INSURANCE Group Total		40	36	90%	41	39	95%
AMTRUST INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA381	WESCO INSURANCE	3	0	0%	1	1	100%
CA342	TECHNOLOGY INSURANCE	9	5	56%	3	1	33%
Group Total		12	5	42%	4	2	50%
ARCH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
Total		*	*	*	*	*	*
ARCH INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA340	YORK RISK SERVICES	2	1	50%	1	1	100%
TPA Total		3	2	67%	1	1	100%
ARCH INSURANCE Group Total		3	2	67%	1	1	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	ATLANTIC SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ATLANTIC SPECIALTY INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	1	100%
	TPA Total	2	1	50%	1	1	100%
	ATLANTIC SPECIALTY INSURANCE Group Total	2	1	50%	1	1	100%
	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA036	Group Total	9	9	100%	12	12	100%
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	*	*	*	*	*	*
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	10	10	100%	5	5	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	43	38	88%	41	39	95%
	CHEROKEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044	Group Total	1	0	0%	No filings	No filings	No filings
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	2	2	100%	2	2	100%
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	No filings	No filings	No filings	No filings	No filings	No filings
	CHUBB INSURANCE Group Total	2	2	100%	2	2	100%
	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	*	*	*	*	*	*
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	1	0	0%	No filings	No filings	No filings
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	1	1	100%	No filings	No filings	No filings
	CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	11	11	100%	13	11	85%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	3	3	100%	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA110	CONSTITUTION STATE SERVICES Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed 2	Timely NOCs 2	Compliance 100%
CA115	CONTINENTAL INDEMNITY Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA116	CORVEL ENTERPRISE COMP. Group Total	MOPs Filed 1	Timely MOPs 0	Compliance 0%	NOCs Filed 2	Timely NOCs 1	Compliance 50%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	MOPs Filed 4	Timely MOPs 4	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA093	CROSS INSURANCE Group Total	MOPs Filed 44	Timely MOPs 38	Compliance 86%	NOCs Filed 82	Timely NOCs 80	Compliance 98%
CA089	CRUM & FORSTER	MOPs Filed 1	Timely MOPs 0	Compliance 0%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA257	NORTH RIVER INSURANCE	No filings	No filings	No filings	1	0	0%
CA375	UNITED STATES FIRE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	2	0	0%	No filings	No filings	No filings
	ELECTRIC INSURANCE Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA300	ELECTRIC INSURANCE TPA Administered Claims SEDGWICK CLAIMS MANAGEMENT SERVICES TPA Total	1 1	1 1	100% 100%	No filings No filings	No filings No filings	No filings No filings
	ELECTRIC INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
CA160	ESIS Group Total	MOPs Filed 10	Timely MOPs 8	Compliance 80%	NOCs Filed 5	Timely NOCs 4	Compliance 80%
CA165	F.A. RICHARD Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA091	FEDERATED MUTUAL INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA092	FEDERATED SERVICE INSURANCE	1	0	0%	No filings	No filings	No filings
	Group Total	1	0	0%	No filings	No filings	No filings
CA170	FIREMAN'S FUND INSURANCE Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA175	FUTURECOMP Group Total	MOPs Filed 16	Timely MOPs 15	Compliance 94%	NOCs Filed 12	Timely NOCs 11	Compliance 92%
CA190	GALLAGHER BASSETT SERVICES Group Total	MOPs Filed 36	Timely MOPs 34	Compliance 94%	NOCs Filed 11	Timely NOCs 11	Compliance 100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
GREAT AMERICAN INSURANCE							
CA193	Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
GREAT FALLS INSURANCE							
	Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
GREAT FALLS INSURANCE TPA Administered Claims							
CA070	CANNON COCHRAN MANAGEMENT SERVICES	20	15	75%	14	12	86%
	TPA Total	20	15	75%	14	12	86%
	GREAT FALLS INSURANCE Group Total	20	15	75%	14	12	86%
GREAT WEST INSURANCE							
CA196	Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
GUARANTEE INSURANCE CO.							
