MAINE STATE LEGISLATURE

The following document is provided by the

LAW AND LEGISLATIVE DIGITAL LIBRARY

at the Maine State Law and Legislative Reference Library

http://legislature.maine.gov/lawlib

Reproduced from scanned originals with text recognition applied

(searchable text may contain some errors and/or omissions)

LEGISLATIVE COUNCIL
September 24, 2002
1:00 p.m.
Room 334, Legislative Council Chamber
REVISED AGENDA

Page No.	Item	Action
	CALL TO ORDER	
	ROLL CALL	
1	**SUMMARY OF THE August 21, 2002 COUNCIL MEETING**	Decision
	REPORTS FROM EXECUTIVE DIRECTOR AND STAFF OFFICE DIRECTORS	Information
	• Executive Director's Report	
9	• Fiscal Report (Pennoyer)	
18	• Office of Information Services' Report (Mayotte)	
20	• Update on Interim Studies (Elliott)	
	REPORTS FROM COUNCIL COMMITTEES	
	• **Personnel Committee**	
	• **Technology and Migration Committee**	
	• **Subcommittee to Consider Additional Security Measures**	
24	Meeting Summary of August 28, 2002 Subcommittee meeting	Information
	• **Space Committee**	
25	Meeting Summary of August 28, 2002 Space Committee meeting	Information

Page No.	Item	Action
	OLD BUSINESS	
	NEW BUSINESS	
27	**Item #1: Legislative Budget for the 2004-2005 Biennium**	Decision
40	**Item #2: Legislative Document Service: Fee Schedule for 121st Legislature**	Decision
42	**Item #3: Briefing by Rich Jones, NCSL, on NCSL Study of Legislative Term Limits**	Information
	Item #4: Submission of Work Plan and Budget	
48	• Blue Ribbon Commission on Financing Long-term Care	Decision
51	• Commission on Fatherhood Issues	Decision
53	• Task Force to Study the Impact of a Maine-based Casino	Decision
56	• Task Force on Rail Transportation	Decision
58	• Committee to Continue to Study the Costs and Benefits of Increasing Access to Paid Family and Medical Leave for Maine Families	Decision
60	• Committee to Study Reimbursement Rates for Maine Bottle Redemption Business	Decision
62	• Health Care System and Health Security Board	Decision
	Item #5: Request from Study Commission to Hold Additional Meeting	
64	• Task Force on Rail Transportation (Memo from Sen. John L. Martin and Rep. Charles D. Fisher, Chairs)	Decision

ANNOUNCEMENTS AND REMARKS

The next Legislative Council meeting is scheduled for October 30, 2002 at 1:00 p.m.

ADJOURNMENT

DAVID E. BOULTER

EXECUTIVE DIRECTOR
OF THE LEGISLATIVE COUNCIL

MAINE STATE LEGISLATURE

OFFICE OF THE EXECUTIVE DIRECTOR
LEGISLATIVE COUNCIL

MEETING SUMMARY
August 21, 2002

CALL TO ORDER

The Chair, Michael V. Saxl, called the Legislative Council meeting to order at 1:20 p.m. in the Legislative Council Chamber.

ROLL CALL

Senators:	Sen. Small, Sen. Treat Not present at roll call Sen. Daggett; arrived 1:52 p.m. Absent: Sen. Davis, Sen. Michaud,
Representatives:	Speaker Saxl, Rep. Colwell, Rep. Norbert, Rep. Schneider Absent: Rep. Bruno
Legislative Officers:	Pamela Cahill, Secretary of the Senate Millicent MacFarland, Clerk of the House David Shiah, Assistant Clerk of the House David Boulter, Executive Director, Legislative Council Grant Pennoyer, Director, Office of Fiscal and Program Review David Elliott, Director, Office of Policy and Legal Analysis Margaret Matheson, Revisor of Statutes Stephanie Ralph, Principal Law Librarian Paul Mayotte, Director, Legislative Information Services

SUMMARY OF THE JULY 31, 2002 COUNCIL MEETING

Motion: That the Meeting Summary of July 31, 2002 be accepted and placed on file. (Motion by Rep. Norbert, second by Rep. Schneider, unanimous).

The Chair moved that the Council take an item out of order, unless there was objection. Hearing none, the Chair move to Item 1 under New Business.

115 STATE HOUSE STATION, AUGUSTA, MAINE 04333-0115
TELEPHONE 207-287-1615 FAX: 207-287-1621 E-MAIL: david.boulter@state.me.us

NEW BUSINESS

Item #1: Percent for Art Committee recommendations for funding of State House artwork pursuant to the Percent for Art program

Speaker Saxl welcomed Representative Elizabeth Watson and Senator Betty Lou Mitchell, Percent for Art Committee Co-chairs to the Council meeting. Rep. Watson turned the presentation over to Sen. Mitchell. Sen. Mitchell introduced other members of the Committee present at the meeting: David Boulter, Executive Director of the Legislative Council; Alden Wilson, Director, Maine Arts Commission; Earle Shettleworth, Director, Maine Historic Preservation Commission; and Christopher Crosman, Director, Farnsworth Library and Art Museum who is the Advisor for the Committee All are non-voting members. Sen. Mitchell then introduced Alden Wilson.

Mr. Wilson gave the background information of the Percent for Art Committee's Legal charge: to carry out an open competition for artwork to engender the widest possible participation among the artistic community. The law was created by an act of the Legislature in 1979 and was amended approximately 10 years later. The committee sought to select works to represent the geographic and cultural diversity of the State of Maine. The total budget was $240,000. The State House project had 2 phases, with the first phase being the completion of the rostrum. The rostrum was 1% of the construction portion of the allocation. The first artwork approval of the Council for phase 2 was the selection of Evan Haynes' "Communiqué", the granite inscribed piece in the connector. The cost of the granite engraving was $50,000.

Mr. Wilson said the proposals being presented to the Legislative Council for its consideration are:

1. Diana Cherbuliez is a sculptor. She has proposed for the entrance to the State House two large contemporary figurehead pieces in wood which would emerge from the corner walls, depictions of the fishing and farming industry. The cost of the carved sculptures is $66,250.

2. Valdermar Skov is a craftsman of furniture and is known to members for his work crafting the House rostrum. His current proposal includes 4 carved benches on granite bases, 2 for the outer lobby area and 2 for the inner lobby area. The cost of the benches is $29,050.

3. Robert Indiana is an artist of international reputation. He has proposed a painting for the first floor inner lobby east wall. The cost is $75,000.

Mr. Wilson introduced Mr. Christopher Crosman who spoke to the Council regarding Robert Indiana's proposed work for the State House and why he believes his design for the State House is especially appropriate.

Earl Shettleworth then spoke on behalf of the State House and Capitol Park Commission. He believes when the Percent for Art Committee looked for art to be placed in the new entry to the State House, they looked at art that would express the future, not merely just the past. Mr. Shettleworth recommended the above artwork to the Council for its consideration.

Discussion followed regarding the importance of having all members of the Legislative Council being present to vote on the artwork that would be in the State House. There was an initial suggestion to vote by ballot, since not all Legislative Council members were present, but it was generally decided the matter should be discussed among themselves prior to any vote.

Speaker Saxl thanked the Percent For Art Committee members for their hard work and was appreciative of all they had done. He said the Council would try to have a collective answer as soon as possible.

Motion: Sen. Treat moved to table a decision on the recommended artists and artwork until a future Legislative Council meeting, when all members were present, seconded by Rep. Colwell; unanimous.

REPORTS FROM EXECUTIVE DIRECTOR AND STAFF OFFICE DIRECTORS

- **Executive Director's Report**

David Boulter, Executive Director of the Legislative Council, reported on the status of renovations at the State House. Progress is being made on the East and South entrances, with an anticipated completion date of October 15th for the East entrance and approximately September 15th for the south entrance.

One major punch list item that is still outstanding is the correction of the marble tiling problem on the third floor. He continues to work with Granger Northern to find an acceptable solution to the tile breakage.

Mr. Boulter reminded Leaders that appointments to the Legislative Curriculum Committee should be made as soon as possible. Pursuant to earlier Council action the Committee is to develop new legislator orientation and policy forum programs for the incoming legislature. The Committee should meet within the next month. Mr. Boulter asked members to submit the names of appointees to the Speaker or him.

Mr. Boulter and Rose Breton, Assistant for Administrative Services, are in the process of preparing the FY 04/05 Part I budget based upon currently authorized positions and existing levels of current services, and are working closely with each office to develop the initial budget for the Legislature. Pursuant to law, the Executive Director is authorized to submit a tentative consolidated legislative budget request to the Budget office by September 1. Mr. Boulter suggested that the Executive Director's Office develop the summary information at the program and line categories. It would then be distributed to Council members prior to the September 24 Council meeting and following the meeting, if acceptable to members, will be submitted to the Budget Office by October 1st. Following that procedure, the Council may want to consider creating a budget subcommittee to look at either Part II requests or budget adjustments that may be necessary in light of the budget revisions for the next biennium.

The last item in Mr. Boulter's report related to fire alarms in the State House. There have been a number of alarms and he has since learned that the design of the fire alarms is a one-stage rather than a two-stage system. In most public buildings two events must happen before an external alarm is activated. Mr. Boulter is working with Granger Northern and Bureau of General Services to evaluate an alternative system that will not trigger as many false alarms.

No Council action required.

- **Fiscal Report**

Grant Pennoyer, Director, Office of Fiscal and Program Review, presented the following fiscal report:

1. **General Fund and Highway Fund Revenue Variances for July 2002 (FY 03)**

   - The General Fund was above projections by $5.9 million. The only negative variance was "Income from Investments" due to an error in the monthly distribution.

   - The Highway Fund Revenue was up by $1.6 million for July 2002 (FY 03)

   Despite the positive revenue in July, Mr. Pennoyer cautioned looking solely at one month. Preliminary figures for August are not as encouraging; Sales Tax revenues will be close, but will be under budget. Withholding of Individual Income Tax revenues appear to have dropped off and Estate Tax revenues has been under performing.

2. **Economic and Revenue Forecasting Schedule**

   The Economic Forecasting Commission met on Friday, July 26, 2002 and recommended an economic forecast which was run through the tax models and presented to the Revenue Forecasting Committee August 14th. As a result, the tax models made major adjustments in the recommendations for the major tax lines. Instead of a $90 million problem, the original projection for FY 03, the current downward revision of revenue as suggested by the tax models was over $140 million. The $140 million is on top of the $92.5 million already known as the negative variance in FY 02.

3. **Preliminary Data Presented to the Revenue Forecasting Committee**

   Mr. Pennoyer cautioned that the estimates are preliminary. The Revenue Forecasting Committee is hoping to reach a final decision on Wednesday, August 28, 2002. The Economic Forecasting Commission is having a conference call August 22nd to discuss again the personal income component distribution. That distribution, is believed to have caused a great portion of the under projection of revenue in FY 02.

   The Revenue Forecasting Committee had several tax practitioners appear before the Committee on August 14th. They were informed of the amount being assumed for the capital gains for tax year 2002 and they believed the amount was too optimistic. The Committee was assuming a 5% decline from an already significant decline in tax year 2001. A change in that assumption may have a further impact on the Revenue Forecast; as much as $13 million less going given a reduced assumption of 25% decline.

4. **Budget Shortfall for FY 03 Based on Preliminary Revenue Forecasting Committee**

   After accounting for the savings proposed by the Governor for FY 02 and accounting for the shifting of transfer from the Rainy Day Fund to a year earlier, the current budget shortfall for FY 03 is in excess of $220 million. The Governor has proposed $147.5 million in savings, leaving the un-addressed shortfall in excess of $72.5 million.

5. Structural Gap Estimate for FY 2004-2005

Looking ahead to the next biennium, the "structural gap" estimates as a result of the preliminary forecast of revenues would be in excess of $900 million.

No Council action required.

- **Office of Information Services' Report**

Paul Mayotte, Director, Legislative Information Services reported to the Council on the following items.

1. Bill Drafting System

Heward Packett (formerly Compaq) has installed the updated software with the added functions and problem log corrections called for in Contract Amendment 4. The Revisor's office has started testing. Problems are being found, but Heward Packett has been correcting the problems and remains within the testing schedule window. Mr. Mayotte does not anticipate any problems with the added functions and problem log correction; however, as part of the testing process they are finding that the application itself is performing in an unacceptably slow manner. The Legislature is using new and faster PCs so the issues are not on the user's desktop. They have also confirmed that the local area network has been running with no problems and with plenty of capacity. The problem has been isolated to the application itself.

Mr. Mayotte said Heward Packett has been very straightforward and has stepped up to the problem. The Legislature's acceptance criteria is very clear on performance; performance has to be acceptable to the Legislature, and per Contract Amendment 4, Heward Packett has until October 11, 2002 to deliver an acceptable system. Mr. Mayotte said at this time he is merely advising the Council of the problems, and that Heward Packett is addressing the problems and he believes they will be fixed.

Speaker Saxl questioned if the Legislature would be fully migrated off the Wang for the next legislative session. Mr. Mayotte said he was not 100% confident of that, and is making sure that the Wang, if needed, will be capable of supporting the next legislative session. Council members were concerned that the system would not be ready for the next legislature. Mr. Mayotte believes that Heward Packet will deliver a functioning system. What has been found in the test process is an application performance issue, is serious, but can be fixed. Speaker Saxl reiterated the Council's expectation that a functioning system will be in place by the 201st Legislature.

2. Internet Access

Mr. Mayotte said, as reported at the July Council meeting, the Legislature and the Bureau of Information Services reached an agreement that the Legislature's email address would be "Legislature.Maine.gov". Since that time, Harry Lanphear, the State's CIO, has requested that the Legislature defer its use until everyone understands the implications of using "Legislature.Maine.gov" and has requested a meeting with Commissioner Waldron and Mr. Boulter. Mr. Mayotte does not see any adverse implications for the Legislature using the address.

Council members had concerns whether the new email address be available for the next Legislature. It was suggested a letter be written from the Technology and Migration Committee to Mr. Lanphear requesting any written concerns by a certain date, and the Legislature's intention to move forward to implementation. After discussion, Mr. Boulter requested that the meeting with Commissioner Waldron be held before a letter is sent. The Legislative Council agreed that Mr. Boulter would schedule a meeting with the Commissioner and if issues could not be resolved, another meeting with Council members could be held or a letter from the Technology and Migration Committee could be sent.

No Council action is required.

- **Update on Interim Studies**

David Elliott, Director, Office of Policy and Legal Analysis, gave a progress report on interim studies. Changes that have occurred since the distribution of the August 13, 2002 progress report are:

- The appointments for the Casino on the Economy, Transportation Infrastructure, State Revenue and Job Market study is now complete.

- Meetings scheduled but not listed on the Progress Report
  -- Family and Medical Leave for Maine Families Study
  -- Rail Transportation Task Force

Mr. Elliott also reported on the progress of the Health Care System and Health Security Board. The Board reported a successful fund raising effort. They received a $200,000 grant from the Maine Health Access Foundation, Inc. and raised an additional $34,000 in private donations. A contractor has been hired to construct a micro-simulation model that will estimate the costs and economic impact of a single-payer plan on Maine citizens. The Board is anticipated another 3 - 5 meetings. The board has used its general fund allotment but the money raised will be sufficient to cover their additional expenses to complete their study.

Attached is the Progress Report on Legislative Studies as of August 13, 2002.

No Council action is required.

REPORTS FROM COUNCIL COMMITTEES

- **Personnel Committee**

The Personnel Committee met earlier in the day. Please refer to Item 1 under Old Business and New Business.

- **Technology and Migration Committee**

No report. The Technology and Migration Committee did not meet this month.

- **Subcommittee to Consider Additional Security Measures**

No report. The Subcommittee will be meeting on Wednesday, August 28, 2002.

- **Space Committee**

No report. The Committee will be meeting on Wednesday, August 28, 2002.

OLD BUSINESS

Item #1: Recommendation relating to use of furlough days for legislative employees

Speaker Saxl reminded members that at the July, 2002 Council meeting Executive Director Boulter was asked to survey legislative employees regarding furlough days and to suggest options for the Council to consider. The Speaker asked Mr. Boulter to report on the results of the survey.

Mr. Boulter said the results of the survey were as follows:

- 2/3 of the respondents felt legislative employees should take days off without pay if other state employees were required to do so, rather than finding alternative ways to save money. 1/3 of the respondents felt alternative ways should be found that did not represent pay decreases for legislative employees.

- 77% preferred closing all offices for a day, rather than staggering furlough days among employees to keep offices open. Others wanted to keep offices open so employees could stagger days.

- 34% of the employees would voluntarily take days off without pay and about 2/3 would not take voluntary days off.

Speaker Saxl said the Personnel Committee had discussed the results of the survey and is making the following motion to the Council.

Motion: That all legislative offices be closed on October 11, 2002 and legislative employees be placed on leave without pay for the day. (Motion by Speaker Saxl, second by Sen. Small, unanimous).

Following discussion of future furlough days and administrative leave days, the following motion was then made:

Motion: That all legislative offices be closed on Thursday, December 26, 2002 and legislative employees be placed on leave without pay for the day, and that the Executive Director's office provide some accommodation for employees for whom the October 11 and December 26 furlough days would constitute an extreme hardship; further, that all legislative offices be closed on Tuesday, December 24, 2002 and that all legislative employees who are in work status as of December 23, 2002 be granted administrative leave with pay for that day. (Motion by Sen. Daggett, second by Rep. Schneider, unanimous).

Note: This action officially applied only to Legislative Council employees. The Senate President and the Speaker subsequently followed suit for Senate and House employees.

NEW BUSINESS

Item #2: Personnel Policies Revisions - Recommendation

Executive Director Boulter reported that the Personnel Committee had met earlier and had concluded its year long work to revise and update the personnel policies relative to Legislative Council employees. With some small administrative changes and only one policy level change, that non-partisan employees who work part-time, year round, 16 or more hours a week on a regularly scheduled basis be provided insurance coverage (to make it consistent throughout the organization), the Committee made the recommendation that the Legislative Council adopt the revised policies. A copy of the proposed revisions had been delivered to Legislative Council members in advance of the meeting.

Motion: That the Legislative Council adopt the Personnel Policies and Guidelines for Legislative Council Employees as revised and recommended by the Personnel Committee on August 21, 2002. (Motion by Speaker Saxl, second by Sen. Small, unanimous).

ANNOUNCEMENTS AND REMARKS

Speaker Saxl asked the Leadership Offices who have not nominated members to serve on the Legislative Curriculum Committee/Legislator Orientation to please do so.

The next Legislative Council meeting is scheduled for September 24, 2002 at 1:00 p.m.

ADJOURNMENT

The Legislative Council meeting was adjourned at 2:52 p.m. (Motion by Rep. Colwell, second by Sen. Treat, unanimous).

Fiscal Briefing for the Legislative Council

Legislative Council Meeting
September 24, 2002

Prepared by the
Office of Fiscal & Program Review

1. **General Fund and Highway Fund Revenue Variances for August 2002**
   (Does not reflect August 2002 Revenue Forecasting Committee Revisions)
   (See Attached Summary of August Revenue)
   A. **General Fund – Down by $11.1M in August/Down FY-T-D by $4.6M**
   B. **Highway Fund Revenue – Down by $0.4M for August/Up for FY-T-D by $1.5M**

2. **August 2002 Revenue Forecast Revisions**
   Provided below are the aggregate changes by fund adopted by the Revenue Forecasting Committee at its August 2002 meetings:

Fiscal Year	General Fund	Highway Fund	Tobacco Settlement
FY 03	($148,157,149)	$3,972,507	($66,100)
2002-2003 Biennium	**($148,157,149)**	**$3,972,507**	**($66,100)**
FY 04	($172,820,779)	$ 5,671,887	($109,200)
FY 05	(197,933,950)	7,766,548	(132,100)
2004-2005 Biennium	**($370,754,729)**	**$ 13,438,435**	**($241,300)**

3. **Cash Pool Status**
   - **July 2002 Cash Pool Summary attached – General Fund $141.2M negative; $185.6M negative without reserve fund balances (Does not reflect impact of $250M TAN)**
   - **General Fund – Historical graph shows worsening trend and impact of August TAN**
   - **Highway Fund – Historical graph shows generally improving trend until last 3 months**

4. **OFPR Fiscal Note Response (See attached OFPR performance measures)**
   - **Requests for Fiscal Reviews – 86.6% within 1 week during 120th 2nd Reg.**
   - **Fiscal Note memos – 34.2% completed prior to the Public Hearing (33% never released)**

5. **Budget Bill Production Issues**
   - **Governor's Proposed Budget Bill for 120th Legislature's Special Session as of noon on Thursday, September 19th, the Governor has yet to submit a complete budget proposal**
   - **Issues for Future Budget Bills – Should performance measures be included in the printed bill or just in the Budget Document and separate submissions/background documents?**

G:\OFPR\RFC\LCUPDATESFY03.XLS
Updated: 9/18/02

Revenue Update - Fiscal Year 2002-03

General Fund Revenue Variance Summary
For the Month of August 2002
(Does not reflect Revenue Forecasting Committee August 2002 Revisions)

	In Millions	
		Fiscal Year-to-Date
Revenue Source	**August 2002**	**August 2002**
Sales and Use Tax	($1.5)	$0.9
Individual Income Tax	($9.7)	($8.2)
Corporate Income Tax	$0.7	$2.5
Cigarette and Tobacco Tax	($1.2)	($0.4)
Public Utilities Tax	$0.0	$0.0
Insurance Companies Tax	$0.5	$0.9
Estate Tax	($0.4)	($1.2)
Property Tax - Unorganized Territories	$0.0	$0.0
Income from Investments	($0.7)	($0.7)
Transfer to Municipal Revenue Sharing	$0.5	$0.2
Transfer from Liquor	$0.2	$0.3
Transfer from Lottery	$1.4	$1.4
Other Revenues	($0.9)	($0.3)
Total General Fund - Variances	**($11.1)**	**($4.6)**

Highway Fund Revenue Variance Summary
For the Month of August 2002
(Does not reflect Revenue Forecasting Committee August 2002 Revisions)

	In Millions	
		Fiscal Year-to-Date
Revenue Source	**August 2002**	**August 2002**
Fuel Taxes	$0.4	$0.4
Motor Vehicle Registration and Fees	($0.9)	$1.0
Inspection Fees	$0.3	$0.3
Fines	($0.2)	($0.2)
Income from Investments	($0.1)	($0.1)
Other Revenues	$0.0	$0.1
Total Highway Fund - Variances	**($0.4)**	**$1.5**

Prepared by the Office of Fiscal and Program Review
Based on Preliminary Data; Subject to Change

