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QUARTERLY COMPLIANCE REPORT

STATE OF MAINE
WORKERS' COMPENSATION BOARD



First Quarter 2014 Report

January 1, 2014 – March 31, 2014

Office of Monitoring, Audit & Enforcement

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**State of Maine
Workers' Compensation Board
Quarterly Compliance Report
First Quarter 2014**

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Executive Summary

On September 9, 2014, the Maine Workers' Compensation Board of Directors approved the First Quarter 2014 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-insurers, and third-party administrators (collectively "insurers").

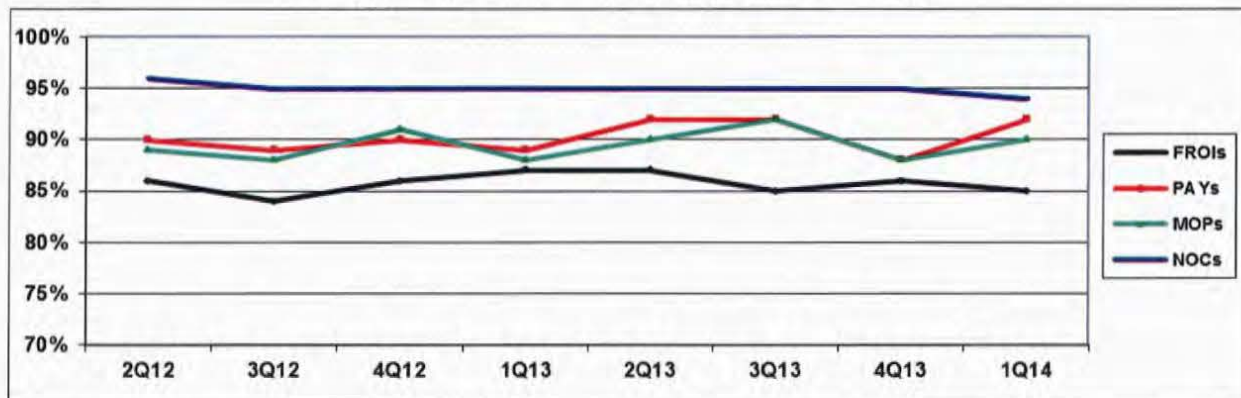
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 94 insurers on April 24, 2014; 67 responded, 22 were not required to respond and 5 did not respond.

The 1Q14 report represents results based upon data received by May 30, 2014. The results are:

	Number of Days	Benchmark	2Q12	3Q12	4Q12	1Q13	2Q13	3Q13	4Q13	1Q14
FROIs	7	85%	86%	84%	86%	87%	87%	85%	86%	85%
PAYs	14	87%	90%	89%	90%	89%	92%	92%	88%	92%
MOPs	17	85%	89%	88%	91%	88%	90%	92%	88%	90%
NOCs	14	90%	96%	95%	95%	95%	95%	95%	95%	94%

Compliance Benchmark Tracking (2Q12 – 1Q14)



II. CAVEATS & EXPLANATIONS

A. General

- Question marks ("?") within this report indicate that the insurer did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

- Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.

- If an employer continues to pay the employee's salary, payments are deemed timely for purposes of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

- Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

- Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

III. COMPLETED AUDITS

The Board conducts compliance audits of insurers to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 1Q14:

Auditee (alpha order)	Total Penalties
Chesterfield Services	\$3,700.00
Guard Insurance	\$6,925.00
Travelers Insurance	\$12,875.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

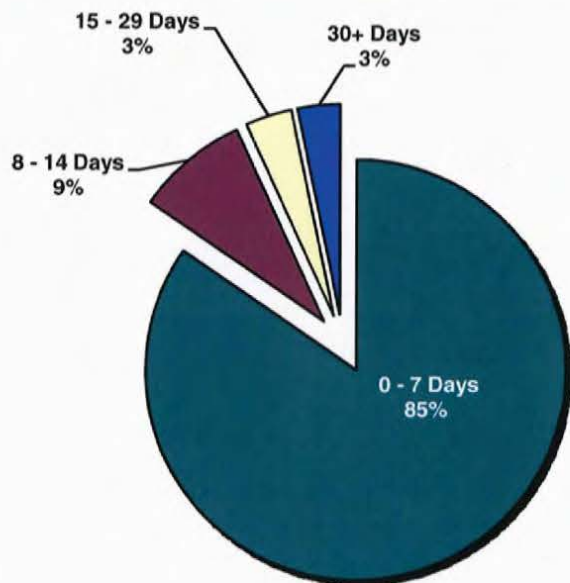


Table 1

Received Within:			
0 - 7	Days	2,921	85%
8 - 14	Days	296	9%
15 - 29	Days	123	3%
30+	Days	113	3%
Total		3,453	100%

Chart 2 Quarterly Compliance

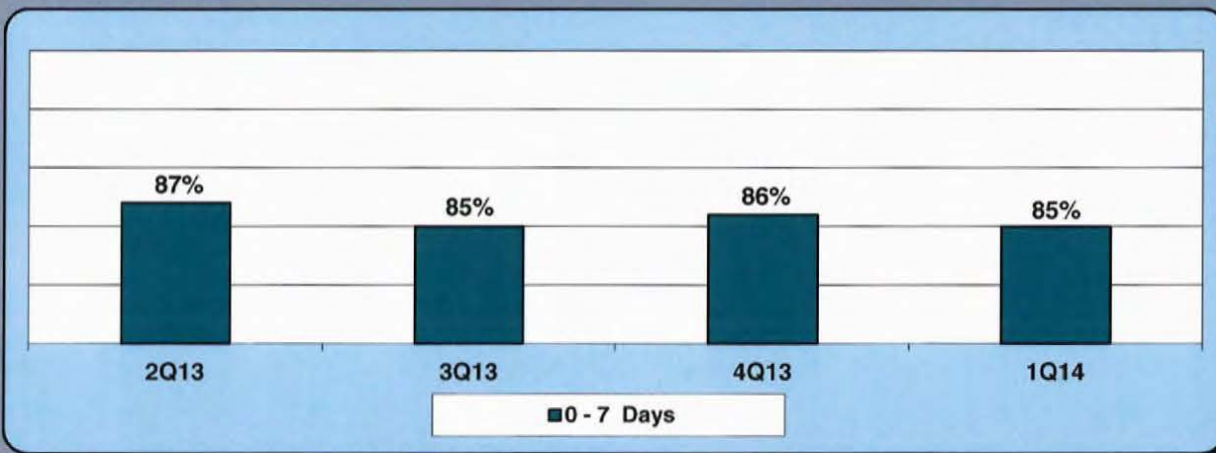
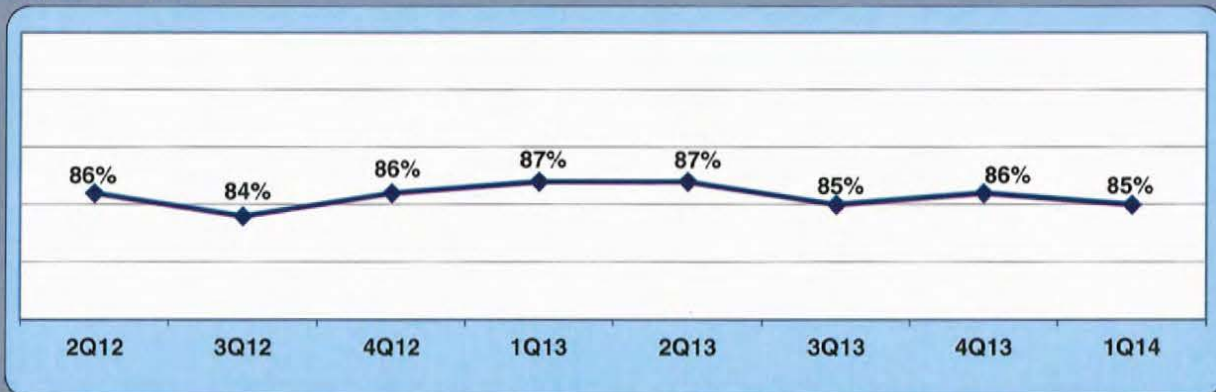


Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

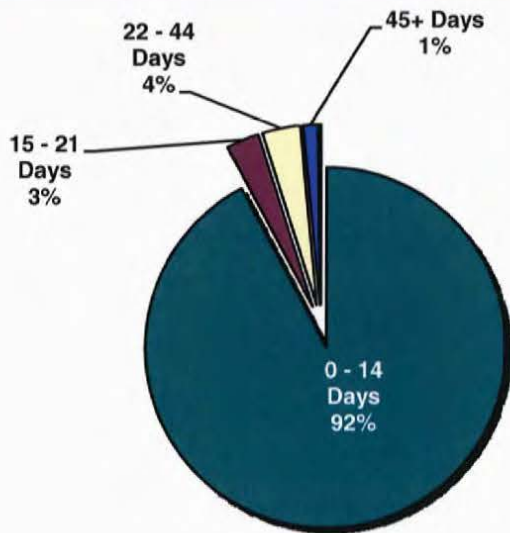


Table 2

Made Within:			
0 - 14	Days	980	92%
15 - 21	Days	31	3%
22 - 44	Days	36	4%
45+	Days	14	1%
?	Days	2	0%
Total		1,063	100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$12,100 was issued to claimants in penalties and there is another \$5,000 in penalties awaiting resolution.

Chart 5 Quarterly Compliance

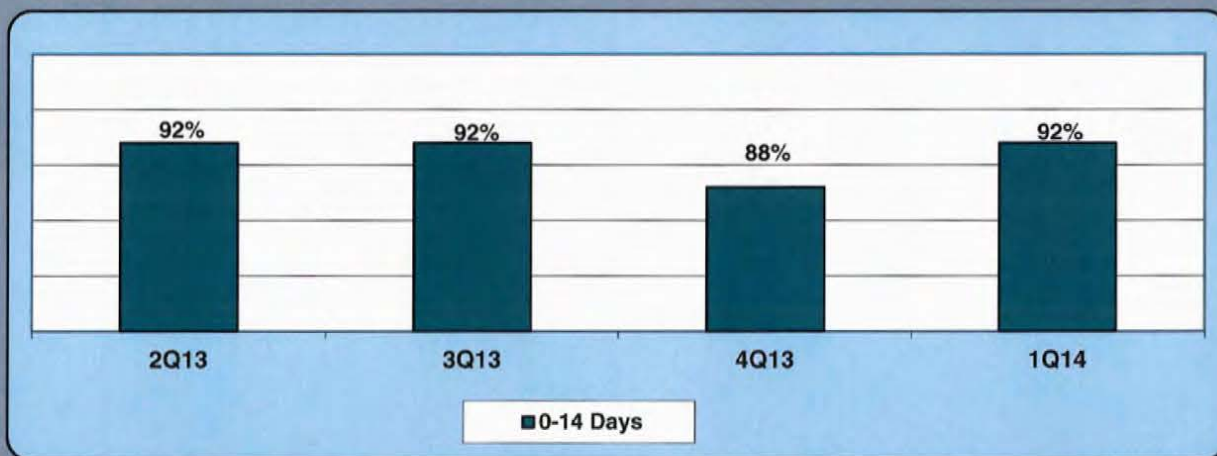
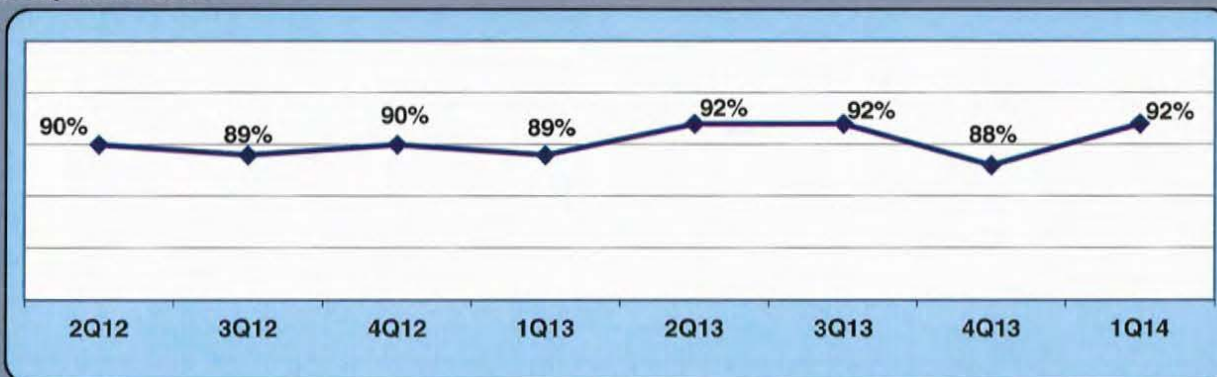


Chart 6 Compliance Trend



INITIAL MEMORANDUM OF PAYMENT FILINGS

Chart 7 Timeliness Distribution

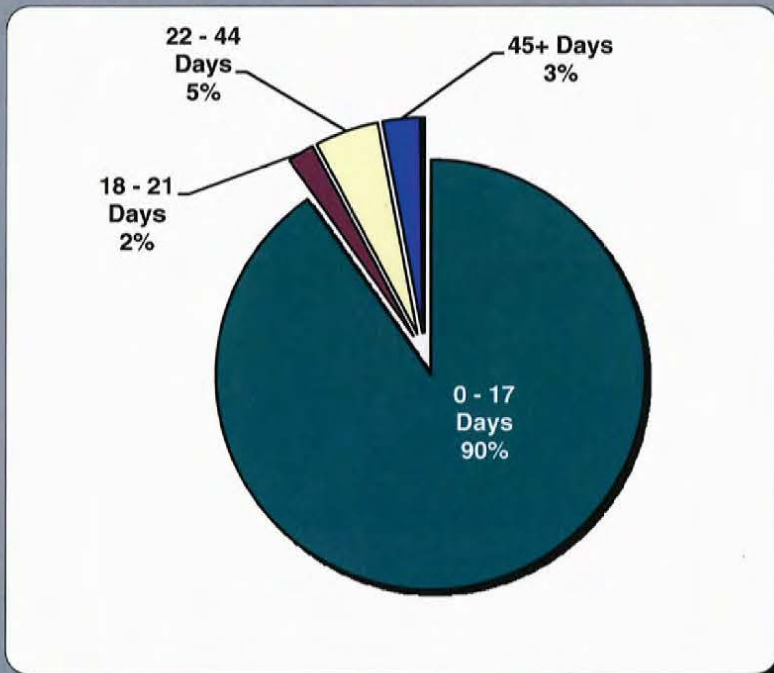


Table 3

Received Within:			
0 - 17	Days	959	90%
18 - 21	Days	21	2%
22 - 44	Days	51	5%
45+	Days	30	3%
?	Days	2	0%
Total		1,063	100%

