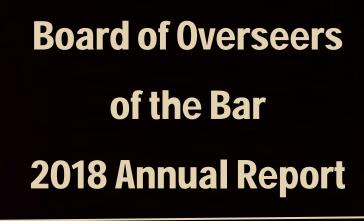
MAINE STATE LEGISLATURE

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"Protecting the public through the licensure, education, and regulation of the Maine bar."

CREATED BY THE MAINE
SUPREME JUDICIAL COURT
IN 1978 TO GOVERN THE
CONDUCT OF LAWYERS
AS OFFICERS OF THE COURT

Board of Overseers of the Bar 2018 Annual Report

INTRODUCTION

The Board of Overseers of the Bar was created by the Maine Supreme Judicial Court in 1978 as an independent administrative body to govern the conduct of lawyers as officers of the Court. The Board regulates attorney conduct by enforcing the Maine Bar Rules and the Maine Rules of Professional Conduct (Rules) adopted by the Court. The purpose of those Rules is to provide appropriate standards for attorneys with respect to their practice of the profession of law, including, but not limited to, their relationship(s) with their clients, the general public, other members of the legal profession, the courts, and other agencies of this state.

The Board appoints members to three Commissions established by the Maine Bar Rules: The Grievance Commission, the Fee Arbitration Commission, and the Professional Ethics Commission. Three-member panels of the Fee Arbitration Commission and the Grievance Commission conduct their duties and responsibilities as defined in the Maine Bar Rules. Each panel is comprised of two attorneys and one public member. The Professional Ethics Commission, consisting of eight attorneys, issues formal written advisory opinions to the Court, Board, Grievance Commission, Bar Counsel, and members of the Maine bar. Those opinions involve the interpretation and application of the Maine Rules of Professional Conduct related to specific questions about attorney conduct.

In 2015, the Maine Supreme Judicial Court established a Guardian ad Litem Review Board as an independent unit within the Board of Overseers of the Bar to regulate guardians ad litem. The Guardian ad Litem Review Board's responsibilities include:

- proposing rules of procedure for the Guardian ad Litem complaint system for promulgation by the Maine Supreme Judicial Court and commenting on the enforceability of existing and proposed Maine Rules for Guardians ad Litem;
- annually registering rostered guardians ad litem;
- · resolution of complaints against guardians ad litem; and
- administration of the continuing education requirements for guardians ad litem.

MISSION STATEMENT

The Board's mission is to encourage and promote the competent and ethical practice of law by members of the Maine bar, and to make these standards known to members of the public so that they have confidence in the legal profession in Maine.

The Board accomplishes its mission by:

- registering and regulating attorneys licensed to practice in Maine;
- · approving and providing continuing legal education programs;
- requiring that all attorneys licensed to practice in Maine have met the Maine Supreme Judicial Court's continuing legal education standards;
- providing guidance to attorneys on ethical issues through written advisory opinions issued by the Professional Ethics Commission as well as the Ethics Helpline;
- informing members of the public of their rights concerning proper attorney behavior and the availability of fee arbitration;

- enforcing Maine's code of ethics for attorneys; and
- endeavoring to achieve the best possible legal representation of clients through all of these measures.

BOARD LEADERSHIP AND STAFF

The Maine Supreme Judicial Court appoints Board members to oversee the operations of the organization. The Board is composed of six lawyers and three members of the public. Public members are appointed by the Court on the recommendation of the Governor. Board members may serve two consecutive three-year terms.

Board Members

Cathy A. DeMerchant (Chair) — Augusta

Benjamin Rogoff Gideon, Esq. — Lewiston

Margaret K. Minister, Esq. — Portland

Richard P. Dana, CPA — Cape Elizabeth

Julia A. Sheridan, Esq. — Portland

 ${\it Barbara\ H.\ Furey, Esq.-Portland} \qquad \qquad {\it The\ Honorable\ Joseph\ M.\ Jabar-Augusta}$

 ${\bf Christopher\ L.\ Gaunce-Waterville}$

Board Staff

Susan E. Adams, CLE/Registration Coordinator Angela M. Morse, Special Counsel

Jody A. Breton, Registration Clerk

J. Scott Davis, Bar Counsel

Jacqueline M. Rogers, Executive Director

Aria Eee, Deputy Bar Counsel

Donna L. Spillman, Senior Assistant to Bar Counsel

Kirsten M. Eubank, Assistant to Bar Counsel Marilyn L. Ware, Executive Director Assistant

Alan P. Kelley, Assistant Bar Counsel Elizabeth T. Weyl, Board Clerk

MAINE BAR RULES

The Board is charged with the continuous study of the bar and its relation to the public and the Maine courts. To further that work, the Board regularly submits recommendations to the Maine Supreme Judicial Court concerning revisions to the Maine Bar Rules and the Rules of Professional Conduct. The following is a summary of proposed amendments adopted by the Court in calendar year 2018:

Rule 7(e)(9) – Fee Arbitration Commission

In April 2018, the Court amended Maine Bar Rule 7(e)(9), requiring the Board to ensure that all hearings before the Fee Arbitration Commission are recorded.

Rule 19(b) - Dissemination of Disciplinary Information

On July 18, 2018, the Court amended Maine Bar Rule 19(b), adding clarifying language that all public non-disciplinary sanctions, e.g. admonitions, are transmitted to discipline authorities and other entities. Such notice has been the longstanding practice of the Board.

MAINE RULES OF PROFESSIONAL CONDUCT

Rule 1.8(j) – Conflict-of-Interest: Current Clients: Specific Rules

On October 26, 2018, the Court amended the Maine Rules of Professional Conduct to specifically prohibit attorney-client sexual relationships, except in limited circumstances. As discussed within the Advisory Note to Rule 1.8(j), the amendment does not exhaust the field of sexual relationships or sexual conduct that can give rise to discipline. Notably, a sexual relationship with a client potentially implicates other duties under the Rules and may be cause for discipline independent of Rule 1.8(j).

Rule 1.10(a) - Imputation of Conflicts-of-Interest: General Rule

In April 2018, the Court amended Maine Rule of Professional Conduct 1.10(a) to conform to subsection (a) of ABA Model Rule 1.10. The change now incorporates screening protocols that apply to potential conflicts within a firm due to a lawyer's former association with another firm.

OVERVIEW OF THE ATTORNEY DISCIPLINARY PROCESS

The purpose of the lawyer disciplinary system is to protect the public, the courts, and the legal profession from attorneys who do not meet their professional and ethical responsibilities under the Maine Bar Rules and the Maine Rules of Professional Conduct.

The Maine Supreme Judicial Court has inherent jurisdiction over members of Maine's legal profession and matters of attorney discipline. The Court has the power to promulgate and, in its discretion, amend the rules regarding attorney discipline, including the Maine Bar Rules and the Maine Rules of Professional Conduct. The Court established the Board of Overseers of the Bar as its disciplinary arm. It appoints Board members and designates those who shall serve as Board Chair and Vice Chair. The Court has the exclusive authority to impose the most severe disciplinary sanctions: suspension and disbarment.

CENTRAL INTAKE OFFICE

The Central Intake Office provides assistance to members of the public who wish to lodge a complaint against a licensed Maine attorney. The Central Intake Office is staffed by the Board Clerk, who is a licensed Maine attorney. While the Central Intake Office does not provide legal advice, it can provide the expertise needed to assist complainants in identifying problems and stating their complaints, suggest alternative ways to deal with a dispute, and make timely referrals to appropriate agencies. In 2018, the Board Clerk fielded over 750 calls from members of the public seeking assistance.

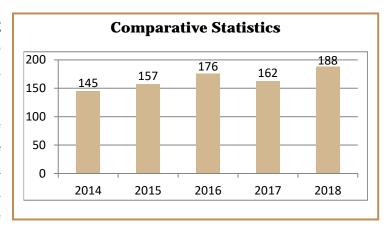
The Central Intake Office also screens and dockets all grievance complaints submitted to the Board. If the Board Clerk determines that a grievance complaint does not allege professional misconduct, the complaint will be dismissed and the complainant will receive a written explanation for the dismissal. The complainant then has twenty-one days to request that a public member (non-attorney) of the Board or the Grievance Commission review the dismissal. In 2018, the Central Intake Office docketed and dismissed seventy-one grievance complaints. Twelve complainants sought a public member review of the Board Clerk's dismissals pursuant to Rule 9. After review, the public member reviewers approved the dismissals.

GRIEVANCE COMMISSION

Α

The Board investigates complaints alleging violation(s) of the Maine Bar Rules or the Maine Rules of Professional Conduct. In 2018, Bar Counsel received and docketed 188 grievance complaints.

After review, Bar Counsel may dismiss a grievance complaint, with or without an investigation, upon the determination that a professional conduct violation did not occur. In instances where Bar Counsel determines that misconduct may have occurred, the



grievance complaint will be reviewed by a three-member panel of the Grievance Commission in a confidential proceeding pursuant to Maine Bar Rule 13(d). After review, the panel may dismiss a case where no misconduct is found, dismiss a case with a warning where there is minor misconduct that is unlikely to be repeated, or, in cases of more serious misconduct, direct Bar Counsel to file formal charges.

If a matter is to be resolved by a formal proceeding, Bar Counsel prepares and files formal charges with the Board Clerk and a new three-member panel of the Grievance Commission for hearing. Grievance Commission panels hold public disciplinary hearings in accordance with Maine Bar Rule 14(a).

At a disciplinary hearing, the parties are entitled to be heard, present evidence, and cross-examine witnesses. Following a disciplinary hearing, the panel issues a written report containing findings of fact, conclusions of law, and application of any relevant factors with respect to appropriate sanctions is issued. In 2018, the Grievance Commission issued sixteen hearing decisions. At year-end, there were 105 pending grievance matters.

2018 Bar Counsel Dispositions

Dismissal (Investigation)84	2018 Public Member Reviews				
Dismissal (No Investigation)18	Decision Affirmed				
Bar Counsel Stay Issued1	Decision Disaffirmed0				

2018 Grievance Commission Dispositions

Confidential Case Reviews	Hearing
Deferral1	Dismissal0
Dismissal3	Admonition6
Dismissal with Warning11	Information Authorized1
Probable Cause for Hearing13	Stipulation Rejected1
Consent to Proceed to Court3	Reprimand8

2018 Single Justice/Law Court Dispositions

Administrative Reinstatement	Disciplinary Reinstatement0				
Approved3	Receivership				
Disapproved0	Appointment9				
Denied after Remand1	Discharge8				

Reciprocal Discipline	Suspension3
Disbarment0	Immediate Interim Suspension4
Reprimand0	Single Justice Affirmed Decision 1
Suspension1	Single Justice Decision Modified After Review ${\bf 1}$
Disbarment1	Law Court Affirmed Decision1
License Surrender1	Disability Suspension1
Reprimand1	
Suspended Suspension/Monitor2	

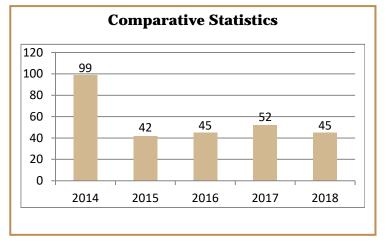
Note: Above statistics are generated from distinct respondents and the closure date.

FEE ARBITRATION COMMISSION

The fee arbitration system provides clients (Petitioners) and licensed Maine attorneys (Respondents) with an impartial and expeditious out-of-court option for resolving legal fee disputes. Proceedings before the Fee Arbitration Commission are initiated by the filing of a Fee Arbitration Petition. The Fee Arbitration Commission consists of five geographically

distributed panels. Each panel consists of two lawyers and one public member. At hearings, the convened panel takes testimony and considers relevant and material evidence. The decision of the arbitration panel is expressed in a written Award and Determination accompanied by a Confidential Addendum outlining the specific reasons for the award.

The Board docketed forty-five fee arbitration petitions in 2018. Over the course of the year, eleven matters were administratively dismissed. Reasons for dismissal include



untimeliness, lack of jurisdiction, lack of just grounds for dispute, or the parties reached settlement prior to hearing.

