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QUARTERLY COMPLIANCE REPORT

STATE OF MAINE WORKERS' COMPENSATION BOARD



Third Quarter 2011 Report

July 1, 2011 - September 30, 2011

Office of Monitoring, Audit & Enforcement

Paul H. Sighinolfi, Esq. Executive Director/Chair

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State of Maine Workers' Compensation Board Quarterly Compliance Report Third Quarter 2011

Table of Contents

1,	Executive Summary	Pages 1-2
2.	Lost Time First Report of Injury Filings	Page 3
2. 3.	Initial Indemnity Payments	Page 4
4.	Initial Memorandum of Payment Filings	Page 5
5.	Initial Indemnity Notice of Controversy Filings	Page 6
6.	Wage Information	Page 7
	Table of Charts	
1.	Lost Time First Report of Injury Filings Timeliness Distribution	Page 3
2.	Lost Time First Report of Injury Filings Quarterly Compliance	Page 3
3.	Lost Time First Report of Injury Filings Compliance Trend	Page 3
4.	Initial Indemnity Payments Timeliness Distribution	Page 4
5.	Initial Indemnity Payments Quarterly Compliance	Page 4
6.	Initial Indemnity Payments Compliance Trend	Page 4
7.	Initial Memorandum of Payment Filings Timeliness Distribution	Page 5
8.	Initial Memorandum of Payment Filings Quarterly Compliance	Page 5
9.	Initial Memorandum of Payment Filings Compliance Trend	Page 5
10.	Initial Indemnity Notice of Controversy Filings Timeliness Distribution	Page 6
11.	Initial Indemnity Notice of Controversy Filings Quarterly Compliance	Page 6
12.	Initial Indemnity Notice of Controversy Filings Compliance Trend	Page 6
13.	Wage Information Wage Statement Timeliness Distribution	Page 7
14.	Wage Information Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution	Page 7
	Appendices	
A.	Insurance Group Compliance	Pages A1-A7
	Lost Time FROI Filings and Initial Indemnity Payments	
В.	Insurance Group Compliance Initial MOP and Initial Indemnity NOC Filings	Pages B1-B7

Executive Summary

On January 10, 2012, the Maine Workers' Compensation Board approved the Third Quarter 2011 Compliance Report. This report represents the efforts of the Office of Monitoring, Audit and Enforcement and insurers, self-administered employers, and third-party administrators (collectively "claim administrators").

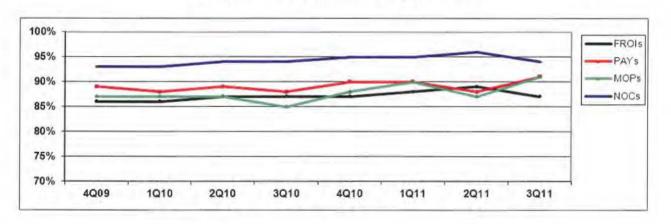
I. COMPLIANCE OVERVIEW

The Reconciliation Report was sent to 100 claim administrators on October 19, 2011; 65 responded, 25 were not required to respond and 10 did not respond.

The 3Q11 report represents results based upon data received by November 16, 2011. The results are:

Category	Number of Days	Benchmark	4Q09	1Q10	2010	3Q10	4Q10	1011	2Q11	3Q11
FROIs	7	85%	86%	86%	87%	87%	87%	88%	89%	87%
PAYs	14	87%	89%	88%	89%	88%	90%	90%	88%	91%
MOPs	17	85%	87%	87%	87%	85%	88%	90%	87%	91%
NOCs	14	90%	93%	93%	94%	94%	95%	95%	96%	94%

Compliance Benchmark Tracking (4Q09 - 3Q11)



II. CORRECTIVE ACTION PLANS (CAPs)

CAPs are implemented for claim administrators with chronic poor compliance. Elements of the CAPs are reviewed and updated each quarter to track compliance changes and ensure that the elements of the plan are being met.

The following had CAPs in place for the 3Q11:

Claim Administrator (alpha order)	Market Share by Premiums Written
Liberty Mutual	9%
Sentry	.32%
Zurich	1%

III. CAVEATS & EXPLANATIONS

A. General

 Question marks ("?") within this report indicate that the claim administrator did not provide all of the data required to measure compliance in that particular area.

B. Lost Time First Report of Injury (FROI) Filings

Compliance with this benchmark exists when the FROI is filed (accepted EDI transaction, with or without errors) within 7 days after the employer receives notice or knowledge of an employee injury that has caused the employee to lose a day's work.

C. Initial Indemnity Payments (PAYs)

- Compliance with this benchmark exists when the check is mailed within the later of (i) 14 days after the employer's notice or knowledge of incapacity or (ii) the first day of compensability plus 6 days.
- If an employer continues to pay the employee's salary, payments are deemed timely for purposes
 of compliance if made consistent with the employer's usual payroll practice.

D. Initial Memorandum of Payment (MOP) Filings

 Compliance with this benchmark exists when the MOP is received within 17 days of the employer's notice or knowledge of incapacity.

E. Initial Indemnity Notice of Controversy (NOC) Filings

 Compliance with this benchmark exists when the NOC is filed (accepted EDI transaction, with or without errors) within 14 days after the employer receives notice or knowledge of the incapacity or death. Measurement excludes filings submitted with full denial reason codes 3A-3H (No Coverage).

IV. COMPLETED AUDITS

The Board conducts compliance audits of insurers, self-insurers and third-party administrators to ensure that all obligations under the Workers' Compensation Act are met. The functions of the audit program include, but are not limited to: ensuring that all reporting requirements of the Board are met, auditing the timeliness of benefit payments, auditing the accuracy of indemnity payments, evaluating claims-handling techniques, and determining whether claims are unreasonably contested.

The following had audits completed in the 3Q11:

Claim Administrator (alpha order)	Total Penalties
Gallagher Bassett	\$7,650.00
MAC	\$3,350.00
Sedgwick	\$7,100.00
Sentry	\$4,800.00

LOST TIME FIRST REPORT OF INJURY FILINGS

Chart 1 Timeliness Distribution

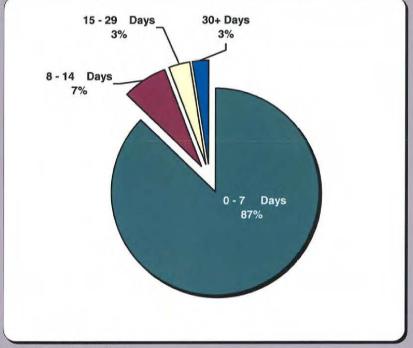
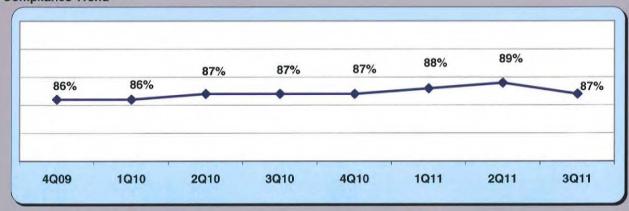


Table 1			
Received	Within:		
0 - 7	Days	2,982	87%
8 - 14	Days	234	7%
15 - 29	Days	117	3%
30+	Days	87	3%
Tot	al	3,420	100%

Chart 2 Quarterly Compliance



Chart 3 Compliance Trend



INITIAL INDEMNITY PAYMENTS

Chart 4 Timeliness Distribution

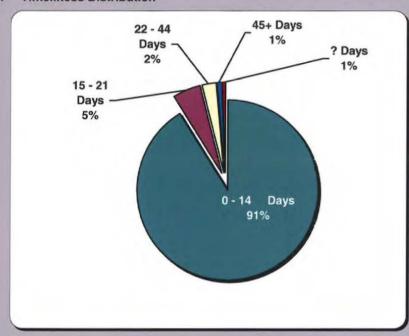
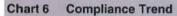


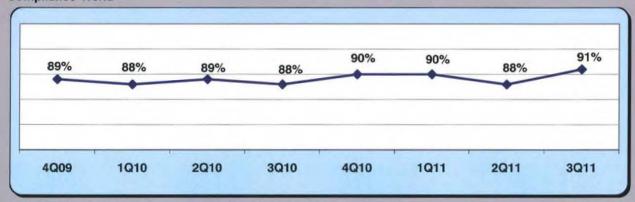
Table 2 Made Within: 0 - 14 Days 839 91% 15 - 21 Days 48 5% 2% 22 - 44 Days 21 45+ Days 8 1% Days 6 1% Total 922 100%

Initial indemnity payments are monitored to ensure that payments are initiated within the time limits established in Section 205. As a result of these efforts, \$5,100 was issued to claimants in penalties and there is another \$5,950 in penalties awaiting resolution.