Chart 8 Quarterly Compliance

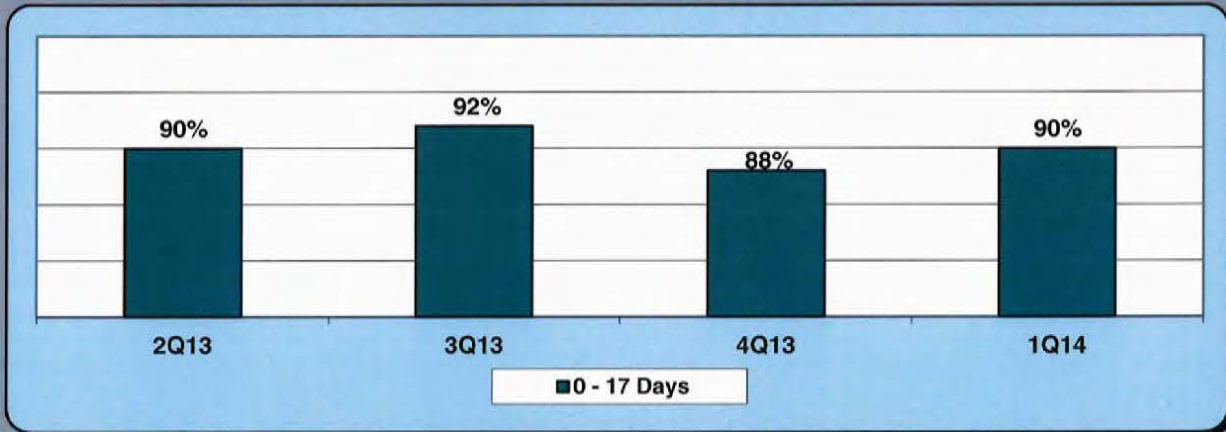
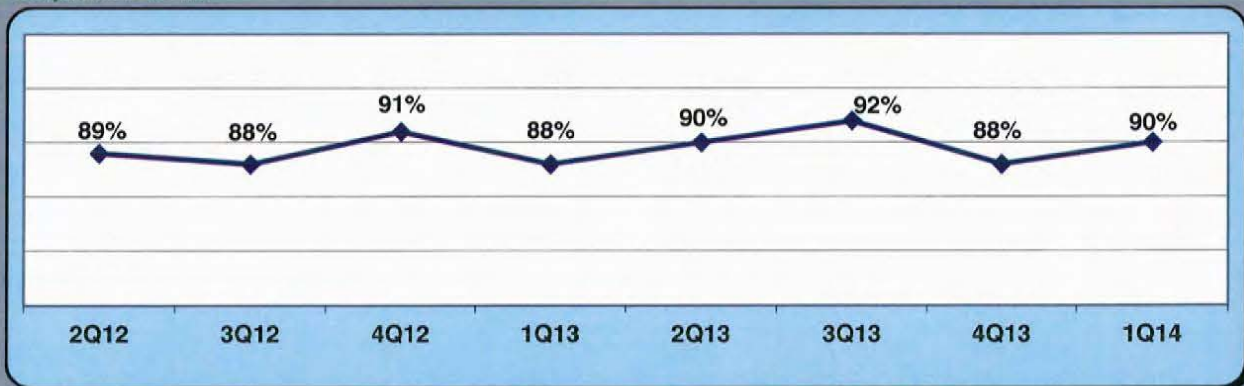


Chart 9 Compliance Trend



INITIAL INDEMNITY NOTICE OF CONTROVERSY FILINGS

Chart 10 Timeliness Distribution

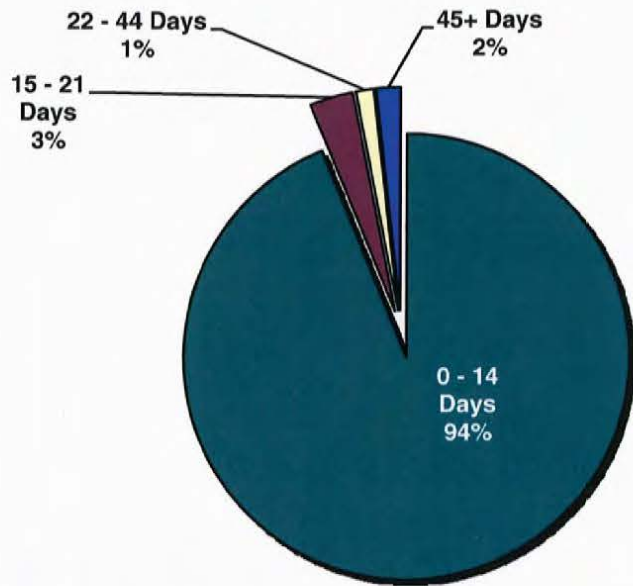


Table 4

Received Within:			
0 - 14	Days	567	94%
15 - 21	Days	19	3%
22 - 44	Days	8	1%
45+	Days	11	2%
Total		605	100%

Chart 11 Quarterly Compliance

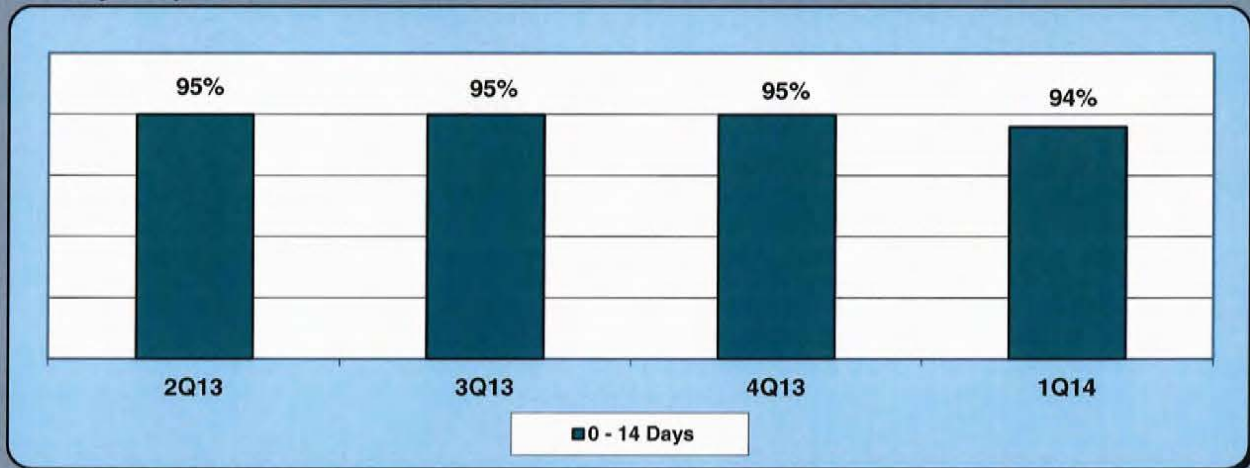
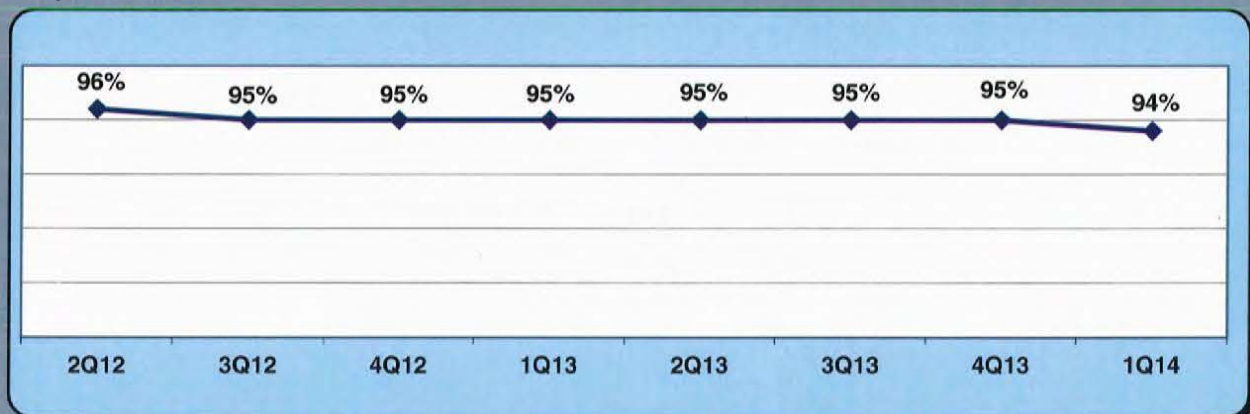