In 2018, Fee Arbitration Commission panels held twenty-seven hearings. Of those, two cases were dismissed at hearing, hearing panels found in favor of the Respondent in nineteen matters, and in favor of the Petitioner in six matters. At yearend, fourteen fee arbitration matters remained pending.

Fee Arbitration Commission Dispositions

Administrative Dismissal11	Award to Respondent19
Award to Petitioner	Hearing Dismissal2

PROFESSIONAL ETHICS COMMISSION

The Professional Ethics Commission (PEC) issues advisory opinions to the Court, the Board, Bar Counsel, and the Grievance Commission on matters involving the interpretation and application of the Maine Rules of Professional Conduct to specific issues and questions. The PEC also issues advisory opinions on ethical questions posed by members of the bar. In 2018, the PEC issued two formal opinions:

OPINION #218. RESTRICTIONS ON RIGHT TO PRACTICE AFTER TERMINATION OF EMPLOYMENT (VACATING OPINION #126)

The Professional Ethics Commission (PEC) was asked to clarify what, if any, continuing vitality Opinion 126 had in view of subsequent adoptions of now-former Maine Bar Rule 3.2(g) and Rule 5.6(a) of the Maine Rules of Professional Conduct. In order to clarify this issue, the Commission made clear that Opinion 126 is no longer valid and is vacated, specifically advising that a non-competition agreement or similar restrictions on the right to practice as the result of the termination of a lawyer's relationship with other lawyers or law firm is generally prohibited. The Commission also notes that when a lawyer terminates his or her relationship with a legal practice, both the firm and the lawyer continue to have obligations to individual clients which are more fully addressed in Rules 1.2, 1.4 and 1.16.

OPINION #219. FORMAL ETHICS OPINION REGARDING LATERAL TRANSFERS BY ATTORNEYS

The PEC was asked to address the various issues arising from attorneys moving from one firm to another, a common occurrence. In light of the potential for conflicts of interest and imputed disqualifications resulting therefrom, the hiring firm, the transferring attorney, and that attorney's former firm must give careful attention to the obligations imposed by the Maine Rules of Professional Conduct, the most commonly implicated of which are addressed in this Opinion.

The PEC specifically addressed nine issues:

Question 1.

When during the hiring process should the conflict identification take place and what are the obligations of the hiring firm and the potential new attorney? Can a hiring firm make a conditional offer, pending the outcome of conflict identification?

Question 2.

What level of involvement in a case will give rise to a conflict of interest? What if the attorney's prior work was limited to research and did not acquire any case strategy knowledge or confidential client information? Was performed when the attorney was a nonlawyer? Was performed when the attorney was a student attorney?

Question 3.

What if the potential new attorney worked as an attorney for the government?

Question 4.

If the imputed disqualification rule is implicated, what are the best practices for providing disclosure and seeking client consent by the hiring firm? By the attorney's former/current firm?

Question 5.

Under what circumstances can a firm use screening, such as that mentioned in M.R. Prof. Conduct 1.10(a)(2)(i), to manage a conflict caused by a transferring attorney, and what should such screening involve?

Question 6.

What may a firm say to the departing attorney's clients, and when may they say it? How are such clients identified? What may the departing attorney say and when can they say it?

Question 7.

When and how may a departing attorney gain custody of a client file? Of a closed file?

Question 8.

If a client has paid an advance or retainer, and there is an unearned portion remaining, under what circumstances may the client demand that the firm release it so that it can be paid to the departing attorney?

Question 9.

May a firm require attorneys to sign non-compete agreements?

Respectively, the PEC concluded:

- #1 As soon as negotiations are more than merely theoretical, a review of all cases in which the potential attorney is or has been involved should begin in order to identify conflicts of interest. A firm may make a conditional offer, pending the resolution of the conflicts review.
- #2 Where the transferring attorney actually represented a client who is truly adverse to the client of the hiring firm in the same matter or in a substantially related matter, or the transferring attorney has actual knowledge of protected information such that it will pose a risk of materially advancing the position of the hiring firm's client, then a conflict of interest exists and must be addressed when hiring that attorney.
- #3 The transferring attorney is disqualified from representing a client of the hiring firm in two circumstances: (1) against the government in a matter in which they participated personally and substantially, absent government consent, and (2) against a person about whom the transferring attorney has actual knowledge of confidential government information. However, the rest of the hiring firm is not disqualified if there is appropriate screening of the transferring attorney from the matter, they get no fee from it, and the involved governmental officer or agency consents.
- #4 Generally, a conflict of interest imported by the transferring lawyer will not be imputed to the new firm if the disclosure of information necessary to identify the conflict is appropriately limited, informed consent from the affected client is properly obtained, and the transferring, disqualified lawyer is sufficiently screened.
- #5 A transferring lawyer may be screened from participation in a matter in a variety of circumstances in order to manage a conflict of interest caused by that lawyer's change of firms. Maine has not articulated the precise elements necessary for an effective, comprehensive screen applicable to all circumstances, but M.R. Prof. Conduct 1.10 and other resources provide some valuable guidance for an effective screening process.
- #6 Attorneys have a duty to keep clients reasonably informed about the status of their matters, which includes timely notifying clients that the attorney is leaving a firm if they have had significant professional contact with the client.
- #7 Whether a matter is ongoing or closed and whether the departing attorney or the firm will continue to represent the client in the matter documented by the file, the attorney shall be provided with either the original file or a copy, depending on whom the client chooses to continue the representation.
- #8 If a client terminates the representation by a firm after the departure of a lawyer who represented the client, the firm must return any unearned portion of the paid advance or retainer.
- #9 A law firm may not require an attorney to sign a non-compete agreement whether that attorney is joining or leaving the firm.

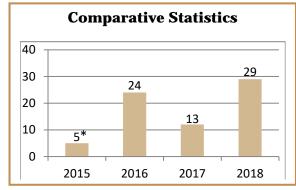
GUARDIAN AD LITEM REVIEW BOARD

The Guardian ad Litem Review Board (Review Board) is an independent unit within the Board of Overseers of the Bar that registers and regulates guardians ad litem as defined in the Maine Rules for Guardians ad Litem (Rules). The Review Board is comprised of eight members selected from the GAL Roster or from the Family Law Section of the Maine State Bar Association and four public members. The Governor, the President of the Senate, and the Speaker of the House each are invited to submit public member recommendations. The Judicial Branch selects at least three of the four public members from those recommendations.

The Review Board has the following powers and duties:

- To propose rules of procedure for the Guardian ad Litem Review Board Complaint System for promulgation by the Maine Supreme Judicial Court, and to comment on the enforceability of existing and proposed Maine Rules for Guardians ad Litem:
- To review periodically with the Maine Supreme Judicial Court the operation of the system;
- To enforce guardian ad litem compliance with the Rules and the procedures and regulations adopted thereunder;
- To delegate, in its discretion, to the Chair or Vice Chair the power to act for the Review Board on administrative and procedural matters;
- To prepare and file with the Board of Overseers each April a proposed Review Board budget for consideration by the Board of Overseers;
- To prepare, approve, and file an Annual Report with the Court;
- To establish financial policies and procedures, subject to the approval by the Board of Overseers, to effect its responsibilities under the Rules;
- To maintain the confidentiality of matters coming before the Review Board; and
- To carry out the other powers and duties assigned to the Review Board under the Rules.

In 2018, the GAL Review Board received and docketed twenty-nine complaints. Of those complaints, eighteen were dismissed due to lack of jurisdiction (either the complaint was filed by a non-party, the respondent was not a licensed Maine GAL, or the guardian ad litem had not been discharged from the appointment and the case was still pending), and eleven complaints were dismissed after investigation. Six complainants sought a public member review of Board Counsel's dismissals pursuant to Rule 9.



*The GAL Review Board was established on September 1, 2015. Consequently, 2015 data collection under the new rules encompasses a four-month period.

After review, the dismissals were approved by the public member reviewers. There were no disciplinary hearings conducted in 2018.

The Review Board maintains the Court's roster of attorneys and other professionals (LCSWs, LPCs, LCPCs, LMSWs, LMFTs, LPaCs, psychologists, and psychiatrists licensed in the State of Maine) appointed to represent the best interests of children in Title 18-A, 19-A, and Title 22 child protective proceedings. At year-end, there were 187 active rostered GALs. Of those, 179 (96%) were attorneys and eight (4%) were other professionals. In 2018, nine GALs were administratively suspended from the roster for failing to register and/or complete the annual registration requirements, twenty GALs resigned, and one GAL took a leave of absence.

The Review Board maintains a list of approved sponsors and professional education programs. Under Rule 10, guardians ad litem must participate in at least six credit hours of approved continuing professional education programs applicable to one or more of the issues identified as core training issues in Rule 2(b)(2)(B). At least one credit hour must be primarily concerned with ethics and professionalism education. Qualifying professionalism education topics include professional responsibility as a guardian ad litem; legal ethics related to guardian ad litem work; conflicts of interest; diversity awareness in the legal profession; confidentiality of guardian ad litem records in Title 18-A, Title 19-A, and/or Title 22 cases; communication with parents involved in Title 18-A, Title 19-A, and/or Title 22 cases and their children; and complaint avoidance topics such as file management and billing practices. In 2018, the CLE Committee of the GAL Review Board considered and approved seventeen professional education programs.

Board Counsel regularly presents at Continuing Legal Education seminars throughout the state to encourage and promote competent and ethical practice by Guardians ad Litem and family law practitioners. Board Counsel also helps educate Court Appointed Special Advocates (CASA) volunteers through speaking at trainings and development programs. Rostered GALs can also utilize the Board's Ethics Helpline regarding questions pertaining to the Maine Bar Rules, the Maine Rules of Professional Conduct, or the Maine Rules for Guardians ad Litem.

EDUCATION AND OUTREACH

MCLE Seminars Approved by the Board

In conjunction with its annual registration of attorneys, the Board also oversees attorney compliance with Maine Bar Rule 5 — Continuing Legal Education (CLE). Active attorneys are required to annually complete eleven hours of approved CLE programming. At least one credit hour in each calendar year must be primarily concerned with professionalism education. Qualifying professionalism education topics include professional responsibility, legal ethics, substance abuse and mental health issues, diversity awareness in the legal profession, and malpractice and bar complaint avoidance topics including law office and file management, client relations, and client trust account administration. In 2018, the Board approved 3,439 courses that were submitted by 517 providers, offering a wide variety of live and self-study options.

CLE Presentations

The Board is committed to educating lawyers about ethical considerations surrounding their law practice. In 2018, Bar Counsel presented at approximately 30 CLEs across the state, partnering with the MSBA, MTLA, MACDL, Maine Law School, the Administrative Office of the Courts, various county bars and other legal entities serving the Maine Bar. Seminar topics included professionalism, practice management, harassment/discrimination, ethics in consumer litigation, managing difficult clients and competence with technology.

Bar Counsel participates on various state and national committees associated with ethics, professionalism, and rules of court. Additionally, Deputy Bar Counsel serves as an adjunct faculty member teaching Professional Responsibility to students at the University of Maine of Law. The office of Bar Counsel welcomes the opportunity to present CLE programs on a range of topics—scheduling those events is just a phone call or click away!

Speaking Engagements

As part of its ongoing initiative to educate the bar, Bar Counsel presented at twenty-five continuing legal education seminars across the state, partnering with the Maine State Bar Association, county bars, and other legal entities to provide education on multiple ethics topics. Through those educational opportunities, Bar Counsel offered guidance on practice management, how to avoid ethical problems, updates on disciplinary decisions, and trends in the realm of ethics and professionalism. Bar

Counsel welcomes the opportunity to speak at CLE presentations for the benefit of Maine lawyers and members of their staff.