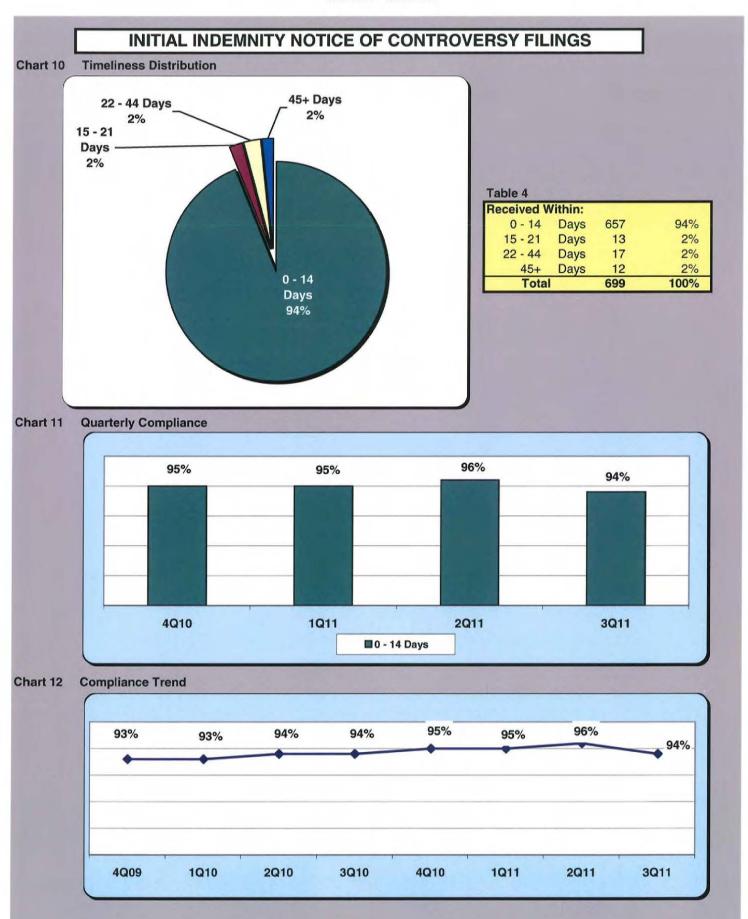
Chart 5 Quarterly Compliance







INITIAL MEMORANDUM OF PAYMENT FILINGS Timeliness Distribution Chart 7 22 - 44 45+ Days Days · 2% ? Days 3% 1% 18 - 21 Days 3% Table 3 **Received Within:** 837 91% 0 - 17 Days 18 - 21 Days 26 3% 22 - 44 Days 33 3% 2% 0 - 17Days 20 45+ Days Days 6 1% 922 100% 91% Total Chart 8 **Quarterly Compliance** 90% 91% 88% 87% 4Q10 1Q11 2Q11 3Q11 ■0 - 17 Days Chart 9 **Compliance Trend** 90% 91% 88% 87% 87% 87% 85% 3Q11 3Q10 1011 2Q11 4Q09 1Q10 2Q10 4Q10



WAGE INFORMATION

Wage information (WCB-2 and WCB 2A forms) must be filed within 30 days of an employer's notice or knowledge of a claim for compensation (Title 39-A M.R.S.A. Section 303). This includes both compensated and controverted claims where a claim for compensation has been made.

Chart 13 Wage Statement Timeliness Distribution

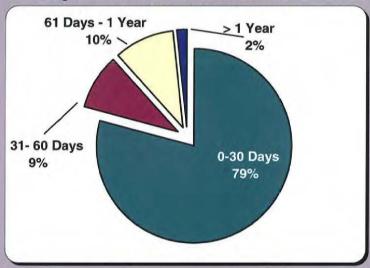


Table 5 Received Within:										
31-60 Days	170	9%								
61 Days-1 Year	183	10%								
> 1 Year	29	2%								
Total	1,833	100%								

Wage Statement(s): 1,450 (75%) of the 1,940 Wage Statement(s) that were due this quarter were filed timely, 352 (18%) were filed late, and 138 (7%) remain outstanding.

Chart 14 Schedule of Dependent(s) and Filing Status Statement Timeliness Distribution

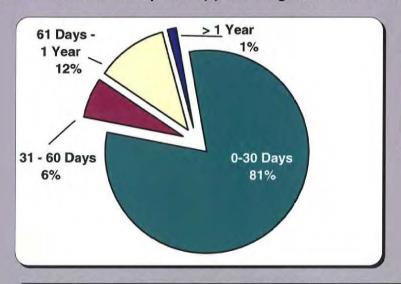


Table 6 **Received Within:** 0-30 Days 1,543 81% 31-60 Days 121 6% 61 Days-1 Year 220 12% > 1 Year 24 1% Total 1,908 100%

Schedule of Dependent(s) and Filing Status Statement(s): 1,536 (79%) of the 1,940 Schedule of Dependent(s) and Filing Status Statement(s) due this quarter were filed timely, 261 (14%) were filed late, and 143 (7%) remain outstanding.

Appendix A

Insurance Group Compliance Lost Time FROI Filings and Initial Indemnity Payments Third Quarter 2011 7/1/2011-9/30/2011