Chart 12 Compliance Trend



First Quarter Compliance Report
1/1/2014 - 3/31/2014

WAGE INFORMATION

Wage information (WCB-2 and WCB-2B forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

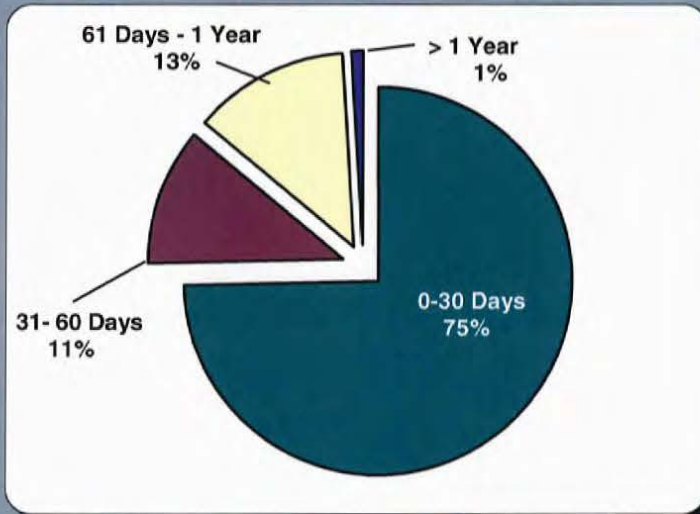


Table 5

Received Within:		
0-30 Days	1520	75%
31-60 Days	233	11%
61 Days-1 Year	264	13%
> 1 Year	16	1%
Total	2,033	100%

Wage Statement(s): 1,435 (73%) of the 1,959 Wage Statement(s) that were due this quarter were filed timely, 361 (19%) were filed late, and 163 (8%) remain outstanding.

Chart 14 Fringe Benefit Worksheet Timeliness Distribution

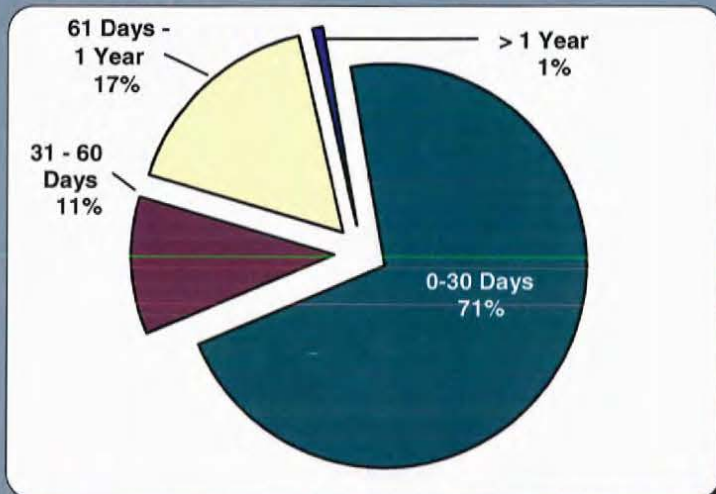


Table 6

Received Within:		
0-30 Days	1469	71%
31-60 Days	224	11%
61 Days-1 Year	343	17%
> 1 Year	16	1%
Total	2,052	100%

Fringe Benefit Worksheet(s): 1,369 (70%) of the 1,959 Fringe Benefit Worksheet(s) due this quarter were filed timely, 372 (19%) were filed late, and 218 (11%) remain outstanding.

Appendix A

**Insurance Group Compliance
Lost Time FROI Filings and
Initial Indemnity Payments
First Quarter 2014
1/1/2014-3/31/2014**