Ethics Helpline

Bar Counsel, through the Ethics Helpline, provides informal ethics and professional responsibility advice to Maine attorneys. Bar Counsel can only provide advice concerning the conduct of the inquiring attorney or another member of that attorney's law firm. Maine Bar Rule 2(c) prohibits Bar Counsel from advising an inquiring attorney about another attorney's actual or hypothetical

ETHICS HELPLINE NUMBER 207-623-1122

conduct. (*See also* Advisory Opinions #67 and #171). In 2018, Bar Counsel fielded 780 helpline calls. Comparatively, 815 calls were received in 2017. The top ten subjects of inquiry were:

- 1. Conflicts of Interest
- 2. Fee Concerns
- 3. Termination/Withdrawal from Representation
- 4. Client Confidentiality
- 5. Court/Candor Toward Tribunal

- 6. Client Communication Concerns
- 7. Practice Management Concerns
- 8. Reporting Professional Misconduct
- 9. Contact with Opposing Counsel
- 10. Grievance and Discipline Process

Publications

The Board publishes the *Handbook for a Receiver of the Law Practice of a Disabled or Missing or Deceased ("DMD")*Maine Attorney and a Practice Closing Guide. Both publications are available in PDF format on the Board's website.

RECEIVERSHIPS AND SUCCESSION PLANNING

In 2018, the Court appointed Receivers in nine matters to wind down the law practices of Maine attorneys who became disabled, missing, disqualified from practicing law, or deceased. Once appointed, these Receivers secured professional files, client property, and data; inventoried open and closed client files; notified clients of the law practice's Receivership and closure; prioritized open and time sensitive client matters; returned client files; managed and disbursed funds from operating and trust accounts; and performed the necessary functions to protect the clients' interests. The Board, through its Special Counsel, assisted these volunteer attorneys in the completion of their essential duties and the Board itself was appointed as Receiver or Co-Receiver to manage or wind down the law practices of six attorneys. In order to help protect the public, all Maine attorneys in private practice with an active law license must designate a proxy when completing the annual registration process.

The Board offers resources and advice to attorneys transitioning out of practice and Special Counsel regularly presents at Continuing Legal Education seminars throughout the state to educate attorneys about ethical mandates for file retention and storage and best practices for succession planning. This type of planning is an essential part of responsible law practice that protects clients and addresses the foreseeable needs of a practice suddenly left untended.

PROFESSIONAL UPDATE FOR MAINE LAWYERS AND JUDGES

As part of the Board's ongoing educational outreach to members of the bar, the Board publishes a bi-monthly digital newsletter entitled *Professional Update for Maine Lawyers and Judges*. In addition to administrative updates, statistical data, notice of rule amendments, and Court news, Bar Counsel publishes a regular *Bar Counsel Notes* feature that provides timely ethical guidance to members of the bar. Each newsletter also includes an "Enduring Ethics Opinion" feature

concerning earlier advisory opinions issued by the Professional Ethics Commission that remain relevant and in effect under the Maine Rules of Professional Conduct.

REGISTRATION

The Board maintains a roster of attorneys who are active members of the Maine bar, as well as records of inactive, resigned, suspended, and disbarred attorneys.

Demographics

- At year-end, the Maine bar had 5,406 active members, of which 3,995 (74%) were resident attorneys. The roster increased by sixteen attorneys over the prior year.
- Most resident attorneys—51%—practice or reside in Cumberland County. The next most populous counties are Kennebec (12%), Penobscot (8%), and York (8%).
- Approximately 47% of resident attorneys are over the age of sixty and 11% are under thirty-five. Forty-four percent of the resident attorneys under the age of thirty-five work or reside in Cumberland County.
- The average age of all active attorneys is fifty-three years old. The age span of active attorneys ranges from twenty-three to ninety-six years old.
- Male attorneys account for 63% of the Maine bar and female attorneys account for 37%.
- Approximately 64% of resident attorneys are private practitioners.

Administrative Suspensions

Maine Bar Rule 4 requires attorneys to annually renew their license with the Board. In 2018, seventy-three attorneys were administratively suspended for failing to complete the registration process. Comparatively, thirty-six attorneys were administratively suspended in calendar year 2017.

FUNDING

The Board is subject to the oversight of the Maine Supreme Judicial Court. The Board is self-funded from annual license fees charged to members of the bar. No public taxpayer monies are used to subsidize its operations. The Board operates on a fiscal year of July 1 through June 30. Its annual budget is reviewed and approved by the Court. The annual license fee charged to active attorneys is \$265, which is allocated as follows: \$225 to the Board, \$20 to the Lawyers' Fund for Client Protection, and \$20 to the Maine Assistance Program for Lawyers and Judges.

The FY-2018 audit was prepared by the accounting firm of Perry, Fitts, Boulette & Fitton. Revenue for FY-2018 year totaled \$1,337,099 and expenditures, including depreciation, totaled \$1,236,506. At year-end, the Board realized a surplus of \$100,593. As a result, at the start of FY-2019 the fund balance stood at \$837,076.

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Registration Statis	stics
Resident	
Active	3,845
Active Military	1
Emeritus	10
Federal Judiciary	12
Maine Judiciary (Active)	75
Maine Judiciary (Active Red	tired) 19
Law Clerk	33
Subtotal:	3,995
Non-Resident	
Active	1,394
Active Military	12
Law Clerk	5
Subtotal:	1,411
Total:	5,406

RECOGNIZING VOLUNTEERS

The Board fulfills its mission with the assistance of volunteer attorneys and members of the public. Collectively, they devote hundreds of hours each year to protect the public. The work of the Board could not be accomplished without the donation of their time, talent, and expertise.

BOARD OF OVERSEERS OF THE BAR

Cathy A. DeMerchant (Chair) — Augusta

Benjamin Rogoff Gideon, Esq. — Lewiston

Judson Esty-Kendall, Esq. (Vice Chair) — Bangor

Richard P. Dana, CPA — Cape Elizabeth

Mary A. Denison, Esq. — Winthrop

Barbara H. Furey, Esq. — Portland

Christopher L. Gaunce — Waterville

Benjamin Rogoff Gideon, Esq. — Lewiston

Margaret K. Minister, Esq. — Portland

Julia A. Sheridan, Esq. — Portland

Court Liaisons

The Honorable Joseph M. Jabar — Augusta

The Honorable Jeffrey L. Hjelm — Augusta

FEE ARBITRATION COMMISSION

Members of the Fee Arbitration Commission are appointed by the Board pursuant to Maine Bar Rule 7.

Michael R. Poulin, Esq. (Chair) — Lewiston Brett D. Baber, Esq. (Vice Chair) — Bangor Blair A. Jones, Esq. — Portland Mark W. Lawrence, Esq. — South Berwick David W. Bate, Esq. — Bangor Cheryl Brandt — Poland William P. Logan, Esq. — Augusta Michael J. Colleran, Esq. — Augusta F. Todd Lowell, Esq. — Bangor Judith M. Conley — Portland Nancy Macirowski, Esq. — Augusta Steven E. Cope, Esq. — Portland Peter T. Marchesi, Esq. — Waterville Mary A. Davis, Esq. — Portland Benjamin E. Murray — Winslow Richard C. Nale, Esq. — Waterville Kristina M. Donovan, Esq. — Auburn Thomas P. Elias, Esq. — York Michael R. Rifkin, MD — Auburn Kenda Scheele, Esq. — Old Town Philip D. Fearon — Saco Jeffrey William Fitch — Bangor Elizabeth J. Scheffee, Esq. — Saco Carrie Folsom, Esq. — Lewiston Timothy Smith, CPA — Ellsworth Zachary I. Greenfield, Esq. — Falmouth Julia A. Sheridan, Esq. — Portland Nancy Y. Harrison — Veazie Daniel J. Stevens, Esq. — Augusta Sandra Hodge — Brunswick Michael J. Welch, Esq. — Lewiston Neil D. Jamieson, Jr., Esq. — Saco Timothy C. Woodcock, Esq. — Bangor

PROFESSIONAL ETHICS COMMISSION

The Professional Ethics Commission is a body of eight members appointed by the Board pursuant to Maine Bar Rule 8.

David L. Herzer, Jr., Esq. (Chair) — Portland

James M. Bowie, Esq. — Portland

Mary K. Brennan, Esq. — York

John B. Cole, Esq. — Lewiston

Benjamin R. Gideon, Esq. — Lewiston

Daniel A. Pileggi, Esq. — Ellsworth

Deidre M. Smith, Esq. — Portland

Anne-Marie L. Storey, Esq. — Bangor

GRIEVANCE COMMISSION

Members of the Grievance Commission are appointed by the Board pursuant to Maine Bar Rule 9.

Robert S. Hark, Esq. (Chair) — Portland

Jane S.E. Clayton, Esq. (Vice Chair) — Bangor

David S. Abramson, Esq. — Portland

Stephanie P. Anderson, Esq. — Portland

John J. Aromando, Esq. — Portland

Michael W. Arthur, LCPC — Brunswick

Daniel P. Belyea — Bangor

Frank H. Bishop, Esq. — Scarborough

Celine M. Boyle, Esq. — Saco

M. Ray Bradford, Jr., Esq. — Bangor

L. Dennis Carrillo, Esq. — Augusta

Margaret T. Clancey — Orono

Teresa M. Cloutier, Esq. — Augusta

Sallie M. Crittendon — Harpswell

Richard P. Dana, C.P.A. — Cape Elizabeth

Steven E. Diaz, MD — Augusta

Malcolm T. Dow — Hollis Center

Eric G. Doyon — Saco

Emilie van Eeghen — Canaan

David J. Fletcher, Esq. — Calais

Robert S. Frank, Esq. — Portland

John P. Gause, Esq. — Bangor

Catherine L. Haynes, Esq. — Ellsworth

A.J. Hungerford, Esq. — Portland

Jonathan Huntington, Esq. — Wayne

Gretchen L. Jones, Esq. — Brunswick

Thomas H. Kelley, Esq. — Portland

Jud Knox — York

 $\label{eq:Justin D. LeBlanc, Esq.} \textbf{-Portland}$

 $Sophia\ Leotsakos\text{-}Wilson-Orono$

Tim I. Marks — Pittston

Margaret D. McGaughey, Esq. — Brunswick

James A. McKenna III, Esq. — Hallowell

Marjorie M. Medd — Norway

Cynthia M. Mehnert, Esq. — Bangor

Catherine C. Miller, Esq. — Portland

Christina M. Moylan, Esq. — Augusta

James Edward Nelson — Saco

Margaret J. Palmer, Ph.D. — New Gloucester

Lori M. Pelletier, LSW — Kennebunk

Leslie Karen Randolph-Anderson — Caribou

Megan A. Sanders, Esq. — Bangor

Carolyn A. Silsby, Esq. — Augusta

Diane A. Tennies Ph.D., LADC — Bangor

Vendean V. Vafiades, Esq. — Portland

Milton R. Wright — Readfield

GAL REVIEW BOARD

The Guardian ad Litem Review Board is an independent unit within the Board of Overseers to administer the regulation of guardians ad litem as defined in Maine Rules for Guardians Ad Litem. Comprised of twelve members, eight rostered guardians and four public members, the Review Board members are appointed by the Maine Supreme Judicial Court. The Guardian ad Litem Review Board is charged with enforcing guardian ad litem compliance with these Rules and the procedures and regulations adopted thereunder.

Dana E. Prescott LMSW, Esq. (Chair) — Portland

Diane A. Tennies PhD., LADC (Vice Chair) — Portland

Kenneth P. Altshuler, Esq. — Portland

Karen E. Boston, Esq. — Augusta

Christopher P. Leddy, Esq. — Portland

Catherine C. Miller, Esq. - Portland

Lisa A. Bryant, RN — Falmouth

Armanda B. Day, Esq. — Bangor

Malcolm T. Dow. — Hollis Center

Brenda M. Harvey, MSEd — Gardiner

Mary Zmigrodski, Esq. — South China

SPECIAL RECOGNITION — BAR COUNSEL J. SCOTT DAVIS



J. Scott Davis retired from the Board of Overseers of the Bar on November 19, 2018. Scott served as Bar Counsel for the Board for thirty-five years. In that role, he furthered the Court and the Board's mission to encourage and promote competent and ethical practice by members of the Maine bar.