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
					·		
	ACADIA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA010	Group Total	75	65	87%		20	95%
	ACTE DISTURBANCE	FROIs Filed	Timely FROIs	C	Payments Made	Timely Payments	Compliance
	ACE INSURANCE Total	FROIS Filed	Timely FROIS	Compliance	Payments Made	imely rayments	Соприансе
	ACE TPA Administered Claims	-	-	-		_	-
CA040	BROADSPIRE SERVICES	4	2	50%	No filings	No filings	No filings
CA060	CAMBRIDGE INTEGRATED SERVICES	· · · · · · · · · · · · · · · · · · ·	*	*	*	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings
CA110	CONSTITUTION STATE SERVICES	7	4	57%	3	2	67%
CA160	ESIS	29	14	48%	13	11	85%
CA190	GALLAGHER BASSETT SERVICES	23	20	87%	4	4	100%
CA204	HELMSMAN MANAGEMENT SERVICES	11	1	100%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	42	34	81%	11 ·	9	82%
CA315	SPECIALTY RISK SERVICES	2	2	100%	No filings	No filings	No filings
	TPA Total	111	80	72%	31	26	84%
					4		
	ACE Group Total	111	80	72%	31	26	84%
				- "	7 1		
	AMERICAN INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA018	Total	*	*		.	*)	*
	1			T = :-	7 (=	Fi	
CA342	AMTRUST NORTH AMERICA	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA342	Total	44	11	25%	No filings	No filings	No filings
	ARCH INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	FROIS FILEU	Timely FROIS	Сопримисе	A ayments Made	*	Compnance
	ARCH TPA Administered Claims						
CA160	ESIS	2	1	50%	1	1	100%
CA190	GALLAGHER BASSETT SERVICES	4	3	75%	No filings	No filings	No filings
CA300	SEDGWICK CLAIMS MANAGEMENT	12	12	100%	2	2	100%
CA315	SPECIALTY RISK SERVICES	3	3	100%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	1	1	100%	No filings	No filings	No filings
	TPA Total	22	20	91%	3	3	100%
				ONE OF STREET			
						Andrew (1977)	
	ARCH Group Total	22	20	91%	3	3	100%
	ARCH Group Total		20				
	ARCH Group Total ARGONAUT INSURANCE	FROIs Filed	20 Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	ARCH Group Total ARGONAUT INSURANCE Total		20				Compliance No filings
CA020	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims	FROIs Filed	20 Timely FROIs 1	Compliance 100%	Payments Made No filings	Timely Payments No filings	Compliance No filings
CA020 CA240	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims TRIDENT INSURANCE SERVICES	FROIs Filed 1 9	Timely FROIs 1 8	Compliance 100%	Payments Made No filings	Timely Payments No filings 6	Compliance No filings
CA020	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims	FROIs Filed	20 Timely FROIs 1	Compliance 100%	Payments Made No filings	Timely Payments No filings	Compliance No filings
CA020	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims TRIDENT INSURANCE SERVICES TPA Total	FROIs Filed 1 9 9	Timely FROIs 1 8 8 8	Compliance 100% 89% 89%	Payments Made No filings 6 6 6	Timely Payments No filings 6 6	Compliance No filings 100% 100%
CA020	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims TRIDENT INSURANCE SERVICES	FROIs Filed 1 9	Timely FROIs 1 8	Compliance 100%	Payments Made No filings	Timely Payments No filings 6	Compliance No filings
CA020 CA240	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims TRIDENT INSURANCE SERVICES TPA Total ARGONAUT Group Total	FROIs Filed 1 9 9 10	20 Timely FROIs 1 8 8 8 9	Compliance 100% 89% 89% 99%	Payments Made No filings 6 6 6	Timely Payments No filings 6 6 6	Compliance No filings 100% 100% 100%
CA020 CA240	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims TRIDENT INSURANCE SERVICES TPA Total ARGONAUT Group Total ARROW MUNTUAL LIABILITY	FROIs Filed 1 9 9	Timely FROIs 1 8 8 8	Compliance 100% 89% 89%	Payments Made No filings 6 6 6	Timely Payments No filings 6 6	Compliance No filings 100% 100%
CA020 CA240	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims TRIDENT INSURANCE SERVICES TPA Total ARGONAUT Group Total	FROIs Filed 1 9 9 10 FROIs Filed	20 Timely FROIs 1 8 8 8 9	Compliance 100% 89% 89% 99%	Payments Made No filings 6 6 6	Timely Payments No filings 6 6 6	Compliance No filings 100% 100% 100%
CA020 CA240	ARCH Group Total ARGONAUT INSURANCE Total ARGONAUT TPA Administered Claims TRIDENT INSURANCE SERVICES TPA Total ARGONAUT Group Total ARROW MUNTUAL LIABILITY	FROIs Filed 1 9 9 10 FROIs Filed	20 Timely FROIs 1 8 8 8 9	Compliance 100% 89% 89% 99%	Payments Made No filings 6 6 6	Timely Payments No filings 6 6 6	Compliance No filings 100% 100% 100%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	BERKLEY RISK ADMINISTRATORS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA038	Group Total	2	1	50%	1	1	100%
	BROADSPIRE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA040	Group Total	27	21	78%	8	8	100%
				- "			
CA060	CAMBRIDGE INTEGRATED SERVICES	FROIs Filed	Timely FROIs	Compliance 67%	Payments Made 3	Timely Payments	Compliance 33%
(CAUOU	Group Total	3	22	6/%	L3	1	33%
	CANNON COCHRAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA070	Group Total	116	98	84%	26	24	92%
1	Strong assess		23				
	CHARTIS INSURANCE (Formerly AIG)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA015	Total	49	48	98%	23	21	91%
100	CHARTIS TPA Administered Claims			and the second			
CA040	BROADSPIRE SERVICES	14	13	93%	2	2	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	11	1	100%	No filings	No filings	No filings
CA100	CLAIMS MANAGEMENT (WAL-MART)	42	40	95%	9	9	100%
CA110	CONSTITUTION STATE SERVICES	No filings	No filings	No filings	1		100%
CA160	ESIS		10	56%	7	7	100%
CA180 CA190	GAB ROBINS GALLAGHER BASSETT SERVICES	32	29	91%	1 7	5	71%
CA204	HELMSMAN MANAGEMENT SERVICES	6	5	83%	3	2	67%
CA300	SEDGWICK CLAIMS MANAGEMENT	28	24	86%	4	2	50%
CA315	SPECIALTY RISK SERVICES	*	*	*	1	*	+
	TPA Total	141	122	87%	33	28	85%
			780				
L	CHARTIS Group Total	190	170	89%	56	- 49	88%
		and the second s					
			T		1 1		
0.4000	CHESTERFIELD SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA080		FROIs Filed 1	Timely FROIs	Compliance 100%	Payments Made No filings	Timely Payments No filings	Compliance No filings
CA080	CHESTERFIELD SERVICES Group Total	i	1	100%	No filings	No filings	No filings
	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC.	FROIs Filed 1 FROIs Filed	1 Timely FROIs	100% Compliance	No filings Payments Made	No filings Timely Payments	No filings Compliance
CA046	CHUBB & SON, INC. CHUBB & SON, INC.	FROIs Filed	Timely FROIs	100% Compliance 0%	Payments Made No filings	No filings Timely Payments No filings	No filings Compliance No filings
	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC.	i	1 Timely FROIs	100% Compliance	No filings Payments Made	No filings Timely Payments	No filings Compliance
CA046 CA286	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE	I FROIs Filed 1	Timely FROIs 0 1	100% Compliance 0% 100%	Payments Made No filings	No filings Timely Payments No filings	No filings Compliance No filings No filings
CA046 CA286 CA090	CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE FEDERAL INSURANCE Group Total CHUBB TPA Administered Chains	FROIs Filed 1 1 5	Timely FROIs 0 1 1	100% Compliance 0% 100% 20%	No filings Payments Made No filings No filings 1	No filings Timely Payments No filings No filings 1	No filings Compliance No filings No filings 100%
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CA046 CA286 CA090	CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE FEDERAL INSURANCE Group Total CHUBB TPA Administered Chains	FROIs Filed 1 1 5 7	1 Timely FROIs 0 1 1 2	100% Compliance 0% 100% 20% 29%	Payments Made No filings No filings No filings 1	No filings Timely Payments No filings No filings 1	No filings Compliance No filings No filings 100% 100%
CA046 CA286 CA090	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE FEDERAL INSURANCE Group Total CHUBB TPA Administered Claims GALLAGHER BASSETT SERVICES TPA Total	1 FROIs Filed 1 1 5 7 2 2	Timely FROIs 0 1 1 2 2 2 2	100% Compliance 0% 100% 20% 29% 100% 100%	Payments Made No filings No filings 1 1 No filings No filings	No filings Timely Payments No filings No filings 1 1 No filings No filings	No filings Compliance No filings 100% 100% No filings No filings No filings
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CA046 CA286 CA090 CA190 CA080	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE FEDERAL INSURANCE Group Total CHUBB TPA Administered Claims GALLAGHER BASSETT SERVICES TPA Total CHUBB Group Total	FROIs Filed 1 1 5 7 2 2 9 FROIs Filed 1 FROIs Filed 1	1 Timely FROIs 0 1 1 2 2 2 2 4 Timely FROIs 0	100% Compliance 0% 100% 20% 29% 100% 100% 100% Compliance 0% Compliance	No filings Payments Made No filings No filings 1 1 No filings No filings No filings No filings No filings I Payments Made No filings	No filings Timely Payments No filings No filings 1 1 No filings No filings No filings No filings Timely Payments No filings Timely Payments	No filings Compliance No filings No filings 100% 100% No filings No filings Compliance No filings Compliance
CA046 CA286 CA090 CA190	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE FEDERAL INSURANCE GROUP Total CHUBB TPA Administered Claims GALLAGHER BASSETT SERVICES TPA Total CHUBB Group Total CHUBB Group Total CHURCH MUTUAL INSURANCE Group Total CIANBRO CORPORATION Group Total	Trop	1 Timely FROIs 0 1 1 2 2 2 2 2 4 Timely FROIs 0 Timely FROIs	100% Compliance 0% 100% 20% 29% 100% 100% 100% Compliance 0% Compliance 100%	No filings Payments Made No filings No filings 1 1 No filings No filings No filings No filings No filings Payments Made No filings Payments Made No filings	No filings Timely Payments No filings No filings 1 1 1 No filings No filings Timely Payments No filings Timely Payments No filings Timely Payments No filings	No filings Compliance No filings No filings 100% 100% No filings No filings Compliance No filings Compliance No filings
CA046 CA286 CA090 CA190 CA080	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE FEDERAL INSURANCE Group Total CHUBB TPA Administered Claims GALLAGHER BASSETT SERVICES TPA Total CHUBB Group Total CHUBB Group Total CHURCH MUTUAL INSURANCE Group Total CIANBRO CORPORATION Group Total CITY OF BANGOR Group Total	1	1 Timely FROIs 0 1 1 1 2 2 2 2 2 4 Timely FROIs 0 Timely FROIs 1 Timely FROIs 6	100% Compliance 0% 100% 20% 29% 100% 100% 100% Compliance 0% Compliance 100% Compliance	No filings Payments Made No filings No filings 1 1 No filings No filings No filings No filings No filings No filings Payments Made No filings Payments Made No filings Payments Made Table Payments Made	No filings Timely Payments No filings No filings 1 1 1 No filings No filings To filings No filings No filings Timely Payments No filings Timely Payments No filings Timely Payments No filings	No filings Compliance No filings No filings 100% 100% No filings No filings Compliance No filings Compliance No filings Compliance No filings
CA046 CA286 CA090 CA190 CA080	CHESTERFIELD SERVICES Group Total CHUBB & SON, INC. CHUBB & SON, INC. CHUBB INSURANCE FEDERAL INSURANCE Group Total CHUBB TPA Administered Claims GALLAGHER BASSETT SERVICES TPA Total CHUBB Group Total CHUBB Group Total CHUBB Group Total CHURCH MUTUAL INSURANCE Group Total CIANBRO CORPORATION Group Total CITY OF BANGOR	FROIs Filed 1 1 1 5 7 7 2 2 2 9 FROIs Filed 1 1 FROIs Filed 1 FROIs Filed 1 FROIs Filed	Timely FROIs 0 1 1 2 2 2 4 Timely FROIs 0 Timely FROIs 1 Timely FROIs	100% Compliance 0% 100% 20% 29% 100% 100% 100% Compliance 0% Compliance 100%	No filings Payments Made No filings No filings 1 1 1 No filings No filings No filings No filings Payments Made No filings Payments Made No filings Payments Made No filings	No filings Timely Payments No filings No filings 1 1 No filings No filings Timely Payments No filings Timely Payments No filings Timely Payments No filings	No filings Compliance No filings No filings 100% 100% No filings No filings Compliance No filings Compliance No filings Compliance No filings