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter
1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA010	ACADIA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	60	53	88%	27	25	93%
	ACE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	7	6	86%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	6	3	50%	1	1	100%
CA160	ESIS	21	17	81%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	27	25	93%	9	8	89%
CA204	HELMSMAN MANAGEMENT SERVICES	3	2	67%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	69	58	84%	26	25	96%
CA340	YORK RISK SERVICES	2	1	50%	1	0	0%
	TPA Total	135	112	83%	46	42	91%
	ACE INSURANCE Group Total	135	112	83%	46	42	91%
CA015	AIG INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	AIG CLAIMS, INC.	51	47	92%	19	19	100%
	Total	51	47	92%	19	19	100%
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	14	11	79%	1	0	0%
CA100	CLAIMS MANAGEMENT (WAL-MART)	42	41	98%	2	2	100%
CA160	ESIS	7	6	86%	5	4	80%
CA190	GALLAGHER BASSETT SERVICES	36	30	83%	11	9	82%
CA204	HELMSMAN MANAGEMENT SERVICES	5	3	60%	3	3	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	6	6	100%
	TPA Total	115	101	88%	28	24	86%
	AIG INSURANCE Group Total	166	148	89%	47	43	91%
CA342	AMTRUST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	TECHNOLOGY INSURANCE	11	3	27%	1	0	0%
	Group Total	11	3	27%	1	0	0%
	ARCH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA160	ESIS	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	TPA Total	6	4	67%	3	3	100%
	ARCH INSURANCE Group Total	6	4	67%	3	3	100%
CA036	BATH IRON WORKS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	105	105	100%	13	12	92%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter
1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA114	BERKSHIRE HATHAWAY INSURANCE Group Total	FROIs Filed 2	Timely FROIs 0	Compliance 0%	Payments Made 1	Timely Payments 0	Compliance 0%
CA040	BROADSPIRE SERVICES Group Total	FROIs Filed 25	Timely FROIs 18	Compliance 72%	Payments Made 7	Timely Payments 5	Compliance 71%
CA070	CANNON COCHRAN MANAGEMENT SERVICES Group Total	FROIs Filed 140	Timely FROIs 113	Compliance 81%	Payments Made 48	Timely Payments 39	Compliance 81%
CA044	CHEROKEE INSURANCE Group Total	FROIs Filed 1	Timely FROIs 0	Compliance 0%	Payments Made 1	Timely Payments 1	Compliance 100%
CA080	CHESTERFIELD SERVICES Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
	CHUBB INSURANCE Total	FROIs Filed 6	Timely FROIs 3	Compliance 50%	Payments Made 6	Timely Payments 6	Compliance 100%
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	0	0%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	2	67%	1	0	0%
	TPA Total	4	2	50%	1	0	0%
	CHUBB INSURANCE Group Total	10	5	50%	7	6	86%
CA080	CHURCH MUTUAL INSURANCE Group Total	FROIs Filed 1	Timely FROIs 0	Compliance 0%	Payments Made 1	Timely Payments 1	Compliance 100%
CA085	CIANBRO CORPORATION Group Total	FROIs Filed 2	Timely FROIs 2	Compliance 100%	Payments Made 1	Timely Payments 1	Compliance 100%
CA033	CITY OF BANGOR Group Total	FROIs Filed 20	Timely FROIs 19	Compliance 95%	Payments Made 13	Timely Payments 13	Compliance 100%
CA100	CLAIMS MANAGEMENT (WALMART) Group Total	FROIs Filed 42	Timely FROIs 41	Compliance 98%	Payments Made 2	Timely Payments 2	Compliance 100%
CA050	CNA INSURANCE Group Total	FROIs Filed 4	Timely FROIs 4	Compliance 100%	Payments Made 3	Timely Payments 3	Compliance 100%
CA110	CONSTITUTION STATE SERVICES Group Total	FROIs Filed 6	Timely FROIs 3	Compliance 50%	Payments Made 1	Timely Payments 1	Compliance 100%
CA115	CONTINENTAL INDEMNITY Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
CA116	CORVEL ENTERPRISE COMP. Group Total	FROIs Filed 6	Timely FROIs 3	Compliance 50%	Payments Made 4	Timely Payments 2	Compliance 50%
CA117	COTTINGHAM & BUTLER CLAIMS SERVICES Group Total	FROIs Filed 12	Timely FROIs 12	Compliance 100%	Payments Made 5	Timely Payments 4	Compliance 80%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter
1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CROSS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA093	Group Total	306	290	95%	58	57	98%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA089	CRUM & FORSTER	*	*	*	*	*	*
CA375	UNITED STATES FIRE INSURANCE	No filings	No filings	No filings	1	1	100%
	Group Total	No filings	No filings	No filings	1	1	100%
	ELECTRIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	ELECTRIC INSURANCE TPA Administered Claims						
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE Group Total	1	1	100%	No filings	No filings	No filings
	ESIS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA160	Group Total	33	26	79%	14	12	86%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	*	*	*	*	*	*
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	FEDERATED MUTUAL INSURANCE	1	0	0%	No filings	No filings	No filings
CA092	FEDERATED SERVICE INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	2	1	50%	No filings	No filings	No filings
	FIREMAN'S FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	1	0	0%	No filings	No filings	No filings
	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	52	49	94%	17	15	88%
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	118	99	84%	37	29	78%
	GREAT AMERICAN INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA193	Group Total	1	0	0%	No filings	No filings	No filings
	GREAT FALLS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	GREAT FALLS INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	46	27	59%	14	11	79%
	TPA Total	46	27	59%	14	11	79%
	GREAT FALLS INSURANCE Group Total	46	27	59%	14	11	79%
	GREAT WEST INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA196	Group Total	1	0	0%	No filings	No filings	No filings

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter
1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
GUARANTEE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA195	Group Total	2	1	50%	1	0	0%
GUARD INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	AMGUARD INSURANCE COMPANY	8	5	63%	3	3	100%
CA140	EASTGUARD INSURANCE COMPANY	8	5	63%	3	2	67%
CA272	NORGUARD INSURANCE COMPANY	2	2	100%	No filings	No filings	No filings
	Group Total	18	12	67%	6	5	83%
HANNAFORD BROTHERS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA201	Group Total	53	39	74%	14	13	93%
HANOVER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	9	8	89%	No filings	No filings	No filings
CA202	HANOVER INSURANCE CO.	18	15	83%	1	1	100%
CA228	MASSACHUSETTS BAY INS. CO.	4	3	75%	2	2	100%
	Group Total	31	26	84%	3	3	100%
HARLEYSVILLE INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA197	Group Total	1	1	100%	No filings	No filings	No filings
HARTFORD INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	3	2	67%	1	1	100%
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	1	1	100%
CA203	HARTFORD FIRE INSURANCE CO.	3	2	67%	1	1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	6	4	67%	1	1	100%
CA187	HARTFORD UNDERWRITERS INSURANCE	6	5	83%	1	1	100%
CA288	PROPERTY & CASUALTY INSURANCE CO.	1	0	0%	1	1	100%
CA296	SENTINEL INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	8	8	100%	5	5	100%
CA321	TWIN CITY FIRE INSURANCE CO.	12	10	83%	4	4	100%
	Total	41	32	78%	15	15	100%
HARTFORD INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	2	1	50%	2	2	100%
CA116	CORVEL ENTERPRISE COMP.	2	0	0%	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	11	10	91%	3	2	67%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	2	2	100%	No filings	No filings	No filings
	TPA Total	17	13	76%	6	4	67%
	HARTFORD INSURANCE Group Total	58	45	78%	21	19	90%
HELMSMAN MANAGEMENT SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA204	Group Total	12	9	75%	7	7	100%
LIBERTY MUTUAL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	4	1	25%	No filings	No filings	No filings
CA210	LIBERTY MUTUAL INSURANCE CO.	60	43	72%	25	20	80%
	Total	64	44	69%	25	20	80%
LUMBERMEN'S UNDERWRITING		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA211	Group Total	*	*	*	*	*	*