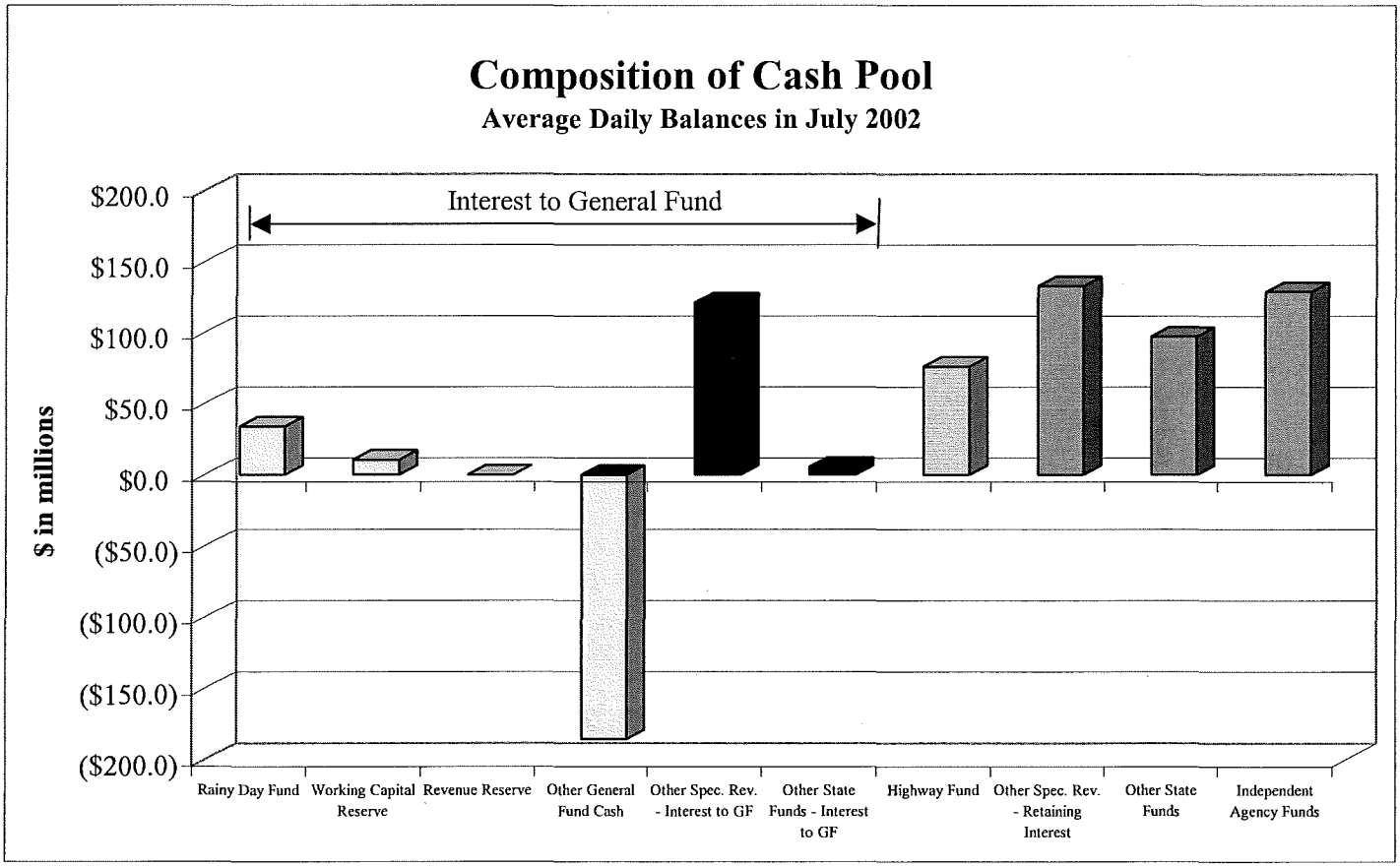
General Fund and Highway Fund Revenue
Fiscal Year Ending June 30, 2003
Based on February 2002 Forecast and 120th Legislature 2nd Regular Session Changes

FUND	Revenue Line	Aug. 02 Budget	Aug. 02 Actual	Aug '02 Var.	Budget YTD	Actual YTD	Variance YTD	Budget Total
GF	Sales and Use Tax	1,388,980	(158,082.76)	(1,547,062.76)	81,731,249	82,619,353.92	888,104.92	895,011,846
GF	Individual Income Tax	44,366,202	34,659,319.49	(9,706,882.51)	112,628,964	104,386,008.37	(8,242,955.63)	1,257,663,548
GF	Corporate Income Tax	847,663	1,548,950.42	701,287.42	2,979,880	5,479,762.31	2,499,882.31	85,738,483
GF	Cigarette and Tobacco Tax	11,319,591	10,129,129.08	(1,190,461.92)	19,119,545	18,692,655.75	(426,889.25)	102,949,755
GF	Public Utilities Tax	0	(1.18)	(1.18)	(150,000)	(150,001.18)	(1.18)	30,400,000
GF	Insurance Companies Tax	21,044	516,331.18	495,287.18	1,376,475	2,316,081.73	939,606.73	40,924,003
GF	Estate Tax	(2,134,751)	(2,507,191.07)	(372,440.07)	0	(1,228,848.72)	(1,228,848.72)	23,691,016
GF	Property Tax - Unorganized Territory	0	0.00	0.00	0	0.00	0.00	9,669,807
GF	Income from Investments	634,203	(36,949.09)	(671,152.09)	634,203	(36,949.09)	(671,152.09)	10,014,129
GF	Transfer to Municipal Revenue Sharing	(2,376,745)	(1,838,559.54)	538,185.46	(10,064,345)	(9,816,741.35)	247,603.65	(114,654,916)
GF	Transfer from Liquor Commission	2,427,220	2,646,019.97	218,799.97	5,003,889	5,293,397.38	289,508.38	26,290,223
GF	Transfer from Lottery Commission	2,952,520	4,328,963.33	1,376,443.33	6,003,495	7,396,181.98	1,392,686.98	36,809,911
GF	Other Revenue	11,097,270	10,163,499.52	(933,770.48)	22,067,072	21,799,516.42	(267,555.58)	145,262,118
GF	**Totals**	**70,543,197**	**59,451,429.35**	**(11,091,767.65)**	**241,330,427**	**236,750,417.52**	**(4,580,009.48)**	**2,549,769,923**
HF	Fuel Taxes	(2,156,749)	(1,741,489.47)	415,259.53	13,987,982	14,353,112.03	365,130.03	182,478,107
HF	Motor Vehicle Registration and Fees	7,776,496	6,904,276.00	(872,220.00)	12,462,734	13,447,913.07	985,179.07	79,643,938
HF	Inspection Fees	196,696	481,136.29	284,440.29	403,744	753,191.19	349,447.19	3,918,052
HF	Fines	385,258	221,569.45	(163,688.55)	701,144	460,940.66	(240,203.34)	4,453,735
HF	Income from Investments	250,000	199,639.65	(50,360.35)	250,000	199,639.65	(50,360.35)	3,000,000
HF	Other Revenue	552,664	569,732.97	17,068.97	1,011,343	1,086,574.14	75,231.14	6,646,193
HF	**Totals**	**7,004,365**	**6,634,864.89**	**(369,500.11)**	**28,816,947**	**30,301,370.74**	**1,484,423.74**	**280,140,025**

G:\OFPR\GENFUND\CASHPOOL-FY03.XLS
Updated: September 18, 2002

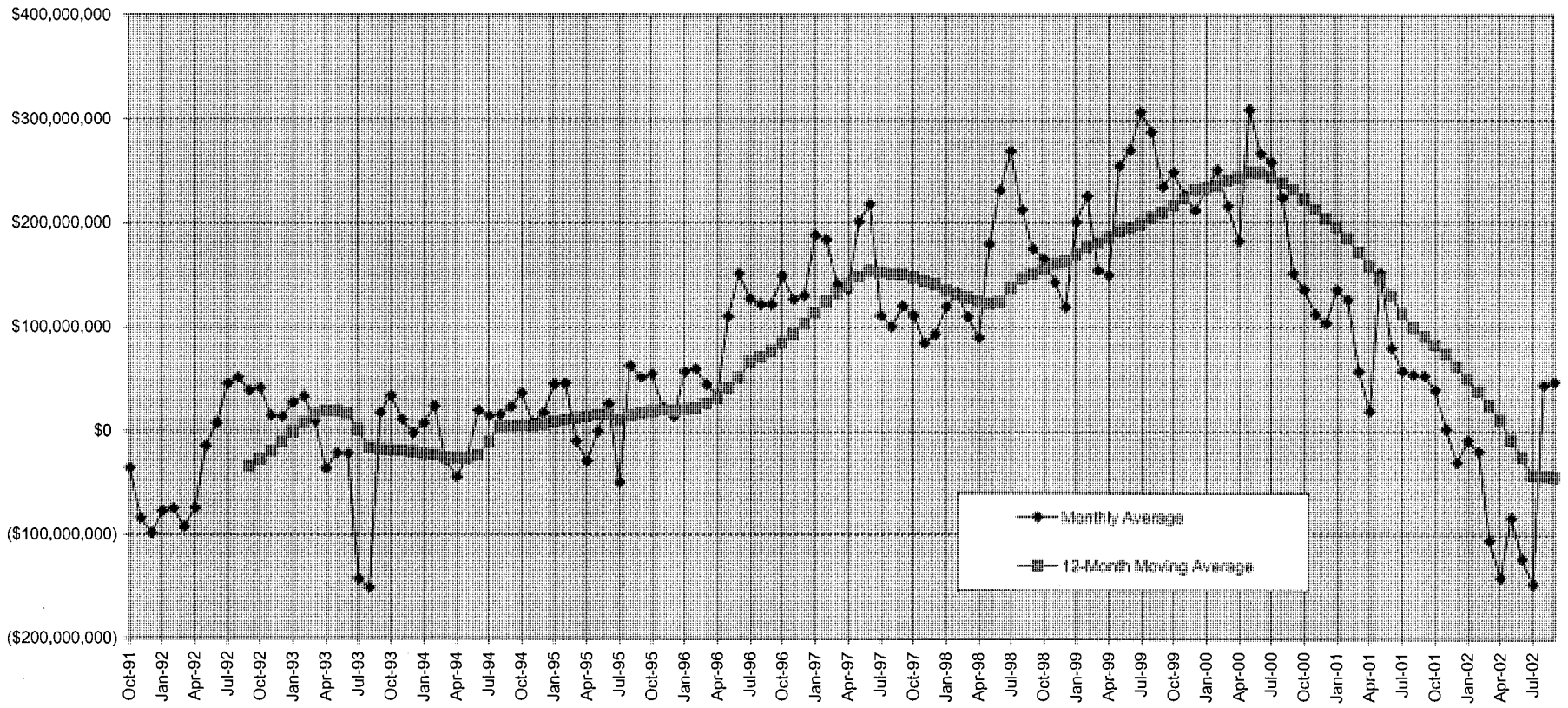
Composition of State's Cash Pool
July 2002 Average Daily Balances

	July 2002
General Fund - Total	($141,187,638)
General Fund - Detail	
Rainy Day Fund	$33,920,682
Working Capital Reserve	$10,500,000
General Fund - Other	($185,608,320)
Highway Fund	$76,098,249
Other Special Revenue - Contributing to General Fund	$121,102,756
Other Special Revenue - Retaining Interest Earned	$132,757,378
Other State Funds - Contributing Interest to General Fund	$5,526,952
Other State Funds	$97,124,699
Independent Agency Funds	$128,746,691
Total Cash Pool	**$420,169,087**



G:\OFPR\GENFUND\GFCSHBAL.XLS
9/18/2002 (Updated)

GENERAL FUND
DAILY STARTING CASH BALANCES

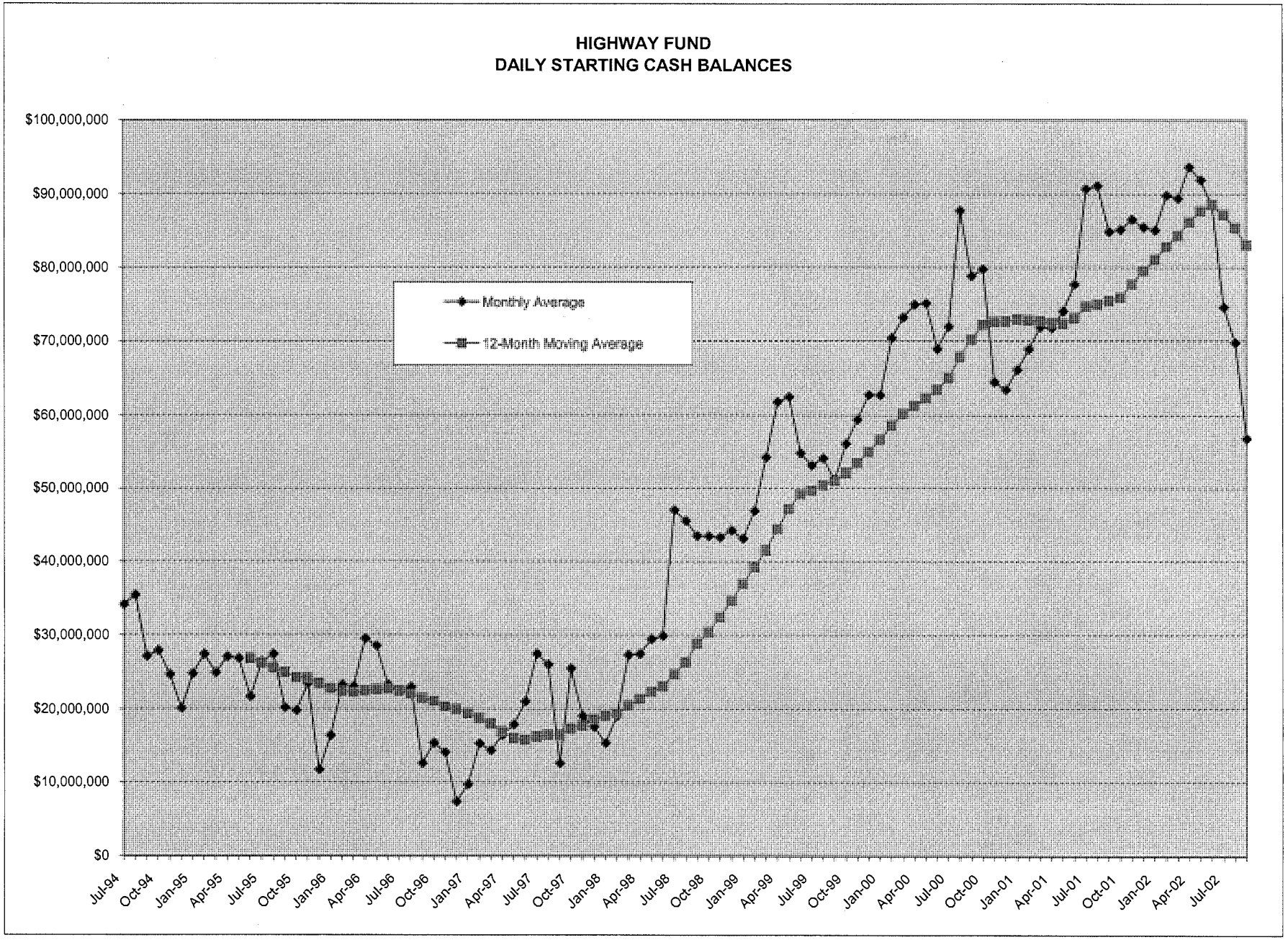


TAX ANTICIPATION NOTES - History from FY 1990-91 [1]

Fiscal Year	Amount Authorized	Amount Issued	Reference
2002-03 [1]	$299,836,387 [1]	$250,000,000	5 MRSA, §150 (as amended by PL 2001, c. 705)
2001-02	$100,000,000	$0	5 MRSA, §150 (as amended by PL 2001, c. 467)
1997-98	$100,000,000	$0	PL 1997, c. 24, §F-1 (Repealed by PL 1997, c. 643, §E-5)
1996-97	$190,000,000	$150,000,000	PL 1995, c. 665 §P-1
1995-96	$182,000,000	$182,000,000	PL 1995, c. 368, §V-1
1994-95	$175,000,000	$175,000,000	PL 1993, c. 707, §P-2
1993-94	$170,000,000	$170,000,000	PL 1993, c. 382, §1
1992-93	$170,000,000	$170,000,000	PL 1991, c. 780, §BB-1
1991-92	$150,000,000	$150,000,000	PL 1991, c. 589, §1
1990-91	$125,000,000	$115,000,000	PL 1991, c. 5, §1

Notes: [1] 5 MRSA, §150 provides the statutory authorization for Tax Anticipation Notes. As amended by PL 2001, c. 705, the limit is the same as that imposed by the Constitution of Maine, Article IX, Sec. 14, 10% of total General Fund appropriations and Highway Fund allocations or 1% of the State Valuation, whichever is less. The amount authorized for fiscal year 2002-03 reflects General Fund appropriations and Highway Fund allocations for fiscal year 2002-03 through the 120th Legislature, 2nd Reg. Session. Special exceptions to the general authorization levels are detailed in this table.

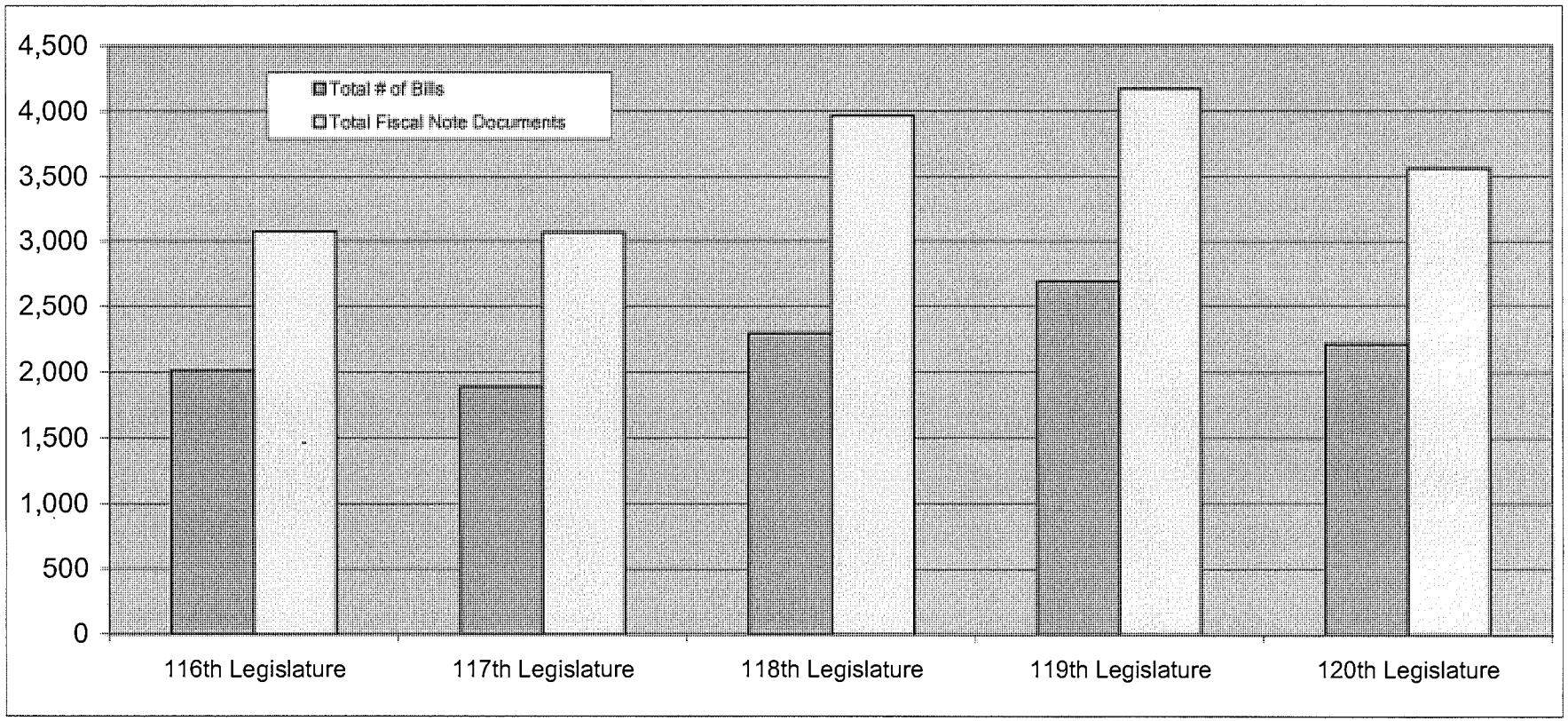
G:\OFPR\REPORTS\HFCSHBAL.XLS
9/18/02 (Updated)



History of Fiscal Note Workload

	116th Legislature	117th Legislature	118th Legislature	119th Legislature	120th Legislature
Total # of Bills	2013	1891	2298	2693	2219
# of Fiscal Note Memos Completed*	1425	1586	1941	2052	1574
# of Fiscal Reviews Completed	1651	1483	2026	2121	1989
Total Fiscal Note Documents	3076	3069	3967	4173	3563