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
	CNA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA050	CNA INSURANCE Group Total		11mely FKO1s	92%	Payments Made 5	5	100%
	Order Tom				J 1		
	CONSTITUTION STATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA110	Group Total	8	5	63%	4	3	75%
						The second second second second second	
	CONTINENTAL IND CO (formerly Virginia Surety)	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA115	Group Total	2	0	0%] []	1	100%
 ,	COTTINGHAM AND BUTLER CLAIMS SERVICES	FDOL PT 1	Timely FROIs	O	Payments Made	Timely Payments	Compliance
CA117	Group Total	FROIs Filed 5	I imely FRUIS	Compliance 80%	Payments Wixde	1 mery Fayments	100%
CAII/	Group Total	3	4	80 /4	J. L		100 /8
	CRAWFORD US PROPERTY & CASUALTY	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely-Payments	Compliance
CA120	Group Total	1	0	0%	1	1	100%
	CRUM & FORSTER	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA375	Group Total	*	*	*	J L* '	*	*
	2010			~ "	i	m: 1 %	- ·
CA160	ESIS	FROIs Filed 53	Timely FROIs 28	Compliance 53%	Payments Made 23	Timely Payments 21	Compliance 91%
CAIDO	Group Total	53	28	5376	123	21	91%
	F.A. RICHARD	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA165	Group Total	3	0	0%	No filings	No filings	No filings
				The second second			
	FEDERATED MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA091	Group Total	1	0	0%	No filings	No filings	No filings
	FIREMANS FUND INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA170	Group Total	*	*	•	*	*	*
r	FUTURECOMP	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA175	Group Total	74	67	91%	Payments Made	1 inicity Payments	94%
CALITS	Group Total	/4	0/	71.76] []	13	24 /6
	GAB ROBINS	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA180	Group Total	*;	*	*		*	*
			normalist and	and the second second			
	GALLAGHER BASSETT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA190	Group Total	96	82	85%	26	21	81%
					7		
CA191	GRAPHIC ARTS MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CWISI	Group Total	•	ll	-	J L	-	-
	GUARD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA019	AMGUARD INSURANCE COMPANY	17	10	59%	10	10	100%
CA140	EASTGUARD INSURANCE COMPANY	8	7	88%	1	1	100%
CA205	INTERGUARD, LTD.	*	*	+	i	•	*
CA272	NORGUARD INSURANCE COMPANY	4	3	75%	No filings	No filings	No filings
1	Group Total	29	20	69%	11	11	100%

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Is Indemnity I Mac	Payments	Initial Indemnity Payments Made Timely	Compliance Percentage
	HANNAFORD BROTHERS	FROIs Filed	Timely FROIs	Compliance	Payments	s Made	Timely Payments	Compliance
CA201	Group Total	94	86	91%	18		17	94%
	HANOVER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Payments	Made	Timely Payments	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	6	4	67%			2	100%
CA202	HANOVER INSURANCE COMPANY		5	71%	3		3	100%
CA228	MASSACHUSETTS BAY INS. CO.	12	7	58%			2	100%
	Group Total	25	16	64%	<u> </u>		7	100%
	TV. DEPOSITE VICES	WE AV WH 1	-1 - FROT	a •	7	 	70°1 D	0
	HARTFORD INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payment	s Made	Timely Payments	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	5	5	100%	2		2	100%
CA185	HARTFORD CASUALTY INS CO.	10	9	90%	5 7		5	100% 71%
CA203	HARTFORD FINANCIAL	7	6	86%	$+$ $\frac{1}{4}$		4	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	17	14	82%	— · · · · · · · · · · · · · · · · · · ·			
CA187	HARTFORD UNDERWRITERS INSURANCE	3	1	33%	No fili		No filings	No filings
CA288	PROPERTY & CASUALTY INSURANCE COMPANY	. <u> </u>		100%	No fili	ngs	No filings	No filings
CA296	SENTINEL INSURANCE CO.	6	6	100%	2		2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	8	5	63%	3		2	67%
	Total	57	47	82%	23		20	87%
0.000 200 200 200 200 200 200 200 200 20	HARTFORD TPA Administered Claims	-		4007	4			1000/
CA040	BROADSPIRE SERVICES	5 *	2	40%	2		2	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES				- \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
CA165	F.A. RICHARD	3	0	0%	No fili	ings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	6	6	100%	1		1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	<u>l</u>	1	100%	1		1	100%
CA315	SPECIALTY RISK SERVICES	2	2	100%	2		l	50%
	TPA Total	17	- 11	65%	6		5	83%
	HARTFORD Group Total	74	58	78%	29		25	0.04
	HARTFORD Group Total	/4	58	/876			45	86%
	HELMSMAN MANAGEMENT SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments	Mada	Timely Payments	Compliance
CA204	Group Total	7	6	86%	rayments 3	NIANGE	1 mery rayments	67%
CALLOT	Group Total		0	00 /6	J. L3			0778
	LIBERTY MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments	Made	Timely Payments	Compliance
CA380	EMPLOYERS INSURANCE OF WAUSAU	32	25	78%	7 ayıncını	. Iviate	5	71%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	91	73	80%	36	:	33	92%
<u> </u>	Group Total	123	98	80%	43		38	88%
	5.04 F 1.02		29			1		1 5575
	MACY'S CORPORATE SERVICES	FROIs Filed	Timely FROIs	Compliance	Payment	Made	Timely Payments	Compliance
CA213	Group Total	2	0	0%	1		0	0%
	MAINE AUTOMOBILE DEALERS ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payments		Timely Payments	Compliance
CA220	Group Total	27	27	100%			10	100%
								
	MAINE EMPLOYERS' MUTUAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payment:		Timely Payments	Compliance
CA260	Group Total	1088	939	86%	320	<u> </u>	303	95%
	T				¬ ———			T-12-12-12-12-12-12-12-12-12-12-12-12-12-
0.4.00.4	MAINE HEALTH CARE ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payment		Timely Payments	Compliance
CA234	Group Total	35	32	91%			5	100%
	MARK MOTOR TRANSPORT ACCOUNTS	77007 THE	T =		7			
04000	MAINE MOTOR TRANSPORT ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payment:		Timely Payments	Compliance
CA230	Group Total	40	37	93%	9		9	100%
	WARD MINISTRAL ACCOUNTS	DR OV THE			¬ ——			T
CA225	MAINE MUNICIPAL ASSOCIATION	FROIs Filed	Timely FROIs	Compliance	Payment		Timely Payments	Compliance
UA223	Group Total	210	196	93%	51		42	82%
		We or year	er i ppor		Payment	37.3	Timely Payments	Compliance
CA250	MAINE SCHOOL MANAGEMENT ASSOCIATION Group Total	FROIs Filed 50	Timely FROIs 46	Compliance 92%	Payment 13		11	85%

^{*}Indicates no claims activity this quarter

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	In	Total Initial Idemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA255	MEADOWBROOK Group Total	FROIs Filed 6	Timely FROIs 5	Compliance 83%	∃E	Payments Made 2	Timely Payments	Compliance 100%
	NATIONAL INTERSTATE INSURANCE	FROIs Filed	Timely FROIs	Compliance	11	Payments Made	Timely Payments	Compliance
CA267	Group Total	1	1	100%	J L	No filings	No filings	No filings
	NATIONWIDE GROUP	FROIs Filed	Timely FROIs	Compliance	٦٣	Payments Made	Timely Payments	Compliance
CA289	NATIONAL CASUALTY COMPANY	2	0	0%		No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	1	0	0%		No filings	No filings	No filings
	Group Total	3	0 .	0%	J'L	No filings	No filings	No filings
	NGM INSURANCE	FROIs Filed	Timely FROIs	Compliance	ΙП	Payments Made	Timely Payments	Compliance
CA265	Group Total	4	1	25%	J L.	2	2	100%
	OLD REPUBLIC INSURANCE	FROIs Filed	Timely FROIs	Compliance	7 [Payments Made	Timely Payments	Compliance
	Total	***************************************	*	A		*	*	*
	OLD REPUBLIC TPA Administered Claims	*			4 F			
CA038 CA040	BERKLEY ADMIN. OF CONNECTICUT BROADSPIRE SERVICES	*	*	*	+1	* -	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	7	3	43%	11	2	1	50%
CA110	CONSTITUTION STATE SERVICES	*	*	*		*	+	*
CA160 CA190	ESIS	2 .	1	50%	41	1	1	100%
CA190 CA280	GALLAGHER BASSETT SERVICES RYDER SERVICES	5 2	4 2	80% 100%	-	3 2	3 0	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	5	4	80%	11	1	1	100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	1	0	0%		1	1	100%
	TPA Total	22	14	64%	-11	10	7	70%
	OLD REPUBLIC Group Total	22	14	64%	4 –	10	7	70%
) OLD KEI CHEIC Group Total		14	V470		10		70 78
	PATRIOT INSURANCE	FROIs Filed	Timely FROIs	Compliance	II	Payments Made	Timely Payments	Compliance
CA274	Group Total	11	0	0%	┚┖	1	1	100%
	PEERLESS INSURANCE	FROIs Filed	Timely FROIs	Compliance	7 🗂	Payments Made	Timely Payments	Compliance
CA162	EXCELSIOR INSURANCE CO.	6	4	67%		2	2	100%
CA309	NETHERLANDS INSURANCE COMPANY	17	15	88%	\Box	2	11	50%
CA275 CA283	PEERLESS INSURANCE CO. PEERLESS INDEMNITY CO.	65	52	80%	+1 $-$ 1 $-$ 1 $-$ 1 $-$ 1 $-$ 1 $-$ 1 $-$ 1 $-$ 1 $-$ 1 $-$	15	13	87%
CA263	Group Total	88	71	81%		19	16	84%
	PROTECTIVE INSURANCE	FROIs Filed	Timely FROIs	Compliance		Payments Made	Timely Payments	Compliance
CA277	Group Total	2	1	50%	ユL	No filings	No filings	No filings
468000000000000000000000000000000000000	PUBLIC SERVICE MUTUAL	FROIs Filed	Timely FROIs	Compliance	٦г	Payments Made	Timely Payments	Compliance
CA282	Group Total	*	*	*	JL	*	*	*
	RISK ENTERPRISE MANAGEMENT	FROIs Filed	Timely FROIs	Compliance	- r	Payments Made	Timely Payments	Compliance
CA280	Group Total	FROIS FILED	1 imely FROIS	94%	+	Payments Made	1 imely rayments	100%
	5,000 1000			- 170		-	-	
	RYDER SERVICES	FROIs Filed	Timely FROIs	Compliance	\mathbb{T}	Payments Made	Timely Payments	Compliance
CA280	Group Total	2	2	100%	J.L	2	0	0%
	SAFETY NATIONAL INSURANCE	FROIs Filed	Timely FROIs	Compliance	7 1	Payments Made	Timely Payments	Compliance
	Total	*	*	*		*	*	*
	SAFETY NATIONAL TPA Administered claims				4 E			
CA190	GALLAGHER BASSETT SERVICES	5	4	80%	-11-	No filings	No filings	No filings
CA300 CA315	SEDGWICK CLAIMS MANAGEMENT SPECIALTY RISK SERVICES	i	1	100%	+	No filings	No filings	No filings
20232	TPA Total	6 .	5	83%	181	No filings	No filings	No filings
					3 E			
	SAFETY NATIONAL Group Total	6	5	83%	13883	No filings	No filings	No filings

