

MAINE STATE LEGISLATURE

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LEGISLATIVE COUNCIL
December 16, 2002
10:30 a.m.
Room 334, Legislative Council Chamber
REVISED AGENDA

<u>Page No.</u>	<u>Item</u>	<u>Action</u>
	CALL TO ORDER	
	ROLL CALL	
1/6	SUMMARIES OF THE NOVEMBER 20, 2002, AND DECEMBER 4, 2002 COUNCIL MEETINGS	Decision
	REPORTS FROM EXECUTIVE DIRECTOR AND STAFF OFFICE DIRECTORS	Information
12	• Executive Director's Report	
28	• Fiscal Report (Pennoyer)	
29	• Office of Information Services' Report (Mayotte)	
	• Update on Interim Studies (Elliott)	
	REPORTS FROM COUNCIL COMMITTEES	
	• Personnel Committee	
	• Technology and Migration Committee	
	No Report	
	• Space Committee	
	No Report	
	OLD BUSINESS	
	None	

<u>Page No.</u>	<u>Item</u>	<u>Action</u>
NEW BUSINESS		
32	Item #1: Duplicate Bill Request Filings (memo from Margaret Matheson, Revisor of Statutes)	Decision
	Item #2: Creation of Budget Subcommittee to Review FY 04/05 Legislative Budget Request	Discussion
	Item #3: Submission of Study Reports	
36	<ul style="list-style-type: none"> • Commission to Study the Needs and Opportunities Associated With the Production of Salmonid Sport Fish in Maine 	Decision
37	<ul style="list-style-type: none"> • Committee to Study Reimbursement Rates for Maine's Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers 	Decision
38	<ul style="list-style-type: none"> • Task Force on Rail Transportation 	Decision
	Item #4: Request to Extend Reporting Deadline	
39	<ul style="list-style-type: none"> • Health Care System and Health Security Board: Extension from January 15, 2003 to April 15, 2003 (letter from Sen. John Martin and Rep. Paul Volenik) 	Decision

ANNOUNCEMENTS AND REMARKS

ADJOURNMENT

REP. MICHAEL V. SAXL
CHAIR

SEN. MICHAEL H. MICHAUD
VICE-CHAIR



120th MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL

SEN. BEVERLY C. DAGGETT
SEN. MARY E. SMALL
SEN. PAUL T. DAVIS, SR.
SEN. SHARON ANGLIN TREAT
REP. PATRICK COLWELL
REP. JOSEPH BRUNO
REP. WILLIAM S. NORBERT
REP. WILLIAM J. SCHNEIDER

DAVID E. BOULTER
EXECUTIVE DIRECTOR

MEETING SUMMARY
November 20, 2002

CALL TO ORDER

The Chair, Speaker Michael V. Saxl, called the Legislative Council meeting to order at 1:17 p.m. in the Legislative Council Chamber.

ROLL CALL

Senators:

Sen. Davis

Absent: Sen. Michaud, Sen. Daggett, Sen. Small,
Sen. Treat

Representatives:

Speaker Saxl, Rep. Colwell, Rep. Bruno, Rep. Norbert,
Rep. Schneider

Legislative Officers:

Pamela Cahill, Secretary of the Senate
David Shiah, Assistant Clerk of the House
David Boulter, Executive Director, Legislative Council
Grant Pennoyer, Director, Office of Fiscal and Program Review
David Elliott, Director, Office of Policy and Legal Analysis
Margaret Matheson, Revisor of Statutes
Lynn Randall, State Law Librarian
Paul Mayotte, Director, Legislative Information Services

SUMMARY OF THE OCTOBER 30, 2002 COUNCIL MEETING

Motion: That the Meeting Summary of October 30, 2002 be accepted and placed on file.
(Motion by Sen. Davis, second by Rep. Bruno, unanimous)

REPORTS FROM EXECUTIVE DIRECTOR AND STAFF OFFICE DIRECTORS

- **Executive Director's Report**

David Boulter, Executive Director of the Legislative Council, reported that the first phase of the employee unionization campaign was completed and as of November 19, 2002 one group of legislative employees is now represented by MSEA. Discussions will be underway regarding terms and conditions of employment. Union matters will involve full Council involvement. The second union item reported on was that employees in the legislative professionals bargaining unit will vote whether to be represented by union. That procedure is being completed by the Labor Relations Board and ballots are due back Monday, December 9.

Executive Director Boulter reminded members of the New Member Orientation that is to be held on November 21, 2002 and the Pre-Legislative Conference to be held December 2 – 4, 2002. Both events are sponsored by the out-going Legislative Council.

Mr. Boulter also reported that the East Entrance should be completed by mid-December.

Mr. Boulter thanked the Council members for their support to all staff during the 120th Legislature.

Speaker Saxl thanked all the members of the Council for their participation, and for taking substantial steps forward for the Legislature on a variety of projects and issues. Speaker Saxl thanked the staff for all of their work and the tremendous job done every day in the operation of the Legislature. He thanked all staff on behalf of the Council, the entire Legislature and on behalf of all the people of Maine.

No Council action required.

- **Fiscal Report**

Grant Pennoyer, Director, Office of Fiscal and Program Review, presented the following fiscal report:

1. **General Fund and Highway Fund Revenue Variances for October, 2002**

- The General Fund was ahead of revenue projections by \$17.7 million through October. Although the General Fund appeared to have a sizeable positive revenue variance for the first 1/3 of the fiscal year, that revenue variance is largely due to timing issues.
- The Highway Fund was down by \$1.2 million in October, but was ahead of projections year-to-date.

2. **Economic Forecast Revisions**

The Consensus Economic Forecasting Committee met on October 21, 2002 and made several additional revisions to its initial forecast in response to concerns raised by the Revenue Forecasting Committee. Mr. Pennoyer said there was a change on November 14, 2002 that will cause a further downward adjustment to revenue projections. The distribution of the components of Personal Income were shifted in a way to present a more consistent picture.

3. Revenue Forecasting Committee Schedule

The Revenue Forecasting Committee is scheduled to meet November 21, 2002 at 9:00 am. The Committee should have a good sense of revenue projections through fiscal year 2007. December 1, 2002 is the Committee's statutory reporting deadline.

4. Cash Pool Status

The summary of the September 2002 Cash Pool, without the Tax Anticipation Note and some Reserve balances, is \$218 million in the negative. The General Fund was \$76.4 million positive with the TAN issued in August.

5. Budget Bill Formats

Mr. Pennoyer asked if the Legislature should continue to use the line-item budget format (modified somewhat by the Performance Budgeting initiative) or should Performance Measures be included in the budget bills as enacted. The Legislature is still on the Wang bill production system to produce bills for the 121st First Regular Session, and would continue to use the same format until it is able to move to a more flexible program. Speaker Saxl did not believe that the 120th Legislative Council should make that determination for the 121st Legislature. Council members agreed with the Speaker that the Legislature was not in a position to use performance based budgeting at this time.

No Council action taken.

- **Office of Information Services' Report**

Paul Mayotte, Director, Legislative Information Services reported to the Council on the following items:

1. Migration – Bill Drafting System

- Hewlett-Packard's (Compaq) senior management will be meeting with the Legislature to present their plan for resolving the issues of inadequate application software processing speed. A meeting has been scheduled for Monday, November 25, 2002 to discuss the problems with the processing speed. The system will not be accepted until the application software meets the performance needs of the Legislature.

2. Conversion to Director Internet Access

The new email address was tested November 19, 2002 and appeared to be in working order. Mr. Mayotte is taking extra steps to ensure that the Bureau of Information Services' system has all their security needs met. Full conversion will be completed by November 30, 2002. Users do not need to take any actions at this time, as the old email address will still function.

No Council action is required.

- **Update on Interim Studies**

David Elliott, Director, Office of Policy and Legal Analysis, gave an update on the status of interim studies. Attached to the Meeting Summary is the Progress Report on the Legislative Studies as of October 24, 2002 that was provided by Mr. Elliott.

No Council action is required.

Speaker Saxl asked if there was objection to taking an item out of order. Hearing none, the Chair then moved to **New Business, Items 2 and 3.**

NEW BUSINESS

Item #1: Submission of Study Report

- **Blue Ribbon Commission to Address the Finance of Long-Term Care (letter from Sen. Betty Lou Mitchell, Senate Chair and Rep. Thomas Kane, House Chair).**

David Elliott said the Blue Ribbon Commission has completed its interim report, and its final report is due November, 2003. The request of the Commission is for the Council to accept the interim report.

Motion: That the Blue Ribbon Commission to Address the Finance of Long-Term Care's interim report be accepted and placed on file. (Motion by Rep. Colwell, second by Rep. Bruno, unanimous).

Item #2: Submission of Work Plan and Budget

- **Task Force to Study Methods of Addressing Inequities in the Retirement Benefits of State Employees and Teachers**

Mr. Elliott said the Task Force to Study Methods of Addressing Inequities in the Retirement Benefits of State Employees and Teachers' is requesting approval of its work plan and proposed budget. The Task Force's work plan and budget is within the money authorized in the bill and gets them to the extended reporting deadline.

Motion: That the proposed work plan and budget be approved. (Motion by Rep. Norbert, second by Rep. Colwell, unanimous).