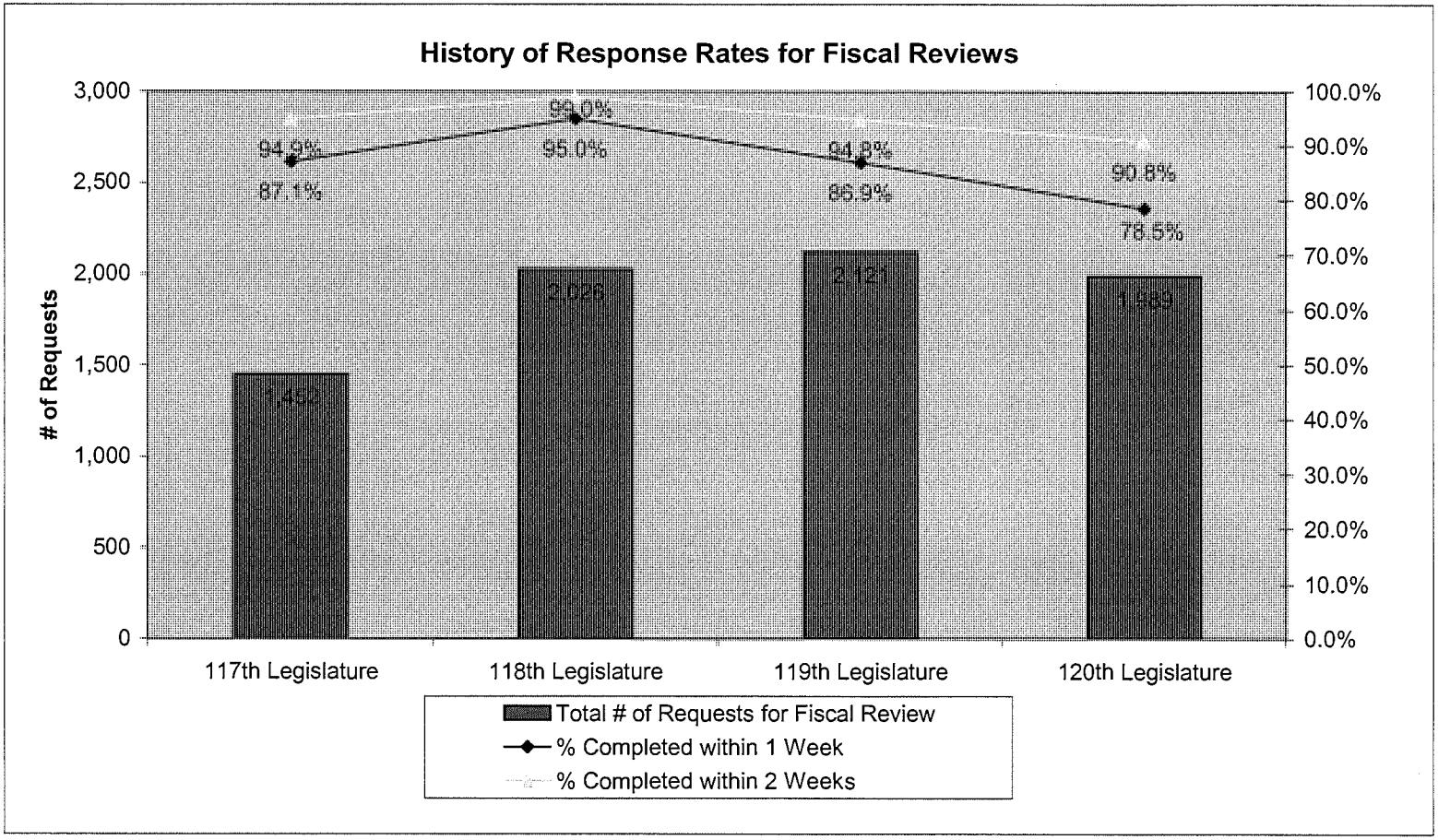
** Excludes Revised Fiscal Note Memos*



G:\OFPR\FNOTES\FNHISTORY.XLS
Updated: 9/18/02

History of Response Rate for Fiscal Reviews

	117th Legislature	118th Legislature	119th Legislature	120th Legislature
Total # of Requests for Fiscal Review	1,452	2,026	2,121	1,989
% Completed within 1 Week	87.1%	95.0%	86.9%	78.5%
% Completed within 2 Weeks	94.9%	99.0%	94.8%	90.8%
Total # of Requests - 1st Regular Session	1,082	1,253	1,254	1,288
% Completed within 1 Week	84.4%	93.8%	87.2%	74.2%
% Completed within 2 Weeks	93.6%	98.8%	95.1%	88.7%
Total # of Requests 2nd Regular Session	370	773	867	701
% Completed within 1 Week	95.1%	96.9%	86.5%	86.6%
% Completed within 2 Weeks	98.6%	99.4%	94.4%	94.6%

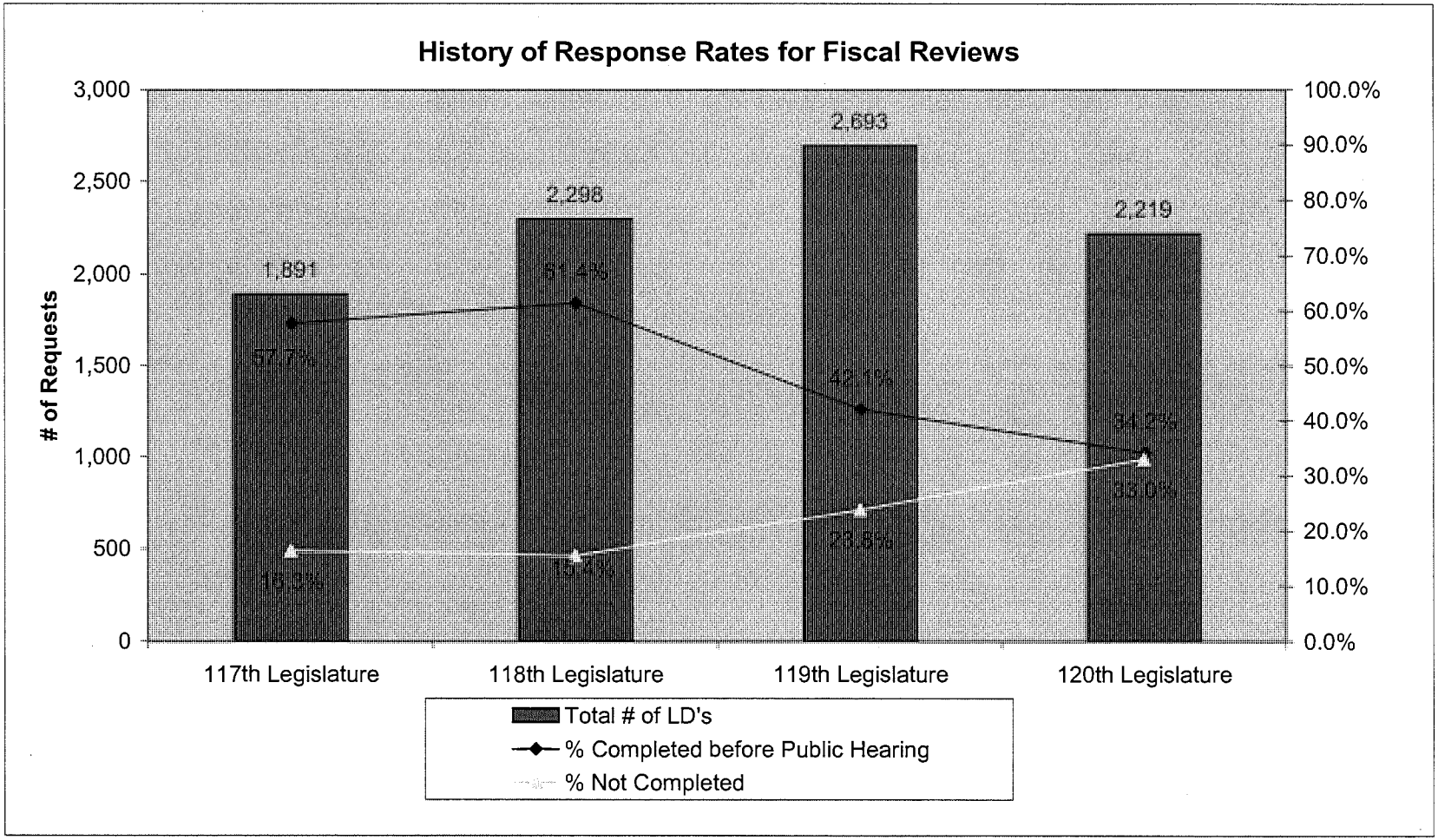


G:\OFPR\FNOTES\FNHISTORY.XLS
Updated: 7/11/02

Performance History for Fiscal Note Memos*

	117th Legislature	118th Legislature	119th Legislature	120th Legislature
Total # of LD's	1,891	2,298	2,693	2,219
% Completed before Public Hearing	57.7%	61.4%	42.1%	34.2%
% Not Completed	16.3%	15.4%	23.8%	33.0%

* Does not include Revised Fiscal Notes



G:\OFPR\FNOTES\FNHISTORY.XLS
Updated: 9/18/02

REVENUE FORECASTING COMMITTEE

Memorandum

September 19, 2002

TO: Governor Angus S. King, Jr.
Members, Legislative Council
Members, Joint Standing Committee on Appropriations & Financial Affairs
Members, Joint Standing Committee on Taxation
Members, Joint Standing Committee on Transportation

FROM: Laurie Lachance, Chair, Revenue Forecasting Committee

RE: **Summary of the August 2002 Revenue Forecasting Committee Meetings**

The Revenue Forecasting Committee (RFC) has concluded its review and reprojection of the General Fund, Highway Fund and Tobacco Settlement revenues for the period FY03-FY05. As you may know, the Committee met in advance of its normal fall schedule to react to the major negative revenue variance experienced in the Individual Income Tax for the fiscal year ending June 30, 2002 and to provide an updated forecast in advance of a special legislative session to address the resulting budget shortfall. This updated revenue forecast is based on an updated economic forecast provided by the Consensus Economic Forecasting Commission (CEFC) that met at the request of the RFC and the Legislature to provide an interim review of the changing economic outlook prior to its normally scheduled reporting date. Both of the forecasting bodies plan to hold their normal meetings beginning in mid-October to extend economic and revenue projections through the fiscal year ending June 30, 2007. However, neither of these forecasts, absent some major shock to the economy, is expected to produce any significant change to the 2003 numbers shown in the attached sheets.

In aggregate, the Revenue Forecasting Committee reprojected General Fund and Tobacco Settlement revenue downward and increased its Highway Fund estimates. The downward revision of the General Fund was driven by the anticipated further loss of capital gain revenue (originally projected to decline 5% in tax year 2002, now assumed to decline 20%) and a more pessimistic personal income forecast.

Fiscal Year	General Fund	Highway Fund	Tobacco Settlement
FY 03	($148,157,149)	$3,972,507	($66,100)
2002-2003 Biennium	**($148,157,149)**	**$3,972,507**	**($66,100)**
FY 04	($172,820,779)	$ 5,671,887	($109,200)
FY 05	(197,933,950)	7,766,548	(132,100)
2004-2005 Biennium	**($370,754,729)**	**$ 13,438,435**	**($241,300)**

Attached are tables that provide a summary by major revenue source of the recommended changes for the General Fund, Highway Fund and Tobacco Settlement revenue through FY05, as well as an additional table that details some of the minor revenue sources grouped and classified as "Other Revenue" in the summary tables. We are also attaching the detail of the Consensus Economic Forecast Commission projections and some graphs that illustrate the forecast assumptions related to capital gains income.

Please note, these estimates are based on the very best information that is available at this time. As with any forecast, there is risk surrounding the underlying assumptions, particularly in the areas of capital gains, automobile sales and national economic performance. If you have any questions regarding this forecast, underlying assumptions or our process for the fall, please feel free to call me at 287-1479 or any of the Revenue Forecasting Committee members.

Attachments

cc: Members and Staff, Revenue Forecasting Committee
Members and Staff, Consensus Economic Forecasting Commission
Janet Waldron, DAFS
Kay Rand, Governor's Office

GENERAL FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - AUGUST 2002

Source	FY00 Actual	FY01 Actual	% Chg.	FY02 Actual	% Chg.	FY03 Budget	% Chg.	Recom. Chg.	FY03 Reproject	% Chg.
Sales and Use Tax	$847,355,132	$817,781,460	-3.5%	$836,134,084	2.2%	$895,011,846	7.0%	($19,827,000)	$875,184,846	4.7%
Individual Income Tax	$1,074,621,614	$1,167,748,898	8.7%	$1,069,834,791	-8.4%	$1,257,663,548	17.6%	($152,999,722)	$1,104,663,826	3.3%
Corporate Income Tax	$150,045,645	$96,102,796	-36.0%	$77,366,103	-19.5%	$85,738,483	10.8%	($5,248,486)	$80,489,997	4.0%
Cigarette and Tobacco Tax	$78,343,171	$77,501,831	-1.1%	$97,599,599	25.9%	$102,949,755	5.5%	$2,734,750	$105,684,505	8.3%
Public Utilities Tax	$15,786,931	$29,777,365	88.6%	$30,479,783	2.4%	$30,400,000	-0.3%	$0	$30,400,000	-0.3%
Insurance Companies Tax	$42,697,847	$43,576,502	2.1%	$55,244,333	26.8%	$40,924,003	-25.9%	$15,722,351	$56,646,354	2.5%
Estate Tax	$58,803,666	$30,616,759	-47.9%	$23,420,240	-23.5%	$23,691,016	1.2%	$326,552	$24,017,568	2.6%
Property Tax - Unorganized Territory	$9,333,204	$9,808,431	5.1%	$10,333,984	5.4%	$9,669,807	-6.4%	$0	$9,669,807	-6.4%
Income from Investments	$20,312,457	$16,365,950	-19.4%	$3,829,521	-76.6%	$10,014,129	161.5%	($7,723,129)	$2,291,000	-40.2%
Transfer to Municipal Revenue Sharin	($105,673,142)	($106,163,291)	0.5%	($101,150,084)	-4.7%	($114,654,916)	13.4%	$9,135,900	($105,519,016)	4.3%
Transfer from Liquor Commission	$23,226,758	$24,231,271	4.3%	$25,168,524	3.9%	$26,290,223	4.5%	$0	$26,290,223	4.5%
Transfer from Lottery Commission	$38,138,174	$35,677,299	-6.5%	$39,317,891	10.2%	$36,809,911	-6.4%	$2,525,265	$39,335,176	0.0%
Other Revenues	$142,225,349	$147,603,081	3.8%	$164,081,792	11.2%	$145,262,118	-11.5%	$7,196,370	$152,458,488	-7.1%
Total - General Fund Revenue	$2,395,216,806	$2,390,628,351	-0.2%	$2,331,660,562	-2.5%	$2,549,769,923	9.4%	($148,157,149)	$2,401,612,774	3.0%
Change in Biennial Totals								($148,157,149)		

Updated: 9/18/02

GENERAL FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - AUGUST 2002

Source	FY04 Budget	% Chg.	Recom. Chg.	FY04 Reproject	% Chg.	FY05 Budget	% Chg.	Recom. Chg.	FY05 Reproject	% Chg.
Sales and Use Tax	$937,976,411	4.8%	($28,500,000)	$909,476,411	3.9%	$987,561,233	5.3%	($36,170,000)	$951,391,233	4.6%
Individual Income Tax	$1,343,591,127	6.8%	($169,083,148)	$1,174,507,979	6.3%	$1,436,008,469	6.9%	($196,242,171)	$1,239,766,298	5.6%
Corporate Income Tax	$95,119,329	10.9%	($12,838,891)	$82,280,438	2.2%	$101,620,156	6.8%	($5,205,589)	$96,414,567	17.2%
Cigarette and Tobacco Tax	$102,234,976	-0.7%	$2,723,038	$104,958,014	-0.7%	$101,422,388	-0.8%	$2,716,659	$104,139,047	-0.8%
Public Utilities Tax	$29,500,000	-3.0%	$0	$29,500,000	-3.0%	$28,600,000	-3.1%	$0	$28,600,000	-3.1%
Insurance Companies Tax	$40,963,028	0.1%	$16,109,197	$57,072,225	0.8%	$42,993,604	5.0%	$16,516,717	$59,510,321	4.3%
Estate Tax	$13,873,040	-41.4%	($44,850)	$13,828,190	-42.4%	$6,527,219	-53.0%	($111,618)	$6,415,601	-53.6%
Property Tax - Unorg. Territory	$10,466,939	8.2%	$0	$10,466,939	8.2%	$11,055,885	5.6%	$0	$11,055,885	5.6%
Income from Investments	$4,311,400	-56.9%	($1,746,400)	$2,565,000	12.0%	$4,316,800	0.1%	($1,406,800)	$2,910,000	13.5%
Transfer to Municipal Rev. Sharing	($123,587,717)	7.8%	$10,941,946	($112,645,771)	6.8%	($131,309,873)	6.2%	$12,356,124	($118,953,749)	5.6%
Transfer from Liquor Commission	$26,934,677	2.5%	$0	$26,934,677	2.5%	$27,288,730	1.3%	$0	$27,288,730	1.3%
Transfer from Lottery Commission	$36,809,911	0.0%	$2,525,265	$39,335,176	0.0%	$36,809,911	0.0%	$2,525,265	$39,335,176	0.0%
Other Revenues	$137,611,625	-5.3%	$7,093,064	$144,704,689	-5.1%	$139,143,580	1.1%	$7,087,463	$146,231,043	1.1%
Total - General Fund Revenue	$2,655,804,746	4.2%	($172,820,779)	$2,482,983,967	3.4%	$2,792,038,102	5.1%	($197,933,950)	$2,594,104,152	4.5%
Change in Biennial Totals								($370,754,729)		

HIGHWAY FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - AUGUST 2002

Source	FY00 Actual	FY01 Actual	% Chg.	FY02 Actual	% Chg.	FY03 Budget	% Chg.	Recom. Chg.	FY03 Reproject	% Chg.
Fuel Taxes	$174,691,254.82	$182,502,097	4.5%	$184,732,999.35	1.2%	$182,478,107	-1.2%	$5,021,893	$187,500,000	1.5%
Motor Vehicle Registration & Fees	$74,079,565.58	$76,317,758	3.0%	$83,285,013.76	9.1%	$79,754,630	-4.2%	$1,935,706	$81,690,336	-1.9%
Inspection Fees	$2,625,495.61	$2,491,816	-5.1%	$3,412,662.46	37.0%	$3,918,052	14.8%	($234,145)	$3,683,907	7.9%
Fines, Forfeits and Penalties	$2,145,602.47	$2,097,609	-2.2%	$1,958,350.21	-6.6%	$4,343,043	121.8%	($1,477,398)	$2,865,645	46.3%
Income from Investments	$3,997,979.20	$4,241,955	6.1%	$2,857,209.00	-32.6%	$3,000,000	5.0%	($1,409,000)	$1,591,000	-44.3%
Other Revenues	$5,379,040	$5,466,666	1.6%	$5,737,782	5.0%	$6,646,193	15.8%	$135,451	$6,781,644	18.2%
Total - Highway Fund Revenue	$262,918,938	$273,117,902	3.9%	$281,984,017	3.2%	$280,140,025	-0.7%	$3,972,507	$284,112,532	0.8%
Change in Biennial Totals								$3,972,507		

Updated: 9/18/02

HIGHWAY FUND REVENUE

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - AUGUST 2002

Source	FY04 Budget	% Chg.	Recom. Chg.	FY04 Reproject	% Chg.	FY05 Budget	% Chg.	Recom. Chg.	FY05 Reproject	% Chg.
Fuel Taxes	$205,852,110	12.8%	$5,934,353	$211,786,463	13.0%	$213,148,917	3.5%	$7,416,649	$220,565,566	4.1%
Motor Vehicle Registration & Fees	$77,910,434	-2.3%	$962,475	$78,872,909	-3.4%	$77,822,633	-0.1%	$1,353,566	$79,176,199	0.4%
Inspection Fees	$3,949,839	0.8%	($257,468)	$3,692,371	0.2%	$3,957,952	0.2%	($256,993)	$3,700,959	0.2%
Fines, Forfeits and Penalties	$4,365,473	0.5%	($1,451,600)	$2,913,873	1.7%	$4,422,110	1.3%	($1,478,482)	$2,943,628	1.0%
Income from Investments	$1,500,000	-50.0%	$348,000	$1,848,000	16.2%	$1,500,000	0.0%	$595,000	$2,095,000	13.4%
Other Revenues	$6,665,175	0.3%	$136,127	$6,801,302	0.3%	$6,684,251	0.3%	$136,808	$6,821,059	0.3%
Total - Highway Fund Revenue	$300,243,031	7.2%	$5,671,887	$305,914,918	7.7%	$307,535,863	2.4%	$7,766,548	$315,302,411	3.1%
Change in Biennial Totals								$13,438,435		

TOBACCO SETTLEMENT REVENUE (FUND FOR A HEALTHY MAINE)

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - AUGUST 2002

Source	FY00 Actual	FY01 Actual	% Chg.	FY02 Actual	% Chg.	FY03 Budget	% Chg.	Recom. Chg.	FY03 Reproject	% Chg.
Initial Payments	$35,541,456	$16,839,539	-52.6%	$16,236,644	-3.6%	$16,278,619	0.3%	$0	$16,278,619	0.3%
Base Payments	$27,422,283	$30,926,515	12.8%	$40,055,643	29.5%	$39,391,260	-1.7%	$0	$39,391,260	-1.7%
Attorney General Reimbursements	$212,904	$53,226	-75.0%	$25,475	-52.1%	$0	-100.0%	$0	$0	-100.0%
Income from Investments	$1,604,300	$3,605,927	124.8%	$1,233,998	-65.8%	$642,200	-48.0%	($66,100)	$576,100	-53.3%
Total - Tobacco Settlement Revenue	$64,780,943	$51,425,207	-20.6%	$57,551,760	11.9%	$56,312,079	-2.2%	($66,100)	$56,245,979	-2.3%
Change in Biennial Totals								($66,100)		

Updated: 9/18/02

TOBACCO SETTLEMENT REVENUE (FUND FOR A HEALTHY MAINE)

REVENUE FORECASTING COMMITTEE RECOMMENDATIONS - AUGUST 2002

Source	FY04 Budget	% Chg.	Recom. Chg.	FY04 Reproject	% Chg.	FY05 Budget	% Chg.	Recom. Chg.	FY05 Reproject	% Chg.
Initial Payments	$0	-100.0%	$0	$0	-100.0%	$0	#DIV/0!	$0	$0	#DIV/0!
Base Payments	$49,042,116	24.5%	$0	$49,042,116	24.5%	$49,586,323	1.1%	$0	$49,586,323	1.1%
Attorney General Reimbursements	$0	#DIV/0!	$0	$0	#DIV/0!	$0	#DIV/0!	$0	$0	#DIV/0!
Income from Investments	$240,300	-62.6%	($109,200)	$131,100	-77.2%	$357,000	48.6%	($132,100)	$224,900	71.5%
Total - Tobacco Settlement Revenue	$49,282,416	-12.5%	($109,200)	$49,173,216	-12.6%	$49,943,323	1.3%	($132,100)	$49,811,223	1.3%
Change in Biennial Totals								($241,300)		

General Fund and Highway Fund Revenue

Summary of Revenue Variances and Reprojections - August 14th and 28th Meetings

GENERAL FUND

Revenue Line	FY02 Budget	FY02 Variance	% Variance	FY03 Adjustment	FY04 Adjustment	FY05 Adjustment
Sales and Use Tax	841,060,667	(4,926,583)	-0.59%	(19,827,000)	(28,500,000)	(36,170,000)
Individual Income Tax	1,176,031,661	(106,196,870)	-9.03%	(152,999,722)	(169,083,148)	(196,242,171)
Corporate Income Tax	89,843,041	(12,476,938)	-13.89%	(5,248,486)	(12,838,891)	(5,205,589)
Cigarette and Tobacco Tax	94,910,131	2,689,468	2.83%	2,734,750	2,723,038	2,716,659
Public Utilities Tax	30,100,000	379,783	1.26%	0	0	0
Insurance Companies Tax	43,381,856	11,862,477	27.34%	15,722,351	16,109,197	16,516,717
Estate Tax	25,382,026	(1,961,786)	-7.73%	326,552	(44,850)	(111,618)
Property Tax - Unorganized Territory	9,985,081	348,903	3.49%	0	0	0
Income from Investments	5,729,372	(1,899,851)	-33.16%	(7,723,129)	(1,746,400)	(1,406,800)
Transfer to Municipal Revenue Sharing	(107,453,704)	6,303,620	-5.87%	9,135,900	10,941,946	12,356,124
Transfer from Liquor Commission	24,805,507	363,017	1.46%	0	0	0
Transfer from Lottery Commission	37,762,402	1,555,489	4.12%	2,525,265	2,525,265	2,525,265
Other Revenue *	152,658,634	11,423,158	7.48%	7,196,370	7,093,064	7,087,463
Totals	**2,424,196,674**	**(92,536,112)**	**-3.82%**	**(148,157,149)**	**(172,820,779)**	**(197,933,950)**