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter
1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total	FROIs Filed 37	Timely FROIs 33	Compliance 89%	Payments Made 20	Timely Payments 19	Compliance 95%
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE Group Total	FROIs Filed 1135	Timely FROIs 931	Compliance 82%	Payments Made 373	Timely Payments 354	Compliance 95%
CA234	MAINE HEALTHCARE ASSOCIATION Group Total	FROIs Filed 28	Timely FROIs 21	Compliance 75%	Payments Made 9	Timely Payments 9	Compliance 100%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION Group Total	FROIs Filed 72	Timely FROIs 62	Compliance 86%	Payments Made 11	Timely Payments 9	Compliance 82%
CA225	MAINE MUNICIPAL ASSOCIATION Group Total	FROIs Filed 269	Timely FROIs 251	Compliance 93%	Payments Made 66	Timely Payments 63	Compliance 95%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	FROIs Filed 98	Timely FROIs 92	Compliance 94%	Payments Made 22	Timely Payments 22	Compliance 100%
CA255	MEADOWBROOK Group Total	FROIs Filed 6	Timely FROIs 4	Compliance 67%	Payments Made 2	Timely Payments 1	Compliance 50%
CA233	mitsui sumitomo insurance Group Total	FROIs Filed 1	Timely FROIs 0	Compliance 0%	Payments Made No filings	Timely Payments No filings	Compliance No filings
CA289	NATIONAL CASUALTY INSURANCE Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
CA267	NATIONAL INTERSTATE INSURANCE Group Total	FROIs Filed 1	Timely FROIs 1	Compliance 100%	Payments Made 1	Timely Payments 1	Compliance 100%
	OLD REPUBLIC INSURANCE Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	3	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	8	4	50%	2	1	50%
CA295	RYDER SERVICES CORPORATION	1	1	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	4	4	100%	1	1	100%
	TPA Total	17	13	76%	5	4	80%
	OLD REPUBLIC INSURANCE Group Total	17	13	76%	5	4	80%
	ONEBEACON INSURANCE Group Total	FROIs Filed *	Timely FROIs *	Compliance *	Payments Made *	Timely Payments *	Compliance *
	ONEBEACON INSURANCE TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
	TPA Total	2	2	100%	1	1	100%
	ONEBEACON INSURANCE Group Total	2	2	100%	1	1	100%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter
1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA274	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
		1	1	100%	1	1	100%
CA292	PATRIOT RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
CA162	PEERLESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA309	EXCELSIOR INSURANCE CO.	*	*	*	*	*	*
CA275	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA283	PEERLESS INSURANCE CO.	40	27	68%	22	18	82%
	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	40	27	68%	22	18	82%
CA190	PENNSYLVANIA MANUFACTURERS' ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
	PENNSYLVANIA MANUFACTURERS' ASSN. TPA Administered Claims						
	GALLAGHER BASSETT SERVICES	4	3	75%	No filings	No filings	No filings
	TPA Total	4	3	75%	No filings	No filings	No filings
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	4	3	75%	No filings	No filings	No filings
CA277	PROTECTIVE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	2	0	0%	1	0	0%
CA282	PUBLIC SERVICE MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	*	*	*	*	*	*
CA300	QBE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
	QBE INSURANCE TPA Administered Claims						
	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	9	90%	3	3	100%
	TPA Total	10	9	90%	3	3	100%
	QBE INSURANCE Group Total	10	9	90%	3	3	100%
CA295	RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	1	100%	1	1	100%
CA070	SAFETY NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA116	Total	*	*	*	*	*	*
CA190	SAFETY NATIONAL INSURANCE TPA Administered Claims						
CA300	CANNON COCHRAN MANAGEMENT SERVICES	2	2	100%	No filings	No filings	No filings
CA340	CORVEL ENTERPRISE COMP.	3	3	100%	3	2	67%
	GALLAGHER BASSETT SERVICES	11	10	91%	2	1	50%
	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	1	33%	1	1	100%
	YORK RISK SERVICES	2	1	50%	No filings	No filings	No filings
	TPA Total	21	17	81%	6	4	67%
	SAFETY NATIONAL INSURANCE Group Total	21	17	81%	6	4	67%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
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1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
SEDGWICK CLAIMS MANAGEMENT SERVICES							
CA300	Group Total	140	115	82%	56	51	91%
SENTRY INSURANCE							
CA305	SENTRY A MUTUAL CO.	2	1	50%	2	1	50%
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	2	1	50%	2	1	50%
SPARTA INSURANCE							
	Total	*	*	*	*	*	*
SPARTA INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	10	10	100%	3	3	100%
	TPA Total	10	10	100%	3	3	100%
	SPARTA INSURANCE Group Total	10	10	100%	3	3	100%
STATE OF MAINE WORKERS' COMPENSATION TRUST							
CA307	Group Total	157	141	90%	54	53	98%
SYNERNET							
CA320	Group Total	119	94	79%	24	21	88%
THE AMERICAN EQUITY UNDERWRITERS							
CA323	Group Total	*	*	*	*	*	*
TOWER INSURANCE							
CA356	Group Total	3	1	33%	1	1	100%
TRAVELERS INSURANCE							
CA072	CHARTER OAK FIRE INS. CO.	43	38	88%	16	16	100%
CA164	FARMINGTON CASUALTY CO.	1	1	100%	2	2	100%
CA306	STANDARD FIRE INS. CO.	7	5	71%	2	2	100%
CA284	THE PHOENIX INS. CO.	2	0	0%	1	1	100%
CA347	TRAVELERS CASUALTY & SURETY COMPANY	2	2	100%	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	3	3	100%
CA349	TRAVELERS COMMERCIAL CASUALTY	8	6	75%	3	3	100%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	2	2	100%	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	8	6	75%	3	3	100%
	Total	76	63	83%	30	30	100%
TRAVELERS INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	5	4	80%	4	3	75%
CA190	GALLAGHER BASSETT SERVICES	3	1	33%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	2	1	50%
	TPA Total	10	6	60%	8	5	63%
	TRAVELERS INSURANCE Group Total	86	69	80%	38	35	92%

INSURANCE GROUP COMPLIANCE
Lost Time FROI Filings and Initial Indemnity Payments
First Quarter
1/1/2014 - 3/31/2014

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
TRISTAR RISK ENTERPRISE MANAGEMENT		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA280	Group Total	3	3	100%	No filings	No filings	No filings
UNDERWRITERS SAFETY & CLAIMS		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA360	Group Total	*	*	*	*	*	*
VANLINER INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
VANLINER INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	1	100%	1	0	0%
	TPA Total	1	1	100%	1	0	0%
	VANLINER INSURANCE Group Total	1	1	100%	1	0	0%
XL INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
XL INSURANCE TPA Administered Claims							
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	No filings	No filings	No filings
CA160	ESIS	2	1	50%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	6	4	67%	4	4	100%
	XL INSURANCE Group Total	6	4	67%	4	4	100%
YORK RISK SERVICES		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA340	Group Total	4	2	50%	1	0	0%
ZURICH INSURANCE		FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA022	AMERICAN ZURICH	12	10	83%	6	6	100%
CA259	NORTHERN INSURANCE OF NEW YORK	1	1	100%	1	1	100%
CA400	ZURICH AMERICAN INSURANCE CO.	10	8	80%	6	6	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	4	3	75%	2	1	50%
	Total	27	22	81%	15	14	93%
ZURICH INSURANCE TPA Administered Claims							
CA190	GALLAGHER BASSETT SERVICES	10	9	90%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	10	9	90%	1	1	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	1	1	100%	No filings	No filings	No filings
	TPA Total	21	19	90%	4	3	75%
	ZURICH INSURANCE Group Total	48	41	85%	19	17	89%

Appendix B

Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings First Quarter 2014 1/1/2014-3/31/2014

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter
1/1/2014 - 3/31/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA010	ACADIA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	27	25	93%	13	13	100%
	ACE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ACE INSURANCE TPA Administered Claims						
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	0	0%	1	1	100%
CA110	CONSTITUTION STATE SERVICES	1	1	100%	1	1	100%
CA160	ESIS	5	4	80%	3	2	67%
CA190	GALLAGHER BASSETT SERVICES	9	8	89%	1	1	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	26	25	96%	12	12	100%
CA340	YORK RISK SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	46	41	89%	18	17	94%
	ACE INSURANCE Group Total	46	41	89%	18	17	94%
CA015	AIG INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	AIG CLAIMS, INC.	19	18	95%	10	9	90%
	Total	19	18	95%	10	9	90%
	AIG INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	1	1	100%	4	4	100%
CA100	CLAIMS MANAGEMENT (WALMART)	2	2	100%	14	13	93%
CA160	ESIS	5	3	60%	2	2	100%
CA190	GALLAGHER BASSETT SERVICES	11	9	82%	5	5	100%
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	1	0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	6	6	100%	4	4	100%
	TPA Total	28	24	86%	30	28	93%
	AIG INSURANCE Group Total	47	42	89%	40	37	93%
CA342	AMTRUST INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	TECHNOLOGY INSURANCE	1	0	0%	3	1	33%
	Group Total	1	0	0%	3	1	33%
	ARCH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
	ARCH INSURANCE TPA Administered Claims						
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	3	3	100%	No filings	No filings	No filings
	ARCH INSURANCE Group Total	3	3	100%	No filings	No filings	No filings
CA036	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Group Total	13	13	100%	14	14	100%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
First Quarter
1/1/2014 - 3/31/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	BERKSHIRE HATHAWAY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA114	Group Total	1	1	100%	1	0	0%
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA040	Group Total	7	4	57%	5	5	100%
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA070	Group Total	48	37	77%	30	25	83%
	CHEROKEE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA044	Group Total	1	0	0%	No filings	No filings	No filings
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	*	*	*	*	*	*
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	6	6	100%	No filings	No filings	No filings
	CHUBB INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	0	0%	2	2	100%
	TPA Total	1	0	0%	3	3	100%
	CHUBB INSURANCE Group Total	7	6	86%	3	3	100%
	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA080	Group Total	1	1	100%	No filings	No filings	No filings
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA085	Group Total	1	1	100%	1	1	100%
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA033	Group Total	13	13	100%	1	1	100%
	CLAIMS MANAGEMENT (WALMART)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA100	Group Total	2	2	100%	14	13	93%
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	3	3	100%	No filings	No filings	No filings
	CONSTITUTION STATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total	1	1	100%	1	1	100%
	CONTINENTAL INDEMNITY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA115	Group Total	*	*	*	*	*	*
	CORVEL ENTERPRISE COMP.	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA116	Group Total	4	2	50%	1	1	100%
	COTTINGHAM & BUTLER CLAIMS SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA117	Group Total	5	4	80%	2	2	100%