		Total Lost Time FROIs Filed	Lost Time FROIs Filed Timely	Compliance Percentage	Total Initial Indemnity Payments Made	Initial Indemnity Payments Made Timely	Compliance Percentage
CA300	SEDGWICK CLAIMS MANAGEMENT Group Total	FROIs Filed 115	Timely FROIs 101	Compliance 88%	Payments Made 29	Timely Payments 25	Compliance 86%
	SENTRY INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA305	Group Total	5	3	60%	rayments water	3	75%
	SPARTA INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Total	*	*	*	*	*	*
CA060	SPARTA TPA Administered claims COTTINGHAM AND BUILER CLAIMS SERVICES	5	4	80%	 	1	100%
CA190	GALLAGHER BASSETT SERVICES	1	1	100%	2		50%
CALSO	TPA Total	6	5	83%	3	2	67%
	SPARTA Group Total	6	5	83%	3	2	67%
					1 r 		
04275	SPECIALTY RISK SERVICES	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA315	Group Total	22	20	91%]9]		78%
	STATE OF MAINE WORKERS' COMPENSATION TRUST	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA307	Group Total	146	142	97%	20	19	95%
				**			
	SYNERNET	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA320	Group Total	131	125	95%	46	42	91%
	T				1	m:	
CA168	THE FRANK GATES SERVICE COMPANY Group Total	FROIs Filed	Timely FROIs	Compliance 50%	Payments Made No filings	Timely Payments No filngs	Compliance No filings
CAIGS	Group I total	<u>Z</u>	1 1	20 74	1 No mings	140 migs	
	TOWER INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA356	Group Total		*	*	*	*	
					, <u>, , , , , , , , , , , , , , , , , , </u>		
G 4 0 7 9	TRAVELERS INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA072 CA164	CHARTER OAK FIRE INS. CO. FARMINGTON CASUALTY COMPANY	41	35	85% 100%	No filings	No filings	63% No filings
CA306	STANDARD FIRE INS. CO.	22	17	77%	No mings	No nings	80%
CA284	THE PHOENIX INSURANCE CO	1	1	100%	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	6	4	67%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	8	4	50%	3	3	100%
CA346	TRAVELERS INDEMNITY CO OF AMERICA	*	*	*	*	*	*
CA345	TRAVELERS PROPERTY CASUALTY COMPANY	11	1	100%	No filings	No filings	No filings
	Total	80	63	79%	17	13	76%
CA040	TRAVELERS TPA Administered Claims BROADSPIRE SERVICES	3	3	100%	3	3	100%
CA190	GALLAGHER BASSETT SERVICES	2	1	50%	1	<u>i</u>	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	6	6	100%	2	2	100%
CA315	SPECIALTY RISK SERVICES	1	0	0%	1	1	100%
CA168	THE FRANK GATES SERVICE COMPANY	*	*		*	*	*
<u> </u>	TPA Total	12	10	83%	7	7	100%
 	TRAVELERS Group Total	92	73	79%	24	20	83%
	ANCA F EDENG Group Total	34	13	1776	1 24		03/6
	TRIDENT INSURANCE SERVICES (Formerly MASSAMON	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
CA240	Group Total	9	8	89%	6	6	100%
	TRUMBULL INSURANCE	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance
	Group Total	1	1	100%	1	1	100%
CA319				7.4			
ICA319	VANIL PAID DICKID ANCE	EDOY DULL	T' L EDO:	G1'	D	Timele December	C
CA319	VANLINER INSURANCE Group Total	FROIs Filed	Timely FROIs	Compliance	Payments Made	Timely Payments	Compliance

*Indicates no claims activity this quarter

WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments CA360 UNDERWRITTERS SAFETY & CLAIMS 1 0 0 % 1 1 1 1 1 1 1 1 1	CA300	SPECIALTY RISK SERVICES				\pm			82%
WILLIS OF NORTHERN NEW ENGLAND	CA300		+			10000			*
WILLIS OF NORTHERN NEW ENGLAND			. 11					3	
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Complian									71%
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Candon HRH CLAIMS MANAGEMENT 9 9 9 100% 1 1 1 1 1 1 1 1 1 1 1				1					No filings
WILLIS OF NORTHERN NEW ENGLAND			<u>l</u>	1		+1 $-$			No filings
WILLIS OF NORTHERN NEW ENGLAND			<u>_</u>	1		-11-	l	1 2 50	100%
WILLIS OF NORTHERN NEW ENGLAND			* * * * * * * * * * * * * * * * * * * *			-			*
WILLIS OF NORTHERN NEW ENGLAND						4 1			
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Compliance A001 HRH CLAIMS MANAGEMENT 9 9 100% 3 2 2 3 3 4 1 1 1 3 3 4 1 1 1 3 3 3 4 1 3 3 4 1 3 3 3 4 4 3 4 4 3 4 4			31	28			7	5	71%
WILLIS OF NORTHERN NEW ENGLAND	A400		·			41			67%
WILLIS OF NORTHERN NEW ENGLAND				11					100%
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Oxade Timely Payments Oxade Timely Payments Oxade Timely Payments Oxade O			*	*					*
WILLIS OF NORTHERN NEW ENGLAND						_		1	50%
WILLIS OF NORTHERN NEW ENGLAND			FROIs Filed	Timely FROIs			Payments Made	Timely Payments	Compliance
WILLIS OF NORTHERN NEW ENGLAND									
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Octoor		XL Group Total	A	*	*		*	*	*
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Out									
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Occasional Park CLAIMS MANAGEMENT 9 9 100% 3 2 2 3 3 2 3 3 3 3			*	*	*		*	*	•
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Octavity			*	+	+	121	*	*	*
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Octavily Frois Octavily Frois	A180		*	*	•	7 —		*	*
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Compliance Compliance	<u> </u>					1 -			
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Compliance A001 HRH CLAIMS MANAGEMENT 9 9 100% 3 2 2 2 2 2 2 2 2 2	A 384				*	11	A STATE A	*	± ±
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Compliance Compliance		VI CDECIALTY INCIDANCE	EDOL Filed	Timely FDOIs	Compliance	7 /	Paymente Made	Timely Payments	Compliance
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Compliance Compliance	2000	Group Lotal	204	250	95%	J	4/	44	94%
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments Compliance A001 HRH CLAIMS MANAGEMENT 9 9 100% 3 2 A360 UNDERWRITERS SAFETY & CLAIMS 1 0 0% 1 1	A382								95%
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments CA001 HRH CLAIMS MANAGEMENT 9 9 100% 3 2			1			-	I	<u> </u>	100%
WILLIS OF NORTHERN NEW ENGLAND FROIs Filed Timely FROIs Compliance Payments Made Timely Payments C			9				3	2	67%
				Timely FROIs		41			Compliance
			FROIs Filed	Timely	Percentage		Made	Timely	Percentage
				FROIs Filed		In	demnity Payments	Payments Made	Compliance

-		

Appendix B

Insurance Group Compliance
Initial MOP and Initial Indemnity NOC Filings
Third Quarter 2011
7/1/2011-9/30/2011