A highly respected staff member, Scott was driven to do his absolute best as evidenced by his long work hours. With his thorough knowledge and understanding of the Maine Rules of Professional Conduct and the Maine Bar Rules, he fielded thousands of "Ethics Helpline" telephone calls where he guided and assisted attorneys with their ethical dilemmas and counseled them to avoid misconduct violations or complaints. During his tenure, Scott assisted the Professional Ethics Commission with the review,

editing, and issuance of formal advisory opinions.

Scott worked tirelessly and diligently in all aspects of his job, including analyzing and processing thousands of written grievance complaints. He successfully litigated hundreds of contested disciplinary proceedings before both the Grievance Commission and the Court, and appellate matters before the Maine Supreme Judicial Court.

Over the course of his career, Scott was an active member of the National Organization of Bar Counsel serving a term as the organization's President and liaison to the ABA Standing Committee on Professional Discipline. A frequent speaker, Scott has participated as an ethics expert at hundreds of local and national continuing legal conferences over the years.

Scott has left an indelible mark on the local, state, and national legal community. The Board and its staff wish Scott well as he starts a new chapter in life. He will be greatly missed.

SPECIAL RECOGNITION

The Board conveys its appreciation to the following attorneys who were specially appointed by the Court to serve as Receivers pursuant to Maine Bar Rule 32. Charged with winding down or managing the practices of attorneys that are determined to be disabled, missing, deceased, or disqualified from practice due to discipline, these Receivers have donated countless hours to ensure that clients and the public are protected.

Jeremy Pratt, Esq. — Pratt & Simmons P.A., Camden

John A. Churchill, Esq. — Calais

Thomas F. Smith, Esq. — Harrison

Gregory P. Dorr, Esq. — Farrell, Rosenblatt &

Russell, Bangor

John J. Sanford, Esq. & Elizabeth D. Noble, Esq. —

Harmon, Jones & Sanford LLP, Camden

The Board coveys its appreciation to the followings Grievance Commission members whose service ended in 2018:

Frank H. Bishop, Esq. — Scarborough

M. Ray Bradford, Jr., Esq. — Bangor

Richard P. Dana, CPA — Cape Elizabeth

David J. Fletcher, Esq. — Scarborough

James A. McKenna III, Esq. — Hallowell

Catherine C. Miller, Esq. — Portland

James E. Nelson — Saco

Vendean V. Vafiades, Esq. — Portland

The Board coveys its appreciation to the followings Professional Ethics Commission members whose service ended in 2018:

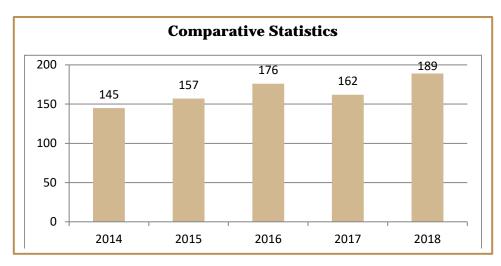
James M. Bowie, Esq. — Portland

Anne-Marie L. Storey, Esq. — Bangor

GRIEVANCE STATISTICS

Grievance Complaints

		•
Year	Total	Variance
2014	145	19.83%
2015	157	8.28%
2016	176	12.10%
2017	162	-7.95%
2018	189	16.67%



Distinct Respondents

2014	2015	2016	2017	2018
125	137	156	147	169

Grievance Complaints by Respondent County

	20	014	2015		2	2016		2017		2018	
Androscoggin	13	8.97%	13	8.28%	16	9.09%	10	6.17%	19	10.05%	
Aroostook	5	3.45%	4	2.55%	7	3.98%	8	4.94%	4	2.12%	
Cumberland	33	22.76%	54	34.39%	57	32.39%	49	30.25%	61	32.28%	
Franklin	2	1.38%	0	0%	2	1.14%	0	0%	4	2.12%	
Hancock	8	5.52%	7	4.46%	3	1.70%	7	4.32%	12	6.35%	
Kennebec	19	13.10%	16	10.19%	17	9.66%	15	9.26%	21	11.11%	
Knox	5	3.45%	10	6.37%	5	2.84%	6	3.70%	1	0.53%	
Lincoln	2	1.38%	0	0%	4	2.27%	8	4.94%	5	2.65%	
Oxford	3	2.07%	5	3.18%	9	5.11%	8	4.94%	2	1.06%	
Penobscot	18	12.41%	9	5.73%	14	7.95%	9	5.56%	13	6.88%	
Piscataquis	0	0%	0	0%	0	0%	1	0.62%	1	0.53%	
Sagadahoc	5	3.45%	1	0.64%	5	2.84%	5	3.09%	2	1.06%	
Somerset	0	0%	3	1.91%	2	1.14%	3	1.85%	1	0.53%	
Waldo	1	0.69%	10	6.37%	2	1.14%	1	0.62%	1	0.53%	
Washington	1	0.69%	0	0%	2	1.14%	1	0.62%	2	1.06%	
York	17	11.72%	11	7.01%	16	9.09%	23	14.20%	20	10.58%	
Out-of-State	13	8.97%	14	8.92%	15	8.52%	8	4.94%	20	10.58%	
Total:	145		157		176		162		189		

GRIEVANCE COMPLAINTS

Grievance Complaints by Area of Law

Grievance Compiants i	•	a oi Law 014		015	20	016	2	017	20	018
Admin. Suspension	0	0%	18	1.4%	0	0%	0	0%	33	17.46%
Administrative/Municipal	2	1.38%	2	1.27%	1	0.57%	1	0.62%	2	1.06%
Appellate	0	0%	0	0%	1	0.57%	0	0%	0	0%
Bankruptcy Law	3	2.07%	5	3.18%	8	4.57%	0	0%	5	2.65%
Child Protection Law	2	1.38%	0	0%	2	1.14%	0	0%	0	0%
Civil	0	0%	0	0%	0	0%	1	0.62%	2	1.06%
Collections Law	0	0%	2	1.27%	1	0.57%	2	1.24%	1	0.53%
Commercial/Business	2	1.38%	3	1.91%	2	1.14%	5	3.11%	0	0%
Contracts/Consumers	2	1.38%	4	2.55%	2	1.14%	3	1.86%	0	0%
Corporate Law	0	0%	0	0%	0	0%	1	0.62%	0	0%
Criminal Conviction	1	0.69%	0	0%	0	0%	0	0%	0	0%
Criminal Law	24	16.55%	23	14.65%	30	17.14%	30	18.63%	17	8.99%
Education Law	0	0%	0	0%	0	0%	0	0%	0	0%
Elder Law	0	0%	1	0.64%	1	0.57%	1	0.62%	0	0%
Employment Law	1	0.69%	3	1.91%	1	0.57%	7	4.35%	0	0%
Family Law	34	23.45%	25	15.92%	34	19.43%	32	19.88%	44	23.28%
Foreclosure Law	1	0.69%	5	3.18%	2	1.14%	0	0%	1	0.53%
General Practice	21	14.48%	3	1.91%	8	4.57%	16	9.94%	7	3.70%
Health Law	0	0%	0	0%	2	1.14%	3	1.86%	2	1.06%
Immigration Law	4	2.76%	1	0.64%	0	0%	0	0%	1	0.53%
Indian Law	0	0%	0	0%	0	0%	0	0%	1	0.53%
Insurance Law	0	0%	1	0.64%	0	0%	1	0.62%	1	0.53%
Intellectual Property Law	0	0%	0	0%	0	0%	0	0%	1	0.53%
Labor Law	0	0%	0	0%	0	0%	0	0%	0	0%
Landlord/Tenant Law	1	0.69%	2	1.27%	4	2.29%	3	1.86%	7	3.70%
Law Practice	2	1.38%	1	0.64%	1	0.57%	0	0%	2	1.06%
Law Related Services	0	0%	0	0%	0	0%	0	0%	0	0%
Litigation/Civil Practice	0	0%	2	1.27%	1	0.57%	3	1.86%	17	8.99%
Municipal	2	1.38%	1	0.64%	1	0.57%	4	2.48%	0	0%
None	0	0%	1	0.64%	1	0.57%	1	0.62%	0	0%
Other	0	0%	4	2.55%	12	6.86%	2	1.24%	6	3.17%
PFA/Harassment	2	1.38%	2	1.27%	8	4.57%	1	0.62%	5	2.65%
Real Estate Law	15	10.34%	14	8.92%	23	13.14%	22	13.66%	15	7.94%
Reinstatement	0	0%	1	0.64%	0	0%	0	0%	0	0%
Social Security Law	2	1.38%	2	1.27%	2	1.14%	0	0%	2	1.06%
Taxation Law	1	0.69%	0	0%	0	0%	0	0%	0	0%
Torts	7	4.83%	10	6.37%	10	5.71%	3	1.86%	5	2.65%
Unknown	0	0%	1	0.64%	0	0%	0	0%	1	0.53%
Wills/Estates/Probate	14	9.66%	16	10.19%	17	9.71%	18	11.18%	9	4.76%
Workers Compensation	2	1.38%	4	2.55%	0	0%	1	0.62%	2	1.06%
Total:	145		157		175		161		189	

GRIEVANCE COMPLAINTS

Grievance Complaints by Respondent Firm Size

	20	2014		2015		2016		2017		2018	
1	65	44.83%	62	39.49%	72	41.14%	43	26.71%	82	43.39%	
2 - 5	52	35.86%	48	30.57%	67	38.29%	73	45.34%	54	28.57%	
6 - 9	7	4.83%	15	9.55%	13	7.43%	12	7.45%	18	9.52%	
10 - 19	7	4.83%	15	9.55%	11	6.29%	11	6.83%	10	5.29%	
20 - 49	5	3.45%	8	5.10%	5	2.86%	13	8.07%	8	4.23%	
50 - 99	2	1.38%	0	0%	0	0%	1	0.62%	4	2.12%	
<u>≥</u> 100	0	0%	4	2.55%	5	2.86%	6	3.73%	0	0%	
Unknown	7	4.83%	5	3.18%	2	1.14%	2	1.24%	13	6.88%	
Total:	145		157		175		161		189		

Grievance Complaints by Respondent Age

	20)14	2015		20	2016		2016		2018	
<u>< 29</u>	0	0%	4	2.55%	2	1.14%	2	1.24%	3	1.59%	
30 - 34	7	4.83%	11	7.01%	10	5.71%	17	10.56%	9	4.76%	
35 - 39	11	7.59%	14	8.92%	11	6.29%	13	8.07%	10	5.29%	
40 - 44	19	13.10%	16	10.19%	23	13.14%	14	8.70%	15	7.94%	
45 - 49	28	19.31%	14	8.92%	21	12.00%	24	14.91%	25	13.23%	
50 - 54	21	14.48%	15	9.55%	25	14.29%	15	9.32%	27	14.29%	
55 - 59	16	11.03%	18	11.46%	19	10.86%	23	14.29%	27	14.29%	
60 - 64	23	15.86%	36	22.93%	35	20%	18	11.18%	32	16.93%	
65-69	13	8.97%	21	13.38%	18	10.29%	22	13.66%	22	11.64%	
<u>≥</u> 70	7	4.83%	8	5.10%	11	6.29%	13	8.07%	19	10.05%	
Total:	145		157		175		161		189		