REPORTS FROM COUNCIL COMMITTEES

- **Personnel Committee**

Speaker Saxl, Chair of the Personnel Committee, reported that the Committee had approved Personnel Policies for partisan and non-partisan staff and committee clerks through the Legislative Council and the Presiding Officers' actions.

Speaker Saxl reported that the performance reviews of Lynn Randall, State Law Librarian, Paul Mayotte, Director, Legislative Information Services and David Boulter, Executive Director of the Legislative Council were completed and that Margaret Matheson's, Revisor

of Statutes, review was completed last month by the Personnel Committee. Ms. Matheson's 3-year term as Revisor of Statutes has expired and Ms. Matheson seeks reappointment to the position.

Motion: That upon the unanimous recommendation of the Personnel Committee, Margaret Matheson be reappointed to a 3-year term as the Revisor of Statutes, the date of re-appointment being retroactive to November 8, 2002. (Motion by Speaker Saxl, second by Rep. Bruno, unanimous).

Note: As of the meeting, the Personnel Committee had recommended to the presiding officers that the revised personnel policies handbook for Leadership staff and Clerk's Office and Secretary's Office staff be approved, but the presiding officers had not acted on the recommendation. Therefore, only the personnel policies for Legislative Council staff and Committee Clerks have formally been approved.

- **Technology and Migration Committee**

The Technology and Migration Committee did not meet this month.

- **Space Committee**

The Space Committee did not meet this month.

OLD BUSINESS

None

NEW BUSINESS

Item #3: Recommended Changes to Legislative Emergency Procedures

Mr. Boulter said Michael Cote, Senior Sgt-at-Arms, submitted information regarding recommended changes to Legislative Emergency Procedures. He believed an appropriate action would be to refer the matter the Space Committee for review.

Motion: That the recommended changes to legislative emergency procedures be referred to the Space Committee for review and recommendation. (Motion by Rep. Colwell, second by Rep. Schneider, unanimous).

ANNOUNCEMENTS AND REMARKS

None.

ADJOURNMENT

That the Legislative Council meeting was adjourned at 1:47 p.m. (Motion by Sen. Davis, second by Rep. Norbert, unanimous).



MAINE STATE LEGISLATURE
Augusta, Maine 04333

**LEGISLATIVE COUNCIL
MEETING SUMMARY
Organizational Meeting
December 4, 2002**

CALL TO ORDER

Pursuant to the Legislative Council's Rules of Procedure, Senate President Daggett called the first meeting of the 121st Legislative Council to order at 6:28 p.m. in the Legislative Council Chamber.

ROLL CALL

Senators:	Sen. Daggett, Sen. Treat, Sen. Davis, Sen. Gagnon, Sen. Woodcock
Representatives:	Speaker Colwell, Rep. Richardson, Rep. Bruno, Rep. Duplessie, Rep. Bowles
Legislative Officers:	Joy O'Brien, Secretary of the Senate Millicent MacFarland, Clerk of the House David Shiah, Assistant Clerk of the House David Boulter, Executive Director, Legislative Council David Elliott, Director, Office of Policy and Legal Analysis Margaret Matheson, Revisor of Statutes

ELECTION OF OFFICERS FOR THE 121ST LEGISLATIVE COUNCIL

Senate President Daggett, declaring that a quorum was present, called the meeting of the 121st Legislative Council for the purpose of electing a Council chair and vice-chair, adoption of rules of procedure and such other matters as may be properly before the Legislative Council. Senate President Daggett noted that the following rules govern election of Council officers:

Rule #2 provides that the position of Chair alternate in succeeding biennial sessions between a member from the Senate and a member from the House. Thus, the Chair for this new Council would be a member from the Senate.

Rule #3 provides that the Vice-Chair shall not be a member of the same body of the Legislature as the Chair. Thus, the Vice-Chair for the 121st Council must be a member of the House.

President Daggett stated that unless there was objection, the Council would proceed with the election of a chair and vice-chair by a showing of hands. After the vote, the newly elected Chair would preside over the remainder of the meeting.

Hearing no objection, President Daggett opened the floor for nominations for the position of Chair of the Legislative Council.

Motion: That President Beverly C. Daggett be nominated for the position of Council Chair. (Motion by Sen. Treat, second by Sen. Davis).

President Daggett asked if there were further nominations. Hearing none, the nominations closed. The vote for Council Chair was taken, unanimous. President Daggett is appointed as Chair of the Legislative Council.

President Daggett then proceeded to open the floor for nominations for the position of Vice-Chair.

Motion: That Speaker Colwell be nominated as Vice-Chair of the Legislative Council. (Motion by Rep. Richardson, second by Rep. Bruno).

President Daggett asked if there were further nominations. Hearing none, the nominations closed. The vote for Council Vice-Chair was taken, unanimous. Speaker Colwell is appointed as Vice-Chair of the Legislative Council.

ADOPTION OF RULES OF PROCEDURE

- Proposed Rules of Procedure

Executive Director Boulter explained that the Legislative Council operates on a general set of rules and the attached proposed Rules of Procedure codify the existing practices.

Motion: That the Council adopt the proposed Rules of Procedure for the 121st Legislative Council. (Motion by Sen. Gagnon, second by Rep. Bruno, unanimous).

REPORTS FROM EXECUTIVE DIRECTOR AND COUNCIL STAFF OFFICES

None

REPORTS FROM COUNCIL COMMITTEES

None

OLD BUSINESS

None.

NEW BUSINESS

Item #1: Establishment of Initial Salary of Legislative and Constitutional Officers (as necessary)

Executive Director Boulter explained that under law, the Legislative Council has the responsibility to set initial salaries for constitutional officers and certain legislative officers, notably the Clerk of the House and the Secretary of the Senate. This requirement does not apply for a re-appointment where there has not been a break in service. Therefore, the Secretary of the Senate is the only position requiring Council action at this time.

Motion: That the Secretary of the Senate's salary be established at Range 14, Step 8 of the Legislative Council salary scale. (Motion by President Daggett, second by Speaker Colwell, unanimous).

ANNOUNCEMENTS AND REMARKS

None.

ADJOURNMENT

That the Legislative Council meeting was adjourned at 6:37 p.m. (Motion by President Daggett, second by Rep. Richardson, unanimous).

**MAINE LEGISLATIVE COUNCIL
121st LEGISLATURE**

**RULES OF PROCEDURE
Adopted by the Legislative Council
December 4, 2002**

1. **Organizational Meeting:** The President of the Senate shall call the biennial organizational meeting of the Legislative Council into session in December following the convening of the new Legislature and shall preside until the election of the Chair.
2. **Chair:** At its organizational meeting, the Council shall elect a Chair, who shall preside at all meetings of the Council when present. The position of Chair must alternate in succeeding biennial sessions between a member from the Senate and a member from the House. Therefore, for the 121st Legislature, the Chair must be a member from the Senate.
3. **Vice Chair:** The Council shall elect a Vice Chair who shall act as Chair in the absence of the Chair. The Vice Chair may not be a member of the same house of the Legislature as that of the Chair.
4. **Quorum:** The Council may conduct business only when a quorum of members is present, which consists of six members. Any action of the Council requires the affirmative votes of not less than 6 members.
5. **Meetings:** The Council's meeting schedule is determined by majority vote of the Council or by call of the Chair. The Council shall meet no less than once per month.
6. **Meeting Location:** The official meeting location of the Legislative Council is the Legislative Council Chambers, and the Chair shall convene all meetings there unless an alternative location is specified in the call of the meeting.
7. **Meeting Notice:** The Chair shall issue written calls for all regular and special meetings of the Council. The call must give the date, time, and place of the meeting and such other information as the Chair directs.
8. **Public Meetings:** All meetings of the Council are public meetings except for executive sessions; The Council may not take any final action in an executive session.
9. **Council Committees:** The Chair shall make all appointments to committees established by the Council. The committees must include at least three members and must have representation from each of the two major political parties. At a minimum, the Council shall establish Personnel and Legislative Space committees.

10. **Approval of Step Increases:** All step increases that require a vote of the 121st Legislative Council are approved for the term of this Council if, after review, a majority of the Personnel Committee approves a step increase.
11. **Council Records:** The Executive Director of the Legislative Council shall prepare, in consultation with the chair, meeting notices and a Council agenda in advance of each meeting. The executive director shall also maintain an accurate, permanent public record of all meetings, proceedings and votes of the Council.
12. **Order of Business:** The regular order of business of the Council is:
1. Call to Order
 2. Roll Call
 3. Summary of Previous Council Meeting
 4. Executive Director's Report
 5. Reports from Council Committees
 6. Old Business
 7. New Business
 8. Announcements and Remarks
 9. Adjournment
- Items not on the Council agenda may be considered only by leave of the Council's presiding officer or by a majority vote of the Council.
13. **Circulation of Ballots:** The Chair and the Vice-chair, jointly, may authorize a Council member to circulate a ballot for Council action when they determine that the matter to be voted on must be decided before the next regular meeting of the Council. The executive director shall prepare all ballots authorized for circulation among Council members. A reasonable effort shall be made to provide each member an opportunity to vote on the ballot. Actions taken by Council ballot must be reported at the next or following regular meeting of the Council.
14. **Roll Call Votes:** Votes requiring a roll-call may be made by the executive director calling the roll, by a showing of hands or by electronic tabulation as long as the matter being voted on, how each member voted and the result of the vote are accurately determined and recorded. All decisions of the Council regarding the introduction of bills or other measures must be by a roll call vote.
15. **Statements to News Organizations:** Only the Council Chair, executive director or persons authorized by the Chair may make official statements to news organizations or to the public on behalf of the Legislative Council.
16. **Rules of Order:** The Council's presiding officer shall conduct the proceedings of the Council in accordance with Robert's Rules of Order except as otherwise specified in the Council's own rules or by law.

