

MAINE STATE LEGISLATURE

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Command Research.

Ethnicity was not a major variable, nor was
religion:

SIGNAL CALL WHEN BUSY

	RC	BAPT	OTH PROT	JEW	OTHER
Yes	64.4	50.0	63.0	100.0	58.9
No	33.9	50.0	33.9	0.0	37.8
Don't Know	1.7	0.0	3.2	0.0	3.3

SIGNAL CALL WHEN BUSY

	BRIT	FR	IR	GERM	OTHER
Yes	63.9	65.3	59.7	63.2	56.7
No	35.6	33.7	37.5	36.8	36.7
Don't Know	0.5	1.0	2.8	0.0	6.7

Command Research.

Support for the service was also across the board with regard to sex, occupation and union membership:

SIGNAL CALL WHEN BUSY

	MALE	F HOME	F OUT
Yes	63.3	59.3	63.3
No	35.6	35.3	36.1
Don't Know	1.1	5.4	0.6

SIGNAL CALL WHEN BUSY

	SELF	FAM MEMB	NO
Yes	61.7	58.5	62.8
No	38.3	40.0	34.3
Don't Know	0.0	1.5	2.9

Command Research.

Push Button Dialing

Push button dialing was a service desired by 61.1% of the respondents:

PUSH BUTTON DIALING

Yes	61.0
No	38.2
Don't Know	0.8

Across the state there was widespread support running from 52.1% in Androscoggin/Oxford to 69.6% in Kennebec:

PUSH BUTTON DIALING

	AND OXF	ARO	CUM	KEN	HAN WASH	FR SO PI	WA KN LI SA	YORK	PEN
Yes	52.1	60.0	60.4	69.6	65.8	56.8	54.9	63.8	68.9
No	47.9	37.1	39.6	28.3	34.2	43.2	43.1	36.2	29.5
Don't Know	0.0	2.9	0.0	2.2	0.0	0.0	2.0	0.0	1.6

Command Research.

Interestingly enough, both very conservative and very liberal voters disapprove the service whereas moderates of all persuasion approved it by a wide margin:

PUSH BUTTON DIALING

	VERY CON	SOME CON	MOD	SOME LIB	VERY LIB	DON'T KNOW
Yes	47.6	64.7	64.4	63.6	23.1	27.3
No	52.4	34.6	34.5	35.2	76.9	72.7
Don't Know	0.0	0.7	1.0	1.1	0.0	0.0

PUSH BUTTON DIALING

	MOST REP	REP/ DEM	IND	DEM/ REP	MOST DEM
Yes	57.6	74.3	55.5	60.7	61.4
No	41.4	25.7	44.5	36.9	37.8
Don't Know	1.0	0.0	0.0	2.4	0.8

Command Research.

Only voters over 65 did not favor the service with 76.6% of those 18-25 favoring it, followed by 68.6% of those 36-45.

PUSH BUTTON DIALING

	18- 25	26- 35	36- 45	46- 55	56- 65	OVER 65
Yes	76.6	60.6	68.6	63.3	58.7	34.8
No	21.9	38.7	30.5	36.7	39.7	65.2
Don't Know	1.6	0.6	1.0	0.0	1.6	0.0

Income:

PUSH BUTTON DIALING

	TO 7000	TO 13000	TO 20000	TO 30000	TO 50000	OVER 50000
Yes	46.9	60.2	61.9	66.2	73.2	52.9
No	53.1	39.8	36.7	33.8	25.0	41.2
Don't Know	0.0	0.0	1.4	0.0	1.8	5.9

Command Research.

Education:

PUSH BUTTON DIALING

	HIGH	HIGH	COLL	COLL	GRAD SCH
Yes	41.7	63.5	64.4	63.6	57.9
No	58.3	35.0	35.6	35.4	42.1
Don't Know	0.0	1.5	0.0	1.0	0.0

There was little variation by national origin or religion:

PUSH BUTTON DIALING

	RC	BAPT	OTH PROT	JEW	OTHER
Yes	63.8	60.9	59.8	100.0	56.2
No	35.1	39.1	39.2	0.0	43.8
Don't Know	1.1	0.0	1.1	0.0	0.0

PUSH BUTTON DIALING

	BRIT	FR	IR	GERM	OTHER
Yes	58.8	69.4	66.7	84.2	50.4
No	40.2	29.6	33.3	15.8	48.7
Don't Know	1.0	1.0	0.0	0.0	0.8

Command Research.

Union members and males were most supportive of the service. Non-union households and females who work outside the home were less so.

Union:

PUSH BUTTON DIALING

	SELF	FAM MEMB	NO
Yes	70.0	69.2	58.1
No	30.0	29.2	41.1
Don't Know	0.0	1.5	0.8

Sex:

PUSH BUTTON DIALING

	MALE	F HOME	F OUT
Yes	63.8	61.4	57.6
No	36.2	37.3	41.1
Don't Know	0.0	1.2	1.3

Command Research.

Call Routing

Call routing is favored by 52.8% of the respondents:

CALL ROUTING

Yes	52.8
No	45.8
Don't Know	1.4

There is considerable variation by region, although not by party or ideology:

Region:

CALL ROUTING

	AND OXF	ARO	CUM	KEN	HAN WASH	FR SO PI	WA KN LI SA	YORK	PEN
Yes	50.7	65.7	47.9	47.8	63.2	50.0	60.0	50.0	51.6
No	47.9	34.3	50.0	52.2	34.2	50.0	36.0	48.3	48.4
Don't Know	1.4	0.0	2.1	0.0	2.6	0.0	4.0	1.7	0.0

Command Research.

Party:

CALL ROUTING

	MOST REP	REP/ DEM	IND	DEM/ REP	MOST DEM
Yes	51.5	55.7	52.1	54.8	50.4
No	47.5	41.4	46.2	45.2	48.0
Don't Know	1.0	2.9	1.7	0.0	1.6

Ideology:

CALL ROUTING

	VERY CON	SOME CON	MOD	SOME LIB	VERY LIB	DON'T KNOW
Yes	59.5	51.0	52.6	58.0	53.8	18.2
No	40.5	48.4	44.3	42.0	46.2	81.8
Don't Know	0.0	0.7	3.1	0.0	0.0	0.0

Command Research.

The service is most favored by people from 46-55 and least by those 26-35:

CALL ROUTING

	18- 25	26- 35	36- 45	46- 55	56- 65	OVER 65
Yes	56.3	51.3	53.8	67.3	52.4	40.9
No	43.8	48.7	43.3	32.7	42.9	57.6
Don't Know	0.0	0.0	2.9	0.0	4.8	1.5

The service was least favored by those with less than a high school diploma and most by those with college experience:

CALL ROUTING

	< HIGH	HIGH	< COLL	COLL	GRAD SCH
Yes	47.9	51.5	54.2	54.5	56.4
No	50.0	45.9	45.8	45.5	41.0
Don't Know	2.1	2.6	0.0	0.0	2.6

Command Research.

Support was relatively flat by religion and ethnicity:

Religion:

CALL ROUTING

	RC	BAPT	OTH PROT	JEW	OTHER
Yes	54.9	45.7	55.6	100.0	44.4
No	44.5	52.2	41.8	0.0	55.6
Don't Know	0.6	2.2	2.6	0.0	0.0

Ethnicity:

CALL ROUTING

	BRIT	FR	IR	GERM	OTHER
Yes	52.6	55.1	56.3	42.1	50.8
No	46.4	43.9	42.3	57.9	46.7
Don't Know	1.0	1.0	1.4	0.0	2.5

Command Research.

Income:

CALL ROUTING

	TO 7000	TO 13000	TO 20000	TO 30000	TO 50000	OVER 50000
Yes	43.8	59.0	44.6	53.4	69.6	76.5
No	53.1	39.8	54.7	44.4	30.4	23.5
Don't Know	3.1	1.2	0.7	2.3	0.0	0.0

There was little variation by sex or union households although women who work outside the home preferred the service by 12% more than did those who work inside the home:

Union:

CALL ROUTING

	SELF	FAM MEMB	NO
Yes	56.7	50.8	52.5
No	40.0	49.2	46.1
Don't Know	3.3	0.0	1.3

Command Research.

Sex:

CALL ROUTING

	MALE	F HOME	F OUT
Yes	53.4	46.7	58.2
No	44.9	50.9	41.8
Don't Know	1.7	2.4	0.0

Home Security Check

Although on this survey we did not filter out those who do not own their own homes, we suggest that we do so on future surveys because many respondents volunteered that "I don't own my own house" or "I'm just renting".

HOME SECURITY CHECK

Yes	52.6
No	46.0
Don't Know	1.4

Command Research.

There was considerable variation by county:

HOME SECURITY CHECK

	AND OXF	ARO	CUM	KEN	HAN WASH	FR SO PI	WA KN LI SA	YORK	PEN
Yes	39.7	54.3	60.4	52.2	47.4	37.2	60.8	60.3	54.8
No	60.3	45.7	38.5	47.8	52.6	51.2	37.3	39.7	45.2
Don't Know	0.0	0.0	1.0	0.0	0.0	11.6	2.0	0.0	0.0

The service was most strongly endorsed by moderate Democrats and least by Independents:

HOME SECURITY CHECK

	MOST REP	REP/ DEM	IND	DEM/ REP	MOST DEM
Yes	48.5	51.4	46.2	60.2	56.3
No	50.5	45.7	52.1	38.6	43.0
Don't Know	1.0	2.9	1.7	1.2	0.8

Command Research.

Liberals favored the service more than conservatives:

HOME SECURITY CHECK

	VERY CON	SOME CON	MOD	SOME LIB	VERY LIB	DON'T KNOW
Yes	47.6	55.9	51.3	53.4	61.5	36.4
No	50.0	42.8	46.7	46.6	38.5	63.6
Don't Know	2.4	1.3	2.1	0.0	0.0	0.0

There was little variation by ethnicity or religion:

Religion:

HOME SECURITY CHECK

	RC	BAPT	OTH PROT	JEW	OTHER
Yes	55.2	58.7	53.7	50.0	42.2
No	44.8	41.3	43.6	50.0	55.6
Don't Know	0.0	0.0	2.7	0.0	2.2

Command Research.

Ethnicity:

HOME SECURITY CHECK

	BRIT	FR	IR	GERM	OTHER
Yes	50.3	52.0	59.7	68.4	50.0
No	47.7	48.0	38.9	31.6	48.3
Don't Know	2.1	0.0	1.4	0.0	1.7

The service is correlated by income with a majority of those making more than \$13,000 favoring it:

HOME SECURITY CHECK

	TO 7000	TO 13000	TO 20000	TO 30000	TO 50000	OVER 50000
Yes	44.6	47.6	51.1	60.2	62.5	47.1
No	53.8	51.2	46.8	38.3	37.5	52.9
Don't Know	1.5	1.2	2.2	1.5	0.0	0.0

Command Research.

The service was favored by high school graduates and beyond:

HOME SECURITY CHECK

	^{<} HIGH	HIGH	^{<} COLL	COLL	GRAD SCH
Yes	35.4	54.3	55.6	52.5	56.4
No	64.6	44.2	42.7	45.5	43.6
Don't Know	0.0	1.5	1.7	2.0	0.0

And there was a strong correlation by age with younger voters favoring the system by 19% over those over 65:

HOME SECURITY CHECK

	18- 25	26- 35	36- 45	46- 55	56- 65	OVER 65
Yes	62.5	58.1	57.1	53.1	41.3	33.3
No	34.4	40.6	41.9	46.9	57.1	65.2
Don't Know	3.1	1.3	1.0	0.0	1.6	1.5

Command Research.

Union members and females who work outside the home favor the system the most:

Sex:

HOME SECURITY CHECK

	MALE	F HOME	F OUT
Yes	50.6	50.9	56.3
No	48.3	46.7	43.0
Don't Know	1.1	2.4	0.6

Union membership:

HOME SECURITY CHECK

	SELF	FAM MEMB	NO
Yes	60.0	58.5	50.1
No	40.0	41.5	48.0
Don't Know	0.0	0.0	1.9

In the field testing, efforts were made to ascertain which customers would be willing to pay for

Command Research.

which services but there turned out to be significant difficulties due to (1) the variation in prices for various services and (2) the inability at the present time to affix dollar amounts to the more futuristic possibilities. We suggest therefore that when such dollar amounts can be calculated that another survey be undertaken so that customers could be given a shopping list and relative costs. It is our feeling that such a survey should be conducted in the home or the place of business. This approach would be far more expensive but might well yield important cross tabulations for marketing purposes or even for prioritizing services within technologies.

Command Research.

Conclusions

Command Research.

The data speak for themselves in virtually every category.

(1) The telephone company may indeed see itself on the defensive, having been frustrated in recent years in its efforts to upgrade service and increase rates of return. Nevertheless, on a comparative basis, the company is highly regarded by 2/3's of Maine people and this compares favorably with most companies in the state. In addition, the very high marks given for service indicate that Maine people appreciate what they are getting for their money. We would certainly urge that the company take a more positive view of itself and its projections to the world.

(2) Without an in-depth understanding of the interaction between the company and the P.U.C. and without the opportunity to conduct an in-depth study of the P.U.C. per se, it is readily apparent that it is not highly regarded by a majority of the electorate and in fact, given the findings, it seems clear that last year's ballot measure campaign over the possibility of an elected P.U.C. was more a referendum on the merits of the proposed substitute than to any endorsement of the P.U.C. as they constructed. In fact, the data suggests to us that the P.U.C. must be less favorably viewed than those who are presumably asking for more money than the public cares to

Command Research.

spend and hence the P.U.C.'s style, approach, time concerns, bureaucratic size, etc., must all add^{to} its assessment by the electorate. We would certainly urge that the company take its relative popularity into account in its dealings with the P.U.C. insofar as this can transcend the specifics of rate reform. After all the percentage totals, when projected onto the total electorate indicates that over 150,000 Maine people have a more favorable perception of the company than the P.U.C.

(3) Maine people continue to be concerned about jobs and the future. Jobs remain the number one concern this year on virtually all polls conducted by this organization. In the July survey, this concern was seen to have some specific and important dimensions. The fact that over 89% of those surveyed made a positive connection between future jobs and the business climate and over 80% made a positive connection between a modern communications set-up and the business climates suggests that people do have a firm grasp of the realities of why a firm might be encouraged or discouraged to locate or expand in Maine. This indicates to us that jobs are not seen as either an abstract concept or a free floating "good" but something clearly tied to other aspects of life here in Maine.

Command Research.

(4) With regard to the products currently most desired by the people of Maine, we were indeed surprised at the extent to which certain products had far greater appeal than others. We had assumed that for many rural Maine people, particularly the elderly, the opportunity to have the more futuristic, but more distance/shopping/amusement services would have a greater appeal, especially during the long winter months. While part of the difficulty may have been the problems in conceptualizing such services, nevertheless, the pattern of product selection indicates that those services which provide simple ways to make life easier (or more secure) have the greatest appeal for Maine people, even though substantial minorities tend to be interested in the more exotic home applications of the new technologies.

(5) From afar, it would seem that the public's and political figure's attention have generally settled on the hikes in the monthly charges rather than at a longer range analysis of what is required to get Maine up to a level where its facilities can accept the newer technologies and services as they become available. We would urge that legislators in particular be educated as to the inter-relationships of technology, communications and jobs. Otherwise, the high hopes of Maine people for the future may well be dissipated unless job formation can keep pace with

Command Research.

those expectations. Clearly there is a big job of educating to be done but the data suggest that the public can understand the issues at stake and can be expected to respond to the educational effort as it relates to their future and the future of jobs in Maine.

Charles L. Cragin
349 Gray Road
Falmouth, Maine 04105

October 12, 1984

Senator John Baldacci, Chairman
Joint Select Committee to
Investigate Public Utilities
111th Maine Legislature
State House
Augusta, Maine 04333

Dear Senator Baldacci:

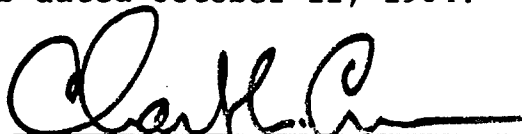
In reviewing my response to the interrogatories propounded by the Committee it appears that my responses to questions five and six were reversed. The response to question five should be as follows:

Answer: Yes. The tracking study was on-going from September 2, 1982 to November 2, 1982 with weekly reports provided to campaign officials. There is no record of the content of the question used. This tracking study was sponsored in whole by the Cragin Campaign Committee in connection with my candidacy for the governorship of Maine.

The answer to question six should be as follows:

Answer: No.

Please consider this letter to constitute an amendment to the "Response of Charles L. Cragin to Interrogatories Propounded by Committee" which response was dated October 11, 1984.




Charles L. Cragin

STATE OF MAINE
Cumberland, ss.

October 12, 1984

Personally appeared the above-named Charles L. Cragin who made oath that the foregoing statements by him signed are true to the best of his knowledge and belief.

Before me,



Notary Public
JOHN D. CAMSCHA
NOTARY PUBLIC, MAINE
MY COMMISSION EXPIRES AUGUST 12, 1989

Charles L. Cragin
349 Gray Road
Falmouth, Maine 04105

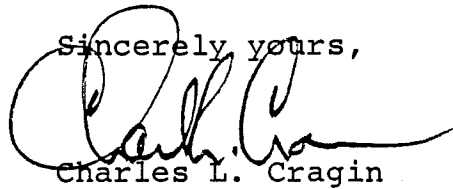
October 10, 1984

Senator John Baldacci, Chairman
Joint Select Committee to
Investigate Public Utilities
111th Maine Legislature
State House
Augusta, Maine 04333

Dear Senator Baldacci:

In response to your letters dated September 25, 1984, I am enclosing my response to interrogatories propounded by the Committee as well as my response to the Committee's request for production of documents.

Sincerely yours,



Charles L. Cragin

CLC/jdl
Enclosures

STATE OF MAINE
Kennebec, ss.

In Re:)	
)	RESPONSE OF CHARLES L. CRAGIN
JOINT SELECT COMMITTEE)	TO COMMITTEE'S REQUEST FOR
TO INVESTIGATE PUBLIC)	PRODUCTION OF DOCUMENTS
UTILITIES)	

Now comes Charles L. Cragin of 349 Gray Road, Falmouth, Maine and responds to the Committee's Request for Production of Documents by providing, whenever a requested document is produced, a copy of said document attached to this response.

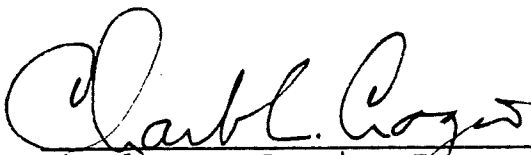
To the extent that requested documents are not produced, it is as a result of a determination that such documents do not exist within my possession or the possession of persons who were formerly associated with The Cragin Campaign Committee or as a result that, to my knowledge and that of persons formerly associated with the Cragin Campaign Committee, neither I nor my associates were ever aware of the existence of such requested documents.

In response to Requests numbered 2, 6, 8, 11, 16, and 22, the following documents are produced.

- A. A one-page copy of the front of a manila envelope which contained the material referred to in B. This document is referred to as Exhibit A in my responses to the Committee's Interrogatories.
- B. Copies of twenty-one (21) pages of survey information referred to as Exhibit B in my responses to the Committee's Interrogatories.

With respect to all other Requests for Production, for the reasons set forth above, your Respondent is unable to produce any documents.

Dated: October 11, 1984


Charles L. Cragin, Esq.

CHARLES CRAIGIN

(To be picked up around 5 p.m.
August 31, 1982)

STATE OF MAINE
Kennebec, ss.

In Re:)	
)	RESPONSE OF CHARLES L. CRAGIN
JOINT SELECT COMMITTEE)	TO INTERROGATORIES
TO INVESTIGATE PUBLIC)	PROPOUNDED BY COMMITTEE
UTILITIES)	

Now comes Charles L. Cragin of 349 Gray Road, Falmouth, Maine who, under oath, answers the interrogatories propounded to him by letter dated September 25, 1984 as follows:

1. Question: State whether you have ever purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm. If your response is in the affirmative, please answer interrogatories numbers 2-6. If your answer is in the negative, you need not answer interrogatories numbers 2-6.

Answer: Yes. The Cragin Campaign Committee purchased a tracking study from Command Research in the fall of 1982.

2. Question: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of President Ronald Reagan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

Answer: No.

3. Question: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preference with respect to the 1982 Maine U.S. Senatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

Answer: No.

4. Question: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of Maine governor Joseph Brennan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

Answer: No.

5. Question: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which

measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

Answer: No.

6. Question: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Chrisitian Potholm on your behalf contained a question which measured the respondents' attitudes toward the imposition of restrictions on the use of nuclear power. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

Answer: Yes. The tracking study was on-going from September 2, 1982 to November 2, 1982 with weekly reports provided to campaign officials. There is no record of the content of the question used. This tracking study was sponsored in whole by the Cragin Campaign Committee in connection with my candidacy for the governorship of Maine.

7. Question: State whether you have ever received from Command Research or Christian Potholm either orally or in writing

the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored, in whole or in part, by the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee. If your response is in the affirmative, identify the approximate date that such information was received by you, any documents possessed by you that mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer: No.

8. Question: State whether you have ever directly or indirectly received from the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking study. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer: Yes. (a) On or about August 30, 1982, Mr. Elwin Thurlow, President of Central Maine Power Company, called Garrett Bowne, of 340 Eastern Promenade, Portland, Maine, campaign manager of the Cragin Campaign Committee, and advised him that he had some information which he wished to make available to me. Mr. Bowne told Mr. Thurlow that I was campaigning north of Kennebec County and would be going through Augusta within the next day or so.

At some point my Logistics Coordinator, Charles Dorr, of Kemp Road, Gorham, Maine, went to the offices of the Central Maine Power Company in Augusta, Maine and picked up a manila envelope (Exhibit A) which contained the documents, a copy of which is attached as Exhibit B. The information provided responses to survey questions dealing with the gubernatorial race; indexing the state income tax and various statistical breakdowns of the respondents to those questions.

(b) At some point prior to October 6, 1982, my staff scheduled a meeting with me and Mr. Richard Jalkut and Mr. Peter Kovach of New England Telephone Company. This matter was scheduled at the request of Mr. Kovach for October 6, 1982 at 1:00 p.m. On October 6, 1982, Mr. Kovach came to my campaign office in Portland and provided me with a copy of some sort of poll which appeared to have been conducted by Command Research. While I do not have a copy of this survey, my recollection is that it was a broad opinion survey requesting responses on a large number of issues. I perused it very briefly after Mr. Kovach left; determined that it was very old information; and threw it away.

9. Question: State whether you have ever received from Ad-Media, including any employees or agents thereof, either orally or

in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer: No.

10. Question: State whether you have ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer: See response to question 8.

11. Question: State whether you have ever received during the period 1980-1983 any contribution, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the date of the contribution, the amount of the contribution, and the nature of any in-kind contribution made, i.e., a brief description of the goods and services received.

Answer: None. The only materials received by my campaign committee from any Maine utility company were the materials referred to in my response to question 8. My campaign committee assigned no value to those documents since the committee was conducting its own pollings through Market Opinion Research of Detroit, Michigan and did not and would not utilize any other polling information for the purpose of campaign decisions.

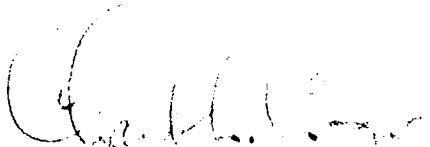
12. Question: State whether you know of any expenditures made on your behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the identity of the person who made the expenditure, the date of the expenditure, the amount of the expenditure, and the nature of the goods and services constituting the expenditure.

Answer: I know of none.

13. State whether you have ever purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company. If your response is in the affirmative, state the identity of each person from whom goods and services were purchased, the date of purchase, the amount of the purchase, and the nature of the goods and services received.

Answer: No.

Dated: October 11, 1984




Charles L. Cragin

STATE OF MAINE
Cumberland, ss.

October , 1984

Personally appeared the above-named Charles L. Cragin who made oath that the foregoing statements by him signed are true to the best of his knowledge and belief.

Before me,



Notary Public
JOANNE D. LAMSCHA
NOTARY PUBLIC, MAINE
MY COMMISSION EXPIRES AUGUST 12, 1989

John M. Kerry
Director, Office of Energy Resources
State House Station #53
Augusta, ME 04333

October 17, 1984

Joint Select Committee to Investigate Public Utilities
State House Station #3
Augusta, ME 04333

RE: Response to Interrogatories

Dear Chairman and Joint Select Committee members:

I am responding to interrogatories submitted by the Joint Select Committee to investigate Public Utilities.

Interrogatory #1 - I have never purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm.

Questions #2 through #6 N/A.

Question #7. I have never received any information, either orally or in writing from Command Research or Christian Potholm, regarding any opinion polls.

Question #8. I would answer in the negative.

Question #9. I would answer in the negative.

Question #10. I would answer in the negative.

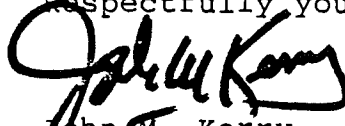
Question #11. I would answer in the negative.

Question #12. I would answer in the negative.

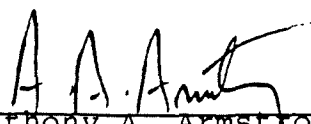
Question #13. I would answer in the negative.

In conclusion, I have never had any specific contact with the Atlantic Research Company, the Committee to save Maine Yankee, Central Maine Power Company, or any other Maine utility company that has designed or implemented an opinion poll or survey.

Respectfully yours,


John M. Kerry
Director

Witnessed:



Anthony A. Armstrong
Attorney at Law

10/17/84

Date

John M. Kerry
Director
Office of Energy Resources
State House Station #53
Augusta, ME 04333

October 17, 1984

Joint Select Committee to Investigate
Public Utilities
State House Station #3
Augusta, ME 04333

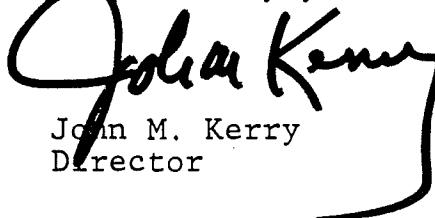
RE: Response to Request for Production of Documents

Dear Chairman and Joint Select Committee Members:

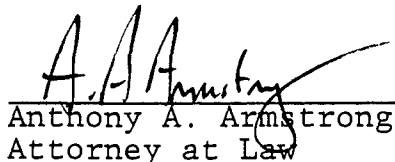
I am submitting additional information to the Joint Select Committee investigating public utilities in order to clarify my previous correspondence and to inform the Committee that I have never received any documents or correspondence regarding polling information from Altantic Research Co., Committee to Save Maine Yankee; Central Maine Power Company, or any other Maine utility company.

Mr. Chairman, I would answer questions one through twenty-seven (1-27) by stating that, after exercising due diligence while searching for the aforementioned documents, I cannot produce nor have I ever received any documents your Committee has requested.

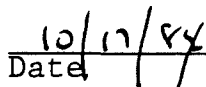
Respectfully yours,


John M. Kerry
Director

Witnessed:



Anthony A. Armstrong
Attorney at Law



Date

John M. Kerry
Director, Office of Energy Resources
State House Station #53
Augusta, ME 04333

October 17, 1984

Joint Select Committee to Investigate Public Utilities
State House Station #3
Augusta, ME 04333

RE: Response to Interrogatories

Dear Chairman and Joint Select Committee members:

I am responding to interrogatories submitted by the Joint Select Committee to investigate Public Utilities.

Interrogatory #1 - I have never purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm.

Questions #2 through #6 N/A.

Question #7. I have never received any information, either orally or in writing from Command Research or Christian Potholm, regarding any opinion polls.

Question #8. I would answer in the negative.

Question #9. I would answer in the negative.

Question #10. I would answer in the negative.


Question #11. I would answer in the negative.

Question #12. I would answer in the negative.

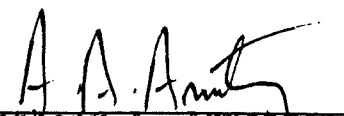
Question #13. I would answer in the negative.

In conclusion, I have never had any specific contact with the Atlantic Research Company, the Committee to save Maine Yankee, Central Maine Power Company, or any other Maine utility company that has designed or implemented an opinion poll or survey.

Respectfully yours,


John M. Kerry
Director

Witnessed:



Anthony A. Armstrong
Attorney at Law

10/17/84

Date

October 9, 1984

John M. Kerry
Director, Office of Energy Resources
State House Station #53
Augusta, ME 04333

I am responding to interrogatories submitted by the Joint Select Committee to investigate Public Utilities.

Interrogatory #1 - I have never purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm.

Questions #2 through #6 N/A.

Question #7. I have never received any information, either orally or in writing from Command Research or Christian Potholm, regarding any opinion polls.

Question #8. I would answer in the negative.

Question #9. I would answer in the negative.

Question #10. I would answer in the negative.

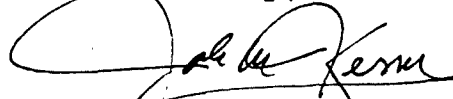
Question #11. I would answer in the negative.

Question #12. I would answer in the negative.

Question #13. I would answer in the negative.

In conclusion, I have never had any specific contact with the Atlantic Research Company, the Committee to save Maine Yankee, Central Maine Power Company, or any other Maine utility company that has designed or implemented an opinion poll or survey.

Sincerely,



John M. Kerry

JMK/rm

IN RE:

JOINT SELECT COMMITTEE TO
INVESTIGATE PUBLIC UTILITIES

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RESPONSE OF CONGRESSMAN
JOHN McKERNAN TO INTER-
ROGATORIES PROPOUNDED BY
JOINT SELECT COMMITTEE
TO INVESTIGATE PUBLIC
UTILITIES

Congressman John McKernan responds to Interrogatories Pro-
pounded by the Joint Select Committee to Investigate Public
Utilities as follows, having first been duly sworn:

INTRODUCTORY STATEMENT

The Interrogatories which I am about answer, arrived at my Portland, Maine office, on or about October 2, 1984. Answers were required within 14 days. While my representative requested an extension of time of several weeks to respond, the Joint Select Committee to Investigate Public Utilities granted an extension of only 10 days. In the brief time allotted, my staff and I have reviewed all the records that are available. I would note that record keeping during a political campaign is not an exact science and some records may have been lost or misplaced since the last campaign. I am answering these Interrogatories to the best of my knowledge.

INTERROGATORIES

1. State whether you have ever purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm. If your response is in the affirmative, please answer Interrogatories numbers 2 - 6. If your answer is in the negative, you need not answer interrogatories numbers 2 - 6.

ANSWER: Yes

2. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondent's approval of disapproval of the performance of

President Ronald Reagan, If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: No.

3. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine U.S. Senatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used.

ANSWER: No.

4. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of Maine governor Joseph Brennan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: No.

5. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: No.

6. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' attitudes toward the imposition of restrictions on the use of nuclear power. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: No.

7. State whether you have ever received from Command Research or Christian Potholm either orally or in writing the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored, in whole or in part, by the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee. If your response is in the affirmative, identify the approximate date that such information was received by you, any documents possessed by you that mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: While I have no specific recollection of any particular discussion, in 1982, a representative of Command Research and/or Christian Potholm may have mentioned to me in oral conversation in general terms the status of the nuclear power referendum about which Command Research or Christian Potholm were conducting polling. This information was common knowledge in the political community and I may have discussed it with someone but I cannot remember with whom, when or where. (See also response to Interrogatory #10).

8. State whether you have ever directly or indirectly received from the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking study. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

9. State whether you have ever received from Ad-Media, including any employees or agents thereof, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

10. State whether you have ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: See response to interrogatory number 7. I do recall conversations with my mother, Barbara G. McKernan, in 1982 with reference to the nuclear power referendum. I have no documents regarding these conversations. She advised me that the proponents of Maine Yankee were leading in the polls. This information was common knowledge in the political community and I may have discussed it with someone but I cannot remember with whom, when or where.

11. State whether you have ever received during the period 1980-1983 any contributions, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the date of the contribution, the amount of the contribution, and the nature of any in-kind contribution made, i.e., a brief description of the goods and services received.



HOUSE OF REPRESENTATIVES
WASHINGTON, D.C.

JOHN R. McKERNAN, JR.
MAINE

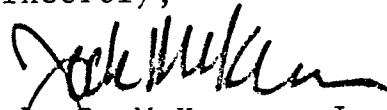
October 25, 1984

Honorable John E. Baldacci
Chairman
Joint Select Committee to
Investigate Public Utilities
State House
Augusta, Maine 04333

Dear John:

Enclosed please find my response to Interrogatories
and Request for Production of Documents.

Sincerely,


John R. McKernan, Jr.
Member of Congress

HAND DELIVERED

11 (Con't)

ANSWER: No.


12. State whether you know of any expenditures made on your behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the identity of the person who made the expenditure, the date of the expenditure and the amount of the expenditure and the nature of the goods and services constituting the expenditure.

ANSWER: No.

13. State whether you have ever purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company. If your response is in the affirmative, state the identity of each person from whom goods and services were purchased, the date of purchase, the amount of the purchase, and the nature of the goods and services received.

ANSWER: No.

Dated: October 23, 1984



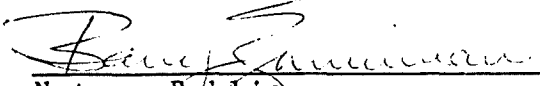
Congressman John McKernan

STATE OF MAINE
Cumberland, ss.

October 23, 1984

Personally appeared before me the above-named Congressman John McKernan and made oath that the foregoing Answers to Interrogatories by him are true to the best of his knowledge.

Before me,



Notary Public

IN RE:

JOINT SELECT COMMITTEE TO
INVESTIGATE PUBLIC UTILITIES

*
* RESPONSE OF CONGRESSMAN JOHN
* McKERNAN TO REQUEST FOR PRO-
* Duction OF DOCUMENTS PROPOUNDED
* BY JOINT SELECT COMMITTEE TO
* INVESTIGATE PUBLIC UTILITIES
*

Congressman John McKernan responds to the Request for Production of Documents issued by the Joint Select Committee to Investigate Public Utilities as follows:

INTRODUCTORY STATEMENT

The Request for Production of Documents, to which I am about to respond, arrived at my Portland, Maine office, on or about, October 2, 1984. A response was required within 14 days. While my representative requested an extension of time of several weeks to respond, the Joint Select Committee to Investigate Public Utilities granted me an extension of only 10 days. In the brief time allotted, my staff and I have reviewed all of the records that are available. I would note that record keeping during a political campaign is not an exact science and some records may have been lost or misplaced since the last campaign. I am responding to the Request to the best of my knowledge.

RESPONSE

1. All documents relating or incident to any question drafted or prepared in whole or in part by you or on your behalf and contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

2. All documents relating or incident to the analysis or processing of any data collected or the results obtained in connection with any poll, opinion survey, or tracking study conducted

or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

3. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' approval or disapproval of the performance of President Ronald Reagan.

RESPONSE: I have none.

4. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' voting preferences with respect to the 1982 Maine U.S. Senatorial election.

RESPONSE: I have none.

5. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' approval or disapproval of the performance of Maine Governor Joseph Brennan.

RESPONSE: I have none.

6. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election.

RESPONSE: I have none.

7. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' attitudes toward the imposition of restrictions on the use of nuclear power.

RESPONSE: I have none.

8. All documents relating or incident to the results of any questions contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' voting preferences in any local, state or federal election.

RESPONSE: I have none.

9. All diaries, calendars, notes, and all other documents memorializing any oral discussion relating or incident to any written discussion of the results of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

10. All documents, not produced pursuant to another document request, relating or incident to any results of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

11. All documents relating or incident to the direct or indirect use or receipt by you or a federal officeholder, a state officeholder, a state candidate, a federal candidate, or a political party of any results or data of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

12. All documents relating or incident to the identity of each and every person who directly or indirectly used or received the results or data of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine Utility company.

RESPONSE: I have none.

13. All documents that directly or indirectly incorporated or used any results of a poll, opinion survey or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

14. All documents, not produced pursuant to another document request, relating or incident to any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

15. All correspondence and all other documents transmitted by you to the Central Maine Power Company, Atlantic Research Company, any other Maine utility company, or the Committee to Save Maine Yankee relating or incident to any poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Committee to Save Maine Yankee, Christian Potholm, Command Research, the Atlantic Research Company, the Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

16. All correspondence and all other documents received by you from the Central Maine Power Company, Atlantic Research Company, any other Maine utility company, or the Committee to Save Maine Yankee relating or incident to any poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Committee to Save Maine Yankee, Christian Potholm, Command Research, the Atlantic Research Company, the Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

17. All correspondence and all other documents transmitted by Ad-Media to you which mention, relate, or refer to any poll, opinion survey or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

18. All correspondence and all other documents transmitted by you to Ad-Media which mention, relate, or refer to any poll, opinion survey or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

19. All correspondence and all other documents transmitted by you to a state officeholder, a federal officeholder, a state candidate, a federal candidate, or a political party that mention, relate, or refer to any results of a poll, opinion survey or tracking study conducted or sponsored, in whole or in part, by or for the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

20. All correspondence and all other documents received by you from a state officeholder, a federal officeholder, a state candidate, a federal candidate, or a political party that mention, relate, or refer to any results of a poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by or for the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

21. All correspondence and all other documents transmitted by you to Elwin W. Thurlow, Thomas Webb, Robert Scott, Pat Lydon, Robert Leason, and Don Marden which mention, relate, or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

22. All correspondence and all other documents received by you from Elwin W. Thurlow, Thomas Webb, Robert Scott, Pat Lydon, Robert Leason, and Don Marden which mention, relate or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

23. All correspondence and all other documents transmitted by you to any other person which mention, relate, or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

24. All correspondence and all other documents received by you from any other person which mention, relate, or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

RESPONSE: I have none.

25. All correspondence and all other documents relating or incident to any goods or services purchased by you, directly or indirectly, from the Atlantic Research Company, the Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company in connection with a federal or state election, excluding those documents concerning the provision of utility services to you, such as electricity and telephone service, unless such utility services were provided to you for less than their fair market value.

RESPONSE: I have none.


26. All documents relating or incident to any contributions, including in-kind contributions, received by you from the Committee to Save Maine Yankee, Central Maine Power Company, the Atlantic Research Company, or any other Maine utility company that were made in the period 1980 - 1983 and have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices.

RESPONSE: I have none.

27. All documents relating or incident to any expenditures made on your behalf by the Committee to Save Maine Yankee, Central Maine Power Company, the Atlantic Research Company, or any other Maine utility company during the period 1980 - 1983 that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices.

RESPONSE: I have none.

Dated at Portland, Maine this 23rd day of October, 1984.



Congressman John McKernan

JOINT SELECT COMMITTEE TO INVESTIGATE
PUBLIC UTILITIES

RESPONSE TO INTERROGATORIES

by:

DAVID F. EMERY

November 3, 1984

Jon R. Doyle
Michael J. LaTorre

NOW COMES David F. Emery and responds under oath and in writing to the interrogatories propounded to him under date of September 25, 1984 as follows:

1. State whether you have ever purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm. If your response is in the affirmative, please answer interrogatories numbers 2-6. If your answer is in the negative, you need not answer interrogatories numbers 2-6.