*** General Fund - Other Revenue - Major Revenue Variances and Detail of Revenue Forecasting Committee Adjustments**

Real Estate Transfer Tax	7,931,428	1,277,495	16.11%	1,526,139	1,792,657	2,062,147
Commercial Forestry Excise Tax	3,146,000	535,764	17.03%	157,000	160,250	162,254
Aeronautical Gas Tax	1,105,000	(205,696)	-18.62%	(250,000)	(290,000)	(325,000)
Banking/Securities Fees	8,165,000	1,191,930	14.60%	490,000	73,000	63,000
Fine Revenue	26,194,758	399,023	1.52%	(854,449)	(635,285)	(793,616)
DHS - Federal Grants - Other Purposes	19,042,182	1,955,426	10.27%	3,614,000	3,686,000	3,760,000
DHS - Child Support Collections				86,000	86,000	86,000
DHS - OASI Payments				(106,000)	(63,000)	(223,000)
BDS - Audit Returns				400,000	0	0
BDS - Driver Rehab Course Fees				176,217	418,971	415,976
BDS - MH Case Management	2,287,871	603,665	26.39%	0	0	0
BDS - MR Medicaid Waiver - Case Mg	9,713,592	482,954	4.97%	0	0	0
Abandoned Property	6,500,000	1,336,243	20.56%	0	1,000,000	1,000,000
STA-CAP Program	9,083,000	1,148,444	12.64%	1,147,902	0	0
Mahagony Quahog Tax				20,000	20,000	20,000
Conservation - LURC Fees and Park Fees				289,300	289,300	289,300
Sec. of State - Corporation Fees				189,000	88,000	90,020
Sec. of State - Fines				25,000	25,000	25,000
Sec. of State - Other Revenue				286,261	430,671	413,382
Bur. of Insurance - Fines				0	11,500	42,000

General Fund and Highway Fund Revenue
Summary of Revenue Variances and Reprojections - August 14th and 28th Meetings

HIGHWAY FUND

Revenue Line	FY02 Budget	FY02 Variance	% Variance	FY03 Adjustment	FY04 Adjustment	FY05 Adjustment
Fuel Taxes	179,876,344	4,856,655	2.70%	5,021,893	5,934,353	7,416,649
Motor Vehicle Registration and Fees	77,418,092	5,866,922	7.58%	1,935,706	962,475	1,353,566
Inspection Fees	3,338,354	74,308	2.23%	(234,145)	(257,468)	(256,993)
Fines	2,780,982	(822,632)	-29.58%	(1,477,398)	(1,451,600)	(1,478,482)
Interest Earnings	3,000,000	(142,791)	-4.76%	(1,409,000)	348,000	595,000
Other Revenue **	5,934,085	(1,161,726)	-19.58%	135,451	136,127	136,808
Totals	**272,347,857**	**9,636,160**	**3.54%**	**3,972,507**	**5,671,887**	**7,766,548**
**** Highway Fund - Other Revenue - Major Revenue Variances**						
Highway Fund - STA-CAP Offset	1,496,535	(737,187)	-49.26%	0	0	0
Sale of Autos	150,000	150,784	100.52%	0	0	0
Other Settlements	50,000	186,705	373.41%	0	0	0
Sec. of State - BMV - Other Revenue				135,451	136,127	136,808

Consensus Economic Forecasting Commission

Recommendations - Historical Projections vs. Current Projections

Calendar Years	1998	1999	2000	2001	2002	2003	2004	2005
• W&S Employment (Annual Percentage Change)								
> Consensus 9/98	1.6	1.0	1.0	1.1	1.2	1.2		
> Consensus 1/99	2.0	1.5	1.3	1.1	1.2	1.2		
> Consensus 11/99	*2.9*	2.5	1.1	1.3	1.3	1.3		
> Consensus 1/2000	*2.9*	*2.6*	1.7	1.3	1.3	1.3		
> Consensus 9/2000	*2.8*	*2.9*	2.5	1.1	1.3	1.3	1.3	1.3
> Consensus 1/2001	*2.8*	*2.9*	2.5	1.1	1.3	1.3	1.3	1.3
> Consensus 2/2001	*2.8*	*2.9*	2.5	1.1	1.3	1.3	1.3	1.3
> Consensus 11/2001	*2.8*	*3.0*	*3.0*	1.0	-0.7	1.4	1.0	1.0
> Consensus 1/2002	*2.8*	*3.0*	*3.0*	1.0	0.5	1.2	1.2	1.2
> Consensus 7/2002	*2.8*	*3.0*	*2.9*	*1.0*	0.4	1.0	1.0	1.0
Change in Forecast from Jan. 2002:				0.0	-0.1	-0.2	-0.2	-0.2
• Personal Income (Annual Percentage Change)								
> Consensus 9/98	5.5	5.2	5.4	5.4	5.4	5.4		
> Consensus 1/99	5.0	5.0	5.1	5.1	5.2	5.2		
> Consensus 11/99	*5.1*	5.0	4.8	5.0	5.0	5.0		
> Consensus 1/2000	*5.1*	5.0	5.0	4.8	4.8	5.0		
> Consensus 9/2000	*5.7*	*5.0*	5.5	5.5	5.0	5.0	5.0	5.0
> Consensus 1/2001	*5.7*	*5.0*	5.0	5.0	5.0	5.0	5.0	5.0
> Consensus 2/2001	*5.7*	*5.0*	5.0	4.5	5.0	5.0	5.0	5.0
> Consensus 11/2001	*6.1*	*4.3*	*5.5*	5.0	3.0	4.7	4.5	4.5
> Consensus 1/2002 *	*6.1*	*4.3*	*5.5*	5.0	5.0	5.0	5.0	5.0
> Consensus 7/2002	*6.1*	*4.3*	*5.4*	*4.6*	3.8	4.0	4.5	4.5
Change in Forecast from Jan. 2002:				-0.4	-1.2	-1.0	-0.5	-0.5
• CPI (Annual Percentage Change)								
> Consensus 9/98	1.7	2.8	2.8	3.0	3.0	2.9		
> Consensus 1/99	1.7	2.8	2.8	3.0	3.0	2.9		
> Consensus 11/99	*1.6*	2.2	2.5	2.5	2.5	2.5		
> Consensus 1/2000	*1.6*	*2.2*	2.5	2.5	2.5	2.5		
> Consensus 9/2000	*1.6*	*2.2*	3.0	2.5	2.5	2.5	2.5	2.5
> Consensus 1/2001	*1.6*	*2.2*	3.0	2.5	2.5	2.5	2.5	2.5
> Consensus 2/2001	*1.6*	*2.2*	3.0	2.5	2.5	2.5	2.5	2.5
> Consensus 11/2001	*1.6*	*2.2*	*3.4*	2.0	2.0	2.0	2.0	2.0
> Consensus 1/2002	*1.6*	*2.2*	*3.4*	*2.8*	2.0	2.0	2.0	2.0
> Consensus 7/2002	*1.6*	*2.2*	*3.4*	*2.8*	1.7	2.5	2.5	2.5
Change in Forecast from Jan. 2002:				0.0	-0.3	0.5	0.5	0.5

** 2001 Personal Income growth percentage reduced from 5.5% to 5.0% by the Revenue Forecasting Committee*

(Amounts in italics and boxes represent actuals; shaded amounts reflect revised forecast and change from previous forecasts)

Consensus Economic Forecasting Commission

Major Components of Personal Income

Change from Prior Forecast

Calendar Years	2002	2003	2004	2005
• Personal Income - Wage and Salary Component (Annual Percentage Change)				
> Consensus 1/2002	6.4	5.6	5.8	5.9
> Consensus 7/2002	3.5	4.0	4.6	4.5
Change in Forecast	-2.9	-1.6	-1.2	-1.4
• Personal Income - Non-farm Proprietors' Income Component (Annual Percentage Change)				
> Consensus 1/2002	6.3	7.6	7.5	6.2
> Consensus 7/2002	4.3	4.5	4.6	4.5
Change in Forecast	-2.0	-3.1	-2.9	-1.7
• Personal Income - Transfer Payments Component (Annual Percentage Change)				
> Consensus 1/2002	3.0	3.0	3.0	5.0
> Consensus 7/2002	6.0	3.3	4.5	4.5
Change in Forecast	3.0	0.3	1.5	-0.5
• Personal Income - Dividends, Interest & Rents Component (Annual Percentage Change)				
> Consensus 1/2002	4.4	5.6	5.8	5.9
> Consensus 7/2002	3.3	4.4	4.2	4.5
Change in Forecast	-1.1	-1.2	-1.6	-1.4
• Personal Income - Other Labor Income Component (Annual Percentage Change)				
> Consensus 1/2002	-0.1	-0.3	-0.6	-0.9
> Consensus 7/2002	3.3	4.4	4.2	4.5
Change in Forecast	3.4	4.7	4.8	5.4

(income adjustments in R:\Revenues\Personal Income_csc5.XLS)
and Employment components are adjusted in R:\CEFC\Empforc7_02.XLS

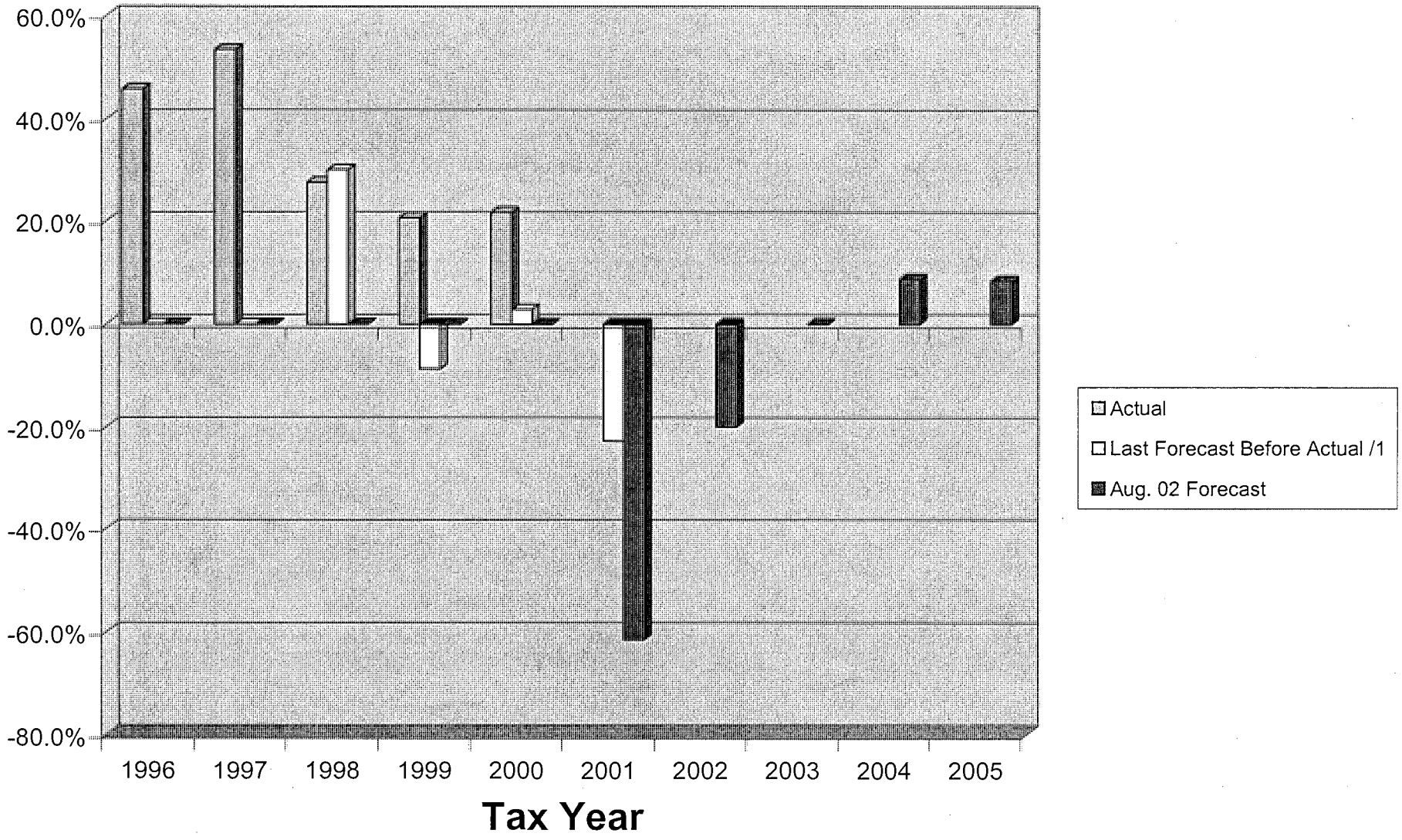
Maine Consensus Economic Forecasting Commission
Forecast of July 26,2002
(WITH ADJUSTMENTS OF 8/22/02)

								History	Forecast			
	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
	Rate or Annual Growth Rate											
CPI-u	-	2.8%	3.0%	2.3%	1.6%	2.2%	3.4%	2.8%	1.7%	2.5%	2.5%	2.5%
Me. Unemployment Rate	7.4	5.7	5.1	5.4	4.4	4.1	3.5	4.0	3.9	3.8	3.6	3.6
3-Month Treasury Bill Rate	4.2%	5.5%	5.0%	5.1%	4.8%	4.6%	5.8%	3.4%	1.8%	3.0%	4.7%	5.0%
10-Year Treasury Note Rate	7.1%	6.6%	6.4%	6.4%	5.3%	5.6%	6.0%	5.0%	5.1%	5.4%	5.9%	5.8%
Maine Agricultural Empl.(1,000's	14.5	14.4	14.3	13.8	16.1	13.4	14.0	14.0	14.0	14.0	14.0	14.0
	Employment Levels (1,000's)											
Maine Wage & Salary Employm	531.6	538.2	542.5	553.7	569.2	586.3	603.5	609.4	611.8	617.9	624.1	630.3
Mining	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Construction	21.0	21.7	23.2	23.3	25.1	28.0	29.4	29.7	29.9	30.2	30.5	30.8
Manufacturing	91.4	91.1	88.3	87.8	87.1	86.2	85.2	81.2	75.2	73.7	72.2	70.8
Transp/Commun./Publ. Utils.	22.6	22.3	22.6	23.1	23.6	24.1	24.5	24.7	24.9	25.1	25.3	25.5
Wholesale Trade	23.9	25.1	25.8	26.0	26.5	27.0	27.1	26.9	27.0	27.1	27.2	27.3
Retail Trade	110.4	111.9	111.4	113.4	115.8	119.0	122.8	124.4	125.8	127.4	128.0	130.0
FIRE	26.3	26.0	27.0	28.4	29.7	31.4	32.4	33.6	34.2	35.0	35.8	36.6
Services	141.6	146.8	151.1	158.7	166.8	173.9	182.5	187.0	189.0	192.5	196.6	199.4
Government	94.1	93.2	92.8	93.0	94.6	96.7	99.6	101.8	105.7	106.8	108.4	109.8
	Annual Growth Rates											
Maine Wage & Salary Employm	-	1.2%	0.8%	2.1%	2.8%	3.0%	2.9%	1.0%	0.4%	1.0%	1.0%	1.0%
Mining	-	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Construction	-	3.0%	7.0%	0.5%	7.5%	11.6%	5.1%	1.0%	0.7%	1.0%	1.0%	1.0%
Manufacturing	-	-0.4%	-3.0%	-0.6%	-0.8%	-1.1%	-1.2%	-4.6%	-7.4%	-2.0%	-2.0%	-1.9%
Transp/Commun./Publ. Utils.	-	-1.4%	1.5%	2.2%	1.9%	2.2%	1.6%	0.8%	0.8%	0.8%	0.8%	0.8%
Wholesale Trade	-	4.8%	2.9%	0.6%	1.8%	2.2%	0.2%	-0.7%	0.3%	0.6%	0.2%	0.4%
Retail Trade	-	1.4%	-0.4%	1.8%	2.2%	2.7%	3.2%	1.3%	1.1%	1.3%	0.5%	1.6%
FIRE	-	-1.1%	3.8%	5.2%	4.4%	5.6%	3.3%	3.7%	1.8%	2.3%	2.3%	2.2%
Services	-	3.7%	3.0%	5.0%	5.1%	4.2%	5.0%	2.5%	1.1%	1.9%	2.1%	1.4%
Government	-	-1.0%	-0.4%	0.2%	1.8%	2.2%	3.0%	2.2%	3.8%	1.0%	1.5%	1.3%

								History	Forecast			
	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005
	Million $ - at Seas. Adjusted Annual Rates											
Personal income	24173.9	25045.9	26433.8	27772.8	29468.50	30743.00	32409.25	33909.3	35197.8	36605.71	38253.0	39974.4
Wage and salary disbursement	12408.4	12923.4	13456.4	14315.5	15242.50	16246.75	17224.25	18045.5	18677.1	19424.2	20317.7	21232.0
Other labor income	2121.8	2064.8	2103.9	2033.6	2058.50	2147.50	2217.50	2339.3	2417.0	2522.7	2628.9	2747.2
Non-farm Proprietors' income	1885.6	1791.9	1958.8	2051.7	2223.25	2385.75	2495.75	2616.5	2729.0	2852.0	2982.6	3116.9
plus: Dividends, interest, and re	4346.6	4690.8	5037.1	5361.9	5824.75	5759.25	6018.00	6126.0	6330.6	6606.3	6884.5	7194.2
plus: Transfer payments	4190.6	4401.6	4686.9	4903.0	5010.00	5133.25	5402.25	5827.3	6177.0	6383.0	6670.0	6970.0
less: Personal contrb. for socia	999.7	1051.0	1084.6	1144.9	1210.5	1289.3	1342.0	1430.0	1477.8	1542.1	1607.0	1679.4
plus: Adjustment for residence	140.0	183.1	208.5	240.0	274.50	291.75	330.50	334.3	345	360	376	393
Farm Income	145.4	113.9	145.0	92.1	125.00	141.75	145.25	141.3	141	146.4	153.0	159.9
	Annual Growth Rates											
Personal income	-	3.6%	5.5%	5.1%	6.1%	4.3%	5.4%	4.6%	3.8%	4.0%	4.5%	4.5%
Wage and salary disbursement	-	4.2%	4.1%	6.4%	6.5%	6.6%	6.0%	4.8%	3.5%	4.0%	4.6%	4.5%
Other labor income	-	-2.7%	1.9%	-3.3%	1.2%	4.3%	3.3%	5.5%	3.3%	4.4%	4.2%	4.5%
Non-farm Proprietors' income	-	-5.0%	9.3%	4.7%	8.4%	7.3%	4.6%	4.8%	4.3%	4.5%	4.6%	4.5%
plus: Dividends, interest, and re	-	7.9%	7.4%	6.4%	8.6%	-1.1%	4.5%	1.8%	3.3%	4.4%	4.2%	4.5%
plus: Transfer payments	-	5.0%	6.5%	4.6%	2.2%	2.5%	5.2%	7.9%	6.0%	3.3%	4.5%	4.5%
less: Personal contrb. for socia	-	5.1%	3.2%	5.6%	5.7%	6.5%	4.1%	6.6%	3.3%	4.4%	4.2%	4.5%
plus: Adjustment for residence	-	30.8%	13.9%	15.1%	14.4%	6.3%	13.3%	1.1%	3.2%	4.3%	4.4%	4.5%
Farm Income	-	-21.7%	27.3%	-36.5%	35.7%	13.4%	2.5%	-2.8%	-0.3%	4.0%	4.5%	4.5%

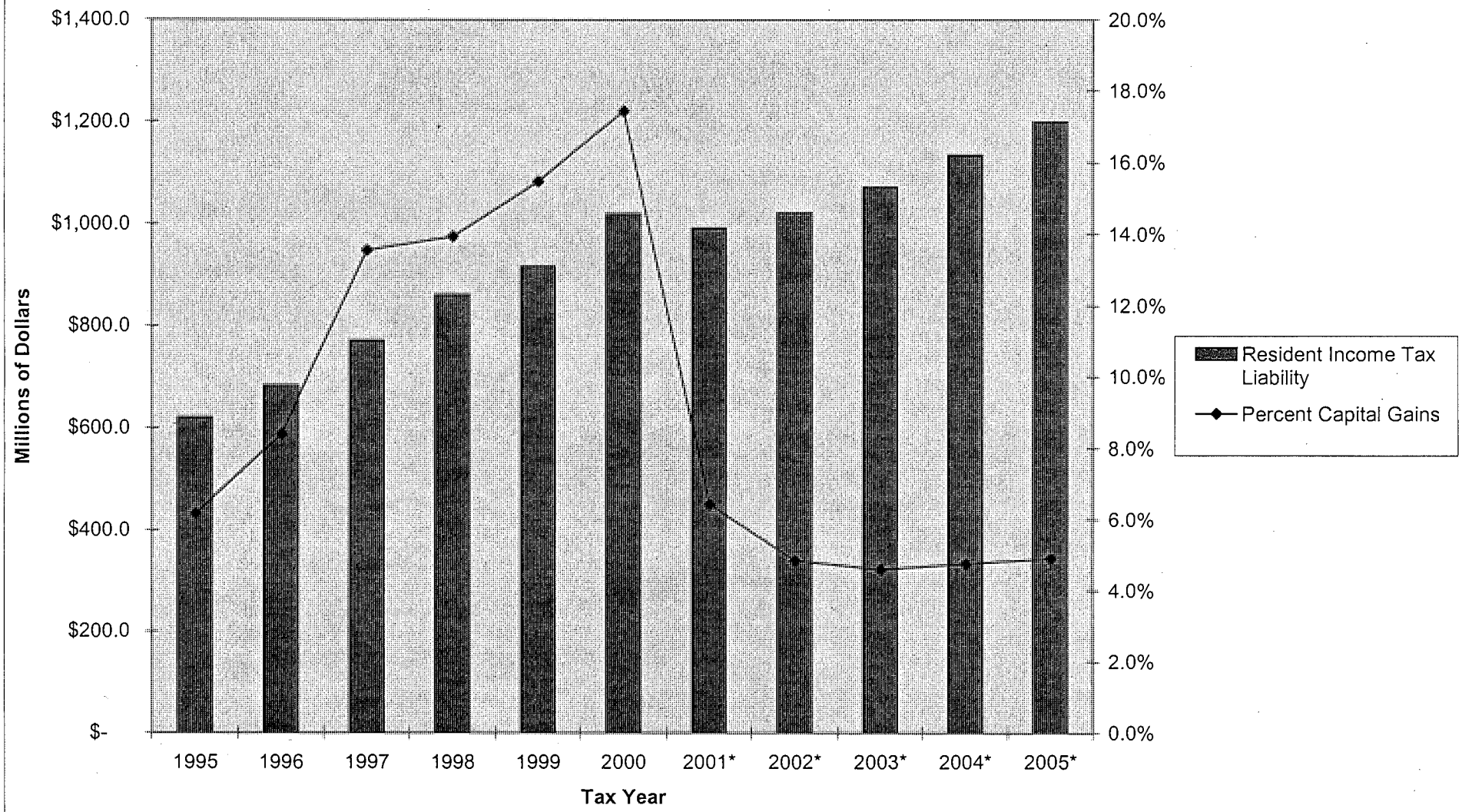
F:\CEFC\CEFC07_2002.XLS
(income adjustments in R:\Revenues\Personal Income_csc5.XLS)
(10yr Treas. Note rate from Economy.com - unpublished)

Percentage Change in Capital Gains Realizations by Maine Residents



1/ Represents last forecast prior to receipt of tax year return.