Grievance Complaints by Complaint Source

	20 20	014	20	015	20	016	20	017	20)18
Attorney	29	20%	10	6.37%	10	5.71%	4	2.48%	12	6.35%
Beneficiary	0	0%	7	4.46%	8	4.57%	7	4.35%	5	2.65%
Client	74	51.03%	54	34.39%	62	35.43%	71	44.10%	68	35.98%
Court Order	1	0.69%	1	0.64%	0	0%	0	0%	1	0.53%
Judge	0	0%	2	1.27%	1	0.57%	0	0%	0	0%
Law Enforcement	0	0%	0	0%	0	0%	0	0%	0	0%
Opposing Counsel	5	3.45%	4	2.55%	2	1.14%	4	2.48%	0	0%
Opposing Party	9	6.21%	34	21.66%	64	36.57%	39	24.22%	40	21.16%
Party	0	0%	2	1.27%	2	1.14%	2	1.24%	0	0%
Self-Report	0	0%	2	1.27%	1	0.57%	1	0.62%	1	0.53%
Sua Sponte	11	7.59%	22	14.01%	6	3.43%	11	6.83%	40	21.16%
Vendor	0	0%	1	0.64%	5	2.86%	1	0.62%	0	0%
Other	16	11.03%	18	11.46%	14	8.00%	21	13.04%	22	11.64%
Total:	145		157		175		161		189	

GRIEVANCE COMPLAINTS AND COURT MATTERS

Sanction Cases by Area of Law*

Surretion cuses by the or	2014		2015		2	016	2	2017		2018	
Administrative Suspension	0	0%	9	24.32%	0	0%	0	0%	0	0%	
Administrative Law	3	4.23%	0	0%	0	0%	0	0%	0	0%	
Appellate Law	0	0%	0	0%	1	3.70%	0	0%	0	0%	
Bankruptcy Law	1	1.41%	2	5.41%	1	3.70%	0	0%	1	4.35%	
Child Protection Law	1	1.41%	0	0%	2	7.41%	0	0%	0	0%	
Collections	0	0%	0	0%	0	0%	1	3.57%	0	0%	
Commercial/Business Law	2	2.82%	0	0%	0	0%	1	3.57%	0	0%	
Contracts/Consumer	1	1.41%	0	0%	1	3.70%	1	3.57%	0	0%	
Criminal Conviction	0	0%	0	0%	0	0%	0	0%	1	4.35%	
Criminal Law	9	12.68%	1	2.70%	5	18.52%	1	3.57%	2	8.70%	
Employment Law	0	0%	2	5.41%	0	0%	2	7.14%	0	0%	
Family Law	17	23.94%	4	10.81%	3	11.11%	7	25.00%	2	8.70%	
General Practice	14	19.72%	6	16.22%	3	11.11%	5	17.86%	5	21.74%	
Health Law	0	0%	0	0%	1	3.70%	0	0%	0	0%	
Immigration	2	2.82%	0	0%	0	0%	0	0%	0	0%	
Insurance Law	0	0%	1	2.70%	0	0%	1	3.57%	0	0%	
Intellectual Property Law	0	0%	0	0%	0	0%	0	0%	1	4.35%	
Landlord/Tenant Law	2	2.82%	2	5.41%	0	0%	0	0%	1	4.35%	
Law Practice Management	1	1.41%	1	2.70%	1	3.70%	0	0%	2	8.70%	
Litigation/Civil Practice	0	0%	0	0%	0	0%	2	7.14%	2	8.70%	
PFA/Harassment	0	0%	0	0%	0	0%	0	0%	1	4.35%	
Real Estate Law	7	9.86%	4	10.81%	2	7.41%	3	10.71%	3	13.04%	
Social Security Law	1	1.41%	0	0%	0	0%	0	0%	0	0%	
Taxation Law	1	1.41%	0	0%	0	0%	0	0%	0	0%	
Torts	2	2.82%	2	5.41%	2	7.41%	0	0%	1	4.35%	
Wills/Estates/Probate	6	8.45%	2	5.41%	5	18.52%	4	14.29%	1	4.35%	
Workers' Comp.	1	1.41%	1	2.70%	0	0%	0	0%	0	0%	
Total:	71		37		27		28		23		

 $[*]Sanction\ Types:\ Dismissal\ with\ Warning,\ Admonition,\ Reprimand,\ Suspension,\ and\ Disbarment$

GRIEVANCE COMPLAINTS AND COURT MATTERS

Discipline Cases by Area of Law**

		2014	:	2015		2016		2017	2	2018
Administrative Suspension	0	0%	5	26.32%	0	0%	0	0%	0	0%
Admin./Municipal	3	6.52%	0	0%	0	0%	0	0%	0	0%
Appellate	0	0%	0	0%	1	7.14%	0	0%	0	0%
Bankruptcy Law	0	0%	2	10.53%	1	7.14%	0	0%	0	0%
Child Protection Law	0	0%	0	0%	0	0%	0	0%	0	0%
Commercial/Business Law	0	0%	0	0%	0	0%	1	8.33%	0	0%
Contracts/Consumer	1	2.17%	0	0%	1	7.14%	0	0%	0	0%
Criminal Conviction	1	2.17%	0	0%	1	7.14%	1	8.33%	1	6.67%
Criminal Law	6	13.04%	1	5.26%	2	14.29%	0	0%	0	0%
Family Law	14	30.43%	1	5.26%	1	7.14%	2	16.67%	1	6.67%
General Practice	9	19.57%	6	31.58%	1	7.14%	5	41.67%	3	20%
Immigration	1	2.17%	0	0%	0	0%	0	0%	0	0%
Landlord/Tenant Law	1	2.17%	0	0%	0	0%	0	0%	1	6.67%
Law Practice Management	1	2.17%	1	5.26%	1	7.14%	0	0%	2	13.33%
Litigation/Civil Practice	0	0%	0	0%	0	0%	1	8.33%	1	6.67%
PFA/Harassment	0	0%	0	0%	0	0%	0	0%	1	6.67%
Real Estate Law	3	6.52%	1	5.26%	1	7.14%	0	0%	3	20%
Taxation Law	1	2.17%	0	0%	0	0%	0	0%	0	0%
Torts	2	4.35%	0	0%	0	0%	0	0%	1	6.67%
Wills/Estates/Probate	3	6.52%	1	5.26%	4	28.57%	2	16.67%	1	6.67%
Workers' Comp.	0	0%	1	5.26%	0	0%	0	0%	0	0%
Other/Unknown	0	0%	0	0%	0	0%	0	0%	0	0%
Total:	46		19		14		12		15	

 $^{{\}bf **Discipline\ Types:\ Reprimand, Suspension, and\ Disbarment}$

GRIEVANCE COMPLAINTS AND COURT MATTERS

FINAL DISPOSITION

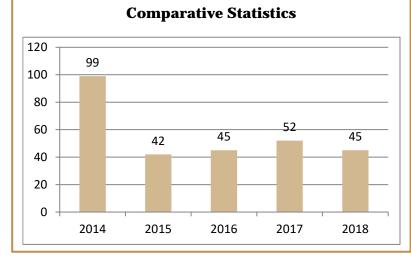
Bar Counsel	2014	2015	2016	2017	2018
BCF: Dismissal (Investigation)	106	33	0	0	0
BCF: Dismissal (No Investigation)	46	20	0	0	0
GCF: Dismissal (Investigation)	0	78	111	93	64
GCF: Dismissal (No Investigation)	0	17	36	38	17
Grievance Commission					
Case Review Dismissal	90	19	2	6	1
Case Review Dismissal with Warning	21	13	12	11	6
Hearing Dismissal	1	0	0	0	0
Hearing Dismissal with Warning	3	0	0	0	0
Hearing Admonition	2	5	2	6	2
Hearing Reprimand	16	9	7	6	2
Hearing Reprimand/Probation	3	2	0	0	0
Single Justice					
Disability Suspension	16	0	0	0	1
Disbarment	0	0	0	0	5
Dismissal	1	0	0	0	0
Reciprocal Disbarment	0	1	0	1	0
Reciprocal Reprimand	0	1	0	2	0
Reciprocal Suspension	1	3	2	0	1
Reinstatement Approved					
Administrative	2	2	8	4	3
Discipline	2	1	0	0	0
Reinstatement Denied					
Administrative	0	0	0	0	0
Discipline	2	1	0	0	0
Reprimand	0	1	1	0	0
Surrender	0	2	2	0	2
Suspension	15	1	3	2	4
Suspended Suspension	1	1	0	0	0
Suspended Suspension/Monitor	0	0	1	1	0
Suspended Suspension/Probation	0	2	0	0	0
Suspended Suspension/Probation	1	1	2	0	0
with Monitoring					
Immediate Interim Suspension	1	1	1	0	8
Law Court					
Affirms Decision	0	0	1	0	0
Remands Decision	0	0	0	1	0
Board Dismissal	0	1	0	0	0

FEE ARBITRATION STATISTICS

Fee Arbitration Petitions

Year	Total	Variance
2014	99	65.00%
2015	42	-57.58%
2016	45	-7.14%
2017	52	15.56%
2018	45	-13.46

Distinct Respondents



2015	2016	2017	2018
37	41	49	36

Petitions by Respondent County

2014

62

J	2014		a	2015		016	2017		2018	
	۵	014	2	013	۵	016	٤	U17	4	018
Androscoggin	23	23.23%	9	21.43%	6	13.33%	5	9.62%	9	20%
Aroostook	2	2.02%	0	0%	1	2.22%	3	5.77%	1	2.22%
Cumberland	13	13.13%	17	40.48%	17	37.78%	14	26.92%	12	26.67%
Franklin	2	2.02%	0	0%	0	0%	0	0%	0	0%
Hancock	1	1.01%	0	0%	1	2.22%	4	7.69%	0	0%
Kennebec	13	13.13%	2	4.76%	3	6.67%	6	11.54%	3	6.67%
Knox	0	0%	2	4.76%	2	4.44%	1	1.92%	3	6.67%
Lincoln	1	1.01%	0	0%	2	4.44%	1	1.92%	4	8.89%
Oxford	2	2.02%	2	4.76%	3	6.67%	1	1.92%	2	4.44%
Penobscot	18	18.18%	4	9.52%	4	8.89%	5	9.62%	4	8.89%
Piscataquis	0	0%	0	0%	0	0%	0	0%	1	2.22%
Sagadahoc	1	1.01%	0	0%	0	0%	1	1.92%	0	0%
Somerset	1	1.01%	0	0%	0	0%	0	0%	3	6.67%
Waldo	1	1.01%	0	0%	0	0%	1	1.92%	0	0%
Washington	0	0%	0	0%	1	2.22%	0	0%	0	0%
York	13	13.13%	6	14.29%	4	8.89%	9	17.31%	3	6.67%
Out-of-State	8	8.08%	0	0%	1	2.22%	1	1.92%	0	0%
Total:	99		42		45		52		45	

Note: Above statistics are generated from distinct fee arbitration petitions and the corresponding docketing year.

FEE ARBITRATION PETITIONS

	2	014	2	015	2	016	2	017	2018	
1	63	63.64%	20	47.62%	16	35.56%	17	32.69%	25	55.56%
2 - 5	30	30.30%	16	38.10%	18	40%	23	44.23%	10	22.22%
6 - 9	5	5.05%	2	4.76%	3	6.67%	3	5.77%	3	6.67%
10 - 19	0	0%	2	4.76%	3	6.67%	5	9.62%	4	8.89%
20 - 49	0	0%	1	2.38%	4	8.89%	3	5.77%	1	2.22%
50 - 99	0	0%	0	0%	0	0%	0	0%	0	0%
<u>≥</u> 100	0	0%	0	0%	1	2.22%	1	1.92%	0	0%
Unknown	1	1.01%	1	2.38%	0	0%	0	0%	2	4.44%
Total:	99		42		45		52		45	

Petitions by Respondent Age

<i>J</i> 1	0									
	20	14	20	015	20	016	2	017	2	018
<u>≤</u> 29	0	0%	0	0%	0	0%	1	1.92%	2	4.44%
30 - 34	4	4.04%	1	2.38%	0	0%	1	1.92%	0	0%
35 - 39	9	9.09%	1	2.38%	4	8.89%	4	7.69%	3	6.67%
40 - 44	7	7.07%	2	4.76%	2	4.44%	4	7.69%	5	11.11%
45 - 49	13	13.13%	3	7.14%	0	0%	5	9.62%	3	6.67%
50 - 54	6	6.06%	3	7.14%	7	15.56%	7	13.46%	5	11.11%
55 - 59	21	21.21%	10	23.81%	7	15.56%	9	17.31%	6	13.33%
60 - 64	12	12.12%	10	23.81%	4	8.89%	5	9.62%	2	4.44%
65-69	20	20.20%	7	16.67%	6	13.33%	7	13.46%	10	22.22%
<u>≥</u> 70	7	7.07%	5	11.90%	9	20%	9	17.31%	4	8.89%
Unknown	0	0%	0	0%	6	13.33%	0	0%	5	11.11%
Total:	99		42		45		52		45	

Petitions by Complaint Source

	2	2014 2015		2	2016		017	2	2018	
Attorney	3	3.03%	0	0%	0	0%	1	1.92%	1	2.22%
Beneficiary	1	1.01%	0	0%	1	2.22%	0	0%	1	2.22%
Client	90	90.91%	39	92.86%	38	84.44%	47	90.38%	41	91.11%
Opposing Party	0	0%	0	0%	1	2.22%	0	0%	1	2.22%
Vendor	0	0%	0	0%	0	0%	1	1.92%	0	0%
Other	5	5.05%	3	7.14%	5	11.11%	3	5.77%	1	2.22%
Total:	99		42		45		52		45	

Note: Above statistics are generated from distinct fee arbitration petitions and the corresponding docketing year.