RESPONSE: I have never purchased or financed, whole or in part, a poll, opinion, survey or tracking study conducted or managed by Command Research or Christian Potholm. My campaign did from time to time contract with either Command Research or Christian Potholm for consultation on an ad hoc basis with respect to tracking studies which were in fact conducted and managed by my campaign staff. The consultation consisted of discussions by me and my campaign committee with Mr. Christian Potholm from time to time as to his analysis or interpretation of the results of tracking studies performed by my campaign staff. Those tracking studies were done in an informal fashion by my campaign staff and were not in report or other written form other than might appear from time to time on informal notes taken by my campaign staff. Those tracking studies performed by my campaign staff may have from time to time contained questions which measured the respondents' approval or disapproval of the performance of Ronald Reagan; the respondents' voting preferences with respect to the 1982 Maine U.S. senatorial election in which I was participating; the respondents' approval or disapproval of the performance of Maine Governor Joseph Brennan; the respondents' voting preferences with respect to the 1982 Maine Gubernatorial election and rarely other State issues which were current at the time including the closure of Maine Yankee Atomic Power Company. The questions involved in any tracking study or by my campaign staff have been done in connection with a campaign for United States Senate or for United States Congress but to the best of my knowledge and belief, no records exist of such a tracking study or studies or polls. It is my recollection that on a few occasions my campaign organization during the period of time in question called upon either Christian Potholm or Command Research to provide volunteers such as students or housewives to assist my campaign in the conduct of its tracking polls. I do not recall whether we paid Christian Potholm or Command Research for the services provided or paid the individuals directly. Any payment would have been minimal. I cannot be specific as to the time of any of the activities referred to above, but it is my recollection that they were generally conducted from time to time during my campaigns for the United States Senate which extended over the years 1981 and 1982 or during my campaigns for re-election to Congress occurring during the period in question by the Committee.

2. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of President Ronald Reagan. If your answer is in the affirmative identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

RESPONSE: See the response to interrogatory No. 1.

3. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine U.S. Senatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

RESPONSE: See the response to interrogatory No. 1.

4. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of Maine governor Joseph Brennan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

RESPONSE: See the response to interrogatory No. 1.

5. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

RESPONSE: See the response to interrogatory No. 1.

6. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' attitudes toward the imposition of restrictions on the use of nuclear power. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the

language the above-referenced question used. In addition, state whether the poll opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

RESPONSE: See the response to interrogatory No. 1.

7. State whether you have ever received from Command Research or Christian Potholm either orally or in writing the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored, in whole or in part, by the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee. If your response is in the affirmative, identify the approximate date that such information was received by you, any documents possessed by you that mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

RESPONSE: To the best of my knowledge and belief, I have not ever received from Command Research or Christian Potholm in writing the results of any poll, opinion survey, or tracking study which may or may not have been done in whole or in part for or on behalf of the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company, or the Committee to save Maine Yankee. I may have had from time to time during the period over which the response is requested to these interrogatories have informal, casual oral conversations with Christian Potholm in which the subject matter of such information may have been generally mentioned. I have no specific recollection of that and I have no documentation with respect to that.

8. State whether you have ever directly or indirectly received from the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking study. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

RESPONSE: I have not ever directly or indirectly received from the listed entities the information described.

9. State whether you have ever received from Ad-Media, including any employees or agents thereof, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine

Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

RESPONSE: I have not ever directly or indirectly received from the listed entities the information described.

10. State whether you have ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, and documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

RESPONSE: I have not ever received from any person neither orally or in writing to my knowledge the information described.

11. State whether you have ever received during the period 1980-1983 any contribution, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the date of the contribution, the amount of the contribution, and the nature of any in-kind contribution made, i.e., a brief description of the goods and services received.

RESPONSE: I did not receive any of the described contributions which have not been reported pursuant to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices as applicable.

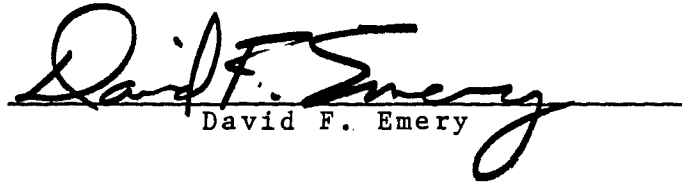
12. State whether you know of any expenditures made on your behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the identity of the person who made the expenditure, the amount of the expenditure, the date of the expenditure, the amount of the expenditure, and the nature of the goods and services constituting the expenditure.

RESPONSE: I do not know of any expenditures made by the listed entities which have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices.

13. State whether you have ever purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company. If your response is in the affirmative, state the identity of each person from whom goods and services were purchased, the date of purchase, the amount of the purchase, and the nature of the goods and services received.

RESPONSE: No.

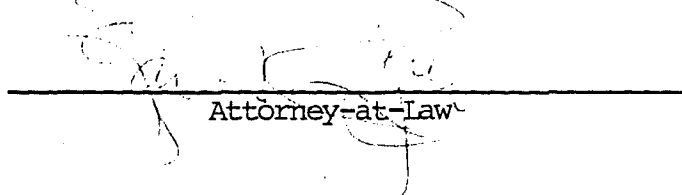
Dated: November 3, 1984


David F. Emery

STATE OF MAINE
Kennebec, ss.

November 3, 1984

Personally appeared before me the above-named David F. Emery and made oath to the truth of the foregoing by him subscribed.


Attorney-at-Law

JOINT SELECT COMMITTEE TO INVESTIGATE
PUBLIC UTILITIES

RESPONSE TO REQUEST FOR
PRODUCTION OF DOCUMENTS

by:

DAVID F. EMERY

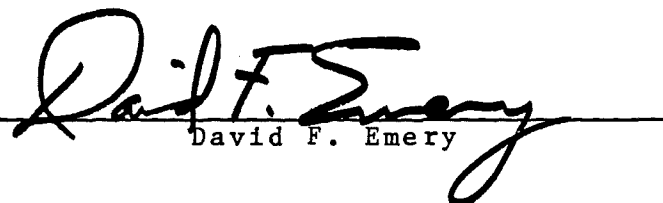
November 3, 1984

Jon R. Doyle
Michael J. LaTorre

This is the response of David F. Emery to the request for production of documents dated September 25, 1984 and issued by the Joint Select Committee to Investigate Public Utilities. The response is as follows:

To the best of my knowledge and belief, I do not have any documents described in the Committee's request as set forth in paragraphs 1-27 of the Committee's request.

Dated this 3rd day of November, 1984.


David F. Emery

VAFIADES, BROUNTAS & KOMINSKY

ATTORNEYS AT LAW

ONE MERCHANTS PLAZA

P. O. BOX 919

BANGOR, MAINE 04401-0919

LEWIS V. VAFIADES
NICHOLAS P. BROUNTAS
SUSAN R. KOMINSKY
MARVIN H. GLAZIER
EUGENE C. COUGHLIN
CHARLES E. GILBERT, III
CLARK P. THOMPSON
JEFFREY L. HJELM
CHRISTOPHER D. HARDY

TELEPHONE
207-947-6915

October 24, 1984

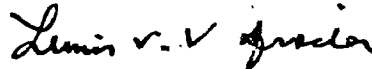
Senator John E. Baldacci, Chairman
Joint Select Com. to Investigate Public Utilities
Legislative Post Office
State House
Augusta, ME 04333

RE: Senator William S. Cohen

Dear Senator Baldacci:

Enclosed are Senator William S. Cohen's Answers to Interrogatories and response to the Request for Production of Documents.

Respectfully yours,



Lewis V. Vafiades

LVV/rsn

Enclosures

STATE OF MAINE

PENOBSCOT, ss.

JOINT SELECT COMMITTEE
TO INVESTIGATE
PUBLIC UTILITIES

ANSWERS TO INTERROGATORIES

NOW COMES Senator William S. Cohen and answers under oath the Interrogatories propounded to him by the Joint Select Committee to Investigate Public Utilities, dated September 25, 1984, and reviewed in the Offices of Senator William S. Cohen in Augusta, Maine on October 2, 1984, as follows:

1. State whether you have ever purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm. If your response is in the affirmative, please answer Interrogatories Numbers 2-6. If your answer is in the negative, you need not answer Interrogatories Numbers 2-6.

ANSWER: No.

2. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of President Ronald Reagan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-

referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: Not applicable.

3. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine U.S. Senatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: Not applicable.

4. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of Maine Governor Joseph Brennan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: Not applicable.

5. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: Not applicable.

6. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' attitudes toward the imposition of restrictions on the use of nuclear power. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

ANSWER: Not applicable.

7. State whether you have ever received from Command Research or Christian Potholm either orally or in writing the results of any poll, opinion survey, or tracking study which you

have or had reason to believe was sponsored, in whole or in part, by the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee. If your response is in the affirmative, identify the approximate date that such information was received by you, any documents possessed by you that mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

8. State whether you have ever directly or indirectly received from the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking study. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

9. State whether you have ever received from Ad-Media, including any employees or agents thereof, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

10. State whether you have ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such

information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

11. State whether you have ever received during the period 1980-1983 any contribution, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the date of the contribution, the amount of the contribution, and the nature of any in-kind contribution made, i.e., a brief description of the goods and services received.

ANSWER: No.

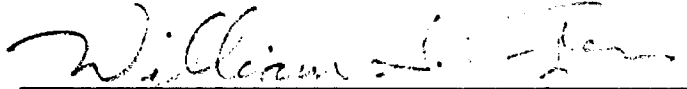
12. State whether you know of any expenditures made on your behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the identity of the person who made the expenditure, the date of the expenditure, the amount of the expenditure, and the nature of the goods and services constituting the expenditure.

ANSWER: No.

13. State whether you have ever purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company. If your response is in the affirmative, state the identity of each person from whom goods and services were purchased, the date of purchase, the amount of the purchase, and the nature of the goods and services received.

ANSWER: No.

Dated at Bangor, Maine this 24TH day of October, 1984.



William S. Cohen

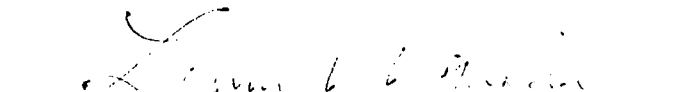
State of Maine

Penobscot, ss.

October 24TH, 1984

Personally appeared before me the above-named William S. Cohen who made oath that the foregoing Answers to Interrogatories are true.

Before me,



Notary Public

STATE OF MAINE

PENOBSCOT, ss

JOINT SELECT COMMITTEE
TO INVESTIGATE
PUBLIC UTILITIES

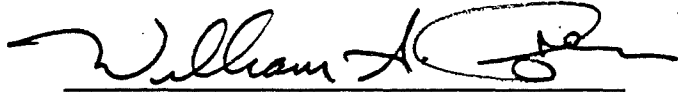
RESPONSE TO
REQUEST FOR PRODUCTION OF DOCUMENTS

NOW COMES Senator William S. Cohen and responds as follows
to the Committee's Request For Production Of Documents:

Senator William S. Cohen has no documents encompassed by
the Committee's Request in his possession or custody or control.

Dated at Bangor, Maine, this 24th day of October, 1984.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "William S. Cohen", is written over a horizontal line.

William S. Cohen

SENATOR **Bill Cohen**



MAY 31 1984

May 25, 1984

U.S. Senate Reports
Office of Public Records
Office of the Secretary of the Senate
232 Hart Senate Office Building
Washington, D.C. 20510


To Whom It May Concern:

The attached 12 Day Pre-election Report of the COHEN FOR SENATOR campaign committee (FEC ID # C00082552) is lacking in several instances employer and occupation identification on Schedule A, itemized receipts.

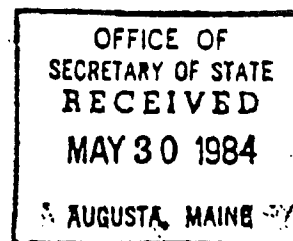
Efforts have been made to obtain the missing information from the contributors and such information will be forwarded to you upon receipt.

Thank you for your consideration.

Sincerely,


Frank M. Carter
Treasurer

FMC:dac



SCHEDULE B

ITEMIZED DISBURSEMENTS

MAY 31 1984

Page 9 of 13 for
LINE NUMBER 17

Name of Committee: COHEN FOR SENATOR COMMITTEE

Command Research R.F.D. # 1 So. Harpswell, ME 04097	survey research Disbursement for: PRIMARY	4/27/84	\$ 2,000.00
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Chris P. Potholm R.F.D. # 1 So. Harpswell, ME 04097	meal & travel reimbursement Disbursement for: PRIMARY	4/27/84	\$ 43.58
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Hazel Green's Restaurant P.O. Box 2746 Augusta, ME 04330	luncheon for volunteers Disbursement for: PRIMARY	4/27/84	\$ 103.20
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Postmaster, Portland, ME U.S. Post Office Portland, ME 04104	postage Disbursement for: PRIMARY	4/30/84	\$ 80.00
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Postmaster, Portland, ME U.S. Post Office Portland, ME 04104	post cards Disbursement for: PRIMARY	4/30/84	\$ 32.50
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What's Cooking 316 Center Street Auburn, ME 04210	refreshments for convention Disbursement for: PRIMARY	4/30/84	\$ 76.09
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Minuteman Press 550 Congress Street Portland, ME 04101	printing Disbursement for: PRIMARY	5/9/84	\$ 139.13
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Smith & Harroff, Inc. 916 Pennsylvania Ave., S.E. Washington, DC 20003	travel expense reimbursement Disbursement for: PRIMARY	5/9/84	\$ 1,643.48
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SUBTOTAL of Disbursements This Page (optional).....	\$ 4,117.98
TOTAL This Period (last page this line number only).....	

CHAIRMAN
Peter A. Bradford



COMMISSIONERS
Cheryl Harrington
David H. Moskovitz

STATE OF MAINE
PUBLIC UTILITIES COMMISSION
242 State Street
State House Station 18
Augusta, Maine 04333
(207) 289-3831

October 5, 1984

Senator John Baldacci
Joint Select Committee to
Investigate Public Utilities
State House Station 13
Augusta, ME 04333

Dear Senator Baldacci:

In responding to your two letters delivered on October 2, 1984, let me begin by noting that the Commission has in its possession an extraordinary quantity of documents of the sort requested. These were accumulated pursuant to our investigation of the Robert Scott false testimony, with a few others having come in related proceedings. These documents are voluminous. They are all public. They are in many different places throughout the agency. Assembling and duplicating them would be a very time-consuming and expensive task. Furthermore, the Committee Staff has had frequent access to them since the investigation began. Any Committee member is welcome to inspect and copy any of these files (or, for that matter, my pertinent personal files) that you would think helpful. However, I am assuming for purposes of this response that these are not the materials that you are seeking, and, therefore, the following answers apply to material not furnished in the normal course of a docketed PUC case.

Also by way of background, I should indicate that both letters contain the mistaken sentence, "You are or have been a candidate for public elective office." I am not and have not been.

My specific responses, given against this background and to the best of my knowledge, to the Request for Production of Documents and the Interrogatories are as follows:

1. No such documents exist.

Senator John Baldacci
October 5, 1984
Page two

2. No such documents exist.
3. No such documents exist.
4. No such documents exist.
5. No such documents exist.
6. No such documents exist.
7. No such documents exist.
8. No such documents exist.
9. I have no such documents. I have reviewed my telephone logs, and they do not reflect the only conversation of this nature that I can remember. That conversation which probably took place in July or August, 1982, was with Christian Potholm. In the course of preparing for subsequent public appearances discussing the future of Maine Yankee, I called him to be sure that I devoted my speech to the issues of most concern to Maine voters. I believe that the conversation lasted 10 or 15 minutes. I do not believe that it covered any polling results other than those associated with Maine Yankee.
10. No such documents exist.
11. No such documents exist.
12. No such documents exist.
13. No such documents exist.
14. No such documents exist.
15. No such documents exist.
16. No such documents exist.
17. No such documents exist.

Senator John Baldacci
October 5, 1984
Page three

18. No such documents exist.
19. No such documents exist.
20. No such documents exist.
21. No such documents exist.
22. No such documents exist.
23. No such documents exist.
24. No such documents exist.
25. No such documents exist.
26. No such documents exist.
27. No such documents exist.

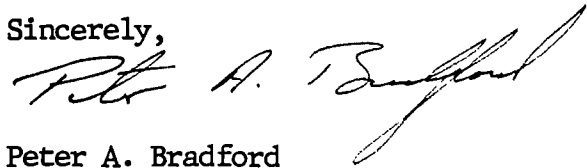
I swear that the following responses to the interrogatories are true.

1. No.
7. See response to Question 9 of the Request for Production of Documents. I do not recall transmitting this information to anyone else.
8. I do not remember ever receiving any such information.
9. I do not remember ever receiving any such information.
10. I do not remember ever receiving any such information.

Senator John Baldacci
October 5, 1984
Page four

11. I have never received any such contribution.
12. No such expenditures have been made on my behalf.
13. I have never purchased any such goods or services.

Sincerely,



Peter A. Bradford
Chairman

PAB/kja

STATE OF MAINE
KENNEBEC, ss.

October 5, 1984

Personally appeared the above named Peter A. Bradford and he states that the above is true to the best of his knowledge and belief.

BEFORE ME,



NOTARY PUBLIC
Commission Expires: 8/3/86

Olympia Snowe

P. O. Box 1984
Auburn, Maine 04210

October 17, 1984

Senator John E. Baldacci
Chairman
Joint Select Committee to Investigate
Public Utilities
Legislative Post Office
State House
Augusta, Maine 04333

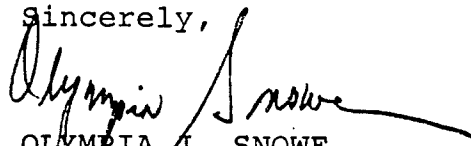
Dear Senator Baldacci:

This is in response to your letter dated September 15, 1984 which was delivered to my office in Bangor on October 2, 1984.

In response to the interrogatories of the Joint Committee to investigate Public Utilities my answers are as follows;
#1-No, #7-No, #8-No, #9-No, #10-No, #11-No, #12-No, #13-No.

In response to your requests for documents, questions #1 - 27, I have no such documents.

Sincerely,



OLYMPIA J. SNOWE
Member of Congress
2nd District, Maine

OJS:pda

Subscribed and sworn to by
Olympia Snowe this 18th Day
of October, 1984 in Auburn, Maine.



Carol B. Gardner

STATE OF MAINE
111th LEGISLATURE
JOINT SELECT COMMITTEE
TO INVESTIGATE PUBLIC UTILITIES

ANSWERS OF
MAINE DEMOCRATIC STATE COMMITTEE
TO
INTERROGATORIES PROPOUNDED
ON SEPTEMBER 25, 1984

NOW COMES the Maine Democratic State Committee, and Answers the Interrogatories propounded to it (under the name "Maine Democratic Party") as follows:

Interrogatory 1: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Interrogatories 2-6: No response required.

Interrogatory 7: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Interrogatory 8: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Interrogatory 9: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Interrogatory 10: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Interrogatory 11: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Interrogatory 12: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Interrogatory 13: To the best of my knowledge, information and belief, the Maine Democratic State Committee has not.

Dated at Augusta, Maine this tenth day of October, 1984.

Maine Democratic State Committee

by Jane Paxton
Jane Paxton, its Vice Chairperson

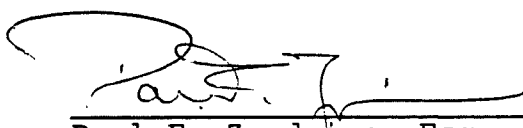
STATE OF MAINE
111th LEGISLATURE
JOINT SELECT COMMITTEE
TO INVESTIGATE PUBLIC UTILITIES

ANSWERS OF
MAINE DEMOCRATIC STATE COMMITTEE
TO
REQUEST FOR PRODUCTION OF DOCUMENTS
DATED SEPTEMBER 25, 1984

NOW COMES the Maine Democratic State Committee and Answers the Request for Production of Documents propounded to it (under the name "Maine Democratic Party") as follows:

Requests Numbered 1 through 27 inclusive: To the best of my knowledge, information and belief the Maine Democratic State Committee has no such documents.

Dated at Augusta, Maine this tenth day of October, 1984.



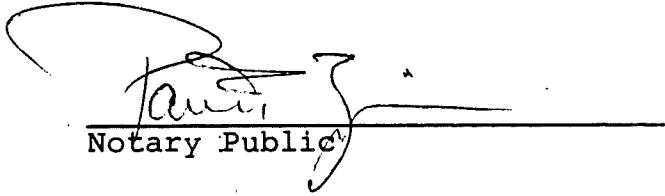
Paul F. Zendzian, Esq.
Paul F. Zendzian, P.A.
114 State St.
P.O. Box 2436
Augusta, Maine 04330
General Counsel of
Maine Democratic State Committee

State of Maine
Kennebec, ss

October 10, 1984

Then personally appeared the above named Jane Paxton and made oath that the foregoing statements are true to the best of her knowledge, information and belief.

Before me,



Notary Public



REPUBLICAN STATE COMMITTEE 51 Chapel Street, Augusta, Maine 04330 (207) 622-6247

October 2, 1984

Hon. John Baldacci, Chairman
Joint Select Committee to
Investigate Public Utilities
State Of Maine
Legislature
Augusta, Maine 04333

Dear Chairman Baldacci:

This letter is to confirm the receipt of the following two documents; 1) Request for Production of Documents, and 2) Interrogatories. These two items were delivered today's date by the U. S. Postal Service.

It is our intention to comply fully with your request within the 14 day period outlined in the document. It is our understanding that this period began upon the receipt of said documents.

Sincerely,

Loyall F. Sewall
Chairman

STATE OF MAINE
111TH MAINE LEGISLATURE
JOINT SELECT COMMITTEE
TO INVESTIGATE PUBLIC UTILITIES

ANSWERS OF
THE MAINE REPUBLICAN STATE COMMITTEE
TO
INTERROGATORIES PROPOUNDED
DATED SEPTEMBER 25, 1984

NOW COMES the Maine Republican State Committee, and Answers
the Interrogatories propounded to it as follows:

Interrogatory 1: To the best of my knowledge,
information, and belief the Maine Republican State
Committee has not.

Interrogatories 2 - 6: No response required.

Interrogatory 7: To the best of my knowledge,
information, and belief, the Maine Republican State
Committee has not.

Interrogatory 8: To the best of my knowledge,
information, and belief, the Maine Republican State
Committee has not.

Interrogatory 9: To the best of my knowledge,
information, and belief, the Maine Republican State
Committee has not.

Interrogatory 10: To the best of my knowledge,
information, and belief, the Maine Republican State
Committee has not.

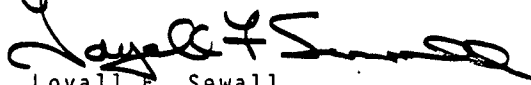
Interrogatory 11: To the best of my knowledge,
information, and belief, the Maine Republican State
Committee has not.

Interrogatory 12: To the best of my knowledge,
information, and belief, the Maine Republican State
Committee has not.

Interrogatory 13: To the best of my knowledge,
information, and belief, the Maine Republican State
Committee has not.

Dated at Augusta, Maine this fifteenth day of October 1984.

Sincerely,


Loyall F. Sewall
Chairman

Then personally appeared the above named Loyall F. Sewall
and made oath that the foregoing statements are true to the
best of his knowledge, information, and belief.

Before me,


Notary Public

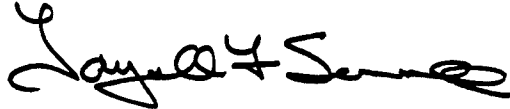
STATE OF MAINE
111TH MAINE LEGISLATURE
JOINT SELECT COMMITTEE TO
INVESTIGATE PUBLIC UTILITIES

ANSWERS OF
THE MAINE REPUBLICAN STATE COMMITTEE
TO
REQUEST FOR PRODUCTION OF DOCUMENTS
DATED SEPTEMBER 25, 1984

NOW COMES the Maine Republican State Committee and
Answers the Request for Production of Documents propounded
to it as follows:

Requests Numbered 1 through 27 inclusive: To the
best of my knowledge, information, and belief the Maine
Republican State Committee has no such documents.

Dated at Augusta, Maine this fifteenth day of October,
1984.

A handwritten signature in black ink, appearing to read "Loyall F. Sewall", with a stylized flourish at the end.

Loyall F. Sewall
Chairman
Maine Republican State Committee
51 Chapel Street
Augusta, Maine 04330

STATE OF MAINE
ONE HUNDRED AND ELEVENTH LEGISLATURE

IN RE JOINT SELECT COMMITTEE TO
INVESTIGATE PUBLIC UTILITIES

ANSWERS OF GEORGE J. MITCHELL
TO INTERROGATORIES PROPOUNDED BY
JOINT SELECT COMMITTEE TO INVESTIGATE
PUBLIC UTILITIES

NOW COMES GEORGE J. MITCHELL, under oath, and answers the Interrogatories propounded by the Joint Select Committee to Investigate Public Utilities, and says:

1. State whether you have ever purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm. If your response is in the affirmative, please answer Interrogatories Numbered 2 - 6. If your answer is in the negative, you need not answer Interrogatories Numbered 2 - 6.

ANSWER: No.

2. Answer not required.
3. Answer not required.
4. Answer not required.
5. Answer not required.
6. Answer not required.

7. State whether you have ever received from Command Research or Christian Potholm either orally or in writing the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored, in whole or in part, by the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee. If your response is in the affirmative, identify the approximate date that such information was received by you, any documents possessed by you that mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal office holder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

8. State whether you have ever directly or indirectly received from the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking

study. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state office holder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

9. State whether you have ever received from Ad-Media, including any employees or agents thereof, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such

information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

10. State whether you have ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

ANSWER: No.

11. State whether you have ever received during the period 1980-1983 any contribution, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the date of the contribution, the amount of the contribution, and the nature of any in-kind contribution made, i.e., a brief description of the goods and services received.

ANSWER: No.

12. State whether you know of any expenditures made on your behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the identity of the person who made the expenditure, the date of the expenditure, the amount of the expenditure, and the nature of the goods and services constituting the expenditure.

ANSWER: No.

13. State whether you have ever purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company. If your response is in the affirmative, state the identity of each person from whom goods and services were purchased, the date of purchase, the amount of the purchase, and the nature of the goods and services received.

ANSWER: No.

Dated: October 12, 1984.


George J. Mitchell

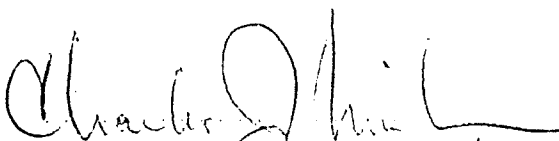
STATE OF MAINE

October 12, 1984

CUMBERLAND, ss.

Personally appeared the above-named, GEORGE J. MITCHELL, and subscribed and swore to the truth of the foregoing Answers to Interrogatories to the best of his knowledge, information and belief.

Before me,


Notary Public (Seal)
~~Attorney at Law~~
My commission expires: / /

STATE OF MAINE
ONE HUNDRED AND ELEVENTH LEGISLATURE

IN RE JOINT SELECT COMMITTEE TO
INVESTIGATE PUBLIC UTILITIES

RESPONSE OF GEORGE J. MITCHELL
TO REQUEST FOR PRODUCTION OF
DOCUMENTS OF JOINT SELECT COMMITTEE
TO INVESTIGATE PUBLIC UTILITIES

NOW COMES GEORGE J. MITCHELL, and responds to Request for Production of Documents as follows:

I have no documents in my possession or under my control which are responsive to Requests #1 through #27.

Dated: October 12, 1984.


George J. Mitchell

SHERRY F. HUBER
430 Blackstrap Road/Falmouth, Maine 04105

October 9, 1984

John E. Baldacci, Chair
Joint Select Committee to Investigate Public Utilities
Legislative P.O.
State House
Augusta, Maine 04333

Dear John:

Enclosed please find my written responses in regard to the Joint Select Committee to Investigate Public Utilities REQUEST FOR PRODUCTION OF DOCUMENTS and INTERROGATORIES as sworn to by me under oath.

For your information, I served as a volunteer on the Committee to save Maine Yankee in the second referendum campaign. I was not reimbursed for this activity.

Sincerely,

Sherry F. Huber
Sherry F. Huber

Personally appeared the above named Sherry F. Huber and acknowledged the above instrument to be her free act and deed.

Before me

Gail F. Andrews

Gail F. Andrews
Notary Public

SENATE

JOHN E. BALDACCI, DISTRICT 25, CHAIR
PETER W. DANTON, DISTRICT 4
CHARLOTTE Z. SEWALL, DISTRICT 20

ARC ASCH, STAFF DIRECTOR



HOUSE

DAVID B. SOULE, WESTPORT, CHAIR
JOHN L. MARTIN, EAGLE LAKE
EDWARD C. KELLEHER, BANGOR
CAROL ALLEN, WASHINGTON
NATHANIEL J. CROWLEY, SR.
STOCKTON SPRINGS
PATRICIA M. STEVENS BANGOR
LINWOOD M. HIGGINS, SCARBOROUGH
E. CHRISTOPHER LIVESAY, BRUNSWICK
RALPH M. WILLEY, HAMPDEN
DONALD F. SPROUL, AUGUSTA

STATE OF MAINE

ONE HUNDRED AND ELEVENTH LEGISLATURE

JOINT SELECT COMMITTEE TO INVESTIGATE PUBLIC UTILITIES

September 25, 1984

Ms. Sherry Huber
430 Blackstrap Road
Falmouth, Maine 04105

Re: INTERROGATORIES

Dear Ms. Huber:

The Maine legislature has created the Joint Select Committee to Investigate Public Utilities (the "Committee"). A copy of the Committee's authorization is enclosed. You are or have been a candidate for public elective office. Pursuant to the powers and authorities granted under the Maine Revised Statutes, Title 3, Section 162, subsection 4, Section 165, subsection 7, and Section 401 et seq., the Committee requests Ms. Sherry Huber to respond, in writing and under oath, to the Interrogatories propounded herein within fourteen (14) days.

DEFINITIONS

As used in this set of Interrogatories the terms below are defined as follows:

A. The term "contribution" with respect to federal elections means as defined at 2 U.S.C. §§ 431(8) and 441b(b)(2), and 11 C.F.R. §§ 100.7 and 114.1(a)(1983). The term "contribution" with respect to Maine elections means as defined at 21 M.R.S.A. §§ 1392, subsection 2, and 1395.

B. The term "document" shall mean the original and all non-identical copies of all papers and records of every type in your possession, custody, or control, including, but not limited to, books, letters, contracts, notes, diaries, log sheets, records of telephone communications, transcripts, vouchers, accounting statements, ledgers, checks, money orders or other commercial paper, telegrams, telexes, pamphlets, circulars, leaflets, reports, memoranda, minutes, correspondence, surveys, tabulations, audio and video recordings, microfiche, microfilm, drawings, photographs, graphs, charts, diagrams, lists, computer print-outs, and all other writings and other data compilations, including computer discs, tapes, and other forms of artificial memory, from which information can be obtained.

C. The term "expenditure" with respect to federal elections means as defined at 2 U.S.C. §§ 431(9) and 441b(b)(2), and 11 C.F.R. §§ 100.8 and 114.1(a)(1983). The term "expenditure" with respect to Maine elections means as defined at 21 M.R.S.A. § 1392, subsection 4.

D. The term "federal candidate" means an individual as defined at 2 U.S.C. § 431(2) and 11 C.F.R. § 100.3(1983), and that individual's agents, principal campaign committee, and authorized committees.

E. The term "federal officeholder" means any person elected to a position of responsibility in the United States government, including every Member of Congress.

F. The terms "identify" and "identity" mean:

(1) With respect to any individual, the full name, title, if any, last known residence and business addresses, and telephone number of that individual.

(2) With respect to a committee, group, institution, corporation, or other entity, the official title or name of the entity in question, its last known address, telephone number, and the name of its chief executive officer.

(3) With respect to a document, information sufficient for purposes of a subpoena duces tecum.

(4) With respect to an oral communication, the substance of such oral communication, the place at which and the date on which such oral communication was made, the identity of each person making such oral communication, each person to whom it was made, every other person who was present when it was made, and all documents reflecting the conversation.

G. The term "in-kind contribution" means a contribution of goods and services without charge or at a charge which is less than the usual or normal charge for such goods or services.

H. The phrase "mentions, relates, or refers to" a given subject matter means any document that constitutes, contains, embodies, comprises, reflects, identifies, states, deals with, comments on, responds to, describes, analyzes, or is in any way pertinent to that subject, including, without limitation, documents concerning the presentation of other documents.

I. The word "person" is used in its broadest sense and includes natural persons, committees, corporations, partnerships, trusts and all other types of entities.

J. The term "political committee" means any group of persons that receives or makes contributions or expenditures in connection with Maine ballot questions, state elections, or federal elections.

K. The term "political party" means an association, committee, or organization, or any division, branch, or unit thereof, which nominates or selects a candidate for election to any federal, state, or local office.

L. The phrase "relating or incident to" a given subject matter means any document that constitutes, contains, embodies, comprises, reflects, identifies, states, refers to, deals with, comments on, responds to, describes, analyzes, or is in any way pertinent to that subject including, without limitation, documents concerning the presentation of other documents.

M. The term "state candidate" means an individual as defined at 21 M.R.S.A. § 1, subsection 4-A and that individual's agents and political committees.

N. The term "state officeholder" means any person elected to a position of responsibility in Maine state or local government, including every member of the Maine Legislature.

O. The term "you" or "your" shall mean the person to whom this document request is directed, including your former and present employees and agents. Information sought in this document request from you shall include information within the control or possession of your agents, employees and attorneys, and any other persons, firms, political committees, or political parties, directly or indirectly subject to your control or direction in any way whatsoever.

INSTRUCTIONS

A. Whenever you are asked in these Interrogatories to "state," "explain," "set forth," "describe," or "define" a fact, event, action or allegation, you are to explain such fact, event, action or allegation in detail, giving reasons therefor, the dates and places involved and identify persons involved and the acts involved.

B. Whenever appropriate, the singular form shall be interpreted as plural and vice versa, and the present tense includes the past tense and vice versa.

C. The words "and" and "or" shall be used interchangeably and shall be construed to have both conjunctive and disjunctive meanings.

D. The word "including" shall mean "including but not limited to."

E. If you lack the knowledge necessary to answer any Interrogatory herein, it should be so stated.

F. If your answer is made upon information and belief, it should be so stated along with the identity of the source of such information (person or document), and an exact account of the surrounding circumstances upon which you obtained such information and belief.

H. If any information called for in any interrogatory is withheld on the ground that it is subject to the attorney-client privilege, or to any other privilege, state with respect to such Interrogatory that such information is being withheld, provide a description of the subject matter of the information being withheld, and state the nature of the claimed privilege as well as the specific basis for your claim of such privilege.

I. These Interrogatories request information on your activities between January 1, 1980 and the date upon which they are answered.

J. The Interrogatories shall be deemed to be continuing in nature, requiring proper and timely supplementation to any answers as soon as new relevant information within the scope of these Interrogatories becomes known to you.

INTERROGATORIES

1. State whether you have ever purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm. If your response is in the affirmative, please answer interrogatoies numbers 2-6. If your answer is in the negative, you need not answer interrogatories numbers 2-6.

no
SFH

2. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of President Ronald Reagan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

no
SFH

3. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine U.S. Senatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used.

no
SFH

In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

NO
STH

4. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of Maine governor Joseph Brennan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

NO
STH

5. State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

NO
STH

6 . State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' attitudes toward the imposition of restrictions on the use of nuclear power. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

NO
SFH

7. State whether you have ever received from Command Research or Christian Potholm either orally or in writing the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored, in whole or in part, by the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee. If your response is in the affirmative, identify the approximate date that such information was received by you, any documents possessed by you that mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

NO
SFH

8. State whether you have ever directly or indirectly received from the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee

to Save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking study. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

no
SFH

9. State whether you have ever received from Ad-Media, including any employees or agents thereof, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

no
SFH

10. State whether you have ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

no
SFH

11. State whether you have ever received during the period 1980-1983 any contribution, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the date of the contribution, the amount of the contribution, and the nature of any in-kind contribution made, i.e., a brief description of the goods and services received.

no
SFH

12. State whether you know of any expenditures made on your behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the identity of the person who made the expenditure, the date of the expenditure, the amount of the expenditure, and the nature of the goods and services constituting the expenditure.

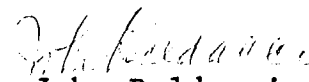
no
SFH

13. State whether you have ever purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company. If your response is in the affirmative, state the identity of each person from whom goods and services were purchased, the date of purchase, the amount of the purchase, and the nature of the goods and services received.

no
SFH

We look forward to your cooperation with the Committee in answering these interrogatories.

Sincerely,


John Baldacci
Chairman

Encls.

STATE OF MAINE

In Senate September 7, 1983

Whereas, the United States Constitution, Amendment X, reserves to the states the exercise of the police power to protect public health, morals and public safety; and

Whereas, under the Constitution of Maine, the Legislature bears a portion of the responsibility to protect the public health, morals and safety; and

Whereas, the regulation of public utilities is a function of the Legislature; and

Whereas, in 1913 the Legislature delegated to the Public Utilities Commission the regulation of public utilities, including those granted monopoly status by Act of the Legislature and by operation of other laws; and

Whereas, the delegation of power to the Public Utilities Commission is limited, with the residual power and duty to regulate public utilities remaining in the Legislature; and

Whereas, the Legislature maintains constant oversight of the activities of the Public Utilities Commission and its efforts to regulate the public utilities of Maine; and

Whereas, the Legislature has been informed of the following matters:

1. Recently, the Public Utilities Commission has conducted an investigation of matters in connection with the false testimony of a specific utility and has proposed to order it and several of its officers and employees to show cause why it and the officers and employees should not be held in contempt for presenting false information to the commission, engaging in a series of actions designed to continue to impede the commission's authority and for failing to correct that information when its misleading nature became known to the utility;

2. As a result of that investigation, the utility and a senior officer of the utility have pleaded guilty in Maine courts to the crimes of falsification of physical evidence

the regulation of public utilities;

5. Whether ratepayers' money has been used directly or indirectly to affect the regulation of public utilities;

6. The ability of the commission to properly and thoroughly investigate, monitor and report on the matters set forth above; and

7. The adequacy of the present laws governing public utility regulation and elections to properly reveal and regulate the political participation of utilities; and be it further

Ordered, that to carry out this investigation, the Legislature grants to this committee all the powers and authority of a legislative investigating committee as provided under the Revised Statutes, Title 3, section 162, subsection 4; section 165, subsection 7; and sections 401, et seq. The committee may hire legal counsel and staff as necessary; and be it further

Ordered, that the committee shall make its final report, including recommended legislation, as well as any interim reports and recommended legislation, not later than the convening of the 112th Legislature.