Percentage of Resident Liability Attributable to Net Capital Gains



* Signifies a forecasted value.

Legislative Council Technology Report
September 24, 2002

Preliminary Report as of Thursday, September 19, 2002

Bill Drafting System:

- **Two rounds of "user" testing of the Contract Amendment 4 scope of work have been completed.**

- **As of 9/18/02, there are in the order of 9 remaining open items, which are being reviewed by the Revisor's Office**

- **Application Performance Concerns (as reported at the 8/21/02 Council Meeting)**

    - **H-P has identified the primary problem as being "contention" between records in the database**
    - **A software change to correct the problem is being installed on 9/19/02**
    - **A round of testing will follow on Friday, 9/20/02, to determine the effectiveness of the corrected software**

- **Per Contract Amendment 4, H-P has until October 11, 2002 to turn over an acceptable system to the Legislature.**

- **A structured process has been established by the Executive Director to determine if the software is acceptable to the Legislature.**

Internet Access:

- **Use of the new address Maine.Gov format, SenatorSharon.Treat@Legislature.Maine.gov, has been authorized by the Commissioner of DAFS, and the State's Chief Information Officer.**

- **The technical process of exchanging Global Address Lists is being finalized and is being tested this week.**

Legislative Council Technology Report
September 24, 2002

- **The Global Address Lists will be updated several times daily.**

- **Several steps and tests remain to be completed in finalizing the link to insure the appropriate levels of security going in both directions.**

- **Work Plan**

    - **The completion of testing for the Global Address List exchange process (9/20/02)**
    - **Installation of all joint security components (9/27/02)**
    - **Putting in place the hardware and software to support "State.Me.Us" e-mail transfer from BIS (9/27/02)**
    - **Implement network to network link. (9/27/02)**
    - **Activate "Legislature.Maine.Gov" on the Internet. (10/18/02)**
    - **Issue new staff e-mail addresses, implement new Global Address List (10/18/02)**
    - **Revise Legislative internal distribution lists (10/18/02)**
    - **Issue new Legislator e-mail addresses, update Global Address List (12/xx/02)**
    - **Update Legislative internal distribution lists (12/xx/02)**
    - **Cut direct BIS network link (10/31/02)**

Maine State Legislature

OFFICE OF POLICY AND LEGAL ANALYSIS

13 State House Station, Augusta, Maine 04333-0013
Telephone: (207) 287-1670
Fax: (207) 287-1275

Memorandum

TO: The Honorable Michael V. Saxl, Chair
The Honorable Michael H. Michaud, Vice-Chair
Legislative Council
And Honorable Members of the Legislative Council

FROM: David C. Elliott, Director

DATE: September 19,

RE: Interim study status report

Attached is a summary of the status of legislative studies authorized for this interim. As you will see, most appointments to study commissions have been made and the studies are underway.

Several study committees are submitting work plans and proposed budgets for approval by the Legislative Council, as required by the authorizing joint orders. In addition, one committee has submitted a request for an additional meeting for completion of the study. Those requests require a decision by the Legislative Council and are outlined for you review in another memo.

I would be happy to answer any questions you may have or to provide additional information.

Attachment (Progress Report, as of 9/19/02)

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 09/19/02 9:04:40 AM

Study Commission	Date First Convened	Date, Time & Location of Next Meeting	Report Date	Status/Progress Of Study Commission
Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers, Committee to Study Reimbursement Rates for Maine's (PL 2001, c. 661)			06-Nov-02	Staffed by SPO
Casino on the Economy, Transportation Infrastructure, State Revenue and Job Market, Resolve to Study the Impact of a Maine-based (Resolve 2001, c. 124)	9/3/02	Monday – 9/30/02 10:00 a.m. Cross State Office Bldg. Room 208	06-Nov-02	
Community Preservation Advisory Committee, An Act to Establish the (PL 2001, c 648; sunsets 6/1/08)			Dec. 01 annually	Staffed by SPO; OPLA drafting assistance
County Jail Population, Cost and Reimbursement by the State, Joint Order to Study (HP 1731)			06-Nov-02	CRJ interim work. Gathering information. No meetings requested or scheduled yet. Likely only one meeting will be requested.
Family and Medical Leave for Maine Families, Resolve to Continue the Study of Benefits and Costs for Increasing Access to (Resolve 2001, c. 115)	9/9/02	Monday – 10/7/02 9:00 a.m. Cross State Office Bldg. Room 220	06-Nov-02	
Fatherhood Issues Study Commission, Resolve to Establish a (Resolve 2001, c. 121)	8/27/02	Thursday – 10/10/02 9:00 a.m. – 4:00 p.m. Cross State Office Bldg. Room 214	06-Nov-02	
Financing of Long-term Care, Resolve Establishing a Blue Ribbon Commission to Address (Resolve 2001, c. 114)	9/19/02	Thursday – 9/19/02 10:00 a.m. –2:00 p.m. Cross State Office Bldg. Room 202	06-Nov-03	
Health Care System and Health Security Board (PL 2001, c. 439, Part ZZZ)	October 2001 Continuation	Tuesday – 9/24/02 9:30 a.m. Room 127, State House	01-Dec-02	Draft report expected mid-October.
MCJUSTIS Board, Resolve to Implement the Recommendations of the (Resolve 1997, c. 105, PL 1999, c. 451, PL 1999, c. 790, Resolve 2001, c. 45)	Continuation		15-Dec-02	

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 09/19/02 9:04:40 AM

Study Commission	Date First Convened	Date, Time & Location of Next Meeting	Report Date	Status/Progress Of Study Commission
Proposed Revisions to School Finance Laws and Recommendations to Implement the Essential Programs and Services Funding Model, Department of Education and State Board of Education Study (PL 2001, c. 660)			15-Jan-03	Staffed by DOE; OPLA drafting assistance
Public Funds are Used to Acquire Conservation Easements, Resolve to Promote the Interests of the People of Maine when (Resolve 2001, c. 116)	Continuation		15-Jan-03	Staffed by SPO; work complete – will report to ACF in January
Rail Transportation, Resolve to Establish and Fund the Task Force on (Resolve 2001, c. 120)	8/9/02	Thursday – 10/03/02 1:00 p.m. State House Room 126	06-Nov-02	
Recodification of Title 12, chapters 701-721 (HP 613)	(Staff study – continuation)		15-Jan-02	
Salmonid Sport Fish in Maine, Commission to Study the Needs and Opportunities Associated with the Production of (PL 2001, c. 462)	Continuation	10/23/02	31-Oct-02	
Stormwater Management in Developed Watersheds, Resolve to Establish the Task Force to Study the Effectiveness of (LD 2186)	May 31, 2002		06-Nov-02	NAT Interim work
Unfunded Liability of the MSRS and the Equity of Retirement Benefits for State Employees and Teachers, An Act to Address the (PL 2001, c 707)			06-Nov-02	1 of 7 members appointed
World War II and the Korean War Veterans in the Hall of Flags, Resolve to Recognize (PL 2001, c. 353)			04-Oct-02 Initial Rep. 01-Nov-02 Final Rep.	4 of 7 appointments made

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 09/19/02 9:04:40 AM

Study Commission	Date First Convened	Date, Time & Location of Next Meeting	Report Date	Status/Progress Of Study Commission
Youth Advisory Council, Legislative (PL 2001, c. 439, Part PPP (on-going beginning July 2002)	9/14/02	Friday – 9/20/02 9:00 a.m. – 4:00 p.m. Room 228 State House Friday – 10/18/02 9:00 a.m. – 4:00 p.m. Location (TBA)	Feb. 15th annually	

G:\STUDIES\Progress Report on Legislative Studies-120th 2nd Session.doc (9/19/02 9:04 AM)

Maine State Legislature

OFFICE OF POLICY AND LEGAL ANALYSIS

13 State House Station, Augusta, Maine 04333-0013
Telephone: (207) 287-1670
Fax: (207) 287-1275

Memorandum

TO: The Honorable Michael V. Saxl, Chair
The Honorable Michael H. Michaud, Vice-Chair
Legislative Council
And Honorable Members of the Legislative Council

FROM: David C. Elliott, Director

DATE: September 19, 2002

RE: Approval of interim study work plans, budgets and other requests

---

Several matters relating to interim studies are presented to the Legislative Council for their action.

1. Approval of Study budgets and work plans

Pursuant to authorizing legislation, the following interim study task forces or commissions present their study budget and work plan to the Legislative Council for approval. The budgets and work plans have been developed by study members working with their designated staff and are designed to complete the duties assigned to the study within budget resources.

- Commission on Fatherhood Issues
- Task Force to Study the Impact of a Maine-based Casino
- Task Force on Rail Transportation
- Committee to Study Family and Medical Leave for Maine Families
- Health Care System and Health Security Board

Please note, the Health Care System and Health Security Board budget and work plan are for the second year of its study that will be completed in December. The board is authorized to raise money through outside funding from public and private sources and its budget reflects funding it has raised from grants and private funding sources. The board is not required to obtain Council approval so its budget and work plan are submitted for information purposes.

2. Approval of additional meeting

The Task Force on Rail Transportation which has authorization to meet 4 times is requesting permission for an additional meeting in order to complete its work and formulate its final report. With the extra meeting, the task force expects to complete its work on time and within its authorized budget.

Maine State Legislature

OFFICE OF POLICY AND LEGAL ANALYSIS

13 State House Station, Augusta, Maine 04333-0013
Telephone: (207) 287-1670
Fax: (207) 287-1275

Memorandum

TO: The Honorable Michael V. Saxl, Chair
The Honorable Michael H. Michaud, Vice-Chair
Legislative Council
And Honorable Members of the Legislative Council

FROM: David C. Elliott, Director

DATE: September 23, 2002

RE: Interim study work plans, budgets and other requests—approval required

---

This memo presents several matters relating to interim studies to the Legislative Council for action.

1. Approval of study budgets and work plans

Pursuant to authorizing legislation, the following interim study task forces or commissions present their study budget and work plan to the Legislative Council for approval. The budgets and work plans have been developed by study members working with their designated staff and are designed to complete the duties assigned to the study within budget resources.

- Blue ribbon Commission on Financing Long –term Care
- Commission on Fatherhood Issues
- Task Force to Study the Impact of a Maine-based Casino
- Task Force on Rail Transportation
- Committee to Study Family and Medical Leave for Maine Families
- Committee to Study Bottle Redemption Reimbursement Rates
- Health Care System and Health Security Board

Please note, the Health Care System and Health Security Board budget and work plan are for the second year of its study that will be completed in December, 2002. As you know, the board is authorized to raise money through outside funding from public and private sources. Its budget reflects funds it has raised from grants and private funding sources. The board is not required to obtain Council approval so its budget and work plan are submitted for information purposes.

SEP 23 2002

2. Request for additional meeting

The Task Force on Rail Transportation, which has authorization to meet 4 times, is requesting permission for an additional meeting in order to complete its work and formulate its final report. With the extra meeting, the task force expects to complete its work on time and within its authorized budget.

cc:
The Honorable Richard A. Bennett, Senate President
David E. Boulter, Executive Director Legislative Council

1 AVE E

Commission on Fatherhood Issues

Members
Sen. Michael J. McAlevey, Co-Chair
Rep. Deborah L. Simpson, Co-Chair
Sen. Peggy Pendleton
Rep. Glenn Cummings
Rep. Marie Laverriere-Boucher
Mrs. Emily Douglas, Ph. D
Mr. Donald Farrell
Mr. Michael Heath
Mrs. Heidi Leinonen

Staff
Phillip D. McCarthy, Ed.D.
Legislative Analyst
Maine State Legislature
Office of Policy & Legal Analysis
13 State House Station
Augusta, ME 04333
Phone: (207) 287-1670
Maine Relay Services: 1-800-437-1220
Fax: (207) 287-1275
Email: phillip.mccarthy@state.me.us

September 9, 2002

TO: The Honorable Michael V. Saxl, Chair, Legislative Council
The Honorable Michael H. Michaud, Vice-Chair, Legislative Council

FROM: Senator Michael J. McAlevey, Co-Chair
Representative Deborah L. Simpson, Co-Chair

SUBJ: **Work Plan and Budget -- Commission on Fatherhood Issues**

Pursuant to Resolve 2001, Chapter 121, Section 9, we are pleased to submit the enclosed work plan and budget for the review and approval of the Legislative Council.

On behalf of the Commission, we thank you for your consideration of our proposed work plan and budget. Please contact either one of us or Phil McCarthy, our Commission Staff, should you have any questions regarding these materials.

Enclosures

cc: The Honorable Richard A. Bennett, Senate President
Members of the Legislative Council
David Boulter, Executive Director, Legislative Council
✓David C. Elliott, Director, Office of Policy and Legal Analysis

Proposed Budget -- Commission on Fatherhood Issues
(9/3/02 Revision)

Appropriations Section
(Resolve 2001, Chapter 121)

Sec. 10. Appropriations and allocations. Resolved: That the following appropriations and allocations are made.

LEGISLATURE

Commission on Fatherhood Issues

Initiative: Provides funds for the per diem and expenses of legislative members and the reimbursement of necessary expenses of public members of the Commission on Fatherhood Issues, as well as printing and mailing costs.

General Fund	**2002-03**
Personal Services	$1,100
All Other	$2,600
General Fund Total	$3,700
LEGISLATURE TOTAL	$3,700

Breakdown of Proposed Commission Budget

If authorized, per diem is usually $55 per meeting per eligible person and expenses are calculated at $50 per eligible person per meeting. $500 is usually included for printing the report. If specified, public hearings are calculated at $1,000 per hearing.

Legislators -- Per Diem (5 x $55 x 4 mtgs.)	$1,100
Members -- Expenses (9 x $50 x 4 mtgs.)	$1,800
Technical Assistance (honorarium & expenses)	$ 300
Report (printing & postage)	$ 500
TOTAL	$3,700

Please note: Section 9 of Resolve 2001, Chapter 121, requires the Commission Chairs, with the assistance of staff, to administer the task force's budget; and further directs the Commission to present, within 10 days after its first meeting, a work plan and proposed budget to the Legislative Council for its approval.

WORK PLAN
Commission on Fatherhood Issues
120th Legislature, 2nd Regular Session -- Interim 2002

1. **PROJECT:** Commission on Fatherhood Issues (pursuant to Resolve 2001, c. 121)

2. **OBJECTIVE:** Commission is charged with studying the following issues:

   (a) Determine the multiple barriers to fathers' involvement in the lives of their children;
   (b) Identify the availability of private and public services statewide to enhance the parenting abilities of fathers;
   (c) Identify significant personal, institutional, legal and cultural barriers to active, positive parenting by fathers; and
   (d) Identify and develop strategies to improve the parenting abilities of fathers across the socioeconomic spectrum and varying resident status.

3. **PROJECT TEAM:** Sen. McAlevey and Rep. Simpson, co-chairs; P. McCarthy (Staff), P. Reinsch (Legal analysis), A. Ames (Researcher), K. Norris (Secretarial support).

4. **FINAL WORK PRODUCT(S):** Report, including any necessary implementing legislation, to be submitted to 1st Reg. Session of 121st Legislature.

5. **INTENDED AUDIENCE:** Legislators (particularly JUD & HUM), Executive and Judicial branch agencies, state and local social service agencies and parents.

6. **ANTICIPATED START & COMPLETION DATES:** Start: 8/21/02 Complete: 11/6/02 (Note: Commission budget based on 4 mtgs; Resolve 2001, c. 121 requires submission of proposed budget and work plan to Legislative Council and also allows Commission to request an extension from Legislative Council).

7. **COMMISSION MEETING PLAN:**

Key Elements	Person(s) Responsible	Other Staff / Resources Needed and Type	Meeting Dates	Consultation Needed With
Commission meeting plan	P.O.s & Chairs	Staff	8/21 11/6	Rep. Tessier and Chairs
Mtg. #1 -- Review background, adopt goals, narrow focus and formulate work plan.	Chairs/Staff	Research, Legal & Secretarial	8/27	Key Informants and Resources
Mtg. #2 -- Review available research and data on fatherhood barriers and resources.	Chairs/Staff	Research, Legal & Secretarial	9/16	Key Informants and Resources
Mtg. #3 -- Gather any necessary data, review findings with key informants and resources panel, discuss findings and outline report.	Chairs/Staff	Research, Legal & Secretarial	10/10	Key Informants and Resources
Mtg. #4 -- Discuss findings & conclusions, report/ legislation drafting and review.	Chairs/Staff	Research, Legal & Secretarial	10/28 (Tentative)	Chairs and Members

Commission report due November 6, 2002.

WORK PLAN
120th Legislature
(Interim 2002)

1. **PROJECT:** TASK FORCE TO STUDY THE IMPACT OF A MAINE-BASED CASINO

2. **OBJECTIVE:** REPORT TO THE LEGISLATURE THE POTENTIAL IMPACT OF A MAINE-BASED CASINO BASED ON THE DUTIES LISTED IN RESOLVE S 2002, CHAPTER 124

3. **PROJECT TEAM:** DANIELLE FOX AND LISA BALDWIN, LEGISLATIVE ANALYSTS – KAREN NADEAU DRILLEN, RESEARCHER

4. **FINAL WORK PRODUCT(S):** STUDY REPORT

5. **INTENDED AUDIENCE:** JOINT STANDING COMMITTEES – LVA AND BEC

6. **ANTICIPATED START & COMPLETION DATES:** Start: 9/3/02 Complete: 12/15/02 – *Anticipate request for reporting date extension*

---

7. **PROJECT TASKS:**

Key Elements	Person Responsible	Other Staff Resources Needed and Type	Project Schedule Start /Finish	Consultation Needed With	Element Completed
First Meeting: Assess capacity within the state to provide necessary services to families individuals related to problem gambling. Develop survey of agencies and organizations who provide services to individuals and families experiencing difficulties related to problem gambling	Danielle Fox./Lisa Baldwin	Researcher assistance – survey development and data compilation	9/3/02 – 10/15/02	DPFR, OSA	
Second Meeting: Invite local and out-of-state presenters to share their input on the potential impact of a Maine-based casino	Danielle Fox/ Lisa Baldwin		9/30/02 - 9/30/02	Various agencies, associations and groups	

Third Meeting: Review body of research on the impact of gambling and gather information on the increase in state services caused by a Maine-based casino. Hold a Public Hearing	Danielle Fox/ Lisa Baldwin	Researcher assistance and Information Office	8/1/02 – 10/15/02	
Fourth Meeting: Gather information on traffic impact. Discuss cost/benefit analysis and potential casino location. Create draft of report.	Danielle Fox/ Lisa Baldwin	Researcher assistance	9/30/02 – 12/1/02	OFPR, DOT and others

Accepted:_____

corrected budget 9/17/02

Task Force to Study the Impact of a Maine-based Casino
Chairs: Senator Kevin Shorey and Representative Donna Loring
September 13, 2002
Proposed Budget

Personal Expenses:

6 Legislators – 4 meetings $105 each per meeting	$2,560
12 Public Members – 4 meetings $50 each per meeting	$2,400

Advertising:

Public Hearing to be held in Augusta	$600

Postage:

Survey of Service Providers	$400
Final Report Mailing	$200

Printing:

Final Report (*anticipating printing more copies than usual)*	$660

TOTAL	**$6,820**

WORK PLAN
120th Legislature
(Interim 2002)

1. **PROJECT:** Task Force on Rail Transportation

2. **OBJECTIVE:** To develop a rail transportation policy for Maine and a plan that integrates rail, highway, marine and air transportation into an efficient and cohesive system for the entire State.

3. **PROJECT TEAM:** Jill Ippoliti, Marion Hylan Barr; Darlene Shores Lynch

4. **FINAL WORK PRODUCT(S):** Report including findings, recommendations and proposed legislation to the Legislative Council

5. **INTENDED AUDIENCE:** Legislature

6. **ANTICIPATED START & COMPLETION DATES:** Start: August 9, 2002 Complete: November 6, 2002

7. **PROJECT TASKS:**

Key Elements	Person Responsible	Other Staff Resources Needed and Type	Project Schedule Start	Project Schedule Finish	Consultation Needed With	Element Completed
Plan and facilitate 4 meetings of Task Force; create agendas, gather information and invite presenters	Jill & Marion		August 9th	October 4th	Maine Department of Transportation	
Review and compare exemplary rail policies from other states; research and analyze other rail issues	Jill & Marion	Darlene (develop chart simplifying major elements of various rail policies)	August 16th	August 30th	Maine Department of Transportation	
Develop findings and recommendations	Jill & Marion		Oct. 4th	Mid-October		
Draft report; circulate for comments	Jill & Marion		Oct. 18th	October 30th		
Incorporate members comments into final report	Jill & Marion	Secretarial – Charlene	November 1st			
Format to study report guidelines and send final report to printers		Charlene	Nov. 6th			

Accepted:_____

Proposed Study Budget
Task Force on Rail Transportation

Date: August 23, 2002

Study authorized by: Resolve 2002, chapter 120

Total members:	**13**
Members that are legislators:	8
Members that are not legislators:	5
Number of authorized meetings (if specified):	**4**

Budget worksheet:

4 meetings x $55 per diem x 8 to 13 eligible members = $1,760 - 2,860 (Personal Services)

4 meetings x $50 expenses x 8 to 13 eligible members = $1,600 - 2,600 (All Other)

Copying, mailing and printing costs and Additional costs (e.g., consultant, extra travel costs, public hearing, etc.) $ 800 (All Other)

Note: If 8 of the 13 members are paid the per diem, there will be enough in Personal Services account for 6.5 meetings. If not all 8 legislators attend every meeting, there is probably enough for 7 meetings. The cost of the 1st meeting, attended by 7 legislators was $830.21. No other members submitted expense vouchers. If $830 is the average cost per meeting, the appropriation will fund 7.5 meetings.