17. **Amendments to Rules:** The Council may amend these rules, with the exception of Rules 2 and 4, upon a two-thirds vote of the Council members present and voting, provided that a vote to amend is by at least six affirmative votes. Rules 2 and 4 may not be amended during the term of the 121st Legislative Council.

18. **Capital Area Advisory Committee:** The Council establishes a Capital Area Advisory Committee ("Advisory Committee") to: facilitate communication between the Maine Legislature, the City of Augusta and area residents; and advise the Legislative Council on proposed actions relating to Capitol Park. The Advisory Committee is made up of 9 members as follows:

- a. Two members of the Legislative Council, appointed by the Chair.
One member must be a member of the Senate and one member must be a member of the House of Representatives. The members may not be from the same political party;
- b. The Chair of the State House and Capitol Park Commission or the Chair's designee;
- c. Two legislators whose legislative districts include the City of Augusta or proximate communities, appointed by the Chair;
- d. Two members of the public who reside in the City of Augusta, appointed by the Mayor of Augusta; and
- e. Two members of the public who reside in Kennebec County, appointed by the Chair of the Kennebec County legislative delegation.

Members are appointed for a term coterminous with the Council. The Council Chair shall appoint a chair from among the Advisory Committee members.

Meetings of the Advisory Committee may be called by the Chair of the Advisory Committee or by a majority of the Advisory Committee. All meetings of the Advisory Committee are public meetings. Notice of meetings must include written notice to the Kennebec County legislative delegation and to the Executive Director of the Legislative Council.

19. **Decisions Affecting Capitol Park:** Any action affecting Capitol Park, be it a temporary or permanent alteration or change in use, must be approved by a majority of the Council, consistent with these rules and 3 MRSA § 162 (17). Prior to a final decision on any proposal affecting Capitol Park, the Council shall seek the advice and recommendation of the Advisory Committee.

Adopted by the 121st Legislative Council on December 4, 2002 in Augusta, Maine.

Attested: _____
Executive Director

Fiscal Briefing for the Legislative Council

Legislative Council Meeting
December 16, 2002

*Prepared by the
Office of Fiscal & Program Review*

**1. General Fund and Highway Fund Revenue Variances for November 2002
(Reflects August 2002 Revenue Forecasting Committee Revisions)
(See Attached Summary of November Revenue)**

- General Fund – Under Budget \$7.76M in Nov./Still above for FY-T-D by \$13.97M
 - Highway Fund – Under Budget by \$2.24M in Nov./Under for FY-T-D by \$0.55M
- Individual Income Tax and Sales Tax were under budget for the month by \$8.7 and \$3.3 million, respectively. The large positive variance through October is beginning to fade.

2. Revenue Forecasting Committee – December 2002 Revenue Projections

- General Fund Revenue was revised downward by an additional \$43.6 million for FY03
- 2004-2005 Biennium General Fund revenue was reduced from the previous forecast by \$119.7 million, increasing the structural gap - currently estimated at approximately \$1 billion

(Attached is the interim report – Final Detail Report will be out in early January)

3. Cash Pool Status

- October 2002 Cash Pool Summary attached – General Fund \$34.9 million positive which reflects the TAN issue in August; absent the TAN balance and the other reserve fund balances the General Fund was \$259.5 million negative

November 2002

Revenue Variance Reports

General Fund

Highway Fund

(Note: Does not reflect the December 2002
Revenue Forecasting Committee Changes)

General Fund and Highway Fund Revenue

Fiscal Year Ending June 30, 2003

Based on 120th Legislature 2nd Regular Session Changes and August 2002 Revenue Reprojections

NOVEMBER 2002

FUND Revenue Line		Nov. '02 Budget	Nov. '02 Actual	Nov. '02 Var.	FY03 Budget YTD	FY03 Actual YTD	FY03 Variance YTD	FY03 Budgeted Totals
GF	Sales and Use Tax	73,003,391	69,672,169.13	(3,331,221.87)	320,348,578	316,850,318.66	(3,498,259.34)	875,184,846
GF	Individual Income Tax	94,183,342	85,520,019.72	(8,663,322.28)	379,318,398	392,408,114.08	13,089,716.08	1,104,663,826
GF	Corporate Income Tax	(1,570,916)	187,515.46	1,758,431.46	19,196,448	23,423,966.05	4,227,518.05	80,489,997
GF	Cigarette and Tobacco Tax	8,155,155	7,536,734.55	(618,420.45)	44,342,657	43,455,057.26	(887,599.74)	105,684,505
GF	Public Utilities Tax	0	0.00	0.00	(150,000)	(142,550.93)	7,449.07	30,400,000
GF	Insurance Companies Tax	3,696,695	4,203,767.80	507,072.80	9,332,218	11,329,701.79	1,997,483.79	56,646,354
GF	Estate Tax	1,984,422	1,471,816.15	(512,605.85)	6,157,773	5,039,360.97	(1,118,412.03)	24,017,568
GF	Property Tax - Unorganized Territory	0	0.00	0.00	8,351,006	9,300,585.00	949,579.00	9,669,807
GF	Income from Investments	179,033	389,107.32	210,074.32	811,036	1,135,438.56	324,402.56	2,291,000
GF	Transfer to Municipal Revenue Sharing	(8,446,407)	(7,924,364.92)	522,042.08	(36,662,036)	(37,366,802.33)	(704,766.33)	(105,519,016)
GF	Transfer from Liquor Commission	2,041,115	2,279,131.01	238,016.01	11,321,023	11,708,960.57	387,937.57	26,290,223
GF	Transfer from Lottery Commission	3,431,749	2,998,173.71	(433,575.29)	16,797,721	15,998,819.60	(798,901.40)	39,335,176
GF	Other Revenue	11,420,557	13,976,507.29	2,555,950.29	58,254,020	58,252,308.50	(1,711.50)	152,458,488
GF	Totals	188,078,136	180,310,577.22	(7,767,558.78)	837,418,842	851,393,277.78	13,974,435.78	2,401,612,774
HF	Fuel Taxes	16,513,359	15,157,146.84	(1,356,212.16)	65,837,652	64,665,868.11	(1,171,783.89)	187,500,000
HF	Motor Vehicle Registration and Fees	8,004,285	5,402,175.37	(2,602,109.63)	32,873,283	30,995,200.88	(1,878,082.12)	81,690,336
HF	Inspection Fees	316,804	236,699.80	(80,104.20)	1,225,110	1,654,459.28	429,349.28	3,683,907
HF	Fines	249,313	214,360.35	(34,952.65)	1,034,004	1,066,511.93	32,507.93	2,865,645
HF	Income from Investments	140,000	117,183.51	(22,816.49)	629,640	558,319.44	(71,320.56)	1,591,000
HF	Other Revenue	600,202	2,455,049.79	1,854,847.79	2,669,594	4,775,729.62	2,106,135.62	6,781,644
HF	Totals	25,823,963	23,582,615.66	(2,241,347.34)	104,269,283	103,716,089.26	(553,193.74)	284,112,532

STATE OF MAINE
Undedicated Revenues - General Fund
For the Fifth Month Ended November 30, 2002

PRELIMINARY AND TENTATIVE

Nov-02

FOR VERIFICATION PURPOSES & INTERNAL USE ONLY

	Month				Year to Date				Total Budgeted Fiscal Year Ending 6-30-2003
	Actual	Budget	Variance Over/(under)	Percent Over/(under)	Actual	Budget	Variance Over/(under)	Percent Over/(under)	
Sales and Use Tax	69,672,169	73,003,391	(3,331,222)	(4.6%)	316,850,319	320,348,578	(3,498,259)	(1.1%)	875,184,846
Individual Income Tax	85,520,020	94,183,342	(8,663,322)	(9.2%)	392,408,114	379,318,398	13,089,716	3.5%	1,104,663,826
Corporate Income Tax	187,515	(1,570,916)	1,758,431	(111.9%)	23,423,966	19,196,448	4,227,518	22.0%	80,489,997
Cigarette and Tobacco Tax	7,536,735	8,155,155	(618,420)	(7.6%)	43,455,057	44,342,657	(887,600)	(2.0%)	105,684,505
Public Utilities Tax	-	-	-	-	(142,551)	(150,000)	7,449	(5.0%)	30,400,000
Insurance Companies Tax	4,203,768	3,696,695	507,073	13.7%	11,329,702	9,332,218	1,997,484	21.4%	56,646,354
Estate Tax	1,471,816	1,984,422	(512,606)	(25.8%)	5,039,361	6,157,773	(1,118,412)	(18.2%)	24,017,568
Property Tax - Unorg Territory	-	-	-	-	9,300,585	8,351,006	949,579	11.4%	9,669,807
Income from Investments	389,107	179,033	210,074	117.3%	1,135,439	811,036	324,403	40.0%	2,291,000
Transfer to Municipal Revenue Sharing	(7,924,365)	(8,446,407)	522,042	(6.2%)	(37,366,802)	(36,662,036)	(704,766)	1.9%	(105,519,016)
Transfer from Liquor Commission	2,279,131	2,041,115	238,016	11.7%	11,708,961	11,321,023	387,938	3.4%	26,290,223
Transfer from Lottery Commission	2,998,174	3,431,749	(433,575)	(12.6%)	15,998,820	16,797,721	(798,901)	(4.8%)	39,335,176
Other Revenues	13,976,507	11,420,557	2,555,950	22.4%	58,252,309	58,254,020	(1,711)	(0.0%)	152,458,488
Total Collected	180,310,577	188,078,136	(7,767,559)	(4.1%)	851,393,278	837,418,842	13,974,436	1.7%	2,401,612,774

NOTES: (1) Included in the above is \$7,924,365 for the month and \$37,366,802 year to date, that was set aside for Revenue Sharing with cities and towns.

