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PRELIMINARY REPORT
117th Maine Legislature
Committee on Total Quality Management in the Legislature

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PRELIMINARY REPORT 117th Maine Legislature

Committee on Total Quality Management in the Legislature

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Teen Griffin, Legislative Information

TABLE OF CONTENTS

I.	Summary
II.	Proposed Recodification with Explanation of Specific Rule Changes
III.	Attachments

- A. List of Additional Issues
- **B.** Proposed Senate Rule Changes
- C. Proposed House Rule Changes

REPORT OF THE SUBCOMMITTEE ON LEGISLATIVE RULES OF THE LEGISLATIVE TOM COMMITTEE

SUMMARY

The Subcommittee on Legislative Rules of the Legislature's Committee on Total Quality Management conducted a comprehensive review of the Legislative Joint Rules during the fall of 1995. As a result of its review, the subcommittee recommends revisions in the Joint Rules to (1) recodify current rules and (2) make certain substantive changes in the rules.

Recodification changes consist of various drafting revisions to current Joint Rules to:

- Reorganize the structure of the rules to make them easier to use and to amend in the future;
- Eliminate unnecessary provisions in the rules and reconcile duplicative and conflicting provisions within the Joint Rules and between the Joint Rules and chamber rules;
- Update the drafting style of the rules to current Revisor of Statutes drafting standards; and
- Simplify the rules and clarify ambiguities within the rules.

Substantive changes recommended to the Joint Rules are as follows:

- Permit departments, agencies and commissions to file after cloture legislation if approved by a majority, instead of two-thirds, of the Legislative Council (same as for legislator bills) (Joint Rule 205);
- Limit sponsorship and cosponsorship of legislation to one primary sponsor, one lead cosponsor in the opposite chamber and up to 5 other cosponsors (Joint Rule 206);
- Make the titles and names of sponsors of legislator-initiated and departmental bills public information at cloture. The titles of Governor's bills would be public at the time of filing unless the Covernor directed that they remain confidential until printed (Joint Rule 207);
- Authorize the Revisor of Statutes to change bill titles and Statements of Fact to reflect the content of legislation and change the title of "Statement of Fact" to "Summary" (Joint Rule 209);

- Adds new language describing the responsibilities of joint standing committees (Joint Rule 301);
- Permit committees to establish their own procedures governing participation in questioning by members who testify on a bill at public hearing. The current joint rule prohibits questioning by members who testify (Joint Rule 304);
- Clarify that a quorum is required to start a committee meeting as well as to vote, but is not required to continue a meeting. The current joint rule is unclear on whether a quorum is necessary to start meetings (Joint Rule 306);
- If a quorum is present, permit committees to vote when no Senators are present if the Senate President authorizes it, rather than requiring the authorization of both the President and the Speaker (Joint Rule 306);
- Authorize a committee report recommending referral to another committee to be by other than unanimous vote (Joint Rule 310, subsection 2);

Permit a committee member absent from the State House Complex at the time the committee votes on a bill to record a vote with the committee clerk by noon on the second business day after the vote; and permit a committee member in the State House Complex but absent from committee to record a vote until 5:00pm on the day of the vote. This addition clarifies the current 48-hour rule and generally reflects current practice (Joint Rule 310, subsection 5);

- Permit a committee member to abstain from a committee vote only for a conflict of interest (Joint Rule 310, subsection 5);
- Authorize policy committees to appoint at least 3 but not more than 5 members to serve on the liaison subcommittee to the Appropriations and Financial Affairs Committee, rather than limiting the subcommittee to 3 members (Joint Rule 314, subsection 2); and
- Delete the following exisiting joint rules:

#12--Transaction of Legisaltive Business after 9:00 p.m. (more appropriately covered in chamber rules)

#12-A--Duration of Roll Calls (more appropriately covered in chamber rules)

#12-B--Questions posed to Chair (more appropriately covered in chamber rules)

#13-A--Joint Select Committee on Indian Affairs (removes obsolete language and relect's current practice)

#18--Committee Inquiries and Reports (removes obsolete language)

#36-A--Amendments to Indian Land Claims Settlement Act (removes unnecessary language)

Changes from the current rules are identified in the text of the attached draft, and notes following each Joint Rule explain the of change being proposed.

The subcommittee recommends that the current Joint Rules be revised during the Second Regular Session of the 117th Legislature so that the changes proposed can be explained, discussed and voted on as time permits this year. Such a course of action avoids the need for hasty consideration and adoption of the recodified and revised rules by the newly installed First Regular Session of the 118th Legislature. Adoption of the new rules at this time will require a 2/3 vote in each chamber. Effected House and Senate rules should be revised before the new Joint Rules are adopted.

In addition to the recodification and substantive changes outlined here and described in the attached draft, the subcommittee has identified several important issues which should be addressed through joint rules changes. Due to lack of time, the subcommittee has not completed deliberations on those issues but plans to continue its work on them through the second year of the biennium. Among the issues that the subcommittee has identified for further consideration are:

- Concept drafting
- Budget process
- Minority reports
- New line-item veto Constitutional amendment
- New legislative review of agency rules law
- New legislative evaluation of state agencies law
- Fiscal notes
- Length of floor debate
- Blending committees of reference on bills that cross jurisdictional lines
- Expanding Native American Representation

The Committee's deliberations also identified some issues that did not require changes in the rules but did warrant notation in this report as follows:

- The use by the Standing Committees of the motion of "Ought to Pass in New Draft" should be encouraged. The Committee found that the report of OTP-ND has all but been eliminated in an effort to save funds. The Committee recognized the increased costs that do occur from this report but thought that limited use may solve some problems connected with duplicate bills and the associated consideration of who is credited with sponsorship. It was also noted that Standing Committees should utilize the Joint Order process to receive authority to report out legislation when they have a number of competing bills on the same topic.
- The Committee also discussed the need to continue and expand training of new members. The increased turnover and associated lack of experience with the rules necessitate greater training opportunities for all members of the Legislature.

The subcommittee presents this report to the full Total Quality Management Committee for its consideration and recommendation to the Legislative Council.

PROPOSED AMENDMENTS TO JOINT RULES - 117TH LEGISLATURE

TABLE OF CONTENTS

Part 1 GENE	RAL PROVISIONS
Rule 101	Scope (New).
Rule 102	Amendment of Rules (old J.R. 13-B)
Rule 103	Suspension of Rules (old J.R. 9)
Rule 104	Conflict of Interest (old J.R. 10)
Rule 105	Payment of House & Senate Employees (old J.R. 11)
Rule 106	Records of certain expenses (old J.R. 12-C)
Rule 107	Notice of Legislative Council meetings (old J.R. 14-A)
Part 2 LEGI	SLATION
Rule 201	Prefiling (old J.R. 23)
Rule 202	Cloture for Legislators the First Regular Session
	(old J.R. 25)
Rule 203	Cloture for Legislators the Second Regular or
	Special Sessions (old J.R. 26)
Rule 204	Cloture for State Department, Agency or
	Commission Bills and Resolves (old J.R. 24)
1.	Deadlines for Requests
2.	Deadline when Governor Newly Elected
3.	Identification of Agency
Rule 205	Filing after Cloture (old J.R. 27)
Rule 206	Sponsorship (old J.R. 27-A, 28, 28-A)
1.	Number; Governor's bills
2.	Duplicate Requests; Chamber of Origin
3.	Indian Representatives
Rule 207	Disclosure of Titles of Bills and Resolves (New)
Rule 208	Requirements for Drafting (old J.R. 29)
Rule 209	Bill Titles and Summaries (old J.R. 30)
Rule 210	Form (old J.R. 31)
Rule 211	Signatures on Drafts of Bills, Resolves and
D 1 212	Amendments (old J.R. 32)
Rule 212	Errors (old J.R. 33)
Rule 213	Expressions of Legislative Sentiment (old J.R. 34)
Rule 214	Memorials (old J.R. 35)
Rule 215	Actions Relating to the U.S. Constitution (old J.R. 35-A)
Rule 216	Claims against the State (old J.R. 36)
Rule 217	Measures Rejected at any Prior Session (old J.R. 37)
Rule 218	Legislation filed pursuant to law or resolve (old J.R. 20)
Part 3 LEGIS	LATIVE COMMITTEES

P

Subpart A Joint Standing Committee

Rule 301 Joint Standing Committee responsibilities and jurisdiction (old J.R. 13) Rule 301

-i-

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Rule 302
               Membership (old J.R. 13)
    Rule 303
               Committee Clerks (old J.R. 13)
    Rule 304
               Procedures for Public Hearings and Work Sessions
               (old J.R. 13
    Rule 305
               Scheduling Public Hearings and Work Sessions (old
               J.R. 13)
    Rule 306
               Quorum (old J.R. 13)
    Rule 307
               Testimony (old J.R. 13)
               Reference of Bills to Committee (old J.R. 14)
    Rule 308
    Rule 309
               Notice to Report (old J.R. 13)
    Rule 310
               Reports of Bills from Committee (old J.R. 15)
               Deadline for Reports
         1.
               Committee Reports
         2.
               Unanimous Ought Not to Pass Report
         3.
         4.
               Ought to Pass in New Draft Report
         5.
               Committee Voting
    Rule 311
               Reporting out Errors and Inconsistencies
               Legislation (old J.R. 21)
    Rule 312
               Fiscal Notes (old J.R. 22)
    Rule 313
               Confidentiality (old J.R. 13)
    Rule 314
               Participation in Budget Hearings and Work
               Sessions (old J.R. 13)
               Special Committees
    Subpart B
               Joint Select Committees (old J.R. 16)
    Rule 351
    Rule 352
               Committees of Conference (old J.R. 17)
    Rule 353
               Study Committee Reports (old J.R. 19)
Part 4
       FLOOR ACTION ON LEGISLATION
    Rule 401
               Printing of Bills (old J.R. 1)
    Rule 402
               Consideration of Bills (old J.R. 2)
    Rule 403
              Amendment of Bills (old J.R. 3)
    Rule 404
              Rejection of Bills (old J.R. 4)
    Rule 405
              Engrossing of Bills(old J.R. 4-A)
    Rule 406
              Enactment of Bills (old J.R. 5)
              Responsibility for Legislative Papers (old J.R. 6)
    Rule 407
    Rule 408
              Joint Conventions (old J.R. 7)
    Rule 409
              Communications (old J.R. 8)
Part 5 LEGISLATIVE CONFIRMATIONS
    Rule 501
              Notice of Gubernatorial Appointments (old J.R. 38)
    Rule 502
              Partisan Staff Assistants for Nominations (old
              J.R. 39)
    Rule 503
              Committee Preconference Hearing (old J.R. 38)
    Rule 504
              Committee Public Hearing (old J.R. 38)
   Rule 505
              Committee Vote (old J.R. 38)
   Rule 506
              Senate vote (old J.R. 38)
              Withdrawal of Nomination (old J.R. 38)
    Rule 507
   Rule 508
              Nomination Made Within 30 Days of Adjournment
              (old J.R. 38)
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PROPOSED AMENDMENTS TO JOINT RULES - 117TH LEGISLATURE

Part 1 General Provisions

Rule 101. Scope. (New)

These Joint Rules are adopted pursuant to the Constitution of Maine to assist in carrying out the responsibilities of the Legislative Branch. The rules govern the transaction of business by both chambers, between the chambers and by members of both chambers, including many of the activities of joint legislative committees. The business of the separate chambers and most actions of members are governed by chamber rules.