(Sen. Baldacci)

SPONSORED BY: John Baldacci

643

COUNTY: Penobscot

(Sen. Pray)

SPONSORED BY: Charles Pray

COUNTY: Penobscot

(Speaker Martin)

SPONSORED BY: John C. Martin

TOWN: Eagle Lake

(Rep. Vose)

SPONSORED BY: Walter P. Vose

TOWN: Eastport

READ AND
IN SENATE CHAMBER
TABLED BY SEN. SEN. PRAY
OF OF PENOBSCOT

SEP 7 1983

PENDING PASSAGE.
JOY J. O'BRIEN, Secretary
SPEC. ASSIGN'D AND
LATER IN DAY

IN SENATE

TAKEN FROM TABLE ON MOTION

BY RESIDENTS AND

SEP 7 1983

SENT DOWN FOR CONCURRENCE

(13-9)
Sent Forthwith

SENATE

JOHN E. BALDACCI, DISTRICT 25, CHAIR
PETER W. DANTON, DISTRICT 4
CHARLOTTE Z. SEWALL, DISTRICT 20

MARC ASCH, STAFF DIRECTOR



HOUSE

DAVID B. SOULE, WESTPORT, CHAIR
JOHN L. MARTIN, EAGLE LAKE
EDWARD C. KELLEHER, BANGOR
CAROL ALLEN, WASHINGTON
NATHANIEL J. CROWLEY, SR.
STOCKTON SPRINGS
PATRICIA M. STEVENS BANGOR
LINWOOD M. HIGGINS, SCARBOROUGH
E. CHRISTOPHER LIVESAY, BRUNSWICK
RALPH M. WILLEY, HAMPDEN
DONALD F. SPROUL, AUGUSTA

STATE OF MAINE

ONE HUNDRED AND ELEVENTH LEGISLATURE

JOINT SELECT COMMITTEE TO INVESTIGATE PUBLIC UTILITIES

September 25, 1984

Ms. Sherry Huber
430 Blackstrap Road
Falmouth, Maine 04105

Re: REQUEST FOR PRODUCTION OF DOCUMENTS

Dear Ms. Huber:

The Maine Legislature has created the Joint Select Committee to Investigate Public Utilities (the "Committee"). A copy of the Committee's authorization is enclosed. You are or have been a candidate for public elective office. Pursuant to the powers and authorities granted under the Maine Revised Statutes, Title 3, Section 162, subsection 4, Section 165, subsection 7, and Section 401 et seq., the Committee requests that Ms. Sherry Huber produce, within fourteen (14) days at the offices of this committee during normal business hours the documents requested herein and to continue to produce such documents each day thereafter as may be necessary for the Committee to complete the examination and reproduction of these documents.

DEFINITIONS

As used in this request for the production of documents the terms below are defined as follows:

A. The term "contribution" with respect to federal elections means as defined at 2 U.S.C. §§ 431(8) and 441b(b)(2), and 11 C.F.R. §§ 100.7 and 114.1(a)(1983). The term "contribution" with respect to Maine elections means as defined at 21 M.R.S.A. §§ 1392, subsection 2, and 1395, subsection 5.

B. The term "document" shall mean the original and all non-identical copies of all papers and records of every type in your possession, custody, or control, including, but not limited to, books, letters, contracts, notes, diaries, log sheets, records of telephone communications, transcripts, vouchers, accounting statements, ledgers, checks, money orders or other commercial paper, telegrams, telexes, pamphlets, circulars, leaflets, reports, memoranda, minutes, correspondence, surveys, tabulations, audio and video recordings, microfiche, microfilm, drawings, photographs, graphs, charts, diagrams, lists, computer print-outs, and all other writings and other data compilations, including computer discs, tapes, and other forms of artificial memory, from which information can be obtained.

C. The term "expenditure" with respect to federal elections means as defined at 2 U.S.C. §§ 431(9) and 441b(b)(2), and 11 C.F.R. §§ 100.8 and 114.1(a)(1983). The term "expenditure" with respect to Maine elections means as defined at 21 M.R.S.A. § 1392, subsection 4.

D. The term "federal candidate" means an individual as defined at 2 U.S.C. § 431(2) and 11 C.F.R. § 100.3(1983), and that individual's agents, principal campaign committee, and authorized committees.

E. The term "federal officeholder" means any person elected to a position of responsibility in the United States government, including every Member of Congress.

F. The terms "identify" and "identity" mean with respect to a document, information sufficient for purposes of a Subpoena duces Tecum.

G. The term "in-kind contribution" means a contribution of goods and services without charge or at a charge which is less than the usual or normal charge for such goods or services.

H. The phrase "mentions, relates, or refers to" a given subject matter means any document that constitutes, contains, embodies, comprises, reflects, identifies, states, deals with, comments on, responds to, describes, analyzes, or is in any way pertinent to that subject, including, without limitation, documents concerning the presentation of other documents.

I. The word "person" is used in its broadest sense and includes natural persons, committees, corporations, partnerships, trusts and all other types of entities.

J. The term "political committee" means any group of persons that receives or makes contributions or expenditures in connection with Maine ballot questions, state elections, or federal elections.

K. The term "political party" means an association, committee, or organization, or any division, branch, or unit thereof, which nominates or selects a candidate for election to any federal, state, or local office.

L. The phrase "relating or incident to" a given subject matter means any document that constitutes, contains, embodies, comprises, reflects, identifies, states, refers to, deals with, comments on, responds to, describes, analyzes, or is in any way pertinent to that subject including, without limitation, documents concerning the presentation of other documents.

M. The term "state candidate" means an individual as defined at 21 M.R.S.A. § 1, subsection 4-A and that individual's agents and political committees.

N. The term "state officeholder" means any person elected to a position of responsibility in Maine state or local government, including every member of the Maine Legislature.

O. The term "you" or "your" shall mean the person to whom this document request is directed, including your former and present employees and agents. Information sought in this document request from you shall include information within the control or possession of your agents, employees and attorneys, and any other persons, firms, political committees, or political parties, directly or indirectly subject to your control or direction in any way whatsoever.

INSTRUCTIONS

A. For each document produced, state the number of the document request to which it is responsive.

B. If any document called for herein is withheld under a claim of privilege, please furnish a list identifying each such document for which the privilege is claimed, together with the following information:

1. a description of the subject matter;
2. the date, if any, appearing on the document;
3. the name and title of the author;
4. the name and title of the person to whom the document was addressed;
5. the name and title of the person to whom the document was actually sent;
6. the number of pages in the document;
7. the paragraph of this request to which the document is otherwise responsive; and
8. the nature of the claimed privilege as well as the specific basis for your claim of such privilege.

C. If you cannot produce any document requested, or any portion thereof, after exercising due diligence to do so, state the reasons for the inability to produce, and describe your efforts in attempting to produce the document.

D. If any document called for herein is unavailable to you by reason of the fact that it is not within your possession, custody, or control, please furnish a list identifying each such document, and identify the person or entity that has possession, custody, or control thereof.

E. Whenever appropriate in this document request, the singular form shall be interpreted as plural and vice versa, and the present tense includes the past tense and vice versa.

F. The words "and" and "or" shall be used interchangeably and shall be construed to have both conjunctive and disjunctive meanings.

G. Any reference in this document request to an artificial entity shall be interpreted as a reference to such entity as well as its present and former employees, agents, directors, members, branches, divisions, subsidiaries, and departments.

H. Unless otherwise noted, this document request requires the production of documents prepared, drafted or created between January 1, 1980 and the date of the actual document production.

I. This document request shall be deemed to be continuing in nature, requiring proper and timely supplementation as soon as new relevant documents within the scope of this document request become known to you.

REQUEST FOR DOCUMENTS

1. All documents relating or incident to any question drafted or prepared in whole or in part by you or on your behalf and contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

none to
my knowledge
SFH

2. All documents relating or incident to the analysis or processing of any data collected or the results obtained in connection with any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

3. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' approval or disapproval of the performance of President Ronald Reagan.

"
SFH

4. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' voting preferences with respect to the 1982 Maine U.S. Senatorial election.

"
SFH

5. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' approval or disapproval of the performance of Maine Governor Joseph Brennan.

None to my
knowledge
SFH.

6. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election.

"
SFH

7. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' attitudes toward the imposition of restrictions on the use of nuclear power.

"
SFH

8. All documents relating or incident to the results of any question contained in any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company, which question measured the respondents' voting preferences in any local, state, or federal election.

"
SFH

9. All diaries, calendars, notes, and all other documents memorializing any oral discussion or relating or incident to any written discussion of the results of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

None to my knowledge
SFH

10. All documents, not produced pursuant to another document request, relating or incident to any results of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

11. All documents relating or incident to the direct or indirect use or receipt by you or a federal officeholder, a state officeholder, a state candidate, a federal candidate, or a political party of any results or data of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

12. All documents relating or incident to the identity of each and every person who directly or indirectly used or received the results or data of any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

13. All documents that directly or indirectly incorporated or used any results of a poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

None to my knowledge
SFH

14. All documents, not produced pursuant to another document request, relating or incident to any poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

15. All correspondence and all other documents transmitted by you to the Central Maine Power Company, Atlantic Research Company, any other Maine utility company, or the Committee to Save Maine Yankee relating or incident to any poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Committee to Save Maine Yankee, Christian Potholm, Command Research, the Atlantic Research Company, the Central Maine Power Company, or any other Maine utility company.

"
SFH

16. All correspondence and all other documents received by you from the Central Maine Power Company, Atlantic Research Company, any other Maine utility company, or the Committee to Save Maine Yankee relating or incident to any poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Committee to Save Maine Yankee, Christian Potholm, Command Research, the Atlantic Research Company, the Central Maine Power Company, or any other Maine utility company.

"
SFH

17. All correspondence and all other documents transmitted by Ad-Media to you which mention, relate, or refer to any poll, opinion survey or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

None to my knowledge
SFH

18. All correspondence and all other documents transmitted by you to Ad-Media which mention, relate, or refer to any poll, opinion survey or tracking study conducted or sponsored, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

19. All correspondence and all other documents transmitted by you to a state officeholder, a federal officeholder, a state candidate, a federal candidate, or a political party that mention, relate, or refer to any results of a poll, opinion survey or tracking study conducted or sponsored, in whole or in part, by or for the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

20. All correspondence and all other documents received by you from a state officeholder, a federal officeholder, a state candidate, a federal candidate, or a political party that mention, relate, or refer to any results of a poll, opinion survey, or tracking study conducted or sponsored, in whole or in part, by or for the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

21. All correspondence and all other documents transmitted by you to Elwin W. Thurlow, Thomas Webb, Robert Scott, Pat Lydon, Robert Leason, and Don Marden which mention, relate, or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

None to my knowledge
SFH

22. All correspondence and all other documents received by you from Elwin W. Thurlow, Thomas Webb, Robert Scott, Pat Lydon, Robert Leason, and Don Marden which mention, relate, or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Command Research, Christian Potholm, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

23. All correspondence and all other documents transmitted by you to any other person which mention, relate, or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

24. All correspondence and all other documents received by you from any other person which mention, relate, or refer to any results or data from a poll, opinion survey, or tracking study sponsored or conducted, in whole or in part, by the Atlantic Research Company, Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company.

"
SFH

25. All correspondence and all other documents relating or incident to any goods or services purchased by you, directly or indirectly, from the Atlantic Research Company, the Committee to Save Maine Yankee, Central Maine Power Company, or any other Maine utility company in connection with a federal or state election, excluding those documents concerning the provision of utility services to you, such as electricity and telephone service, unless such utility services were provided to you for less than their fair market value.

None to my knowledge
SFH

26. All documents relating or incident to any contributions, including in-kind contributions, received by you from the Committee to Save Maine Yankee, Central Maine Power Company, the Atlantic Research Company, or any other Maine utility company that were made in the period 1980-1983 and have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices.

"
SFH

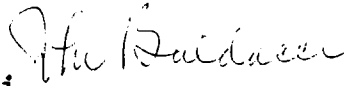
27. All documents relating or incident to any expenditures made on your behalf by the Committee to Save Maine Yankee, Central Maine Power Company, the Atlantic Research Company, or any other Maine utility company during the period 1980-1983 that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices.

"
SFH

We look forward to your cooperation with the
Committee in this matter.

Sincerely,

John Baldacci
Chairman

A handwritten signature in cursive script, appearing to read "John Baldacci", written in dark ink.

Encls.

Richard H. Pierce

42 ROOSEVELT AVENUE
WATERVILLE, MAINE

October 22, 1984

Andrea Stahl
Staff Member
Joint Select Committee to
Investigate Public Utilities
State House
Augusta, ME 04333

Dear Andrea:

This is to follow up our telephone conversation of earlier today. As we discussed, I was responding to a recorded telephone message from you received upon my return to Maine on Saturday. I have been on the West Coast for over two weeks.

After talking with you, I found that the materials you forwarded to me, although sent to the wrong address, were received by my secretary at my office on October 2, 1984. This is my first day back in the office, and I have had an initial opportunity to review them.

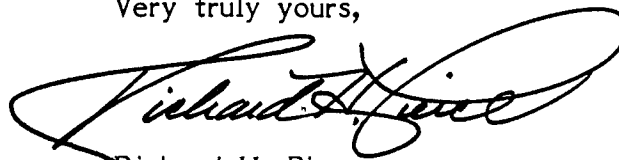
Regarding the Documents referred to in your correspondence, I do not have, nor have I ever had, any of them.

Concerning the Interrogatories, my answers are as follows:

- 1. - No.
- 2.-6. - N/A
- 7.-13. - No.

I assume this is the information the Committee wanted. If you need additional clarification, you can reach me at my home (873-2424) or my office (623-2600).

Very truly yours,



Richard H. Pierce

RHP/jvg

ANSWERS TO INTERROGATORIES

NOW COMES James P. Dunleavy, Esq. and propounds the following Answers to the Interrogatories:

Interrogatory 1: State whether you have ever purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm. If your answer is in the affirmative, please answer interrogatories numbers 2-6. If your answer is in the negative, you need not answer interrogatories numbers 2-6.

Answer 1: I have not, to my recollection, purchased or financed, in whole or in part, a poll, opinion survey or tracking study conducted or managed by Command Research or Christian Potholm.

Interrogatory 2: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of President Ronald Reagan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local or federal election.

Answer 2: Not applicable.

Interrogatory 3: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine U.S. Senatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local or federal election.

Answer 3: Not applicable.

Interrogatory 4: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' approval or disapproval of the performance of Maine governor Joseph Brennan. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local, or federal election.

Answer 4: Not applicable.

Interrogatory 5: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' voting preferences with respect to the 1982 Maine gubernatorial election. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local or federal election.

Answer 5: Not applicable.

Interrogatory 6: State whether any poll, opinion survey, or tracking study conducted or managed by Command Research or Christian Potholm on your behalf contained a question which measured the respondents' attitudes towards the imposition of restrictions on the use of nuclear power. If your answer is in the affirmative, identify the date(s) that the poll, opinion survey, or tracking study was conducted and set forth the language the above-referenced question used. In addition, state whether the poll, opinion survey, or tracking study that contained the above-referenced question was sponsored, in whole or in part, by you in connection with a state, local or federal election.

Answer 6: Not applicable.

Interrogatory 7: State whether you have ever received from Command Research or Christian Potholm either orally or in writing the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored, in whole or in part, by the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee. If your response is in the affirmative, identify the approximate date that such information was received by you, any documents possessed by you that mention, relate or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer 7: I have not, to my recollection, received any such information from Command Research or Christian Potholm either orally or in writing.

Interrogatory 8: State whether you have ever directly or indirectly received from the Atlantic Research Company, the Central Maine Power Company, any other Maine utility company or the Committee to Save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking study. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer 8: I have not, to my recollection, received directly or indirectly from the Atlantic Research Company, the Central Maine Power Company, any other

Maine utility company or the Committee to Save Maine Yankee, including any employees or agents thereof, either orally or in writing the results of any poll, opinion survey, or tracking study.

Interrogatory 9: State whether you have ever received from Ad-Media, including any employees or agents thereof, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer 9: I have not, to my recollection, ever received from Ad-Media, including any employees or agents thereof, either orally or in writing, the results of any poll, opinion survey, or tracking study which I have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company.

Interrogatory 10: State whether you have ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which you have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company. If your response is in the affirmative, identify the person giving you such information, the approximate date such information was received by you, any documents possessed by you which mention, relate, or refer to such information, and describe the nature of such information. In addition, if you transmitted such information to a state officeholder, federal officeholder, state candidate, or federal candidate, identify each such person to whom the information was given and the date given.

Answer 10: I have not, to my recollection, ever received from any person, either orally or in writing, the results of any poll, opinion survey, or tracking study which I have or had reason to believe was sponsored or conducted, in whole or in part, by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company.

Interrogatory 11: State whether you have ever received during the period 1980-1983 any contribution, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the date of the contribution, the amount of the contribution, and the nature of any in-kind contribution made, i.e., a brief description of the goods and services received.

Answer 11: I have not, to my recollection, ever received during the period 1980-1983 any contribution, including in-kind contributions, from the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices.

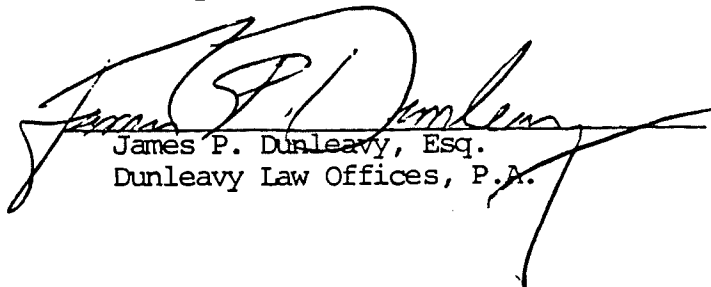
Interrogatory 12: State whether you know of any expenditures made on your behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices. If your response is in the affirmative, state the identity of the person who made the expenditure, the date of the expenditure, the amount of the expenditure, and the nature of the goods and services constituting the expenditure.

Answer 12: I have no knowledge of any expenditures made on my behalf during the period 1980-1983 by the Atlantic Research Company, the Committee to Save Maine Yankee, the Central Maine Power Company, or any other Maine utility company that have not been reported to either the Federal Election Commission or the Maine Commission on Governmental Ethics and Election Practices.

Interrogatory 13: State whether you have ever purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company. If your response is in the affirmative, state the identity of each person from whom goods and services were purchased, the date of purchase, the amount of the purchase, and the nature of the goods and services received.

Answer 13: I have not purchased any goods or services from a Maine utility company in connection with a federal election other than goods or services directly related to the company's utility function e.g., the purchase of telephone services from the telephone company.

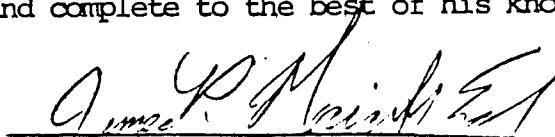
Dated at Presque Isle, Maine, this 15th day of October, 1984.


James P. Dunleavy, Esq.
Dunleavy Law Offices, P.A.

STATE OF MAINE
AROOSTOOK, SS.

Then appeared before me the affiant, James P. Dunleavy, and did swear and affirm that the above answers are true and complete to the best of his knowledge, belief and recollection.

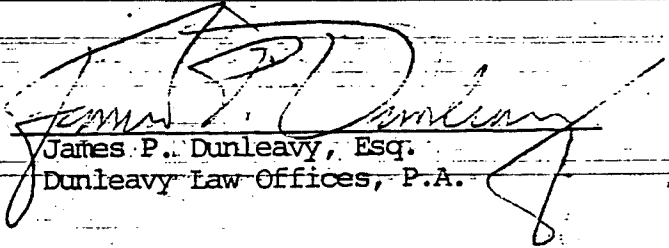
Dated: 10/15/84


James P. Dunleavy, Esq.

ANSWER TO REQUEST FOR PRODUCTION OF DOCUMENTS

1. I do not now have, nor have I ever had to my knowledge, any such documents, nor have any of my aides or associates to my knowledge.

2. - 27 See answer to Request #1.

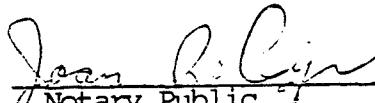

James P. Dunleavy, Esq.
Dunleavy Law Offices, P.A.

STATE OF MAINE
AROOSTOOK, SS.

October 17, 1984

Personally appeared the above named James P. Dunleavy, Esquire, and acknowledged that he voluntarily executed the foregoing instrument.

Before me,


Notary Public
Commission Expires 9/1990

INTERROGATORIES:

- 1 - NO
- 2-6 - NO
- 7 - NO, have never received from C. Potholm or Command Research any poll, etc., that was sponsored by Atlantic Research, Central Maine Power or any other utility.
- 8 - NO, refer to Interrogatory #10.
- 9 - NO, have never received from Ad-Media or any of the others mentioned the results of any poll, survey, etc.
- 10 - YES, I did receive the results of an opinion survey, and I recall the date to be approximately September, 1982. However, I do not recall from whom I received the said survey. The Public Utilities Committee was at the time discussing legislation dealing with the conservation of electricity and a specific conservation program being conducted by Central Maine Power Company. During that time, I received the mentioned opinion survey from one of a number of sources, i.e.: the PUC staff, a Commissioner of the PUC, or from the office of Energy Resources. The documentation was removed from my legislative desk in my absence during the last week of the First Regular Session of the 111th Legislature.
- 11 - NO
- 12 - NO
- 13 - NO

Norman E. Weyment
Signed in my presence this 16th day October, 1984
Donald F. Seward

- REQUEST FOR DOCUMENTS -

1 NONE
2 NONE
3 NONE
4 NONE
5 NONE
6 NONE
7 NONE
8 NONE
9 NONE
10 NONE
11 NONE
12 NONE
13 NONE
14 NONE

15 NONE
16 NONE
17 NONE
18 NONE
19 NONE
20 NONE
21 NONE
22 NONE
23 NONE
24 NONE
25 NONE
26 NONE
27 NONE

Norman E. Wynn
Signed in my presence this 16th day October, 1984
Donald F. Sproul
Donald F. Sproul, Notary Public

APPENDIX G

MAINE VOICE OF ENERGY

CENTRAL MAINE POWER COMPANY

FOR COMPANY BUSINESS ONLY

SUBJECT

DATE August 9, 1979

LOCATION

TO RLBean
SBBrewster
RACRabtree
WMFinn
RSHowe
MHunter
CEMonty
JBRandazza
RFScott
EWThurlow
TCWebb

1. Annette Stevens is formally organizing a citizens committee in support of nuclear power to be known as "Maine Voice of Energy." The committee is patterned after similar organizations in Rhode Island, New Hampshire and Vermont. She is getting considerable support from community leaders in many sections of the State but is in desperate need of financial support to help with telephone calls, office and stationery supplies, purchase of bumper stickers, literature, secretarial help and other expenses. Anyone wishing to help her out can send a contribution to:

Mrs. Annette Stevens
Back Beech Ridge Road/RFD No. 1
North Berwick, Maine 03906

2. The following information is offered for its impact on the initiated petition campaign:
 - A. At the November 6th election an initiated bill "AN ACT to Repeal the forced deposit law, and a bond issue for \$2.5 million for energy conservation improvements on public buildings will be on the ballot." This will draw environmentalists to the polls in an off-year election and will facilitate efforts by the anti-nuclear forces to collect signatures on that date.
 - B. If the petition drive is successful, the anti-nuclear referendum would probably come up during the election of November, 1980, which is a presidential year. Therefore it should mean a sizeable turnout, a factor which should work in our favor.

August 17, 1979

S. A. Bixby

We were pleased with the support Phillips Elmer Corporation's Joe Degen gave nuclear power and have called the letter to the attention of other heads on industry with the hope their firms will take a similar stand.

A new pro-nuclear group to be known as "Maine Voice of Energy" and patterned after similar groups in Vermont, New Hampshire and Rhode Island, is being organized by Mrs. Annette Stevens of North Berwick.

All letters received, both pro and anti-nuclear, are being acknowledged. By way of followup on pro-nuclear letters that have come in expressing support, requesting information and/or volunteering to help out, we send them the enclosed letter and form on Mrs. Stevens and Maine Voice of Energy. Extra copies of the letter and form are enclosed for you to give to Joe Degen and Paul Lowit.

Maine Voice of Energy needs contributions to help support its activities. Mrs. Stevens needs funds to furnish office supplies, secretarial help, telephone charges, bumper stickers, etc. To help raise money Mrs. Stevens is planning to sell T-shirts in addition to soliciting support.

Enclosed is a set of nuclear information materials sent out in response to requests. Depending on the request either a complete package or selected items (for example someone specifically requesting material on nuclear waste or decommissioning are sent the appropriate pieces). After you and Paul Lowit have had an opportunity to review the materials let me know if you would like more sets or selected pieces from them.

M. J. Temple

Enclosures

cc: ILRipley)
 EHBrann) With letter and form enclosure only

5040

8-73
13.000

CENTRAL MAINE POWER COMPANY

FOR COMPANY BUSINESS ONLY

SUBJECT Pro Nuclear Materials


DATE August 9, 1979

LOCATION Augusta

TO Norm Temple

As you may know, Philips Elmet Corporation has taken a positive stand for nuclear power. Joe Degan, the plant manager, sent out a letter to his employees covering the reasons that we need nuclear power from his point of view. Paul Lowit, plant engineer, has requested any material that CMP has available to promote this issue. Specifically, he would like to have brochures and bumper stickers. I understand that this type of material is going to be made available and have so advised Paul.

Philips Elmet would like to know when the material will be available for distribution.


S. A. Bixby

SAB/jm

cc: E. H. Brann
I. L. Ripley
file

MEMO

August 28, 1979

FROM

NORMAN J. TEMPLE

Dear Annette:

Following up on our recent conversation, enclosed are several items we discussed. Among them is Sandy Holmes' letter to the LINCOLN COUNTY NEWS, a general information package on Dickey-Lincoln and a copy of our followup letter and attachment to pro-nuclear advocates who have come forward to date either by direct correspondence or by signing a pro-nuclear petition being circulated in the Gardiner/Augusta/Waterville area as a direct result of the Maine Yankee advertisement.

Hope to have a check for you sometime next week.

Mrs. A. Stevens
100 Beech Ridge Road
Box No. 1
North Berwick, Maine 03906

The fuel has been stored there much longer. Garrett addressed the hidden costs of the nuclear industry and the economic uncertainties involved. He pointed out that Maine Yankee can store its spent fuel only until 1982 before they have to build a new storage pool or ship the spent fuel elsewhere or close down. Any of these choices would be very costly. The surprise costs along the way with this industry seem to be passed along to the consumer. Cost for waste transportation is also very costly and the risks are tremendous.

You refer, Ron, to the federal government being commissioned to plan Away-From-Reactor storage facilities without recognizing that the federal government is run with our tax dollars. We already give subsidies to the nuclear industry to the tune of about \$3 billion annually. Although the nuclear industry will be charged for this storage, will these new charges not be passed along to us too?

Pat Garrett not only remembered the winters of 1977 and 1978 but he explained that we must not let the fuel reserve get very low. It was true that half the nuclear plants were shut down during March and we had no blackouts.

bal is a possible alternative that Garrett had pointed out. A 600-year supply of coal would be lost if the plant closed. You say the plant causes more deaths and injuries to the public and the community when we come to the cancer flags that say "I came here." Cancer death rates near the plant are exceedingly high. Radiation exposure is long-term damage, we the nuclear industry is just beginning to see there is concern among the public about the carbon dioxide released from the plants could cause global warming. It's no less likely that there are some nuclear accidents. 1 percent of the heat produced by the plant could produce local pollution. We are concerned that our fishing industry is being harmed economically by the shellfish possibly being contaminated. We say the shellfish are contaminated because there are sediments near the plant. In Maine Yankee, the activity could get into the water. High-level waste solution, from the Brunswick plant, quoted a Swedish saying, "No amount of money can solve an un-So, Ron, it's the Nobel award that you insist they're talking about."

insulted her I called Mrs. Haughwout to ask if she'd help you better understand what she'd meant. She agreed, "Of course, we should store spent fuel as long as possible since it becomes less hazardous the longer we store it...but can we store this waste for 500,000 years safely? And has anything man has built stood fully intact for 500,000 years?" And she asks, "Why doesn't your friend from Pennsylvania state the solution to high-level waste if he has one?"

By the way - I find it hard to believe that the people in New Mexico, Nevada and Washington State want us to ship our high-level waste to their state. But maybe they have not had it brought to their attention that these plans are afoot.

Marianne Houghwout calls nuclear fission an extraterrestrial way of producing power and urges citizens to study their homeowners insurance policies to find nuclear exclusion clauses and ask themselves why such clauses existed. You say the federal government (Hey! that's you and me again) and the private utility insurance companies have insurance for nuclear accidents. The insurance you refer to may be the Price-Anderson Act. Under that coverage, in the event of a nuclear disaster, we get 7 cents back on one of our dollars and 80 percent of that insurance is paid with our own tax dollars so we sort of pay ourselves back 7 cents on each of our own dollars if a disaster occurs.

As far as the evacuation plans are concerned, you think the people in our community should do what would make them happiest. You point out that under some circumstances following a nuclear accident it would be best to go inside and close the windows. You say evacuation in other cases would insure that no one would get hurt. Evacuation? insures that no one would get hurt?! This is curious - I know the last time I spoke with you, you were pleased to state that you weren't going to raise a family. I don't know what your status is now. My husband and I chose to have children. We love them and will care for them as best we can. If a nuclear accident occurred of a serious enough magnitude that the nuclear industry, through the C.E.P. somehow (I don't know how they could...it's not like an airplane that you immediately see, hear and feel when it crashes!) alerted the people to leave their homes at once...I understand there is a great chance our children could be made very, very ill from this accident. Our children's cells are dividing rapidly and can easily be harmed by overdoses of radioactivity. I believe it may be you who does not know what he's talking about.

When I first learned you were working in Pennsylvania in the nuclear industry and were anxious to talk with people about this subject, I was truly pleased. I might have thought, now there's an old friend, a person who's been trained to work in the nuclear industry, undoubtedly well-versed on this subject...an intelligent person to whom we can turn for the facts. I realize nuclear engineers working in Pennsylvania might feel defensive, as the Three Mile Island incident has undoubtedly brought great pressure their way.

But I wonder if an intelligent person could so quickly dismiss an entire panel of speakers as "not telling the truth" and "not knowing what they're talking about." Ron, without even having heard them speak! I can only assume you misunderstood some of the information you read in the newspaper as it was taken out of context. I hope this response has cleared up a bit of your confusion.

I hope that you will call us and let us know when you come to Maine for your vacation. We would enjoy talking to you, Ron.

Sincerely,
Sandy Holmes

472
GJC

MEMO

August 31, 1979

FROM

NORMAN J. TEMPLE

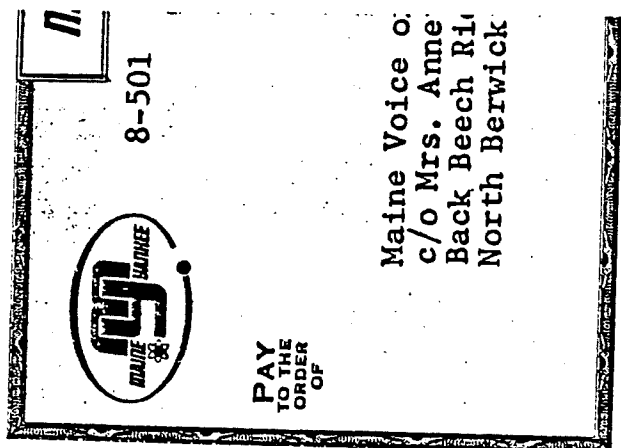
Dear Annette:

Following up on my recent note enclosed is Maine Yankee check number 445 in the amount of \$500 to help support Maine Voice of Energy's programs.

Seems to be a lot of interest here in the T-shirts, and most commonly asked question...when will we have bumper stickers?... It's a big undertaking and if I can do anything to help, please let me know.

Norm Temple

Mrs. Annette Stevens
Back Beech Ridge Road
RFD No. 1
North Berwick, Maine 03906





Maine Voice of Energy
RFD1, North Berwick, Me.
03906

Telephone 676-5828

June 3, 1980

Mr. Charles O'Leary, President
AFL/CIO State of Maine
72 Center Street, P. O. Box 70
Brewer, Maine 04412

Dear Mr. O'Leary:

The enclosed literature is a sample of the material I am trying to place in schools, libraries and businesses, including radio and newspaper offices throughout the state. I sent Mr. Griffin a big batch; he says he puts it in the window, and people are taking it to read. He tells me he would like some posters, but I don't have any yet.

Here are a few ideas:

1. Telephone campaign - properly timed of course. I belong to the New England Region 15 of Nuclear Energy Women, and they say they will help with this. (I may be able to get 10 callers). The main idea is to get the pro-nukes out to vote, but we'll need to identify them. Is that something you could engineer? How about some good lists with 'phone numbers? It is absolutely essential to get the MEW out to vote; there's a tremendous number of anti-nuclear young women in Maine and all of them will come out to vote against the retention of the plant. I can't participate in this myself - nor can any of my members - because of the structure of our organization - but other people in the state can certainly do it.
2. We thought we could put flyers describing nuclear waste disposal on the windshields of autos in big parking lots and shopping centers and factories. We would do this twice. The second flyer would be of a general nature - mainly addressing economics or safety of nuclear. We need to know how many copies we should make so we can determine the cost. Could you help by enlisting the aid of certain people statewide to carry this out?
3. Is there any way we could organize a pro-nuclear rally and promote it in such a way that a lot of people will be willing to show up? (A media event). Should we have a speaker?
4. We could have booths at some of the State fairs if we can get good volunteers. Besides literature, we could have some type of action at these booths - maybe an old Geiger counter registering the radioactivity. I've heard these booths are expensive. Do you know anybody who would donate a couple?

Mr. Charles O'Leary

-2-

June 3, 1980

5. We may be able to get one Maine television station to put on a debate or panel discussion. I'll be working on that for sure.

6. We need people with good media connections so we can get more visibility - know any.

If you have any great public relations inspirations, I sure would like to know about them. Thanks.

Sincerely,

(Mrs.) Annette Stevens

Annette F. Stevens, President
MAINE VOICE OF ENERGY

cc: File



Maine Voice of Energy
RFD1, North Berwick, Me.
03906

F. Maine Voice of Energy

June 3

Hi John

- 1. Brochure*
- 2. Restated Articles of Incorporation*
- 3. Articles of Incorporation*
- 4. By-laws*
- 5. Statement of Purpose*

The reason for the restated articles is because Bob Adam wanted to try for 501(c)(3) and the original articles of Incorporation didn't provide for that (we didn't think we could get it at first so didn't try for it) when we decided to go through with it, the state required the new form.

It gets a little sticky at the bottom of Page 2, Article 6, but guess we'll manage to maneuver around it somehow.

Janette

TO OUR NEW ENGLAND SENATORS AND REPRESENTATIVES:

--VOTE TO KEEP NEW ENGLAND ALIVE!

- BECAUSE AN OIL EMBARGO COULD CUT OFF MORE THAN HALF OF NEW ENGLAND'S ENERGY SUPPLY,
- AND BECAUSE ONE-THIRD OF NEW ENGLAND'S ELECTRICITY COMES FROM NUCLEAR PLANTS,
- WE URGE YOU TO SUPPORT LEGISLATION TO KEEP OUR SEVEN REGIONAL NUCLEAR PLANTS OPERATING AND TO FINISH THOSE PLANNED FOR THE 1980'S.

WE NEED THIS ENERGY TO KEEP NEW ENGLAND ALIVE TODAY AND TO BRING US CLOSER TO ENERGY INDEPENDENCE.

1. _____	21. _____
2. _____	22. _____
3. _____	23. _____
4. _____	24. _____
5. _____	25. _____
6. _____	26. _____
7. _____	27. _____
8. _____	28. _____
9. _____	29. _____
10. _____	30. _____
11. _____	31. _____
12. _____	32. _____
13. _____	33. _____
14. _____	34. _____
15. _____	35. _____
16. _____	36. _____
17. _____	37. _____
18. _____	38. _____
19. _____	39. _____
20. _____	40. _____

File No. 8OND72
Fee Paid \$15.00
C.B. 228
Date 10-15-79

NON-PROFIT CORPORATION
STATE OF MAINE
RESTATED ARTICLES OF INCORPORATION
OF
MAINE VOICE OF ENERGY

MAINE
SECRETARY OF STATE
FILED

October 11, 1979

Secretary of State
AGENT

A true copy attests:

John S. Hollen
Secretary of State
Agent

Section 406 &

Pursuant to 13-B MRSA/Section 805, the undersigned incorporators acting as the board of directors of Maine Voice of Energy, do hereby adopt the following Restated Articles of Incorporation:

- FIRST: The name of the corporation is Maine Voice of Energy.
- SECOND: The purposes of the corporation, a not-for-profit charitable and educational organization within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1954 as amended, are to provide educational materials, to conduct workshops, seminars and forums, and to use all other available educational methods to disseminate information on energy and energy related matters to the general public and interested persons. The corporation will perform these functions on a statewide basis, benefiting the State of Maine as a whole.
- THIRD: The name of the Registered Agent and the address of the Registered Office is as follows:

Name: Annette F. Stevens

Address: Back Beech Ridge Road, RFD #1

North Berwick, Maine 03906

FOURTH: The minimum number of directors shall be three (3) and the maximum number of directors shall be twenty (20).

FIFTH: There shall be four classes of membership, and the information required by Section 402 is as follows:

Student	Individual
Senior Citizen	Family

Membership is open to anyone who wishes to join the organization and pay a designated membership fee. Each member shall have one vote on each matter submitted to a vote of the members.

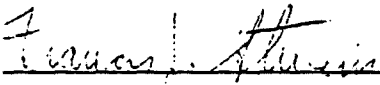

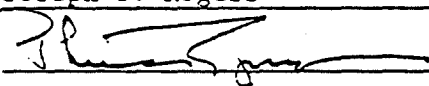
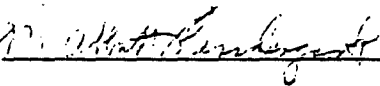
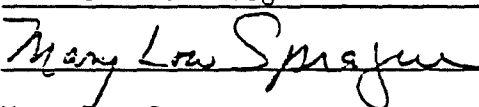
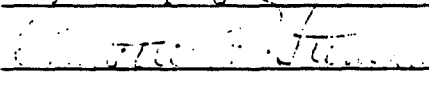
SIXTH: No part of the net earnings of the corporation shall inure to the benefit of, or be distributed to its members, directors, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article 2 above. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in,

or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these Restated Articles, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from Federal income tax under Section 501(c)(3) of the Internal Revenue Code of 1954 or (b) by a corporation, contributions to which are deductible under Section 170(c)(3) of the Internal Revenue Code of 1954, as amended.

SEVENTH: Upon dissolution of the corporation, the board of directors shall, after paying or making provision for the payment of all of the liabilities of the corporation, dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, educational, religious or scientific purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954 as amended as the board of directors shall determine.

These Restated Articles of Incorporation were adopted by a majority vote of the incorporators acting as the board of directors at a meeting held _____, 1979. There were no members entitled to vote on the adoption at that time.