(2) Figures reflect estimates of the Maine State Revenue Forecasting Committee approved in August 2002.

(3) This report has been prepared from preliminary month end figures and is subject to change.

STATE OF MAINE

Undedicated Revenues - General Fund
For the Fifth Month Ended November 30, 2002 and 2001

PRELIMINARY AND TENTATIVE

FOR VERIFICATION PURPOSES & INTERNAL USE ONLY

EXHIBIT II

	Month				Year to Date			
	Current Year	Prior Year	Variance Over/ (under)	Percent Over/ (under)	Current Year	Prior Year	Variance Over/ (under)	Percent Over/ (under)
Sales and Use Tax	69,672,169	74,098,924	(4,426,755)	(6.0%)	316,850,319	305,884,899	10,965,420	3.6%
Individual Income Tax	85,520,020	91,853,830	(6,333,810)	(6.9%)	392,408,114	383,352,687	9,055,427	2.4%
Corporate Income Tax	187,515	(1,509,948)	1,697,463	(112.4%)	23,423,966	18,528,790	4,895,176	26.4%
Cigarette and Tobacco Tax	7,536,735	6,857,873	678,862	9.9%	43,455,057	40,109,664	3,345,393	8.3%
Public Utilities Tax	-	1,122	(1,122)	(100.0%)	(142,551)	(138,623)	(3,928)	2.8%
Insurance Companies Tax	4,203,768	2,638,401	1,565,367	59.3%	11,329,702	8,926,164	2,403,538	26.9%
Estate Tax	1,471,816	1,164,693	307,123	26.4%	5,039,361	1,920,864	3,118,497	162.3%
Property Tax - Unorg Territory	-	-	-	-	9,300,585	8,827,588	472,997	5.4%
Income from Investments	389,107	544,748	(155,641)	(28.6%)	1,135,439	2,181,569	(1,046,130)	(48.0%)
Transfer to Municipal Revenue Sharing	(7,924,365)	(8,386,583)	462,218	(5.5%)	(37,366,802)	(36,096,085)	(1,270,717)	3.5%
Transfer from Liquor Commission	2,279,131	2,064,412	214,719	10.4%	11,708,961	11,315,502	393,459	3.5%
Transfer from Lottery Commission	2,998,174	3,619,189	(621,015)	(17.2%)	15,998,820	16,476,513	(477,693)	(2.9%)
Other Revenues	13,976,507	9,721,861	4,254,646	43.8%	58,252,309	56,344,558	1,907,751	3.4%
Total Collected	180,310,577	182,668,522	(2,357,945)	(1.3%)	851,393,278	817,634,090	33,759,188	4.1%

PRELIMINARY AND TENTATIVE

EXHIBIT III

FOR VERIFICATION PURPOSES & INTERNAL USE ONLY

Detail of Other Revenues	Month				Year to Date				Total Budgeted Fiscal Year Ending 6-30-2003
	Actual	Budget	Variance Over/(under)	Percent Over/(under)	Actual	Budget	Variance Over/(under)	Percent Over/(under)	
0100's All Others	1,462,295	1,392,179	70,116	5.0%	4,784,400	4,725,309	59,091	1.3%	15,272,386
0300's Aeronautical Gas Tax	120,300	131,560	(11,260)	(8.6%)	433,048	488,036	(54,988)	(11.3%)	920,000
0400's Alcohol Excise Tax	919,465	897,421	22,044	2.5%	5,656,387	4,834,372	822,015	17.0%	11,356,500
0700's Corporation Taxes	54,940	61,649	(6,709)	(10.9%)	493,755	385,248	108,507	28.2%	3,231,200
1000's Banking Taxes	664,890	722,784	(57,894)	(8.0%)	3,569,060	3,458,720	110,340	3.2%	8,655,000
1100's Alcoholic Beverages	180,065	153,107	26,958	17.6%	1,201,078	1,069,520	131,558	12.3%	3,090,900
1200's Amusements Tax	-	887	(887)	(100.0%)	2,620	1,774	846	47.7%	4,000
1300's Harness Racing Parimutuels	73,665	61,000	12,665	20.8%	496,448	597,100	(100,652)	(16.9%)	1,128,200
1400's Business Taxes	451,537	164,947	286,590	173.7%	1,306,844	1,257,200	49,644	3.9%	4,756,793
1500's Motor Vehicle Licenses	255,398	111,333	144,065	129.4%	764,803	823,917	(59,114)	(7.2%)	2,665,374
1700's Inland Fisheries & Wildlife	4,433,625	1,234,500	3,199,125	259.1%	7,012,568	5,607,600	1,404,968	25.1%	14,320,800
1900's Hospital Excise & Other	42,139	41,779	360	0.9%	197,778	211,222	(13,444)	(6.4%)	568,415
2000's Fines, Forfeits & Penalties	2,240,802	2,125,285	115,517	5.4%	10,474,751	10,608,067	(133,316)	(1.3%)	26,116,324
2200's Federal Revenues	1,759,348	1,741,795	17,553	1.0%	7,113,698	8,708,355	(1,594,657)	(18.3%)	20,805,074
2300's County Revenues	-	-	-	-	810	-	810	-	-
2400's Revenues from Cities & Towns	-	-	-	-	(32)	-	(32)	-	-
2500's Revenues from Private Sources	247,673	187,666	60,007	32.0%	836,295	981,333	(145,038)	(14.8%)	2,338,020
2600's Current Service Charges	124,746	1,314,181	(1,189,435)	(90.5%)	8,244,750	9,245,540	(1,000,790)	(10.8%)	22,037,221
2700's Transfers from Other Funds	943,619	1,077,484	(133,865)	(12.4%)	5,645,338	5,194,707	450,631	8.7%	15,079,301
2800's Sales of Property & Equipment	2,000	1,000	1,000	100.0%	17,910	56,000	(38,090)	(68.0%)	113,000
Total Other Revenues	13,976,507	11,420,557	2,555,950	22.4%	58,252,309	58,254,020	(1,711)	(0.0%)	152,458,508

PRELIMINARY AND TENTATIVE

EXHIBIT IV

FOR VERIFICATION PURPOSES & INTERNAL USE ONLY

Detail of Other Revenues	Month				Year to Date			
	Current Year	Prior Year	Variance Over/(under)	Percent Over/(under)	Current Year	Prior Year	Variance Over/(under)	Percent Over/under
0100's All Others	1,462,295	1,063,517	398,778	37.5%	4,784,400	3,842,178	942,222	24.5%
0300's Aeronautical Gas Tax	120,300	36,646	83,654	228.3%	433,048	434,370	(1,322)	(0.3%)
0400's Alcohol Excise Tax	919,465	782,787	136,678	17.5%	5,656,387	5,367,348	289,039	5.4%
0700's Corporation Taxes	54,940	49,839	5,101	10.2%	493,755	436,863	56,892	13.0%
1000's Banking Taxes	664,890	831,950	(167,060)	(20.1%)	3,569,060	3,598,400	(29,340)	(0.8%)
1100's Alcoholic Beverages	180,065	210,275	(30,210)	(14.4%)	1,201,078	1,096,293	104,785	9.6%
1200's Amusements Tax	-	810	(810)	(100.0%)	2,620	3,280	(660)	(20.1%)
1300's Harness Racing Parimutuels	73,665	173,869	(100,204)	(57.6%)	496,448	483,769	12,679	2.6%
1400's Business Taxes	451,537	168,507	283,030	168.0%	1,306,844	1,110,054	196,790	17.7%
1500's Motor Vehicle Licenses	255,398	141,429	113,969	80.6%	764,803	601,406	163,397	27.2%
1700's Inland Fisheries & Wildlife	4,433,625	982,452	3,451,173	351.3%	7,012,568	6,367,414	645,154	10.1%
1900's Hospital Excise & Other	42,139	26,753	15,386	57.5%	197,778	114,155	83,623	73.3%
2000's Fines, Forfeits & Penalties	2,240,802	1,611,671	629,131	39.0%	10,474,751	10,354,468	120,283	1.2%
2200's Federal Revenues	1,759,348	1,389,862	369,486	26.6%	7,113,698	8,768,249	(1,654,551)	(18.9%)
2300's County Revenues	-	-	-	-	810	13,496	(12,686)	(94.0%)
2400's Revenues from Cities & Towns	-	-	-	-	(32)	-	(32)	-
2500's Revenues from Private Sources	247,673	108,059	139,614	129.2%	836,295	927,747	(91,452)	(9.9%)
2600's Current Service Charges	124,746	1,139,811	(1,015,065)	(89.1%)	8,244,750	6,563,381	1,681,369	25.6%
2700's Transfers from Other Funds	943,619	1,002,575	(58,956)	(5.9%)	5,645,338	6,231,708	(586,370)	(9.4%)
2800's Sales of Property & Equipment	2,000	1,048	952	90.8%	17,910	29,978	(12,068)	(40.3%)
Total Other Revenues	13,976,507	9,721,860	4,254,647	43.8%	58,252,309	56,344,557	1,907,752	3.4%