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
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1/1/2014 - 3/31/2014

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA093	CROSS INSURANCE Group Total	MOPs Filed 58	Timely MOPs 55	Compliance 95%	NOCs Filed 59	Timely NOCs 59	Compliance 100%
CA089	CRUM & FORSTER	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA375	UNITED STATES FIRE INSURANCE	1	1	100%	No filings	No filings	No filings
	Group Total	1	1	100%	No filings	No filings	No filings
	ELECTRIC INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	Total ELECTRIC INSURANCE TPA Administered Claims	No filings	No filings	No filings	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	No filings	No filings	No filings	1	1	100%
	ELECTRIC INSURANCE Group Total	No filings	No filings	No filings	1	1	100%
CA160	ESIS Group Total	MOPs Filed 14	Timely MOPs 11	Compliance 79%	NOCs Filed 5	Timely NOCs 4	Compliance 80%
CA165	F.A. RICHARD Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA091	FEDERATED MUTUAL INSURANCE	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA092	FEDERATED SERVICE INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
CA170	FIREMAN'S FUND INSURANCE Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA175	FUTURECOMP Group Total	MOPs Filed 17	Timely MOPs 15	Compliance 88%	NOCs Filed 8	Timely NOCs 8	Compliance 100%
CA190	GALLAGHER BASSETT SERVICES Group Total	MOPs Filed 37	Timely MOPs 31	Compliance 84%	NOCs Filed 14	Timely NOCs 13	Compliance 93%
CA193	GREAT AMERICAN INSURANCE Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
	GREAT FALLS INSURANCE	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	Total GREAT FALLS INSURANCE TPA Administered Claims	14	9	64%	12	8	67%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	14	9	64%	12	8	67%
	GREAT FALLS INSURANCE Group Total	14	9	64%	12	8	67%
CA196	GREAT WEST INSURANCE Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings

INSURANCE GROUP COMPLIANCE
Initial MOP and Initial Indemnity NOC Filings
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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA195	GUARANTEE INSURANCE CO. Group Total	MOPs Filed 1	Timely MOPs 0	Compliance 0%	NOCs Filed 1	Timely NOCs 1	Compliance 100%
CA019	GUARD INSURANCE AMGUARD INSURANCE COMPANY	MOPs Filed 3	Timely MOPs 2	Compliance 67%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA140	EASTGUARD INSURANCE COMPANY	3	1	33%	No filings	No filings	No filings
CA272	NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
	Group Total	6	3	50%	No filings	No filings	No filings
CA201	HANNAFORD BROTHERS Group Total	MOPs Filed 14	Timely MOPs 11	Compliance 79%	NOCs Filed 8	Timely NOCs 7	Compliance 88%
CA048	HANOVER INSURANCE CO. CITIZENS INSURANCE CO. OF AMERICA	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed 2	Timely NOCs 2	Compliance 100%
CA202	HANOVER INSURANCE CO.	1	1	100%	4	4	100%
CA228	MASSACHUSETTS BAY INS. CO.	2	2	100%	No filings	No filings	No filings
	Group Total	3	3	100%	6	6	100%
CA197	HARLEYSVILLE INSURANCE Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA188	HARTFORD INSURANCE HARTFORD ACCIDENT & INDEMNITY	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA185	HARTFORD CASUALTY INS. CO.	1	1	100%	No filings	No filings	No filings
CA203	HARTFORD FIRE INSURANCE CO.	1	1	100%	No filings	No filings	No filings
CA186	HARTFORD INSURANCE OF THE MIDWEST	1	1	100%	No filings	No filings	No filings
CA187	HARTFORD UNDERWRITERS INSURANCE	1	1	100%	No filings	No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE CO.	1	0	0%	No filings	No filings	No filings
CA296	SENTINEL INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA319	TRUMBULL INSURANCE	5	5	100%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	4	4	100%	1	1	100%
	Total	15	14	93%	3	3	100%
CA040	HARTFORD INSURANCE TPA Administered Claims BROADSPIRE SERVICES	MOPs Filed 2	Timely MOPs 1	Compliance 50%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA116	CORVEL ENTERPRISE COMP.	1	0	0%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	3	3	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	No filings	1	1	100%
	TPA Total	6	4	67%	5	5	100%
	HARTFORD INSURANCE Group Total	21	18	86%	8	8	100%
CA204	HELMSMAN MANAGEMENT SERVICES Group Total	MOPs Filed 7	Timely MOPs 7	Compliance 100%	NOCs Filed 1	Timely NOCs 0	Compliance 0%
CA380	LIBERTY MUTUAL INSURANCE EMPLOYERS INSURANCE OF WAUSAU	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed 2	Timely NOCs 0	Compliance 0%
CA210	LIBERTY MUTUAL INSURANCE CO.	25	19	76%	15	13	87%
	Total	25	19	76%	17	13	76%
CA211	LUMBERMEN'S UNDERWRITING Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA220	MAINE AUTOMOBILE DEALERS ASSOCIATION Group Total	MOPs Filed 20	Timely MOPs 19	Compliance 95%	NOCs Filed 3	Timely NOCs 3	Compliance 100%
CA260	MAINE EMPLOYERS' MUTUAL INSURANCE Group Total	MOPs Filed 373	Timely MOPs 348	Compliance 93%	NOCs Filed 200	Timely NOCs 192	Compliance 96%
CA234	MAINE HEALTHCARE ASSOCIATION Group Total	MOPs Filed 9	Timely MOPs 9	Compliance 100%	NOCs Filed 6	Timely NOCs 4	Compliance 67%
CA230	MAINE MOTOR TRANSPORT ASSOCIATION Group Total	MOPs Filed 11	Timely MOPs 9	Compliance 82%	NOCs Filed 11	Timely NOCs 11	Compliance 100%
CA225	MAINE MUNICIPAL ASSOCIATION Group Total	MOPs Filed 66	Timely MOPs 64	Compliance 97%	NOCs Filed 57	Timely NOCs 55	Compliance 96%
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	MOPs Filed 22	Timely MOPs 22	Compliance 100%	NOCs Filed 15	Timely NOCs 15	Compliance 100%
CA255	MEADOWBROOK Group Total	MOPs Filed 2	Timely MOPs 1	Compliance 50%	NOCs Filed 1	Timely NOCs 1	Compliance 100%
CA233	mitsui sumitomo insurance Group Total	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA289	NATIONAL CASUALTY INSURANCE Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA267	NATIONAL INTERSTATE INSURANCE Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
	OLD REPUBLIC INSURANCE Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	OLD REPUBLIC INSURANCE TPA Administered Claims						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA070	CANNON COCHRAN MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	2	2	100%
CA295	RYDER SERVICES	1	0	0%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
	TPA Total	5	3	60%	4	4	100%
	OLD REPUBLIC INSURANCE Group Total	5	3	60%	4	4	100%
	ONEBEACON INSURANCE Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	ONEBEACON TPA Administered Claims						
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	No filings	No filings	No filings
	TPA Total	1	1	100%	No filings	No filings	No filings
	ONEBEACON Group Total	1	1	100%	No filings	No filings	No filings