FEE ARBITRATION PETITIONS

Petitions by Area of Law

	2	014	2015		2	2016		2017		2018	
Admin./Municipal	2	2.02%	2	4.76%	0	0%	0	0%	0	0%	
Banking	0	0%	1	2.38%	0	0%	0	0%	0	0%	
Bankruptcy	3	3.03%	3	7.14%	2	4.44%	1	1.92%	2	4.44%	
Collections	0	0%	0	0%	1	2.22%	0	0%	1	2.22%	
Commercial/Business	1	1.01%	1	2.38%	3	6.67%	3	5.77%	0	0%	
Contracts/Consumer	1	1.01%	0	0%	0	0%	1	1.92%	0	0%	
Criminal	17	17.17%	4	9.52%	8	17.78%	9	17.31%	8	17.78%	
Employment	1	1.01%	0	0%	3	6.67%	1	1.92%	0	0%	
Family	38	38.38%	13	30.95%	10	22.22%	18	34.62%	12	26.67%	
Foreclosure	0	0%	1	2.38%	0	0%	0	0%	0	0%	
General Practice	0	0%	0	0%	1	2.22%	0	0%	0	0%	
Health Law	0	0%	1	2.38%	0	0%	0	0%	0	0%	
Immigration	0	0%	1	2.38%	0	0%	0	0%	0	0%	
Insurance	0	0%	0	0%	1	2.22%	1	1.92%	0	0%	
Landlord/Tenant	2	2.02%	3	7.14%	0	0%	0	0%	0	0%	
Litigation/Civil Practice	0	0%	0	0%	1	2.22%	1	1.92%	2	4.44%	
Municipal	0	0%	0	0%	0	0%	1	1.92%	1	2.22%	
PFA/Harassment	2	2.02%	0	0%	1	2.22%	1	1.92%	1	2.22%	
Real Estate	8	8.08%	6	14.29%	6	13.33%	9	17.31%	6	13.33%	
Social Security	3	3.03%	0	0%	1	2.22%	0	0%	1	2.22%	
Torts	4	4.04%	2	4.76%	2	4.44%	0	0%	3	6.67%	
Wills/Estates/Probate	12	12.12%	2	4.76%	3	6.67%	5	9.62%	8	17.78%	
Workers' Comp.	1	1.01%	0	0%	1	2.22%	0	0%	0	0%	
Other/Unknown	4	4.04%	2	4.76%	1	2.22%	1	1.92%	0	0%	
Total:	99		42		45		52		45		

Petitions by Final Disposition

3	1				
	2014	2015	2016	2017	2018
Award to Petitioner	27 27.27%	11 26.19%	6 13.33%	4 10%	6 16.22%
Award to Respondent	22 22.22%	11 26.19%	18 40%	13 32.50%	18 48.65%
Administrative	0 0%	5 11.90%	19 42.22%	21 52.50%	12 32.43%
Hearing Dismissal	50 50.51%	14 33.33%	2 4.44%	1 2.50%	1 2.70%
Court Dismissal	0 0%	1 2.38%	0 0%	1 2.50%	0 0%
Total:	99	42	45	40	37

Note: Above statistics are generated from distinct fee arbitration petitions and the corresponding docketing year.

GUARDIAN AD LITEM REVIEW BOARD

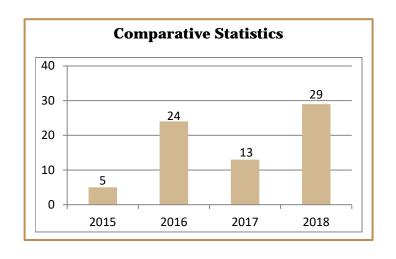
Annual Complaint Statistics

Year	Total	Variance
2015	5	N/A
2016	24	380%
2017	13	-45.8%
2018	29	123.1%

Annual Roster Statistics

Licensed Professionals 8 4.3% Attorneys 179 95.7%

Total: 187



GAL R	Coster		Licensed Profession	onals	Attorneys	5
Androscoggin	16	8.6%	Androscoggin	0	Androscoggin	16
Aroostook	5	2.7%	Aroostook	0	Aroostook	5
Cumberland	61	32.6%	Cumberland	2	Cumberland	59
Franklin	2	1.1%	Franklin	0	Franklin	2
Hancock	6	3.2%	Hancock	0	Hancock	6
Kennebec	16	8.6%	Kennebec	2	Kennebec	14
Knox	8	4.3%	Knox	0	Knox	8
Lincoln	5	2.7%	Lincoln	0	Lincoln	5
Oxford	1	0.5%	Oxford	0	Oxford	1
Penobscot	18	9.6%	Penobscot	2	Penobscot	16
Piscataquis	3	1.6%	Piscataquis	0	Piscataquis	3
Sagadahoc	6	3.2%	Sagadahoc	0	Sagadahoc	6
Somerset	5	2.7%	Somerset	0	Somerset	5
Waldo	3	1.6%	Waldo	0	Waldo	3
Washington	5	2.7%	Washington	0	Washington	5
York	27	14.4%	York	2	York	25
Total:	187			8		179

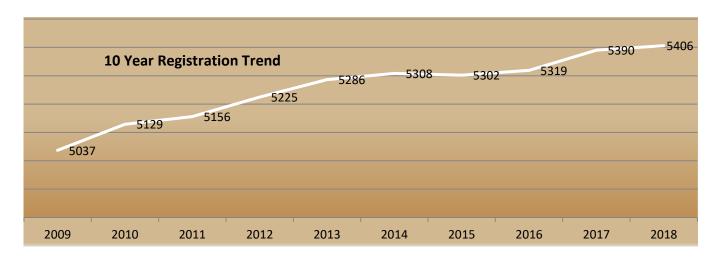
GUARDIAN AD LITEM REVIEW BOARD CASE DISPOSITION

	Appt. Type	Open Date	Closed Date	Days Open	Disposition	Public Member Review	Court Location	Respondent County
1	Title 19-A	1/2/2018	2/27/2018	87	Dismissal	No	Lewiston	Androscoggin
2	Title 19-A	1/5/2018	4/2/2018	87	Dismissal	No	Augusta	Kennebec
3	Title 19-A	1/31/2018	5/4/2018	93	Dismissal	Yes	Portland	Cumberland
4	Title 22	2/1/2018	2/6/2018	5	Dismissal*	No	N/A	N/A
5	Title 22	2/5/2018	2/6/2018	1	Dismissal*	No	N/A	N/A
6	Title 19-A	2/14/2018	4/6/2018	51	Dismissal	Yes	Farmington	Franklin
7	Title 19-A	2/26/2018	2/26/2018	0	Dismissal*	No	N/A	N/A
8	Title 22	3/22/2018	7/30/2018	130	Dismissal	Yes	Portland	Cumberland
9	Title 19-A	4/9/2018	4/10/2018	1	Dismissal*	No	South Paris	Oxford
10	Title 19-A	5/10/2018	7/11/2018	62	Dismissal	Yes	Portland	Cumberland
11	Title 18-A	5/17/2018	5/24/2018	7	Dismissal*	No	Alfred	York
12	Title 19-A	5/30/2018	6/7/2018	8	Dismissal**	No	Lewiston	Androscoggin
13	Title 19-A	6/5/2018	6/8/2017	3	Dismissal**	No	Augusta	Kennebec
14	Title 19-A	6/26/2018	6/26/2018	0	Dismissal**	No	Lewiston	Androscoggin
15	Title 19-A	6/29/2018	7/2/2018	3	Dismissal*	No	N/A	N/A
16	Title 18-A	7/2/2018	9/5/2018	65	Dismissal	No	Alfred	York
17	Title 19-A	7/5/2018	9/6/2018	85	Dismissal	Yes	Biddeford	York
18	Title 19-A	7/16/2018	8/13/2018	28	Dismissal*	Yes	N/A	N/A
19	Title 19-A	8/6/2018	8/10/2018	35	Dismissal	No	Portland	Cumberland
20	Title 22	8/8/2018	9/18/2018	41	Dismissal**	No	Portland	Cumberland
21	Title 19-A	8/9/2018	11/19/2018	102	Dismissal	No	Machias	Washington
22	Title 19-A	8/20/2018	9/7/2018	18	Dismissal**	No	Portland	Cumberland
23	Title 19-A	8/30/2018	1/14/2019	137	Dismissal	No	Houlton	Aroostook
24	Title 19-A	9/26/2018	9/27/2018	1	Dismissal*	No	N/A	N/A
25	Title 19-A	9/28/2018	12/27/2018	90	Dismissal*	No	Wiscasset	Lincoln
26	Unknown	10/2/2018	10/10/2018	8	Dismissal*	No	N/A	N/A
27	Title 19-A	10/4/2018	10/9/2018	5	Dismissal*	No	N/A	N/A
28	Title 19-A	11/13/2018	11/27/2018	14	Dismissal*	No	N/A	N/A
29	Title 19-A	12/20/2018	12/21/2018	1	Dismissal*	No	N/A	N/A

 $[*]No\ jurisdiction.$

^{**}Guardian had not been discharged from appointment and case still pending.