PRELIMINARY AND TENTATIVE
FOR VERIFICATION PURPOSES & INTERNAL USE ONLY

Exhibit V

	Month				Year to Date				Total Budgeted Fiscal Year Ending 6-30-2003
	Actual	Budget	Variance Over/(under)	Percent Over/(under)	Actual	Budget	Variance Over/(under)	Percent Over/(under)	
Fuel Taxes	15,157,147	16,513,359	(1,356,212)	(8.2%)	64,665,868	65,837,652	(1,171,784)	(1.8%)	187,500,000
Motor Vehicle Registration & Fees	5,402,175	8,004,285	(2,602,110)	(32.5%)	30,995,201	32,873,283	(1,878,082)	(5.7%)	81,690,336
Inspection Fees	236,700	316,804	(80,104)	(25.3%)	1,654,459	1,225,110	429,349	35.0%	3,683,907
All Other	2,786,594	989,515	1,797,079	181.6%	6,400,561	4,333,238	2,067,323	47.7%	11,238,289
Total Revenue	23,582,616	25,823,963	(2,241,347)	(8.7%)	103,716,089	104,269,283	(553,194)	(0.5%)	284,112,532

NOTE: This report has been prepared from preliminary month end figures and is subject to change.

Revenue Forecasting Committee December 2002 Projections

Summary Tables

General Fund

Highway Fund

Tobacco Settlement Funds
(Fund for a Healthy Maine)

GENERAL FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - DECEMBER 2002

Source	FY99 Actual	FY00 Actual	% Chg.	FY01 Actual	% Chg.	FY02 Actual	% Chg.	FY03 Budget	% Chg.	Leg. Changes ¹	Recom. Chg.	FY03 Reproject	% Chg.
Sales and Use Tax	\$814,321,914	\$847,355,132	4.1%	\$817,781,460	-3.5%	\$836,134,084	2.2%	\$875,184,846	4.7%	\$79,000	(\$7,055,568)	\$868,208,278	3.8%
Individual Income Tax	\$1,004,937,795	\$1,074,621,614	6.9%	\$1,167,748,898	8.7%	\$1,069,834,791	-8.4%	\$1,104,663,826	3.3%	\$4,890,044	(\$39,525,174)	\$1,070,028,696	0.0%
Corporate Income Tax	\$144,942,751	\$150,045,645	3.5%	\$96,102,796	-36.0%	\$77,366,103	-19.5%	\$80,489,997	4.0%	\$12,574,400	\$0	\$93,064,397	20.3%
Cigarette and Tobacco Tax	\$77,750,154	\$78,343,171	0.8%	\$77,501,831	-1.1%	\$97,599,599	25.9%	\$105,684,505	8.3%	\$0	\$0	\$105,684,505	8.3%
Public Utilities Tax	\$29,597,745	\$15,786,931	-46.7%	\$29,777,365	88.6%	\$30,479,783	2.4%	\$30,400,000	-0.3%	\$0	\$0	\$30,400,000	-0.3%
Insurance Companies Tax	\$37,730,425	\$42,697,847	13.2%	\$43,576,502	2.1%	\$55,244,333	26.8%	\$56,646,354	2.5%	\$0	\$0	\$56,646,354	2.5%
Estate Tax	\$29,768,114	\$58,803,666	97.5%	\$30,616,759	-47.9%	\$23,420,240	-23.5%	\$24,017,568	2.6%	\$0	(\$195,876)	\$23,821,692	1.7%
Property Tax - Unorganized Territory	\$9,372,295	\$9,333,204	-0.4%	\$9,808,431	5.1%	\$10,333,984	5.4%	\$9,669,807	-6.4%	\$0	\$492,738	\$10,162,545	-1.7%
Income from Investments	\$19,759,308	\$20,312,457	2.8%	\$16,365,950	-19.4%	\$3,829,521	-76.6%	\$2,291,000	-40.2%	\$0	(\$402,000)	\$1,889,000	-50.7%
Transfer to Municipal Rev. Sharing	(\$98,997,466)	(\$105,673,142)	6.7%	(\$106,163,291)	0.5%	(\$101,150,084)	-4.7%	(\$105,519,016)	4.3%	(\$452,972)	\$2,375,618	(\$103,596,370)	2.4%
Transfer from Liquor Commission	\$19,794,778	\$23,226,758	17.3%	\$24,231,271	4.3%	\$25,168,524	3.9%	\$26,290,223	4.5%	\$0	\$0	\$26,290,223	4.5%
Transfer from Lottery Commission	\$39,600,544	\$38,138,174	-3.7%	\$35,677,299	-6.5%	\$39,317,891	10.2%	\$39,335,176	0.0%	\$0	\$0	\$39,335,176	0.0%
Other Revenues	\$131,139,945	\$142,225,349	8.5%	\$147,603,081	3.8%	\$164,081,792	11.2%	\$152,458,488	-7.1%	\$6,990,401	\$676,543	\$160,125,432	-2.4%
Total - General Fund Revenue	\$2,259,718,302	\$2,395,216,806	6.0%	\$2,390,628,351	-0.2%	\$2,331,660,562	-2.5%	\$2,401,612,774	3.0%	\$24,080,873	(\$43,633,719)	\$2,382,059,928	2.2%
Change in Biennial Totals										\$24,080,873	(\$43,633,719)		

¹ Legislative Changes reflect those revenue changes enacted in the 120th Legislature's 1st Special Session - November 13, 2002 (Only FY03 changes are detailed in these tables)

Updated: 12/10/02

GENERAL FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - DECEMBER 2002

Source	FY04 Budget	% Chg.	Recom. Chg.	FY04 Reproject	% Chg.	FY05 Budget	% Chg.	Recom. Chg.	FY05 Reproject	% Chg.	FY06 Projection	% Chg.	FY07 Projection	% Chg.
Sales and Use Tax	\$909,476,411	3.9%	(\$10,930,000)	\$898,546,411	3.5%	\$951,391,233	4.6%	(\$13,760,000)	\$937,631,233	4.3%	\$981,120,000	4.6%	\$1,026,640,000	4.6%
Individual Income Tax	\$1,178,814,250	6.7%	(\$49,065,010)	\$1,129,749,240	5.6%	\$1,241,395,268	5.3%	(\$51,950,059)	\$1,189,445,209	5.3%	\$1,254,107,467	5.4%	\$1,330,608,023	6.1%
Corporate Income Tax	\$96,581,934	20.0%	\$0	\$96,581,934	3.8%	\$104,126,081	7.8%	\$0	\$104,126,081	7.8%	\$105,120,000	1.0%	\$106,840,000	1.6%
Cigarette and Tobacco Tax	\$104,958,014	-0.7%	\$0	\$104,958,014	-0.7%	\$104,139,047	-0.8%	\$0	\$104,139,047	-0.8%	\$103,230,241	-0.9%	\$102,333,838	-0.9%
Public Utilities Tax	\$29,500,000	-3.0%	\$0	\$29,500,000	-3.0%	\$28,600,000	-3.1%	\$0	\$28,600,000	-3.1%	\$27,700,000	-3.1%	\$26,700,000	-3.6%
Insurance Companies Tax	\$57,072,225	0.8%	\$0	\$57,072,225	0.8%	\$59,510,321	4.3%	\$0	\$59,510,321	4.3%	\$60,998,001	2.5%	\$62,522,873	2.5%
Estate Tax	\$13,828,190	-42.4%	(\$227,892)	\$13,600,298	-42.9%	\$6,415,601	-53.6%	(\$146,800)	\$6,268,801	-53.9%	\$473,745	-92.4%	\$0	-100.0%
Property Tax - Unorg. Territory	\$10,466,939	8.2%	(\$46,939)	\$10,420,000	2.5%	\$11,055,885	5.6%	(\$421,746)	\$10,634,139	2.1%	\$10,923,442	2.7%	\$11,220,615	2.7%
Income from Investments	\$2,565,000	12.0%	(\$913,000)	\$1,652,000	-12.5%	\$2,910,000	13.5%	(\$492,000)	\$2,418,000	46.4%	\$2,418,000	0.0%	\$2,418,000	0.0%
Transfer to Municipal Rev. Sharing	(\$113,613,375)	7.7%	\$3,119,741	(\$110,493,634)	6.7%	(\$119,439,454)	5.1%	\$3,416,924	(\$116,022,530)	5.0%	(\$121,698,068)	4.9%	(\$128,132,577)	5.3%
Transfer from Liquor Commission	\$26,934,677	2.5%	(\$776,794)	\$26,157,883	-0.5%	\$27,288,730	1.3%	(\$440,538)	\$26,848,192	2.6%	\$26,848,192	0.0%	\$26,848,192	0.0%
Transfer from Lottery Commission	\$39,335,176	0.0%	(\$13,291)	\$39,321,885	0.0%	\$39,335,176	0.0%	\$1,087,917	\$40,423,093	2.8%	\$40,423,093	0.0%	\$40,423,093	0.0%
Other Revenues	\$144,704,689	-5.1%	\$942,259	\$145,646,948	-9.0%	\$146,231,043	1.1%	\$960,722	\$147,191,765	1.1%	\$148,967,759	1.2%	\$150,739,463	1.2%
Total - General Fund Revenue	\$2,500,624,130	4.1%	(\$57,910,926)	\$2,442,713,204	2.5%	\$2,602,958,931	4.1%	(\$61,745,580)	\$2,541,213,351	4.0%	\$2,640,631,872	3.9%	\$2,759,161,520	4.5%
Change in Biennial Totals								(\$119,656,506)						