Dated: October 10, 1979

<u>Incorporators</u>	<u>Addresses</u>
<u></u>	<u>Back Beech Ridge Rd., R.F.D. #1</u>
<u>Francis J. Stevens</u>	<u>North Berwick, Me. 03906</u>
<u></u>	<u>Box 222</u>
<u>Joseph J. Rogers</u>	<u>Bath, Me. 04530</u>
<u></u>	<u>P. O. Box 7008</u>
<u>Phineas Sprague</u>	<u>Portland, Me. 04012</u>
<u></u>	<u>450 Ocean Ave.</u>
<u>M. Abbott Pendergast</u>	<u>Kennebunkport, Me. 04046</u>
<u></u>	<u>Prouts Neck</u>
<u>Mary Lou Sprague</u>	<u>Scarborough, Me. 04074</u>
<u></u>	<u>Back Beech Ridge Rd., R.F.D. #1</u>
<u>Annette F. Stevens</u>	<u>North Berwick, Me. 03906</u>

JENSEN BAIRD GARDNER & HENRY
ATTORNEYS AT LAW
477 CONGRESS STREET
PORTLAND, MAINE 04101

207-775-7271

RAYMOND E. JENSEN
KENNETH BAIRD
M. DONALD GARDNER
MERTON G. HENRY
GEORGE B. HEFFERAN, JR.
WALTER E. WEBBER
W. SCOTT CARLISLE III
DONALD A. KOPP
W. JOHN AMERLING
KENNETH M. COLE III
NICHOLAS S. NADZO
GEORGE F. BURNS
DAVID J. JONES
DAVID P. RAY
PAMELA KNOWLES LAWRASON
EILEEN M. L. EPSTEIN

September 14, 1979

Mr. Phineas Sprague, Sr.
The Portland Engineering Company
58 Fore Street
Portland, Maine 04112

Re: Maine Voice of Energy

Dear Phin,

Enclosed for your file is a copy of the Articles of Incorporation of Maine Voice of Energy, bearing the filing data inscribed by the Secretary of State's office.

Sincerely,


George B. Hefferan, Jr.

GBH Jr/ms
Enclosure

P.S. I have just had a call from someone at Peat Marwick who has apparently been working on Articles and By-laws for the organization and I gather you talked to him also. I told him to tell Bob Adam that I was available to sit down to talk about the organization at his convenience.

P.P.S. I just had a call from Bob Adam who is sending me their Articles and By-laws to look over.

This is for the Legislative Studies

For Use By The
Secretary of State

File No. 80ND72

Fee Paid \$20.00

C. B. -----

Date 9-11-79

STATE OF MAINE
ARTICLES OF INCORPORATION
OF

MAINE VOICE OF ENERGY
(insert corporate name)

Secretary of State

MAINE
SECRETARY OF STATE
FILED

September 7, 1979

Secretary of State
AGENT

A true copy attest:

Secretary of State
Agent

Pursuant to 13-B MRSA §403, the undersigned, acting as incorporator(s) of a corporation, adopt(s) the following Articles of Incorporation:

FIRST: The name of the corporation is Maine Voice of Energy

SECOND: The corporation is organized for all purposes permitted under Title 13-B, MRSA, ~~or if not for all purposes, then for the following purposes or purposes~~

This corporation is to perform functions of a statewide nature, or of benefit to the state as a whole.

THIRD: The name of its Registered Agent and address of registered office: (The Registered Agent must be a Maine resident, whose business office is identical with the registered office or a corporation, domestic or foreign, profit or nonprofit, having an office identical with such registered office.)

Name Annette F. Stevens

Street & Number Back Beech Ridge Road, R.F.D. #1

City North Berwick Maine 03906
(zip code)

FOURTH: The number of directors (not less than 3) constituting the initial board of directors of the corporation, if they have been designated or elected is _____

The minimum number of directors (not less than 3) shall be 3 and the maximum number of directors shall be 20

FIFTH: Members: ("X" one box only)

☐ There shall be no members.

☒ There shall be one or more classes of members, and the information required by §402 is as follows:
There are four classes of membership, to wit:

Student	Individual
Senior Citizen	Family

Membership is open to anyone who wishes to join the organization and pay a designated membership fee. Each member shall have one vote on each matter submitted to a vote of the members.

SIXTH: Other provisions of these articles, if any, including provisions for the regulation of the internal affairs of the corporation, and distribution of assets on dissolution or final liquidation:

None

Dated: 8/21/79

INCORPORATORS

Francis J. Stevens
(signature)
FRANCIS J. STEVENS
(type or print name)
Joseph T. Roberts
(signature)
Joseph T. Roberts
(type or print name)
Phineas Sprague
(signature)
Phineas Sprague
(type or print name)
M. Abbott Kennerly
(signature)
M. ABBOTT KENNERLY
(type or print name)
Mary Louise Sprague
(signature)
Mary Louise Sprague
(type or print name)
Annette F. Stevens
(signature)
ANNETTE F. STEVENS
(type or print name)

(signature)

(type or print name)

ADDRESSES

Street BACK BEECH RIDGE RD.
N. BERWICK, ME. 03906
(city, state and zip code)
Street Box 222
Bath, Me. 04530
(city, state and zip code)
Street P.O. Box 7005
Portland Me 04112
(city, state and zip code)
Street 450 OCEAN AVE
Kennebunkport Maine 04046
(city, state and zip code)
Street Peabody Neck
Scarborough, Maine
(city, state and zip code)
Street BACK BEECH RIDGE RD. RFD
NORTH BERWICK ME. 03906
(city, state and zip code)
Street _____
(city, state and zip code)

RECEIVED SEP 13 1979

BY-LAWS
OF
MAINE VOICE OF ENERGY

ARTICLE I

Offices

The principal office of the corporation in the State of Maine shall be located in the ^{town}~~city~~ of North Berwick , county of York . The corporation may have such other offices, either within or without the State of Maine, as the board of directors may determine or as the affairs of the corporation may require from time to time.

The corporation shall have and continuously maintain in the State of Maine a registered office, and a registered agent whose office is identical with such registered office, as required by the Maine Nonprofit Corporation Act. The registered office may be, but need not be, identical with the principal office in the State of Maine, and the address of the registered office may be changed from time to time by the board of directors.

ARTICLE II

Members

Section 1. Classes of Members. The corporation shall have 4 classes of members. The designation is as follows:

Student
Senior Citizen
Individual
Family

All persons shall be eligible for membership in the corporation and their classification shall depend upon their particular circumstances. Senior members are those over 65 years of age.

Section 2. Election of Members. Members shall be elected by the board of directors. An affirmative vote of two-thirds of the directors shall be required for election.

Section 3. Voting Rights. Each member shall be entitled to one vote on each matter submitted to a vote of the members.

Section 4. Termination of Membership. The board of directors, by affirmative vote of two-thirds of all of the members of the board, may suspend or expel a member for cause after an appropriate hearing, and may, by a majority vote of those present at any regularly constituted meeting, terminate the membership of any member who becomes ineligible for membership, or suspend or expel any member who shall be in default in the payment of dues for the period fixed in Article XI of these By-laws.

Section 5. Resignation. Any member may resign by filing a written resignation with the secretary, but such resignation shall not relieve the member so resigning of the obligation to pay any dues, assessments or other charges theretofore accrued and unpaid.

Section 6. Reinstatement. Upon written request signed by a former member and filed with the secretary, the board

of directors may, by the affirmative vote of two-thirds of the members of the board, reinstate such former member to membership upon such terms as the board of directors may deem appropriate.

Section 7. Transfer of Membership. Membership in this corporation is not transferable or assignable.

ARTICLE III

Meetings of Members

Section 1. Annual Meeting. An annual meeting of the members shall be held on the _____ in the month of _____ in each year, beginning with the year 19 __, at the hour of _____ o'clock, . m., for the purpose of electing directors and for the transaction of such other business as may come before the meeting. If the day fixed for the annual meeting shall be a legal holiday in the State of Maine, such meeting shall be held on the next succeeding business day. If the election of directors shall not be held on the day designated herein for any annual meeting, or at any adjournment thereof, the board of directors shall cause the election to be held at a special meeting of the members as soon thereafter as conveniently may be.

Section 2. Special Meetings. Special meetings of the members may be called by the president, the board of directors, or not less than one-tenth of the members having voting rights.

Section 3. Place of Meeting. The board of directors may designate any place either within or without the State of Maine as the place of meeting for any annual meeting or for any special meeting called by the board of directors. If no designation is made or if a special meeting be otherwise called, the place of meeting shall be the registered office of the corporation in the State of Maine; but if all of the members shall meet at any time and place, either within or without the State of Maine, and consent to the holding of a meeting, such meeting shall be valid without call or notice, and at such meeting any corporate action may be taken.

Section 4. Notice of Meetings. Written or printed notice stating the place, day and hour of any meeting of members shall be delivered, either personally or by mail, to each member entitled to vote at such meeting, not less than 10 nor more than 50 days before the date of such meeting, by or at the direction of the president, or the secretary, or the officers or persons calling the meeting. In case of a special meeting or when required by statute or by these By-laws, the purpose or purposes for which the meeting is called shall be stated in the notice. If mailed, the notice of a meeting shall be deemed to be delivered when deposited in the United States mail addressed to the member at his address as it appears on the records of the corporation, with postage thereon prepaid.

Section 5. Informal Action by Members. Any action required by law to be taken at a meeting of the members, or

any action which may be taken at a meeting of members, may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all of the members entitled to vote with respect to the subject matter thereof.

Section 6. Quorum. members shall constitute a quorum at such meeting. If a quorum is not present at any meeting of members, a majority of the members present may adjourn the meeting from time to time without further notice.

Section 7. Proxies. At any meeting of members, a member entitled to vote may vote by proxy executed in writing by the member or by his duly authorized attorney-in-fact. No proxy shall be valid after eleven months from the date of its execution, unless otherwise provided in the proxy.

Section 8. Voting by Mail. Where directors or officers are to be elected by members or any class or classes of members, such election may be conducted by mail in such manner as the board of directors shall determine.

ARTICLE IV

Board of Directors

Section 1. General Powers. The affairs of the corporation shall be managed by its board of directors. Directors need not be residents of the State of Maine or members of the corporation.

Section 2. Number, Tenure and Qualifications. The number of directors shall be not less than 3 nor more than 9. Each director shall hold office until the next annual meeting of members and until his successor shall have been elected and qualified.

Section 3. Regular Meetings. A regular annual meeting of the board of directors shall be held without other notice than this By-law, immediately after, and at the same place as, the annual meeting of members. The board of directors may provide by resolution the time and place, either within or without the State of Maine, for the holding of additional regular meetings of the board without other notice than such resolution.

Section 4. Special Meetings. Special meetings of the board of directors may be called by or at the request of the president or any two directors. The person or persons authorized to call special meetings of the board may fix any place, either within or without the State of Maine, as the place for holding any special meeting of the board called by them.

Section 5. Notice. Notice of any special meeting of the board of directors shall be given at least two days previously thereto by written notice delivered personally or sent by mail or telegram to each director at his address as shown by the records of the corporation. If mailed, such notice shall be deemed to be delivered when deposited in the United States mail in a sealed envelope so addressed, with

postage thereon prepaid. If notice be given by telegram, such notice shall be deemed to be delivered when the telegram is delivered to the telegraph company. Any director may waive notice of any meeting. The attendance of a director at any meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the board need be specified in the notice or waiver of notice of such meeting, unless specifically required by law or by these By-laws.

Section 6. Quorum. A majority of the board of directors shall constitute a quorum for the transaction of business at any meeting of the board; but if less than a majority of the directors are present at said meeting, a majority of the directors present may adjourn the meeting from time to time without further notice.

Section 7. Manner of Acting. The act of a majority of the directors present at a meeting at which a quorum is present shall be the act of the board of directors, unless the act of a greater number is required by law or by these By-laws.

Section 8. Vacancies. Any vacancy occurring in the board of directors and any directorship to be filled by reason of an increase in the number of directors, shall be

filled by the board of directors. A director elected to fill a vacancy shall be elected for the unexpired term of his predecessor in office.

Section 9. Compensation. Directors as such shall not receive any stated salaries for their services, but by resolution of the board of directors a fixed sum and expenses of attendance, if any, may be allowed for attendance at each regular or special meeting of the board; but nothing herein contained shall be construed to preclude any director from serving the corporation in any other capacity and receiving compensation therefor.

Section 10. Informal Action by Directors. Any action required by law to be taken at a meeting of directors, or any action which may be taken at a meeting of directors, may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all of the directors.

ARTICLE V

Officers

Section 1. Officers. The officers of the corporation shall be a president, a secretary, a treasurer and such other officers as may be elected in accordance with the provisions of this article. The board of directors may elect or appoint such other officers, including one or more

vice presidents, assistant secretaries and assistant treasurers, as it shall deem desirable, such officers to have the authority and perform the duties prescribed, from time to time, by the board of directors. Any two or more offices may be held by the same person, except the offices of president and secretary.

Section 2. Election and Term of Office. The officers of the corporation shall be elected annually by the board of directors at the regular annual meeting of the board of directors. If the election of officers shall not be held at such meeting, such election shall be held as soon thereafter as conveniently may be. New offices may be created and filled at any meeting of the board of directors. Each officer shall hold office until his successor shall have been duly elected and qualified.

Section 3. Removal. Any officer elected or appointed by the board of directors may be removed by the board of directors whenever in its judgment the best interests of the corporation would be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the officer so removed.

Section 4. Vacancies. A vacancy in any office because of death, resignation, removal, disqualification or otherwise, may be filled by the board of directors for the unexpired portion of the term.

Section 5. President. The president shall be the principal executive officer of the corporation and shall in

general supervise and control all of the business and affairs of the corporation. He shall preside at all meetings of the members and of the board of directors. He may sign, with the secretary or any other proper officer of the corporation authorized by the board of directors, any deeds, mortgages, bonds, contracts, or other instruments which the board of directors has authorized to be executed, except in cases where the signing and execution thereof shall be expressly delegated by the board of directors or by these By-laws or by statute to some other officer or agent of the corporation; and in general he shall perform all duties incident to the office of president and such other duties as may be prescribed by the board of directors from time to time.

Section 6. Treasurer. If required by the board of directors, the treasurer shall give a bond for the faithful discharge of his duties in such sum and with such surety or sureties as the board of directors shall determine. He shall have charge and custody of and be responsible for all funds and securities of the corporation; receive and give receipts for moneys due and payable to the corporation from any source whatsoever, and deposit all such moneys in the name of the corporation in such banks, trust companies or other depositories as shall be selected in accordance with the provisions of Article VII of these By-laws; and in general perform all the duties incident to the office of treasurer and such other duties as from time to time may be

assigned to him by the president or by the board of directors.

Section 7. Secretary. The secretary shall keep the minutes of the meetings of the members and of the board of directors in one or more books provided for that purpose; see that all notices are duly given in accordance with the provisions of these By-laws or as required by law; be custodian of the corporate records and the seal of the corporation and see that the seal of the corporation is affixed to all documents, the execution of which on behalf of the corporation under its seal is duly authorized in accordance with the provisions of these By-laws; keep a register of the post office address of each member which shall be furnished to the secretary by such member; and in general perform all duties incident to the office of secretary and such other duties as from time to time may be assigned to him by the president or by the board of directors.

Section 8. Vice-Presidents, Assistant Treasurers and Assistant Secretaries. The vice-presidents (if any) shall perform such duties as shall be assigned to them by the president or the board of directors. The assistant treasurers and assistant secretaries, in general, shall perform such duties as shall be assigned to them by the treasurer or the secretary or by the president or the board of directors. If required by the board of directors, the assistant treasurers shall give bonds for the faithful discharge of their duties in such sums and with such sureties as the board of directors shall determine.

ARTICLE VI

Committees

Section 1. Committees of Directors. The board of directors, by resolution adopted by a majority of the directors in office, may designate and appoint one or more committees, each of which shall consist of two or more directors, which committees, to the extent provided in the resolution, shall have and exercise the authority of the board of directors in the management of the corporation; provided, however, that no such committee shall have the authority of the board of directors with respect to amending the articles of incorporation; adopting a plan of merger or consolidation; recommending to the members the sale or other disposition of all or substantially all of the property and assets of the corporation other than in the usual course of its business; recommending to the members voluntary dissolution of the corporation or revocation of such dissolution; amending the By-laws of the corporation; or amending, altering or repealing any resolution of the board of directors which by its terms provides that it shall not be amended, altered or repealed by such committee. The designation and appointment of any such committee and the delegation thereto of authority shall not operate to relieve the board of directors, or any individual director, of any responsibility imposed upon it or him by law.

Section 2. Other Committees. Other committees not having and exercising the authority of the board of directors

in the management of the corporation may be designated by a resolution adopted by a majority of the directors present at a meeting at which a quorum is present. Except as otherwise provided in such resolution, members of each such committee shall be members of the corporation, and the president of the corporation shall appoint the members thereof. Any member thereof may be removed by the person or persons authorized to appoint such member whenever in their judgment the best interests of the corporation shall be served by such removal.

Section 3. Term of Office. Each member of a committee shall continue as such until the next annual meeting of the members of the corporation and until his successor is appointed, unless the committee shall be sooner terminated, or unless such member be removed from such committee, or unless such member shall cease to qualify as a member thereof.

Section 4. Chairman. One member of each committee shall be appointed chairman by the person or persons authorized to appoint the members thereof.

Section 5. Vacancies. Vacancies in the membership of any committee may be filled by appointments made in the same manner as provided in the case of the original appointments.

Section 6. Quorum. Unless otherwise provided in the resolution of the board of directors designating a committee, a majority of the whole committee shall constitute a quorum and the act of a majority of the members present at a meeting at which a quorum is present shall be the act of the committee.

Section 7. Rules. Each committee may adopt rules for its own government not inconsistent with these By-laws or with rules adopted by the board of directors.

ARTICLE VII

Contracts, Checks, Deposits and Funds

Section 1. Contracts. The board of directors may authorize any officer or officers, agent or agents of the corporation, in addition to the officers so authorized by these By-laws, to enter into any contract or execute and deliver any instrument in the name of and on behalf of the corporation, and such authority may be general or confined to specific instances.

Section 2. Checks, Drafts, etc. All checks, drafts or orders for the payment of money, notes or other evidences of indebtedness issued in the name of the corporation, shall be signed by such officer or officers, agent or agents of the corporation and in such manner as shall from time to time be determined by resolution of the board of directors. In the absence of such determination by the board of directors, such instruments shall be signed by the treasurer or an assistant treasurer and countersigned by the president or a vice-president of the corporation.

Section 3. Deposits. All funds of the corporation shall be deposited from time to time to the credit of the corporation in such banks, trust companies or other depositories

as the board of directors may select.

Section 4. Gifts. The board of directors may accept on behalf of the corporation any contribution, gift, bequest or devise for the general purposes or for any special purpose of the corporation.

ARTICLE VIII

Certificates of Membership

Section 1. Certificates of Membership. The board of directors may provide for the issuance of certificates by evidencing membership in the corporation, which shall be in such form as may be determined by the board. Such certificates shall be signed by the president or a vice-president and by the secretary or an assistant secretary and shall be sealed with the seal of the corporation. All certificates evidencing membership of any class shall be consecutively numbered. The name and address of each member and the date of issuance of the certificate shall be entered on the records of the corporation. If any certificate shall become lost, mutilated or destroyed, a new certificate may be issued therefor upon such terms and conditions as the board of directors may determine.

Section 2. Issuance of Certificates. When a member has been elected to membership and has paid any initiation fee and dues that may then be required, a certificate of membership shall be issued in his name and delivered to him

by the secretary, if the board of directors shall have provided for the issuance of certificates of membership under the provisions of Section 1 of this Article VIII.

ARTICLE IX

Books and Records

The corporation shall keep correct and complete books and records of account and shall also keep minutes of the proceedings of its members, board of directors and committees having any of the authority of the board of directors, and shall keep at the registered or principal office a record giving the names and addresses of the members entitled to vote. All books and records of the corporation may be inspected by any member, or his agent or attorney for any proper purpose at any reasonable time.

ARTICLE X

Fiscal Year

The fiscal year of the corporation shall begin on the first day of January and end on the last day of December in each year.

ARTICLE XI

Dues

Section 1. Annual Dues. The board of directors may

determine from time to time the amount of initiation fee, if any, and annual dues payable to the corporation by members of each class.

Section 2. Default and Termination of Membership.

When any member of any class shall be in default in the payment of dues for a period of 6 months from the beginning of the fiscal year or period for which such dues became payable, his membership may thereupon be terminated by the board of directors in the manner provided in Article III of these By-laws.

ARTICLE XII

Seal

The board of directors shall provide a corporate seal, which shall be in the form of a circle and shall have inscribed thereon the name of the corporation and the words "Corporate Seal. Maine".

ARTICLE XIII

Waiver of Notice

Whenever any notice is required to be given under the provisions of the Maine Nonprofit Corporation Act or under the provisions of the articles of incorporation or the By-laws of the corporation, a waiver thereof in writing signed

by the person or persons entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice.

ARTICLE XIV

Amendments to By-laws

These By-laws may be altered, amended or repealed and new By-laws may be adopted by a majority of the directors present at any regular meeting or at any special meeting, if at least two days' written notice is given of intention to alter, amend or repeal or to adopt new By-laws at such meeting.

Internal Revenue Service
District Director

Department of the Treasury

P.O. Box 9107
Boston, MA 02203

Date:

APR 10 1980

Employer Identification Number:

Accounting Period Ending:

December 31

Foundation Status Classification:

170(b)(1)(A)(vi) and 509(a)(1)
Advance Ruling Period Ends:
December 31, 1981

Person to Contact: S. Jordan

Contact Telephone Number: 223-4241

► Maine Voice of Energy
Back Beech Ridge Road, RFD 1
North Berwick, ME 03906

DETERMINATION LETTER 80-613

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code.

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably be expected to be a publicly supported organization described in section 170(b)(1)(A)(vi) and 509(a)(1).

Accordingly, you will be treated as a publicly supported organization, and not as a private foundation, during an advance ruling period. This advance ruling period begins on the date of your inception and ends on the date shown above.

Within 90 days after the end of your advance ruling period, you must submit to us information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, you will be classified as a section 509(a)(1) or 509(a)(2) organization as long as you continue to meet the requirements of the applicable support test. If you do not meet the public support requirements during the advance ruling period, you will be classified as a private foundation for future periods. Also, if you are classified as a private foundation, you will be treated as a private foundation from the date of your inception for purposes of sections 507(d) and 4940.

Grantors and donors may rely on the determination that you are not a private foundation until 90 days after the end of your advance ruling period. If you submit the required information within the 90 days, grantors and donors may continue to rely on the advance determination until the Service makes a final determination of your foundation status. However, if notice that you will no longer be treated as a section 509(a)(1) organization is published in the Internal Revenue Bulletin, grantors and donors may not rely on this determination after the date of such publication. Also, a grantor or donor may not rely on this determination if he or she was in part responsible for, or was aware of, the act or failure to act that resulted in your loss of section 509(a)(1) status, or acquired knowledge that the Internal Revenue Service had given notice that you would be removed from classification as a section 509(a)(1) organization.

District Director, Boston District

(over)

Letter 1045(DO) (6-77)

If your sources of support, or your purposes, character, or method of operation change, please let us know so we can consider the effect of the change on your exempt status and foundation status. Also, you should inform us of all changes in your name or address.

Generally, you are not liable for social security (FICA) taxes unless you file a waiver of exemption certificate as provided in the Federal Insurance Contributions Act. If you have paid FICA taxes without filing the waiver, you should call us. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, you are not automatically exempt from other Federal excise taxes. If you have any questions about excise, employment, or other Federal taxes, please let us know.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

You are required to file Form 990, Return of Organization Exempt from Income Tax, only if your gross receipts each year are normally more than \$10,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. The law imposes a penalty of \$10 a day, up to a maximum of \$5,000, when a return is filed late, unless there is reasonable cause for the delay.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T. In this letter, we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

Because this letter could help resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Information furnished indicates that you may be engaging in activities to influence legislation (directly and indirectly). Substantial legislation activity will jeopardize your exemption under Section 501(c)(3) and preclude recognition under Section 501(c)(4).

Sincerely yours,

HERBERT B. MOSHER
District Director

cc: Robert L. Adam, CPA
Peat, Marwick, Mitchell & Co
Two Canal Plaza, P.O. Box 507 DTS
Portland, ME 05112

Letter 1045(DO) (6-77)

John —

We had to have
this statement
as part of the
package, but I
can't remember
whether it was
for the State or
the IRS; IRS I
think. It was done
by Sam Adam's office.

Donette
think it is called, "a state-
ment of purpose".

Maine Voice of Energy
Back Beech, Ridge Road
North Berwick, Maine 03906

October 15, 1979

Attachment to Form 1023, Part III, Question 3

Maine Voice of Energy recognizes that the present energy shortage in Maine and the country as a whole can be overcome provided the public is fully and accurately educated on means to better use those energy sources presently available as well as alternative sources of energy. The organization's ultimate goal is to develop an informed public able to make rational decisions based upon accurate facts with respect to Maine's energy problems. By gathering accurate information from responsible sources and by distributing that information in a responsible manner, the organization seeks to achieve that goal.

As the organization was only recently incorporated under Title 13-B of the Maine Revised Statutes (The Maine Non-Profit Corporation Act), very few activities have actually been implemented. It is anticipated, however, that once organizational problems are solved, the following activities will be carried on:

- a. Accumulation of accurate and undistorted information on all aspects of present and alternative energy sources to be distributed to the public.
- b. Conducting forums, lectures, group and panel discussions at various locations throughout Maine to present problems and potential solutions to the energy problem.
- c. The presentation of information through competent technical speakers at these various gatherings.
- d. Selective mailings of energy information to members of the public who can, in turn, pass that information on to others.
- e. The use of advertising and the media as a further means of making the organization's information publicly available.

Maine Voice of Energy is concerned with developing an energy independent country and believes that accurate information is the key to responsible decision-making. The organization is particularly concerned with the presentation of accurate and responsible information on nuclear power as a primary source of safe and economical energy for the future. Much of the material and information made available by Maine Voice of Energy will be designed to achieve such accuracy. The organization thus hopes to better prepare the public to make informed decisions with respect to energy and energy-related problems.

July 10, 1980

Ms. Phyllis O'Neil
Summer Arts Festival
Coles Tower

Dear Ms. O'Neil:

I was disturbed to learn from Annette Stevens of Maine Voice of Energy that she will not be allowed to have a booth or to pass out her materials at the Maine Arts Festival because I had received numerous reports that the anti-nuclear activists would be passing out their items at the festival. It seemed only fair that both sides should have equal access to the visitors.

In checking with Dean Fuchs, however, I learned that he has not given permission for any anti or pro nuclear groups to be passing out literature during August 1-3. In fact, he indicated that if any material was found to be circulating, Bowdoin security personnel would be called to remove said persons and materials. I would hope that your office would make sure that this step will not be necessary and that all festival personnel--from Marshall Dodge on down--will not use the Arts Festival to promote their personal political or partisan views, especially as they relate to the September 23 nuclear referendum.

Thanking you in advance, I remain,

Yours very truly,

Christian F. Potholm

CPP:st

cc: Dean Fuchs
/ Annette Stevens

Hi Jack —

It has taken a
year to accumulate
this list of anti-nukes.
Hope it may help you.

I will send additional
names when they come
to my attention.

Barry Levens

P.S. There's 122 of 'em!

JUL 30 1980

7/22/80

ANTI-NUKES

*F- Research -
Anti-Nukes
Individuals*

Akins, Bill, Winslow
Allen, Hollis, Gorham
Alt, James B., Phillips
Andrews, Marna, Old Post Road, York Village - belongs to Lorenz group
Baird, Mary Leighton, Augusta
Barrett, Michael, Richmond
Barrows, Judith M. Camden
Bedard, Judy, 401 Squire Hills, Auburn
Berube, Jr. William J., Augusta
Bisbee, Elsie, Portland
Boardman, Gregory, Fayette
Campbell, Polly, Chairman Portland Nuclear Referendum Committee
Chandler, Jane, Box 11, RFD #2, West Paris
Clark, Dennis, Dover-Foxcroft
Cooperdock, Peter, Fort Kent
Critchley, Jack, Gorham
Cutler, William, Auburn
Dansinger, Larry, Palmyra (has also given Newport as address)
Dillon, Jr., Jay C., Hancock
Dore, Daisy Lee, 179 College St., Lewiston
Dunn, Michael, Portland
Farrar, Helen, Bowdoin College
Farrell, John J., Bangor
Fernald, Catherine, Westbrook
Fickett, Shirley C., Ellsworth
Fleischer, Ann, New County Rd., Saco

Fretz, Marion, So. Main St., Kennebunkport - leader of shutdown petition drive.

Freund, Daniel, Portland

Gaither, Creston, Vienna

Garrett, Emil G. (Pat), Stockton Springs - Safe Power for Maine and Sensible Maine Power - an agitator for about 7 years

Gerritt, Greg, Industry

Goldstein, Malcolm, Brunswick

Graham, David L., Freeport

Graves, Jake, Portland

Greene, Valerie, Auburn

Gurney, Jane, Norway (A Clam)

Hackett, H. E. & L. H., Portland

Haley, Eugene S., Sebec

Hamel, Richard, Clamshell Alliance, Box 292, Birch St., Lewiston

Hands, Collier, Lovell

Handy, James R., Chairman, Lewiston Democratic City Committee

Hanson, John F., Fryeburg (anti-Utility)

Hardman, L., RFD #1, Box 227, Waldoboro, 04572

Hatch, Cleon H., Denmark

Haughwout, Marianne, dangerous perpetrator of false information - League of Women voters - Brunswick

Haughwout, Peter J., Brunswick, Medical Doctor - same comment as above - maybe worse

Hoehler, Cynthia, Bowdoin College

Hedgcock, Ann Pierce - Sensible Maine Power, East Boothbay

Holmes, Sandra, Round Pond, an ally of Marianne Haughwout and Pat Garrett

Holt, Maria, Bath, Registered Nurse - John - call me on this one

Houle, Mirielle, Cotton Road, Lewiston

Howes, Emily, Kennebunkport

Hufnagel, I., Camden

Janeczko, Paul, B., 8123 Androscoggin Village, Auburn (works for SAD-15)
writes frequently to newspapers - one of the worst perpetrators of fear
in the state - also anti-utility and very vocal about it.

Jones, Pat (Female) a writer for the York County Coast Star in Kennebunk - member
of the "Mother's Day Commitment", Lives in Kennebunkport, name may be fake,
fills the newspaper with anti-nuclear articles and notices.

Kellman, Peter, Sea Road, Kennebunk - always gives a North Berwick telephone num-
ber - not certain of of residence, 'phone book says Kennebunk, but supposedly
lives in North Berwick.

King, Elizabeth W. Woolwich

Kinney, Alan R., Bangor

Kirchherr, Cynthia, West Paris

Klahr, Bard, Danforth

Klein, Marianne, Auburn

Labbe, Ralph O., Lewiston - anti-Utility

Landry, Valerie R., Ocean Park

Lane, Joan L., Wells

Larner, Carolle M., Republican Candidate, District 57

Lawrence, Tara L., Westfield Elementary School (a little kid)

Lawless, Gary, Brunswick, Friends of the Earth

Lazaroff, Ellen, Sanford

LeDoux, Dennis, 105 Pierce St., Lewiston

Lehigh, Barbara, Eastport

Libby, Russell, Co-Chairman, Maine Citizens Party, Augusta

Lorenz, Peter, York - Organizer of Energy Action Committee (affiliated with "Direct
Action at Seabrook)

Madsen, Jr. Harold, Freeport - anti-utility

Mautner, Sanford R., Boothbay Harbor

May, Elizabeth E., Baddeck, Nova Scotia

McCaw, Maggie, Canton

McKinnon, Alan, Damariscotta

Anti-Nukes

-4-

McManamy, Wini, Portland

Memhard, Scott, Lewiston

Mikulka, Kathy, Northeast Carry of Hallowell

Miller, Eleanor, Boothbay Harbor

Mineart, Nancy, Portland

Morrison, Abby, Bryant Pond

Murray, Mark R., North Harpswell

Newell, John R., Cundy's Harbor, ex-president of Bath Iron Works - has a lot of misinformation and is a disciple of Goffman - dangerous because of the prestige of his former position.

Parker, Richard B. Camden (PhD)

Parkhurst, Richard L., Fairfield Center

Pearson, Bill, North Vassalboro

Perkins, Carol, Kennebunkport, Registered Nurse

Philbrook, Alan, 300 Water Street, Augusta - opposite old federal building - this is the address of the Augusta Referendum Committee office. Philbrook lives in East Pittston, is a former Maine Yankee employee. John - call me for more on this - there's been a recent incident.

Prince, Terry, Lewiston

Proudian, Paul D., So. Windham

Rosenberg, Robert, So. Harpswell

Rossi, III, Karl M. Columbia Falls

Roth, Jr., McKie W., Westport Island - a boat builder and cohort of Shadis' - prolific writer of emotional and erroneous letters to the editor - a fear monger and also anti-utility.

St. Jean, Paul, Lewiston

Schneckenburger, Mary, Stow - Lived 35 miles North of TMI - organizer for referendum committee in Fryeburg area - anti-utility and spreads a lot of misinformation

Shadis, Raymond, Edgecomb, Initiator of shutdown petition

Sirois, Kenny King, Passadumkeag

Sisco, Mark A., Washington

Sprague, Edward E., Augusta - some question on this one

Stableford, Ernest, RFD #2, Box 288, Wells

Stevenson, Jeffrey, Portland

Swett, Sharon, North Berwick

Tadrow, Marcia D., Pemaquid

Thibodeau, Elsie, Portland

Tierney, James, Assistant Professor Social Welfare. University of Southern Maine
(it figures - you should see his vile letter to the Maine Sunday Telegram)
Mostly anti-utility

Townsend, Erik, Old Town

Tupper, Stanley, Boothbay Harbor, Former Republican Congressman and anti CMP for many years - a public power advocate, and one of the founders and contributors to Barry Commoner's and Ralph Nader's Citizens Party. Has hired Edward Bennett Williams, one of the high-powered Washington lawyers of Watergate fame to help him in his efforts to block anything the power company tries to do. Works for the group Sensible Maine Power.

Twomey, JoAnne, Biddeford - an organizer of the Mother's Day Commitment - frequently attacks Don Dube - obviously has mental and emotional problems. Have spoken with the woman (telephone) - a hysterical type.

Virgin, Prudy, Manchester

Wagner, Robert R., Portland

Walsh, Debbie, Portland

Wasson, Betty, East Orland

Webster, Steven D., Crono

Weir, Candice, Bangor

Weiss, Louise S., Friendship

Wiley, Tom, 10 Grove Ave., Old Orchard Beach

Willis, Richard E., South China - Associate Professor of Sociology, Director of Liberal Arts - Thomas College

Wolman, Marc, Portland

Woodward (?), West Buxton

Zorach, Timothy, Brunswick

Anti-Nuclear

7/6/80

Elsie Bisher
Polly Campbell
Jo Anne Twamiey
Catherine Fernald
Ellen Lazaraff
McKie W. Ruth, Jr.
Paul B. Janerzko

William Cutler
Richard E. Willes

Gregory Boardman
Kerl M. Rossi, III
Candice Weio
Larry Dansinger
Steven D. Webster
Ann Fleischer
Mary Schneckerburger
Dnaiel Freund
Bill Akins
Erik Tauinsend
Mark R. Murray
Sanford R. Mautner
Raymond Shadis
Judy Bedard
Betty Wasson
Jay C. Dillon, Jr.
More Walman
Robert Rosenberg
Shirley C. Fichett
Alan Phillbrook
E.G. Garret
Tara L. Lawrence

Caralie M. Lasmer
Robert R. Wagner
Richard E. Willis
Alan R. Kinney
Bard Klahr
Daisy Lee Dore
Jane Chandler
Jeffrey Stevenson
Richard L. Parkhurst
James R. Handy

Portland
Portland
Biddeford
Westbrook
Sanford
Westport Island
Androscoggin Village - works for
SAD-15
Auburn
Associated professor of Sociology
Director of liberal arts at
Thomas College
Fayette
Columbia Falls
Bangor
Palmyra
Orono
New County Road, Saco
Stow
Portland
Winslow
Old Town
North Harpswell
Boothbay Harbor
Edgecomb
401 Squire Hills, Auburn
East Orland
Hancock
Portland
So. Harpswell
Ellsworth
East Pittston
Stockton Springs
Westfield Elementary School
(small kid)
Republican candidate, District
Portland
South China
Bangor
Dnaforth
179 College St., Lewiston
Box 11, RFD 2, West Paris
Portland
Fairfield Center
Chairman, Lewiston Democratic
City Comm

Louise S. Weiss
Malcolm Goldstein
Peter J. Haughwant, M.D.
Valerie R. Sandry
Timothy Zorach
H.E. Hackett, L.A. Hackett
Joan L. Lone
Jane Gurney
I. Hufnagel
Elizabeth E. May
Carol Perkins, R.N.
Nancy Mineart
William J. Berube, Jr.
Elizabeth W. King
Marianne Haughwaut
Judith M. Barrows
Stanley Tupper
Dennis Ledaux
Dennis Clark
Mary Band Leighton
Cynthia Kirchherr
Greg Gerritt
Marianne Klein
Elizabeth E. May
Cynthia Hoehler
Helen Farrar
Callier Hands
Tom Wiley
Paul St. Jean
Mirielle Haule
Bill Plarson

Friendship
Brunswick
Brunswick
Ocean Park
Brunswick
Portland
Wells
Norway (Clam)
Camden
Baddech-Nova Scotia
Kennebunkport
Portland
Augusta
Woolwich
Brunswick
Camden
Boothbay Harbor
105 Pierce St., Lewiston
Dover-Foxcroft
Augusta
West Paris
Industry
Auburn
Baddech-Nova Scotia

Bowdoin College
Lovell
10 Grove Ave., Old Orchard Beach
Lewiston
Cotton Road, Lewiston
No. Vassalboro



Maine Voice of Energy
RFD1, North Berwick, Me.
03906

Our Most Important Meeting!

DATE: AUGUST 1, 1980 TIME: 7:30 P.M. PLACE: CANAL BANK, PHOENIX ROOM
AT THE CANAL PLAZA - SPRING STREET SIDE - PORTLAND, MAINE

This will be a strategy and work session for members and invited guests only. Do NOT bring anti-nuclear people, or even fence-sitters to the meeting. Come with your ideas and the willingness to implement them yourself.

Some of the plans we have include a rally similar to the very successful event staged by the Connecticut Voice of Energy. This will include placards and little "atomic" light bulbs.

We want volunteers to work at State fairs so we can give out our material - we need a lot of help with this; it usually takes 6 people per fair per day. We are trying to arrange for booths at four fairs.

We must increase our letter-writing campaign; a few people are doing all the work. At this time, there are 55 anti-nuclear writers to 20 pro-nuclear writers.

Ideas are available for poster slogans; volunteer to make a few and distribute them in your town.

It is hoped that Maine Yankee Atomic and CMP employees will attend this meeting too, and help us to carry out our plans.

Remember, the anti-nuclear people have 2000 volunteers - we have few people actively working on our side. Right now the situation looks very bad for Maine Yankee.