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	25258	Fotal Initial lemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	I americana				1	NOCs Filed	Ti I NOC	C"
CAOLO	ACADIA INSURANCE	MOPs Filed	Timely MOPs 21	Compliance 100%	H	12	Timely NOCs 11	Compliance 92%
CA010	Group Total	21	21	100%	J L	12	1 11	9276
	ACE INSURANCE	MOPs Filed	Timely MOPs		1	NOCs Filed	Timely NOCs	Compliance
	ACE INSURANCE Total	WOPS Filed	Timery WOPs		┧ ┠──	*	Timely NOCS	± Comprance
ļ	ACE TPA Administered Claims	-						
CA040	BROADSPIRE SERVICES	No filings	No filings	No filings		2	2	100%
CA060	CAMBRIDGE INTEGRATED SERVICES	*	+	*	11	 -	*	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	No filings	No filings	No filings	14	3	3	100%
CA110	CONSTITUTION STATE SERVICES	3	2	67%	1	1	1	100%
CA160	ESIS	13	12	92%		6	5	83%
CA190	GALLAGHER BASSETT SERVICES	4	4	100%		11	10	91%
CA204	HELMSMAN MANAGEMENT SERVICES	No filings	No filings	No filings		1	1	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	11	11	100%		10	10	100%
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings		No filings ·	No filings	No filings
	TPA Total	31	29	94%		34	32	94%
					4			
	ACE Group Total	31	29	94%		34	32	94%
				Y	, ——			
	AMERICAN INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA018	Group Total	•	*	*	J L		*	*
		NOT THE	m I Iron		7	NOC EL	T I NOC	
CA381	AMTURST NORTH AMERICA	MOPs Filed	Timely MOPs	Compliance	 	NOCs Filed	Timely NOCs	Compliance 100%
CASOL	Group Total	No filings	No filings	No filings	J. L	1	1	100%
	ARCH INSURANCE	MOPs Filed	Timely MOPs	Compliance	T:T	NOCs Filed	Timely NOCs	Compliance
	Total		Timely MOES	Computance	†	*	*	*
	ARCH TPA Administered Claims							
CA160	ESIS	1	1	100%	1 1	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings		2	2	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	2	100%		5	. 5	100%
CA315	SPECIALTY RISK SERVICES	No filings	No filings	No filings		No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY	No filings	No filings	No filings		No filings	No filings	No filings
	TPA Total	3	3	100%		7	7	100%
L	ARCH Group Total	3	3	100%		7	7	100%
				,				
	ARGONAUT INSURANCE	MOPs Filed	Timely MOPs	Compliance		NOCs Filed	Timely NOCs	Compliance
CA020	Total	No filings	No filings	No filings	11	No filings	No filings	No filings
04340	ARGONAUT TPA Administered Claims			1000/	4		1	1000/
CA240	TRIDENT INSURANCE SERVICES	6	6	100%	+	2 2	2 2	100%
	TPA Total	6	6	100%	+	L	4	100%
-	ARGONAUT Group Total		6	100%	1 /	2	2	100%
		ı <u> </u>	1 0	10076	J L	L		100 /6
	ARROW MUTUAL LIABILITY	MOPs Filed	Timely MOPs	Compliance	7	NOCs Filed	Timely NOCs	Compliance
CA32	Group Total		A THICKY IVACES	*	1 ∅	*	*	*
·	Group rotat	·			4		1	
T	BATH IRON WORKS	MOPs Filed	Timely MOPs	Compliance	1//	NOCs Filed	Timely NOCs	Compliance
1								

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage	
	BERKLEY RISK ADMINISTRATORS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	T
CA038	Group Total		1	100%	1	0	0%	
		·						
	BROADSPIRE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	_
CA040	Group Total	8	8	100%	4	4	100%	
	CAMBRIDGE INTEGRATED SERVICES	MOPs Filed	Timely MOPs	C	NOCs Filed	Timely NOCs	Compliance	—
CA060	CAMBRIDGE INTEGRATED SERVICES Group Total		1 imely MUPS	Compliance 33%	No filings	No filings	No filings	-
CAUCO	Group rotat	3	-	5576		110 11-110	110 1111111	
	CANNON COCHRAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	
CA070	Group Total	26	18	69%	34	32	94%	
								_
	CHARTIS INSURANCE (Formerly AIG)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	
CA015	Total		19	83%	11	11	100%	-1
	CHARTIS TPA Administered Claims		2	1000/	· · · · · · · · · · · · · · · · · · ·	1	100%	4
CA040 CA060	BROADSPIRE SERVICES CAMBRIDGE INTEGRATED SERVICES	2 No filings	No filings	100% No filings	No filings	No filings	No filings	\dashv
CA100	CLAIMS MANAGEMENT (WAL-MART)	No filings	No niings	100%	No nungs	No nings	91%	
CA110	CONSTITUTION STATE SERVICES	1	1	100%	No filings	No filings	No filings	\dashv
CA160	ESIS	7	7	100%	4	3	75%	\neg
CA180	GAB ROBINS	+	*	*	*	*	*	
CA190	GALLAGHER BASSETT SERVICES	7	5	71%	10	9	90%	\Box
CA204	HELMSMAN MANAGEMENT SERVICES	3	3	100%	No filings	No filings	No filings	
CA300	SEDGWICK CLAIMS MANAGEMENT	4	3	75%	8	. 7	88%	_
CA315	SPECIALTY RISK SERVICES	*	*	*	*	+.		-
	TPA Total	33	30	91%	34	30	88%	_
 	CHARTIS Group Total	56	49	88%	45	41	91%	-1
L	CHARTIS Group rotal	30		30 76	J		7170	7
	CHESTERFIELD SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	\neg
CA080	Group Total	No filings	No filings	No filings	No filings	No filings	No filings	
	The state of the s							
	CHUBB INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	_
CA046	CHUBB & SON, INC.	No filings	No filings	No filings	No filings	No filings	No filings	\dashv
CA286	CHUBB INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings	\dashv
CA090	FEDERAL INSURANCE Group Total	1	1	100%	No filings No filings	No filings No filings	No filings No filings	\dashv
	CHUBB TPA Administered Claims		-	100 /8	140 mings	No maigs		333
CA190	GALLAGHER BASSETT SERVICES	No filings	No filings	No filings	1	1	100%	
	TPA Total	No filings	No filings	No filings	1	1	100%	\neg
					-			
	CHUBB Group Total	1	1	100%	11	1	100%	
					- ————————————————————————————————————			4
	CHURCH MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	_
CA084	Group Total	No filings	No filings	No filings	J L1	0	0%	ᆜ
	CIANBRO CORPORATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	<u>(2000)</u>
CA085	Group Total		No filings	No filings	1 1	1	100%	\dashv
	Group You				J •			Alliannille Vent Sees
	CITY OF BANGOR	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance	_
	Group Total		7	100%	No filings	No filings	No filings	
CA033			101111111111111111111111111111111111111		CONTRACTOR SOCIETY CONTRACTOR CONTRACTOR CONTRACTOR	0.0 (19)		.000
CA033								2000
CA033	CLAIMS MANAGEMENT (WAL-MART) Group Total	MOPs Filed	Timely MOPs	Compliance 100%	NOCs Filed	Timely NOCs	Compliance 91%	

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	CNA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA050	Group Total	5	5	100%	No filings	No filings	No filings
	CONSTITUTION STATE SERVICE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA110	Group Total		3	75%	1	1	100%
					1000	,	
	CONTINENTAL IND CO (formerly Virgina Surety)	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	11	11	100%	No filings	No filings	No filings
	COTTINGHAM AND BUTLER CLAIMS SERVICES	MOD TO 1	T I MOD	C	NOCs Filed	Timely NOCs	C
CA117	Group Total	MOPs Filed	Timely MOPs	Compliance 100%	No filings	No filings	Compliance No filings
CAIII	Group rotal			100 /6	140 mings	140 things	140 mings
	CRAWFORD US PROPERTY & CASUALTY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA120	Group Total	1	1	100%	No filings	No filings	No filings
CA375	CRUM & FORSTER	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA375	Group Total	*	*	•	L		*
	ESIS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA160	Group Total		22	96%	11	9	82%
	Programme Committee						
	F.A. RICHARD	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA165	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	FEDERATED MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA091	Group Total		No filings	No filings	No filings	No filings	No filings
C.167.2	Group rotal	140 mings	130 mmgs	140 mings	1 110 annigs	140 mings	140 Innigs
	FIREMANS FUND INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA170	Group Total	a a	*	*	*	*	*
CA175	FUTURECOMP Group Total	MOPs Filed 16	Timely MOPs 15	Compliance 94%	NOCs Filed	Timely NOCs 5	Compliance 83%
CAITS	Group 1 otal	10	15	9476] 5	83%
	GAB ROBINS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA180	Group Total	*	*	*		*	
0.4.100	GALLAGHER BASSETT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA190	Group Total	26	22	85%	29	25	86%
	GRAPHIC ARTS MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA191	Group Total		*	Compnance	*	A	A
	•		1775ain. (c. 1				
	GUARD INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	AMGUARD INSURANCE COMPANY	10	10	100%	1	0	0%
	EASTGUARD INSURANCE COMPANY	1		100%	No filings	No filings	No filings
	INTERGUARD, LTD. NORGUARD INSURANCE COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
U. 12/2			No nings	100%	1 No mings	No mangs	0%
	Group Total						