NOTE TO JR 101: This is a recodification change that proposes a new rule that identifies the Constitution as the ultimate source of authority for adoption of the rules and distinguishes the functions of Joint Rules and Chamber Rules. The purpose of the new rule is to help the user understand the context within which the Joint Rules operate.

Rule 102, Amendment of Rules. (old J.R. 13-B)

13-B---Amendments-to-Rules---Notwithstanding-Joint-Rule-97
proposed-amendments-to-the-r Joint Rules may be adopted amended
by a majority vote in each House--until-and-including chamber
on or before the-end-of-the-session on the 3rd Friday in
January of the first regular session. After that, a vote of
two thirds of the members present in each chamber is required.

NOTE TO JR 102: This is a recodification change that consolidates the provisions of old JR 9 and 13-B (regarding adoption of joint rules), reflects current practice that the rules may be amended by majority vote before the 3rd Friday in January during the 1st session only, and makes drafting standards changes.

Rule 103. Suspension of Rules. (old J.R. 9)

9--Rules Except as etherwise provided in Joint Rules 13-B-and-14 308, no a joint rule or order shall may be suspended ex-amended-without only with the consent of two thirds of the members present in each House chamber.

NOTE TO JR 103: This is a recodification change that consolidates the provisions of old JR 9 and 13 (regarding amendment of joint rules) and makes drafting standards changes.

Rule 104. Conflict of Interest. (old J.R. 10)

10---Conflict-of-Interest- No A member shall-be-permitted to may not vote on any question in either branch chamber of the

Legislature or in committee whose that immediately involves that member's private right, as distinct from the public interest,-is-immediately-involved.

NOTE TO JR 104: This is a recodification change that makes drafting standards changes.

Rule 105. Payment of House and Senate Employees. (old J.R. 11)

<u>ll.--Employees.</u>--The-salaries-of-all-officers-and-employees of-the-Senate-and-House-of-Representatives-shall-be-established by-the-President-of-the-Senate,-the-Speaker-of-the-House-and the-Majority-Floor-Leaders-of-both-the-House-and-Senate. The Secretary of the Senate is-authorized-and-directed-to shall certify vouchers of the officers and employees of the Senate and the Clerk of the House is-authorized-and-directed-to shall certify vouchers of the officers and employees of the House in conformance-with-this-Rule to the Executive Director of the Legislative Council.

Upon-request-from-any-member-of-the-Legislature,-the
Executive-Director-of-the-Legislative-Gouncil-shall-provide
salary-range-information-for-any-position-in-the-Legislature,
including,-but-not-limited-to,-employees-of-the-President-of
the-Senate,-the-Speaker-of-the-House,-the-Secretary-of-the
Senate,-the-Clerk-of-the-House,-the-partisan-offices,-the
nonpartisan-offices,-the-chamber-staff,-the-leadership-staff
and-the-committee-clerks,

Salary and benefit information regarding employees and officers of the Legislature is public information and when requested must be provided within a reasonable time by the Executive Director of the Legislative Council.

NOTE TO JR 105: This is a recodification change that deletes language that is in conflict with statutory law regarding establishment of certain legislative employee salaries and revises language to reflect current law and practice with respect to providing information on legislative salaries.

Rule 106. Records of certain legislator expenses. (old J.R. 12-C)

12-C---Records-of-Certain-Legislator-Expenses---Upon request-of-any-member-of-the-Legislative-Council-in-the majority-party--the-presiding-officers-shall-provide-to-the requestor-records-of-total-monthly-or-annual-aggregate expenditures-for-telephone-and-postage-solely-for-members-in the-majority-party-and-upon-request-of-any-member-of-the Legislative-Council-in-the-minority-party-shall-provide-the same-information-to-the-requestor-solely-for-members-in-the minority-party-

Upon request, the presiding officer of each chamber shall provide the Legislative Council or any council member the total

monthly or annual cost of phone expenses and of postage expenses for all members in the chamber. The presiding officers shall also provide monthly and annual phone and postage expenses of individual members upon the request of any member of the Council.

NOTE TO JR 106: This is a recodification change that clarifies ambiguous language and reflects current practice.

Rule 107. Notice of Legislative Council Meetings. (old J.R. 14-A)

14-A--Netification-of-Meetings-of-the-Legislative

Council T Meeting times of the Legislative Council must be publicized, at a minimum, by posting notice on the door of the meeting room in a timely fashion. Other-means-of-netification may-be-employed-as-deemed-necessary-or-feasible. When feasible, other advance notice of Legislative Council meetings must be given.

NOTE TO JR 107: This is a recodification change that clarifies language.

Part 2 Legislation

Rule 201. Prefiling. (old J.R. 23)

23.--Prefiling. Any A member-elect may present file bills and resolves to-the-Glerk-of-the-House-or-Secretary-of-the Senate for introduction with the Revisor of Statutes prior to the convening of any-a first regular session. after-which-they become-the-property-of-the-Legislature-and-may-not-be-withdrawn by-the-sponsor---The-Glerk-or-Secretary-shall-number-and-print such-measures-in-advance-of-convening.

NOTE TO JR 201: This is a recodification change that deletes obsolete language, revises language to reflect current practice, and strikes language that is relocated to JR 401.

Rule 202. Cloture for Legislators at the First Regular Session. (old J.R. 25)

25.--Cleture-at-the-First-Regular-Session.--During-any-a first-regular-session.--All ether requests for bills and resolves submitted by Legislators for a first regular session shall must be submitted in complete form, as provided in Joint Rule 208, to the Revisor of Statutes by 4:00 p.m. on the 3rd Friday in December;-except-that-fer-the-First-Regular-Session ef-the-117th-Legislature,-eleture-is-4:00-p.m.-en-the-first Friday-in-January.

NOTE TO JR 202, 203, 204 AND 205: Recodification changes are proposed in old Joint Rules 24, 25, 26 and 27 to reflect

current practice, to delete obsolete language, to reorganize the rules, and to make drafting standards changes.

Rule 203. Cloture for Legislators at the Second Regular or Special Sessions. (old J.R. 26)

26.--Cleture-at-the-Second-Regular-or-Special-Sessions.
The Legislative Council shall set a cloture date and establish procedures for submission of legislation by Legislators to the Revisor of Statutes at any a second regular or special session. Procedures established for any a second regular session shall must ensure compliance with the requirements of the Maine Constitution of Maine, Article IV, Part Third, Section 1.

Any \underline{A} vote of the Legislative Council to accept or reject a bill or resolve proposed for introduction under the procedures established under this Joint Rule shall must be taken by the yeas and nays, and that vote shall must be recorded and made available for public inspection.

Rule 204. Cloture for State Department, Agency or Commission Bills and Resolves. (old J.R. 24)

24---Cloture-for-State-Department--Agency-or-Commission Bills-or-Resolves-

- l. Deadlines for Requests. No-request-fer-a-bill-or resolve-may-be-submitted-to-the-Revisor-of-Statutes-on behalf-of-any-state-department,-ageney-or-commission-after the-first-Wednesday-in-December,--If the-Governor-has-been newly-elected-in-November-preceding-the-convening-of-the first-regular-session,-any-bill-or-resolve-introduced-on behalf-of-a-state-department,-ageney-or-commission-shall-be submitted-within-30-days-after-the-Governor-is-administered the-oath-of-office, A state department, agency or commission may not file a request for a bill or resolve after the first Wednesday in December.
- 2. Deadline When Governor Newly Elected. If the Governor is newly elected and the November preceding the convening of the first regular session is the Governor's first term, then any bill or resolve submitted by a state department, agency or commission must be submitted within 30 days after the Governor is administered the Oath of Office.
- 2-3. Identification of Agency. Each A bill or resolve submitted for preparation under this rule shall must clearly designate, under the title, the department, agency or commission upon on whose behalf the bill or resolve is submitted.

3---After-deadline-Requests---Any-request-for-a-bill-or resolve-submitted-under-this-rule-after-the-first-Wednesday in-December-in-either-the-first-or-second-regular-session shall-be-considered-an-after-eloture-request-and-shall-be

transmitted-te-the-Legislative-Council-by-the-Revisor-of Statutes---The-Legislative-Council-shall-consider-the-facts supporting-introduction-notwithstanding-cloture---If two-thirds-of-the-Legislative-Council-approve-the-request for-the-bill-or-resolve--it-shall-be-accepted-for introduction---Notice-of-that-action-shall-appear-on-the calendar-of-the-appropriate-House-

Rule 205. Filing after Cloture. (old J.R. 27)

27.--Filing-after-Cleture. Any A request for a bill or resolve submitted to the Revisor of Statutes by a Legislator or a department, agency or commission after the cloture date shall must be transmitted to the Legislative Council. The Council shall ascertain from the sponsor the facts supporting the request notwithstanding cloture. If a majority of the Council approves, netice-ef-that-approval-shall-appear-en-the-ealendar ef-the-appropriate House the legislation is eligible for introduction as other legislation that is in compliance with Rules 202 or 203.

NOTE TO JR 205: This is a substantive change that permits departments, agencies and commissions to file legislation after cloture if approved by a majority of the Legislative Council, rather than two-thirds. The change is consistent with the requirement for introduction of legislator after cloture bills.

Rule 206. Sponsorship. (old J.R. 27-A, 28 & 28-A)

27-A---Primary-Spensership---Netwithstanding-any-ether Jeint-Rule-1-legislation. 1. Number: Governor's bills. A bill, resolve, order, resolution or memorial may have 2 spensers up to 7 sponsors: one primary sponsor, one lead cosponsor-ene from each-House the other chamber and 5 cosponsors from either chamber. Each bill or resolve requested by the Governor or a department, agency or commission must indicate the requestor below the title. Netwithstanding-Jeint Rule-28,-a-bill,-resolve,-order,-resolution-or-memorial-shall must-originate-in-whichever-House-agreed-to-by-the-primary spensors,-except-that-a-revenue-raising-bill-must-originate-in the-House-of-Representatives,-in-accordance-with-the Constitution-of-Maine,-Article-IV,-Part-Third,-Section-9,--This Rule-takes-effect-on-September-1,-1993.

28--Spensership-and-Identification-of-Agency. A-spenser of-legislation-may-authorize-an-unlimited-number-of-members-of either-House-to-eospenser-a-billy-resolvey-ordery-resolution-or memorial. 2. Duplicate requests; Chamber of Origin. For duplicate or closely related bills or resolves, the Legislative Council may establish a policy for combination of requests and the number of cosponsors permitted on combined requests. A bill, resolve, order, resolution or memorial having cosponsors shall must originate in the House chamber of the primary sponsor. Each-bill-or-resolve-requested-by-the-Governor-or-a departmenty-agency-or-commission-shall-indicate-the-requester below-the-title.