Please come to the meeting and help us with our plan of action.

Annette Stevens, President
MAINE VOICE OF ENERGY

R. W. Leason



**Maine Voice of Energy
RFD1, North Berwick, Me.
03906**

Telephone 676-5828

August 4, 1980

Mr. Norman Temple, Vice President
Central Maine Power Co.
Edison Drive
Augusta, Maine 04330

Dear Mr. Temple:

I'm enclosing a copy of the minutes of our last meeting. Rather disappointed that I can't make more things happen, but we keep trying.

A couple of labor unions have offered to give out flyers addressing various aspects of nuclear power. Nuclear Energy Women and Don Laubenstein are helping me with this. A girl at the Electric Council of New England will probably do some of the art work.

I do keep John Menario informed of our activities, and Chris Potholm tries to arrange for our presence at certain events. Last week he suggested that we give out literature at the Brunswick Naval Air Station, where the Blue Angels were scheduled for a demonstration. One of our members followed through on this.

There are approximately 25 towns where I perceive we could make some inroads. Sent the list to Potholm just in case I picked a few towns the Committee may have overlooked.

Wrote a letter to the Adjutant of the American Legion, Mr. Daniel Lambert - also sent some "Save Maine Yankee" membership cards in the hope Lambert may help us. Sent a copy of that letter to Mr. Thurlow so he would know we're making any approach we can think of.

Sincerely,

Annette F. Stevens, President
MAINE VOICE OF ENERGY

cc: File
enc. (1)



Central Maine Power Company

GENERAL OFFICE, EDISON DRIVE, AUGUSTA, MAINE 04336

(207) 623-3521

~~August 9, 1979~~

Dear :

~~You recently wrote us about your opposition to the petition drive now underway to close the Maine Yankee nuclear power plant.~~ As one who has come forward in support of nuclear power, you might be interested in a pro-nuclear citizens group "Maine Voice of Energy" organized by Mrs. Annette Stevens of North Berwick, Maine.

Mrs. Stevens earlier organized a group known as "Friends of Maine Yankee" which conducted a petition drive addressed to the U. S. Nuclear Regulatory Commission urging them to reopen Maine Yankee as soon as possible following their investigation of computer codes used in certain safety related piping systems.

Maine Voice of Energy is strictly a citizens group and has no affiliation with Central Maine Power. If you are interested in joining and/or supporting Maine Voice of Energy or in talking to Mrs. Stevens about the work she and other community leaders from around the state have started, call her at North Berwick 676-5828 or complete and return the enclosed form directly to her at the address indicated.

Sincerely,

N. J. Temple
Vice President

NJT:dpd
Enclosure

TO: Mrs. Annette Stevens
Back Beech Ridge Road/RFD No. 1
North Berwick, Maine 03906

Telephone: 676-5828

___ I would like to become a member of "Maine Voice of Energy."

___ I would be willing to do one or more of the following:

___ write letters to the editor.

___ contact elected state and federal
officials to register my support
for nuclear power.

___ speak out publicly in support of
nuclear power.

___ distribute literature.

___ other: _____

If additional space is needed, please use the reverse of
this form. Your suggestions for activities, slogans, etc.
would be welcomed and can be written on the reverse of
this form also.

___ I would like to contribute the enclosed \$_____ in
support of the pro-nuclear activities being conducted
by the "Maine Voice of Energy."

___ I would like informational literature. Please send to:

Name _____

Address _____

City and State _____

Zip _____

Signature



Central Maine Power Company

GENERAL OFFICE, EDISON DRIVE, AUGUSTA, MAINE 04336
(TWIX NUMBER, CMP-AGUA 710-226-0195)

(207) 623-3521

SAMPLE

August 8, 1979

Mrs. Annette Stevens
Back Beech Ridge Road
RFD
North Berwick, Maine 03906

Dear Mrs. Stevens:

You recently wrote us about your opposition to the petition drive now underway to close the Maine Yankee nuclear power plant. As one who has come forward in support of nuclear power you might be interested in a pro-nuclear citizens group "Maine Voice of Energy" organized by Mrs. Annette Stevens of North Berwick, Maine.

Mrs. Stevens earlier organized a group known as "Friends of Maine Yankee" which conducted a petition drive addressed to the U. S. Nuclear Regulatory Commission urging them to reopen Maine Yankee as soon as possible following their investigation of computer codes used in certain safety related piping systems.

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Sincerely,

Norman J. Temple
Vice President

Enclosure

LITERATURE AVAILABLE FROM MAINE VOICE OF ENERGY

NUCLEAR

The War Against the Atom
The Non-Problem of Nuclear Waste
Something to Read while Watching the Price of Gasoline Go Up
The Radiation Controversy
Interview with Dr. Petr Beckmann (TMI)
Secure Storage of Radioactive Waste
The Disposal of Radioactive Waste from Fission Reactors
The Need for Nuclear Power
Completing the Nuclear Fuel Cycle
Perspectives on Radiation Risks
Nuclear Energy Glossary (mainly for schools - though helpful to adults)
Electricity from Nuclear Energy
The Atomic Story Nobody Prints
Nuclear Material Transport Risks
A Story You Didn't Hear About Nuclear Waste
Nuclear Power Quick Reference II
Nuclear Power - Answers to Your Questions
Yankee Ingenuity - an explanation of all new England's nuclear plants
What Do You Do With a Nuclear Plant after 40 years
Clinch River Breeder Reactor
Medicine on the Midway with stops and Nagasaki and elsewhere
Compute Your Own Radiation Dose

GENERAL

Why "Soft" Technology will not be America's Energy Salvation
Emerging Energy Technologies
Make Sure You are Heard (How to send letters to Legislators)
The 8 Surprises - Or Has the World Gone to Hell
The Coercive Utopians - Their Hidden Agenda
Our Energy Problems and Solutions
Nepool, Nepex, Convex - (A description of the New England Power Pool)
Why Our Gasoline Woes
Handling the Energy Crisis

SOLAR

Solar Homes - The Winning Combination
Spinning a Turbine with Sunlight

NATIONAL SCIENCE TEACHERS ASSOCIATION - PAMPHLETS

NUCLEAR

Breeder Reactors
Nuclear Fusion

GENERAL

New Fuels from Coal
Geothermal Energy
Alt. Energy - Environmental Impacts
" " A Bibliography

SOLAR

Electricity from the Sun I (Solar Voltaic)
Electricity from the Sun II (Solar Thermal Conversion)
Solar Heating and Cooling
Solar Sea Power - Ocean Thermal Energy conversion

GENERAL

Energy Conservation - Transportation
Windpower
Fuels from Plants - Bioconversion
Energy Conservation - Homes and Buildings
Energy Storage Technology
Alternative Energy Sources - A Glossary of Terms

We are also accumulating various papers on radiation risks and hope to have these in quantity.

ADDENDUM

Everything You always wanted to know about shipping high-level nuclear wastes

The Electric Utility Industry's Response to Three Mile Island, Toward a Safer U.S. Nuclear Power Program

Energy One Liners - This book is very helpful in writing replies to anti-nuclear letters,



Central Maine Power Company

GENERAL OFFICE, EDISON DRIVE, AUGUSTA, MAINE 04336
(TWIX NUMBER, CMP-AGUA 710-226-0195)

(207) 623-3521

August 11, 1980

Annette F. Stevens
President
Maine Voice of Energy
RFD 1
North Berwick, ME 04906

Dear Annette:

I was most interested in the letter you sent to Dan Lambert and have been most grateful for the tremendous help you and your organization have been to the Save Maine Yankee effort.

The Save Maine Yankee committee under John Menario has been very effective, but the grassroots approach of your "Maine Voice of Energy" adds much to our efforts.

Thank you again for your continuing assistance.

Sincerely,

E. W. Thurlow
President



Maine Voice of Energy
RFD 1, North Berwick, Me.
03906

Telephone 676-5828

Respond

August 2, 1980

Mr. Elwin Thurlow, President
Central Maine Power Co.
Edison Drive
Augusta, Maine 04330

Dear Mr. Thurlow:

Thought you may want to see a copy of one of the many letters I've written in the past year. I intend to keep up the effort in the hope I can light a fire under one of these people, who can in turn persuade large numbers of voters to vote "No" on September 23rd. All we can do is keep working and hoping.

Your PR people have been most helpful to me, particularly Don Vigue, whose patience seems endless.

Long live Maine Yankee!

Sincerely,

Annette F. Stevens

Annette F. Stevens, President
MAINE VOICE OF ENERGY

cc: File
enc. (1)



Maine Voice of Energy
RFD1, North Berwick, Me.
03906

Telephone 676-5828

July 31, 1980

Mr. Daniel Lambert, Adjutant
American Legion Headquarters
P. O. Box 900
Waterville, Maine 04901

Dear Mr. Lambert:

The more involved I become in the Maine Yankee situation, the more I am convinced that things look very bad indeed for the plant. Also, by actual count, there are 124 anti-nuclear writers of letters to the editor versus 35 writers who are pro-nuclear. These anti-nukes are spouting the most awful lies imaginable. It is so clear that these social engineers are doing everything to destroy the system a good many of us have worked and fought so hard to establish and maintain. They are also engineering terrible fears amongst the women in Maine, who are sure to vote against the retention of Maine Yankee.

When we ran the petition drive to try to have the plant re-opened last year, some people in Orrington signed. Though some names cannot be read, I'm enclosing copies in the hope that you can recognize who these people are and distribute some of the "Save Maine Yankee" cards to them. The people do not have to give money or do any work (although they can if they want to). The cards are mostly to determine how much support the plant has.

I have heard that you have a good deal of influence in Maine, and if this is true, you certainly need to use it now. This thing has to be the most crucial issue this state has ever faced.

Call or write if I can donate any educational material to distribute, or if you want more cards or bumper stickers. I'm enclosing a package of material so you can see what we're trying to do.

Anything you can do to help us, Mr. Lambert, will be greatly appreciated.

Sincerely,

Annette F. Stevens, President
MAINE VOICE OF ENERGY

cc: File
enclosures



Maine Voice of Energy
RFD 1, North Berwick, Me.

03906

Telephone 676-5828

828000

August 13, 1980

TO ALL FRIENDS OF MAINE YANKEE.....

The only way we can be sure to save our power plant is to get out the NO vote on September 23. Therefore, we are asking whether you would be willing to help us with a telephone campaign in your area. We hope you will say YES.

For those of you who are MVOE members, please contact others in your town or area to handle this, since MVOE's IRS classification very nearly prohibits this type of activity.

We know all of you care about this crucial issue which is so important to Maine's future.

We really need your reply by Monday, August 18. Call me at the above number if you are willing to activate this campaign.

Won't you please make the effort to help save Maine Yankee?

Sincerely,

Annette F. Stevens, President
MAINE VOICE OF ENERGY

cc: File



Central Maine Power Company

GENERAL OFFICE, EDISON DRIVE, AUGUSTA, MAINE 04336
(TWIX NUMBER, CMP-AGUA 710-226-0195)

(207) 623-3521

August 16, 1979

Mr. Russell M. Bailey
R. No. 1 - Box 94
Monmouth, Maine 04259

Dear Mr. Bailey:

Our Central Division Office in Augusta recently forwarded your letter expressing support for nuclear power on to me for reply.

The five-point program you suggest as part of our education program will be helpful to us as we firm up future advertisements. The time and thought you put into compiling this program is greatly appreciated. No doubt you will see some of your suggestions implemented as time goes along.

Nuclear waste is a concern to many, and we appreciate the statement you highlighted in the Northern States Power annual meeting report.

We are encouraged by the number of people who have taken the time to write us expressing their support of Maine Yankee and nuclear power. A Mrs. Annette Stevens of North Berwick, Maine, is organizing a pro-nuclear citizens group to be known as "Maine Voice of Energy." She earlier organized a group known as "Friends of Maine Yankee" which conducted a petition drive addressed to the U. S. Nuclear Regulatory Commission urging them to reopen Maine Yankee as soon as possible following their investigation of computer codes used in certain safety related piping systems.

Mr. Russell M. Bailey
Page Two

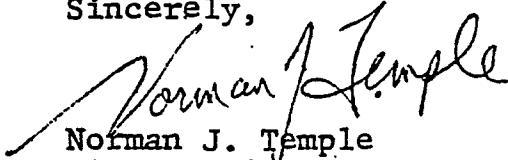
August 16, 1979

Maine Voice of Energy is strictly a citizens group and has no affiliation with Central Maine Power Company. If you are interested in joining and/or supporting Maine Voice of Energy, or in talking to Mrs. Stevens about the work she and other community leaders from around the state have started, you can reach her at North Berwick (676-5828), or just complete and return the enclosed form directly to her at the address indicated.

Mrs. Stevens is looking for suggestions and I am sure any thoughts you had on how her campaign might be conducted would be appreciated.

Thank you for writing and for the interest you have taken in our education efforts. Please don't hesitate to contact me if you have further questions.

Sincerely,



Norman J. Temple
Vice President

Enclosure

bcc: PSLydon
CFGove

CENTRAL MAINE POWER COMPANY

FOR COMPANY BUSINESS ONLY

SUBJECT Customer Correspondence

DATE August 3, 1979

LOCATION


TO N. J. TEMPLE

Enclosed please find a letter from Russell M. Bailey of Monmouth, Maine, expressing some of his concerns about the recent demonstrations against Maine Yankee.

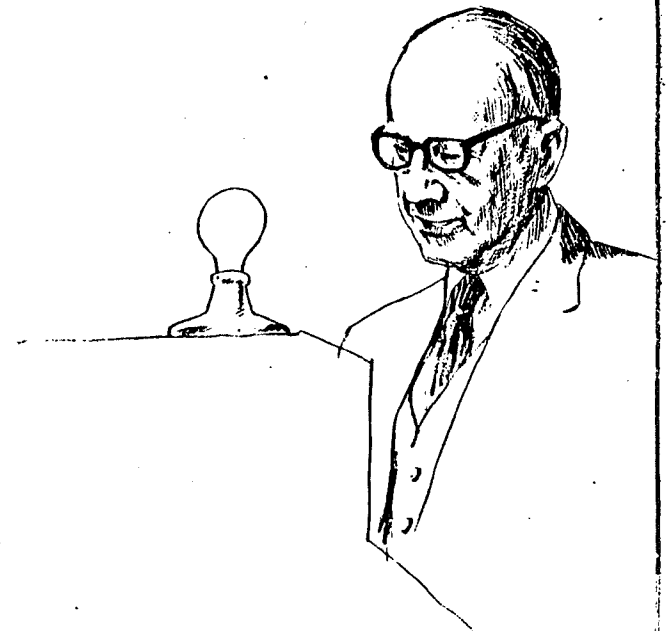
I think Mr. Bailey would greatly appreciate a response from you referring to some of his questions.

If I can be of further assistance in this matter, please do not hesitate to contact me.

PSL:lc
Enc.


P. S. Lydon

cc: CFGove, Jr.

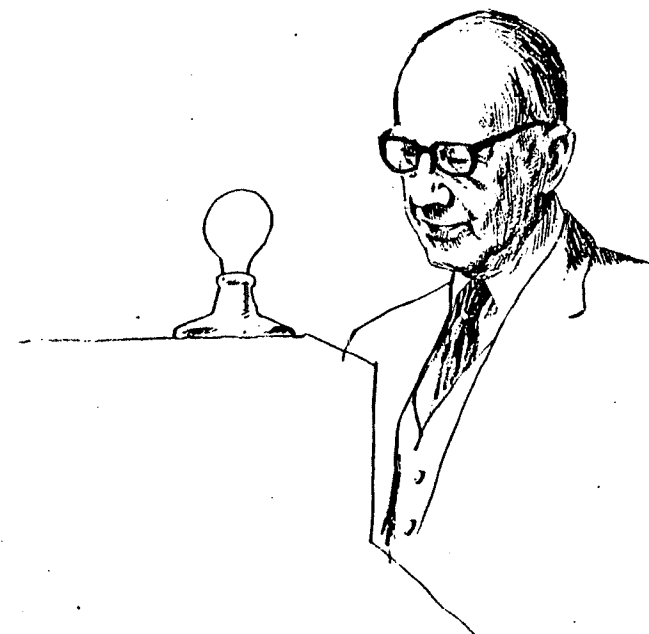


NSP

**Annual Meeting
May 23, 1979**

NSP

Northern States Power Company
414 Nicollet Mall
Minneapolis, Minnesota 55401



NSP

**Annual Meeting
May 23, 1979**

Dear Shareholder:

NSP's 1979 Annual Meeting of Shareholders was held May 23 in the Minneapolis Auditorium, Minneapolis, Minnesota. We were pleased to see the nearly 600 shareholders who attended, as well as the nearly 200 who came to the informal evening session in St. Paul's Crawford-Livingston Theater. An additional 25,635,223 shareholder votes were present by proxy, representing 77.8 percent of total shareholder voting power.

I enjoyed this opportunity to meet shareholders and report on NSP's performance. In addition, I presented my thoughts on several of the difficult challenges facing the company. A number of shareholders asked questions to which I responded.

We have prepared this report so that those unable to attend, as well as those present, would have a summary of the pertinent comments made during the meeting.

I invite your comments on my address or on any aspect of our work at NSP.

Sincerely,



Don McCarthy
Chairman of the Board and President

About the cover:
NSP Chairman and President Don McCarthy recalled America's "pioneering spirit" as evidenced by Thomas Edison's invention of the electric light bulb. This year marks the 100th anniversary of Edison's discovery.

The Business

NSP Chairman and President Don McCarthy introduced former NSP Board Chairmen Robert Engels and Earl Ewald. He then recognized the efforts of Allen S. King, late chairman of the board of the company, who died February 21, 1979.

Shareholders honored William M. Baker, who did not stand for re-election after serving on the board since 1970. He was chairman of the board's Employee Relations Committee. He is president of the Baker Foundation, a Minneapolis-based charitable foundation.

Shareholders then re-elected the following five directors to three-year terms: N. Bud Grossman, chairman of the board and president, Gelco Corporation, Eden Prairie; William G. Phillips, chairman of the board and chief executive officer, International Multifoods Corporation, Minneapolis; Richard J. Magnuson, vice president and general counsel, Land

O'Lakes, Inc., Minneapolis; Donald W. McCarthy, chairman of the board, president and chief executive officer of the company, Minneapolis; and Dorothy J. Skwiera, member, Minnesota Corrections Board, St. Paul.

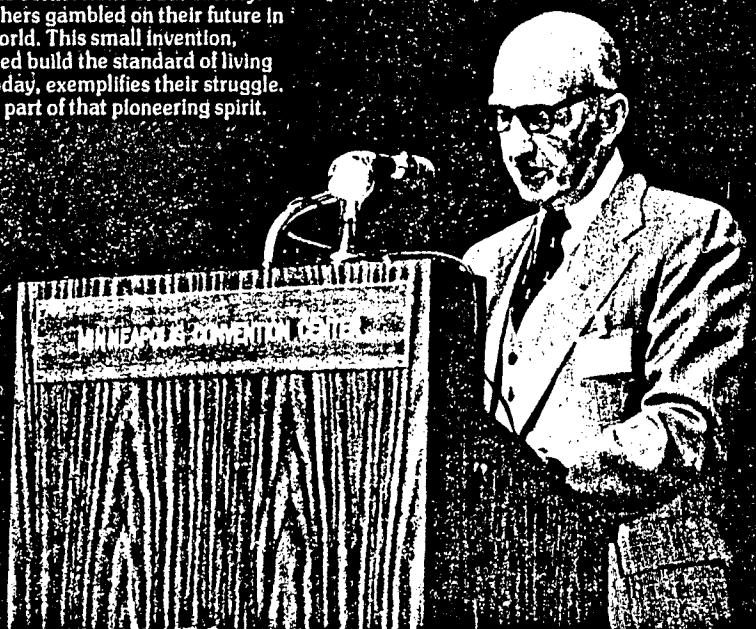
Shareholders also ratified the selection of Deloitte Haskins & Sells, Certified Public Accountants, as independent auditors of the company for 1979. The firm has been NSP's auditor since 1947.



The Address

The electric light bulb is one hundred years old this year. Electricity, and the age it gave birth to, were deeply feared a century ago. There are parallels today. Although the light bulb has changed our lives, electricity is every bit as difficult to provide now as it was at the turn of the century.

Providing energy and developing new technologies has always had its risks. In fact, calculated, responsible risk-taking has been the cornerstone of our society. Our forefathers gambled on their future in the New World. This small invention, which helped build the standard of living we enjoy today, exemplifies their struggle. NSP is still part of that pioneering spirit.



I am pleased to report that NSP's 1978 earnings rose from \$2.86 to \$3.39 per share. The earnings gain has continued into the first quarter of this year, with earnings at \$3.51 for the 12-month period ending in March.

Despite this good news, the market price of NSP's common stock has declined in recent months. Utility stocks are normally purchased for their yield and dividend expectations. When interest rates are high, utility stock market prices tend to be low.

During the past 16 months, the interest rate on quality corporate bonds increased by 20 percent, with short-term interest rates rising by 40 percent. During the same period, the market price of NSP's stock declined by about 20 percent.

After the Three Mile Island accident, stocks of all utilities involved in nuclear generation experienced additional declines. In NSP's case, investors are also concerned about the expenses we have incurred for the licensing of the Tyrone Energy Park nuclear plant.

While it is hard to pinpoint exactly, we believe that most of this 20-percent drop in our stock's market price has been due to high interest rates. To a lesser extent,

investor concern about NSP's Tyrone plant and nuclear electric utilities in general also contributed to the decline. We cannot predict how long there will be negative investor pressure on the stocks of nuclear utilities and are hopeful that a thoughtful investor review of the nuclear power situation will improve this assessment.

NSP's strong financial performance during the first quarter of this year underscores my prediction of continued earnings improvement in 1979. Moreover, I remain optimistic about financial performance over the next several years. The fact that we have no new generating plants going into service before 1984 is a major, positive factor.

My optimism about earnings also signals good news for dividends. We increased dividends in June 1977, and again in June of last year. For the future, we will do everything we can to increase dividends on a regular basis.

Our good 1978 performance was not confined to finances alone: there were many examples of the dedicated efforts of our employees. Operating availabilities for our

major coal and nuclear plants were above the national averages for comparable facilities. We also set a new national record for minimum outage time required to refuel a nuclear plant. In addition, we received *Power Magazine's* 1978 Environmental Award for the design, construction and operation of our Sherco coal plant.

Despite the financial improvement and the operating distinctions, 1978 was a demanding year for NSP. I believe the future holds more challenges for nuclear waste disposal, facility regulation and the Tyrone Energy Park.

I remain personally committed to nuclear power because it is a clean, reliable and economical method of supplying power at a reasonable risk. The economic benefits of this technology have been passed on to customers in the price of their electricity.

Our Prairie Island and Monticello nuclear plants were available to generate electricity 99.75 and 93 percent of the time, respectively, during the first quarter of 1979. Thanks to nuclear power, we are also not dependent on any one fuel source. This is a distinct benefit in an era when natural gas is

not available to us as a boiler fuel, oil is prohibitively expensive and increased coal usage poses greater financial, environmental and transportation difficulties.

It is presently unrealistic to think — or hope — that hydro, solar or wind sources can provide enough energy to serve our area. It is also unlikely that the United States will have all the electricity it desires in the years ahead without nuclear power.

For these reasons, we determined that a nuclear facility at Tyrone was the best method of meeting the growing energy needs of our customers. Together, our expenses and commitments for Tyrone total approximately \$76 million. We will continue to spend about \$300,000 monthly during our appeal of the Wisconsin Public Service Commission's Tyrone certificate denial.

During the appeal, which began April 5, we will continue to evaluate the Three Mile Island accident's effects on nuclear electric generation; the alternatives for Tyrone; and the prospects for bringing the plant into service in time to meet our customers' needs. We will consider cancelling Tyrone if it becomes evident that the project cannot be licensed in a timely manner.

While no one can — or should — discount the seriousness of the Three Mile Island accident, the attention it has received has obscured the fact that the nuclear industry in this country has an outstanding safety record. Three Mile Island, which has been called the greatest catastrophe and the worst nuclear accident in history, proved that the redundant safety systems built into nuclear plants do work. There were no deaths and there is no evidence to suggest that it poses any long-term, significant public health risk. We at NSP have already instituted some operating changes and will modify our plants and our operations as additional information becomes available from the Three Mile Island experience.

There is no question that nuclear power poses risks for society, but its risks and benefits must be compared with those of other technologies, generating methods and daily activities. From this standpoint, it clearly provides electricity at an acceptable and responsible level of risk. However,

nuclear power's political acceptability in the wake of Three Mile Island is uncertain. Only time will tell whether nuclear power will be permitted to realize its full potential in providing energy for this country.

Another politically difficult issue is nuclear waste disposal. The United States' high-level radioactive waste disposal problem largely stems from the nuclear weapons program. As of March 31, 1979, the U.S. had slightly less than 10 million cubic feet of these wastes, 99 percent of which were from the weapons program.

By the year 2000, the volume of high-level nuclear wastes in the U.S. will total an estimated 16 million cubic feet, of which only four percent will be the result of nuclear generation. This volume assumes no nuclear fuel reprocessing.

In this age of conservation, it seems inconceivable that we are turning away from the vast source of energy reprocessing could provide.

NSP has joined with a group of utilities to examine the various disposal alternatives

under study and in use throughout the world and to work for a solution to the problem. In addition, we have publicly offered our assistance to (Minnesota) Governor Quile in his efforts to urge a federal resolution of the problem.

I am concerned that our society is entering a period of stagnation and disunity that will reduce our ability — as a nation — to accomplish needed tasks. This lack of progress could be costly, both in terms of our respect for one another and in the prices we pay for goods and services.

In the coming years, America's electric and gas utilities face many challenges in the areas of cost, rate making, research in new energy forms and continued supply of present energy sources. This is not the only

difficult time NSP — or the utility industry — has endured and I believe the outlook is good.

I am optimistic that inflation and interest rates will decline in the months ahead. I also have faith that our society will begin dealing with the massive and interrelated energy problems we all face. We will soon learn that we cannot address energy issues as separate elements. The availability and price of one energy source affects the demand for other energy forms. It is up to each of us — as individuals, corporate employees and citizens — to manage our own energy affairs prudently and to see that comprehensive energy leadership is developed.

Finally, I am confident that NSP's employees will approach future challenges with the kind of courage, skill and responsibility these problems will demand.

This year is NSP's 70th anniversary. It is also the 100th anniversary of the invention of the light bulb. We at NSP remain dedicated to the responsible pioneering spirit these anniversaries recall. Your continued support and involvement in that spirit are vital.

The Questions

Following Don McCarthy's address, shareholders offered 31 separate comments or statements. This is a summary of the major issues raised and McCarthy's responses.

One shareholder requested that NSP consider holding evening shareholder meetings so that younger investors might find it easier to attend. McCarthy noted that all metropolitan-area shareholders had been invited to an informal session in St. Paul scheduled for that evening.



Another shareholder read a statement praising the efforts of Robert F. Pack and Allen S. King, two late NSP chairmen who were instrumental in forming the modern-day company.

A shareholder then noted that the value of NSP stock had declined within the past year and that NSP's dividend increases were considerably less than those declared by Wisconsin Electric Light and Power Co.

Another shareholder asked whether the Minnesota Legislature had passed any legislation significantly affecting the company. McCarthy said it had not.

Finally, McCarthy was asked whether NSP had considered converting its light water reactors to breeder reactors. Breeder reactors act on uranium to create more fuel than they use. McCarthy noted that the only U.S. breeder reactor program at present is the experimental Clinch River project, which President Carter has asked Congress to delay indefinitely. McCarthy indicated that it is not possible to convert light water reactors to breeder facilities.

Other questions were somewhat more complex. Their summaries follow.

Q. I'm concerned about the environment and the problems of nuclear power. It

seems to me that there was tremendous negligence in not providing for the disposal of nuclear wastes. Generally, good management would arrange disposal before getting involved.

A. NSP and other utilities began nuclear generation with a plan to reprocess our spent fuel. We had a contract with a New York company under which the wastes would have been reduced by nine-tenths. Unfortunately, the federal government removed the New York operation's license and now says there will be no reprocessing for an indefinite period. Today, NSP's management believes that reprocessed fuel is an energy source we cannot afford to waste. With reprocessing, the amount of waste actually requiring disposal would be small.

Q. Have we reached the absolute legal limits for storing spent fuel rods? Is there a storage location available to us when we run out of space ourselves? When can we expect a federal solution to this problem?

A. It has never been our intent to completely fill all the storage space in the spent fuel storage pool. We must keep additional space in the pool so that fuel rods can be

removed from the reactors and temporarily stored during reactor maintenance. At this time, we have no alternative storage location. However, President Carter has directed the Department of Energy (DOE) to have an away-from-reactor storage facility by 1985. The latest DOE projections indicate that facility won't be ready before 1988.

Q. Fellow shareholders, write your senators and congressmen and tell them to get Washington moving on this important decision.

A. There are three bills in Congress on this issue: H.R. #3000 in the House and Bills #688 and 685 in the Senate. We support them.

Q. What is the Price-Anderson Act and would you operate your nuclear plants without its protection? Also, public objection has made the UPA-CPA power line highly expensive. Even if Tyrone were licensed, do you intend to call out the Army to guard the plant if the people in that area don't want it?

A. The Price-Anderson Act limits a company's liability to \$560,000,000 for a nuclear accident. It also makes a form of insurance, the government's indemnity, available to the nuclear industry. This indemnity extends beyond the amount of

insurance private companies can provide. Today, utilities and insurers provide \$520,000,000 per reactor. The government's indemnity increases the total coverage by \$40 million per reactor. I have not considered the question of operating without the act and I'm not prepared to answer that part of your question. Concerning public opposition to Tyrone, I believe these kinds of attempts to thwart developments will make it impossible to move ahead in the U.S. I'm fully confident that the people at Tyrone will be just as opposed to a coal plant as they are to a nuclear plant. We're going to have problems wherever we try to locate a generating plant, whether it's nuclear or coal.

Q. I regret that the proposal to create a separate shareholders' organization met with less than acceptance. I would like to quote the *National Association of Manufacturers' Journal* on shareholder organizations:

"... the Association of Detroit Edison Shareholders has set up a research capability, held educational seminars for members, drafted legislation aimed at changing the state's regulatory process and this year is planning a national

seminar on energy . . . The Ohio Association of Utility Investors, with more than 1600 members, has intervened in rate, licensing and environmental hearings, held educational seminars, prepared and distributed background papers on issues, organized phone alert networks and has met with legislators on both the state and national levels . . . utility companies are handicapped in their freedom to communicate with government and the public on issues that critically affect management's ability to meet current consumer demands, return a fair yield to investors and attract new capital for future needs. Independent associations are not so restricted . . ."

I hope that you can rekindle interest in the stockholders' organization.

A. I questioned some 90,000 shareholders on their interest in an independent organization. Of the 7,000 responses returned, about 75 percent said no. I got the message. The Wisconsin utilities are considering a state-wide organization of investor-owned utility shareholders, and we will participate in that. If it is a success, we will again consider a shareholder organization in Minnesota.

Q. In today's post-Three-Mile-Island era, don't you believe NSP is excessively committed to nuclear generation? Barry Commoner recently noted that "nuclear power is dead and has priced itself out of the market." How will the usage of less centralized solar energy electric generating systems affect NSP?

A. I do not believe that solar electric generation will be viable for at least 30 years. Solar energy will be used sooner for heating and cooling, however. It would be irresponsible for NSP to rely on solar electric generation instead of planning coal and nuclear plants for the 1980s. NSP still believes, and our figures will prove it, that nuclear electric generation in western Wisconsin is cheaper than coal.

Q. Have you given any thought to burning garbage?

A. We have explored it very seriously with the city of St. Paul. It's just more expensive to burn garbage than it is to burn coal. We are burning wood chips and we have burned garbage, waste oil and many other things. We're ready to do it when the price is right.

Q. The current issue of *Business Week* contains a survey showing that in the 1980s, the cost of nuclear power will have risen so much that it will cost about 50 percent more than coal.

A. Location is the key. If you're on a Montana coal field, coal is cheaper. If you're on the east coast where coal storage is limited and rail transportation isn't the best, nuclear is a better option. You also need a mix of generating technologies. Our next plant, Sherco 3, will burn coal, followed by a nuclear plant at Tyrone. Our projections then indicate that the next two plants will probably be coal. Nuclear costs probably will increase somewhat as a result of Three Mile Island. It's anybody's guess at this time how much costs will increase and we're watching the situation.

Q. I am concerned about Minneapolis' bid to purchase or take over NSP.

A. Yes, (Minneapolis) Mayor Hofstede wanted \$50,000 for a study on municipalization. I believe he was responding to pressure from a certain group of citizens. The municipalization idea is not new; a Minneapolis alderman proposed it in 1973 or 1974. I think it will come up again. It's up to us to continue to prove that operating as an investor-owned company is better than municipal ownership. I believe we can do it.

Q. Would you recite NSP's back-up plan in case of a nuclear accident?

A. We have plans at both Monticello and Red Wing. We have worked with the hospitals and with the Civil Defense. We have gone through our plan many times with the local people. I think it's interesting to note that an NRC inspector went over our plan about three weeks before the Three Mile Island accident and wrote a report which reached us the day after Three Mile Island. The NRC thought our plan was most satisfactory and they had personally interviewed the hospitals and people in the Red Wing area.

Q. Recently a newspaper article stated that several southern Minnesota cities were forming a municipal power group. Can NSP sell power to those people?

A. Those 22 utilities are basically cities that generate or have their own electric distribution. NSP sells to many of those

communities right now and is willing to continue doing so, but it's up to them to decide what they want. I believe we have good relationships with them. It's not an antagonistic situation — it's simply their choice.

Q. The Rochester (Minnesota) newspaper has complained that NSP was hauling dangerous waste material through their city. What was this waste material? Was it dangerous to the city and what was its final destination? Will it be dangerous where it finally ends up?

A. We continually have low-level waste at our nuclear power plants. If you enter a plant, you wear a white apron, have coverings on your feet and so on. When employees shower, that produces low-level waste in the water. The water is collected and solidified into a kind of concrete mixture inside large drums. Then it is shipped to

Hanford, Washington. It is not dangerous, the radioactivity is very low level and it does not constitute any public hazard.

Q. It doesn't seem fair to ship our waste to another state.

A. I agree, but there is no Minnesota disposal place for such wastes at this time.

Q. Letters to federal and state legislators will help. The waste disposal situation will have to be taken care of by legislation. The sooner it's done, the better it will be for the public health. Prompt action would also save a lot of money.

NSP

Northern States Power Company
414 Nicollet Mall
Minneapolis, Minnesota 55401

P⁷ Box 71

Winnipeg, Me.

34257

Aug 24, 1979

To whom it may concern:

I happen to be a stockholder
of CMP and VSP and
am concerned by the recent
demonstrations against nuclear
power and especially Wain
Yankee.

It is time that these
people have their position
in better perspective. Signs
of anti Yankee partition
should be subject to meter
suspension in case of "run
out"!

Am glad to hear that
you are undertaking an
education program - through
advertising. I hope it proves
successful which is hard to
accomplish by a utility because
of bias but perhaps demonstration
(only)



Central Maine Power Company

GENERAL OFFICE, EDISON DRIVE, AUGUSTA, MAINE 04336
(TWIX NUMBER, CMP-AGUA 710-226-0195)

(207) 623-3521

*F- Suppater -
Lundin*

September 12, 1980

Mr. D. Paul DiMaggio
12 Emery Drive
Atkinson, New Hampshire 03811

Dear Mr. DiMaggio:

Your recent letter addressed to the company's general office in Augusta has been passed along to me for reply.

There are two pro-nuclear groups operating in Maine:

- (1) John Menario, Chairman
Save Maine Yankee
P. O. Box 986
Augusta, Maine 04330
Telephone: 207/623-5112
- (2) Mrs. Annette Stevens, Chairman
Maine Voice of Energy
Back Beech Ridge Road
RFD No. 1
North Berwick, Maine 03906
Telephone: 207/676-5828

In addition to your inquiry about pro-nuclear groups in this area, you mentioned you planned to run several ads. The Committee to Save Maine Yankee has been enlisting businesses to cosponsor ads with a message carefully worked out. It is possible you could tie in with them. Before you run any ads, I know they would be interested in seeing advance copy to help not only avoid duplication but to make certain a subject is thoroughly covered.

Enclosed is information on Maine Yankee and nuclear power in general, including a fact sheet that is being distributed by the Save Maine Yankee Committee.

Mr. D. Paul DiMaggio
Page Two

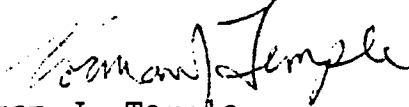
September 12, 1980

So that John Menario and Annette Stevens will know of your interest in helping to defeat the September 23rd Referendum, a copy of our exchange of correspondence is being passed on to them.

We appreciate your expression of support. Please don't hesitate to contact me if you have any questions.


Sincerely,

CENTRAL MAINE POWER COMPANY.


Norman J. Temple
Vice President

Enclosures

cc:


Maine Voice of Energy (AStevens)

Gentlemen,

I am an advocate of nuclear power and I intend to run several ads to that end before the Maine Yankee shutdown comes up for vote on the referendum. I would like any information you have generated to the end of promoting nuclear energy generation. Also if you have knowledge of any groups which are pro nuclear with whom I can get in contact please forward addresses.

many thanks

D. Paul D. Maggio

12 Emery Pl.

Atkinson, N.H. 03811



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

STATE HOUSE STATION, 101
OFFICE OF THE SECRETARY OF STATE
AUGUSTA, MAINE 04333

September 16, 1980

Annette Stevens
Maine Voice of Energy
RFD #1
North Berwick, ME 03906

Dear Ms. Stevens,

Having failed to reach you by phone this afternoon, I thought it best to write.

It has come to my attention that Maine Voice of Energy in conjunction with Americans for Nuclear Energy have recently placed advertisements in a number of Maine newspapers pertaining to the September 23rd Nuclear Referendum.

Title 21 M.R.S.A. § 1413 requires any person who accepts contributions or makes expenditures in aggregate amounts of more than \$50 with respect to any campaign to file reports with the Commission.

The Campaign Finance Report due yesterday, September 16th, is to cover the 6 months immediately preceding the reporting date or the period since the completion date of a previous report on the same campaign and should be complete as of the 11th day before the date of the referendum.

Enclosed are the report forms necessary to comply with the law. There is a penalty of \$10 for each day that a report is filed late.

If you have any questions or if I can be of assistance, please call me at 289-3501.

Sincerely,

Janice T. Welch
Assistant to the Commission

JTW/ml

Enclosure

Internal Revenue Service

Department of the Treasury

**District
Director**

P.O. Box 1680, GPO Brooklyn, N.Y. 11202

Date: SEP 18 1984

▷ State of Maine Joint Select
Committee to Investigate
Utilities
State House
Augusta, ME 04333

Person to Contact:
Mrs. E. Casa
Contact Telephone Number:
(212) 330-7411

Re: 01-0367232

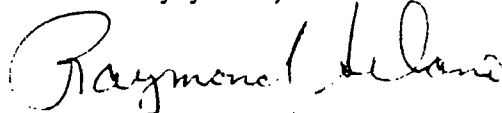
Dear Sir or Madam:

Reference is made to your request for verification of the tax exempt status of Maine Voice of Energy.