CA195	Group Total	MOPs Filed 11	Timely MOPs 1	Compliance 9%	NOCs Filed 1	Timely NOCs 0	Compliance 0%
GUARD INSURANCE							
CA019	AMGUARD INSURANCE COMPANY	MOPs Filed 3	Timely MOPs 2	Compliance 67%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA140	EASTGUARD INSURANCE COMPANY	2	0	0%	1	0	0%
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	5	2	40%	1	0	0%
HANNAFORD BROTHERS							
CA201	Group Total	MOPs Filed 17	Timely MOPs 13	Compliance 76%	NOCs Filed 12	Timely NOCs 10	Compliance 83%
HANNOVER INSURANCE							
	Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
HANNOVER INSURANCE TPA Administered Claims							
CA340	YORK RISK SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	HANNOVER INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
HANOVER INSURANCE							
CA048	CITIZENS INSURANCE CO. OF AMERICA	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA202	HANOVER INSURANCE CO.	3	2	67%	1	1	100%
CA228	MASSACHUSETTS BAY INS. CO.	No filings	No filings	No filings	1	1	100%
	Group Total	4	3	75%	2	2	100%
HARLEYSVILLE INSURANCE							
CA197	Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
HARTFORD INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	1	1	100%	3	3	100%
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO.	No filings	No filings	No filings	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	No filings	No filings	No filings	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	4	4	100%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	2	2	100%	1	1	100%
CA319	TRUMBULL INSURANCE	5	3	60%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	1	1	100%	2	2	100%
	Total	14	12	86%	11	11	100%
HARTFORD INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	2	100%	No filings	No filings	No filings
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	*	*	*	*	*	*
	TPA Total	3	3	100%	1	1	100%
	HARTFORD INSURANCE Group Total	17	15	88%	12	12	100%
HELMSMAN MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA204	Group Total	7	6	86%	7	6	86%
LIBERTY MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	1	1	100%	3	2	67%
CA210	LIBERTY MUTUAL INSURANCE CO.	20	19	95%	7	7	100%
	Total	21	20	95%	10	9	90%
LUMBERMEN'S UNDERWRITING		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA211	Group Total	1	0	0%	No filings	No filings	No filings
MAINE AUTOMOBILE DEALERS ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total	15	14	93%	2	2	100%
MAINE EMPLOYERS' MUTUAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260	Group Total	344	317	92%	203	191	94%
MAINE HEALTHCARE ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA234	Group Total	7	7	100%	5	5	100%
MAINE MOTOR TRANSPORT ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA230	Group Total	14	14	100%	10	10	100%
MAINE MUNICIPAL ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA225	Group Total	62	57	92%	66	65	98%
MAINE SCHOOL MANAGEMENT ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA250	Group Total	26	26	100%	8	8	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total	2	1	50%	No filings	No filings	No filings
	MITSUI SUMITOMO INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA233	Group Total	*	*	*	*	*	*
	NATIONAL CASUALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	Group Total	*	*	*	*	*	*
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	1	1	100%	No filings	No filings	No filings
	NATIONWIDE AGRIBUSINESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA291	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	1	50%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	No filings	No filings	No filings
CA295	RYDER SERVICES	*	*	*	*	*	*
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
	TPA Total	9	7	78%	2	2	100%
	OLD REPUBLIC INSURANCE Group Total	9	7	78%	2	2	100%
	ONEBEACON INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	No filings	No filings	No filings	1	1	100%
	ONEBEACON TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	ONEBEACON Group Total	No filings	No filings	No filings	1	1	100%
	PATRIOT INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA274	Group Total	No filings	No filings	No filings	1	1	100%
	PATRIOT RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA292	Group Total	*	*	*	*	*	*
	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELISOR INSURANCE CO.	No filings	No filings	No filings	1	1	100%
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	7	6	86%	6	6	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	7	6	86%	7	7	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