28-A--Spensership-of-Legislation-by-Members-of-the
Penebseet-Nation-and-the-Passamaquoddy-Tribe-Elected-to
Represent-Their-People-at-Legislature--Netwithstanding-House
Rule-557-the. 3. Indian representatives. The member of the
Penobscot Nation and the member of the Passamaquoddy Tribe
elected to represent their people at the biennial session of
the Legislature may sponsor or cosponsor legislation
specifically relating to Indians and Indian land claims and may
sponsor and cosponsor expressions of Legislative sentiment in
the same manner as other members of the House.

NOTE TO JR 206: This is a substantive change that limits the number of sponsors and cosponsors to one primary sponsor, one lead <u>cosponsor</u> in the other chamber and up to 5 cosponsors. Recodification changes are also made to consolidate 3 rules into one and to make drafting standards changes.

Rule 207. Disclosure of Titles of Bills and Resolves. (New)

- 1. Legislator and Department Bills. The names of sponsors and titles of requests for bills and resolves submitted by legislators or by departments, agencies or commissions become public information on the cloture date and a list of titles and sponsors must be published as soon as practicable after cloture.
- 2. Governor Bills. The titles of requests for bills and resolves submitted by the Governor are considered public information on filing. The Governor may direct that the title of a particular bill or resolve remain confidential until that bill or resolve is printed.

NOTE TO JR 207: This new rule is a substantive change in the confidential treatment currently afforded bills and resolves before introduction. Earlier release of bill titles and sponsor names will permit committees and the presiding officers to more effectively organize their workloads and plan their schedules.

Rule 208. Requirements for Drafting. (old J.R. 29)

29.--Requirements-for-Drafting. A request for a bill or resolve filed with the Revisor of Statutes shall-be is considered complete when the request is properly titled and accompanied by sufficient instructions, information and data required for its preparation. Drafts prepared by an outside source must be filed in final form by the appropriate cloture date.

NOTE TO JR 208: This is a recodification change that makes drafting standards changes.

Rule 209. Bill Titles and Summaries. (old J.R. 30)

The Revisor of Statutes has authority to change the title of a bill or resolve to ensure that the title accurately and concisely reflects the content and scope of the bill or resolve. If the primary sponsor objects to the change, the President of the Senate and the Speaker of the House shall jointly decide what the title should be.

30---Statement-of-Fact. The Revisor of Statutes shall prepare and include a concise-statement-of-fact-on summary of all bills, resolves and amendments. The-purpose-of-the statement-of-fact-is-to-provide-a-brief-summary-of-the-bill, resolve-or-amendment. The Revisor of Statutes has authority to ensure that the summary is concise and accurately reflects the intent of the bill or resolve.

NOTE TO JR 209: This is a substantive change to change the title of the "Statement of Fact" to "Summary" and to authorize the Revisor of Statutes to change titles and summaries to reflect the substance of the bill.

Rule 210. Form. (old J.R. 31)

31---F0*M- All bills and other instruments, including bills proposed by initiative, shall must be allocated to the Maine Revised Statutes as appropriate and corrected as to matters of form, legislative style and grammar by the Revisor of Statutes before printing.

NOTE TO JR 210: This is a recodification change that makes drafting standards changes.

Rule 211. Signatures on Drafts of Bills, Resolves and Amendments. (old J.R. 32)

32.--Signing-of-Bills,-Resolves-and-Amendments. The Revisor of Statutes shall notify the sponsor and-any-eespenser of a bill or resolve shall-be-netified-by-the-Reviser-of Statutes that the bill or resolve is ready in final form for signature. The primary sponsor is responsible for obtaining signatures from cosponsors. The primary sponsor and-any eespensers shall sign the bill or notify the Revisor of any changes that are necessary within deadlines established by the presiding officers. The primary sponsor shall present the signed cosponsor sheet to the Revisor. If the primary sponsor does not contact the Office of the Revisor of Statutes within this period, the bill shall-be-censidered-veided is void.

If changes are requested, the Revisor of Statutes shall notify the <u>primary</u> sponsor when changes have been made and the bill is available for signature; and the <u>primary</u> sponsor and cosponsors shall sign the bill within the established deadlines. Further changes must be proposed to the committee

of reference. If the <u>primary</u> sponsor does not sign the bill within this period, <u>it-shall-be</u> voided the bill is void. If cosponsors do not sign the bill within either period, their names <u>shall</u> <u>must</u> be removed from the bill.

NOTE TO JR 211: This is a recodification change that reflects current practice and makes drafting standards changes.

Rule 212. Errors. (old J.R. 33)

33---Effefs---Mistakes Clerical errors in bills and resolves,-mefely-elefical, may be corrected upon suggestion by the Revisor of Statutes without motion to amend.

NOTE TO JR 212: This is a recodification change that reflects current practice and makes drafting standards changes.

Rule 213. Expressions of Legislative Sentiment. (old J.R. 34)

34---Expressions-of-Legislative-Sentiment. All expressions of legislative sentiment shall must conform to guidelines issued by the President of the Senate and the Speaker of the House and shall must be presented in such a manner as standardized by the Revisor of Statutes. Netwithstanding-House Rule-55,-the-member-of-the-Penobscot-Nation-and-the-member-of the-Passamaquoddy-Tribe-elected-to-represent-their-people-at the-biennial-session-of-the-Legislature-shall-have-the-right and-privilege-to-spensor-and-cosponsor-expressions-of Legislative-sentiment-as-if-a-regular-member-of-the-House-

The expressions of legislative sentiment shall may not be part of the permanent journal or the legislative record but shall must appear on the printed calendar or advance journal of each body. The Glerk-of-the-House-and-Secretary-of-the-Senate Secretary of the Senate and the Clerk of the House shall cause print said the expressions to the legislative record. When the Legislature is not in session the Speaker-of-the-House-and-the-President-of-the-Senate President of the Senate and the Speaker of the House may authorize expressions of legislative sentiment at the request of legislative members.

NOTE TO JR 213: This is a recodification change that deletes language that has been relocated to JR 206 and makes drafting standards changes.

Rule 214. Memorials. (old J.R. 35)

35---Memerials- No A memorial shall-be is not in order for introduction unless approved by a majority of the Legislative Council.

NOTE TO JR 214: This is a recodification change that makes drafting standards changes.

Rule 215. Actions Relating to the U.S. Constitution (old J.R. 35-A)

35-A--Aetions-Relating-to-the-United-States-Constitution.
All memorials, resolutions, applications and petitions which that relate to the Legislature's functions under the United States Constitution, Article V, shall-be are in order for introduction without approval from the Legislative Council. Passage of these items shall must be accomplished as follows:

- 1. Any An item requesting the calling of a United States Constitutional Convention shall-require requires a two thirds vote of the members present in each House chamber;
- 2. Any An item requesting ratification of an amendment to the United States Constitution shall-require requires a majority vote of the members present in each House chamber; and
- 3. Any An item requesting any other action under the United States Constitution, Article V, shall-require requires a majority vote of the members present in each House chamber.

NOTE TO JR 215: This is a recodification change that makes drafting standards changes.

Rule 216. Claims against the State (old J.R. 36)

36---Claims-against-the-State. A claim of an amount of \$2,000 or less shall-be is in order for introduction only after the claim has been first disapproved or partially approved for payment under the Maine Revised Statutes, Title 5, section 1510-A. A claim of an amount greater than \$2,000 shall-be is in order for introduction only in the form of a resolve authorizing a suit against the State.

NOTE TO JR 216: This is a recodification change that makes drafting standards changes.

Rule-217. Amendments-to-Indian-Claims-Settlement-Act-(old-J.R. 36-A)

36-A---Amendments-to-"AN-ACT-to-Implement-the-Maine-Indian Claims-Settlement-"--A-bill-amending-"AN-ACT-to-Implement-the Maine-Indian-Claims-Settlement-"-Maine-Revised-Statutes-Title 30,-chapter-601,-of-which-approval-by-an-Indian-tribe-or-Indian nation-is-required-by-the-United-States-Code,-Title-25,-Section 1725-(e),-shall-contain-a-section-stating-that-the-Legislature has-received-and-accepted-a-statement-of-that-approval-or-a section-containing-a-provision-that-the-bill-shall-not-take effect-until-that-approval-is-received-

A-bill-amending-the-Revised-Statutes,-Title-30,-section 6205,-subsection-1,-paragraph-B-or-subsection-2,-paragraph-B,

and-adding-lands-to-or-including-lands-within-Indian-territory, shall-contain-a-section-stating-the-recommendation-of-the-Maine Indian-Tribal-State-Commission-

NOTE TO OLD JR 36-A: This is a substantive change that deletes unnecessary language.

Rule 217. Measures Rejected at a Prior Session (old J.R. 37)

37--Measures-Rejected-at-a-Prier-Session. No A measure which bill, resolve, constitutional resolution, resolution, memorial or order that has been introduced and finally rejected in any a regular or special session shall may not be introduced in any a subsequent regular or special session of the same Legislature except by vote of two-thirds of both Heuses chambers.

NOTE TO JR 217: This is a recodification change that clarifies the term "measure" consistent with JR 404 and makes drafting standards changes.

Rule 218 Legislation filed pursuant to law or resolve (old J.R. 20)

20.--Legislation-filed-pursuant-to-law-or-resolve. Any Legislation filed pursuant to law or resolve shall must specify identify the source of the legislation and shall must cite the law or resolve which that authorizes the filing. The legislation shall must be introduced in the House chamber of the sponsor or the House chamber of origin of the authorizing law or resolve.

NOTE TO JR 218: This is a recodification change that makes drafting standards changes.

<u>Part 3 Legislative Committees</u> Subpart A Joint Standing Committees

Rule 301. Joint Standing Committee responsibilities and jurisdiction (old J.R. 13)

<u>13.--Legislative-Gommittees.</u>--Gommittees-play-an-essential rele-in-the-legislative-process.--The-purposes-of-committee rules-are-outlined-in-the-Gommittee-Handbook.--The-rules-of procedure-in-committee-are-the-same-as-the-rules-of-the-Senate and-House-to-the-extent-these-are-applicable. (Moved to Rule 304, 2nd ¶)

The-following-rules-govern-the-activity-of-all-committees in-the-Legislature.

Joint standing committees are formed to assist the Legislature in the performance of its constitutional duties and

are vested with the general authority granted in 3 MRSA section 165 and certain other specific authority granted from time to time by the Legislature. The responsibilities of joint standing committees include, but are not limited to:

- Considering and reporting to both chambers on legislation pending before the Legislature;
- Reviewing and making recommendations on budgeting and fiscal policy issues concerning state government;
- Conducting oversight and review of the actions of departments and agencies of state government including but not limited to review of agency rules under the Maine Administrative Procedure Act (5 MRSA chapter 375, subchapter II and II-A) and agency evaluations under the State Government Evaluation Act (3 MRSA, chapter 35);
- Reviewing and making recommendations on gubernatorial appointments that require legislative confirmation under 3 MRSA chapter 6;
- Performing other tasks assigned to them including but not limited to reviewing specific provisions of law, conducting studies on assigned topics. issuing reports on policy and legal issues of interest to the Legislature, reporting out specific legislation pursuant to joint order and authorizing the annual budgets of certain counties.