A determination or ruling letter issued to an organization granting exemption under the Internal Revenue Code of 1954 or under a prior or subsequent Revenue Act remains in effect until exempt status has been terminated, revoked or modified.

Our records indicate that exemption was granted as shown below.

Sincerely yours,



for Leonard Gass
District Disclosure Officer

Name of Organization: Maine Voice of Energy

Date of Exemption Letter: March, 1980

Exemption granted pursuant to 1954 Code section 501(c)(3) or its predecessor Code Section.

Foundation Classification (If Applicable): Not a private foundation as you are an organization described in section 509(a)(1) of the Internal Revenue Code.

APPENDIX H

POLLING EXPERT OPINION LETTERS

SENATE

JOHN E. BALDACCI, DISTRICT 25, CHAIR
PETER W. DANTON, DISTRICT 4
CHARLOTTE Z. SEWALL, DISTRICT 20

MARC ASCH, STAFF DIRECTOR



HOUSE

DAVID B. SOULE, WESTPORT, CHAIR
JOHN L. MARTIN, EAGLE LAKE
EDWARD C. KELLEHER, BANGOR
CAROL ALLEN, WASHINGTON
NATHANIEL J. CROWLEY, SR.
STOCKTON SPRINGS
PATRICIA M. STEVENS BANGOR
LINWOOD M. HIGGINS, SCARBOROUGH
E. CHRISTOPHER LIVESAY, BRUNSWICK
RALPH M. WILLEY, HAMPDEN
DONALD F. SPROUL, AUGUSTA

STATE OF MAINE

ONE HUNDRED AND ELEVENTH LEGISLATURE

JOINT SELECT COMMITTEE TO INVESTIGATE PUBLIC UTILITIES

October 24, 1984

Professor Everett Ladd
The Roper Center for Public
Opinion Research
University of Connecticut
U-164
Storrs, Connecticut 06268

Dear Professor Ladd:

The Joint Select Committee to Investigate Public Utilities has been created by the Maine Legislature to examine the political activities of Maine's utility companies. A major focus of the present inquiry is the 1980-1983 polling activities. These have been conducted or directed by Dr. Christian Potholm, owner of Command Research and a professor of Government at Bowdoin College. It is clear that some of this polling material was transmitted to political candidates and office holders.

We are concerned that we are unable to understand why the polls show such consistency in questioning on political issues and races; Particularly since the polls were conducted by several different clients. We wonder what value this kind of a chronological data base (it covers the years 1980-1983,) might be to a survey research firm.

Under separate cover you will receive:

1. polling materials - reports and questionnaires,
2. deposition excerpts,
3. a chart of the polls we have in our possession.

In addition you should be aware of the following facts:

1. In addition to the materials provided to you, two series of tracking polls were done in 1980 and 1982. These were weekly polls (telephone) asking a limited number of questions. No written records of the polls exist, although from interviews and handwritten notes, it appears that they covered some five (5) questions on the referendum and statewide political races. These were done for the two months before the election.
2. Written reports of the poll results are the exception, not the rule. We have not been able to produce any other written reports other than the one we have provided to you. The approximate cost or fee for each poll appears to have been about \$18,000 - \$20,000 for a sample of 500 - 600.

We are interested in these questions:

1. Is the verification use of the political questions advanced by Dr. Potholm on pages 91-95 of the excerpts credible in terms of standard accepted polling practices?
2. Is the verification theory consistent with the polling materials supplied to you?
3. Are Dr. Potholm's remarks on pages 72 and 73 relating to the practice of disposing of old polling data consistent with general practices in the field?
4. Is his cost based explanation of #3 plausible?
5. Is the lack of written reports on all polls (major and tracking) a common practice?

Thank you for your willingness to assist us with the evaluation of these materials. I look forward to hearing from you. Please do not hesitate to call me if you have any questions. The Committee staff can be reached at (207) 289-2612.

Sincerely,



Marc Asch
Staff Director

MA/as

The Roper Center

For Public Opinion Research

Everett Carl Ladd
Executive Director

November 5, 1984

Mr. Mark Asch
Staff Director
Joint Select Committee to
Investigate Public Utilities
Legislature of the State of Maine
Augusta, ME 04330

Dear Mr. Asch:

I have carefully reviewed the materials that you sent me on the surveys conducted by Professor Christian Potholm of Bowdoin College on behalf of the Central Maine Power Company.

Let me say at the beginning that I have never had any professional or personal contact with Professor Potholm, and I have no knowledge whatsoever about his polling activities beyond what was suggested by the materials that you sent me. Similarly, I have never had any professional contact with Central Maine Power Company or its representatives, and I have not been involved in any way in the dispute over nuclear power facilities in the State of Maine.

As I have read and considered the materials you sent me, I have found it hard to understand precisely what is at issue. I understand, of course, that there is a political dispute going on in the State of Maine concerning the utilities and nuclear power, and that survey data are being interjected into this political debate. The same thing happens in one way or another in virtually every state in the United States, and occurs as well in the national arena. Those of us who work with survey data have been aware for a very long period of time that poll findings are not neutral analytic data, but are taken to bear on the substance of hot political disputes and thus become themselves subjects of contention.

I don't find it hard to understand that opponents of the utilities or of nuclear power in Maine would take issue with some of the survey data collected on behalf of Central Maine Power Company by Professor Potholm. But I am puzzled about the behavior of the Joint Committee which you represent. I find it

The Roper Center for Public Opinion Research is a nonprofit educational facility operated on behalf of the social science community by the University of Connecticut, Yale University and Williams College. The Center's main administrative offices are located at the University of Connecticut in Storrs. For the last twenty-five years, the Roper Center has offered the research community membership in its "International Survey Library Association" to facilitate access to its data library.

MEMBER INSTITUTIONS OF
INTERNATIONAL SURVEY LIBRARY ASSOCIATION
1984/1985

American Medical International
American Resource Bureau
Beloit College
Brigham Young University
Carl Byoir & Associates
Duke University
Harvard University
Howard University
Indiana University
J. C. Penney Company, Inc.
Louisiana State University
Marquette University
Massachusetts Institute of Technology
Miami University
Murray State University
State University of New York, Stony Brook
Northern Illinois University
Pennsylvania State University
Princeton University
Rutgers University
Savannah State College
Stanford University
Syracuse University
United States Information Agency
University of British Columbia
University of California, Berkeley
University of California, San Diego
University of Chicago (National Opinion Research Center)
University of Connecticut
University of Georgia
University of Illinois, Urbana-Champaign
University of Maryland
University of Massachusetts, Amherst
University of North Carolina, Chapel Hill
University of Texas, Austin
Washington University
Wesleyan University
Western Kentucky University
Wheaton College (Institute for the Study of American Evangelicals)
Williams College
Yale University

extraordinary, for example, that you have subpoenaed extensive records of Potholm's survey operation. I would have thought that you would agree with me that we have a basic First Amendment issue here: namely, the right of Professor Potholm, or any other person in survey research to ask cross sections of the public their views, and then to communicate to the results of these surveys to groups and interests as he sees fit. Surely Central Maine Power Company has a right to sponsor such surveys, and surely Professor Potholm has a right to conduct them. Whether or not these survey data are shared with you should be, I would think, up to those who sponsored and conducted the work. I see an unfortunately heavy hand of the state in an area where, it would seem to me, you have no good cause, and probably no right to intrude.

I see nothing out of order with the survey approach that Professor Potholm followed, with regard to question wording and question placement. To ask approval/disapproval questions about the President and the Governor near the beginning of the poll is to follow a practice that is widely shared. Professor Potholm describes his reasons for asking these questions, "introductory"--straightforward items that large numbers of respondents can answer confidently--and as well to secure "tracking" data--political information of a general sort on the Maine public. This is entirely plausible. I don't see how it in any fashion contaminates the subsequent survey data.

With regard to the disposition of survey data, I have always encouraged survey organizations to contribute their poll findings to a library where they may be preserved. The Roper Center for Public Opinion Research exists through the generosity of a great many survey organizations who contribute data to us for subsequent analysis. Having said this, I should note that private pollsters like Professor Potholm, who operate in large numbers around the country, almost never contribute their data to libraries like ours. The organizations who give us their data are the major public polling organizations like Gallup, Yankelovich, CBS News and the New York Times, ABC News and the Washington Post, etc. The private pollsters, who work for parties and/or various private-sector clients, have consistently taken the position that their proprietary data are not going to be generally available. I wish they would take another view, but you should know that Professor Potholm's practice is a common one.

I will conclude with one additional observation. If legislative committees like yours continue to pry into the survey business as you are, subpoenaing data in an effort to uncover some embarrassing details, it's going to be increasingly hard for academic facilities like the one I direct to persuade organizations to preserve their data and contribute them to us for subsequent analysis. I indicated earlier that we have been having trouble with a lot of the private pollsters anyway, but we have made substantial progress with the public pollsters.

Mr. Mark Asch

- 3 -

11/5/84

Efforts like yours are going to provoke a real chill if they are continued.

I favor a wide range of efforts to make Americans more intelligent consumers of public opinion data. On the question of nuclear power, a great variety of data already exists in the public sector. An educative campaign in the State of Maine could draw on enormously rich resources with regard to public opinion information. The fact that some private pollsters may or may not be following procedures of the kind I would endorse is a matter to be confronted with an educational effort, not with the subpoena powers of the state.

Sincerely,

A handwritten signature in dark ink, appearing to read 'E. C. Ladd', with a stylized, cursive script.

Everett C. Ladd

SENATE

JOHN E. BALDACCI, DISTRICT 25, CHAIR
PETER W. DANTON, DISTRICT 4
HARLOTTE Z. SEWALL, DISTRICT 20

MARC ASCH, STAFF DIRECTOR



HOUSE

DAVID B. SOULE, WESTPORT, CHAIR
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STOCKTON SPRINGS
PATRICIA M. STEVENS, BANGOR
LINWOOD M. HIGGINS, SCARBOROUGH
E. CHRISTOPHER LIVESAY, BRUNSWICK
RALPH M. WILLEY, HAMPDEN
DONALD F. SPROUL, AUGUSTA

STATE OF MAINE

ONE HUNDRED AND ELEVENTH LEGISLATURE

JOINT SELECT COMMITTEE TO INVESTIGATE PUBLIC UTILITIES

January 22, 1984

Dr. Barbara Farah
New York Times
229 West 43rd Street
New York, N.Y. 10036

Dear Dr. Farah:

As Chairmen of the Joint Select Committee to Investigate Public Utilities for the State of Maine, we would like to personally thank you for your time and effort. Your knowledge and advice is greatly appreciated.

Per our telephone conversation of January 22, 1985, we have enclosed polling material for your review. The questions of a political nature are the ones we are interested in. We have been informed that the purpose of these "Tracking questions" is to validate the results of the other questions. Is this a feasible explanation? It would assist the investigation if you could explain how the accuracy of your polls is checked.

As we are not in the survey research business, there are a few more questions that repeatedly come up. Being a respected Doctor in the field, your answers and/or opinions to the following questions would be a great help:

1. Are there alternative methods of checking the validity of a poll?
2. What are these alternative methods?
3. If these "tracking questions" are necessary, must they be of a political nature?
4. Is it necessary for a public utility company to ask such political questions?

Again, we sincerely thank you for your time and expertise.

Sincerely,

Handwritten signature of John E. Baldacci.
John E. Baldacci
State Senator

Handwritten signature of Nathaniel Crowley, Sr.
Nathaniel Crowley, Sr.
State Representative

JEB/as
Enc.

The New York Times

229 WEST 43 STREET
NEW YORK, N.Y. 10036

February 27, 1985

Mr. John E. Baldacci and
Mr. Nathaniel Crowley, Sr.
Joint Select Committee to
Investigate Public Utilities
State of Maine
Augusta, Maine

Dear Mr. Baldacci and Mr. Crowley:

First let me apologize for the delay in responding to your letter of January 22. I am still trying to adjust to the breakneck pace at which surveys are conducted in a non-academic setting.

The issue of validating survey results is a central concern both to you and to those who are seriously engaged in polling. There are several ways of validating survey results, one of which is to compare results from questions repeated over time by the same organization. During the election year, the New York Times/CBS News polls repeated a whole series of questions from one month to the next, because they were of substantive interest to the reporters. Some of these questions included Reagan approval ratings, party identification, the most important problem facing the nation today, and the horse-race question (if the election were held today, who would you vote for?). Aside from being of substantive interest to us, they were also used to check the internal validity of each survey.

Party identification, for example, is a very stable measure. It does not change dramatically from one month to the next. Having just said this, there is an exception. People calling themselves "independents," or "independents, leaning toward the Republican party or Democratic party" tend to declare their party id as Republican or Democratic, respectively as it gets closer to the actual election. For independents, who are not as politically involved or informed, saying that they are more Republican or more Democratic in the final days of a campaign is akin to them announcing their vote. We noticed this happening in our October polling and in other polling, when the percentage of independents decreased and the percentage of Republicans increased.

Social science researchers and commercial pollsters may look at attitudes that are considered to be fairly stable--like party id--and just check to see if they remain relatively unchanged across short time spans to validate their survey results.

Another way of checking the validity of the data is to compare one

firm's polling results with other polling agencies that have asked some of the same questions in the same time period.

As I mentioned above, questions that are used for tracking should be relatively immune to unexpected events. For this reason, it might be better if they were not political in nature, when the subject of the survey is essentially non-political.

This may be a long, round-about way of saying that there is no intrinsic reason for using political questions for surveys dealing with people's attitudes towards public utilities, jobs and the environment, unless there is also an interest in connecting these issues with the performance ratings of public officials or with certain policies. Being a political scientist, I would be interested in the connection between people's attitudes toward nuclear power, Common Cause, Both Iron Works, etc. and their approval of the Governor's job performance. But I would not justify including these political questions in a survey on the grounds that they are used for validating the data.

In fact, some questions, wrongly placed, can set a tone to the survey that is unintended. I noticed, for example, that the political tracking questions used by the Atlantic Research, come at the beginning of the interview. Introducing a survey in this way can set the respondent up to expect the following questions to have a political--partisan--dimension. If you are mainly interested in the environment and utilities, you may not want to begin a survey in this manner.

The placement of questions on a survey is a tricky issue. Some people feel that the questions asked at the beginning are the least likely to be contaminated and therefore should be reserved for those issues you are most interested in. Other people feel that the questions asked early in the survey may not receive the same kind of thoughtful responses because the respondent is not fully "warmed up." I am more likely to favor putting the most important questions at the beginning part of the survey.

Consistency of question ordering and placement, however, is important. That is, once you have decided to ask the same questions over time, it is important to keep their position in the questionnaire relatively constant. For example, we asked three kinds of approval ratings on Reagan in the same order throughout the election period. We also tried to place these questions at the beginning of the survey and then at other times they appeared ten questions into the survey.

I see a hidden agenda in some of the surveys that were sent to me. It strikes me that asking whether the respondent is registered to vote or not means that there is more of an interest in a select group of respondents--voters--than with all people from Maine. Is this the case? Why rate the Governor's performance unless you intend to connect it with certain issues? Is disapproval of the

- 3 -

Governor's job performance related to people's attitude toward the \$33 million agricultural bond issue?

I think that the key question to ask yourselves is what purposes do you want your surveys to serve? They can be politically neutral or they can have a political component to them.

I hope that I have answered some of the questions that you have posed to me. I also want to emphasize that the opinions I have expressed here are personal and in no way reflect the views of the New York Times.

Sincerely,


Barbara G. Farah, PhD



NORTHEAST RESEARCH

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February 4, 1985

Senator John E. Baldacci
Representative Nathaniel Crowley, Sr.
Chairmen
Joint Select Committee to Investigate Public Utilities
Maine State Legislature
Augusta, Maine 04333

Gentlemen:

Your letter of January 25 inquires about the methodological appropriateness of using "questions of a political nature . . . (as) tracking questions . . . to validate the results of other questions" included in the "polling material" you enclosed. You also ask "how the accuracy of (Northeast Research/MAINEPOLL) polls is checked." Finally, you ask for "answers and/or opinions" to four related questions.

This letter is a response to your inquiries. Before outlining those responses, four factual circumstances, all of which may be relevant to this response, should be made explicit:

- (a) I have had a great deal of formal, professional training and 25 years' of experience in the survey research field--the latter as an academic researcher and as a private practitioner. (Attached as Appendix A is my curriculum vitae--or academic resume.)
- (b) Although I am responding as a professional survey researcher, for the past 12 months (since my departure from the University of Maine at Orono) I have been Director of the MAINEPOLL Division of Northeast Research and Chairman of the Board of Northeast. MAINEPOLL is potentially, if not in fact, a competitor of Command Research--a firm which I understand to be involved in the activities you are investigating.
- (c) Chris Potholm, the principal figure in Command Research, and his wife, Sandy, have been friends of mine since the 1960s--when Chris and I served together on the faculty of Dartmouth College.
- (d) I have close professional relationships with several of the organizations involved in your considerations. The Bangor Hydro-Electric Company and New England Telephone are currently clients of Northeast's; The Central Maine Power Company, the parent company of Atlantic Research, was a client of UMO's Social Science Research Institute during the period in which I directed that Institute; and Northeast has done work for the Maine Public Utilities Commission on several occasions in the past year.

I should also add that a complete, fully documented response to the questions you ask would require many more than the 20 hours of professional time this present effort has consumed. This is so because your questions touch upon several complex technical questions of survey research methodology and the relationship, which is sometimes only tenuous, between accepted scientific methodology in that field and current practice by many (although not all) of the firms that do political polling and/or marketing research. However, as a principal in a very new and still quite small firm, I cannot afford to invest more time in this matter than that which is reflected in this response.

Let me deal with the matters you raise, one at a time.

A. Questions of "a Political Nature"

After a review of the materials you enclosed, it was necessary to contact the Joint Select Committee in order to determine your definition of "political questions." I interpreted the Committee staff response to mean that such questions were all those that dealt with preferences among or overall evaluations of incumbents of--and candidates for--public office, and with political parties, as well as items involving government agencies or public policy alternatives (including referenda questions) that were not part of the immediate public policy environment of the client for whom the polling was being done. (Phone conversation with Ms. Andrea Stahl, Joint Select Committee staff, January 29, 1985.)

This definition would seem to imply that the questions "of a political nature" to which you refer are those listed in Table 1--by polling firm, Atlantic Research (AR) or Command Research (CR), and by date. (See next page.)

Three additional observations regarding the polling materials you sent should be dealt with at this point.

First, the copy sent me of the Command Research summary of the July 1982 poll (CR 7/82) does not include pages 72 to the end of the original document. However, included at the end of what I have of that document are seven pages of county-by-county breakdowns (organized by the 1972-1982 Maine Congressional district boundary definitions), for the period 1970 through 1982, of the primary voter turnout, party registration, "primary enrollment," and related material. These tabulations include longitudinal analysis of the percentage shifts in party strength on a county/regional and Congressional district-wide basis. These data consist largely of translations into percentages of official aggregate statistics available from the office of Maine's Secretary of State. As such, they are not from surveys, but they do deal with "political questions" in the Committee's definition of that term.

Second, while Table 1 lists the "political question" content of one Atlantic Research poll on the Maine Yankee Nuclear Referendum dated August 1982, I received two survey instruments associated with that survey. The two instruments--and the survey results entered on them--are identical in every respect except two: (1) the second instrument (not shown in Table 1) does not include four of the seven "political questions" found on the first (and above-listed) instrument; and (2) the numbering of all questions (from Q3 onward) on the second instrument has been changed, and the layout of the first page of that instrument has been reformatted, so that a reader of the first instrument alone could not know that

TABLE 1: APPARENTLY "POLITICAL" QUESTIONS APPEARING IN SIX AR/CR POLLS

	AR 4/82	CR 7/82	AR 8/82	AR 9/82	AR 9/26- 27/82	AR (BIW) n.d. ^b
Are you registered Democrat, Republican, Independent?	Q2	--	Q2	--	Q2	Q2
Do you consider yourself to be a Democrat, Republican, Independ- ent?	--	In ^a	--	Q2	--	--
Do you approve or disapprove of President Reagan's performance?	Q3	In	Q3	Q3	--	Q3
Do you approve or disapprove of Governor Brennan's performance?	Q5	In	Q5	Q4	--	Q4
In November How do you feel about the referendum to index state income taxes?	Q6	--	Q8	--	--	--
How about the referendum to abolish the Maine Milk Commission?	Q7	--	Q9	--	Q3	--
This year is a major election year. . . . If the election were held today would you be voting for George Mitchell (or) David Emery?	--	--	Q6	--	--	--
. . . If you were voting today would you be voting for Joseph Brennan (or) Charles Cragin?	--	In	Q7	--	--	--
RESPONDENT'S IDENTIFICATION AS CONSERVATIVE, MODERATE, OR LIBERAL ^a	--	In ^a	--	--	--	--

^aBecause the August 1982 Command Research poll is represented in the materials sent me only by the executive summary rather than by the survey instrument, and the summary does not include the specific wording of many of the questions utilized in the summary, it is sometimes necessary to deduce the wording of the question actually used. Additionally, it is possible that there were additional "political questions" in the survey instrument that go unmentioned in the summary.

^bThe Atlantic Research poll which deals almost exclusively with the Bath Iron Works (BIW)-Common Cause dispute includes no notation as to its date.

four "political questions" had been left out of the second instrument--questions that were included in the survey as it was apparently conducted.

Third, some of my comments in Sections C and D assume that the content of the survey instruments for all six of the polls sent me was either designed by, or approved by, a single individual--and that that individual is the person who "informed" the Committee that the "purpose" of the "questions of a political nature . . . is to validate the results of the other questions." I have made this assumption because I was informed, by Committee staff, that such was the case.

B. "Validating" Individual Questions, Interviews, and Entire Polls*

In responding to the question of whether utilization of "political questions" for validation purposes is a "feasible explanation" for the inclusion of such questions in a poll presumably designed for "non-political" purposes, it is necessary first to explain the several uses of the term "valid" or "validity" in the context of survey research methodology.

1. First, "validity" is a technical term that refers to the validity of a specific question in a survey. Will a question to be asked in fact measure what the survey's designers assume that it will measure? Specifically, will virtually all respondents in the survey both interpret the wording of a question the way the designer wants them to interpret it and provide a truthful answer to that question?

There are four appropriate techniques for maximizing this type of validity:

(a) In formulating a question, assure that the question wording is not threatening--that no one response option is significantly more "socially approved" than another.

(b) "Pre-test" the proposed wording with a small, random sample of the population for which it is designed--and, by engaging the pre-test respondents in conversation following their responses to the question, determine if they were, in fact, interpreting it as it was designed to be interpreted. If the proposed wording fails this test, it is then revised and re-tested again and again until it is understood as intended.

(c) In cases where question wording is understood as desired but the problem of respondent candor remains, one would design multiple indicators of (i.e., several apparently different questions that measure) the particular belief, attitude, or behavior that the researcher is attempting to measure. These separate indicators would have to be highly related to one another--in the statistical sense.

(d) Use question wording that is already known to be valid (for the time period and the population being surveyed).

2. "Validity" in survey research terminology also refers to the validity of a particular interview. That is, did the interviewer: Call the appropriate

*The discussion in Section B focuses on telephone surveys of general populations, although most of what is said would apply to other survey modes and to surveys of "rare" populations.

telephone number? Actually conduct the interview--in the household and with the person that the interviewer claims to have interviewed? Ask all the appropriate questions, as instructed? Write down the answers that the respondent actually gave during the interview?

The problem of interview validity in this sense of quality control is more than occasionally a serious one, particularly when one or more of the following conditions exists: (a) the interviewing for a survey is subcontracted out by the firm doing the analysis to another group; (b) the interviewing is done at numerous locations (e.g., interviewers' homes) rather than in a centralized, supervised environment; (c) interviewers have a central role in the sampling process; (d) interviewers are not properly recruited, screened, trained and/or supervised; (e) interviewers are paid by the "piece" (completed interview) rather than with an hourly wage or a salary; (f) interviewer turnover is high; and/or (g) it is impossible to identify--and, hence, impossible to recontact--the respondent whom the interviewer was supposed to interview.

To list these problem-causing conditions is to suggest many of the techniques for obviating them. However, the principal technique used by professional survey firms to deal with validity in this sense is to "validate" a sample of the interviews. This process involves: (1) drawing a small sample of interviews already conducted by each interviewer; (2) having a different interviewer (or a supervisor) call those respondents a second time; (3) in that second ("validating") call, asking the respondent a half-dozen of the same questions that were asked in the original survey (typically chosen from among those whose answers could be expected to remain stable during the intervening period); and (4) comparing the responses from the first with those from the second interview of the same respondent. Where there are more than a few very minor discrepancies between the original interviews conducted by a given interviewer and the validating interviews of the same respondents, an additional sample of that interviewer's work is drawn and subjected to the same process--to see if there are yet more significant discrepancies between the interviews that the interviewer claimed to have conducted and the validating interviews.

3. The first question posed in the third paragraph of your letter, "Are there alternative methods of checking the validity of a poll?" (emphasis mine), suggests that the Committee may be using the term "valid" as equivalent to the professional survey research term "unbiased." That is: Do the responses in the survey collectively mirror those that are held in the entire population to which the survey researcher wishes to generalize? The answer to this question is, in practice, one of degree: How biased (or, in non-technical terminology, how "invalid") are the survey results (is "the poll")?

Bias is a very serious problem in survey research--and, even more so, among those who use only the most rudimentary of survey techniques--an all-too-common practice among political and market "polling" firms. There are a number of different sources of bias in polling; the five most common are: sample bias (the sampling procedures are such that the persons selected to be respondents are not a random sample of the population under study); non-response bias (those selected respondents who are interviewed differ significantly from those that are not available or refuse to participate; bias due to question or response-category wording; bias due to question order; and bias due to survey

auspices (knowledge of the survey's sponsor causes respondents to be less than candid).^{*} The content of the Committee's letter suggests that it may well be interested in the use of "political questions" to check polls for sample and non-response bias.

Telephone surveys have a built-in potential bias. In Maine, for example, they fail to reach the approximately 6% of the adult population that lives in dwelling units without a telephone. Telephone polls whose samples are limited to telephone numbers listed in published directories are very likely to be biased. (Approximately 10% of all Maine adults live in households whose phone numbers are not included in the latest directories.) Bias is likely to be high in polls that call sampled but unanswered numbers only once or twice, or that call only on weekends, or that interview whomever answers the phone. Finally, any poll or survey is likely to include non-response bias if the response rate, i.e., the proportion of sampled households in which an interview is completed, is low; and the further the response rate falls below 100% the larger the chance that the resultant sample will be biased.

All of the above-listed (and quite common) departures from the model of correct probability sampling of a population are potential sources of bias. (That "model" is carried out when every member of the population to which the researcher wishes to generalize [i.e., that he wants his sample to "represent"] has an equal probability of being included in the sample and being interviewed.) Failure to match the specifications of probability-based surveys may introduce more or less bias--depending upon (1) the extent of the differences between those underrepresented (or unrepresented) and those overrepresented in the survey with respect to the principal beliefs, attitudes, or behaviors being measured by the survey; and (2) the magnitude of the over- and under-representation in the survey.

The principal approaches to dealing with sample and non-response bias are as follows:

a. Utilize probability sampling techniques in order to reduce substantially the likelihood and the extent of bias. This is a solution used when surveys are employed in basic research, and in applied research when one or more of the following conditions is present: (1) a great deal is at stake in the validity of the findings, (2) the survey results are to be publicly disclosed, and/or (3) it is important to produce population-wide estimates rather than (or in addition to) measuring the relationship between one variable

^{*}There are other potential sources of bias in the survey process. One of these is the improper specification of the population to be sampled--given the purposes of the survey. Thus, for example, if one purpose of Command Research's July 1982 poll for New England Telephone was to deal with such NET-marketing related matters as customer evaluation of NET service, "business perceptions," "modern communications," and "new-product" acceptance (as one would deduce the poll's purposes to include, given the space devoted to those subjects in the summary of the survey results), then CR should have sampled all residential phone-bill payers--or all adults living in telephone households in Maine (or those in NET exchange areas). Instead, CR sampled only persons apparently registered to vote. The omission of phone customers not registered to vote meant that an estimated 17% of NET's residential customers had no opportunity to have their views and preferences sampled and counted. Only if the pollster knows that the marketing-related perceptions and attitudes of those not registered are the same as those who are registered can the exclusion of the former be justified.

and another (e.g., when it is crucial to have an accurate estimate of the proportion of the overall population that is likely to buy an automobile in the next 12 months rather than--or in addition to--merely the relationship between household income and whether or not a car is likely to be purchased). Because modern probability sampling techniques are literally unknown to some who call themselves pollsters (and to virtually all polling clients), and because these techniques are more expensive than less accurate sample-selection procedures, many pollsters do not employ them--or use them in only selected circumstances.

When probability sampling techniques are employed, the mathematical probability that the sample drawn and interviewed will be significantly biased will be both known and very low, and the methods for "checking on its validity" (i.e., determining whether the sample is significantly biased) are relatively minor matters.

b. To reduce non-response bias, use high-quality survey procedures. These include (in addition to the probability sampling techniques discussed above): well-designed survey instruments; highly trained interviewers; repeated efforts to reach sampled households (and sampled respondents within those households); and, if the initial contact in a household refused to talk to the interviewer, or the sampled respondent refused to cooperate or terminates the interview before it is completed, use of specialized interviewers to call back to "convert" refusals and terminations into interview completions.

c. Estimate the extent of bias and adjust for it. This approach to dealing with the problem of sample bias and non-response bias is employed by some pollsters who use only modified probability sampling techniques or other, even less scientific procedures--such as quota sampling. There are two basic methods of estimating the degree of bias with respect to the principal topics of a survey:

(1) Collect data in the survey on several respondent attributes (a) that can be directly compared, in the aggregate, with high-quality estimates of the same population attributes that are available from such independent sources as public records, election returns, or highest-quality probability surveys or enumerations (e.g., the U.S. Census); and (b) that are statistically related to responses to the questions of principal interest in the survey. Demographic variables tend to meet the above criteria--especially geographic area of residence, sex, age, education, and labor-force status. These demographic variables tend to remain relatively stable through time--or changes in them can be quite accurately estimated from the Census itself or supplementary sources. Equally important, most key variables in most surveys are statistically related to one or more of these demographic variables or combinations thereof. To estimate bias in surveys whose principal variables are of a partisan political nature (or are strongly related, in the statistical sense, to partisan attitudes and/or behavior), one would utilize either these same demographic variables (because they tend to be related to partisanship) or the demographics and those political variables whose survey estimates can be directly compared with known parameters: party of registration and the actual vote for party candidates for public office.

(2) The second method of estimating sample bias with respect to the key variables in a survey is far less satisfactory than the first. This second method utilizes variables from successive surveys conducted with the same procedures (which generally means conducted by the same organization). Specifically, the

organization will ask one or more identical questions in each successive survey (or in successive surveys in the same general subject matter area). These questions must have the following characteristics: (1) the responses to these questions must remain relatively consistent--stable--through successive surveys; and (2) responses to those questions must be statistically related to responses to the questions of principal concern in the survey. Demographic questions will meet these criteria for most polls. In political polls dealing with partisan races, party of registration and party identification, as well as demographics, would meet them.

This second method is very inferior to the first because it provides estimates only of relative bias between successive surveys (all or some of which may be biased), not of absolute bias--the difference between the survey estimate of the distribution of a population attribute and the actual distribution of that attribute in the population to which the study purports to generalize.

Once the degree of sample bias has been determined, there are three basic methods of dealing with it:

(1) Adjust the sampling procedure to help reduce the bias. This is quite commonly done by those utilizing other than strict probability sampling procedures, and it most frequently takes the form of imposing "quotas" on the types of respondents to be interviewed. For example, non-probability sampling typically produces samples of telephone survey respondents in which females and older persons are overrepresented, given their incidence in the population living in telephone households. Consequently, some pollsters will specify sex and age quotas in interviewing: e.g., one-fourth of the respondents must be male and under 45, one-fourth female and under 45, one-fourth female and 45 or older, and one-fourth male and 45 or older. When a quota group is filled, interviewers may select respondents only if they possess the characteristics for the unfilled quota(s).

This procedure is of limited value because it fails to deal with one underlying problem: males and younger persons tend to be underrepresented because they are less likely to be found at home when the interviewer first tries to contact the household. With the type of quota sampling sketched above, the sex bias will be drastically reduced and the age bias somewhat reduced. However, the "at-home" bias will increase, and persons more likely to be at home may differ significantly from those of the same sex and age grouping who are less likely to be at home (or those who refuse to be interviewed) with respect to the beliefs, attitudes, or behaviors being measured by the survey.

(2) Weight the survey data during the data-processing stage. This procedure is widely used by pollsters using probability sampling techniques and by some who use unscientific techniques. Typically, it consists of using Census data (or projections from Census data) to estimate the proportion of the population of interest that falls into each of a number of categories--typically defined simultaneously by one or more of four attributes: race, sex, age, and/or education. The proportion of the actual survey respondents in each of the same groupings is then determined. The Census estimate of each group's size is then divided by the proportion of all respondents who are in that grouping. The resulting quotient is then used to "weight" each case in that grouping. (The process is repeated for all groups.) Thus, one survey respondent may become

more--or less--than one respondent for purposes of analysis. For example, if Census data suggest that 12.0% of the population being studied is white, male, under 45, and has no more than a high-school education, but only 6.0% of the respondents surveyed have those characteristics, then the computer is programmed figuratively to "clone" the record for each respondent who has all four of those attributes. That is, each white, male, young, "low" education respondent is treated by the computer as $(12.0/6.0 =) 2.0$ such persons. Similarly, if Census-based estimates showed that 20.0% of the population was white, female, 45 or older, and had no more than a high-school education, but 30.0% of the survey respondents had those characteristics, then each such respondent would be analyzed as if she were $(20.0/30.0 =) .667$ of a respondent.

The use of weighting helps adjust for sample and non-response bias, but it suffers from one of the same liabilities that mars quota sampling: within each demographic grouping, those more likely to be at home (and to respond) will represent those less likely to be at home (and/or those who refuse to respond), and these two groups may differ significantly from one another with regard to the questions being studied. The most sophisticated of the weighting procedures are designed to circumvent the over-representation of those at home by asking respondents how likely they are to be at home at the time of day, and the day of the week, on which they were interviewed. That variable is then employed in the weighting procedure--with the "less-likely-to-be-at-homes" weighted up and the "more-likely-to-be-at-homes" weighted down.

(3) The third method of dealing with bias once it has been found is not to use the survey results. This is the most appropriate procedure to use when the results are clearly very substantially biased.

C. The Appropriateness of Asking "Political Questions" for Validation Purposes

This section explores the methodological appropriateness of using "political questions," as defined in Section A, for validation purposes--in each of the several senses of "validation" outlined in Section B and in the context of the polling material the Committee has provided.

1. "Political questions" as multiple indicators (see B.1.c., above). It would very definitely be appropriate to use a "political question" as one of several indicators of some underlying respondent attribute that could not be measured directly in the poll. However, the political-question-as-indicator would have to be known, in advance, to be highly correlated with the other indicators of the attribute--and with the attribute itself.

Some of the "political questions" in the six polls are quite highly correlated with one another: party of registration, party identification, Reagan and Brennan performance-evaluation, intended U.S. Senatorial vote, and intended gubernatorial vote. (There is considerable evidence of this in Command Research's 7/82 NET poll summary, pp. 6, 10, 11, & 12.) The strong interrelationships result because all of these questions are, among other things, indicators of partisanship.

However, there is considerable doubt whether any of the "political questions" utilized extensively across the six polls is strongly and consistently

related to the four focal topics of the six polls: the 1982 Maine Yankee Nuclear Referendum (three polls), image of the local electric utility (one poll), the New England Telephone Company vis-a-vis the Maine Public Utilities Commission (one), and Bath Iron Works vis-a-vis Common Cause (one). Examine the one poll for which the Committee has provided materials that include cross-tabulations of any "political questions" by "non-political" focal-topic questions. The measure of party allegiance (apparently party identification) is not statistically related in any consistent fashion with either attitudes toward New England Telephone or toward the MePUC (see CR, 7/82, pp. 23 & 26). Poll respondents' ideological identifications (conservative, moderate, liberal) are moderately related to attitudes toward NET but not toward MePUC (pp. 22 & 26); and the ideological-identification question was not asked in any of the other five surveys. The CR report to NET includes no cross-tabulation of either the Reagan or the Brennan performance variables--or the Brennan-Cragin "match-up" question, by any of the "non-political" questions of analytical interest in the summary. Indeed, the summary makes no mention of the need to use multiple indicators of focal "non-political" variables.

Turning to the other five polls, one cannot determine from the Committee materials whether attitudes toward the Maine Yankee Nuclear referendum, local electric utilities, or the BIW-Common Cause issue, were statistically related to the "political questions" at the time those surveys were conducted. However, the publicly available results of a September 1982 Maine-wide telephone sample survey conducted by the University of Maine's Social Science Research Institute show no statistically significant relationship between likely 1982 Nuclear referendum vote and party identification. (SSRI/UMO survey #3343)

This analysis leads me to conclude:

- (1) that the "political questions" could be used as indicators of one another and of an underlying partisan dimension;
- (2) that the "political questions" would not be used as indicators of the focal "non-political" issues examined in the six surveys; and
- (3) given the absence of any mention of the use of multiple indicators, and given the absence of their cross-tabulation by the "non-political" focal variables in the 7/82 poll for NET, that Command Research was exceedingly unlikely to have intended that the Reagan and Brennan approval questions and the Brennan-Cragin match-up questions be used as indicators of "non-political" focal variables in the NET poll.

2. "Political questions" as validators of individual interviews (see B.2., above). Several of the "political questions" could appropriately be used in the process of validating individual interviews. Specifically, if one is to call a small sample of a survey's respondents a second time in order to determine if the reported interviews had indeed been conducted and had been reported accurately, one might use questions concerning party of registration, party identification, and/or ideological identification in the validation process. One would use one or more of these particular "political questions" rather than presidential or gubernatorial evaluation or likely vote in a candidate match-up because the party and ideological variables are both less threatening to the respondent, and h/her responses to those questions are more likely to remain stable across the time gap between original and validation interviews, than are the job-evaluation or intended-vote variables. (More on through-time stability, below.)

However, no survey researcher would include a question in a survey for the sole purpose of comparing its responses to those in subsequent validation interviews--unless the original survey instrument otherwise would include no questions suitable for the validation process.

Since all six of the polls in question included demographic questions--all of which are exceedingly stable over at least short periods of time and most of which are non-threatening, it would make no sense for the polls to have included "political questions" for the purpose of validating interviews.