Source of funding: Resolve 120 directs the State Controller to transfer $6,260 from the Railroad Preservation and Assistance Fund to the Legislature to Fund the Task Force. As of August 28 th, The funds had not yet been transferred.

Appropriations	**FY02-03**
Personal Services	$2,860
All other	$3,400
Total	**$6,260**

WORK PLAN
Health Care System and Health Security Board
Interim 2002

1. **PROJECT:** Health Care System and Health Security Board

2. **OBJECTIVE:** The board is charged with conducting a feasibility of the economic and financial impact of a single-payer health care plan on the State and with developing recommendations to implement a single-payer health care plan.

3. **PROJECT TEAM:** Colleen McCarthy Reid (staff); Darlene Shores Lynch (research); Mathematica Policy Research, Inc. (consultants); Kathy Norris (administrative support)

4. **FINAL WORK PRODUCT(S):** Report and recommended legislation (if any); Micro-simulation modeling software spreadsheet program (developed by consultants)

5. **INTENDED AUDIENCE:** Legislature, Executive Branch, Policymakers, Interested Parties and the Public

6. **ANTICIPATED START & COMPLETION DATES:** **Start:** November 2001 **Complete:** December 2002

7. **PROJECT TASKS:**

Key Elements	Person Responsible	Other Staff Resources Needed and Type	Project Schedule Start Finish	Consultation Needed With	Element Completed
A. Staffing for Board meetings	Colleen	Kathy Darlene	February 2002 December 2002	Chairs	Feb. 25, March 18, April 8, April 22, May 6, May 20, June 24, July 12, July 22, August 5, August 30, September 13
B. Assist Board with Grant Search and Applications for Funding	Colleen; Beth Kilbreth, USM Muskie School (grant writer)	Kathy	April 1, 2002 May 15, 2002	Chairs, Board members	May 15, 2002
C. Coordinate Request for Proposal Process/Selection of Consultant	Colleen	Kathy	May 1, 2002 July 22, 2002	Chairs, Board members; Exec. Director's Office	July 22, 2002
D. Coordinate grant funding/liaison with Maine Health Access Foundation	Colleen	Kathy	July 1, 2002 November 30, 2002	Chairs, Board members; MeHAF	
E. Conduct feasibility study; prepare micro-simulation model	Mathematica (MPR)	Colleen	August 1, 2002 November 30, 2002	Chairs, Board members; stakeholders	
F. Project manager for consultant work on feasibility study	Colleen	Kathy	August 1, 2002 November 30, 2002	Chairs, Board members; MPR, MeHAF; Exec. Director's Office	**Contract Signed August 30,2002**
G. Preparation of Board's report and legislation	Colleen	Kathy ; OPLA internal review	October 1, 2002 December 1, 2002	Chairs, Board members; MPR; MeHAF	

Health Care System and Health Security Board
Proposed Study Budget

Study authorized by: Public Law 2001, Chapter 439, Part ZZZ

Total members: 19
Members that are legislators: 4
Members that are not legislators: 15

Total meetings (through December 1, 2002): 16

INCOME	PERSONAL SERVICES	ALL OTHER
Legislature	660	10,000
Maine Health Access Foundation Grant		200,000
Private Donations		34,305
TOTAL INCOME	**660**	**244,305**
EXPENSES		
Consultant/feasibility study		200,000
Grant writing/technical assistance		5,000
Data Collection/Access Costs		30,000
Legislative Per Diem	660	1300
Travel Expenses (legislative members)		2250
Travel Expenses (non-legislative)		650
Supplies		500
Postage		250
Printing and copying		500
Other		3855
TOTAL EXPENSES	**660**	**244,305**
TOTAL INCOME	**660**	**244,305**
TOTAL EXPENSES	**660**	**244,305**
BALANCE	**(0)**	**(0)**

TASK FORCE ON RAIL TRANSPORTATION

MEMORANDUM

To: The Honorable Michael V. Saxl, Chair
The Honorable Michael H. Michaud, Co-chair
Legislative Council

From: Sen. John L. Martin, Senate Chair
Rep. Charles D. Fisher, House Chair
Task Force on Rail Transportation

Re: Request for authorization to an additional meeting

Date: September 16, 2002

Pursuant to Resolve 2001, chapter 120, Resolve, to Establish and Fund the Task Force on Rail Transportation, we are writing to request authority to hold one additional meeting. The task force has met three times and is scheduled to hold its fourth meeting on October 3rd. In addition to the detailed and large scope of the duties that the task force is charged with, the task force requests another meeting in order to obtain information necessary to the formulation of its recommendations. This information includes the results of the auction of the Bangor and Aroostook Railroad in U.S. Bankruptcy Court. In order to receive this information and spend adequate time on deliberations, the task force asks to meet on Friday, October 18th. After reviewing our existing resources, it appears that the expenses of an additional meeting will not exceed our authorized budget.

Please let us know if you have questions. Thank you for your attention to this matter, and we look forward to hearing from you.

cc: The Honorable Richard A. Bennett
David Boulter, Executive Director
David Elliott, Director, OPLA

MAINE STATE LEGISLATURE
Augusta, Maine 04333

September 19, 2002

The Honorable Michael Saxl, Chair
The Honorable Michael Michaud, Vice-Chair
Legislative Council
120th Maine Legislature
115 State House Station
Augusta, ME 04333

Re: Blue Ribbon Commission to Address the Financing of Long-term Care

Dear Chair Saxl and Vice-Chair Michaud,

We write as co-chairs of the Blue Ribbon Commission to Address the Financing of Long-term Care and enclose a copy of a work-plan for the Commission and a proposed budget. We look forward to studying long-term care finance over the next 15 months with a commission of very capable individuals.

Thank you for your consideration.

Sincerely,

Betty Lou Mitchell

Sen. Betty Lou Mitchell
Senate Chair

Thomas J. Kane

Rep. Thomas J. Kane
House Chair

Cc: Sen. Richard Bennett, Senate President
Members, Blue Ribbon Commission to Address the Financing of Long-term Care

G:\OPLALHS\COMMTTEE\HUM\120th\BRCLTC\9-19-2002 letter.doc

WORK PLAN
120th Legislature
(Interim 2002)

1. **PROJECT:** Blue Ribbon Commission to Address the Financing of Long-term Care

2. **OBJECTIVE:** Staffing for commission, with reports due 11/6/02 and 11/6/03.

3. **PROJECT TEAM:** Jane Orbeton, analyst, Alison Ames, researcher, Valarie Parlin, secretary

4. **FINAL WORK PRODUCT(S):** Reports due 11/6/02 and 11/6/03.

5. **INTENDED AUDIENCE:** Interim report to Legislature 11/6/03 and final report to Legislature and Long-term Care Implementation Committee 11/6/03.

6. **ANTICIPATED START & COMPLETION DATES:** Start: 9/02 Complete: 11/03

7. **PROJECT TASKS:**

Key Elements	Person Responsible	Other Staff Resources Needed and Type	Project Schedule Start	Project Schedule Finish	Consultation Needed With	Element Completed
Organizational meetings with chairs	JO	-	August and September 02		-	Plan for 02 and 03
4 meetings for commission (1 may be a public hearing, non-work hours)	JO	-	September 19, October 1, 15 and 31, 2002		-	-
Interim report, drafting, review by commission, redrafting	JO	-	October, November 02		-	Interim report
4 meetings for commission (1 may be a public hearing, non-work hours)	JO	-	August, September, October 03		-	-
Final report, drafting, review by commission, redrafting	JO	-	October, November 03		-	Final report

Accepted:_____

Proposed Study Budget
Blue Ribbon Commission to Address the Financing of Long-term Care

Date: September 19, 2002

Study authorized by: Resolve 2001, chapter 114

Total members: 21

Members that are legislators: 4

Members that are not legislators: 17

Number of authorized meetings: 4 per year for 2 years

Proposed budget:

2002 and 2003 8 meetings X $55 per diem X 4 eligible members = $1760 (Personal Services)

2002 and 2003 8 meetings X $50 expenses X 17 eligible members = $6800 (All Other)

Copying, mailing and printing costs (interim and final reports) $ 1500 (All Other)

Additional costs - miscellaneous $ 2900 (All Other)

Summary:

	FY02-03	FY03-04
Personal Services	$880	$880
All other	$5600	$5600
Total	$6480	$6480

G:\OPLALHS\COMMTTEE\HUM\120th\BRCLTC\LTC Finance Study Budget.doc

WORK PLAN

Committee to Continue to Study the Costs and Benefits of Increasing Access to Paid Family and Medical Leave for Maine Families

120th Legislature (2002)

1. **PROJECT**: Committee to Continue to Study the Costs and Benefits for Increasing Access to Paid Family and Medical Leave for Maine Families(pursuant to Resolve 2001, c. 115)

2. **OBJECTIVE:** The Committee is charged with studying the following issues:

   a) Review and consider information gathered by the committee during the 2001 interim;

   b) Continue to examine the issues set forth in Joint Order 2001, House Paper 1386, section 5, paragraph A;

   c) Identify or develop additional sources of Maine-specific data on use of family and medical leave and the availability of paid leave;
   d) Obtain information from other states and interest groups that are conducting studies or developing methodologies for estimating costs and benefits on paid family and medical leave;
   e) Arrange for the assistance of experts in economic analysis to prepare costs and benefit estimates for the committee if other sources of funds are received for this purpose in accordance with section 9; and
   f) Invite testimony from experts on early childhood development, including experts on bonding between children and parents, to assist the committee in considering potential long-term benefits of providing paid leave so that parents will be able to take leave following the birth or adoption of a child.

3. **PROJECT TEAM**: Sen. Edmonds and Rep. Davis, co-chairs; L. Baldwin (Staff), D. Friedman (Legal analysis), D. Shores Lynch (researcher), V. Parlin (Secretarial support)

4. **FINAL WORK PRODUCT(S):** Report, including any necessary implementing legislation, to be submitted to the 1st Regular Session of the 121st Legislature

5. **INTENDED AUDIENCE:** Legislators (particularly LAB); Executive branch agencies, social service agencies, including low-income and family advocates, and business groups.

6. **ANTICIPATED START & COMPLETION DATES:** Start: 9/9/02 Complete: 11/6/02

7. **COMMITTEE MEETING PLAN:**

Key Elements	Person Responsible	Other Staff Resources Needed and Type	Meeting Dates	Consultation Needed With
Mtg. #1 – update committee members on FMLA issues, review developments, formulate work plan.	Chairs, Staff	Research, Legal & Secretarial	9/9	Key Informants and Resources
Mtg. #2 – Review other methodologies and survey results, and hear testimony from affected parties.	Chairs, Staff	Research, Legal & Secretarial	10/7	Key Informants and Resources
Mtg. #3 – Discuss policy options, and apply methodologies to produce cost estimates.	Chairs, Staff	Research, Legal & Secretarial	10/21 (tentative)	Key Informants and Resources
Mtg. #4 – Discuss final recommendations and conclusions, report/legislation drafting. Committee report due November 6, 2002	Chairs, Staff	Research, Legal & Secretarial	10/28 (tentative)	Key Informants and Resources

Proposed Study Budget
Committee to Study Paid Family and Medical Leave

Date: 9/16/02

Study authorized by Resolve 115

Total members: ___15____
Members that are legislators: ____2___
Members that are not legislators: ____13___

Number of authorized meetings (if specified): _____

Proposed budget:

___4___ meetings X $55 per diem X ___2___ eligible members = $440 (Personal) Services)

___4___ meetings X $50 expenses X __14____ eligible members = $2800 (All Other)

Copying, mailing and printing costs $750 (All Other)

Additional costs $_____(All Other)
for _____ (e.g., consultant, expert services, additional travel costs, public hearing, etc.)

Summary:

	FY02-03	FY03-04
Personal Services	$440	_____
All other	$3550	_____
Total	$3990	_____

STATE OF MAINE
EXECUTIVE DEPARTMENT
STATE PLANNING OFFICE
38 STATE HOUSE STATION AUGUSTA, MAINE 04333

ANGUS S. KING, JR
GOVERNOR

DAVID H. KEELEY
ACTING DIRECTOR

September 6, 2002

Mr. David E. Boulter, Executive Director of the Legislative Council
115 State House Station
Augusta, Maine 04333-0115

RE: The Committee to Study Reimbursement Rates for Maine's Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers - - proposed work plan and budget

Mr. Boulter:

We are pleased to report that the first meeting of this continued Committee effort was held on August 28, with a strong turnout and recommitment of attendees to further study this important subject.

The Committee has been tasked with the study of five primary issues:

a) Develop a process for identifying ways to improve the efficiency of the returnable container deposit law;
b) Examine potential improvements including redesigning the operation of the system;
c) Study the viability of establishing cooperative container pick-up arrangements between redemption centers, distributors and collection agents;
d) Further study possible technological improvements that will enhance the efficiency of the returnable container deposit law; and
e) Further examine the impact on rates of return of a proposal included in the report of the Committee to Study Reimbursement Rates for Maine's Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers that would decrease from 15¢ to 5¢ the refund value of wine and spirit containers of greater than 50 milliliters that are sold in the State.

During our first meeting, Committee members shared their thoughts and hopes for the continued effort. We also reviewed where the Department of Agriculture stood in terms of implementing changes to the Bottle Redemption Program enacted last spring and offered guidance to the Department on several points, particularly with regard to defining 'Initiator of Deposit' and their responsibilities, as well as the rules being developed by the Department.

OFFICE LOCATED AT 184 STATE STREET, AUGUSTA, ME.
PHONE: (207) 287-6077
FAX: (207) 287-6489

2002 | |

Based upon those discussions, as well as a 'walk through' of the five study topic areas, it was decided that two subcommittees would be formed at this time. One subcommittee is to focus on items a, b, & c, with the other committee to focus on item d. Item e will be discussed once the larger issues identified in topic items a, b, c & d have been presented and considered. The subcommittees, which include representation from the various groups of the Committee, will be meeting before the next full Committee meeting, presently scheduled for September 25, 2002.

The Committee has been authorized to meet up to four times, with a report due out by November 6, 2002. Given the complexity of the topics under study by this Committee, we will endeavor to meet this deadline, but as of this point in time, we are uncertain of completing a report that would provide adequate guidance to the Business and Economic Committee on these matters and would like to leave open the possibility of requesting a limited extension to complete its work.

The funding allocated for the work of the Committee is for Legislative per diem and expenses and we believe the amount will be sufficient. The State Planning Office is bearing the other costs of this effort but believe they have sufficient resources for those related Committee expenses. There may be an opportunity to visit the Province of New Brunswick and view first hand their bottle redemption program, which may have relevance in streamlining Maine's program, which would have an impact on the proposed budget but until more research is completed, the trip is not confirmed.

We trust this letter conveys the necessary work plan information and budgetary detail as required but we would be willing to expand further on this if needed.

Cordially,

Senator Edward Youngblood

Representative Harold Clough

Cc: George MacDonald, State Planning Office

Maine State Legislature

OFFICE OF POLICY AND LEGAL ANALYSIS

13 State House Station, Augusta, Maine 04333-0013
Telephone: (207) 287-1670
Fax: (207) 287-1275

Memorandum

TO: The Honorable Michael V. Saxl, Chair
The Honorable Michael H. Michaud, Vice-Chair
Legislative Council
And Honorable Members of the Legislative Council

FROM: David C. Elliott, Director

DATE: September 23, 2002

RE: Interim study status report

Attached is a summary of the status of legislative studies authorized for this interim. As you will see, most appointments to study commissions have been made and the studies are underway.

Several study committees are submitting work plans and proposed budgets for approval by the Legislative Council, as required by the authorizing legislation or joint orders. In addition, one committee has submitted a request for an additional meeting for completion of the study. Those requests require a decision by the Legislative Council and are outlined for you review in another memo.

I would be happy to answer any questions you may have or to provide additional information.

Attachment (Progress Report, as of 9/23/02)

cc:
The Honorable Richard A. Bennett, Senate President
David E Boulter, Executive Director of the Legislative Council

G:\OPLAADM\2002 Director\Legislative Studies Update\LCCVRLTR.doc

SEP 23 2002

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 09/23/02 8:32:59 AM

Study Commission	Date First Convened	Date, Time & Location of Next Meeting	Report Date	Status/Progress Of Study Commission
Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers, Committee to Study Reimbursement Rates for Maine's (PL 2001, c. 661)		Wednesday – 9/25/02 9:00 a.m. Armory	06-Nov-02	Staffed by SPO
Casino on the Economy, Transportation Infrastructure, State Revenue and Job Market, Resolve to Study the Impact of a Maine-based (Resolve 2001, c. 124)	9/3/02	Monday – 9/30/02 10:00 a.m. Cross State Office Bldg. Room 208	06-Nov-02	
Community Preservation Advisory Committee, An Act to Establish the (PL 2001, c 648; sunsets 6/1/08)			Dec. 01 annually	Staffed by SPO; OPLA drafting assistance
County Jail Population, Cost and Reimbursement by the State, Joint Order to Study (HP 1731)			06-Nov-02	CRJ interim work. Gathering information. No meetings requested or scheduled yet. Likely only one meeting will be requested.
Family and Medical Leave for Maine Families, Resolve to Continue the Study of Benefits and Costs for Increasing Access to (Resolve 2001, c. 115)	9/9/02	Monday – 10/7/02 9:00 a.m. Cross State Office Bldg. Room 220	06-Nov-02	
Fatherhood Issues Study Commission, Resolve to Establish a (Resolve 2001, c. 121)	8/27/02	Thursday – 10/10/02 9:00 a.m. – 4:00 p.m. Cross State Office Bldg. Room 214	06-Nov-02	
Financing of Long-term Care, Resolve Establishing a Blue Ribbon Commission to Address (Resolve 2001, c. 114)	9/19/02	Tuesday – 10/1/02 10:00 a.m. – 2:00 p.m. Cross State Office Bldg. Room 202	06-Nov-03	
Health Care System and Health Security Board (PL 2001, c. 439, Part ZZZ)	October 2001 Continuation	Wednesday – 9/25/02 9:30 a.m. Room 427, State House	01-Dec-02	Draft report expected mid-October.
MCJUSTIS Board, Resolve to Implement the Recommendations of the (Resolve 1997, c. 105, PL 1999, c. 451, PL 1999, c. 790, Resolve 2001, c. 45)	Continuation		15-Dec-02	Beginning to send drafts to ROS

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 09/23/02 8:32:59 AM

Study Commission	Date First Convened	Date, Time & Location of Next Meeting	Report Date	Status/Progress Of Study Commission
Proposed Revisions to School Finance Laws and Recommendations to Implement the Essential Programs and Services Funding Model, Department of Education and State Board of Education Study (PL 2001, c. 660)		Monday – 10/21/02	15-Jan-03	Staffed by DOE; OPLA drafting assistance. DOE/SBE updating essential program and services model and drafting statutory revisions
Public Funds are Used to Acquire Conservation Easements, Resolve to Promote the Interests of the People of Maine when (Resolve 2001, c. 116)	Continuation		15-Jan-03	Staffed by SPO; work complete – will report to ACF in January
Rail Transportation, Resolve to Establish and Fund the Task Force on (Resolve 2001, c. 120)	8/9/02	Thursday – 10/03/02 1:00 p.m. State House Room 126	06-Nov-02	
Recodification of Title 12, chapters 701-721 (HP 613)	(Staff study – continuation)		15-Jan-02	Initial draft to ROS
Salmonid Sport Fish in Maine, Commission to Study the Needs and Opportunities Associated with the Production of (PL 2001, c. 462)	Continuation	10/23/02	31-Oct-02	
Stormwater Management in Developed Watersheds, Resolve to Establish the Task Force to Study the Effectiveness of (LD 2186)	May 31, 2002		06-Nov-02	NAT Interim work
Unfunded Liability of the MSRS and the Equity of Retirement Benefits for State Employees and Teachers, An Act to Address the (PL 2001, c 707)			06-Nov-02	1 of 7 members appointed
World War II and the Korean War Veterans in the Hall of Flags, Resolve to Recognize (PL 2001, c. 353)			04-Oct-02 Initial Rep. 01-Nov-02 Final Rep.	4 of 7 appointments made

Progress Report on Legislative Studies
(Studies authorized or undertaken following the 120th Legislature/2nd Regular Session)
Status as of 09/23/02 8:32:59 AM

Study Commission	Date First Convened	Date, Time & Location of Next Meeting	Report Date	Status/Progress Of Study Commission
Youth Advisory Council, Legislative (PL 2001, c. 439, Part PPP (on-going beginning July 2002)	9/14/02	Friday – 9/20/02 9:00 a.m. – 4:00 p.m. Room 228 State House Friday – 10/18/02 9:00 a.m. – 4:00 p.m. Location (TBA)	Feb. 15th annually	

G:\STUDIES\Progress Report on Legislative Studies-120th 2nd Session.doc (9/23/02 8:32 AM)

Subcommittee to Consider Additional Security Measures Meeting Summary August 28, 2002 @ 3:00 p.m.

Members present: Sen. Treat
Rep. Schneider
Millie MacFarland
Dave Boulter

Sen. Treat convened the subcommittee.

The subcommittee met to discuss the matter of installation of security cameras in the State House, and the Executive Branch's proposed response plans.

Richard Thompson, Director of the Bureau of Purchases, represented Building Control. Chief Suitter had a scheduling conflict and was unable to attend the meeting.

The subcommittee discussed the information regarding security response and protocol that was submitted by Chief Suitter and Building Control. Members generally concluded that although the information was helpful, the plan needed greater attention to response planning and procedures for specific incident scenarios. Subcommittee members felt that the following was necessary:

1 - training in the use of the security camera equipment, should include establishing camera-monitoring protocols;

2 - training of Capitol Security and Building Control personnel in response to likely incidents or emergencies;

3 - training be conducted either by Capitol Security personnel or in conjunction with officer training at the Criminal Justice Academy. [Note: Building Control personnel generally do not participate in CJA training sessions]; and

4 - fire drill training and practice drills for legislators.