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA274	PATRIOT INSURANCE Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA292	PATRIOT RISK SERVICES Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA162	PEERLESS INSURANCE EXCELSIOR INSURANCE CO.	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA309	NETHERLANDS INSURANCE COMPANY	*	*	*	*	*	*
CA275	PEERLESS INSURANCE CO.	22	17	77%	2	2	100%
CA283	PEERLESS INDEMNITY CO.	*	*	*	*	*	*
	Group Total	22	17	77%	2	2	100%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA190	PENNSYLVANIA MANUFACTURERS' ASSN. TPA Administered Claims GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%
	TPA Total	No filings	No filings	No filings	1	1	100%
	PENNSYLVANIA MANUFACTURERS' ASSOCIATION Group Total	No filings	No filings	No filings	1	1	100%
CA277	PROTECTIVE INSURANCE Group Total	MOPs Filed 1	Timely MOPs 0	Compliance 0%	NOCs Filed 1	Timely NOCs 0	Compliance 0%
CA282	PUBLIC SERVICE MUTUAL Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
	QBE INSURANCE GROUP Total	MOPs *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
CA300	QBE INSURANCE GROUP TPA Administered Claims SEDGWICK CLAIMS MANAGEMENT SERVICES	3	3	100%	6	5	83%
	TPA Total	3	3	100%	6	5	83%
	QBE INSURANCE GROUP Group Total	3	3	100%	6	6	83%
CA295	RYDER SERVICES Group Total	MOPs Filed 1	Timely MOPs 0	Compliance 0%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA070	SAFETY NATIONAL INSURANCE SAFETY NATIONAL INSURANCE TPA Administered Claims CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed No filings	Timely MOPs No filings	Compliance No filings	NOCs Filed 1	Timely NOCs 1	Compliance 100%
CA116	CORVEL ENTERPRISE COMP.	3	2	67%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	1	1	100%
CA340	YORK RISK SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	6	5	83%	3	3	100%
	SAFETY NATIONAL INSURANCE Group Total	6	5	83%	3	3	100%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
SEDGWICK CLAIMS MANAGEMENT SERVICES							
CA300	Group Total	MOPs Filed 56	Timely MOPs 51	Compliance 91%	NOCs Filed 33	Timely NOCs 31	Compliance 94%
SENTRY INSURANCE							
CA305	SENTRY A MUTUAL CO.	MOPs Filed 2	Timely MOPs 1	Compliance 50%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
CA402	SENTRY CASUALTY CO.	*	*	*	*	*	*
CA308	SENTRY SELECT INSURANCE CO.	*	*	*	*	*	*
	Group Total	2	1	50%	No filings	No filings	No filings
SPARTA INSURANCE							
	Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
SPARTA INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	3	3	100%	2	2	100%
	TPA Total	3	3	100%	2	2	100%
	SPARTA INSURANCE Group Total	3	3	100%	2	2	100%
STATE OF MAINE WORKERS' COMPENSATION TRUST							
CA307	Group Total	MOPs Filed 54	Timely MOPs 53	Compliance 98%	NOCs Filed 16	Timely NOCs 16	Compliance 100%
SYNERNET							
CA320	Group Total	MOPs Filed 24	Timely MOPs 18	Compliance 75%	NOCs Filed 29	Timely NOCs 26	Compliance 90%
THE AMERICAN EQUITY UNDERWRITERS							
CA323	Group Total	MOPs Filed *	Timely MOPs *	Compliance *	NOCs Filed *	Timely NOCs *	Compliance *
TOWER INSURANCE COMPANY							
CA356	Group Total	MOPs Filed 1	Timely MOPs 1	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
TRAVELERS INSURANCE							
CA072	CHARTER OAK FIRE INS. CO.	MOPs Filed 16	Timely MOPs 15	Compliance 94%	NOCs Filed 5	Timely NOCs 5	Compliance 100%
CA164	FARMINGTON CASUALTY CO.	2	2	100%	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	2	2	100%	No filings	No filings	No filings
CA284	THE PHOENIX INS. CO.	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	No filings	No filings	No filings
CA349	TRAVELERS COMMERCIAL CASUALTY	3	3	100%	2	2	100%
CA346	TRAVELERS INDEMNITY CO. OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	3	3	100%	1	0	0%
	Total	30	29	97%	8	7	88%
TRAVELERS INSURANCE TPA Administered Claims							
CA040	BROADSPIRE SERVICES	3	2	67%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	2	1	50%	1	1	100%
	TPA Total	7	4	57%	1	1	100%
	TRAVELERS INSURANCE Group Total	37	33	89%	9	8	89%

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		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
TRISTAR RISK ENTERPRISE MANAGEMENT		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA280	Group Total	No filings	No filings	No filings	1	1	100%
UNDERWRITERS SAFETY & CLAIMS		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA360	Group Total	*	*	*	*	*	*
VALINER INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
VALINER INSURANCE TPA Administered Claims							
CA060	COTTINGHAM & BUTLER CLAIMS SERVICES	1	0	0%	No filings	No filings	No filings
	TPA Total	1	0	0%	No filings	No filings	No filings
	VALINER INSURANCE Group Total	1	0	0%	No filings	No filings	No filings
XL INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	*	*	*	*	*	*
XL INSURANCE TPA Administered Claims							
CA116	CORVEL ENTERPRISE COMP.	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	2	1	50%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	2	2	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
	TPA Total	4	3	75%	No filings	No filings	No filings
	XL INSURANCE Group Total	4	3	75%	No filings	No filings	No filings
YORK RISK SERVICES		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA340	Group Total	1	0	0%	No filings	No filings	No filings
ZURICH INSURANCE		MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA022	AMERICAN ZURICH	6	6	100%	3	2	67%
CA259	NORTHERN INSURANCE CO. OF NEW YORK	1	1	100%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	6	6	100%	1	1	100%
CA404	ZURICH AMERICAN INSURANCE CO. OF ILLINOIS	2	1	50%	No filings	No filings	No filings
	Total	15	14	93%	4	3	75%
ZURICH INSURANCE TPA Administered Claims							
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	2	1	50%
CA300	SEDGWICK CLAIMS MANAGEMENT SERVICES	1	1	100%	2	2	100%
CA280	TRISTAR RISK ENTERPRISE MANAGEMENT	No filings	No filings	100%	No filings	No filings	No filings
	TPA Total	4	4	100%	4	3	75%
	ZURICH INSURANCE Group Total	19	18	95%	8	6	75%