3. "Political questions" as measures of sample and/or non-response bias (see B.3.c., above). As the earlier discussion explains, it would be appropriate, under specific conditions, for AR or CR to have used one or more "political questions" to "validate a poll"--to provide reasonable assurance that the poll does not reflect significant sample or non-response bias on the questions of paramount interest. The appropriate conditions, to summarize our previous discussion, are (a) and (b), below:

(a) Either:

(a-1) the distributions of answers (to the questions so employed) in the population to which the study purports to generalize (for the period of the study) are known from other, highly reliable sources, or

(a-2) data are collected (through identical procedures in successive polls up to and including the poll to be validated) on questions the responses to which remain very stable in the study population (during the period of the surveys);

(b) and responses (to "validating" questions) must be statistically related to answers to the question(s) of principal interest in the poll.

To what extent do the "political questions" asked in the six polls in question meet these standards?

a. Only one of the nine "political questions" meets option (a-1). The one is party of registration, a question apparently asked in four of the six polls. Party of registration probably also meets option (a-2), as likely would, to varying degrees, party and ideological identification. However, performance evaluation of incumbents of major political office and intended vote in forthcoming elections and referenda will likely fail optional criterion (a-2). In general, Americans' appraisals of their leaders' current job performance and their statements of their likely candidate choice in forthcoming elections tend to be significantly more volatile than their general identification in politics. There are data on this point:

Appendix B to this letter provides data permitting comparison of the stability of aggregate, nation-wide estimates of party identification with evaluations of President Reagan's job performance--as those two variables were measured in 35 nation-wide telephone sample surveys (completed by Chilton Research Services for ABC News and The Washington Post) during the period February 20, 1981

to September 11, 1984. These data show that the average difference between single-poll estimates of Reagan's performance and the 35-sample average estimate is more than three times as large as the average difference between each of the 35 findings for party identification and the 35-sample average for party identification. (Reagan approval averaged 56.5%, with the typical poll varying 6.1 points above or below that average; average Reagan disapproval was $38.6\% \pm 7.0$ points. The comparable party identification figures are Republican, $25.0\% \pm 1.6$ points and Democratic, $39.5\% \pm 2.0$ points.) The mean of 34 poll-to-poll differences is also significantly greater for Reagan performance evaluations than for party identification. In fact, more than one-half of the poll-to-poll differences in the Reagan performance results appear to be statistically significant.*

These data strongly suggest that, for national political polls and from the Condition (a-2) standpoint, party identification is a far better "tracking question" for "validating" political polls than is Presidential job-performance, and that the latter changes too much in the population at large to be used for this purpose.**

It is my judgment that the instability problem: would also be the case with respect to evaluations of the President in any one state polled (including Maine); that it would probably also be a problem for gubernatorial evaluations in most states--at least during initial gubernatorial terms of office; and that it would also rule out the use of intended vote questions in at least 80% of all major electoral contests and referenda at the state level.

Precisely because one can neither predict which political-performance or intended-vote variables will remain stable in the aggregate for what period of time, nor confirm their survey results with good external data, I conclude that no competent pollster would use them to "validate a poll" (in the Section B.3.c. sense) even if those questions met the other necessary condition for their use.

b. The evidence at hand (see Section C.1., above) strongly suggests that at least one of the "political questions," party identification, does not

*Using the 95% confidence-level criterion. That is, in more than one-half of the poll-to-poll comparisons of Reagan ratings, we can be at least 95% certain the between-poll difference in ratings was not due to normal sampling variability. The between-poll differences were, presumably, the result of changes in Americans' evaluations of Reagan from one polling period to the next rather than of sampling variability and/or sample or non-response bias.

**Frank Goldsmith, for many years a partner in the polling firm of Louis Harris and Associates, once referred to presidential and gubernatorial performance items as "yo-yo questions" because of the between-poll variability in their results (presumably the result of massive and, frequently, cyclical asymmetrical shifts in individual Americans' appraisals of any one chief executive's performance). Indeed, historically, survey data on party identification has had little value in the mass-media and political market, and data on incumbent performance (and intended vote) considerable value, precisely because the former tends to be stable and the latter highly variable through time. "No change" is neither news nor "inside dope."

meet condition (b) with respect to the principal "non-political" concerns of either the July 82 CR poll for NET or any of the AR polls on the Nuclear referendum. Simply put, since party identification apparently lacks any statistical relationship with attitudes toward the New England Telephone Company, the Maine Public Utilities Commission, or intended vote in the 1982 Nuclear referendum, it was methodologically irrelevant to include that question for purposes of "validating" those polls (in the Section B.3.c. sense of the term).

Further, the Reagan and Brennan performance variables were included in the poll for NET but the CR report of that poll, while devoting a number of pages to analysis of those "political" variables, fails to use them in the analysis of any of the "non-political" questions that constitute the bulk of the report--not even in the analysis of voter evaluations of NET and the MePUC. This strikes me as suggesting that the two performance items were not included in that survey for purposes of validating the "non-political" questions.

It is possible that some of the "political" variables may have been statistically associated with some of the "non-political" questions at some point in 1982--or before or since that year. I pointed out, above, that one of the "political" items, the conservative-liberal identification variable, is statistically related with one of the key "non-political" questions in the NET survey. However, that ideology question appears only in that one survey; thus, that variable can't meet Condition (a-1): use in a series of polls. It is also interesting to note that no one of the "political" questions included in any of the six polls was included in all of them. Indeed, no one "political" item was included in all three of the polls devoted primarily to the Nuclear referendum. How was that series to be adequately "validated"?

4. In summary, it is my judgment that, in the context of what I have seen of the instruments and the results of the six polls--and of the Committee's definition of "political questions":

a. It was methodologically appropriate for CR and AR to use at least some of the "political questions" for validation purposes (in the senses of Sections B.1.c. and B.3., above)--but only if one of the major purposes of the polls was to deal with "political questions."

b. It was both methodologically unnecessary and methodologically inappropriate to use the "political questions" (as they were included in the polls) to "validate" (in any of the senses discussed above) any of the six polls insofar as those polls were designed for "non-political" purposes.

Having reached this conclusion, it is necessary to point out that methodological inappropriateness has been no barrier to the practices of some pollsters. Some of the material sent by the Committee may show that someone, however inappropriately, has attempted to use two of the "political questions" to "validate" one of the polls with respect to one of the key "non-political" questions in that poll.

Your attention is directed to the cover page of the copy of the AR September 1982 poll that includes the notation "CMP" under the AR logo and the document number 000058 at the bottom right (see next page of this letter). On this page someone has entered what are presumably the results of a "March, '82

Atlantic Research

CMP

SEPTEMBER 1982

Hello, I'm . I'm calling for Atlantic Research, a public opinion polling firm. I would like to ask you a few questions on a strictly confidential basis.

1. Are you a registered voter?

(If answer is no, ask to speak to a registered voter at that number. If no registered voter, terminate interview.)

1. registered voter _____

2. Do you consider yourself to be a:

- 1. Democrat
- 2. Republican
- 3. Independent

34.6
33.6
31.7

MARCH, '82

37.5
26.8
35.5

3. Generally speaking, do you approve or disapprove of the performance of President Ronald Reagan?

- 1. approve
- 2. disapprove
- 3. don't know

44.5
36.6
18.8

44.5
40.7
14.7

4. Do you approve or disapprove of the performance of Governor Joseph Brennan?

- 1. approve
- 2. disapprove
- 3. don't know

58.2
22.5
18.9

5. Overall, what would you say your opinion of your electric company is?

- 1. very favorable
- 2. somewhat favorable
- 3. somewhat unfavorable
- 4. very unfavorable
- 5. don't know

16.0 } 61.6
43.6 }
24.1 }
8.8 } 32.9
5.4 }

10.6 } 49.0
38.4 }
34.3 }
12.2 } 46.5
4.5 }

000058

poll with respect to three of the questions included in the September poll, specifically party identification (Q2 on the 9/82 poll), Reagan performance (Q3), and (Q5): "Overall, what would you say your opinion of your electric company is?--very favorable, somewhat favorable, somewhat unfavorable, very unfavorable (don't know)."

It is possible that the apparent March-to-September increase in "somewhat favorable" and "very favorable" opinions of "your electric company"--from an indicated total of 49.0% (March) to 61.6% (September)--was somehow thought to be "validated" as a "real" increase (rather than the consequence of sample and/or non-response bias, sample variability, or whatever). However, the fact that aggregate responses to two highly inter-correlated questions (party and Presidential performance appraisal) remain relatively constant in September as compared to March (as, presumably, they "should") is not itself very strong evidence that the apparent opinion shift on the utility question was "real." Indeed, if the two "political" variables were uncorrelated with opinions of utilities in March (as I am assuming they were) but (unknown to the pollster) the March sample over-represented utility critics relative to their actual incidence in the population, and if the September sample either properly or under-represented the critics, then the two sets of data would be about what you'd expect--even though the apparent increase in favorable opinions of utilities was only apparent--not real.

If, on the other hand, the "political" variables had been significantly correlated with views of utilities in March, then, in order for the effects of a March sample over-representation of utility critics (a bias unrecognized at the time) to disappear from the September findings, it would have been necessary for the distribution on the "political" variables to change quite substantially between March and September. Since such a change would be startling, it would suggest to the pollster the likelihood of bias in either the March or September samples--or both.

Recall two related points made earlier: (1) There are no strong external checks on the validity of poll data on the Presidential-performance and party-identification variables that would permit us to be satisfied that our estimates are essentially accurate; and (2) in a "poll-validating" process, it is most appropriate to use demographic or other variables that are correlated with the questions of focal interest and for which there are high-quality estimates of distributions for the population that have been derived independently of the polling process being validated. If, in the present example, attitudes toward "your electric company" were statistically related to one or more such demographics, then the March poll's anti-utility bias would have been accompanied by an over-representation of the demographic groupings that are disproportionately critical of their local utility. That demographic bias would have been noticed in March 1982--when the results for the poll's demographic questions were compared with the quality external data. What is more, the use of "validating" demographics linked to sound external estimates (e.g., the Census and projections from it), would have permitted the March data to have been "adjusted" to "correct" for their bias (using the weighting process described in B.3.c., above).

I trust that the foregoing explains how prudent survey researchers (including Northeast Research's MAINEPOLL Division) produce "valid" sample-survey data: They (and we) begin by using probability sampling techniques and state-of-the-art instrument design and survey administration procedures to maximize the probabilities that their results will be "valid"--in all senses of that word.

Then, wherever possible, careful researchers measure the extent of remaining bias, if any, by utilizing questions in their surveys whose answers: (1) can be compared, in the aggregate, with high-quality estimates external to the researchers' own survey process, and (2) are statistically related to the responses to the survey questions which are of central concern.

D. Is It Necessary for a Public Utility to Ask "Political Questions"?

Sections B and C, above, are responsive to the questions the Committee has raised in the second paragraph of its letter and questions numbered (1) through (3) in paragraph three. However, to this point a thoughtful response to question (4) in that latter paragraph has been neither explicit nor implicit. "Is it necessary for a public utility company to ask such 'political questions'?"

I have two quite different answers to this question; the difference hinges largely on one's definition of a "political question."

1. If "political questions," by definition, are never the appropriate subjects of polls conducted for utilities, then it is exceedingly unlikely that a "political question" would be methodologically necessary in such a poll. Other questions--additional "non-political" indicators of the "non-political questions," and demographic questions--would probably adequately serve, in all circumstances, the purposes of "validation" in all three senses of that word discussed in Section B. I can, however, imagine circumstances, however rare, when it would be methodologically useful to include "political questions" in polls whose purposes are "non-political"--namely, when one or more "political" variables will do a significantly better job of meeting criteria (a-1) or (a-2), and (b) (listed in Section C, above), than will demographic or other "non-political" variables available for use in the poll.

2. The key issue in a comprehensive response to the Committee's fourth question rests, as I see it, on one's views as to whether it is ever "necessary" for utilities to obtain data from the general public regarding "political" questions (such as those in Table 1) for substantive rather than methodological reasons. In short, is partisan politics ever the appropriate domain of public utilities' concern and action?

In my own view (a view I believe to be widely shared and to be consistent with interpretations of Americans' freedoms under the First Amendment of the U.S. Constitution), it is obviously appropriate for utilities to be concerned and active in partisan politics, as partisan figures and bodies (chief executives and legislators) have a great deal of control over the welfare of utility employees, stockholders, and ratepayers..

The central issue, it seems to me, is: Who should pay for "political" polls undertaken by or for utilities--utility employees and stockholders, or ratepayers?

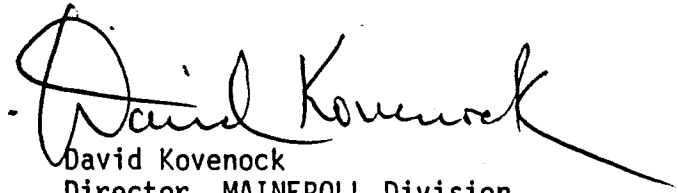
In the days when state and federal policy making in the public utility field (by partisan bodies or by non-partisan regulators appointed by partisans) was almost exclusively limited to determining the fair rate of return on investments in utilities, my opinion would have been that utility employees and stockholders should foot the bill for utilities' data-collection on partisan issues.

However, we now live in a very different world. Some partisan bodies are now debating public policy proposals that would have a negative impact not only on utility employees and stockholders, but also on utility ratepayers (qua ratepayers) as a class: for example, when public officials elected in partisan elections advocate policies which, in their effect, would use public utilities as surrogate tax collectors, with higher utility rates as substitutes for higher explicit tax rates. These policies may have negative direct impacts not only on a majority of ratepayers, but literally on all of them. Should not utility ratepayers, qua ratepayers, help finance data-gathering that would support action against such proposals--in the only way that is probably feasible for them to do so: through the rates they pay?

I am not certain of my ultimate answer to this second formulation of your question; however, my tentative response is a qualified "yes": it may never be necessary for utilities to ask "political" questions but, in some circumstances, they certainly should have the right to do so. However, when they do do so, they ought to provide a clear justification for doing so. That justification would be couched in substantive, not methodological, terms.

I trust you have found these comments responsive to your questions.

Sincerely yours,


David Kovenock
Director, MAINEPOLL Division
Northeast Research

DK:djh

Attachments (2)

APPENDIX A

David Kovenock

Director, MAINEPOLL, and Chairman of the Board, Northeast Research, Inc.
P.O. Box 9
Maine National Bank Building
Orono, Maine 04473
(207)866-5593

I. PERSONAL DATA

Home address: 15 Mayo Street, Orono, Maine 04473 (Tel. 207-866-4552)
Born September 10, 1933 at Milwaukee, Wisconsin
Married
Three children

II. ACADEMIC TRAINING (DATE OF DEGREE)

B.S., University of Wisconsin, Madison, Political Science,
1951-55 (1955)
M.S., University of Wisconsin, Madison, Political Science,
1956, 1958 (1958)
Ph.D., University of North Carolina, Chapel Hill, Political Science,
1958-1961

III. FELLOWSHIPS AND GRANTS

Fellow, National Agricultural Extension Center for Advanced Study,
University of Wisconsin, Madison (1956-57)

Falk Fellow in Politics (1958-1960) and Teaching Fellow (1960-61), Department of Political Science, University of North Carolina, Chapel Hill

Research Fellow, Resources for the Future, Washington, D.C.
(1961-62, declined)

Research Fellow, The Brookings Institution, Washington, D.C. (1961-62)

Grants, Public Affairs Center, Dartmouth College (1963-64, 1965)

Grant, National Science Foundation, Political Science Program (1972-74)

IV. PROFESSIONAL POSITIONS

Instructor (1962-65) and Assistant Professor (1965-68), Department of Government, Dartmouth College

Visiting Assistant Professor, Department of Political Science, University of North Carolina, Chapel Hill (1966)

Lecturer, Department of Political Science, University of North Carolina, Chapel Hill (1968-1973)

Research Associate (1968-1973) and Senior Research Fellow (1973-77),
Institute for Research in Social Science, University of North Carolina,
Chapel Hill, and in those positions:

Director, Comparative State Elections Project (1968-1974)

Co-Principal Investigator, 1972 American National Election
Study, Center for Political Studies, Institute for Social
Research, University of Michigan, Ann Arbor

Co-Principal Investigator, Pilot Study of Public Opinion on
National Priorities (1972-74)

Director, Priorities Measurement Group (1974-77)

Staff Associate, American State Administrators Project
(1975-76)

Visiting Lecturer, Department of Political Science, Duke University (1976)

Director (1977-83) and Senior Research Associate (1983-84), Social Science
Research Institute, and Cooperating Associate Professor of Political Science,
(1977-84), University of Maine at Orono

V. OTHER PROFESSIONAL AND RELATED ACTIVITIES

Research Assistant, Bureau of Government, University of Wisconsin, Madison
(1956)

Second Lieutenant, Infantry, United States Army (1957)

Staff Intern, Committee on Agriculture, U.S. House of Representatives
(1959)

Research Assistant, Institute for Research in Social Science, University
of North Carolina, Chapel Hill (1961)

Consultant to various candidates for the U.S. Senate and House of Repre-
sentatives (1964-68)

Consultant, Southeastern Vermont Community Action, Inc. (1965-66)

Manuscript referee, numerous journals in political science and other social
sciences (1964-)

Member, Executive Committee of the Faculty, Dartmouth College (1965-68)

Member, Program Committee, American Political Science Association (1970-71)

Participant, National Network of State Polls (1981-)

Member, Maine Hospital Association Blue-Ribbon Committee for Development of a
Management Information System (1982)

Consultant on Telecommunications System Design and Utilization (1984-)

Research Associate (1968-1973) and Senior Research Fellow (1973-77),
Institute for Research in Social Science, University of North Carolina,
Chapel Hill, and in those positions:

Director, Comparative State Elections Project (1968-1974)

Co-Principal Investigator, 1972 American National Election
Study, Center for Political Studies, Institute for Social
Research, University of Michigan, Ann Arbor

Co-Principal Investigator, Pilot Study of Public Opinion on
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Member, Program Committee, American Political Science Association (1970-71)

Participant, Network of State Polls (1981-)

Consultant, Northeast Research, Inc. (1982-84)

Member, Maine Hospital Association Blue-Ribbon Committee for Development of
a Management Information System (1982)

Member, American Political Science Association, Public Choice Society,
Southern Political Science Association

VI. SCHOLARLY MANUSCRIPTS, PAPERS, PUBLICATIONS, AND SELECTED RESEARCH REPORTS

"The Congressman and the Campaign: Excursions along a Decision-Making Approach to the Study of Congressional Behavior" (a paper delivered at the National Center for Education in Politics, Washington Seminar, September, 1962).

"Congressmen and the Public Look at Congress: Private Views and Public Criticisms" and "Congressional Reorganization: What Do Congressmen Think?" in Michael O'Leary (ed.), Congressional Reorganization: Problems and Prospects (Hanover, N.H.: Dartmouth College Public Affairs Center, 1964), pp. 5-28, 55-63. (With Roger Davidson and Michael O'Leary)

"Communications and Influence in Congressional Decision-Making" (a paper delivered at the 1964 meetings of the American Political Science Association).

Extended oral and written testimony in: 89th Congress, Hearings before the Joint Committee on the Organization of the Congress (Washington: GPO, 1965), Part 5, pp. 743-782. (With Roger Davidson and Michael O'Leary)

Congress in Crisis: Politics and Congressional Reform (Belmont, Calif.: Wadsworth Publishing Co., 1966 (soft-cover text ed.); New York: Hawthorn Publishing Co., 1966 (hard-cover trade ed.)). (With Roger Davidson and Michael O'Leary)

"The Catfish and the Fisherman: Congress and Prescriptive Political Science," American Behavioral Scientist, X:10 (June 1967), pp. 23-27. (With Roger Davidson)

"Influence in the U.S. House of Representatives: Some Preliminary Statistical 'Snapshots'" (a paper delivered at the 1967 meetings of the American Political Science Association).

"Status, Party, Ideology, Issues, and Candidate Choice: A Preliminary, Theory-Relevant Analysis of the 1968 American Presidential Election" (a paper delivered at the 1970 meetings of the International Political Science Association). (With Philip Beardsley and James Prothro)

Explaining the Vote: Presidential Choices in the Nation and the States, 1968 (Chapel Hill: University of North Carolina Institute for Research in Social Science; Part I: "The Theoretical Approach" (1973); Part II: "Presidential Choices in the States" (1973); Part III: "Presidential Choices in the Nation" (forthcoming)). (With James Prothro and Associates)

"Influence in the U.S. House of Representatives: A Statistical Analysis of Communications," American Politics Quarterly, I:4 (October 1973), pp. 407-464.

Measuring Public Opinion on National Priorities (Beverly Hills, Calif.: Sage Publications, Inc., 1974). (With Philip Beardsley and William Reynolds)

Political Attitudes in the Nation and the States (Chapel Hill: University of North Carolina Institute for Research in Social Science, 1974). (With Merle Black and William Reynolds)

"Three Measures of Interpersonal Influence in the U.S. House of Representatives" (a paper delivered at the 1974 Seminar on Mathematical Models of Congress, Mathematical Social Science Board, National Science Foundation).

Guide to the Comparative State Elections Project Survey Data (Chapel Hill: University of North Carolina Institute for Research in Social Science, 1975). (With Elizabeth M. Fischer)

"Tracing General Revenue Sharing Dollars" (with Deil S. Wright), "The American State Administrators Project: Survey Methodology," "Definitions of Major Analytic Constructs," and "General Revenue Sharing, Block Grant, and Categorical Aid Preferences: A Test of the 'Federal Strings' Dimension Hypothesis"--respectively Part VI, Part VII, Appendix A, and Appendix B of Deil S. Wright, David Kovenock, et al., Assessing the Impacts of General Revenue Sharing in the Fifty States: A Survey of State Administrators (Chapel Hill: University of North Carolina Institute for Research in Social Science, 1975).

"The Impacts of Revenue Sharing in the Fifty States: The Views of State Administrators" (written and supplementary oral testimony presented to the Subcommittee on Intergovernmental Relations and Human Resources, Committee on Government Operations, U.S. House of Representatives, October 2, 1975).

"General Revenue Sharing Perspectives Across the States," "The Concordance of GRS Impact Perceptions and Actual Use Reports in the States (with Alfred R. Light), "Problems of Between-Study Comparability" (with Alfred R. Light), and "The Participants' Perspectives Approach," respectively Part II, Part III, Appendix A, and Appendix B of David M. Kovenock, Alfred R. Light, and Deil S. Wright, Participants' Perspectives on General Revenue Sharing in the Fifty States: Comparisons of Results from Two Surveys (Chapel Hill: University of North Carolina Institute for Research in Social Science, 1976).

"Responsible Voting and Responsible Analysis" (unpublished manuscript, Chapel Hill, N. C., 1977).

The Demand for Adult Education in Maine (Orono: University of Maine, Social Science Research Institute, 1978).

Continuing Education in Washington County (Maine): Employers' Perspectives (Orono: University of Maine Social Science Research Institute, 1978). (With Joseph E. Conboy)

Survey of Maine Potato Growers, 1977-1978: Behavior, Perceptions, and Attitudes Regarding Potato Futures Trading and the New York Mercantile Exchange (Orono: University of Maine Social Science Research Institute, 1978)

Maine Citizens' Perceptions of the University of Maine at Orono (Orono: University of Maine Social Science Research Institute, 1978). (With Tracy B. Bigney)

The Maine Public Affairs Survey (Orono: University of Maine Social Science Research Institute, 1979). (With Suzanne K. Hart)

The Demand for Housing in Bath, Maine (Orono: University of Maine Social Science Research Institute, 1980). (With Garrett Bozynlinsky)

The 1980 Rumford (Maine) Community Hospital Survey: A Technical Description (Orono: University of Maine Social Science Research Institute, 1980)

Maine Transportation Attitudes, 1981 (Orono: University of Maine Social Science Research Institute, 1981).

The Five-State 1979-1980 New England Migration Survey: A Technical Description (Orono: University of Maine Social Science Research Institute, 1981).

The Voluntary Budget Review Organization of Maine: Hospital Administrators' Views (Orono: University of Maine Social Science Research Institute, 1981).

The Demand for Adult Education in Maine: 1981 (Orono: University of Maine Social Science Research Institute, 1982).

Maine-wide Hypertension Baseline Survey Recontact (Orono: University of Maine Social Science Research Institute, 1982).

The April 1982 Survey of Registered Voters in Augusta, Maine (Orono: University of Maine Social Science Research Institute, 1982).

Maine Hypertension Control Project, Indian Island Reservation (Maine) Survey (1981): Description of Methodology (Orono: University of Maine Social Science Research Institute, 1982).

Attitudes Regarding Abortion in Maine, 1982: A Summary (Orono: University of Maine Social Science Research Institute, 1982).

"Impact of Maine's 1981 Driving-Under-the-Influence Legislation," article submitted for publication, January 1983. (With Ralph Hingson et al.)

Bank-Municipal Relationships in Maine: The View from City Hall (Orono: University of Maine Social Science Research Institute, 1983). (With Colleen N. Venturino)

The March 1983 Survey of Registered Voters in Augusta, Maine (Orono: University of Maine Social Science Research Institute, 1983).

An Assessment of the Treatment Needs of Youthful Substance Abusers in Maine (Orono: University of Maine Social Science Research Institute, 1983). (With Sandra L. Scott.)

"Cops and Drivers: Police Enforcement of Maine's OUI Law," article submitted for publication, August 1983. (With Allan R. Meyers et al.)

Dead River Convenience Store Marketing Survey Series (Orono: University of Maine Social Science Research Institute, 1983). (With Colleen N. Venturino)

Computer Use and Computer Literacy Among Northern New England Food and Fiber Producers (Orono: University of Maine Social Science Research Institute, 1983).

The Social Science Research Institute: A Self-Study (Orono: University of Maine Social Science Research Institute, 1983).

Ascertainment of Public Perceptions of Community Needs and Problems in: The WCBB Television Viewing Area; The WCSH-TV Viewing Area; The WLBZ TV Viewing Area; The WMEA Radio Service Area; The WMED Radio Service Area; The WMED-TV Viewing Area; The WMEG-TV Viewing Area; The WMEH Radio Service Area; The WMEH-TV Viewing Area; The WMEM Radio Service Area; The WMEM-TV Viewing Area; and The WMEW Radio Service Area (individual reports; all Orono: University of Maine Social Science Research Institute, 1983).

The Economic Impact of Downhill Skiing in Maine (Orono: University of Maine Social Science Research Institute, 1983). (With S. D. Reiling and R. J. Unterstein)

The Warm Home Energy Project: Evaluation of the First Year (Orono: University of Maine Social Science Research Institute, 1984).

The Bangor Hydro-Electric Company Residential Conservation Marketing Study (Orono, Maine: Northeast Research, 1984).

A Marketing Analysis of Commercial Customer Energy Conservation Program Elements: Energy Audits, A Hot-Water Conservation Package, Appliance Rebates, and Loans (Orono, Maine: Northeast Research, 1984).

Selected Highlights from MAINEPOL's Child-Care Task Force-Sponsored 1984 Survey of Maine Child-Care Practices (Orono, Maine: Northeast Research, 1984).

The 1983 Social Service Preference Survey of the United Way of the Penobscot Valley Area: Summary Highlights of Findings on the Utilization of and Unmet Demand for Social Services (Orono: University of Maine Social Science Research Institute, 1984).

"Effects of Maine's 1981 and Massachusetts' 1982 Driving Under the Influence Legislation," article submitted for publication, June 1984. (With Ralph Hingson et al.)

Economic Characteristics of the Maine Marine Industry (Orono: University of Maine Sea Grant Maine Advisory Program, 1984). (With S. D. Reiling)

Home Computer Use and Potential Use in Maine: Summary of Survey Results (Orono, Maine: Northeast Research, 1985).

APPENDIX B: NATIONWIDE SURVEY DATA PERMITTING COMPARISON OF THE STABILITY, IN THE AGGREGATE, OF ESTIMATES OF AMERICANS' PARTY IDENTIFICATIONS WITH ESTIMATES OF THEIR APPRAISAL OF PRESIDENTIAL PERFORMANCE (FEBRUARY 20, 1981 THROUGH SEPTEMBER 11, 1984)

(Note: Results from polls that did not include both party identification and presidential performance evaluations have been crossed-out.)

Data source:

ABCNEWS

Washington Post

POLL

Survey #126
Broadcast Sept. 12, 1984

REAGAN HOLDS 16-POINT SEPTEMBER LEAD;
ELECTION APPEARS TO TURN ON LEADERSHIP

2. Do you approve or disapprove of the way Ronald Reagan is handling his job as president?

	-1984-				
	Sept 11	July 8	May 22	Feb 15	Jan 17
Approve	58	54	57	59	57
Disapprove	38	41	37	38	39
No opinion	4	5	6	3	4

	-1983-											
	Dec 13	Nov 7	Oct 26+28	Oct 28	Oct 26	Sept 26	Aug 1	June 19	May 15	Apr 12	Mar 2	Jan 22
Approve	59	63	57	63	54	52	52	53	53	49	45	42
Disapprove	37	31	40	35	43	42	44	44	42	47	50	54
No opinion	3	6	3	3	3	6	3	4	6	4	5	3

	-1982-											-1981-	
	Dec 18	Oct 11	Sept 26	Sept 13	Aug 17	June 1	Apr 25	Mar 21	Mar 8	Feb 18	Jan 30	Dec 12	Nov 22
Approve	48	49	46	48	49	46	51	50	48	48	52	52	53
Disapprove	48	44	50	45	47	45	44	46	46	46	39	41	38
No opinion	7	7	4	7	4	8	5	5	6	7	8	7	9

	-1981-											
	Oct 18	Oct 11	Oct 5	Sept 26	Sept 20	Jul 19	May 20	May 14	Apr 22	Mar 31	Mar 29	Feb 20
Approve	59	61	58	63	61	62	66	66	73	73	62	68
Disapprove	33	33	35	34	34	29	26	24	19	16	23	15
No opinion	8	6	7	3	5	9	9	10	8	11	15	17

Party Affiliation:

Question: Generally speaking, do you think of yourself as a ...

	General Population							
	Sept 11	July 8	May 22	Feb 15	Jan 17	Dec 13	Nov 7	Oct 28+26

Republican	26	25	23	29	27	27	26	27
Democrat	39	39	37	39	43	36	38	40
Independent	34	36	40	32	31	36	36	33

Gen Pop:	-1983-							-1982-		
	Sept 26	Aug 1	June 19	May 15	Apr 12	Mar 2	Jan 22	Exit Poll House Voters All Dem Rep	Oct 11	Sept 26
Republican	20	23	22	26	25	22	22	27 9 53	23	27
Democrat	42	41	45	37	39	42	38	43 63 16	44	41
Independent	39	36	33	37	36	36	40	30 28 31	33	32

Gen Pop:	-1982-							-1981-					
	Sept 13	Aug 17	June 1	Apr 25	Mar 21	Mar 8	Feb 18	Jan 30	Nov 22	Oct 18	Oct 5	Sept 20	July 19
Republican	24	25	25	24	26	21	26	23	24	26	26	26	27
Democrat	40	40	40	36	41	40	38	39	40	38	36	41	36
Independent	36	35	36	40	33	39	36	39	35	36	38	33	36

Gen Pop:	-1981-					1980 Exit Poll Voters All Carter Reagan			-1979-		
	May 20	May 14	Apr 22	Mar 29	Mar 6	Feb 20	Nov	Aug	June		
Republican	25	25	27	26	26	28	22	21	22		
Democrat	37	42	43	41	40	36	46	44	45		
Independent	38	33	31	33	34	36	32	35	33		

SENATE

JOHN E. BALDACCI, DISTRICT 25, CHAIR
PETER W. DANTON, DISTRICT 4
HARLOTTE Z. SEWALL, DISTRICT 20

MARC ASCH, STAFF DIRECTOR



HOUSE

DAVID B. SOULE, WESTPORT, CHAIR
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STATE OF MAINE

ONE HUNDRED AND ELEVENTH LEGISLATURE

JOINT SELECT COMMITTEE TO INVESTIGATE PUBLIC UTILITIES

January 25, 1985

Mr. Robert Craig
University of New Hampshire
Department of Political Science
HSSC College Road
Durham, New Hampshire 03824

Dear Mr. Craig

As Chairmen of the Joint Select Committee to Investigate Public Utilities for the State of Maine we would like to ask you a few questions on the enclosed polling material.

The questions of a political nature are the ones we are interested in. We have been informed that the purpose of these "Tracking questions" is to validate the results of the other questions. Is this a feasible explanation? It would assist the investigation if you could explain how the accuracy of your polls is checked.

As we are not in the survey research business, there are a few more questions that repeatedly come up. Being a respected businessman in the field, your answers and/or opinions to the following questions would be a great help:

1. Are there alternative methods of checking the validity of a poll?
2. What are these alternative methods?
3. If these "tracking questions" are necessary, must they be of a political nature?
4. Is it necessary for a public utility company to ask such political questions?

We would like to personally thank you for giving your time and sharing your knowledge and expertise.

Sincerely,

John E. Baldacci
John E. Baldacci
State Senator

Nathaniel Crowley, Sr.
Nathaniel Crowley, Sr.
State Representative

JEB/as

Enc.

UNIVERSITY OF NEW HAMPSHIRE

Department of Political Science
College of Liberal Arts
Horton Social Science Center
Durham, New Hampshire 03824

January 30, 1985

Senator John E. Baldacci
Representative Nathaniel J. Crowley, Sr.
Chairmen, Joint Select Committee to Investigate
Public Utilities
Legislative Post Office
State House
Augusta, Maine 04333

Re: Polling by "Atlantic Research" and "Command Research"

Gentlemen:

I have examined the several documents you have sent me representing the work of "Atlantic Research" and "Command Research" in recent surveys. I understand these surveys were conducted for public utilities such as electric (Atlantic Research) and telephone (Command Research) companies. It is my understanding that you are particularly interested in the use of political questions as "tracking questions" used to "validate" other questions in the survey.

The "Atlantic Research" surveys identified as "S.M.Y. April, 1982", "August 1982 Survey" (both versions), and "September 1983 (three versions) are surveys of voters only and as such, I would classify them as straight-forward political surveys since the target population is not all households or all residents or even all adults in Maine, but rather only those who are voters, those who might presumably participate in an upcoming referendum and/or election. The questions are straight forward and clear and certainly represent a normal and professional attempt to learn the opinion of voters prior to an actual voting situation.

I see nothing in these studies to suggest that they are other than aimed at an understanding of political opinions. The demographic data collected, along with party registration figures, would be ample bases for assuring the validity of the survey. In my judgement, no opinion questions in these surveys need other political opinion (candidate) questions to "validate" them since all opinion questions can be volatile in different contexts, certainly whenever a "campaign" is being conducted, (this is the reason for campaigns, after all). Party registration could be used to "validate", that is, insure a representative sample for, other political questions but not for non-political items. Demographic characteristics are fine bases for assuring "external validity".

The "Atlantic Research" instrument lacking any label, is clearly directed to questions revolving around the Bath Ironworks controversy and as such, is somewhat less "political" in its immediate applicability but is still composed only of registered voters and therefore, someone imagined a political outcome or impact of the opinions sought, (even if a past voter situation) otherwise the wrong population was designated.

The "Command Research" study done for New England Telephone, is labeled "Current Voter Attitudes" and was delivered in July of 1982. In my judgement, this study is also "political" in that the population studied is that of registered voters only. The "Command Research" study is, in my opinion, a straight-forward attempt to learn of the potentially applicable political opinions of the registered voters of Maine, including some candidate evaluations as well, and as such, is clearly, a professional political research study. This study does contain other sections such as "Modern Communications" and "New Products", which can certainly be viewed as non-political but the population of the survey is still registered voters only and in my judgement, this is not the correct population for these questions, (all households or all adults would be more appropriate).

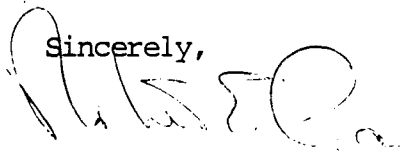
Overall then, in my opinion, these surveys are largely if not exclusively political opinion studies, drawn from the population of registered voters only and the purpose is to learn of these opinions with some voting situation in mind. In this sense, most of the questions, with some exceptions, are themselves, "tracking questions" since they seek to "track" or keep aware of the relevant opinions of the voting public.

As far as alternative methods of insuring validity, we prefer two types for our decidedly political surveys: demographics of age, gender and geographical location and the party registration data which can be checked against the Secretary of State's figures, never more than two years old. Demographics can be checked against census data and state planning office projections, of course.

As for the necessity of public utilities asking such questions, I must leave that to your judgement and theirs. Certainly, if they want to be informed of voters' opinions on current controversial questions, they must have these data. Whether they should do so or in what manner these surveys should be paid for, I must leave to your judgement, since I cannot have a professional opinion on such matters, only a personal one.

I hope I have been of some assistance.

Sincerely,



Robert E. Craig
Associate Professor

REC/jcb

APPENDIX I

CODE OF FEDERAL REGULATIONS
ALLOCATION OF POLLING EXPENSES

§ 106.3

shall indicate which duties are considered compliance or fundraising and the percentage of time each person spends on such activity.

[48 FR 5233, Feb. 4, 1983]

§ 106.3 Allocation of expenses between campaign and non-campaign related travel.

(a) This section applies to allocation for expenses between campaign and non-campaign related travel with respect to campaigns of candidates for Federal office, other than Presidential and Vice Presidential candidates who receive federal funds pursuant to 11 CFR Part 9005 or 9036. (See 11 CFR 9004.7 and 9034.7) All expenditures for campaign-related travel paid for by a candidate from a campaign account or by his or her authorized committees or by any other political committee shall be reported.

(b)(1) Travel expenses paid for by a candidate from personal funds, or from a source other than a political committee, shall constitute reportable expenditures if the travel is campaign-related.

(2) Where a candidate's trip involves both campaign-related and non-campaign-related stops, the expenditures allocable for campaign purposes are reportable, and are calculated on the actual cost-per-mile of the means of transportation actually used, starting at the point of origin of the trip, via every campaign-related stop and ending at the point of origin.

(3) Where a candidate conducts any campaign-related activity in a stop, the stop is a campaign-related stop and travel expenditures made are reportable. Campaign-related activity shall not include any incidental contacts.

(c)(1) Where an individual, other than a candidate, conducts campaign-related activities on a trip, the portion of the trip attributed to each candidate shall be allocated on a reasonable basis.

(2) Travel expenses of a candidate's spouse and family are reportable as expenditures only if the spouse or family members conduct campaign-related activities.

(d) Costs incurred by a candidate for the United States Senate or House of

Title 11—Federal Elections

Chapter I—Federal

Representatives for travel between Washington, D.C., and the State or district in which he or she is a candidate need not be reported herein unless the costs are paid by a candidate's authorized committee(s), or by any other political committee(s).

(e) Notwithstanding paragraphs (b) and (c) of this section, the reportable expenditure for a candidate who uses government conveyance or accommodations for travel which is campaign-related is the rate for comparable commercial conveyance or accommodation. In the case of a candidate authorized by law or required by national security to be accompanied by staff and equipment, the allocable expenditures are the costs of facilities sufficient to accommodate the party, less authorized or required personnel and equipment. If such a trip includes both campaign and noncampaign stops, equivalent costs are calculated in accordance with paragraphs (b) and (c) of this section.