There-shall-be-ne-mere-than As authorized by 3 MRSA §165 there are 17 Joint Standing Committees which shall must be appointed as-fellows at the commencement of the first regular session,-viz and which exercise jurisdiction in the following areas:

On Agriculture, Conservation and Forestry

On Appropriations and Financial Affairs

On Banking and Insurance

On Business and Economic Development

On Criminal Justice

On Education and Cultural Affairs

On Human Resources

On Inland Fisheries and Wildlife

On Judiciary

On Labor

On Legal and Veterans Affairs

On Marine Resources

On Natural Resources

On State and Local Government

On Taxation

On Transportation

On Utilities and Energy

NOTE TO JR 301: Old Joint Rule 13 is very long and has been divided into several new rules. This new rule represents a recodification change that rewrites part of old rule 13 to delete unnecessary language and language that has been moved to JR 304. This rule retains the same committee jurisdictions and adds a substantive language change describing the responsibilities of joint standing committees.

Rule 302. Membership (old J.R. 13)

Each of these committees shall consists of ne-mere-than 13 members, 3 on the part of the Senate and ne-mere-than 10 on the part of the House. The first named Senate member shall-be is the Senate chair. The first named House member shall-be is the House chair. The Senate chair shall preside and in the Senate chair's absence, the House chair shall preside and, thereafter, as the need may arise, the chair shall alternate between the members from each House chamber in the order-sequence of their appointment to the committee. The sequence of appointment for the biennium is as announced by the presiding officers in each chamber. Every member of the Senate and House of Representatives and-the-Senate is entitled to at least one initial committee assignment.

NOTE TO JR 302: This is a recodification change that reflects current practice, makes drafting standards changes and clarifies the meaning of "order of appointment" for purposes of determining succession to the chair.

Rule 303. Committee Clerks (old J.R. 13)

The hiring of all committee clerks must be mutually agreeable to both the Senate and House chairs. If not agreeable to both, the President of the Senate and Speaker of the House shall decide. Committee clerks serve at the pleasure of the President of the Senate and the Speaker of the House. The salaries of each committee clerk are established by the President of the Senate and the Speaker of the House and-must and their employment terminates no later than the end of the session.

NOTE TO JR 303: This is a recodification change that deletes obsolete language.

Rule 304. Procedures for Public Hearings and Work Sessions (old J.R. 13)

At the beginning of each legislative biennium, all committees shall adopt procedures that govern public hearings, work sessions and confirmation hearings. Copies of the procedures must be sent to the presiding officers, the Secretary of the Senate, the Clerk of the House and the Executive Director of the Legislative Council upon adoption and must be posted and made available upon request at all public hearings and work sessions.

The rules of procedure in committee are the same as the rules of the Senate and House to the extent applicable. (moved from 1st ¶ of old J.R. 13) Committee procedures must be consistent with these rules-and-pested-and-made-available-upen request-at-all-public-hearings-and-werk-sessions.

These-committees-may-report-by-bill-or-otherwise. The presiding chair shall decide all questions of order, subject to appeal to the committee. The chair's ruling shall stands unless overruled by a majority vote of the committee membership.

Scheduling of bills to be considered in public hearing and working sessions shall must be arranged by the Senate chair with the agreement of the House chair; if agreement is not reached, the committee,-shall decide by majority vote of the membership,-shall-deeide.

At public hearings, the Chair may limit testimony as necessary for the orderly conduct of the hearing. Members may question witnesses to clarify testimony and to elicit helpful and pertinent information. While aggressive and probing questions may sometimes be appropriate, members shall exhibit respect for the witnesses and for one another. Members shall refrain from interrogation which is argumentative, oppressive, repetitive or unnecessarily embarrassing to hearing participants. Advocacy and discussion among members are not appropriate at public hearings. A Committee member who is the primary sponsor of a bill and any member who testifies for or against the bill should ordinarily refrain from questioning other witnesses.

NOTE TO JR 304: This is a substantive change that adds new language to grant committees flexibility in adopting rules governing the actions of members who testify on bills when those members also wish to ask questions of others who testify on the same bill. Recodification changes are also made that delete obsolete language, add language that has been moved form other rules and clarify existing language.

Rule 305. Scheduling Public Hearings and Work Sessions. (old J.R. 13)

At the beginning of each regular session, each committee shall recommend to the presiding officers specific days for its public hearings and work sessions, taking into consideration the availability of assigned staff and hearing rooms. Upon approval of the schedule by the presiding officers, the chairs shall try to schedule all committee work on those days. Each committee shall distribute a detailed list of hearings and work sessions that have been scheduled for the following week to all committee members. This schedule must also be posted.

Public hearings must be advertised two weekends in advance of the hearing date. All exceptions must be approved by both presiding officers.

The committee shall direct the committee clerk to notify all sponsors of the bill of the public hearing and work session on the bill.

It is the intent of the Legislature that no person be denied access to committee public hearings and work sessions because of a disability. Committees shall provide reasonable access for disabled persons to their proceedings.

NOTE TO JR 305: This is a recodification change that adds language that has been moved from other rules to this rule and adds new language on access to committee meetings by disabled persons.

Rule 306. Quorum (old J.R. 13)

Questions-of-Order.--The-presiding-chair-shall-decide-all questions-of-order.-subject-to-appeal-to-the-committee.--The chair-s-ruling-stands-unless-overruled-by-a-majority-vote-of the-committee-membership. (Duplicated in Rule 304, third paragraph.) A quorum of is seven of members and a quorum must be present to start a meeting or to take a vote. A quorum is not required to continue a meeting. If a quorum is present, but there is not a Senator among them those present, the committee may take a vote only with the authorization of the presiding-officers President of the Senate.

NOTE TO JR 306: This rule makes a substantive change to authorize a committee to vote when there are no Senators present if the President of the Senate authorizes it rather than also requiring authorization by the Speaker. The rule is also changed to clarify that a quorum is necessary to start a meeting, but not to continue a meeting once started. This rule also makes recodification changes to reflect current practice and to delete language that is moved to JR 304.

Rule 307. Testimony (old J.R. 13)

Notwithstanding-Jeint-Rule-387-the-use-of-testimony-under eath-fer-public-hearings-pursuant-te-the-Maine-Revised Statutes7-Title-37-section-1657-subsection-7-requires-prior approval-by-the-Legislature. Testimony before a joint standing committee is not presented under oath, except, that a committee is authorized to administer oaths in the case of legislative confirmation hearings under 3 MRSA, section 151 and may be so authorized by the Legislature when the committee is acting as a special investigating committee under 3 MRSA, section 165, subsection 7.

All written materials presented to the committee must bear the name, address and affiliation, if applicable, of the presenter and the date presented. A-committee-member-who-testifies-on-a-bill-referred-to-that committee-may-not-ask-questions-of-other-persons-testifying-on that-bill-at-the-public-hearing- (covered in J.R. 304)

Advertising. -- Public-hearings-must-be-advertised-two weekends-in-advance-of-the-hearing-date. -- All-exceptions-to this-rule-must-be-approved-by-both-presiding-officers. (moved to J.R. 306)

Advance-Notification-to-Bill-Sponsors---The-committee-shall direct-the-committee-clerk-to-notify-the-bill-sponsors-as-soon as-the-bill-is-scheduled-for-public-hearing-and-for-all committee-work-sessions- (moved to J.R. 306)

NOTE TO JR 307: This is a recodification change that clarifies current language and deletes duplicative language and language that has been moved to other rules.

Rule 308. Reference of Bills to Committee (old J.R. 14)

<u>14---Reference-of-Bills-to-Committee</u> All bills <u>and</u> <u>resolves</u> must be referred to committee; except that this provision may be suspended by a majority vote-taken-by-a division in each chamber

1. Legislature in Session. When the Legislature is in session the The Secretary of the Senate and the Clerk of the House shall, -after-eenferring-tegether, jointly suggest an appropriate committee reference for every bill, resolve and petition offered-in-either-House. Upon-their agreement,-t The suggested reference shall must be placed upon the Advance Journal and eCalendar of each House If, however, they are unable to agree, the chamber. question of reference shall must be referred to a conference of the President of the Senate and the Speaker of the House. Upon their agreement, the suggested reference shall must be placed upon the Advance Journal and eCalendar of each House chamber. If, however, they are unable to agree, the question of suggested reference shall must be referred to the Legislative Council for resolution. Upon the decision of the Legislative Council, the suggested reference shall must be placed upon the Advance Journal and eCalendar of each House_chamber.

When-the-Legislature-is-in-recess-the-Clerk-of-the-House and-Secretary-of-the-Senate-shall-refer-the-bills-to-the appropriate-joint-standing-committee-for-public-hearing-and order-printing-subject-to-the-approval-of-the-Speaker-of the-House-and-the-President-of-the-Senate- (moved to below)

Each suggested reference appearing upon the <u>Advance Journal</u> and <u>Cealendar</u> of each <u>Heuse chamber</u> shall <u>must</u> contain a recommendation for the printing of the document being referred and may contain a recommendation on the number of copies of that document to be printed.

Any member may move for reconsideration of a committee reference on the floor. Notwithstanding House Rule 53, Senate Rule 33 and Joint Rule 9 103, a majority vote shall be is necessary to overturn the original committee of reference.

2. Legislature not in Session. When the Legislature is not in session or is in recess for more than 4 days, the Clerk of the House and Secretary of the Senate may refer the bills to the appropriate joint standing committee for public hearing and order printing, subject to the approval of the Speaker of the House and the President of the Senate.

NOTE TO JR 308: This is a recodification change that restructures old JR 14, makes drafting standards changes and clarifies the process for referral of bills by the Clerk and the Secretary when the Legislature is not in session.

Rule 309. Notice to Report (old J.R. 13)

Netice-te-Repert: A joint standing committee to which a bill or resolve has been referred shall, after receiving notice of the reporting deadline from the President of the Senate and the Speaker of the House, report that bill out of committee to the floor for consideration in accordance with that deadline.

Rule 310. Reports of Bills from Committee (old J.R. 15)

15---Reports-of-Bills-from-Committee-

- 1. Deadline for Reports. The Joint Standing Committees shall report out every bill which that has been referred to them in the manner prescribed in these rules and in accordance with deadlines established by the presiding officers.
- 2. Committee Reports. Committees-shall-report-out-every bill-that-has-been-referred-to-them-in-accordance-with deadlines-established-by-the-presiding-officers-and-in-the manner-prescribed-in-these-rules- (Duplicated above) The report of the committee must include a recommendation. Reports-that-may-be-recommended-unanimously-or-by-a plurality-of-the-committee-include Recommendations that may be made are:

Ought to Pass
Ought to Pass as Amended
Ought to Pass in New Draft. The-use-of-this-report
requires-the-approval-of-both-presiding-officers.
Ought Not to Pass
Refer to Another Committee

The-report-Referral-to-Another-Committee-requires-a unanimous-vote-of-the-committee-

When the committee recommendation is not unanimous, a minority report or reports are required. All reports on any legislative document must be submitted to the Legislature at the same time.