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	HANNAFORD BROTHERS	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA201	Group Total		18	100%	16	16	100%
	HANOVER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA048	CITIZENS INSURANCE CO. OF AMERICA	2	2	100%	1	1	100%
CA202 CA228	HANOVER INSURANCE COMPANY	3	3	100% 100%	1	3	100%
CAZZ8	MASSACHUSETTS BAY INS. CO. Group Total	7	7	100%	3 5	5	100%
	Group Islan		<u> </u>	10070	- L	5	10070
	HARTFORD INSURANCE	MOPs Filed	Timely MOPs	_ Compliance	NOCs Filed	Timely NOCs	Compliance
CA188	HARTFORD ACCIDENT & INDEMNITY	2	2	100%	No filings	No filings	No filings
CA185	HARTFORD CASUALTY INS CO.	5	5	100%	No filings	No filings	No filings
CA203	HARTFORD FINANCIAL	7	5	71%	11	1 1	100%
CA186	HARTFORD INSURANCE OF THE MIDWEST	4 37. 61	4 N- 60	100%	5 2	5	100%
CA187 CA288	HARTFORD UNDERWRITERS INSURANCE PROPERTY & CASUALTY INSURANCE COMPANY	No filings No filings	No filings No filings	No filings No filings	1		50%
CA288 CA296	SENTINEL INSURANCE CO.	No nings 2	No filings	100%	2	2	100%
CA321	TWIN CITY FIRE INSURANCE CO.	3	2	67%	2	2	100%
	Total	23	20	87%	13	12	92%
	HARTFORD TPA Administered Claims						
CA040	BROADSPIRE SERVICES	2	2	100%	1	1	100%
CA070	CANNON COCHRAN MANAGEMENT SERVICES	+	*	*	*	*	+
CA165	F.A. RICHARD	No filings	No filings	No filings	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	1	11	100%	11	I	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	1	1	100%	No filings	No filings	No filings
CA315	SPECIALTY RISK SERVICES TPA Total	2 6	0 4	0% 67%	No filings 2	No filings 2	No filings 100%
	IPA 10tai	6	4	6/%		2	100%
	HARTFORD Group Total	29	24	83%	15	14	93%
	HELMSMAN MANAGEMENT SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA204	Group Total	3	3	100%	11	1	100%
					T 3/00 =0.1		
CA380	LIBERTY MUTUAL INSURANCE EMPLOYERS INSURANCE OF WAUSAU	MOPs Filed	Timely MOPs	Compliance 71%	NOCs Filed	Timely NOCs	Compliance 100%
CA210	LIBERTY MUTUAL INSURANCE COMPANY	36	33	92%	21	21	100%
CILLIO	Group Total		38	88%	28	28	100%
	1					'	
	MACY'S CORPORATE SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA213	Group Total	1	1	100%	No filings	No filings	No filings
	MAINE AUTOMOBILE DEALERS ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA220	Group Total		10	100%	5	5	100%
	MAINE EMPLOYERS' MUTUAL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	MAINE EMPLOYERS MOTUAL INSURANCE			0.444			94%
CA260	Group Total	320	300	94%	206	194	9476
CA260	Group Total						
	Group Total MAINE HEALTH CARE ASSOCIATION	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA260 CA234	Group Total	MOPs Filed					
	Group Total MAINE HEALTH CARE ASSOCIATION Group Total	MOPs Filed 5	Timely MOPs 5	Compliance 100%	NOCs Filed 7	Timely NOCs	Compliance 100%
CA234	MAINE HEALTH CARE ASSOCIATION Group Total MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed 5 MOPs Filed	Timely MOPs S Timely MOPs	Compliance 100% Compliance	NOCs Filed 7 NOCs Filed	Timely NOCs 7 Timely NOCs	Compliance 100% Compliance
	Group Total MAINE HEALTH CARE ASSOCIATION Group Total	MOPs Filed 5 MOPs Filed	Timely MOPs 5	Compliance 100%	NOCs Filed 7	Timely NOCs	Compliance 100%
CA234	MAINE HEALTH CARE ASSOCIATION Group Total MAINE MOTOR TRANSPORT ASSOCIATION	MOPs Filed 5 MOPs Filed 9	Timely MOPs 5 Timely MOPs 9	Compliance 100% Compliance 100%	NOCs Filed 7 NOCs Filed	Timely NOCs 7 Timely NOCs	Compliance 100% Compliance
CA234	MAINE HEALTH CARE ASSOCIATION Group Total MAINE MOTOR TRANSPORT ASSOCIATION Group Total	MOPs Filed 5 MOPs Filed 9 MOPs Filed	Timely MOPs S Timely MOPs	Compliance 100% Compliance	NOCs Filed 7 NOCs Filed 11	Timely NOCs 7 Timely NOCs 10	Compliance 100% Compliance 91%
CA234 CA230	MAINE HEALTH CARE ASSOCIATION Group Total MAINE MOTOR TRANSPORT ASSOCIATION Group Total MAINE MUNICIPAL ASSOCIATION	MOPs Filed 5 MOPs Filed 9 MOPs Filed	Timely MOPs 5 Timely MOPs 9 Timely MOPs	Compliance 100% Compliance 100% Compliance	NOCs Filed 7 7 NOCs Filed 11 NOCs Filed 50	Timely NOCs 7 Timely NOCs 10 Timely NOCs 50	Compliance 100% Compliance 91% Compliance 100%
CA234 CA230	MAINE HEALTH CARE ASSOCIATION Group Total MAINE MOTOR TRANSPORT ASSOCIATION Group Total MAINE MUNICIPAL ASSOCIATION	MOPs Filed 5 MOPs Filed 9 MOPs Filed 51	Timely MOPs 5 Timely MOPs 9 Timely MOPs	Compliance 100% Compliance 100% Compliance	NOCs Filed 7 NOCs Filed 11 NOCs Filed	Timely NOCs 7 Timely NOCs 10 Timely NOCs	Compliance 100% Compliance 91% Compliance

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	MEADOWBROOK	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA255	Group Total		1	50%	No filings	No filings	No filings
	NATIONAL INTERSTATE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA267	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NATIONWIDE GROUP	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA289	NATIONAL CASUALTY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA291	NATIONWIDE AGRIBUSINESS INSURANCE	No filings	No filings	No filings	No filings	No filings	No filings
21124-21101218888	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
	NGM INSURANCE	MOPs Filed	TiI MOD-	C	NOCs Filed	Timely NOCs	Compliance
CA265	NGM INSURANCE Group Total		Timely MOPs	Compliance 100%	No filings	No filings	No filings
CILLOS	Group Total	2		19979	110 111111	. 19 111-12-	
	OLD REPUBLIC INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total		*	4	*	*	•
	OLD REPUBLIC TPA Administered Claims		*	*		*	*
CA038 CA040	BERKLEY ADMIN, OF CONNECTICUT BROADSPIRE SERVICES	*	*	*		-	*
CA070	CANNON COCHRAN MANAGEMENT SERVICES	2	1	50%	2	1	50%
CA110	CONSTITUTION STATE SERVICES	*	*	*	•	*	*
CA160	ESIS	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	3	3	100%	1	<u> </u>	100%
CA280 CA300	RYDER SERVICES SEDGWICK CLAIMS MANAGEMENT	2	0	0% 100%	No filings	No filings	No filings 100%
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	I	1	100%	No filings	No filings	No filings
<u></u>	TPA Total	10	7	70%	6	5	83%
	OLD REPUBLIC Group Total	10	7	70%	6	5	83%
	The property of the second sec	3.505 WH 1			NOC FILL		0 :
CA274	PATRIOT INSURANCE Group Tetal	MOPs Filed	Timely MOPs	Compliance 100%	NOCs Filed No filings	Timely NOCs No filings	Compliance No filings
<u> </u>	Group Tutal	1 1	A transportation	100 /8		140 mings	140 mings
	PEERLESS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA162	EXCELSIOR INSURANCE CO.	2	2	100%	11	11	100%
CA309 CA275	NETHERLANDS INSURANCE COMPANY	2	1	50% 87%	2	10	100%
CA2/3 CA283	PEERLESS INSURANCE CO. PEERLESS INDEMNITY CO.	15	13	8/%	10	10	100%
CALOS	Group Total	19	16	84%	13	13	100%
	100 miles						
	PROTECTIVE INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA277	Group Tota	No filings	No filings	No filings	No filings	No filings	No filings
	PUBLIC SERVICE MUTUAL	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
				сошриансе (110C3 FIEU	Thirty HOCS	*
CA282			*	A .	k		
CA282	Group Total		*	A			
	Group Tota RISK ENTERPRISE MANAGEMENT	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA282 CA280	Group Total	MOPs Filed	*	*	NOCs Filed	Timely NOCs	Compliance 50%
	Group Tota RISK ENTERPRISE MANAGEMENT Group Tota	MOPs Filed	Timely MOPs	Compliance 0%	4	2	50%
CA280	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES	MOPs Filed 1 MOPs Filed	*	Compliance 0% Compliance	NOCs Filed	2 Timely NOCs	50% Compliance
	Group Tota RISK ENTERPRISE MANAGEMENT Group Tota	MOPs Filed 1 MOPs Filed	Timely MOPs	Compliance 0%	4	2	50%
CA280	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES	MOPs Filed 1 MOPs Filed	Timely MOPs	Compliance 0% Compliance	NOCs Filed	2 Timely NOCs	50% Compliance
CA280	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES Group Tota SAFETY NATIONAL INSURANCE Tota	MOPs Filed 1 MOPs Filed 2 MOPs Filed	Timely MOPs 0 Timely MOPs 0	Compliance 0% Compliance 0%	NOCs Filed No filings	Timely NOCs No filings	50% Compliance No filings
CA280 CA280	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES Group Tota SAFETY NATIONAL INSURANCE Tota SAFETY NATIONAL TPA Administered claims	MOPs Filed 1 MOPs Filed 2 MOPs Filed	Timely MOPs 0 Timely MOPs 0 Timely MOPs	Compliance 0% Compliance 0% Compliance *	NOCs Filed No filings	Timely NOCs No filings Timely NOCs	50% Compliance No filings Compliance
CA280 CA280 CA190	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES Group Tota SAFETY NATIONAL INSURANCE Tota SAFETY NATIONAL TPA Administered claims GALLACHER BASSETT SERVICES	MOPs Filed 1 MOPs Filed 2 MOPs Filed No filings	Timely MOPs 0 Timely MOPs 0 Timely MOPs 1 Timely MOPs 2	Compliance 0% Compliance 0% Compliance * No filings	NOCs Filed No filings NOCs Filed NOCs Filed	Timely NOCs No filings Timely NOCs	50% Compliance No filings Compliance 1 0%
CA280 CA280 CA190 CA300	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES Group Tota SAFETY NATIONAL INSURANCE Tota SAFETY NATIONAL TPA Administered claims GALLAGHER BASSETT SERVICES SEDGWICK CLAIMS MANAGEMENT	MOPs Filed 1 MOPs Filed 2 MOPs Filed	Timely MOPs 0 Timely MOPs 0 Timely MOPs	Compliance 0% Compliance 0% Compliance *	NOCs Filed No filings	Timely NOCs No filings Timely NOCs	50% Compliance No filings Compliance
CA280 CA280 CA190	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES Group Tota SAFETY NATIONAL INSURANCE Tota SAFETY NATIONAL TPA Administered claims GALLAGHER BASSETT SERVICES SEDGWICK CLAIMS MANAGEMENT SPECIALTY RISK SERVICES	MOPs Filed 1 MOPs Filed 2 MOPs Filed No filings No filings No filings	Timely MOPs 0 Timely MOPs 0 Timely MOPs 4 No filings No filings	Compliance 0% Compliance 0% Compliance * No filings No filings *	NOCs Filed No filings NOCs Filed a I No filings.	Timely NOCs No filings Timely NOCs 0 No filings	50% Compliance No filings Compliance * 0% No filings
CA280 CA280 CA190 CA300	RISK ENTERPRISE MANAGEMENT Group Tota RYDER SERVICES Group Tota SAFETY NATIONAL INSURANCE Tota SAFETY NATIONAL TPA Administered claims GALLAGHER BASSETT SERVICES SEDGWICK CLAIMS MANAGEMENT	MOPs Filed 1 MOPs Filed 2 MOPs Filed ^ No filings No filings *	Timely MOPs 0 Timely MOPs 0 Timely MOPs 1 Timely MOPs 2	Compliance 0% Compliance 0% Compliance A No filings No filings	NOCs Filed No filings NOCs Filed NOCs Filed 1 No filings.	Timely NOCs No filings Timely NOCs 0 No filings	50% Compliance No filings Compliance a 0% No filings No filings