HIGHWAY FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - DECEMBER 2002

Source	FY99 Actual	FY00 Actual	% Chg.	FY01 Actual	% Chg.	FY02 Actual	% Chg.	FY03 Budget	% Chg.	Recom. Chg.	FY03 Revised	% Chg.
Fuel Taxes	\$166,759,976	\$174,691,255	4.8%	\$182,502,097	4.5%	\$184,732,999	1.2%	\$187,500,000	1.5%	\$0	\$187,500,000	1.5%
Motor Vehicle Registration & Fees	\$64,296,262	\$74,079,566	15.2%	\$76,317,758	3.0%	\$83,285,014	9.1%	\$79,432,966	-4.6%	\$0	\$79,432,966	-4.6%
Inspection Fees	\$2,138,368	\$2,625,496	22.8%	\$2,491,237	-5.1%	\$3,412,662	37.0%	\$3,683,907	7.9%	\$0	\$3,683,907	7.9%
Fines, Forfeits and Penalties	\$1,952,025	\$2,145,602	9.9%	\$2,097,609	-2.2%	\$1,958,350	-6.6%	\$2,865,645	46.3%	\$191,535	\$3,057,180	56.1%
Income from Investments	\$3,031,603	\$3,997,979	31.9%	\$4,241,955	6.1%	\$2,857,209	-32.6%	\$1,591,000	-44.3%	(\$173,000)	\$1,418,000	-50.4%
Other Revenues	\$5,985,729	\$5,379,040	-10.1%	\$5,467,245	1.6%	\$5,737,782	4.9%	\$9,039,014	57.5%	\$25,500	\$9,064,514	58.0%
Total - Highway Fund Revenue	\$244,163,962	\$262,918,937	7.7%	\$273,117,902	3.9%	\$281,984,017	3.2%	\$284,112,532	0.8%	\$44,035	\$284,156,567	0.8%
Change in Biennial Totals										\$44,035		

Updated: 12/10/02

HIGHWAY FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - DECEMBER 2002

Source	FY04 Budget	% Chg.	Recom. Chg.	FY04 Revised	% Chg.	FY05 Budget	% Chg.	Recom. Chg.	FY05 Revised	% Chg.	FY06 Project	% Chg.	FY07 Project	% Chg.
Fuel Taxes	\$211,786,463	13.0%	\$0	\$211,786,463	13.0%	\$220,565,566	4.1%	\$0	\$220,565,566	4.1%	\$228,396,861	3.6%	\$237,653,222	4.1%
Motor Vehicle Registration & Fees	\$76,369,471	-3.9%	\$150,356	\$76,519,827	-3.7%	\$76,712,589	0.4%	\$398,507	\$77,111,096	0.8%	\$77,866,636	1.0%	\$78,634,966	1.0%
Inspection Fees	\$3,692,371	0.2%	(\$230,600)	\$3,461,771	-6.0%	\$3,700,959	0.2%	(\$230,600)	\$3,470,359	0.2%	\$3,476,649	0.2%	\$3,483,001	0.2%
Fines, Forfeits and Penalties	\$2,913,873	1.7%	\$204,450	\$3,118,323	2.0%	\$2,943,628	1.0%	\$237,061	\$3,180,689	2.0%	\$3,244,303	2.0%	\$3,309,189	2.0%
Income from Investments	\$1,848,000	16.2%	(\$720,000)	\$1,128,000	-20.5%	\$2,095,000	13.4%	(\$440,000)	\$1,655,000	46.7%	\$1,655,000	0.0%	\$1,655,000	0.0%
Other Revenues	\$9,304,740	2.9%	(\$269,639)	\$9,035,101	-0.3%	\$9,284,669	-0.2%	(\$269,639)	\$9,015,030	-0.2%	\$9,046,215	0.3%	\$9,085,073	0.4%
Total - Highway Fund Revenue	\$305,914,918	7.7%	(\$865,433)	\$305,049,485	7.4%	\$315,302,411	3.1%	(\$304,671)	\$314,997,740	3.3%	\$323,685,664	2.8%	\$333,820,451	3.1%
Change in Biennial Totals								(\$1,170,104)						

TOBACCO SETTLEMENT REVENUE (FUND FOR A HEALTHY MAINE)
REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - DECEMBER 2002

Source	FY00 Actual	FY01 Actual	% Chg.	FY02 Actual	% Chg.	FY03 Budget	% Chg.	Recom. Chg.	FY03 Revised	% Chg.
Initial Payments	\$35,541,456	\$16,839,539	-52.6%	\$16,236,644	-3.6%	\$16,278,619	0.3%	\$355,391	\$16,634,010	2.4%
Base Payments	\$27,422,283	\$30,926,515	12.8%	\$40,055,643	29.5%	\$39,391,260	-1.7%	\$859,980	\$40,251,240	0.5%
Attorney General Reimbursements	\$212,904	\$53,226	-75.0%	\$25,475	-52.1%	\$0	-100.0%	\$0	\$0	-100.0%
Income from Investments	\$1,604,300	\$3,605,927	124.8%	\$1,233,998	-65.8%	\$576,100	-53.3%	(\$58,985)	\$517,115	-58.1%
Total - Tobacco Settlement Revenue	\$64,780,943	\$51,425,207	-20.6%	\$57,551,760	11.9%	\$56,245,979	-2.3%	\$1,156,386	\$57,402,365	-0.3%
Change in Biennial Totals								\$1,156,386		

Updated: 12/10/02

TOBACCO SETTLEMENT REVENUE (FUND FOR A HEALTHY MAINE)

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - DECEMBER 2002

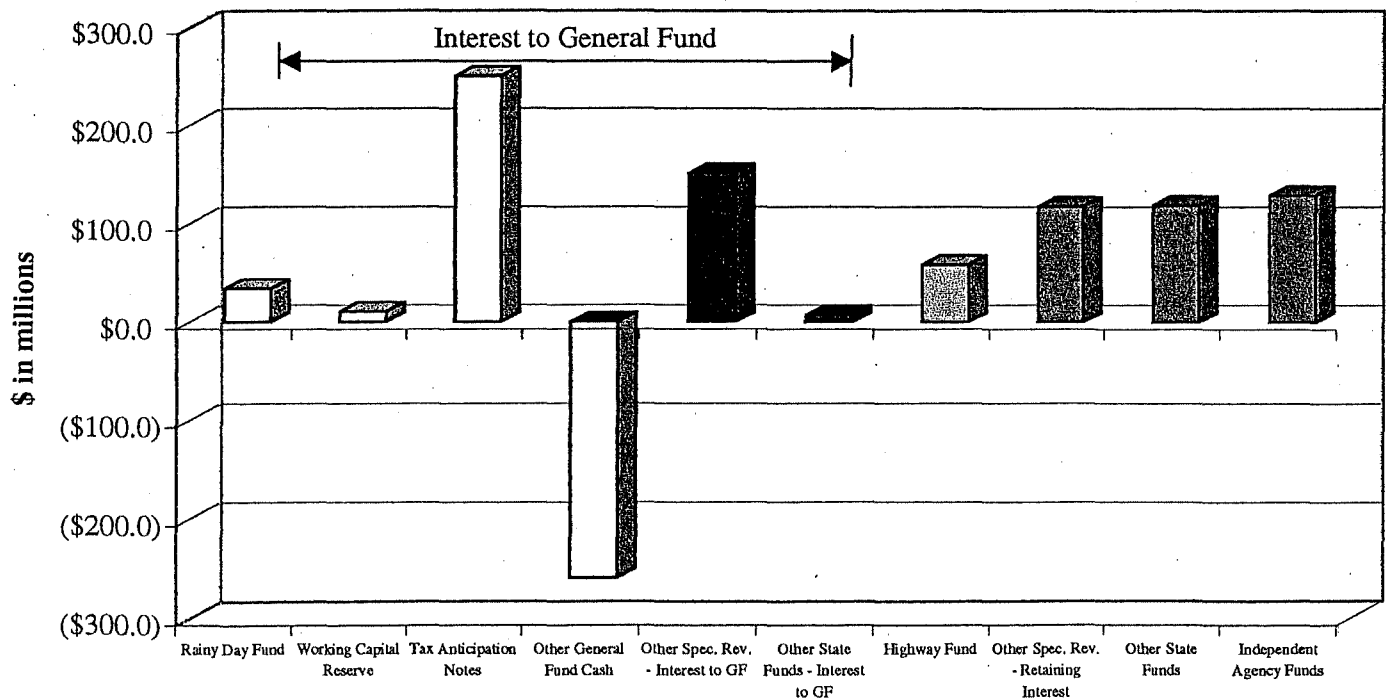
Source	FY04 Budget	% Chg.	Recom. Chg.	FY04 Revised	% Chg.	FY05 Budget	% Chg.	Recom. Chg.	FY05 Revised	% Chg.	FY06 Project	% Chg.	FY07 Project	% Chg.
Initial Payments	\$0	-100.0%	\$0	\$0	-100.0%	\$0	#DIV/0!	\$0	\$0	#DIV/0!	\$0	#DIV/0!	\$0	#DIV/0!
Base Payments	\$49,042,116	24.5%	\$612,383	\$49,654,499	23.4%	\$49,586,323	1.1%	\$721,044	\$50,307,367	1.3%	\$51,215,374	3.3%	\$52,075,923	1.7%
Attorney General Reimbursements	\$0	#DIV/0!	\$0	\$0	#DIV/0!	\$0	#DIV/0!	\$0	\$0	#DIV/0!	\$0	#DIV/0!	\$0	#DIV/0!
Income from Investments	\$131,100	-77.2%	(\$48,499)	\$82,601	-84.0%	\$224,900	71.5%	(\$90,375)	\$134,525	62.9%	\$134,525	-40.2%	\$134,525	0.0%
Total - Tobacco Settlement Revenue	\$49,173,216	-12.6%	\$563,884	\$49,737,100	-13.4%	\$49,811,223	1.3%	\$630,669	\$50,441,892	1.4%	\$51,349,899	3.1%	\$52,210,448	1.7%
Change in Biennial Totals								\$1,194,553						