(2 U.S.C. 438(a)(8))

[41 FR 35944, Aug. 25, 1976, as amended at 45 FR 15117, Mar. 7, 1980; 45 FR 43387, June 27, 1980; 48 FR 5234, Feb. 4, 1983]

§ 106.4 Allocation of polling expenses.

(a) The purchase of opinion poll results by a candidate or a candidate's authorized political committee or agent is an expenditure by the candidate. Regarding the purchase of opinion poll results for the purpose of determining whether an individual should become a candidate, see 11 CFR 100.8(b)(1).

(b) The purchase of opinion poll results by a political committee or other person not authorized by a candidate to make expenditures and the subsequent acceptance of the poll results by a candidate or a candidate's authorized political committee or agent or by another unauthorized political committee is a contribution in-kind by the purchaser to the candidate or other political committee and an expenditure by the candidate or other political committee. Regarding the purchase of opinion poll results for the purpose of determining whether an individual should become a candidate, see 11 CFR 100.7(b)(1). The poll re-

sults are accepted by other political committee or the candidate's political committee or unauthorized political committee.

(1) Requested the receipt;

(2) Uses the poll results;

(3) Does not notify that the results are accepted;

(c) The acceptance of poll's results which receipt, has been made any request, arrangement, or contribution to the candidate-receipt, as a contribution in-kind under paragraph (1).

(d) The purchase of results by an unauthorized committee for its own part, is an over the political results derived by the committee.

(e) The amount under paragraph (a) of any expenditure (a) and (b) of this to each candidate committee-receipt (1) That share the poll which is candidate (including candidates) or poll upon the cost of the polling firm results are purchased method the size number of contributions the extent of and the extent of verbal consultation be used to determine.

(2) An amount the overall cost among candidates local candidate committees receiving the

(3) A proportion of the poll results that the number received by the committee bears of question results (including candidates) and p

sults are accepted by a candidate or other political committee if the candidate or the candidate's authorized political committee or agent or the other unauthorized political committee—

(1) Requested the poll results before their receipt;

(2) Uses the poll results; or

(3) Does not notify the contributor that the results are refused.

(c) The acceptance of any part of a poll's results which part, prior to receipt, has been made public without any request, authorization, prearrangement, or coordination by the candidate-recipient or political committee-recipient, shall not be treated as a contribution in-kind and expenditure under paragraph (b) of this section.

(d) The purchase of opinion poll results by an unauthorized political committee for its own use, in whole or in part, is an overhead expenditure by the political committee under § 106.1(c)(1) to the extent of the benefit derived by the committee.

(e) The amount of a contribution under paragraph (b) of this section or of any expenditure under paragraphs (a) and (b) of this section attributable to each candidate-recipient or political committee-recipient shall be—

(1) That share of the overall cost of the poll which is allocable to each candidate (including State and local candidates) or political committee, based upon the cost allocation formula of the polling firm from which the results are purchased. Under this method the size of the sample, the number of computer column codes, the extent of computer tabulations, and the extent of written analysis and verbal consultation, if applicable, may be used to determine the shares; or

(2) An amount computed by dividing the overall cost of the poll equally among candidates (including State and local candidates) or political committees receiving the results; or

(3) A proportion of the overall cost of the poll equal to the proportion that the number of question results received by the candidate or political committee bears to the total number of question results received by all candidates (including State and local candidates) and political committees; or

(4) An amount computed by any other method which reasonably reflects the benefit derived.

(f) The first candidate(s) or committee(s) receiving poll results under paragraph (b) or (d) of this section and any candidate or political committee receiving poll results under paragraph (b) of this section within 15 days after receipt by the initial recipient(s) shall compute the amount of the contribution in-kind and the expenditure as provided in paragraph (e) of this section.

(g) The amount of the contribution and expenditure reported by a candidate or a political committee receiving poll results under paragraph (b) of this section more than 15 days after receipt of such poll results by the initial recipient(s) shall be—

(1) If the results are received during the period 16 to 60 days following receipt by the initial recipient(s), 50 percent of the amount allocated to an initial recipient of the same results;

(2) If the results are received during the period 61 to 180 days after receipt by the initial recipient(s), 5 percent of the amount allocated to an initial recipient of the same results;

(3) If the results are received more than 180 days after receipt by the initial recipient(s), no amount need be allocated.

(h) A contributor of poll results under paragraph (b) of this section shall maintain records sufficient to support the valuation of the contribution(s) in-kind and shall inform the candidate-recipient(s) or political committee-recipient(s) of the value of the contribution(s).

[41 FR 35944, Aug. 25, 1976, as amended at 45 FR 21209, Apr. 1, 1980]

PART 107—PRESIDENTIAL NOMINATING CONVENTION, REGISTRATION AND REPORTS

§ 107.1 Registration and reports by committees including host committees, organizations or other groups representing a State, city or other local government agency.

Each committee, including a host committee other organization or group of persons which represents a State,

FEDERAL ELECTION COMMISSION, EXPLANATION AND
JUSTIFICATION OF 11 C.F.R. 106.4.

96 4-14-83

Regulations

1537-5

§ 106.3 Allocation of expenses between campaign and non-campaign related travel.

All travel paid for by a candidate's authorized committees must be reported.

A candidate's campaign-related travel is reportable, no matter who pays for it. Where a candidate makes one campaign-related appearance in a city, the trip to that city is considered campaign-related. Incidental contacts on an otherwise non-campaign stop do not make the stop campaign-related. For example, if a candidate makes a non-political speech to a civic association luncheon, and on the way out chats with a few attendees about his upcoming campaign, that conversation would not convert the appearance into a campaign-related event. However, if during the course of the speech the candidate asks for support, that would convert an otherwise non-campaign event into one which is campaign related, and would require that travel costs be allocated, and reported as expenditures.

Individuals other than candidates have to allocate their mixed campaign/non-campaign travel expenses on a reasonable basis. If a candidate's spouse and children travel with a candidate but do not campaign, their expenses are not reportable as expenditures.

Expenses incurred by a candidate for the House or Senate for travel to or from his state or district and Washington, D.C., are not reportable as an expenditure unless paid from a campaign account.

Candidates using a government conveyance for campaign travel must report as an expenditure the comparable commercial rate for the travel. For candidates required by law or by national security to have special staff and equipment, the cost of that travel is excluded from reportable expenditures.

§ 106.4 Allocation of polling expenses

(a) The purchase of poll results by a candidate's authorized committee or agent is an expenditure by the candidate. Reference is made to § 100.7(b)(2) under which polling expenses incurred in determining whether to become a candidate are exempted, unless the individual otherwise subsequently becomes a candidate.

(b) The purchase by an unauthorized person of poll results which are accepted by a candidate or political committee results in a contribution in-kind by the purchaser to the candidate or committee. Acceptance results from any one of three specified situations. If the contributor is a reporting political committee, it would report the full amount spent as an expenditure on its own reports. Part of that amount might qualify as an operating expenditure not attributable as a contribution in-kind if paragraph (d) applies. Reference is made to § 100.4(b)(1) under which polling expenses incurred in determining whether to become a candidate are exempted, unless the individual otherwise subsequently becomes a candidate.

(c) The acceptance by a candidate or political committee of poll results which, prior to receipt, have been independently published does not result in a contribution in-kind to the candidate or political committee.

(d) An unauthorized committee may allocate as an overhead expenditure a portion of the cost of poll results used by the committee for its own purposes. For example, if such a committee purchases and uses poll results to determine which candidate(s) it will support and in addition contributes the poll results to certain candidates or com-

mittees, it should allocate a reasonable amount to itself as an overhead expenditure and allocate the remainder among the recipients as expenditures made for contributions in-kind under paragraph (e).

(e) Expenditures for or contributions in-kind of poll results are to be valued using any one of four specified methods.

(f) All candidates and political committees receiving contributions in-kind of a particular poll's results within the 15-day period beginning when the first candidate or committee receives results must use one of the allocation methods provided in paragraph (e). If a committee receives poll results which it wishes to contribute itself, that receipt could also trigger the 15-day period.

(g) Candidates or political committees receiving contributions in-kind of a particular poll's results after the initial 15-day period may depreciate the value of their respective contributions according to a specified schedule.

[1810] Presidential Nominating Convention, Registration and Reports

§ 107.1 Registration and reports by committees including host committees, organizations or other groups representing a State, city or other local government agency

§ 107.2 Registration and reports by political parties

[1811]

§ 107.1 *Registration and reports by committees including host committees, organizations or other groups representing a State, city, or other local government agency.*

Each committee, including a host committee other organization or group of persons which represents a State, municipality, local government agency or other political subdivision in dealing with officials of a national political party with respect to matters involv-

APPENDIX J

OWNERSHIP OF POLLING DATA

COMMAND RESEARCH/

CHRISTIAN POTHOLM

AFFIDAVIT OF CHRISTIAN P. POTHOLM

Christian P. Potholm, after being first duly sworn,
on his oath says:

1. I am President and principal operating officer of Command Research and make the statements in the following Affidavit on the basis of my own personal knowledge.
2. Command Research is a private company which provides polling and consulting services to its clients on a confidential basis. The continued existence of Command Research as a corporation engaged in polling operations depends on the continued and justifiable faith that its clients have that Command Research will treat its findings as confidential. The confidentiality of polling data generated by Command Research is the specific subject of a contractual understanding between Command Research and each of its clients, past and present. For example, document No. 95 produced by Save Maine Yankee is a Memo-randum of Understanding dated August 15, 1982 signed by Christian P. Potholm, President, Command Research and John S. Menario on behalf of Save Maine Yankee Committee. Paragraph 4 of that contract - typical of the contract provisions existing, and which have existed, between Command Research and its clients - obligates Command Research not to "release any data without the prior approval" of the client.

7. Polls developed for "non-utility clients" have never been shared with "utility clients". Polls generated during the course of working with "non-utility clients" have never been delivered to the utilities which are the subject of this investigation.
8. During the course of the development of Atlantic Research, Central Maine Power Company, using its own equipment and personnel, developed its own data base and computer programs for that effort. No information generated by Command Research on behalf of its clients was included within the data base and computer program established at Central Maine Power Company.
9. Command Research is a relatively small company in competition with large polling organizations and survives by virtue of its ability to consistently underbid its large competitors and produce demonstrably reliable polling data. The ability of Command Research to operate in this fashion depends upon its use of techniques and methods which are proprietary and privileged. The interview methods themselves are proprietary and proceed on assurances of confidentiality to the persons conducting the interviews as well as those responding. The integrity of the interviewing process and the confidentiality of the responses are central to the continued successful existence of Command Research.

1 this committee. I have heard second and thirdhand that for
2 some reason, the investigator thought interest was a great
3 deal of material that was irrelevant. I would submit that I
4 only gave to the committee the material that I was asked for,
5 and I maintain very steadfastly that the material that was
6 turned over to the committee was material that I received
7 from Central Maine Power, New England Telephone and Maine
8 Yankee.

9 With regard to Command Research and its non-utility
10 polls which I understand is one of the reasons we are here
11 today, many of the recent accounts over our difference, that
12 is the position of Command Research. And the position of the
13 investigators and the position of the committee, I think are
14 a central issue which I would like to address.

15 After turning over all the polls that we had been
16 involved in for Atlantic Research and CMP and New England
17 Telephone, we did not turn over the polls of our non-utility
18 clients because we felt they were beyond the scope of this
19 committee. It may be at some future point that a judge will
20 say they are within the scope of this committee. But I can't
21 stress too strongly my fervent belief that we have acted here
22 simply because those polls that are in my possession do not
23 belong to me. They are the private property of the clients
24 who commissioned them.

25 And again, with all of the desire to do whatever

1 you want to do to me and Command Research and everything else,
2 I would ask you to focus on that for a second because it is
3 not something that I made up on the spur of the moment after
4 I received the inquiries from the committee. This is
5 something that is not only standard operating procedure for
6 Command Research, but it is, in fact, the code of ethics for
7 the entire polling community. And I would just ask your
8 indulgence to read a simple sentence from the Code of
9 Professional Ethics and Practice of the American Association
10 of Public Opinion Research which states: We shall hold
11 confidential all information about the client's general
12 business affairs and about the findings of research conducted
13 for the clients except when the dissemination of such
14 information is expressly authorized.

15 The data that our firm collects is expressly the
16 property of the client and not the research firm. The client
17 authorizes us to release data, no one else. The copies of
18 those polls that this committee has asked for are simply not
19 my property. To have given away somebody else's property
20 would have violated my contracts with them because the
21 contracts clearly state that the polls belong to them. It
22 would have violated the ethics of the profession, and quite
23 frankly would against my personal sense of what is right and
24 wrong.

25 Now, this question of private property is a vital

1 one, and maybe it is wrong that you can't decide. Maybe it
2 has to be decided by the court, and it will obviously be
3 decided by the court in the committee decision that we turn
4 over these polls which our clients have specifically told us
5 not to do.

6 I just can't tell you how troubled and how anxious
7 and how upset this part of the process has been because this
8 committee rightly or wrongly or whatever reason has put me in
9 a position where I am liable for legal action if I do one
10 thing, and I am liable for legal action if I do another.

11 And I can't tell you how upset it has made me that
12 I can't abide by the ethics of my profession and not have to
13 be dragged to court once or twice or however many times.
14 This is a personal matter of great concern to me, and indeed
15 I believe the future of Command Research or any other polling
16 firm depends upon the sanctity of this private property.

17 At the same time, since my objections to this whole
18 turning over of private property that is not mine, I believe,
19 is based on a sound set of principals and not on any desire
20 to instructions. I am not trying to hold back the work of
21 this committee. I asked Mark Asch when -- the first time I
22 met him, I said why didn't you come to me in February or
23 March far from wanting to impede the process of this
24 committee, I would like to assist it in moving forward. In
25 the process, I hope I have dispelled some of the

Looking over the period 1980-1983, I believe that the utilities acted within their rights to generate and disseminate polling material, that candidates and office-holders had a right to receive the information they did and there would be no way to put an "objective" value on any of the material they received.

FOR THE FUTURE

As a Professor of Government at Bowdoin College, I can understand that the Committee may perceive a need to recommend legislation to the next session of the Legislature involving the definition of "in kind" contributions and recordkeeping, but with regard to polling, I think there are virtually insurmountable problems in assigning truly meaningful "values" to the information transferred.

COMMAND RESEARCH AND ITS NON-UTILITY POLLS

Many of the recent accounts over our differences have obscured what I believe is the central issue. After turning over all polls which were done for Atlantic Research, CMP and NET, we did not turn over the polls of our non-utility clients because we felt that they were outside the scope of this inquiry, but more importantly the copies in our possession were the private property of others. The copies of the polls simply do not belong to us. To have given away somebody else's property would have violated our contracts with those clients, the ethics of the profession and our own sense of right and wrong. It would have been wrong to turn over something that did not belong to us.

*Potholm's sworn statement
inserted in ~~some~~ ^{hearing} record*

on Oct 25, 1984

Command Research.

1 A Yes.

2 Q What is an executive summary?

3 A An executive summary would be an overview of the
4 computer printout. The executive summary can take a
5 variety of forms and be a variety of lengths. It may
6 contain operational recommendations and interpretations
7 of the data.

8 Q Does the executive summary -- May I ask this, does that
9 combine your perception and expertise in interpreting
10 the poll, the poll data?

11 A Yes, it does. The executive summary does not contain
12 all the polling data of the tape or all of the computer
13 printout, but it contains figures and summaries of
14 that material and also the recommendations and the
15 interpretations that I would make of the data.

16 Q Now you have previously filed an affidavit. You have
17 previously filed an affidavit that -- with the
18 Committee in which you indicated that you had not
19 turned over polling data to anybody without the
20 permission of the client. Is the polling data to which
21 you referred in that affidavit the polling data which
22 you have described under oath in this courtroom?

23 A Yes, it is.

24 MR. RICHARDSON: And I would call the Court's
25 attention, if I may, to the fact that as Exhibit A-1 to

1 E-1 to its original application, the Committee filed with
2 the Court Mr. Potholm's affidavit or a copy of his
3 affidavit of September 21, 1984. I believe the Court --
4 it is a matter of record, and if I may, I'd like to ask
5 the Court if it has it before it now?

6 THE COURT: Well, I have a copy in the file, and I
7 have read it. So I'm sure I have it here. Do you plan
8 to examine this witness about it?

9 MR. RICHARDSON: I just want to make sure that that
10 affidavit is part of the record. I will check that
11 myself, Your Honor.

12 THE COURT: The record may indicate that the Court
13 has reviewed it. Whether or not it's actually in the --

14 MR. FLAHERTY: Your Honor, we have no objection to
15 its being considered a part of the record, E-1.

16 MR. RICHARDSON: That was part of the original
17 file, right?

18 MS. LAVOIE: Yes.

19 MR. RICHARDSON: Just in the excess of caution.

20 THE COURT: Dr. Potholm, as I say, perhaps in an
21 excess of caution, I have asked the Clerk to mark for
22 identification as Defendants' Exhibit 9 the affidavit
23 of September 21, 1984. Is that the copy of the affidavit
24 you filed?

25 THE WITNESS: Yes, it is.

1 A Correct.

2 Q Have you given the Court any data --

3 THE COURT: Excuse me, before you leave that
4 question -- Dr. Potholm, I'd just like to ask you one
5 question with respect to that. Did you in any way
6 participate directly or indirectly in the preparation
7 of that poll?

8 A Well, if my memory is correct, sir, there actually were
9 two polls in your possession from the same firm that
10 belonged to Mr. Emery. My recollection is that when
11 the polls were done, I was shown a copy and asked my
12 impression of what they meant. I may early on have
13 been part of the discussion which -- what would be
14 useful to know, what kind of framing of the questions,
15 but the actual polling from beginning to end I had
16 nothing to do with.

17 THE COURT: All right. All right, Mr. Flaherty,
18 I'm sorry to interrupt.

19 MR. FLAHERTY: That's all right, Your Honor.
20 Excuse me, if I may, one minute, please.

21 Would you please mark that.

22 BY MR. FLAHERTY:

23 Q Mr. Potholm, show you a memorandum of understanding
24 dated -- I guess I can't find the date right offhand --
25 yes, summer 1980, between Command Research and Save

1 Maine Yankee, which is Plaintiff's Exhibit No. 5. Is
2 that your memorandum of understanding?

3 A Uh-huh.

4 Q Direct your attention to Paragraph 6, and in that
5 paragraph, I'm reading, quote, data collected by Command
6 Research remains the property of Command Research, and
7 said firm will retain copies of all data and analyses
8 as well as the original questionnaire forms used by the
9 interviewers, end quotes. Do you read that?

10 A Uh-huh.

11 Q Now in light of your -- I'm confused by your former
12 testimony in which you say it's not your property.
13 Are you saying it's not your property because it's
14 Command Research's property?

15 A No, but this memorandum of understanding was the first
16 memorandum of understanding that was done by Command
17 Research. It certainly -- this memorandum applies to
18 this specific poll. Subsequently, the memorandum of
19 understanding was altered and does not maintain this
20 phrase or paragraph at all. So in this particular case,
21 that would be correct. It would not be correct in
22 terms of the other contracts and memorandum of
23 understanding.

24 Q Where are the other contracts or memoranda of
25 understanding which do not contain that clause?

1 A I believe they are in the possession of the Judge.

2 Q Of whom?

3 A The Judge.

4 Q Okay. There are no other ones than that around?

5 A Oh, I'm sorry, there are undoubtedly other ones around.

6 Q But if I understand your testimony, the language of
7 Paragraph 6, which I just read you, while it appears
8 on this memorandum of understanding, it doesn't appear
9 on the ones you gave the Court?

10 THE COURT: No.

11 BY MR. FLAHERTY:

12 Q Is that right?

13 A That's correct.

14 MR. FLAHERTY: Okay, I have no further questions
15 at this time, Your Honor. Thank you.

16 THE COURT: Mr. Richardson -- I'm sorry, Mr. Doyle.

17 MR. DOYLE: I have a couple questions for the
18 witness.

19 CROSS-EXAMINATION

20 BY MR. DOYLE:

21 Q I understand, Mr. Potholm, with respect to the Tarrance
22 poll about which Mr. Flaherty just asked you, that that
23 was produced by V. Lance Tarrance Associates; is that
24 correct?

25 A That's correct.

Command Research.

file copy

Examples - E

RFD #1

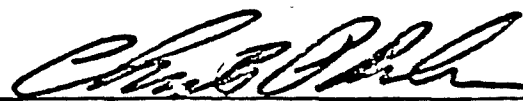
So. Harpswell, Me. 04079
(207) 729-0649


November 1, 1981

Memorandum of Understanding

The purpose of this memorandum is to confirm an agreement under which Command Research, Incorporated will undertake a survey for CMP. The terms of the agreement are as follows:

- (1) Command Research will conduct a public opinion research survey during the period Nov. 8 to Nov. 15.
- (2) The survey will consist of 550 telephone interviews. The completed composite will reflect a representative sample of registered Maine voters in the survey area.
- (3) In addition, Command Research agrees to integrate an additional 100 anchoring calls based on the bit-outcome grid system as a cross check on the survey.
- (4) Command Research agrees to provide a written analysis of the survey results as well as a full oral presentation and reasonable explanatory time with respect to the meaning of the data and its findings.
- (5) CMP acquires the right to release the results of the survey as long as said disclosures do not violate the confidentiality of the interviewing process. Command Research will not release any data without the prior approval of CMP. However, Command Research retains the right to correct any false statement of results.
- (6) Data collected by Command Research remains the property of Command Research and said firm will retain copies of all data and analyses as well as the original questionnaire forms used by the interviewers.
- (7) The total cost of the survey will not exceed \$18,600 for 550 completed calls. CMP agrees to pay Command Research one half this amount upon signing of this agreement and one half upon receipt of the written report and presentation of the oral report.


Christian P. Potholm, President,
Command Research

 President
Central Maine Power Co.



**New England
Telephone**

Richard A. Jalkut
Vice President-Maine

1 Davis Farm Road
Portland, Maine 04103
Phone (207) 797-1247

January 10, 1985

Senator John E. Baldacci, Chairman
Representative Nathaniel J. Crowley, Chairman
Special Committee to Investigate
Political Activities of Public Utilities
State House
Augusta, Maine 04333

Gentlemen:

In response to a set of interrogatories published by your Committee we submitted data on 5/29/84, including a copy of a July, 1982 survey taken to determine NET-Maine residential customer attitudes about quality of service, customer opinion about NET as a business and customer interest in services and features available through different telecommunications technologies. Also included were several questions, positioned at the beginning of the survey to encourage the respondent to participate and not hang up. They were incidental to the survey and were designed by Command Research as a standard survey technique. The copy of the survey mailed to your Committee was labelled as copy #1 and included eighty-one pages and was taken from my file.

During the course of your investigation the Committee made us aware of a discrepancy in the number of pages submitted by Command Research and NET for the same survey. We were informed that Command Research's copy included seven pages of statistical data (attached) about historical voting records.

On December 3rd Mr. Warren from NET was given a copy of the seven pages by the Committee. He researched New England Telephone's files and found several copies of the same eighty-one page document we originally submitted but could not locate the seven pages in question.

On December 14th, Mr. Warren reviewed the seven pages of statistical data with me for the first time and I informed him that I could not recall ever having seen or asked for that information. He relayed a request from the Committee for a letter from NET to that effect.



Senator Baldacci
Representative Crowley
January 10, 1985
Page 2

On December 14th I wrote to Senator Baldacci (attachment) stating that I had no knowledge of the data.

On January 3rd Mr. Warren informed me that the Committee would like NET to re-check its files. A thorough search of the files in Portland, Augusta and Boston produced copies of the same document originally filed with the Committee; however, for the first time I asked Mr. Warren to check off NET premises with Mr. Robert Catell (retired in 12/82) and the Pierce, Atwood, Scribner law firm (outside counsel for NET in Maine).

A copy of the 1982 survey labelled copy #2 was found by Mr. Ingalls of Pierce, Atwood in his file.

Subsequently we researched NET files again and could find no copy of survey #2.

A search of NET - Pierce, Atwood billing records and Mr. Ingall's diary disclosed a meeting on 9/16/82 between Mr. Ingalls and Mr. Catell to discuss the survey in relationship to a data request of the Public Advocate. It appears that Mr. Ingalls must have come in receipt of the survey (copy #2) at that meeting.

It also appears that only copy #2 had the seven pages in question and that copy was in the Pierce, Atwood files from 9/16/82 until now.

A review of the contract signed by Mr. Catell of NET and Mr. Potholm of Command Research is attached. It makes no mention of the statistical data.

A review of the survey and of the seven pages of statistics indicates that one is totally unrelated to the other.

Several discussions with Mr. Catell, who was in charge of the survey project, Mr. Warren, and other NET personnel indicated that they did not have any recollection of asking for or receiving the data.

As I said in my December 14th letter, neither I nor other NET personnel involved in the 1982 survey project recall ever having asked for or authorized the collection of the seven pages of statistics or for any other statistics other than those contained in the survey.



Senator Baldacci
Representative Crowley
January 10, 1985
Page 3

A review of the table of contents of the survey shows no mention of any statistics. A review of the page numbering of the survey shows it ending on page eighty-one. The statistics pages are not numbered.

As best as I can determine the seven pages of statistics were not asked for, paid for, authorized by NET, nor included in copy #1 and have remained unused in Pierce, Atwood files in copy #2 since September of 1982.

Sincerely,

Richard A. Jallant

Command Research.

T: +h/ln
T: 1-607-227-0649


RFD 21
So Horgewall, ME 04079
(207) 729-0649

Memorandum of Understanding

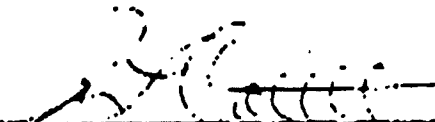
The purpose of this memorandum is to confirm an agreement under which Command Research, Incorporated will undertake a survey for New England Telephone. The terms of the agreement are as follows:

- (1) Command Research will conduct a public opinion research survey during the period July 13 to 21
- (2) The survey will consist of 500 telephone interviews. The completed composite will reflect a representative sample of registered voters in the survey area. Data aggregation of this portion will be supervised by Dr. William Hughes.
- (3) In addition, Command Research agrees to integrate an additional 150 anchoring calls based on the bit-outcome grid system as a cross check on the survey. Data aggregation of this portion will be supervised by Peter Burr.
- (4) Command Research agrees to provide a written analysis of the survey results as well as a full oral presentation and reasonable explanatory time with respect to the meaning of the data and its findings.
- (5) New England Telephone acquires the right to release the results of the survey as long as said disclosures do not violate the confidentiality of the interviewing process. Command Research will not release any data or the identity of the client without the prior approval of New England Telephone. However, Command Research retains the right to correct any false statement of results.
- (6) Data collected by Command Research remains the property of Command Research and said firm will retain copies of all data and analyses as well as the original questionnaire forms used by the interviewers for as long as Command Research wishes.

- (7) The total cost of the survey will not exceed \$17,200 for 650 completed calls. New England Telephone agrees to pay Command Research one half this amount upon signing of this agreement and one half upon receipt of the written report and presentation of the oral report.



Christian P. Potholm, President,
Command Research



Robert L. Catell
Assistant to Vice President-Maine
New England Telephone Co.

APPENDIX K

LETTER FROM JOHN ROWE



Central Maine Power Company

GENERAL OFFICE, EDISON DRIVE, AUGUSTA, MAINE 04336
(TWX NUMBER, CMP-AGUA 710-226-0195)

(207) 623-3521

March 28, 1985

The Hon. John E. Baldacci
The Hon. Nathaniel J. Crowley
Co-Chairs, Joint Select Committee
to Investigate Public Utilities
Legislative Post Office
State House
Augusta, Maine 04333

Re: Comments of Central Maine Power Company and Maine Yankee
Atomic Power Company on the draft report of the Joint
Select Committee

Dear Senator Baldacci and Representative Crowley:

Following up on your request for our written comments, enclosed are those of Central Maine Power Company and Maine Yankee Atomic Power Company regarding the Joint Select Committee to Investigate Public Utilities draft report of its investigation.

As you know, the investigation by your Committee was unprecedented in this State. Your Committee and staff undertook an arduous task as staff reviewed literally hundreds of thousands of documents, interviewed numerous persons and arranged for lengthy hearings. CMPCo. and Maine Yankee are hopeful that with the issuance of your Committee's report, the final chapter will have been written. This is especially so since the events which this Investigation focused upon have resulted in a tremendous expenditure of time and effort on the part of state regulators, legislators and company personnel.

Early in the investigation we pledged the full cooperation of CMPCo. and Maine Yankee, their officers and employees. We hope you have found this commitment to have been met in full.

Before going further in this letter, I wish to underscore that whatever the ultimate conclusions reached by the Legislature, CMPCo. is voluntarily undertaking the following steps it believes consistent with the spirit of the Committee's draft report:

1. Any polling contract in the future will contain a stipulation forbidding the pollster from sharing with candidates for public office information related to political races gathered pursuant to the contract.

March 28, 1985

2. No solicitation of any contributions for any purpose related to public policy will be undertaken by any employee of CMPCo.'s purchasing department.
3. The fullest possible reports of expenditures will continue to be made concerning political activities. The need for clarification and conformity in the definition of such activities expressed by the Committee is shared by the companies.
4. In the event CMPCo. becomes involved in activities relating to a referendum issue or becomes aware of any Committee intending to expend in excess of \$100,000 in support of CMPCo.'s position on a referendum, it will notify the Public Utilities Commission and the Utilities Committee of the Legislature.
5. In the event CMPCo. officers determine it is in the best interests of the shareholders, employees or ratepayers to become involved in activity relating to a referendum issue, CMPCo.'s Board of Directors will be notified of that judgment and asked to vote upon such activity.

All of these measures are, of course, in addition to the general prohibition on partisan political activities already contained in CMPCo.'s Code of Ethics.

With respect to the enclosed comments, which are offered in a constructive spirit, an attempt has been made to arrange them in a fashion which would provide efficient review for the Committee. The Findings of Fact and Recommendations have been retyped with those words and phrases to be deleted indicated by a line through them, and inserting and underlining proposed additions.

I believe our suggestions with respect to the draft recommendations speak for themselves, but of course both John Delahanty, our counsel on these matters, and I would be pleased to respond to any questions you may have.

With regard to the Report itself, our comments contain few substantive changes. The proposed deletions and additions are noted on a photostatic copy of the particular page of the Report where there is a specific addition or deletion.

Let me conclude by assuring you that the management of this company is committed to a policy of strict neutrality with respect to partisan politics, and to upholding the highest standards of ethical conduct in presenting its views on public issues relating to utilities.

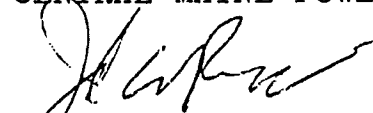
The Hon. John E. Baldacci
The Hon. Nathaniel J. Crowley
Page Three

March 28, 1985

I hope that these voluntary undertakings will provide assurance of the sincerity of our commitment to maintaining a non-partisan, ethical position in the public affairs of our State.

Very truly yours,

CENTRAL MAINE POWER COMPANY

A handwritten signature in dark ink, appearing to read "J. W. Rowe", is written over the printed name and title.

John W. Rowe
President and
Chief Executive Officer

APPENDIX L

SAVE MAINE YANKEE
ORGANIZATIONAL MEMO

CONFIDENTIAL

MEMORANDUM

DRAFT

F. Campaign Strategy

TO: Elwin Thurlow, President
Central Maine Power

DATE: April 16, 1980

FROM: John E. Menario

SUBJECT: Preliminary thoughts regarding organization and marketing strategy
for the referendum on Maine Yankee

I thought it might be helpful to prepare some very preliminary thoughts regarding an organizational and marketing strategy for the Maine Yankee referendum. These ideas need to be refined and very carefully analyzed and perhaps modified where necessary before they are ready for implementation. They are offered, however, as a frame of reference for decisions that should be made fairly soon.

Campaign Objectives

I have noted below what I consider to be Central Maine Power Company's primary objectives in the coming campaign and have listed them in their order of importance to the company.

Priority

- 1 To win.
- 2 To win in a manner that will not adversely effect the Sears Island proposal.
- 3 To win in a manner that will not adversely effect future development of nuclear power plants in the State of Maine or in the United States.
- 4 To win by a substantial margin in order to demonstrate public confidence in nuclear power. This will assist in strengthening investor confidence in financing future nuclear power projects.

If the above objectives accurately reflect the company's position then all published materials and advertisements, which we control, should not be in conflict with any of these primary objectives.

Organizational Objectives

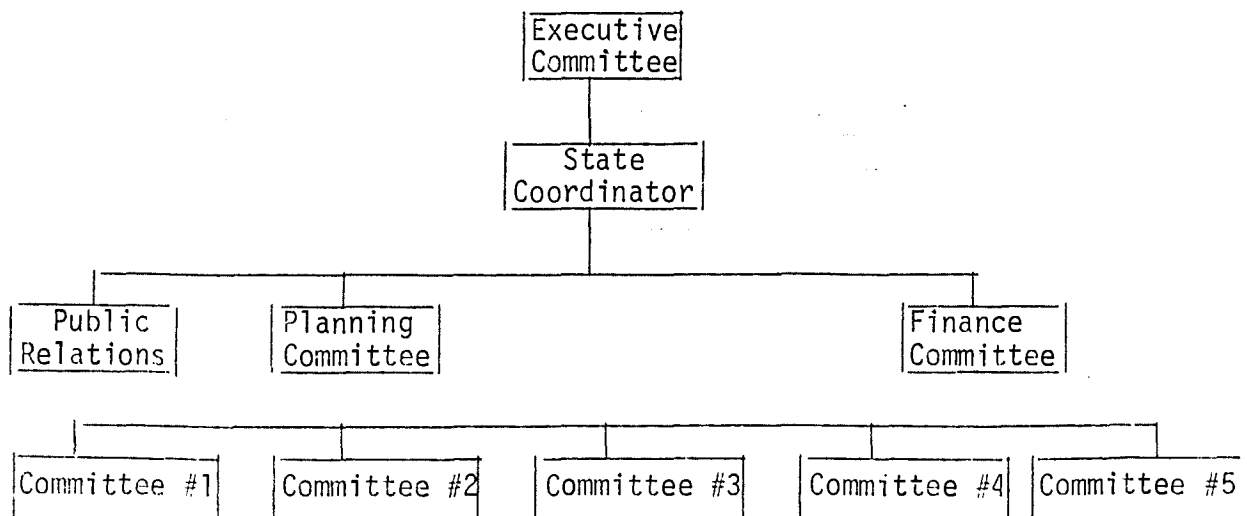
The ideal organization is one which has central control and coordination but which is perceived by the general public as a decentralized grassroots effort. Such a decentralized organization also provides a major advantage of apportioning resources among several citizen committees thereby lessening the "giant killer" problem. The opponent will also be forced to attack Maine's concerned citizens which is a much more formidable task than attacking the corporate giant called Central Maine Power.

With several committees in effect we have several moving targets which is much more difficult to hit than a large stationary one.

Ideally, Central Maine Power Company should keep a low profile throughout the campaign. It must, of course, have a visible and important involvement to satisfy its stock holders and to effectively deal with a number of the issues that will be raised during the campaign, but it ought not to be a dominant role.

Organization

The following chart represents an organizational concept which I think could effectively meet the organizational objectives.



Executive Committee

This committee would be the key decision making committee in terms of coordinating the entire campaign, having as its chairman Skip Thurlow with no more than five people totally represented. It would seem to me that it may not need to be a committee in the formal sense in which votes are taken but perhaps two or three people who would assist you as you begin to make final decisions on a variety of alternatives that will confront us. I would also like to participate in this process. I think it is very important that all people who are asked to join us on the executive committee understand that its role primarily is to "think out loud" with you as you make the final decisions.

State Coordinator

I would hope you would consider allowing me to perform this task. The primary function of the state coordinator will be to put in motion the entire organizational concept that will be agreed to and to see that all decisions of the executive committee are effectively implemented. I will also be responsible for coordinating the staff functions (public relations, planning and finance) and will also be directly responsible for providing staff assistance to one of the key committee (see below for committee structure).

I would strongly urge that you rethink your earlier decision to publicly announce me as an individual hired by Central Maine Power Company. I think in terms of our overall strategy it might be much more effective if I am hired by a citizens committee with, of course, the substantial resources to that committee being made available from Central Maine Power.

Public Relations

This would represent primarily the firm or firms that would be hired to assist in putting together the campaign strategy and its related themes. They would also be

the company or companies that would be responsible for developing a finished product as it relates to public material and/or advertisements.

It is my opinion that the major campaign themes and the allocation of resources might be as follows:

<u>Theme</u>	<u>Resource Allocation</u>
Safety of Operation	30%
Cost of Living Implications	30%
Economic Stagnation	30%
Reduced reliance on OPEC oil	10%

Planning Committee

This committee would be comprised of staff people assigned to each of the citizen committees as well as the public relations coordinator, the finance coordinator and the state coordinator. I would also suggest that two or three major business leaders be invited on to the planning committee such as a Jim Moody or a Jack Daigle or a Colin Hampton or a Bill Bullock, etc. in order to put together a series of recommendations to be considered by the executive committee. It would also serve as a sounding board periodically to give feedback as to how well the campaign is going and to develop measurements for progress to date.

Finance Committee

The two major functions of the finance committee would be to solicit funds, either for individual citizen committees or for the general purpose, to be later assigned to individual committees as well as responsible for preparation of the budget in order to assure that expenses are within funds available. I would assume that I would be primarily responsible as the state coordinator for this function, although may wish to suggest as we move forward a treasurer for the individual committee assignments for control, etc.

Committee #1

A committee to save our energy supply.

This committee would be made up of no more than eight to ten people (mostly businessmen) sympathetic to the issue and prepared to be "used" in the best of sense in order that they can carry out the primary mission and objectives of Central Maine Power and to allow their name to be used. It is this committee that I would suggest formally engage me and to announce that I have been hired to assist their committee. In this function I could then assist in developing all other activities. It would also be known, from time to time, that a major source of funds to this committee will come from Central Maine Power; but I see no need of the public knowing the informal organizational structure in which I am the state coordinator for Central Maine Power's overall activities.

Committee #2

A committee for reasonable energy rates.

This committee would function primarily on bringing together strong governmental leadership and governmental interest. I would suggest that we consider hiring a person like John Salisbury on an average of one or two days a week which would be able to bring together the entire governmental network.

Committee #3

Coalition to save Maine Yankee.

In my opinion this committee would bring together all of the various state associations and attempt to coordinate an entire network of support. I would further suggest that a person like Roger Mallar be engaged for this purpose, who also could do a most effective job if engaged for one or two days per week on average.

also a committee that we would place
inquire what they can do.

Committee #5

Citizens for economic growth.

In my judgment this could be a committee representing a rather broad based constituency including business and labor, League of Women Voters, educational interest, medical and transportation interest, etc. Their primary function would be to assist us in getting the message out and to react to various advertising strategies that we would develop at the central level.

The central planning, public relations and finance committee would, in fact, be the staff level for all of these committees, although we would deal more directly through the staff person assigned and would see that sufficient resources were made available to each committee so that they could engage the people recommended who would then sit on the planning committee where we would provide coordination. As noted earlier, these are preliminary thoughts that I think represents an operational scheme that could be most effective.

John E. Menario