	20	14	20	15	20	18	20	17	20	18
Resident Attorne	ys									
Active	3828	72.12%	3813	71.92%	3818	71.78%	3844	71.32%	3845	71.12%
Active Military	0	0%	0	0%	0	0%	4	0.07%	1	0.02%
Emeritus	5	0.09%	5	0.09%	5	0.09%	8	0.15%	10	0.18%
Federal Judiciary	12	0.23%	12	0.23%	12	0.23%	12	0.22%	12	0.22%
Maine Judiciary	69	1.30%	67	1.26%	74	1.39%	74	1.37%	75	1.39%
(Active) Maine Judiciary (Active Retired)	0	0%	0	0%	0	0%	20	0.37%	19	0.35%
Law Clerk	30	0.57%	28	0.53%	30	0.56%	31	0.58%	33	0.61%
Subtotal:	3944	74.30%	3925	74.03%	3939	74.06%	3993	74.08%	3995	73.90%
Non-Resident Att	orneys									
Active	1361	25.64%	1375	25.93%	1377	25.89%	1378	25.57%	1394	25.79%
Active Military	0	0%	0	0%	0	0%	12	0.22%	12	0.22%
Law Clerk	3	0.06%	2	0.04%	3	0.06%	7	0.13%	5	0.09%
Subtotal:	1364	25.70%	1377	25.97%	1380	25.94%	1397	25.92%	1411	26.10%
Total	5308		5302		5319		5390		5406	
Prior Year Variance	22	0.42%	-6	-0.11%	17	0.32%	71	1.33%	16	0.30%



	Years	s Admitt	ed to Practice		
5 Years or Less	795	14.71%	36 to 40 Years	396	7.33%
6 to 10 Years	709	13.12%	41 to 45 Years	340	6.29%
11 to 15 Years	614	11.36%	46 to 50 Years	188	3.48%
16 to 20 Years	544	10.06%	51 to 55 Years	51	0.94%
21 to 25 Years	561	10.38%	56 to 60 Years	22	0.41%
26 to 30 Years	573	10.60%	61 to 65 Years	5	0.09%
31 to 35 Years	604	11.17%	66 to 70 Years	4	0.07%

County Demographics

J	2014		2015		2016		2017		2018	
Androscoggin	208	3.92%	209	3.94%	205	3.84%	209	3.88%	215	3.98%
Aroostook	72	1.36%	75	1.41%	75	1.41%	76	1.41%	72	1.33%
Cumberland	1976	37.23%	1987	37.48%	2008	37.88%	2066	38.33%	2063	38.16%
Franklin	31	0.58%	27	0.51%	28	0.53%	25	0.46%	25	0.46%
Hancock	106	2.00%	104	1.96%	104	1.96%	106	1.97%	103	1.91%
Kennebec	499	9.40%	487	9.19%	468	8.87%	479	8.89%	481	8.90%
Knox	105	1.98%	106	2.00%	103	1.94%	101	1.87%	107	1.98%
Lincoln	73	1.38%	74	1.40%	72	1.35%	71	1.32%	69	1.28%
Oxford	43	0.81%	42	0.79%	45	0.83%	45	0.83%	46	0.85%
Penobscot	347	6.54%	348	6.56%	342	6.39%	334	6.20%	329	6.09%
Piscataquis	10	0.19%	8	0.15%	8	0.15%	8	0.15%	7	0.13%
Sagadahoc	85	1.60%	87	1.64%	87	1.62%	85	1.58%	91	1.68%
Somerset	38	0.72%	36	0.68%	35	0.66%	35	0.65%	35	0.65%
Waldo	39	0.73%	37	0.70%	41	0.73%	39	0.72%	42	0.78%
Washington	35	0.66%	35	0.66%	33	0.64%	31	0.58%	30	0.55%
York	310	5.84%	303	5.71%	315	5.90%	322	5.97%	315	5.83%
Out-of-State	1331	25.08%	1337	25.22%	1350	25.31%	1358	25.19%	1376	25.45%
Total:	5308		5302		5319		5390		5406	

County Demographics – Variance Over Prior Year

-	2014		20	15	20	16	20)17	20	18
Androscoggin	208	0%	209	0.48%	205	-1.91%	209	1.95%	215	2.87%
Aroostook	72	-2.70%	75	4.17%	75	0%	76	1.33%	72	-5.26%
Cumberland	1976	1.44%	1987	0.56%	2008	1.06%	2066	2.89%	2063	-0.15%
Franklin	31	-6.06%	27	12.90%	28	3.70%	25	-10.71%	25	0%
Hancock	106	-2.75%	104	-1.89%	104	0%	106	1.92%	103	-2.83%
Kennebec	499	2.04%	487	-2.40%	468	-3.90%	479	2.35%	481	0.42%
Knox	105	-1.87%	106	0.95%	103	-2.83%	101	-1.94%	107	5.94%
Lincoln	73	-2.67%	74	1.37%	72	-2.70%	71	-1.39%	69	-2.82%
Oxford	43	4.88%	42	-2.33%	45	7.14%	45	0%	46	2.22%
Penobscot	347	0.87%	348	0.29%	342	-1.72%	334	-2.34%	329	-1.50%
Piscataquis	10	11.11%	8	-20%	8	0%	8	0%	7	-12.50%
Sagadahoc	85	-4.49%	87	2.35%	87	0%	85	-2.30%	91	7.06%
Somerset	38	-7.32%	36	-5.26%	35	-2.78%	35	0%	35	0%
Waldo	39	2.63%	37	-5.13%	41	10.81%	39	-4.88%	42	7.69%
Washington	35	2.94%	35	0%	33	-5.71%	31	-6.06%	30	-3.23%
York	310	1.31%	303	-2.26%	315	3.96%	322	2.22%	315	-2.17%
Out-of-State	1331	-0.75%	1337	0.45%	1350	0.97%	1358	0.59%	1376	1.33%
Total:	5308		5302		5319		5390		5406	

-		\sim	
HI	rm	51	7.e

	2014		20	2015		2016		2017		18
Solo	1466	27.62%	1468	27.69%	1440	27.07%	1429	26.51%	1411	26.10%
2 - 5	1262	23.78%	1207	22.76%	1170	22.00%	1171	21.73%	1158	21.42%
6 - 9	425	8.01%	467	8.81%	482	9.06%	471	8.74%	479	8.86%
10 - 19	468	8.82%	481	9.07%	479	9.01%	497	9.22%	491	9.08%
20 - 49	400	7.54%	402	7.58%	394	7.41%	392	7.27%	410	7.58%
50 - 99	201	3.79%	211	3.98%	240	4.51%	259	4.81%	282	5.22%
<u>≥</u> 100	531	10%	526	9.92%	531	9.98%	528	9.80%	543	10.04%
N/A	555	10.46%	540	10.18%	583	10.96%	643	11.93%	632	11.69%
Total:	5308		5302		5319		5390		5406	

Practice Type

	20	14	20	15	20	16	20	17	20	18
Government	569	10.72%	576	10.86%	576	10.83%	568	10.54%	556	10.28%
In-House	347	6.54%	374	7.05%	386	7.26%	407	7.55%	420	7.77%
Judiciary	92	1.73%	91	1.72%	96	1.80%	115	2.13%	114	2.11%
Law Clerk	28	0.53%	31	0.58%	32	0.60%	35	0.65%	38	0.70%
Law School	31	0.58%	30	0.57%	28	0.53%	28	0.52%	26	0.48%
Legal Service	102	1.92%	113	2.13%	114	2.14%	126	2.34%	130	2.40%
Military	9	0.17%	6	0.11%	7	0.13%	20	0.37%	17	0.31%
Private Practice	3531	66.52%	3470	65.45%	3436	64.60%	3398	63.04%	3418	63.23%
Retired	88	1.66%	98	1.85%	118	2.22%	137	2.54%	144	2.66%
Other	511	9.63%	513	9.68%	526	9.89%	556	10.32%	543	10.04%
Total:	5308		5302		5319		5390		5406	

Age Demographics

	20	14	20	15	20	16	20	17	20	18
<u><</u> 29	207	3.90%	193	3.64%	177	3.33%	169	3.95%	175	3.24%
30 - 34	470	8.85%	444	8.37%	450	8.46%	440	8.42%	411	7.60%
35 - 39	469	8.84%	496	9.35%	533	10.02%	557	8.76%	573	10.60%
40 - 44	497	9.36%	471	8.88%	472	8.87%	482	10.10%	510	9.43%
45 - 49	576	10.85%	586	11.05%	572	10.75%	575	11.05%	557	10.30%
50 - 54	672	12.66%	644	12.15%	596	11.21%	577	13.51%	587	10.86%
55 - 59	792	14.92%	772	14.56%	766	14.40%	752	14.93%	698	12.91%
60 - 64	716	13.49%	749	14.13%	738	13.87%	731	13.43%	729	13.49%
65-69	561	10.57%	566	10.68%	573	10.77%	580	10.08%	585	10.82%
<u>≥</u> 70	348	6.56%	381	7.19%	442	8.31%	527	5.77%	581	10.75%
Total:	5308		5302		5319		5390		5406	

AGE & GENDER DEMOGRAPHICS

	20	14	20	15	20	16	20	17	20	18
Female										
<u>≤</u> 29	115	6.13%	100	1.89%	91	4.74%	91	4.60%	101	5.05%
30 - 34	241	12.85%	222	4.19%	219	11.41%	220	11.13%	200	10%
35 - 39	233	12.43%	251	4.73%	276	14.38%	278	14.06%	276	13.79%
40 - 44	199	10.61%	203	3.83%	207	10.79%	226	11.43%	251	12.54%
45 - 49	214	11.41%	218	4.11%	214	11.15%	214	10.82%	212	10.59%
50 - 54	257	13.71%	243	4.58%	227	11.83%	226	11.43%	226	11.29%
55 - 59	280	14.93%	282	5.32%	282	14.70%	272	13.76%	255	12.74%
60 - 64	195	10.40%	214	4.04%	229	11.93%	250	12.65%	255	12.74%
65 - 69	111	5.92%	117	2.21%	124	6.46%	133	6.73%	139	6.95%
<u>≥</u> 70	30	1.60%	36	0.68%	50	2.61%	67	3.39%	86	4.30%
Subtotal:	1875		1886		1919		1977		2001	
Male										
<u><</u> 29	92	2.68%	93	1.75%	81	2.38%	78	2.29%	74	2.17%
30 - 34	229	6.67%	222	4.19%	228	6.71%	220	6.45%	211	6.20%
35 - 39	236	6.87%	245	4.62%	255	7.50%	279	8.17%	297	8.72%
40 - 44	298	8.68%	268	5.05%	261	7.68%	256	7.50%	259	7.61%
45 - 49	362	10.54%	368	6.94%	365	10.74%	361	10.58%	345	10.13%
50 - 54	415	12.09%	401	7.56%	365	10.74%	351	10.28%	361	10.60%
55 - 59	512	14.91%	490	9.24%	487	14.32%	480	14.06%	443	13.01%
60 - 64	521	15.18%	535	10.09%	508	14.94%	481	14.09%	474	13.92%
65 - 69	450	13.11%	449	8.47%	453	13.32%	447	13.10%	446	13.10%
<u>≥</u> 70	318	9.26%	345	6.51%	397	11.68%	460	13.48%	495	14.54%
Subtotal:	3433		3416		3400		3413		3405	
Total:	5308		5302		5319		5390		5406	

COUNTY AGE DEMOGRAPHICS

	<u><</u> 29	30-34	35-39	40-44	45-49	50-54	55-59	60-64	65-69	<u>≥</u> 70	TOTAL
Androscoggin	9	17	32	19	27	17	14	27	24	29	215
Aroostook	2	2	2	10	5	8	7	8	10	18	72
Cumberland	72	187	227	228	203	209	238	259	227	213	2063
Franklin	2	0	1	2	5	0	3	2	4	6	25
Hancock	0	6	10	7	6	12	12	18	11	21	103
Kennebec	17	39	36	33	40	49	67	83	65	52	481
Knox	3	8	10	12	12	9	8	12	15	18	107
Lincoln	0	2	7	4	5	9	6	9	11	16	69
Oxford	2	1	6	2	5	2	9	5	5	9	46
Penobscot	16	22	29	23	31	37	59	43	39	30	329
Piscataquis	0	0	1	0	2	1	0	0	1	2	7
Sagadahoc	2	7	9	5	13	13	11	13	5	13	91
Somerset	1	4	3	0	3	2	5	7	5	5	35
Waldo	0	2	10	3	0	5	5	6	6	5	42
Washington	1	2	3	1	6	1	1	2	5	8	30
York	14	21	28	22	24	25	47	46	48	40	315
Out-of-State	34	91	159	139	169	188	207	189	104	96	1376
Total:	175	411	573	510	556	587	699	729	585	581	5406

COUNTY DEMOGRAPHICS BY PRACTICE TYPE

		Androscoggin	Aroostook	Frankun Cumberland	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Government	16	9	131	2	5	183	8	5	5	33	0	11	3	1	4	21	119	556
In-House	6	0	178	0	3	23	2	1	1	18	0	7	0	1	1	14	165	420
Judiciary	4	6	40	0	1	16	2	3	1	12	2	2	1	3	4	10	7	114
Law Clerk	1	0	19	0	0	2	1	0	1	8	0	0	1	1	0	0	4	38
Law School	0	0	11	0	0	0	0	0	0	0	0	0	0	0	0	0	15	26
Legal Service	5	2	40	0	2	26	1	1	0	13	0	2	1	4	2	5	26	130
Military	0	0	1	0	0	1	0	0	0	0	0	0	0	1	0	0	14	17
Private Practice	159	51	1356	18	73	186	74	49	33	206	4	56	26	27	18	228	854	3418
Retired	6	1	58	1	9	13	5	3	3	6	1	2	0	0	0	13	23	144
Other	18	3	229	4	10	31	14	7	2	33	0	11	3	4	1	24	149	543
	215	72	2063	25	103	481	107	69	46	329	7	91	35	42	30	315	1376	5406