Updated: December 11, 2002

Composition of State's Cash Pool October 2002 Average Daily Balances

	October 2002
General Fund - Total	\$34,925,170
General Fund - Detail	
Rainy Day Fund	\$33,920,682
Working Capital Reserve	\$10,500,000
Tax Anticipation Notes	\$250,000,000
General Fund - Other	(\$259,495,511)
Highway Fund	\$58,134,701
Other Special Revenue - Contributing to General Fund	\$151,156,579
Other Special Revenue - Retaining Interest Earned	\$117,222,127
Other State Funds - Contributing Interest to General Fund	\$6,954,264
Other State Funds	\$118,690,061
Independent Agency Funds	\$128,746,691
Total Cash Pool	\$615,829,593

Composition of Cash Pool Average Daily Balances in October 2002



121st MAINE STATE LEGISLATURE
Legislative Council
Technology Report

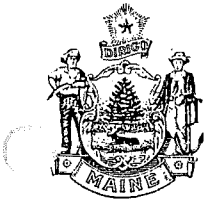
December 16, 2002

I. Direct Legislative Internet Access

- a. Cut over made November 23 and 24
- b. Automatic forwarding of "state.me.us" mail
- c. Shared Global Address List with Executive Branch
- d. Automatic forwarding to home e-mail address online
- e. Faster Internet access
- f. Cleaning up several BIS firewall connection issues
- g. Reviewing firewall and external connections for security adequacy

II. Migration – Bill Drafting System

- a. ROS and Systems met with Robin Healey, H-P Practice Principal, on December 12
- b. Ms Healey was provided with a demonstration of the installed system by ROS staff
- c. Ms Healey stated that H-P is committed to correcting the slow system performance issue of the bill drafting software
- d. H-P is finalizing the scope of the changes they are considering
- e. H-P will meet with the Legislature before the end of December to provide its plan of action.



Maine State Legislature
OFFICE OF POLICY AND LEGAL ANALYSIS

13 State House Station, Augusta, Maine 04333-0013

Telephone: (207) 287-1670

Fax: (207) 287-1275

Memorandum

TO: The Honorable Beverly C. Daggett, Chair
The Honorable Patrick Colwell, Vice-Chair
Legislative Council
And Honorable Members of the Legislative Council

FROM: David C. Elliott, Director

DATE: December 11, 2002

RE: Legislative studies update

Attached is a summary of the status of legislative studies authorized for this interim. As you can see, most of the studies due to report this interim have completed their work and either have submitted their report or are in the process of finalizing their report. I would be happy to answer any questions.

Attachment

cc: David E. Boulter, Executive Director, Legislative Council

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 12/11/02 12:07:19 PM

<u>Study Commission</u>	<u>Date First Convened</u>	<u>Date, Time & Location of Next Meeting</u>	<u>Report Date</u>	<u>Status/Progress Of Study Commission</u>
Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers, Committee to Study Reimbursement Rates for Maine's (PL 2001, c. 661)	8/28/02	No more meetings	04-Dec-02	Report complete
Casino on the Economy, Transportation Infrastructure, State Revenue and Job Market, Resolve to Study the Impact of a Maine-based (Resolve 2001, c. 124)	9/3/02	No more meetings	15-Dec-02	Report being drafted
Community Preservation Advisory Committee, An Act to Establish the (PL 2001, c 648; sunsets 6/1/08)	10/21/02	Jan. 14, 2003	Dec. 01 annually	Staffed by SPO; OPLA drafting assistance
County Jail Population, Cost and Reimbursement by the State, Joint Order to Study (HP 1731)			06-Nov-02	CRJ interim work.
Family and Medical Leave for Maine Families, Resolve to Continue the Study of Benefits and Costs for Increasing Access to (Resolve 2001, c. 115)	9/9/02	No more meetings	15-Dec-02	Report being drafted
Fatherhood Issues Study Commission, Resolve to Establish a (Resolve 2001, c. 121)	8/27/02	No more meetings	06-Nov-02	Drafting report; drafting legislation
Financing of Long-term Care, Resolve Establishing a Blue Ribbon Commission to Address (Resolve 2001, c. 114)	9/19/02		06-Nov-03	Interim report complete
Health Care System and Health Security Board (PL 2001, c. 439, Part ZZZ)	October 2001 Continuation	Wednesday – 12/18/02 9:30 a.m. – 3:00 p.m. State House Room 427	15-Jan-03	
MCJUSTIS Board, Resolve to Implement the Recommendations of the (Resolve 1997, c. 105, PL 1999, c. 451, PL 1999, c. 790, Resolve 2001, c. 45)	Continuation		15-Dec-02	Initial draft of legislation to ROS

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 12/11/02 12:28:19 PM

<u>Study Commission</u>	<u>Date First Convened</u>	<u>Date, Time & Location of Next Meeting</u>	<u>Report Date</u>	<u>Status/Progress Of Study Commission</u>
Proposed Revisions to School Finance Laws and Recommendations to Implement the Essential Programs and Services Funding Model, Department of Education and State Board of Education Study (PL 2001, c. 660)			15-Jan-03	Staffed by DOE; OPLA drafting assistance. DOE/SBE updating essential program and services model
Public Funds are Used to Acquire Conservation Easements, Resolve to Promote the Interests of the People of Maine when (Resolve 2001, c. 116)	Continuation	No more meetings	15-Jan-03	Staffed by SPO; work complete – will report to ACF in January
Rail Transportation, Resolve to Establish and Fund the Task Force on (Resolve 2001, c. 120)	8/9/02	No more meetings	06-Nov-02	Report complete
Recodification of Title 12, chapters 701-721 (HP 613)	(Staff study – continuation)		15-Jan-02	Draft of legislation to ROS
Salmonid Sport Fish in Maine, Commission to Study the Needs and Opportunities Associated with the Production of (PL 2001, c. 462)	Continuation	No more meetings	15-Nov-02	Report complete
Stormwater Management in Developed Watersheds, Resolve to Establish the Task Force to Study the Effectiveness of (LD 2186)	May 31, 2002		06-Nov-02	NAT Interim work
Unfunded Liability of the MSRS and the Equity of Retirement Benefits for State Employees and Teachers, An Act to Address the (PL 2001, c 707)	Nov. 8, 2002	No more meetings	13-Dec-02	Report being drafted
World War II and the Korean War Veterans in the Hall of Flags, Resolve to Recognize (Resolve 2001, c. 113)	11/30/02		04-Oct-02 Initial Rep. 01-Nov-02 Final Rep. Extension granted	
Youth Advisory Council, Legislative (PL 2001, c. 439, Part PPP)	9/14/02		Feb. 15th annually	First annual report being drafted; Legislation being drafted

MARGARET E. MATHESON
Revisor of Statutes

JUZANNE M. GRESSER
Principal Attorney

EDWARD A. CHARBONNEAU IV
MARK A. SWANSON
Legislative Attorneys



MAINE STATE LEGISLATURE
OFFICE OF THE REVISOR OF STATUTES
STATE HOUSE STATION 7
AUGUSTA, MAINE 04333-0007
(207) 287-1650
FAX: (207) 287-6468

KIM M. ALLEN
JUDITH L. PAQUETTE
JAY SELBERG
Paralegals

Date: December 12, 2002

To: Beverly C. Daggett, Chair of the Legislative Council
Patrick Colwell, Vice Chair of the Legislative Council
Members of the Legislative Council

From: Margaret E. Matheson, Revisor *MEM*

RE: Duplicate Bill Request Filings

The purpose of this memo is to seek guidance from the Legislative Council for combining duplicate bill requests by the Revisor's Office.