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
CA300	SEDGWICK CLAIMS MANAGEMENT Group Total	MOPs Filed 29	Timely MOPs 27	Compliance 93%	NOCs Filed 35	Timely NOCs 33	Compliance 94%
	SENTRY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA305	Group Total		0	0%	1	0	0%
	SPARTA INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
	Total	# #	#	*	*	*	*
	SPARTA TPA Administered claims				4		
CA060	COTTINGHAM AND BUTLER CLAIMS SERVICES	1	1	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES TPA Total	2 3	1 2	50% 67%	No filings No filings	No filings No filings	No filings No filings
	IPA I otal	3		0/%	No nings	No mings	ive mings
	SPARTA Group Total	3	2	67%	No filings	No filings	No filings
	1000						,
	SPECIALTY RISK SERVICES	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA315	Group Total	9	6	67%	4	4	100%
		T			7 - No. 2 - 1		
CA307	STATE OF MAINE WORKERS' COMPENSATION TRUST	MOPs Filed 20	Timely MOPs 18	Compliance 90%	NOCs Filed	Timely NOCs	Compliance 95%
CA307	Group Total	20	18	90%			95%
	SYNERNET	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA320	Group Total		43	93%	24	23	96%
		100 M				i de la companya de	0.000
	THE FRANK GATES SERVICE COMPANY	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA168	Group Total	No filings	No filings	No filings	No filings	No filings	No filings
					-, 		
	TOWER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA356	Group Total	*		*	J L		
	TRAVELERS INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA072	CHARTER OAK FIRE INS. CO.	8	6	75%	8	6	75%
CA164	FARMINGTON CASUALTY COMPANY	No filings	No filings	No filings	No filings	No filings	No filings
CA306	STANDARD FIRE INS. CO.	5	4	80%	2	2	100%
CA284	THE PHOENIX INSURANCE CO.	No filings	No filings	No filings	No filings	No filings	No filings
CA347	TRAVELERS CASUALTY & SURETY COMPANY	1	1	100%	1	1	100%
CA348	TRAVELERS CASUALTY INSURANCE CO. OF AMERICA	3	3	100%	No filings	No filings	No filings
CA346 CA345	TRAVELERS INDEMNITY CO OF AMERICA	No filings	No filings	No filings	No filings	No filings	No filings
CA345	TRAVELERS PROPERTY CASUALTY COMPANY Total	No filings	No filings 14	No filings 82%	No filings	No filings 9	No filings 82%
	TRAVELERS TPA Administered Claims	17	14	02/6			8476
CA040	BROADSPIRE SERVICES	3	3	100%	No filings	No filings	No filings
CA190	GALLAGHER BASSETT SERVICES	<u> </u>	1	100%		0	0%
CA300	SEDGWICK CLAIMS MANAGEMENT	2	1	50%	4	4	100%
CA315	SPECIALTY RISK SERVICES	1	1	100%	No filings	No filings	No filings
CA168	THE FRANK GATES SERVICE COMPANY		+	*	*	*	*
	TPA Total	7	6	86%	5	4	80%
_	TRAVELERS Group Total	24	20	83%	16	13	81%
	I TRAVELEKS Group 10tal		20	03 /6			01 /0
axen committee	TRIDENT INSURANCE SERVICES (Formerly MASSAMON	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA240	Group Total		6	100%	2	2	100%
	TRUMBULL INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA319	Group Total	1	1	100%	No filings	No filings	No filings
					–		
	VANLINER INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA379	Group Total				± ±		

		Total Initial MOPs Filed	Initial MOPs Filed Timely	Compliance Percentage	Total Initial Indemnity NOCs Filed	Initial Indemnity NOCs Filed Timely	Compliance Percentage
	WILLIS OF NORTHERN NEW ENGLAND	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
A001	HRH CLAIMS MANAGEMENT	3	1 Interviews	33%	1	1	100%
CA360	UNDERWRITERS SAFETY & CLAIMS	1		100%	No filines	No filines	No filings
CA382	WILLIS OF NORTHERN NEW ENGLAND, INC.	43	43	100%	70	69	99%
CASUL	Group Total		45	96%	71	70	99%
	- Siony Ivan				, ————————————————————————————————————		
	XL SPECIALTY INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA384	Total	*	*	*	*	*	*
	XL TPA Administered Claims						
CA180	GAB ROBINS	*	*	•	•		
CA190	GALLAGHER BASSETT SERVICES	+	*	•	+		*
	TPA Total	*	*	*	*	*	*
	XL Group Total	*	*	*	-	*	*
		orania di salah	Correct Claus				
	ZURICH INSURANCE	MOPs Filed	Timely MOPs	Compliance	NOCs Filed	Timely NOCs	Compliance
CA022	AMERICAN ZURICH	2	2	100%	5	5	100%
CA227	MARYLAND CASUALTY COMPANY	*	+	+	*	*	
CA259	NORTHERN INSURANCE CO. OF NEW YORK	2	1	50%	No filings	No filings	No filings
CA400	ZURICH AMERICAN INSURANCE CO.	3	3	100%	3	2	67%
	Total	7	6	86%	8	7	88%
	ZURICH TPA Administered Claims	227					
CA040	BROADSPIRE SERVICES	*	*		+	+	•
CA060	CAMBRIDGE INTEGRATED SERVICES	1	1	100%	No filings	No filings	No filings
CA080	CHESTERFIELD SERVICES	No filings	No filings	No filings	No filings	No filings	No filings
CA160	ESIS	No filings	No filings	No filings	11	11	100%
CA190	GALLAGHER BASSETT SERVICES	7	6	86%	1	11	100%
CA300	SEDGWICK CLAIMS MANAGEMENT	3	3	100%	44	3	75%
CA315	SPECIALTY RISK SERVICES	+	+		*	*	*
	TPA Total	11	10	91%	66	5	83%
					 		
	ZURICH Group Total	18	16	89%	14	12	86%