3. Unanimous Ought Not to Pass Report. When all-13 members-of a Joint Standing Committee votes unanimously to report a bill Ought Not to Pass, the committee shall notify the presiding officers, the sponsor and cosponsors of the bill of their action. This communication must appear on the calendar in each House chamber, and the bill shall must, upon notification of both Houses chambers, be placed in the legislative file and disposed-of-as-provided-in subsection-4-as-prescribed-herein may be recalled only as provided in Rule 404.

4---Two-thirds-Required---When-a-bill-or-resolve-is-placed in-the-legislative-file-pursuant-to-subsection-3,-no further-action-shall-be-taken-following-such-disposition unless-the-bill-or-resolve-is-recalled-for-reconsideration by-a-vote-of-two-thirds-of-both-Houses- (Duplicates J.R. above & J.R. 404)

- 54. Ought to Pass in New Draft Report. When the changes voted by the committee are major, the committee may elect to report the bill out Ought to Pass in New Draft with authorization of the presiding officers. When a plurality of the committee recommends this report, the chairs shall submit a request for authority to report the bill out in this fashion. When the committee recommendation is not unanimous, a minority report is required. New drafts printed pursuant to these rules shall must include the legislative document number that the new bill replaces with the names of the original sponsor and cosponsors. The Secretary of the Senate and the Clerk of the House shall determine the number of copies which shall must be printed of each new draft.
- 65. Committee Voting. A-querum,-comprising-a-majerity-of the-committee,-which-equals-seven-members-for-a thirteen-member-committee,-must-be-present-in-order-for-a vote-to-be-taken,--If-a-querum-is-present,-but-there-is-not a-Senator-among-them,-the-committee-may-take-a-vote-only with-the-authorization-of-the-presiding-officers. (Duplicates J.R. 306)

The committee clerk shall prepare the committee jacket or jackets following the vote and obtain signatures from committee members as required. A-eemmittee-member-whe-is absent-from-the-eemmittee-en-the-day-ef-the-vete-has-48 heurs-te-register-the-member's-veter If all members are not present for the vote, the bill must be held until the following periods have expired:

- A. If any member is absent from the State House and State Office Building at the time of the vote, that member's vote may be registered with the Clerk up until noon on the second business day following the vote.
- B. If any member is absent from Committee at the time of the vote but present in the State House or State Office Building, that member's vote may be registered with the Clerk up until 5:00 p.m. on the day of the vote.

A member may abstain from voting only for a conflict of interest under Joint Rule 104.

Except for a motion to adjourn, no question may be decided and no official action may be taken in the absence of a quorum.

NOTE TO JR 310: This rule makes substantive changes to permit committees to recommend referral of a bill to another committee by other than unanimous report, to clarify the operation of the 48 hour rule for voting on bills in committee and to clarify situations in which a member may abstain form voting. Recodification changes are made to reflect current practice, to delete duplicative language, and to make drafting standards changes.

Rule 311. Errors and Inconsistencies Legislation (old J.R. 21)

21.--Reporting-out-Errors-and-Inconsistencies-Legislation.
Prior to reporting out any omnibus bill concerning errors and inconsistencies in the Laws of Maine, the Joint Standing Committee on Judiciary shall, after giving notice and an opportunity to be heard, hear proposed amendments and determine which amendments shall may be included in the bill reported out. No floor amendment shall may be entertained in either Heuse chamber unless the amendment is printed and distributed at least 24 hours prior to introduction.

NOTE TO JR 311: This is a recodification change that makes drafting standards changes.

Rule 312. Fiscal Notes (old J.R. 22)

22---Fiseal-Netes- Every bill or resolve affecting state revenues, appropriations or allocations or that requires a local unit of government to expand or modify that unit's activities so as to necessitate additional expenditures from local revenues and that has a committee recommendation other than Ought Not to Pass or referral to another committee must include a fiscal note. This statement must be incorporated in the bill before it is reported out of committee. Any amendment

introduced that would affect the fiscal impact of the original bill must also include a fiscal note. The Office of Fiscal and Program Review has the sole responsibility for preparing all fiscal notes.

NOTE TO JR 312: This is a recodification change that makes a technical correction in the text.

Rule 313. Confidentiality. (old J.R. 13)

The committee shall protect confidential records, including those records excluded from the definition of "public records" under the freedom of access laws, Maine Revised Statutes, Title 1, section 402, subsection 3 from public disclosure by holding executive sessions to discuss information contained in such records. Executive sessions must be held in accordance with the provisions of the freedom of access laws, Maine Revised Statutes, Title 1, section 401, et seq.

Before the committee files leave the custody of the committee, the committee chairs shall direct the custodian of the files to protect the confidentiality of the records in any appropriate manner, including returning the records to the person or department from which they came; destroying the records; or blocking out personally identifying information in the records and retaining them in the files, if the law declaring the records confidential permits disclosure in this manner.

The committee chairs shall also ensure that the files include a notation indicating what type of confidential records were reviewed by the committee.

Rule-314--Smoking- (old J.R. 13)

No-one-may-smoke-in-committee-rooms-at-any-time-

NOTE: This is a recodification change to delete obsolete language.

Rule 314. Participation in Budget Hearings and Work Sessions (old J.R. 13)

As used in this Rule, "policy committee" means a joint standing committee or joint select committee of the Legislature having subject matter jurisdiction other than the Joint Standing Committee on Appropriations and Financial Affairs.

In regard to the Governor's biennial budget recommendations, the Legislature shall set priorities and implement policy as follows:

1. Budget recommendations made by the Governor on areas within the jurisdiction of a policy committee must be

initially heard jointly by the Appropriations and Financial Affairs Committee and the appropriate policy committee having jurisdiction over the subject matter presented;

- 2. Each policy committee shall appoint a subcommittee of at least three and not more than five of its members to serve as liaisons to the Appropriations and Financial Affairs Committee. At least one One member of the subcommittee must be appointed by the Senate chair of the policy committee and the-remaining at least two members must be appointed by the House chair of the policy This subcommittee must include members of beth committee. the-majerity-and-minerity-the two parties holding the largest number of seats in the Legislature. Whenever possible, the Appropriations and Financial Affairs Committee shall notify each member of a policy committee subcommittee in a timely manner of all subsequent deliberations on budget items relative to that subcommittee's jurisdiction. Each committee through its subcommittee shall advise the Appropriations and Financial Affairs Committee of its respective policy committee's recommendations regarding budget items relative to that committee's jurisdiction and through its subcommittee may participate in all subsequent deliberations of the Appropriations and Financial Affairs Committee on these budget items;
- 3. The membership of each subcommittee must be published in the Legislative Advance Journal and Calendars and,—if time-permits,—printed-in-the-Senate-and-House-Register;
- 4. Each policy committee shall provide the Appropriations and Financial Affairs Committee with the policy committee's recommendations regarding the relevant part of the Governor's budget. These recommendations must be delivered within a time period set by the chairs of the Appropriations and Financial Affairs Committee after consultation with the chairs of the policy committee and in no case may the time period be less than ten days following the initial joint hearing unless mutually agreed upon. The recommendations must be made within the budgetary constraints set by the Appropriations and Financial Affairs Committee;
- 5. Following submission of the policy committee's biennial budget recommendations within established budget constraints, each policy committee may recommend additional funds for program priorities that exceed the original budgeting constraints and if recommendations for additional funds are made, the committee shall explain those recommendations;
- 6. The Appropriations and Financial Affairs Committee shall consider the policy committees' budget recommendations during the Appropriations and Financial Affairs Committee's deliberations, but retains sole decision-making authority on budget matters; and

7. In-addition,-w Within five legislative <u>business</u> days after reporting out all bills involving appropriations or revenues, the policy committees shall submit to the Appropriations and Financial Affairs Committee a list indicating these committees' priorities for final passage of these bills.

NOTE TO JR 314: This is a substantive change to authorize committees to appoint liaison subcommittees of up to 5 members to work with the Appropriations and Financial Affairs Committee. The current size of the subcommittee is 3 members. Other recodification changes are made to clarify current language. The length of time committees have following reporting out their bills to prioritize their bills on the Appropriations Table is changed from 5 legisaltive days to 5 business days.

Subpart B Special Legislative Committees

Rule 351. Joint Select Committees (old J.R. 16)

16---Joint-Select-Committees Joint Select-Committees select committees shall consist of three on-the-part-of-the Senate-and-seven-on-the-part-of-the-House Senators and 7 members of the House of Representatives, unless the order creating the same-shall committee provides a different number.

Whenever a select committee shall-be is appointed by either House and-be-jeined-by-the-other, it-shall-be-the-duty-of both chambers the Secretary of the Senate, of the Clerk of the House, as-the-ease-may-be, te-transmit-one-to-the-other-the names-of-the-members-so-jeined, in-order shall inform each other of the names of the members so that they the names may be entered upon the jeurnal Advance Journal and Calendar of each House_chamber.

NOTE TO JR 351: This is a recodification change that makes drafting standards changes.

Rule-352 Joint-Select-Committee-on-Indian-Affairs-(old-J-R-13-A)

13-A--Joint-Select-Committee-on-Indian-Affairs---There shall-be-a-Joint-Select-Committee-on-Indian-Affairs-to-review all-legislation-relating-to-Indians-and-Indian-land-claims after-its-submission-to-a-joint-standing-committee-but-before-a public-hearing-is-held-on-that-legislation---The-committee shall-consist-of-the-representatives-from-House-Districts-1217 134-and-1427-the-Senators-from-Senate-Districts-37-4-and-77-the member-of-the-Penobscot-Nation-and-the-member-of-the Passamaquoddy-Tribe-elected-to-represent-their-people-at-the Legislature-and-the-executive-secretary-of-the-Tribal-State Commission---The-President-of-the-Senate-and-the-Speaker-of-the

House-of-Representatives-shall-serve-as-ex-officio-members-of the-committee---The-Joint-Select-Committee-on-Indian-Affairs may-make-recommendations-to-the-joint-standing-committee-to which-the-legislation-is-referred-

NOTE: This is a substantive change that deletes the rule establishing the Joint Select Committee on Indian Affairs to reflect current practice.

Rule 352. Committees of Conference (old J.R. 17)

17.--Conference-Committees. When disagreeing action between the chambers has occurred, a committee of conference is in order. Committees of conference shall consist of three members en-the-part-ef from each House,-representing-its-vete chamber who voted on the prevailing side. A committee of conference shall meet and submit a report, -agreed-to-by-a majerity-ef-each-committee-er-unable-to-agree within 10 legislative days to the branch chamber asking for the The report must be agreed to by a majority of the conference. members from each chamber. The committee report may be that it is unable to agree. The committee This report may be either accepted or rejected, but no other action shall may be had except through another committee of conference. committee-of-conference-is-unable-to-agree,-the-President-of the-Senate-and-the-Speaker-of-the-House-may-appoint-a-new committee. If necessary, a new committee of conference may be formed.