Joint Rule 206, section 2 provides that:

For duplicate or closely related bills or resolves, the Legislative Council may establish a policy for combination of requests and the number of cosponsors permitted on combined requests.

Before the above language existed, every bill was simply processed and printed without regard to whether it was closely related to another. Since the advent of the rule, however, each Legislative Council has used its authority to direct that my office in some manner combine closely related bills. While the process of combining like requests can never be exact, the following process has been developed over the past several years, and I offer it as a model for approval by this Council:

- The bill titles are reviewed in an attempt to identify bills that are so closely related that they are virtually alike. If the proposed solutions to the same problem are similar enough, the requests are combined into one measure.
- The sponsor who filed the first complete request is the primary sponsor of the measure. Completeness is determined by the standards set out in

Joint Rule 208, i.e., whether the request has "sufficient instructions, information and data required for its preparation."

- The identified later filers are given the opportunity to sign onto the measure as cosponsors; the primary sponsor also may collect the full complement of cosponsors authorized by Joint Rule 206.
- If a later filer indicates that the bill request he or she filed is not closely related, then his or her request will be fully drafted according to the sponsor's direction.

Thank you for your attention.



MAINE STATE LEGISLATURE
OFFICE OF THE REVISOR OF STATUTES
PHONE: (207) 287-1650
FAX: (207) 287-6468

SIMILAR BILL REQUESTS

Pursuant to the policy of the Legislative Council, the sponsor who filed the first complete request is the primary sponsor and any who filed later must be offered the opportunity to cosponsor the bill.

Please note that the name or names of certain legislators are already printed on the attached cosponsor sheet to indicate later-filed similar bill requests. You should provide any such Legislator the opportunity to sign this bill request.

57831ROS



Maine State Legislature
OFFICE OF POLICY AND LEGAL ANALYSIS

13 State House Station, Augusta, Maine 04333-0013
Telephone: (207) 287-1670
Fax: (207) 287-1275

Memorandum

TO: The Honorable Beverly C. Daggett, Chair
The Honorable Patrick Colwell, Vice-Chair
Legislative Council
And Honorable Members of the Legislative Council

FROM: David C. Elliott, Director *mil*

DATE: December 13, 2002

RE: Interim studies—requests/submission of final reports

With respect to interim studies, I submit the following for your consideration:

1. Request for extension of reporting deadline

The *Health Care System and Health Security Board* requests another extension of its reporting deadline from January 15, 2003 to April 15, 2003. The original reporting date for the board was December 1, 2002. A copy of the letter from the board is attached.

2. Submission of final study reports

The following studies have submitted final reports:

- *Task Force on Rail Transportation*
- *Committee to Study Reimbursement Rates for Maine's Bottle Redemption Businesses*
- *Commission to Study the Needs and Opportunities Associated with the Production of Salmonid Sport Fish in Maine*

I would be happy to answer any questions on either of these matters.

DEC 13 2002



MAINE STATE LEGISLATURE
Augusta, Maine 04333

COMMISSION TO STUDY THE NEEDS AND OPPORTUNITIES ASSOCIATED WITH THE
PRODUCTION OF SALMONID SPORT FISH IN MAINE

December 4, 2002

The Honorable Michael V. Saxl, Chair
The Honorable Michael H. Michaud, Vice-Chair
Legislative Council
115 State House Station
Augusta, ME 04333

Dear Representative Saxl and Senator Michaud:

This letter is to inform you that the Commission to Study the Needs and Opportunities Associated with the Production of Salmonid Sport Fish in Maine has submitted the attached final report to the Joint Standing Committee on Inland Fisheries and Wildlife, pursuant to Public Law 2001, chapter 462. Copies of the report have been placed on file with the Law and Legislative Reference Library.

Sincerely,

Sen Chandler E. Woodcock ^{cc}

Senator Chandler E. Woodcock, Chair

Rep. Bruce S. Bryant ^{cc}

Representative Rep. Bruce S. Bryant, Chair

cc: Members of the Legislative Council (w/enc.)
Senate President Richard A. Bennett
Governor Angus S. King, Jr.
David E. Boulter, Executive Director, Legislative Council
David C. Elliott, Director, Office of Policy & Legal Analysis

DEC - 5 2002



STATE OF MAINE
EXECUTIVE DEPARTMENT
STATE PLANNING OFFICE
38 STATE HOUSE STATION AUGUSTA, MAINE 04333

ANGUS S. KING, JR.
GOVERNOR

DAVID H. KEELEY
ACTING DIRECTOR

December 4, 2002

Mr. David E. Boulter
Executive Director of the Legislative Council
115 State House Station
Augusta, Maine 04333-0115

RE: The Committee to Study Reimbursement Rates for Maine's Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers

Mr. Boulter:

On behalf of the committee, I am pleased to submit to you the final report of this committee's effort. The members of this re-established study committee met four times and had a number of additional subcommittee meetings that permitted further investigation of concerns, issues and options. I believe the attached report will be of value to the Business and Economic Development Committee in its deliberations during this coming session.

We wish to thank the Legislative Council for granting us the time extension we requested. Without that additional time, we would have been unable to complete our objectives.

I look forward to working with the Joint Standing Business and Economic Development Committee as the report and its recommendations are considered.

Cordially,

Edward Youngblood
th

Senator Edward Youngblood

DEC - 4 2002

Cc: George MacDonald, State Planning Office



MAINE STATE LEGISLATURE
Augusta, Maine 04333

TASK FORCE ON RAIL TRANSPORTATION

December 13, 2002

The Honorable Beverly C. Daggett, Chair
The Honorable Patrick Colwell, Vice-Chair
Legislative Council
115 State House Station
Augusta, Maine 04333

Dear Senator Daggett and Representative Colwell:

Pursuant to Resolve 2001, chapter 120, the Task Force on Rail Transportation is pleased to submit its final report. Copies of the report will be transmitted to the Joint Standing Committee on Transportation. Copies of the report have been placed on file with the Law and Legislative Reference Library.

Sincerely,

J. L. Martin (J.L.)
Senator John L. Martin, Senate Chair

C.D. Fisher (C.D.)
Representative Charles D. Fisher, House Chair

cc: Members of the Legislative Council (w/enc.)
Members, Joint Standing Committee on Transportation
✓ David E. Boulter, Executive Director, Legislative Council
David C. Elliott, Director, Office of Policy & Legal Analysis

DEC 13 2002

SENATOR JOHN L. MARTIN, CHAIR
REPRESENTATIVE PAUL VOLLENIK, CHAIR -
SENATOR MARY E. SMALL
REPRESENTATIVE FLORENCE T. YOUNG
JAMES AMARAL
HOWARD BUCKLEY
ROBERT DOWNS
TAMMY GREATER
FRANK A. JOHNSON
BETH KILBRETH, PhD



MARJORIE MEDD
JOHN MORAN
FRANK O'HARA
ANTHONY NEVES
PATRICIA PHILBROOK
VIOLET RAYMOND
LEO SIEGEL, MD
RICHARD WEXLER, MD
CHRISTINE ZUKAS-LESSARD

STATE OF MAINE

ONE HUNDRED AND TWENTIETH LEGISLATURE

HEALTH CARE SYSTEM AND HEALTH SECURITY BOARD

TO: The Honorable Beverly C. Daggett, Chair
The Honorable Patrick Colwell, Vice Chair
Honorable Members of the 121st Legislative Council

FROM: Sen. John Martin, Senate Chair
Rep. Paul Vollenik, House Chair

DATE: December 12, 2002

RE: Request to Extend Deadline to Submit Final Report to Legislature

We are writing to request an additional extension of our reporting deadline from January 15, 2003 to April 15, 2003. The 120th Legislative Council graciously extended our deadline to January 15th, but we feel additional time is needed to fulfill our obligations to the Legislature as described in our enabling legislation. As you know, the Board received a \$200,000 grant to contract for a study of the economic feasibility of a single-payer system for Maine. The Board's consultant, Mathematica Policy Research, will present its final report at our meeting on December 18th. We believe additional time is needed to fully consider Mathematica's feasibility study and formulate the Board's comments and recommendations regarding the creation of a single-payer health care plan for Maine. Further, the Department of Human Services, Bureau of Medical Services has received a federal HRSA grant of over \$1 million dollars for health planning. The Bureau of Medical Services and the Muskie School are working together on this effort and some funding has been allocated in that grant for additional planning and econometric modeling of a single-payer initiative. The Board would like to maintain its involvement in the planning process.

Please contact us or our staff, Colleen McCarthy Reid, if you have any questions or concerns. Thank you for consideration of our amended request.

cc: David E. Boulter, Executive Director, Legislative Council
David C. Elliott, Director, Office of Policy and Legal Analysis

DEC 12 2002