REGISTRATION STATISTICS

COUNTY AGE DEMOGRAPHICS BY PRACTICE TYPE

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total	
Age: <u><</u> 29																			
Government	2	0	4	0	0	4	0	0	0	1	0	1	0	0	0	2	0	14	
In-House	0	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	4	7	
Law Clerk	0	0	9	0	0	1	1	0	1	2	0	0	1	0	0	0	4	19	
Legal Service	0	0	3	0	0	1	0	0	0	2	0	0	0	0	1	2	2	11	
Military	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	1	2	
Private Practice	5	2	45	2	0	8	2	0	1	7	0	1	0	0	0	7	16	96	
Other	2		8		0	2	0	0	0	4	0	0	0	0	0	3	7	26	
Subtotal:	9	2	72	2	0	17	3	0	2	16	0	2	1	0	1	14	34	175	

COUNTY AGE DEMOGRAPHICS BY PRACTICE TYPE

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Age: 30 - 34																		
Government	2	0	15	0	1	19	2	1	0	2	0	3	0	0	2	3	15	65
In-House	0	0	12	0	1	0	0	0	0	3	0	0	0	1	0	1	4	22
Law Clerk	0	0	4	0	0	1	0	0	0	2	0	0	0	0	0	0	0	7
Law School	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Legal Service	1	0	7	0	0	3	0	0	0	2	0	0	0	0	0	1	4	18
Military	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	3
Private Practice	12	2	126	0	4	11	5	0	1	10	0	3	2	1	0	13	52	242
Other	2	0	22	0	0	5	1	1	0	3	0	1	2	0	0	3	13	53
Subtotal:	17	2	187	0	6	39	8	2	1	22	0	7	4	2	2	21	91	411
4 . 07 .00																		
Age: 35 - 39		1	99	0	0	10	1	1	1	_	0	1	1	0	1	2	18	68
Government In-House	3	1 0	23 21		0		1	1	1	5	0	1	1	0	1		23	
	2			0	0	2	1	0		4		2			0	5	23 0	60
Judiciary Law Clerk	0	0	1	0	0	0	1		0	0	0	0	0	0	0	0		2
	0	0	2	0	0	0	0	0	0	0	0	0	0	1	0	0	0	3
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	1	3
Legal Service	2	0	9	0	1	3	0	0	0	1	0	2	0	1	0	0	5	24
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1	2
Private Practice Retired	23	1	139	0	8	16	7	6	4	17	1	3	2	6	2	17	86	338
Other	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	1	1
Subtotal:	2 32	0	30 227	1	1	5 36	0 10	0 7	1 6	2 29	0	1 9	0	1 10	0	4 28	24 159	72 573
Subtotai:	32	۷	221	1	10	30	10	,	O	29	1	9	3	10	3	20	139	373
Age: 40 - 44																		
Government	1	2	15	0	0	12	3	0	0	6	0	0	0	0	0	1	13	53
In-House	0	0	31	0	0	4	1	0	0	1	0	0	0	0	1	1	22	61
Judiciary	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Law Clerk	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	3
Legal Service	1	0	5	0	0	4	0	0	0	2	0	0	0	0	0	1	3	16
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Private Practice	16	8	143	2	6	12	4	3	2	13	0	5	0	2	0	19	78	313
Other	1	0	30	0	1	1	4	1	0	1	0	0	0	1	0	0	20	60
Subtotal:	19	10	228	2	7	33	12	4	2	23	0	5	0	3	1	22	139	510

COUNTY AGE DEMOGRAPHICS BY PRACTICE TYPE

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Age: 45 - 49																		
Government	2	2	13	0	1	16	2	0	0	5	0	1	0	0	0	2	17	61
In-House	1	0	29	0	1	3	0	0	0	1	0	1	0	0	0	1	17	54
Judiciary	1	0	4	0	0	1	0	0	0	1	0	1	0	0	1	0	0	9
Law Clerk	0	0	2	0	0	0	0	0	0	2	0	0	0	0	0	0	0	4
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2	4
Legal Service	0	0	6	0	0	4	0	0	0	2	0	0	0	0	0	0	0	12
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	3
Private Practice	22	3	121	3	3	13	9	5	4	14	2	8	2	0	4	18	113	344
Retired	0	0	2	0	0	0	0	0	0	1	0	0	0	0	0	0	0	3
Other	1	0	25	2	1	3	1	0	1	5	0	2	1	0	1	3	17	63
Subtotal:	27	5	204	5	6	40	12	5	5	31	2	13	3	0	6	24	169	557
A 50 . 54																		
Age: 50 - 54	0	0	15	0	1	07	0	0	0	4	0	0	1	0	0	0	10	00
Government In-House	0	0	15 26	0	1	27	0	0	0	4	0	2 2	1	0	0	3 2	16 45	69 81
Judiciary	2	0	20 1	0	0	1 2	0	0	0	3 1	0	0	0	0	0	0	45	5
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Legal Service	1	2	3	0	0	3	0	1	0	0	0	0	0	0	1	0	3	14
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	6
Private Practice	10	4	128	0	10	15	8	6	2	20	1	7	1	4	0	19	101	336
Retired	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
Other	4	2	33	0	1	1	1	1	0	9	0	2	0	1	0	1	17	73
Subtotal:	17	8	209	0	12	49	9	9	2	37	1	13	2	5	1	25	188	587
Age: 55 - 59																		
Government	1	1	18	2	0	42	0	1	2	6	0	1	1	1	0	4	16	96
In-House	0	0	26	0	0	5	0	0	0	3	0	1	0	0	0	2	27	64
Judiciary	0	2	3	0	0	2	0	0	0	5	0	0	1	1	0	4	4	22
Law Clerk	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	2
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	6	8
Legal Service	0	0	1	0	0	2	1	0	0	0	0	0	0	0	0	1	3	8
Private Practice	9	4	162	1	11	11	3	4	7	41	0	8	3	3	1	30	135	433
Retired	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2
Other	2	0	25	0	1	5	4	1	0	3	0	1	0	0	0	6	15	63
Subtotal:	14	7	237	3	12	67	8	6	9	59	0	11	5	5	1	47	207	698

COUNTY AGE DEMOGRAPHICS BY PRACTICE TYPE

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Age: 60 - 64																		
Government	2	2	18	0	1	27	0	1	0	2	0	1	0	0	0	2	16	72
In-House	0	0	16	0	0	5	0	0	1	2	0	1	0	0	0	1	14	40
Judiciary	1	1	7	0	1	5	1	0	1	3	0	1	0	1		2	1	25
Law Clerk	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	3
Legal Service	0	0	3	0	1	1	0	0	0	0	0	0	1	0	0	0	2	8
Private Practice	24	5	187	2	10	40	9	6	3	32	0	6	6	4	. 2	35	137	508
Retired	0	0	6	0	1	3	0	0	0	0	0	0	0	0	0	4	2	16
Other	0	0	21	0	4	2	2	2	0	3	0	4	0	1		2	15	56
Subtotal:	27	8	259	2	18	83	12	9	5	43	0	13	7	6	5 2	46	189	729
Age: 65 - 69																		
Government	1	1	10	0	1	19	0	0	1	2	0	0	0	0		2	5	42
In-House	1	0	11	0	1	3	0	1	0	1	0	0	0	0		0	8	26
Judiciary	0	1	9	0	0	1	0	2	0	1	1	0	0	1	. 1	3	0	20
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0		0	1	3
Legal Service	0	0	0	0	0	4	0	0	0	3	0	0	0	1		0	3	11
Private Practice	3	0	12	0	1	3	4	1	0	1	0	1	0	0		4	3	33
Retired	19	8	159	3	8	31	11	7	4	30	0	4	5	4	. 4	37	72	406
Other	0	0	24	1	0	4	0	0	0	1	0	0	0	0	0	2	12	44
Subtotal:	24	10	227	4	11	65	15	11	5	39	1	5	5	6	5	48	104	585
Age: <u>></u> 70																		
Government	2	0	0	0	0	7	0	1	1	0	0	1	0	0	1	0	3	16
In-House	0	0	3	0	0	0	0	0	0	0	0	0	0	0	0	1	1	5
Judiciary	2	2	14	0	0	5	0	0	0	1	1	0	0	0	2	1	2	30
Legal Service	0	0	3	0	0	1	0	0	0	1	0	0	0	2	0	0	1	8
Private Practice	19	14	146	5	13	29	16	12	5	22	0	11	5	3	5	33	64	402
Retired	2	1	36	1	7	7	1	2	3	4	1	1	0	0	0	5	16	87
Other	4	1	11	0	1	3	1	1	0	2	0	0	0	0	0	0	9	33
Subtotal:	29	18	213	6	21	52	18	16	9	30	2	13	5	5	8	40	96	581
Total:	215	72	2063	25	103	481	107	69	46	329	7	91	35	42	30	315	1376	5406

COUNTY AGE DEMOGRAPHICS BY PRACTICE TYPE

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Age: 60 - 64																		
Government	2	2	18	0	1	27	0	1	0	2	0	1	0	0	0	2	16	72
In-House	0	0	16	0	0	5	0	0	1	2	0	1	0	0	0	1	14	40
Judiciary	1	1	7	0	1	5	1	0	1	3	0	1	0	1		2	1	25
Law Clerk	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	3
Legal Service	0	0	3	0	1	1	0	0	0	0	0	0	1	0	0	0	2	8
Private Practice	24	5	187	2	10	40	9	6	3	32	0	6	6	4	. 2	35	137	508
Retired	0	0	6	0	1	3	0	0	0	0	0	0	0	0	0	4	2	16
Other	0	0	21	0	4	2	2	2	0	3	0	4	0	1		2	15	56
Subtotal:	27	8	259	2	18	83	12	9	5	43	0	13	7	6	5 2	46	189	729
Age: 65 - 69																		
Government	1	1	10	0	1	19	0	0	1	2	0	0	0	0		2	5	42
In-House	1	0	11	0	1	3	0	1	0	1	0	0	0	0		0	8	26
Judiciary	0	1	9	0	0	1	0	2	0	1	1	0	0	1	. 1	3	0	20
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0		0	1	3
Legal Service	0	0	0	0	0	4	0	0	0	3	0	0	0	1		0	3	11
Private Practice	3	0	12	0	1	3	4	1	0	1	0	1	0	0		4	3	33
Retired	19	8	159	3	8	31	11	7	4	30	0	4	5	4	. 4	37	72	406
Other	0	0	24	1	0	4	0	0	0	1	0	0	0	0	0	2	12	44
Subtotal:	24	10	227	4	11	65	15	11	5	39	1	5	5	6	5	48	104	585
Age: <u>></u> 70																		
Government	2	0	0	0	0	7	0	1	1	0	0	1	0	0	1	0	3	16
In-House	0	0	3	0	0	0	0	0	0	0	0	0	0	0	0	1	1	5
Judiciary	2	2	14	0	0	5	0	0	0	1	1	0	0	0	2	1	2	30
Legal Service	0	0	3	0	0	1	0	0	0	1	0	0	0	2	0	0	1	8
Private Practice	19	14	146	5	13	29	16	12	5	22	0	11	5	3	5	33	64	402
Retired	2	1	36	1	7	7	1	2	3	4	1	1	0	0	0	5	16	87
Other	4	1	11	0	1	3	1	1	0	2	0	0	0	0	0	0	9	33
Subtotal:	29	18	213	6	21	52	18	16	9	30	2	13	5	5	8	40	96	581
Total:	215	72	2063	25	103	481	107	69	46	329	7	91	35	42	30	315	1376	5406