NOTE TO JR 352: This is a recodification change that clarifies language and makes drafting standards changes.

Rule-353--Committee-Inquiries-and-Reports-(old-J-R--18)

18.--Committee-Inquiries-and-Reports.--Orders-directing inquiry-in-relation-to-an-existing-statute-shall-state-the subject-matter-of-such-statute-and-also-the-title-and-section to-which-the-inquiry-is-directed.

In-presenting-a-report-upon-any-matter-referred-to-a committee,-such-report-shall-set-forth-the-subject-referred, and-the-substance-shall-also-be-briefly-endorsed-on-the-back-of the-same.

If-a-committee-responds-to-an-order-directing-inquiry-upon any-matter-by-presenting-a-report-recommending-legislation, such-legislation-shall-be-introduced-when-the-report-is transmitted-to-the-Legislature---This-legislation-shall-bear the-designation-of-the-committee-reporting.

NOTE: This is a substantive change that deletes obsolete language.

Rule 353. Study Committee Reports (old J.R. 19)

19---Study-Reperts. Committees shall-take-final-action-on studies authorized to undertake studies by the Legislative Council shall complete the studies, including reports and accompanying necessary implementing legislation, by the date established annually by the Legislative Council.

Any committee which that finds that it is unable to comply with these its deadlines shall submit a written request for an extension to the Legislative Council prior to the deadline.

NOTE to JR 353: This is a recodification change that clarifies language and makes drafting standards changes.

Part 4 Floor Action on Legislation

Rule 401. Printing of bills (old J.R. 1)

<u>l_--Printing-</u>--Whenever-a-decument-shall-be-printed-under the-Joint-Rules-governing-the-precedure-following-a-favorable committee-report,-a-sufficient-number-of-copies-shall-be delivered-to-the-Sergeant-at-Arms-of-each-House-for-the-members and-officers-thereof-and-the-balance-shall-be-delivered-to-the Document-Clerk,-who-shall-reserve-sufficient-copies-for-the departments-and-binding,-twenty-copies-for-the-committee-having the-same-under-consideration,-and-shall-be-responsible-for-the equitable-distribution-of-the-remainder-

Every bill or resolve submitted by a legislator must be printed unless withdrawn by the sponsor before printing. After it is printed, a bill or resolve is considered to be in the possession of the Legislature and may not be withdrawn by the sponsor. Every bill presented for reference to committee or to be engrossed without reference to committee must be printed before appearing on the Advance Journal and Calendar of either chamber.

Every amendment must be printed and distributed before being taken up in either chamber. Every committee amendment must indicate the committee making the report.

The Secretary of the Senate and the Clerk of the House of Representatives are responsible for the printing and initial distribution of legislative documents and amendments.

NOTE TO JR 401 AND 402: Old JR 1 and and part of 2 are combined in JR 401. Recodification changes have been made in JR 401 and 402 to delete obsolete language and make drafting standards changes.

Rule 402. Consideration of Bills (old J.R. 2)

2--Gonsideration-of-Legislation--Every-bill-or-resolve reported-in-either-House-by-a-committee-shall-be-printed-and distributed-in-both-Houses-before-having-its-first-reading-

Bills-net-already-printed-and-new-drafts-shall-be-printed immediately-after-the-reperts-are-deposited-in-the-effice-ef the-Secretary-ef-the-Senate-er-the-Clerk-ef-the-House---The printed-cepies-shall-show-by-what-committee-the-bill-er-reselve was-reperted-

When a bill, resolve, order or memorial shall passes one House chamber, if rejected in the other House chamber, it shall must be returned by the Secretary or Clerk, as the case may be, for further consideration.

Rule 403 Amendment of bills (old J.R. 3)

3--Debate-and-Amendment---No-debate-or-amendment-shall-be permitted-on-any-bill-or-resolve-until-such-bill-or-resolve-is before-the-Senate-in-the-second-reading-or-before-the-House-in the-second-reading-provided-the-favorable-report-of-the committee-to-which-the-bill-or-resolve-has-been-referred-has been-accepted-

A floor amendment may not be permitted on any bill or resolve until a favorable report of the committee to which the bill or resolve has been referred has been accepted and the bill or resolve is before the Senate in the second reading or before the House in the second reading.

NOTE TO JR 403: This is a recodification change that deletes language governing debate-because that is a subject that should be covered in chamber rule and makes drafting standards changes.

Rule 404 Rejection of Bills (old J.R. 4)

4---Rejection-of-Bills- No A bill, or resolve, constitutional resolution, resolution, memorial or order that is finally rejected shall may not be recalled from the legislative files except by joint order approved by a vote of two-thirds of both Houses chambers.

NOTE TO JR 404: This is a recodification change that makes drafting standards changes.

Rule 405 Engrossing of Bills (old J.R. 4-1)

4-Ar--Engressing-ef-Bills. Notwithstanding Senate Rule 23 and House Rule 48, the President of the Senate or the Speaker of the House may order any bill or resolve to be engrossed upon its introduction to either the Senate or the House. Any bill or resolve engrossed pursuant to this rule shall must be committed to the Committee on Engrossed Bills whose duties shall be to examine the same and to see that the same have been truly engrossed. Before any bill shall is passed to be enacted, or any resolve finally passed, it shall must be reported by that committee to be truly and strictly engrossed, and the title thereof read by the President or the Speaker.

NOTE TO JR 405: This is a recodification change that makes drafting standards changes.

Rule 406 Enactment of Bills (old J.R. 5)

5.--Enactment-of-Bills.- Every bill that shall-have has passed both Houses chambers to be enacted and all resolutions having the force of law, that shall have finally passed both Houses chambers, shall must be presented by the Secretary of the Senate to the Governor for approval; and the Secretary of the Senate shall enter on the journal of the Senate the day on which such bills or resolutions are so presented to the Governor.

NOTE TO JR 406: This is a recodification change that makes drafting standards changes.

Rule 407 Responsibility for Legislative Papers (old J.R. 6)

6---Responsibility-fer-Legislative-Papers. All endorsements on papers while on their passage between the two Houses chambers shall be under the signature of the Secretary of the Senate or the Clerk of the House, respectively; but after the final passage of bills and resolves they shall be signed by the presiding officer of each House chamber.

Where one House <u>chamber</u> has passed upon a legislative paper and forwarded it to the other, the receiving House <u>chamber</u> shall promptly, upon receipt, place that paper on its calendar.

NOTE TO JR 407: This is a recodification change that makes drafting standards changes.

Rule 408 Joint Conventions (old J.R. 7)

7---Conventions. No business may be transacted in convention of the two Houses <u>chambers</u> unless by unanimous consent of the convention in the convention, except for such business as may be agreed upon by the two Houses <u>chambers</u> before the convention is formed.

NOTE TO JR 408: This is a recodification change that makes drafting standards changes.

Rule 409. Communications (old J.R. 8)

<u>8---Communications</u> Whenever a message shall be sent from the Senate to the House, the Chair shall appoint a messenger, who after being recognized, shall announce the message respectfully to the Chair.

In a like manner, messages from the House shall be communicated to the Presiding Officer of the Senate.

- Rule-410 Transaction-of-Legislative-Business-after-9:00-p-m-(01d-J-R--12)
- 12.--Transaction-of-Legislative-Business-after-9:00-p.m.
 No-business-shall-be-transacted-in-either-House-after-the-hour
 of-9:00-p.m.-without-the-affirmative-vote-of-2/3-of-the-members
 present-and-voting.
- Rule-411 <u>Duration-of-Roll-Calls:-Calls-for-Yeas-and-Nays-(old J-R--12-A)</u>
- 12-A---Duration-of-Roll-Calls;-Calls-for-Yeas-and-Nays:--A roll-call-in-the-Senate;-or-a-call-for-yeas-and-nays-in-the House-must-close-no-more-than-30-minutes-after-such-call-was commenced;
- Rule-412 Questions-Posed-to-Chair-(old-J-R--12-B)
- <u>12-B---Questions-Posed-to-Chair-</u>--When-a-question-is-posed to-the-Chair-by-a-member-in-the-Senate-or-the-House₇-the presiding-officer-must-respond-to-the-question-within-7 legislative-days---Pending-the-presiding-officer's-response-to the-question₇-the-item-about-which-the-question-was-posed-must be-tabled-

NOTE TO OLD JR 12, 12-A AND 12-B: These are substantive changes that delete language that should be chamber rules.

Part 5 Legislative Confirmations

Rule 501. Notice of Gubernatorial Appointments (old J.R. 38)

Appeintments.—The procedures for legislative confirmation are established in the Maine Revised Statutes, Title 3, Chapter 6. Upon receipt by the President of the Senate and Speaker of the House of notification from the Governor of the name of a nominee and of the office to which that person is nominated, the President of the Senate and Speaker of the House shall, without delay, forward that notice and copies of accompanying materials to the Chairs of the Joint Standing Committee which that is charged by law with reviewing nominations to that office, to the Legislative Information Office and to the 2 partisan staff assistants for nominations. The Legislative Information Office shall establish an official file for each nominee.

NOTE TO JR 501 THRU 508: Recodification changes have been made to old JR 38 and 39 to reorganize the content into 8 parts-new JR 501 through 508. Drafting standards changes are also made. New language dealing with testimony under oath is added which is consistent with statutory law.

Rule 502. Partisan Staff Assistants for Nominations (old J.R. 39)

39---Partisan-Staff-Assistants-for-Nominationsmembers of the Legislative Council representing the each party with-the-largest-number-of-members-in-the-Legislature shall, within 7 legislative days after the convening of the first regular session, appoint a partisan staff assistant for nominations. The-members-of-the-Legislative-Council representing-the-party-with-the-next-largest-number-of-members in-the-Legislature-shall-alse,-within-7-legislative-days-after the-convening-of-the-first-regular-session,-appoint-a-partisan staff-assistant-fer-neminations. Each of these assistants shall-serve serves at the pleasure of the appointing authority during the biennium for which the assistant is chosen. A vacancy in either of these positions shall must be filled for the remainder of the biennium in the same manner as the original appointment. Partisan staff assistants for nominations shall provide all necessary assistance to each joint standing committee required by law to recommend action on a gubernatorial nominee.

Rule 503. Committee Preconference Hearing. (Old J.R. 38)

The joint standing committee shall may hold a prehearing conference within 21 days of the notification from the Governor unless-the-committee-decides-otherwise. The prehearing conference must be consistent with the Maine Revised Statutes, Title 3, chapter-6 section 156.