PENNSYLVANIA MANUFACTURERS' ASSOCIATION		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	*	*	*	*	*	*
PENNSYLVANIA MFG. ASSN. TPA Administered Claims							
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	1	1	100%
	TPA Total	1	1	100%	1	1	100%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	1	1	100%	1	1	100%
PROTECTIVE INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
PUBLIC SERVICE MUTUAL		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282	Group Total	*	*	*	*	*	*
QBE INSURANCE GROUP		MOPs	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
QBE INSURANCE GROUP TPA Administered Claims							
CA160	ESIS	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	2	50%	3	3	100%
	TPA Total	5	3	60%	3	3	100%
	QBE INSURANCE GROUP Group Total	5	3	60%	3	3	100%
RYDER SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA295	Group Total	*	*	*	*	*	*
SAFETY NATIONAL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
SAFETY NATIONAL INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	*	*	*	*	*	*
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
CA340	YORK RISK SERVICES	2	0	0%	No filings	No filings	No filings
	TPA Total	3	1	33%	1	1	100%
	SAFETY NATIONAL INSURANCE Group Total	3	1	33%	1	1	100%
SEDGWICK CLAIMS MANAGEMENT SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA300	Group Total	26	23	88%	24	24	100%
SENTRY INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA305	SENTRY A MUTUAL CO.	3	1	33%	No filings	No filings	No filings
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	3	1	33%	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	SPARTA INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	4	4	100%	No filings	No filings	No filings
	TPA Total	4	4	100%	No filings	No filings	No filings
	SPARTA INSURANCE Group Total	4	4	100%	No filings	No filings	No filings
	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA307	Group Total	43	41	95%	34	32	94%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total	30	24	80%	38	34	89%
	THE AMERICAN EQUITY UNDERWRITERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA323	Group Total	*	*	*	*	*	*
	TOWER INSURANCE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	No filings	No filings	No filings	1	0	0%
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INS. CO.	7	5	71%	11	11	100%
CA164	FARMINGTON CASUALTY CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	3	2	67%	1	1	100%
CA284	THE PHOENIX INS. CO.	No filings	No filings	No filings	1	0	0%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	5	4	80%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	No filings	No filings	No filings	1	1	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	1	1	100%	No filings	No filings	No filings
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	0	0%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	2	2	100%	No filings	No filings	No filings
	Total	20	14	70%	15	14	93%
	TRAVELERS INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	4	4	100%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	6	5	83%	2	2	100%
	TRAVELERS INSURANCE Group Total	26	19	73%	17	16	94%
	TRISTAR RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	2	1	50%	No filings	No filings	No filings
	UNDERWRITERS SAFETY & CLAIMS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA360	Group Total	*	*	*	*	*	*

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
VALINER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	VALINER INSURANCE TPA Administered Claims						
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	*	*	*	*	*	*
	TPA Total	*	*	*	*	*	*
	VALINER INSURANCE Group Total	*	*	*	*	*	*
XL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	XL INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	0	0%
CA160	ESIS	*	*	*	*	*	*
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
	TPA Total	1	0	0%	3	2	67%
	XL INSURANCE Group Total	1	0	0%	3	2	67%
YORK RISK SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	5	2	40%	2	1	50%
ZURICH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA022	AMERICAN ZURICH	3	2	67%	7	6	86%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	*	*	*	*	*	*
CA400	ZURICH AMERICAN INSURANCE CO.	1	1	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO. ILLINOIS	1	0	0%	No filings	No filings	No filings
	Total	5	3	60%	8	7	88%
	ZURICH INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	9	7	78%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	*	*	*	*	*	*
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	0	0%	No filings	No filings	No filings
	TPA Total	10	7	70%	1	1	100%
	ZURICH INSURANCE Group Total	15	10	67%	9	8	89%