Mr. Thompson commented that appropriate personnel have the technical equipment capability and the equipment provider could conduct additional equipment training. He noted that the operational response plan needed improvement and existing procedures now used in the Cross Building and the State House should be formalized in the response plan.

The subcommittee members concluded that with the implementation of the suggested training and the development of a more detailed monitoring and response plan the purchase and installation of the security cameras in the State House was appropriate.

Rep. Schneider made a motion that the Executive Director be authorized to purchase and install the security camera system in the State House in accordance with the earlier proposal submitted by E.S. Boulos Company. Sen. Treat seconded the motion and it was passed unanimously.

Sen. Treat then asked Mr. Thompson to work with Mr. Boulter, Capitol Security and Building Control personnel to arrange for appropriate training and develop a more detailed monitoring and response plan. Mr. Thompson agreed to do so. Mr. Boulter will arrange for fire drills for legislators, in consultation with legislative Leadership.

With no other matters pending, Senator Treat adjourned the subcommittee.

D.B.

Legislative Council
Space Committee

Meeting Summary
August 28, 2002

Rep. Colwell, Space Committee Chair, convened meeting at 1:40PM.

Members present:

Rep. Colwell, Chair
Sen. Daggett
Sen. Small -- absent
Rep. Schneider – joined in progress

Two items were considered:

1. Building Directory in State House

2. Progress Report on re-installation of dioramas by Maine State Museum

Item #1: State House Directory

As part of the North Wing renovations to the State House, the design plans called for a building directory to be placed in the lobby on the 1st floor. The south wall (adjacent to the Welcome Center entrance) has been reserved for a wall-mounted directory. Executive Director Boulter presented 2 proposals for a building directory: a preliminary proposal and budget estimate by Woodworth Associates of Portland; and a proposal by Welch Architectural Signage of Scarborough. The Woodworth proposal itemized costs for interior and exterior signs. Design fees for the interior signs were estimated to be $13,750 and the cost for the proposed directory sign ranged from $8,000 - $16,000. The Welch proposal was approximately $11,000. The sign would be brass plates with black lettering mounted on a Corian background.

Welch Architectural constructed the directory that is located in the Cross Building.

After a brief discussion, the Committee concluded that the lower bid proposed by Welch Architectural Signage would result in an appropriate, cost-effective directory for the State House. The chair asked Earle Shettleworth, Director of the MHPC who was present at the meeting for his comments, and Mr. Shettleworth concurred with the Committee's conclusion.

Rep. Colwell then made a motion that the lower bid be accepted and that the Executive Director be authorized to enter into an agreement with Welch Architectural Signage for the purchase and installation of a building directory for the State House, for a cost of approximately $11,000. The motion was seconded by

D.B.

Senator Daggett and passed unanimously. It was decided that no further Legislative Council or Committee action was needed on this matter. Installation is scheduled to be completed before December 1, 2002.

Item #2:Diorama Re-installation

Maine State Museum Director J.R. Phillips and staff member Sheila McDonald gave the committee a report on the progress the Museum is making to complete re-installation of the dioramas.

They reported that the flora that had been placed in storage was in remarkably good condition, thus facilitating re-installation. Much of the work on the flora is delicate and time-consuming; for example, the exhibit contains 90 trays of moss. The fauna is also being restored; the Museum reported that the animals contain far less hazardous substances (notably arsenic) than was anticipated.

Mr. Phillips reiterated that this project is the Museum's top priority. The Museum staff also reported on the results of their efforts to obtain outside sources of funding. The request made to L.L. Bean, Inc. was declined. The Museum should hear word in September whether the Fisher Foundation has approved its $35,000 request.

Lastly, the Museum staff indicated that it has revised downward its budget for the project, to $40,000. This would represent a savings of about $26,000. The current schedule calls for the project to be completed by July, 2003.

With all matters before the Committee having been decided, the Committee adjourned.

PROPOSED LEGISLATIVE BUDGET
2004-2005 BIENNIUM

Index

Legislature

- Overview Page 1
- Increase Analysis/Major Growth Areas Page 2-3
- Summary data Pages 4-5
- Program data Pages 6-7
- Line category data Pages 8-9

Law and Legislative Reference Library

- Program data Page 10
- Line category data Pages 11-12

G:\BUDGET\2004-2005\PROPLEGBUDGETindex0919.doc
Prepared by the Office of the Executive Director

PROPOSED LEGISLATIVE BUDGET
2004-2005 BIENNIUM
OVERVIEW

The preliminary "Part I" (current services) budget for the Legislature has been put together by the Executive Director's Office in consultation with the other offices of the Legislature. It is based on currently authorized positions and incorporates estimated increases in benefit costs as projected by the Budget Office. It also reflects the costs of continuing existing levels of service within the CPI allowances set by the Budget Office for All Other and includes sufficient funds to fully support the length of the legislative sessions to the number of weeks allowed by statute and monthly policy committee meetings during the interim. The Capital request will support routine replacement of computer-related equipment.

This request also reflects the annualized cost to support the operations of the new Office of Program Evaluation and Government Accountability (OPEGA), established in Public Law 2001, chapter 702. This office will consist of 7 new full-time positions and one new part-time position.

The proposed budget request is Part I only. We will undertake another separate process to identify new and expanded needs ("Part II") and that information will be provided to the Legislative Council for review at a later date.

We are continuing to assess the impact of the Legislature's operations and projects on the FY 03 Legislative budget. The FY 02 balance forward will be utilized for formerly approved costs, such as those related to computer migration and building renovations. As anticipated, funds available from the unencumbered balance in Personal Services will be used to fund the costs of the approved salary increases.

It appears that the Law and Legislative Reference Library will be short by approximately $31,000 in the Personal Services line category, after taking into account the projected amount of funds that are expected to be available from the salary plan. We plan to address this shortfall in FY 03 by not filling a vacant Library Assistant position for the sufficient number of weeks in fiscal year 2002-03 to meet our payroll obligations and will continue to monitor this situation closely. The proposed FY 04-05 Personal Services budget fully funds the Library's authorized positions.

PROPOSED LEGISLATIVE BUDGET
2004-2005 BIENNIUM

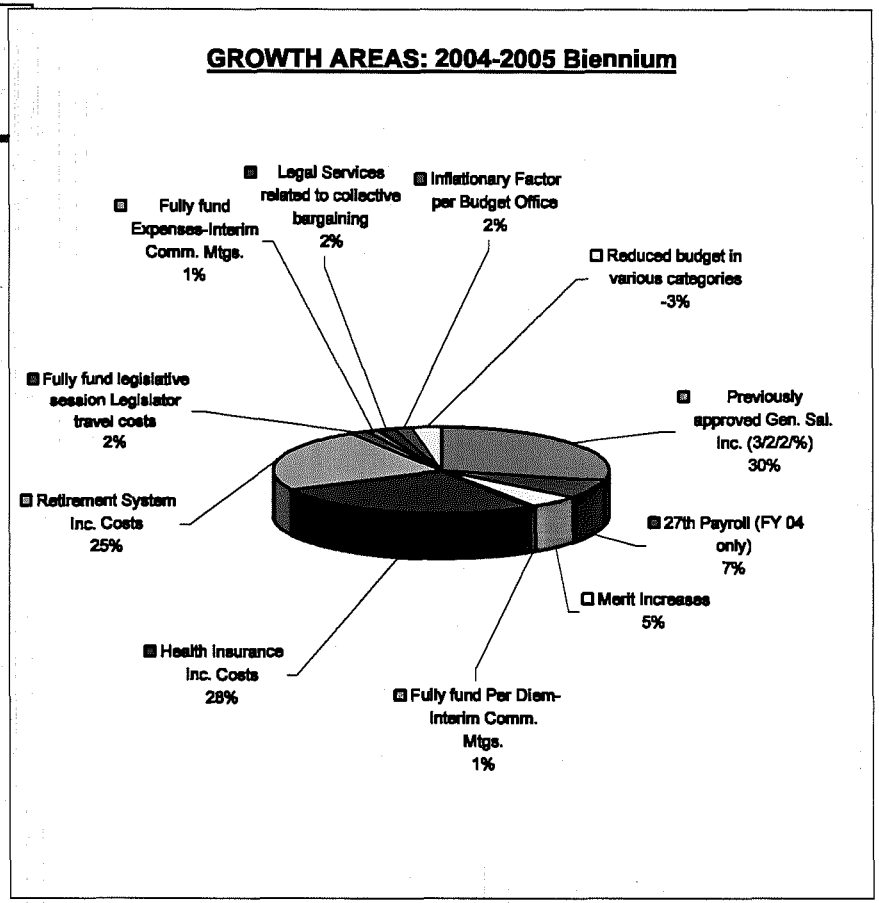
BIENNIAL INCREASE ANALYSIS

ACCOUNT NAME	2002-2003	2004-2005	$ Difference	% Increase
LEGISLATIVE				
Personal Services	30,296,197	35,720,580	5,424,383	17.90%
All Other	9,811,165	10,001,121	189,956	1.94%
Capital	73,000	93,200	20,200	27.67%
Total	**40,180,362**	**45,814,901**	**5,634,539**	**14.02%**
RESERVE FUND FOR STATE HOUSE PRESERVATION AND MAINTENANCE				
All Other	**1,575,000**	**1,600,000**	**25,000**	**1.59%**
OPEGA				
Personal Services	29,000	1,462,619	1,433,619	4943.51%
All Other	57,154	648,722	591,568	1035.04%
Capital	0	0	0	0.00%
Total	**86,154**	**2,111,341**	**2,025,187**	**2350.66%**
LAW AND LEGISLATIVE REFERENCE LIBRARY				
Personal Services	2,014,588	2,346,649	332,061	16.48%
All Other	653,047	715,381	62,334	9.55%
Capital	(19,357)	0	19,357	-100.00%
Total	**2,648,278**	**3,062,030**	**413,752**	**15.62%**

PROPOSED LEGISLATIVE BUDGET
2004-2005 BIENNIUM

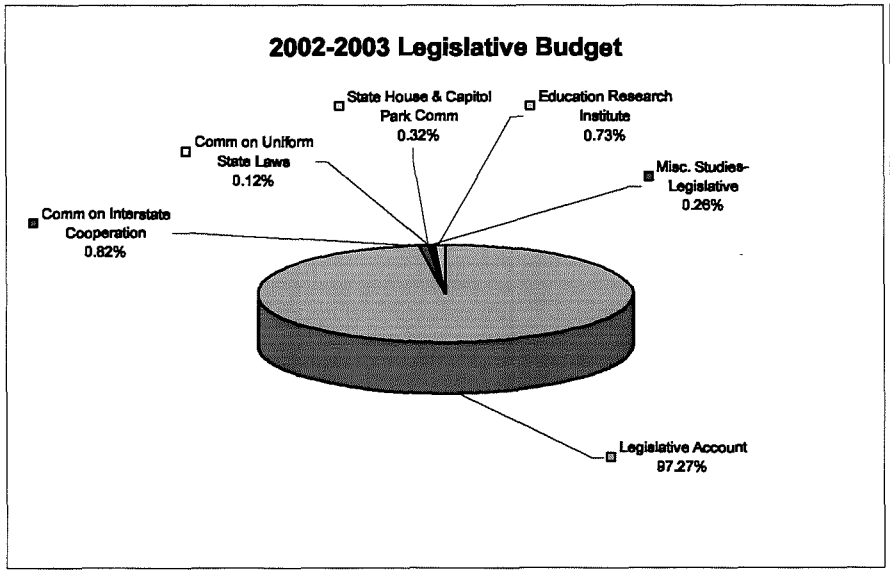
MAJOR GROWTH AREAS-LEGISLATIVE ACCOUNT

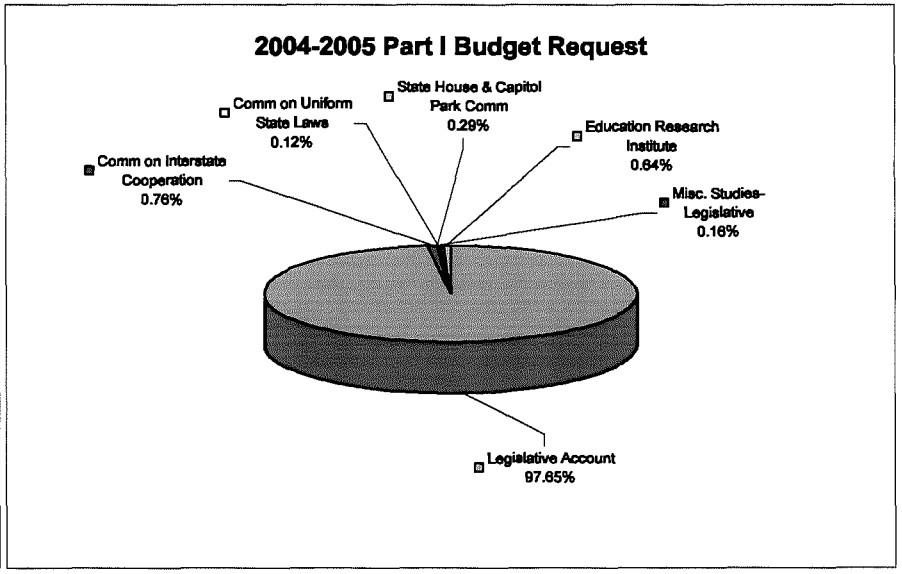
	Estimated Biennial Increase $	% of Total Increase
PERSONAL SERVICES		
Previously approved Gen. Sal. Inc. (3/2/2/%)	1.70	30.4%
27th Payroll (FY 04 only)	0.40	7.1%
Merit Increases	0.30	5.4%
Fully fund Per Diem-Interim Comm. Mtgs.	0.04	0.7%
Health Insurance Inc. Costs	1.56	27.9%
Retirement System Inc. Costs	1.40	25.0%
TOTAL PERSONAL SERVICES GROWTH AREAS	**5.40**	96.4%
ALL OTHER		
Fully fund legislative session Legislator travel costs	0.10	1.8%
Fully fund Expenses-Interim Comm. Mtgs.	0.06	1.1%
Legal Services related to collective bargaining	0.10	1.8%
Inflationary Factor per Budget Office	0.10	1.8%
Reduced budget in various categories	-0.16	-2.9%
TOTAL ALL OTHER GROWTH AREAS	**0.20**	3.6%
TOTAL BIENNIAL INCREASE	5.60	100.0%



FY 2002-2003 SUMMARY OF PROPOSED BIENNIAL BUDGET REQUEST-GENERAL FUND

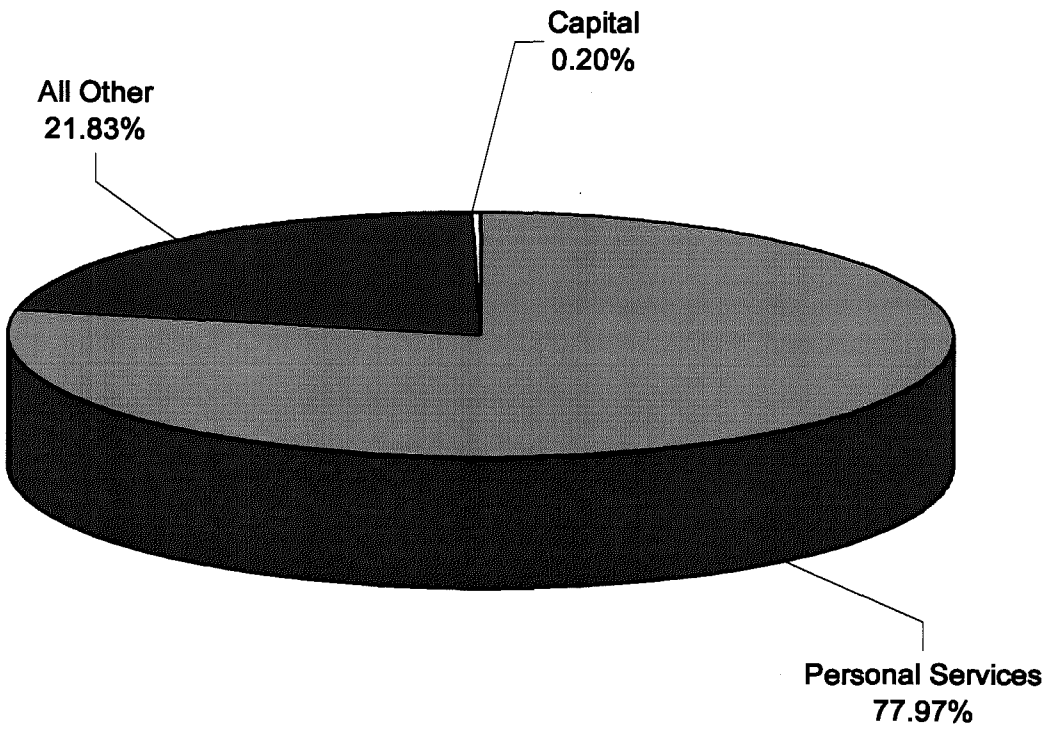
	FISCAL YEAR 2002 Final Appropriation	FISCAL YEAR 2003 Adj. Appropriation	TOTAL FY 02/03 BIENNIUM	FISCAL YEAR 2004 Proposed Request	FISCAL YEAR 2005 Proposed Request	Proposed 04/05 Biennial Request
(col. 1)	(col. 2)	(col. 3)	(col. 4)	(col. 5)	(col. 6)	(col. 7)
LEGISLATIVE ACCOUNTS SUMMARY:						
PERSONAL SERVICES	14,500,133	15,833,044	30,333,177	17,199,647	18,548,063	35,747,710
ALL OTHER	5,251,713	5,785,156	11,036,869	5,182,115	5,712,820	10,894,935
CAPITAL	32,000	102,500	134,500	68,200	25,000	93,200
TOTAL SUMMARY	19,783,846	21,720,700	41,504,546	22,449,962	24,285,883	46,735,845
Adjustments for major one-time items:						
Legislative Apportionment Comm.	0	400,000	400,000	0	0	0
Total - Legislature less one-time items	**19,783,846**	**21,320,700**	**41,104,546**	**22,449,962**	**24,285,883**	**46,735,845**
Legislative Account	19,285,594	20,894,768	40,180,362	21,981,250	23,833,651	45,814,901
Comm on Interstate Cooperation	159,878	177,198	337,076	177,198	177,198	354,396
Comm on Uniform State Laws	20,244	27,200	47,444	27,200	27,200	54,400
State House & Capitol Park Comm	64,350	67,834	132,184	67,834	67,834	135,668
Misc. Studies-Legislative	103,780	3,700	107,480	46,480	30,000	76,480
Education Research Institute	150,000	150,000	300,000	150,000	150,000	300,000





P28

LEGISLATIVE ACCOUNT
2004-2005 PART I BUDGET REQUEST



P29

g:\budget\2004-2005\Br0405.xls
09/19/02

FY 2004-2005 SUMMARY OF PROPOSED BIENNIAL BUDGET REQUEST-GENERAL FUND

ACCOUNT	FISCAL YEAR 2000 Final Appropriation (col. 5)	FISCAL YEAR 2001 Final Appropriation (col. 6)	TOTAL FY 00/01 BIENNIUM (col. 4)	FISCAL YEAR 2002 Final Appropriation (col. 8)	FISCAL YEAR 2003 Adj. Appropriation (col. 9)	TOTAL FY 02/03 BIENNIUM (col. 7)	FISCAL YEAR 2004 Proposed Request (col. 8)	FISCAL YEAR 2005 Proposed Request (col. 9)	04/05 Proposed Biennial Request (col. 10)
Legislative Account									
Personal Services	10,811,266	12,980,745	23,792,011	14,488,253	15,807,944	30,296,197	17,183,767	18,536,813	35,720,580
All Other	4,277,152	5,031,892	9,309,044	4,765,341	5,045,824	9,811,165	4,729,283	5,271,838	10,001,121
Capital	55,000	75,000	130,000	32,000	41,000	73,000	68,200	25,000	93,200
Total Legislative Account	15,143,418	18,087,637	33,231,055	19,285,594	20,894,768	40,180,362	21,981,250	23,833,651	45,814,901
Annual % Change		**19.442%**		**6.623%**	**8.344%**		**5.200%**	**8.427%**	
Biennium % Change						**20.912%**			**14.023%**
Legislative Branch-Wide									
All Other	1,424,000	4,180,000		0	0	0	0	0	0
Annual % Change		**193.539%**		**-100.000%**	**#DIV/0!**		**#DIV/0!**	**#DIV/0!**	
Biennium % Change						**#DIV/0!**			**#DIV/0!**
Comm on Interstate Cooperation									
All Other	156,401	160,724	317,125	159,878	177,198	337,076	177,198	177,198	354,396
Annual % Change		**2.764%**		**-0.526%**	**10.833%**		**0.000%**	**0.000%**	
Biennium % Change						**6.291%**			**5.138%**
Comm on Uniform State Laws									
All Other	20,000	20,600	40,600	20,244	27,200	47,444	27,200	27,200	54,400
Annual % Change		**3.000%**		**-1.728%**	**34.361%**		**0.000%**	**0.000%**	
Biennium % Change						**16.857%**			**14.661%**
State House Renovations									
All Other		7,000,000		0	0	0	0	0	0
Annual % Change		**#DIV/0!**		**-100.000%**	**#DIV/0!**		**#DIV/0!**	**#DIV/0!**	
Biennium % Change						**#DIV/0!**			**#DIV/0!**
State House & Capitol Park Comm									
All Other	135,400	139,350	274,750	64,350	67,834	132,184	67,834	67,834	135,668
Annual % Change		**2.917%**		**-53.821%**	**5.414%**		**0.000%**	**0.000%**	
Biennium % Change						**-51.889%**			**2.636%**
Misc Studies-Legislative									
Personal Services	36,520	29,040	65,560	11,880	1,100	12,980	15,880	11,250	27,130
All Other	66,100	103,600	169,700	91,900	2,600	94,500	30,600	18,750	49,350
Total Misc Studies	102,620	132,640	235,260	103,780	3,700	107,480	46,480	30,000	76,480
Annual % Change		**29.254%**		**-21.758%**	**-96.435%**		**1156.216%**	**-35.456%**	
Biennium % Change						**-54.314%**			**-28.843%**
Apportionment Commission									
Personal Services			0	0	24,000	24,000	0	0	0
All Other			0	0	314,500	314,500	0	0	0
Capital			0	0	61,500	61,500	0	0	0
Total Legislative Account	0	0	0	0	400,000	400,000	0	0	0
Annual % Change		**#DIV/0!**		**#DIV/0!**	**#DIV/0!**		**-100.000%**	**#DIV/0!**	
Biennium % Change						**#DIV/0!**			**-100.000%**

g:\budget\2004-2005\Br0405.xls
09/19/02

FY 2004-2005 SUMMARY OF PROPOSED BIENNIAL BUDGET REQUEST-GENERAL FUND

	FISCAL YEAR 2000	FISCAL YEAR 2001	TOTAL FY 00/01	FISCAL YEAR 2002	FISCAL YEAR 2003	TOTAL FY 02/03	FISCAL YEAR 2004	FISCAL YEAR 2005	04/05 Proposed
ACCOUNT	Final Appropriation	Final Appropriation	BIENNIUM	Final Appropriation	Adj. Appropriation	BIENNIUM	Proposed Request	Proposed Request	Biennial Request
(col. 1)	(col. 5)	(col. 6)	(col. 4)	(col. 8)	(col. 9)	(col. 7)	(col. 8)	(col. 9)	(col. 10)
Education Research Institute									
All Other	150,000	150,000	300,000	150,000	150,000	300,000	150,000	150,000	300,000
Annual % Change		**0.000%**		**0.000%**	**0.000%**		**0.000%**	**0.000%**	
Biennium % Change						**0.000%**			**0.000%**
LEG. ACCTS SUMMARY:									
PERSONAL SERVICES	10,847,786	13,009,785	23,857,571	14,500,133	15,833,044	30,333,177	17,199,647	18,548,063	35,747,710
ALL OTHER	6,229,053	16,786,166	23,015,219	5,251,713	5,785,156	11,036,869	5,182,115	5,712,820	10,894,935
CAPITAL	55,000	75,000	130,000	32,000	102,500	134,500	68,200	25,000	93,200
TOTAL SUMMARY	17,131,839	29,870,951	47,002,790	19,783,846	21,720,700	41,504,546	22,449,962	24,285,883	46,735,845
Annual % Change		**74.359%**		**-33.769%**	**9.790%**		**3.357%**	**8.178%**	
Biennium % Change						**-11.698%**			**12.604%**
Reserve Fund for State House Preservation and Maintenance									
All Other				775,000	800,000	1,575,000	800,000	800,000	1,600,000
Annual % Change		**#DIV/0!**		**#DIV/0!**	**3.226%**		**0.000%**	**0.000%**	
Biennium % Change						**#DIV/0!**			**1.587%**
OPEGA									
Personal Services			0		29,000	29,000	708,128	754,491	1,462,619
All Other			0		57,154	57,154	340,664	308,058	648,722
Capital			0		0	0	0	0	0
Total OPEGA	0	0	0	0	86,154	86,154	1,048,792	1,062,549	2,111,341
Annual % Change		**#DIV/0!**		**#DIV/0!**	**#DIV/0!**		**1117.346%**	**1.312%**	
Biennium % Change						**#DIV/0!**			**2350.659%**