Rule 504. Committee Public Hearing. (Old J.R. 38)

The joint standing committee shall hold a public hearing on the nomination in Augusta at a time convenient to the public within 30 days, or 35 days for judicial officers, from the date of the Governor's notice of the nomination to the President of the Senate and Speaker of the House. The Legislative Information Office shall eause-te-be-published publish in the state paper and in a newspaper of general circulation in the area where the nominee resides at least 7 days before the hearing a notice of that hearing, which shall must contain the time and place of the hearing, the name of the nominee, the office to which that person has been nominated and a general description of the duties of that office and. The notice must also contain a statement that written comments relevant to the qualifications of the nominee together with supporting materials may be filed with the Legislative Information Office by 9 a.m. on the hearing date. At the hearing, the committee shall take written or oral testimony which-shall-be limited to relevant comments and questions regarding the qualifications of the nominee. Notwithstanding Title 3, §151, for the purposes of reviewing nominations pursuant to this rule, the joint standing committee has the power to administer oaths and to take testimony under oath. All testimony taken at the hearing shall

must be transcribed-by-mechanical-means recorded, and testimony and other materials received by the committee shall must be preserved according to the Maine Revised Statutes, Title 3, section 159. The 2 partisan assistants for nominations shall provide the committee with a written report of their investigations before the committee votes to recommend or deny confirmation.

Rule 505. Committee Vote. (Old J.R. 38)

Within 35 days, or 40 days for judicial officers, from the date of the Governor's notice of the nomination to the President of the Senate and Speaker of the House, the committee shall recommend confirmation or denial by majority vote of the committee members present and voting. The vote of the committee shall may be taken only upon an affirmative motion to recommend confirmation of the nominee, and a tie vote of the committee shall-be is considered a recommendation of denial. A vote may not be taken sooner than 15 minutes after the close of the public hearing unless by agreement of all committee members present. The committee vote shall must be by the yeas and nays. The chairs of the committee shall send written notices of the committee's recommendation to the President of the Senate.

Rule 506. Senate Vote. (Old J.R. 38)

Within 45 days, or 50 days for judicial officers, from the date of the Governor's notification of the nomination to the President of the Senate and the Speaker of the House, the Senate shall review the recommendation of the committee on the nomination, and, after review, shall vote by the yeas and nays on that recommendation.

After vote by the Senate, the committee's recommendation shall-becomes final action of confirmation or denial unless the Senate by a vote of 2/3 of those members present and voting overrides the committee's recommendation. If the committee recommends to deny confirmation, and the Senate votes, by a vote of 2/3 or greater of those members present and voting, to override the committee's recommendation, the nomination shall-be is deemed confirmed. Following Senate confirmation or denial, notice of the action taken shall must be given to the Speaker of the House.

Rule 507. Withdrawal of Nomination. (Old J.R. 38)

Once <u>If</u> the Governor withdraws a nomination at any time prior to the Senate vote, by sending a written notice of withdrawal to the President of the Senate, the Legislature shall may take no further action on that nomination.

Rule 508. Nomination Made Within 30 Days of Adjournment. (Old J.R. 38)

If the Governor posts a nomination within 30 days preceding the statutory date of adjournment, a legislative committee to whom a nominee is to be referred for confirmation review may, by 2/3 vote, request the President of the Senate and the Speaker of the House of Representatives to delay this review in order to complete the committee's legislative work. If the President of the Senate and the Speaker of the House of Representatives approve the request, the time periods for legislative action begin on the date the Legislature adjourns.

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JOINT RULES - INDEX

117TH LEGISLATURE

Amendments 403 Errors and inconsistencies legislation 311 Fiscal notes 312 Appropriations measures 7 Fiscal notes 314, Part 2 Bills and resolves 403 Amendment 403 Claims against the state 216 Cloture rule, first regular session 202 Cloture rule, second regular or 309, 310 Committee reports 309, 310 Consideration 402 Correction of clerical mistakes 212 Cosponsorship 206 Departmental bills 204 Indication on bill 206 Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 206 Indian Affairs 206 Sponsorship, cosponsorship 206 Indian Affairs <th></th> <th>RULE</th> <th></th> <th>NUMBER</th>		RULE		NUMBER
Time of presentation	Amen	dmants	•	
Errors and inconsistencies legislation. 311 Fiscal notes	MIIICII			403
Fiscal notes				
Appropriations measures Fiscal notes				
Fiscal notes	N			312
Subcommittees 314, Part 2 Bills and resolves Amendment 403 Claims against the state 216 Cloture rule, first regular session 202 Cloture rule, second regular or special session 203 Committee reports 309, 310 Consideration 402 Correction of clerical mistakes 212 Cosponsorship 206 Departmental bills 204 Indication on bill 206 Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 1ndication on bill 206 Indian Affairs 206 Sponsorship, cosponsorship 206 Late filing, procedure 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distributio	Appro			212
Bills and resolves Amendment. 403 Claims against the state. 216 Cloture rule, first regular session 202 Cloture rule, second regular or special session 203 Committee reports 309, 310 Consideration 402 Correction of clerical mistakes 212 Cosponsorship 206 Departmental bills 204 Indication on bill 206 Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 312 Indication on bill 206 Indian Affairs 206 Sponsorship, cosponsorship 206 Late filing, procedure 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 <td></td> <td></td> <td></td> <td></td>				
Amendment				314, Part 2
Claims against the state. 216 Cloture rule, first regular session 202 Cloture rule, second regular or special session 203 Committee reports 309, 310 Consideration 402 Correction of clerical mistakes 212 Cosponsorship 206 Departmental bills 204 Indication on bill 206 Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 206 Indian Affairs 206 Sponsorship, cosponsorship 206 Late filing, procedure 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404	B1 TT			4.0.0
Cloture rule, first regular session. 202 Cloture rule, second regular or special session. 203 Committee reports. 309, 310 Consideration. 402 Correction of clerical mistakes. 212 Cosponsorship. 206 Departmental bills. 204 Indication on bill. 206 Disclosure of titles 207 Drafting requirements. 202, 208, 209 Engrossment upon introduction. 405 Errors and inconsistencies legislation. 311 Fiscal notes 312 Form and allocation to statutes. 210 Governor's bills 206 Indication on bill. 206 Indian Affairs 206 Sponsorship, cosponsorship. 206 Late filing, procedure. 205 Nonconcurrent action. 402 Prefiling. 201 Presentation to Governor. 406 Printing and distribution. 308, 401 Pursuant to law or resolve. 218 Pursuant to studies. 353 Recall from legislative files. 4				
Cloture rule, second regular or special session				
special session. 203 Committee reports. 309, 310 Consideration. 402 Correction of clerical mistakes. 212 Cosponsorship. 206 Departmental bills. 204 Indication on bill. 206 Disclosure of titles 207 Drafting requirements. 202, 208, 209 Engrossment upon introduction. 405 Errors and inconsistencies legislation. 311 Fiscal notes. 312 Form and allocation to statutes. 210 Governor's bills 206 Indication on bill. 206 Indian Affairs 206 Sponsorship, cosponsorship 206 Late filing, procedure. 205 Nonconcurrent action. 402 Prefiling. 201 Presentation to Governor. 406 Printing and distribution. 308, 401 Pursuant to law or resolve. 218 Pursuant to studies. 353 Recall from legislative files. 404 Reference to committees. 308 Rejected measures. <td></td> <td></td> <td></td> <td>202</td>				202
Committee reports 309, 310 Consideration 402 Correction of clerical mistakes 212 Cosponsorship 206 Departmental bills 204 Indication on bill 206 Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 206 Indication on bill 206 Indian Affairs 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404 Reference to committees 308 Rejected measures 402 Reconsideration 404				
Consideration 402 Correction of clerical mistakes 212 Cosponsorship 206 Departmental bills 204 Indication on bill 206 Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 206 Indian Affairs Sponsorship, cosponsorship 206 Late filing, procedure 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404 Reference to committees 308 Rejected measures 402 Reconsideration 404		special session		
Correction of clerical mistakes 212 Cosponsorship 206 Departmental bills 204 Indication on bill 206 Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 206 Indian Affairs Sponsorship, cosponsorship 206 Late filing, procedure 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404 Reference to committees 308 Rejected measures 402 Reconsideration 404				
Cosponsorship. 206 Departmental bills. 204 Indication on bill. 206 Disclosure of titles 207 Drafting requirements. 202, 208, 209 Engrossment upon introduction. 405 Errors and inconsistencies legislation. 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 210 Indication on bill. 206 Indian Affairs 205 Sponsorship, cosponsorship 206 Late filing, procedure 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404 Reference to committees 308 Rejected measures 402 Reconsideration 404		Consideration		402
Departmental bills		Correction of clerical mistakes	5	212
Departmental bills		Cosponsorship		206
Indication on bill				
Disclosure of titles 207 Drafting requirements 202, 208, 209 Engrossment upon introduction 405 Errors and inconsistencies legislation 311 Fiscal notes 312 Form and allocation to statutes 210 Governor's bills 206 Indication on bill 206 Indian Affairs 205 Sponsorship, cosponsorship 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404 Reference to committees 308 Rejected measures 402 Reconsideration 404				
Drafting requirements. 202, 208, 209 Engrossment upon introduction. 405 Errors and inconsistencies legislation. 311 Fiscal notes. 312 Form and allocation to statutes. 210 Governor's bills 206 Indication on bill. 206 Indian Affairs 206 Sponsorship, cosponsorship. 205 Nonconcurrent action. 402 Prefiling. 201 Presentation to Governor. 406 Printing and distribution. 308, 401 Pursuant to law or resolve. 218 Pursuant to studies. 353 Recall from legislative files. 404 Reference to committees. 308 Rejected measures. 402 Reconsideration. 404				207
Engrossment upon introduction	•			202. 208. 209
Errors and inconsistencies legislation. 311 Fiscal notes				
Fiscal notes 312 Form and allocation to statutes 210 Governor's bills Indication on bill 206 Indian Affairs Sponsorship, cosponsorship 206 Late filing, procedure 205 Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404 Reference to committees 308 Rejected measures 402 Reconsideration 404				
Form and allocation to statutes				
Governor's bills Indication on bill				
Indication on bill				210
Indian Affairs Sponsorship, cosponsorship		Indication on hill		206
Sponsorship, cosponsorship			• • • • • • • •	200
Late filing, procedure				200
Nonconcurrent action 402 Prefiling 201 Presentation to Governor 406 Printing and distribution 308, 401 Pursuant to law or resolve 218 Pursuant to studies 353 Recall from legislative files 404 Reference to committees 308 Rejected measures 402 Reconsideration 404				
Prefiling				
Presentation to Governor				•
Printing and distribution				
Pursuant to law or resolve				
Pursuant to studies				
Recall from legislative files				
Reference to committees				
Rejected measures				
Reconsideration404				
Reconsideration404				
		Reconsideration		404
Reintroduction		Reintroduction		217
Signature of sponsors				211
Sponsors, notification of committee				
actions 305, 310				305, 310
Sponsorship		Sponsorship	• •	

Summaries	209
Calendars Inclusion of papers	407
Late filed legislation	205
Claims against the state	216
Clerk of the House	
Certification of employee vouchers	105
Publication of names of	
committee members	351
Reference of bills	308
Responsibility for legislative papers Cloture	407
Departmental legislation	204
First regular session	202
Late filing, procedure	205
Second regular or special session	203
Committee clerks	105
Hiring and salaries	303
Committee jackets	.0, Part 5
Committees, joint select	351
Committees; joint standing	
Confirmation procedures	501
Fiscal notes	312
Jurisdiction, membership, 301,	302
Legislation pursuant to law or resolve	218
Members, conflict of interests	104
ProceduresScheduling Public hearings and	304
work sessions	305
Reports	303
	310
	218
	353
Subcommittees on appropriations 31	4, Part 2
Voting 31	0, Part 5
Committees of conference	352
Communications	
	409
	314
Confirmation of gubernatorial appointments 501	
	104
Constitution SEE United States Constitution	
	408
	206
Departmental legislation 204	
	401
Employees of the House and Senate	
<u> </u>	105
Enactment of bills	406
	405
Errors and inconsistencies legislation	
	311
	106
Fiscal notes	312

Governor		
Indication of bill requests	206	
Nominations requiring legislative		
confirmation	501	
Receipt of legislation	406	
Indian		
SEE Penobscot and Passamaquoddy Tribes		
Joint conventions	408	
Joint select committees		
SEE Committees, joint select		
Joint standing committees		
SEE Committees, joint standing		•
Judiciary (Joint Standing Committee)		
Errors and inconsistencies legislation	311	
Legislative Council .		
Appointment, partisan staff		
assistants for nominations	502	
Departmental legislation received after		
cloture	205	
Executive Director		
Employee salary information	.105	
Introduction of bills		
Second regular and special sessions	203	
Late-filed legislation	205	
Memorials	214	
Memorials relating to the		
U. S. Constitution	215	
Notification of meetings	107	
Reference of bills	308	
Study reports, extension	353	
Mandates		
Fiscal notes	312	•
Memorials		
Cosponsorship	206	
Introduction	214	
United States Constitution	215	
Nonconcurrent matters	402	
Orders and resolutions		
SEE ALSO Sentiments, Legislative; Memoria	als	
Cosponsorship	206	
Suspension of orders	103	
Passamaquoddy and Penobscot Nation Tribes	•	
Sponsorship of Legislation	206	
Prefiling procedure	201	
President of the Senate		
Authorization of legislative sentiments.	213	
Committee vote with no Senators present.	306	
Employee salaries	105	
Expense records of legislators	106	
Committee reporting deadline	309	
Signature on enacted legislation	407	
Printing of documents	401	
Recall from legislative files	404, 310,	Part 3
Recess of legislature		
Authorization of legislative sentiments.	213	
Reference of bills	308, Part	2

Reference of bills	308
Rejected measures Reconsideration	404
Reintroduction	218
Return to other house	402
Resolutions	
SEE Memorials; Orders and resolutions	
Resolves	
SEE Bills and resolves Revisor of Statutes	
Allocation of legislation to statutes	210
Cloture, departments	204
Cloture, first regular session	202
Cloture, second regular or special sessions	5 203
Drafting requirements 202	2, 208, 209
Form of legislative instruments	210
Form of legislative sentiments	213
Late filing of legislation	205
Rules Amendments to	102
Suspension	103
Secretary of the Senate	100
Certification of employee vouchers	105
Publication of committee members' names. 35	
Delivery of enacted legislation to Governor	
Prefiled legislation	201
Reference of bills	308
Responsibility for legislative papers	407
Sentiments, Legislative During recess of legislature	213
Excluded from permanent journal	213
and legislative record	213
Sponsorship by Indian representatives	206
Standard forms	213
Speaker of the House	•
Authorization of legislative sentiments.	213
Employee salaries	105
Expense records of legislators	106
Committee reporting deadlines	309 407
Signature on enacted legislation Sponsorship of bills and resolves	206
Study Reports	353
Summary	209
United States Constitution	200
Actions relating to	215
Voting	
Acceptance of bills for second regular	i e
or special sessions	203
Conflict of interests	104

117th Legislative Council Total Quality Management Subcommittee on Rules in the Legislature October 12, 1995

Updated 1/8/96

- ✓ Modernize/Recodify
- ✓ Internal Inconsistency

House/Senate --> joint conflicts

- Concept Drafting (to full committee)
- Budget Process (to full committee)
- ✓ Smoking
 - ✓ Smoking Room
 - ✓ Rule 314 needed?
- Length of Debate (tabled)

Repeated speeches by one member (tabled)

- ✓ Extended Training of New Members (highlight in report)
- ✓ Errors Bills (Departmental)

Major changes not noticed by other committees

- Cross Jurisdiction of Legislative Documents (tabled)

One committee or the other gives report, not both (tabled)

"Blending" of committees (tabled)

- ✓ Reference appeal process when Joint Rule 14 is used outside session
- Fiscal Notes (tabled)

Dependence on Executive Branch (tabled)

Not Seen by Committee (tabled)

Specific Rules

- ✓ Rule 10 Conflict of Interest
- ✓ Rule 11 Employees' Salaries
- ✓ Rule 12 9:00 p.m. Deadline
- ✓ Rule 12a Duration of Roll Calls
- ✓ Rule 12b Rulings of the Chair Time Limit
- ✓ Rule 13 Committees Order of Appointment
- ✓ Rule 13 Committees Members can not ask questions on their own bill in their own committee
- ✓ Rule 15 Committee Reports 48-Hour Rule (Saturday & Sunday)
- ✓ Rule 36 Claims against State why in rules?

Rule 36A - Indian Claims - why in rules?

Native American Representatives

Procedural vote in committee

Maliseet Representative

- ✓ JR 13A needed?
- Committee Rules
- ✓ Cosponsorship (limited to 1 prime sponsor, 1 lead sponsor + 5 cosponsors)

Unlimited vs. 10 or less

- Technology
- Minority Ought to Pass as Amended Reports 3 Members or less

How to prevent

Germaneness Rule liberalized

- Minority Reports

Wait for Report

Not done publicly

- Committee Bills
 - Ought to Pass in New Draft
- Combined Bills

Cosponsors

New Laws

New law on agency rules Audit public review function to committee of jurisdiction - Rule 354 Line item veto

- Rule or Statute which takes precedence
- ✓ Cloture Dates

Additions:

- ✓ Joint Rule 12-C (New 106) Expenses
- Legislative Council Authority
- ✓ Glossary✓ Law Cite in Rules
- ✓ Require printing of all bills (201)
 - ✓ Members Authority not defined Level playing field with Governor and Departments (to full committee)
- ✓ Ought to Pass in New Draft (310)
- ✓ Statement of Fact (209)
 - ✓ Change name to "Summary"✓ Tighten up content

Additions 11/14/95

- ✓ Rule 305 notification of sponsors
- ✓ ADA Requirements
- ✓ Quorum
 - ✓ Public Hearing
 - ✓ Committee action
- ✓ Rule 304 Bill sponsor setting with Committee
- ✓ Rule 310 Refer to other Committee must be unanimous
- ✓ Rule 315 Committee Chairs ex-officio on budget subcommittee All committee members notified when subcommittee meets
- ✓ Rule 403 "Debate" at 2nd Reading
- ✓ Proxy voting at Joint Convention

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STATE OF MAINE

In	Senate	Date
	COLLEGE	17000

ORDERED, that Senate Rule 17 be amended to read:

17. Questions of order shall be decided by the President without debate <u>within 7 legislative</u> <u>days</u>; but if an appeal is taken, the same may be debated like other questions, and the decision thereon shall be entered upon the journal.

ORDERED, that Senate Rule 25 be amended to read:

- 25. After the reading of the journal, the following shall be the order of business:
- 1st. House papers not acted upon; and if accompanied by a bill or resolve, the first reading of such bill or resolve.
- 2nd. Messages and documents from the executive and heads of departments.
- 3rd. The reception and reference of petitions and such other papers as require action by a committee.
- 4th. Orders.
- 5th. Reports of committees.
- 6th. Bills and resolves reported by the Committee on Bills in the Second Reading.
- 7th. Bills on their passage to be enacted, and resolves on their final passage.
- 8th. Orders of the day.

It shall be the duty of the Secretary to number bills and resolves in the order in which they shall be reported by said Committee on Bills in the Second Reading, and enter them upon the calendar in such order. They shall be taken up for their second reading and passage to be engrossed, or other disposition, in the order in which they stand upon the calendar. When a bill or resolve, after it is put on the calendar, is laid on the table, and no time assigned for its further consideration, it shall go to the foot of the calendar as it then stands. Papers from the House, concerning which there has been a disagreeing vote of the two houses, shall be disposed of before commencing with the calendar. This rule shall not apply to or interfere with motions to reconsider, or special assignments or other privileged questions. No business shall be transacted after the hour of 9:00 p.m. without the affirmative vote of 2/3 of the members present and voting.

ORDERED, that Senate Rule 26 be amended to read:

26. Once the Secretary of the Senate commences with the roll call, all Senators shall remain seated until the vote has been announced. A roll call must close no more than 30 minutes after such call was commenced. When the yeas and nays are taken, the names of the Senators shall be called alphabetically.

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STATE OF MAINE

In	House	Date
YII	LIUUSU	Date

ORDERED, that House Rule 1 be amended to read:

1. It is the duty of the Speaker to take the chair at the hour to which the House has adjourned, call the members to order, and after the appearance of a quorum, cause the journal of the preceding day to be read;

To announce the business before the House in the order in which it is to be acted upon;

To receive and submit to vote all motions and propositions regularly moved or that necessarily arise in the course of proceedings, and announce the result;

To restrain the members when engaged in debate, within the rules of order, and to enforce on all occasions, the observance of order and decorum among the members;

To decide all questions of order within 7 legislative days, subject to an appeal to the House;

To receive all messages and other communications and announce them to the House;

To authenticate by the Speaker's signature bills that have passed to be enacted and resolves finally passed;

To appoint the member who shall take the chair when the House has determined to go into committee of the whole;

To name a member to perform the duties of Speaker during the Speaker's absence;

To appoint the members who are to serve on committees; to appoint a Clerk and an Assistant Clerk of the House to fill any vacancy that may occur in those offices while the Legislature is not in session, to serve until the House in session elects a Clerk and Assistant Clerk; and to appoint a sergeant-at-arms, an assistant sergeant-at-arms, a document clerk, doorkeepers, a courier, and 5 pages, unless the House of Representatives otherwise directs. Any such appointments may be rescinded at any time by the Speaker;

To appoint honorary pages; and

Town:

To appoint legal counsel while the Legislature is in session.

ORDERED, that House Rule 19 be amended to read:

19. Every member who is in the House when a question is put where the member is not excluded by interest shall vote, unless the presiding officer for reasons excuses that member, and when yeas and nays are ordered, no member may leave the member's seat until the vote is declared; in all elections by the House, or on joint ballot of the two Houses, no member may leave the member's seat, after voting, before a return of the House is had. A call for yeas and nays must close no more than 30 minutes after such call was commenced.

Name:				