***$850,000 is transferred from the Department of Administrative and Financial Services each fiscal year in accordance with 3 MRSA, section 162, sub-sec. 12-A. Beginning in FY 02 funds were appropriated for this purpose.**

g:\budget\2004-2005\br0405.xls
09/19/02

FY 2004-2005 PROPOSED BIENNIAL BUDGET REQUEST-LEGISLATIVE ACCOUNT

	EXPENSE CATEGORY	FISCAL YEAR 2001 Expended	FISCAL YEAR 2002 Expended	FISCAL YEAR 2003 Adj. Work Program	FISCAL YEAR 2004 Proposed Request	FISCAL YEAR 2005 Proposed Request
C&O	PERSONAL SERVICES					
3110	Permanent Full Time	6,110,051.91	6,908,103.10	7,344,931.00	8,179,904.00	8,034,788.00
3120	Permanent Part Time	102,788.38	133,453.54	127,502.00	166,752.00	164,601.00
3180	Vacation Pay	61,117.05	46,207.76	0.00	0.00	0.00
3195	Attrition				(70,004.00)	(68,907.00)
3210	Limited Period Full Time	2,023,727.48	1,544,486.51	2,149,194.00	1,541,168.00	2,149,194.00
3310	Seasonal Full Time	999,814.22	797,990.52	1,006,828.00	899,727.00	1,046,391.00
3380	Seasonal Vacation Pay	2,087.61	36,932.79	0.00		
3611	Standard Overtime	2,596.93	65,007.91	40,400.00	71,100.00	6,500.00
3612	Premium Overtime	75,420.91	62,825.87	46,100.00	65,500.00	79,000.00
3616	Retro Lump Sum Payment	23,326.16	12,563.72	0.00		
3622	Stipend	4,500.00	0.00	0.00		
3631	Longevity Pay	30,331.00	34,533.00	33,784.00	39,520.00	42,608.00
3810	Unemployment Comp Costs	23,162.20	29,030.82	44,100.00	55,200.00	39,500.00
3890	Per Diem	68,365.00	106,775.00	142,510.00	228,030.00	173,580.00
3901	Health Insurance	1,804,107.07	2,142,272.00	2,601,795.00	3,100,014.00	3,595,784.00
3905	Dental Insurance	65,525.54	68,896.35	103,340.00	97,669.00	113,306.00
3906	Employee Hlth/Workers Comp	514.00	361.50	0.00	0.00	0.00
3908	Employer Retiree Health	550,046.57	605,638.36	850,332.00	1,115,511.00	1,429,481.00
3909	Administrative Costs-Retirement	71,284.12	54,439.79	63,266.00	58,826.00	60,707.00
3910	Employer Retirement Costs	459,489.30	502,004.30	557,086.00	564,891.00	562,021.00
3911	Employer Group Life	21,779.53	25,822.44	31,137.00	34,949.00	33,717.00
3912	Employer Medicare Cost	98,690.69	99,945.13	115,674.00	118,236.00	127,986.00
3960	Unfunded Liability-Retirement	651,114.45	491,306.42	547,865.00	914,674.00	944,456.00
3973	Child Care Benefit	0.00	0.00	2,100.00	2,100.00	2,100.00
	Total Personal Services	**13,249,840.12**	**13,768,596.83**	**15,807,944.00**	**17,183,767.00**	**18,536,813.00**
	PS - ANNUAL % CHANGE		**3.915%**	**14.812%**	**8.703%**	**7.874%**

g:\budget\2004-2005\br0405.xls
09/19/02

FY 2004-2005 PROPOSED BIENNIAL BUDGET REQUEST-LEGISLATIVE ACCOUNT

	EXPENSE CATEGORY	FISCAL YEAR 2001 Expended	FISCAL YEAR 2002 Expended	FISCAL YEAR 2003 Adj. Work Program	FISCAL YEAR 2004 Proposed Request	FISCAL YEAR 2005 Proposed Request
	ALL OTHER					
4000	Prof. Services, Not by State	238,459.07	217,703.41	140,155.00	178,875.00	230,280.00
4100	Prof. Services, By State	0.00	35.00	8,086.00	8,086.00	8,086.00
4200	Travel Expenses, In State	1,373,759.94	910,111.90	1,792,355.00	1,280,581.00	1,870,261.00
4300	Travel/Professional Development	69,411.42	64,714.55	144,271.00	97,585.00	98,085.00
4500	Utility Services	2,652.87	1,455.07	790.00	535.00	535.00
4600	Rents	386,639.21	269,047.37	19,198.00	51,196.00	21,201.00
4700	Repairs/Maintenance Agreements	88,401.29	11,219.67	24,913.00	58,515.00	58,515.00
4800	Insurance	25,632.56	25,896.59	25,540.00	28,757.00	32,148.00
4900	General Operations	1,327,361.19	1,170,135.71	1,398,228.00	1,394,802.00	1,437,377.00
5000	Employee Training	71,506.97	83,306.11	68,654.00	120,157.00	137,413.00
5100	Food	9,704.70	3,831.05	12,920.00	7,005.00	13,925.00
5200	Fuel	24,358.58	8,062.75	225.00	0.00	0.00
5300	Office Supplies (Technology)	895,860.36	690,849.26	927,190.00	791,854.00	849,572.00
5400	Clothing	6,331.39	4,684.14	7,615.00	7,500.00	7,500.00
5500	Minor Equipment	105,861.00	24,458.98	118,125.00	135,500.00	126,500.00
5600	Office & Other Supplies	265,911.44	216,472.54	153,294.00	166,800.00	179,375.00
5800	Highway Materials	1,536.00	0.00	0.00	0.00	0.00
6800	Constituent Service Allowance	199,265.00	399,240.00	199,265.00	399,735.00	199,265.00
6900	Workers' Compensation	0.00	100.00	5,000.00	1,800.00	1,800.00
8008	Interest Payment Late Fee	647.09	861.44	0.00		
	Total All Other	**5,093,300.08**	**4,102,185.54**	**5,045,824.00**	**4,729,283.00**	**5,271,838.00**
	AO - ANNUAL % CHANGE		**-19.459%**	**23.003%**	**-6.273%**	**11.472%**
	CAPITAL EXPENDITURES					
7200	Equipment	43,181.33	32,000.00	41,000.00	68,200.00	25,000.00
7600	Asset Construction		5,770.00			
	Total Capital Expenditures	**43,181.33**	**37,770.00**	**41,000.00**	**68,200.00**	**41,000.00**
	CAP. - ANNUAL % CHANGE		**-12.532%**	**8.552%**	**66.341%**	**-39.883%**
	GRAND TOTAL	**18,386,321.53**	**17,908,552.37**	**20,894,768.00**	**21,981,250.00**	**23,849,651.00**
	TOTAL ANNUAL % CHANGE		**-2.599%**	**16.675%**	**5.200%**	**8.500%**

g:\budget\2004-2005\Br0405.xls									
09/19/02									
FY 2004-2005 SUMMARY OF PROPOSED BIENNIAL BUDGET REQUEST-GENERAL FUND									
	FISCAL YEAR 2000	**FISCAL YEAR 2001**	**TOTAL FY 00/01**	**FISCAL YEAR 2002**	**FISCAL YEAR 2003**	**TOTAL FY 02/03**	**FISCAL YEAR 2004**	**FISCAL YEAR 2005**	**04/05 Proposed**
ACCOUNT	**Final Appropriation**	**Final Appropriation**	**BIENNIUM**	**Final Appropriation**	**Adj. Appropriation**	**BIENNIUM**	**Proposed Request**	**Proposed Request**	**Biennial Request**
(col. 1)	(col. 5)	(col. 6)	(col. 4)	(col. 8)	(col. 9)	(col. 7)	(col. 8)	(col. 9)	(col. 10)
Law & Legislative Reference Library									
Personal Services	803,793	816,609	1,620,402	992,872	1,021,716	2,014,588	1,170,474	1,176,175	2,346,649
All Other	326,809	334,806	661,615	318,436	334,611	653,047	341,803	373,578	715,381
Capital	10,000	10,000	20,000	(19,357)	0	(19,357)	0	0	0
Total Library	1,140,602	1,161,415	2,302,017	1,291,951	1,356,327	2,648,278	1,512,277	1,549,753	3,062,030
Annual % Change		**1.825%**		**11.239%**	**4.983%**		**11.498%**	**2.478%**	
Biennium % Change						**15.042%**			**15.623%**

g:\budget\2004-2005\br0405.xls
09/19/02

FY 2004-2005 PROPOSED BIENNIAL BUDGET REQUEST-LAW AND LEGISLATIVE REFERENCE LIBRARY

	ACCOUNT	FISCAL YEAR 2001 Expended	FISCAL YEAR 2002 Expended	FISCAL YEAR 2003 Adj. Work Program	FISCAL YEAR 2004 Proposed Request	FISCAL YEAR 2005 Proposed Request
C&O	**PERSONAL SERVICES**					
3110	Permanent Full Time	658,079.20	688,142.80	688,513.00	751,274.00	728,538.00
3120	Permanent Part Time	60,467.17	64,531.86	63,592.00	71,836.00	70,150.00
3180	Vacation Pay		3,483.00	0.00	0.00	0.00
3195	Attrition				(5,946.00)	(5,573.00)
3616	Retro Lump Sum Payment	563.75		0.00	0.00	0.00
3631	Longevity Pay	5,824.00	6,032.00	6,032.00	6,864.00	7,301.00
3901	Health Insurance	71,848.15	79,067.60	93,068.00	114,256.00	128,934.00
3905	Dental Insurance	3,394.75	3,399.52	3,780.00	3,750.00	4,196.00
3908	Employer Retiree Health	42,401.09	49,379.28	60,120.00	84,380.00	99,892.00
3909	Administrative Costs-Retirement	5,581.72	4,420.81	4,473.00	4,450.00	4,242.00
3910	Employer Retirement Costs	43,786.32	46,036.41	45,791.00	49,771.00	48,345.00
3911	Employer Group Life	2,372.16	2,559.20	2,759.00	3,116.00	2,914.00
3912	Employer Medicare Cost	4,732.45	5,103.71	5,143.00	6,133.00	5,994.00
3960	Unfunded Liability-Retirement	63,576.55	46,874.26	48,445.00	80,590.00	81,242.00
	Total Personal Services	**962,627.31**	**999,030.45**	**1,021,716.00**	**1,170,474.00**	**1,176,175.00**
	PS - ANNUAL % CHANGE		**3.782%**	**2.271%**	**14.560%**	**0.487%**

g:\budget\2004-2005\br0405.xls
09/19/02

FY 2004-2005 PROPOSED BIENNIAL BUDGET REQUEST-LAW AND LEGISLATIVE REFERENCE LIBRARY

	ACCOUNT	FISCAL YEAR 2001 Expended	FISCAL YEAR 2002 Expended	FISCAL YEAR 2003 Adj. Work Program	FISCAL YEAR 2004 Proposed Request	FISCAL YEAR 2005 Proposed Request
	ALL OTHER					
4000	Prof. Services, Not by State	0.00	0.00	600.00	0.00	0.00
4100	Prof. Services, By State	0.00	0.00	0.00	0.00	0.00
4200	Travel Expenses, In State	860.30	838.86	871.00	890.00	890.00
4300	Travel/Professional Development	1,443.42	907.88	0.00	0.00	0.00
4500	Utility Services	127.76	0.00	0.00	0.00	0.00
4600	Rents	77.86	83.62	128.00	85.00	85.00
4700	Repairs	196.25	438.50	615.00	450.00	450.00
4800	Insurance	1,182.77	1,236.51	1,896.00	1,425.00	1,617.00
4900	General Operations	280,139.99	306,528.77	278,467.00	309,337.00	338,620.00
5000	Employee Training	889.50	945.50	0.00	0.00	0.00
5300	Office Supplies (Technology)	11,438.75	11,391.34	14,248.00	13,516.00	13,816.00
5500	Personal Computers	6,972.00	2,835.00	9,020.00	2,000.00	4,000.00
5600	Other Supplies	14,961.94	13,376.45	28,366.00	14,000.00	14,000.00
6900	Workers' Compensation	0.00	0.00	400.00	100.00	100.00
	Total All Other	**318,290.54**	**338,582.43**	**334,611.00**	**341,803.00**	**373,578.00**
	AO - ANNUAL % CHANGE		**6.375%**	**-1.173%**	**2.149%**	**9.296%**
	CAPITAL EXPENDITURES					
7200	Equipment	0.00	3,759.00	0.00	0.00	0.00
	CAP. - ANNUAL % CHANGE		**#DIV/0!**	**-100.000%**	**#DIV/0!**	**#DIV/0!**
	GRAND TOTAL	**1,280,917.85**	**1,341,371.88**	**1,356,327.00**	**1,512,277.00**	**1,549,753.00**
	TOTAL ANNUAL % CHANGE		**4.720%**	**1.115%**	**11.498%**	**2.478%**

STATE OF MAINE
HOUSE OF REPRESENTATIVES
CLERK'S OFFICE
2 State House Station
Augusta, Maine 04333-0002

Millicent M. MacFarland
Clerk of the House

September 24, 2002

The Honorable Michael V. Saxl
Chair, Legislative Council
2 State House Station
Augusta, Maine 04333-0002

Dear Mr.Chairman:

The following proposed schedule is hereby submitted for the consideration of the Legislative Council. We are recommending an increase in the schedule of fees for Document Service for the First Regular Session of the 121st Legislature due to the increase in postal rates.

All items listed below, with the exception of Joint Resolutions and Legislative Council minutes, are available on the Legislature's website.

	120th Rates 1st Reg.	**Proposed Rates 121st 1st Reg.**
1. Bills & Resolves (L.D.s) FIRST CLASS-Mailed Daily	$500.00	$550.00
2. Bills & Resolves (L.D.s) FIRST CLASS-Mailed-Twice Weekly	400.00	450.00
3. Bills & Resolves (L.D.s) THIRD CLASS-Mailed Twice Weekly	330.00	350.00
4. Bills & Resolves (L.D.s) PICKED UP AT DOCUMENT ROOM	200.00	200.00
5. Amendments (Combined with any Legislative Document Service)-Mailed Weekly	120.00	130.00
6. Amendments PICKED UP AT DOCUMENT ROOM	80.00	80.00
7. Legislative Record FIRST CLASS-Mailed Weekly	125.00	140.00
8. Legislative Record PICKED UP AT DOCUMENT ROOM	90.00	90.00
9. Public & Private & Special Laws, Resolves, & Constitutional Resolutions FIRST CLASS-Mailed Weekly	275.00	300.00
10. Public & Private & Special Laws, Resolves & Constitutional Resolutions PICKED UP AT DOCUMENT ROOM	150.00	150.00
11. Weekly Computer Printout-Status of Bills FIRST CLASS MAIL	225.00	250.00
12. Weekly Computer Printout-Status of Bills THIRD CLASS MAIL	115.00	130.00

Tel: 207-287-1400 E-Mail: millie.macfarland@state.me.us Fax: 207-287-1456

13. Weekly Computer Printout-Status of Bills PICKED UP AT DOCUMENT ROOM	60.00	60.00
14. Advance Notice of Public Hearings on Bills FIRST CLASS-Mailed Weekly	25.00	30.00
15. Weekly Listings of Bills Printed & Enacted FIRST CLASS MAIL	25.00	30.00
16. Joint Resolution FIRST CLASS MAIL	80.00	80.00
17. House & Senate Daily Calendars FIRST CLASS-Mailed Weekly	60.00	65.00
18. House & Senate Daily Calendars with Supplemental Calendars FIRST CLASS-Mailed Weekly	125.00	135.00
19. Legislative Council-Notice of Preliminary Agenda and Minutes, After Deadline List Pre and Post Versions	175.00	175.00
20. Weekly Legislative Calendar FIRST CLASS MAIL	25.00	30.00
21. Roll Call Votes (House & Senate) FIRST CLASS MAIL-Mailed Weekly	75.00	85.00
22. Roll Call Votes (House & Senate) PICKED UP AT DOCUMENT ROOM	25.00	25.00

I will be happy to respond to any questions the Council may have on this proposed schedule that has been discussed with appropriate legislative support agencies.

Sincerely,

Millicent M. MacFarland

Millicent M. MacFarland
Clerk of the House

THE EFFECT OF TERM LIMITS ON THE MAINE LEGISLATURE

Overview of Activities

September 24 – 25, 2002

- Present information about the project to the Leigslative Council
- Begin interviewing members of the Legislative Council
- Begin interviewing legislative staff
- Begin to gather data on legislative processes such as number of bills drafted

October 14 – 18, 2002
(Proposed second site visit)

- Complete interviewing members of the Legislative Council
- Complete interviewing legislative staff
- Interview selected committee chairs
- Interview selected legislators
- Interview selected legislative observers - lobbyists, journalists, executive branch officials
- Gather additional data on legislative processes

November 2002

- Prepare a report describing our activities and preliminary findings

December 2002

- Present the report to a meeting of the Project Management Team and Case Study Investigators
- Submit a copy of the report to the Legislative Council

Joint Project on Term Limits

Proposal to the Smith Richardson Foundation

Executive Summary

Organization	National Conference of State Legislatures on behalf of the Council of State Governments, the State Legislative Leaders Foundation and a group of state legislative scholars
Principal Investigators	Jennifer Drage Bowser, Rich Jones, Karl T. Kurtz, Brian Weberg
Name of Project	Joint Project on Term Limits
Time Frame	42 months from July 2001 to December 2004
Project Costs	Total cost: $526,000. Smith Richardson Foundation award: $300,000.

The Joint Project on Term Limits is a cooperative effort among the National Conference of State Legislatures, the Council of State Governments, the State Legislative Leaders Foundation and a small group of legislative scholars. The purpose of the project is to assess the effects of term limits on state legislatures and identify successful approaches for dealing with them.

This joint project among three national organizations of state legislators and a group of legislative scholars is a unique collaborative effort. Not only is it the first time the three national organizations have undertaken a joint project, it is also the first time that legislative scholars and the users of legislative research have joined together to identify a priority research topic and collaborate on carrying it out. The scholars and the national organizations hope that this project is a model for future mutually beneficial research.

Term limits are the most significant change to the legislative institution since the legislative modernization movement of the 1960s and 1970s. Currently, 19 states have term limits. They have taken effect in 11 states and will go into effect in the remaining eight states between 2002 and 2008. Because term limits are only now taking effect, we do not yet fully understand their influences on state legislatures and our system of representative democracy. The time is ripe to undertake a national study of the institutional impacts of term limits.

Because legislatures play such an important role in our democracy, it is critical that citizens and policy makers understand the effects of term limits and make adjustments to ensure that legislatures remain effective institutions of representative

democracy. The project will examine how the legislature's capacity for policy making, types of members elected, internal legislative operations and relationships among the branches of government have changed as a result of term limits. Our goal is not to revisit the debate over whether or not there should be term limits but rather to aid citizens in understanding their effects and help legislatures in mitigating the negative influences of this reform while building on its positive impacts.

The goals of this project directly fit the Smith Richardson Foundation's mission of ensuring the vitality of America's governmental institutions.

The Joint Project on Term Limits study design has five elements:

1. *Planning.* The project management team will meet in summer 2001 to review NCSL plans for developing the demographic database on all state legislators, design the national survey and select the case study states and the project investigators in each of these states.

2. *Demographic Data.* NCSL currently maintains a database of all state legislators containing information on addresses, district numbers, gender, political party and committee and leadership assignments. This database will be expanded to include the following other demographic data: age, occupation, education, race, previous political office and year term-limited. Expansion of the database will enable comprehensive comparisons of the membership of term-limited and non-term-limited legislatures.

3. *Survey Research.* The project team will conduct a national survey of all state legislators. Building on a survey instrument first developed and administered in 1995 by Carey, Niemi and Powell before term limits had taken full effect, we will compare attitudes of legislators in term-limited and non-term-limited states. The survey will ask questions about such things as the relative influence of various political actors, legislators' role orientations, time spent on legislative activities and career orientation. We will assess changes due to term limits by comparing data from legislators in term limited and non-term limited states. A second smaller survey will be conducted in selected term limited states asking staff and other participants and observers to compare legislative behavior before and after term limits.

4. *Case Studies.* Teams of academics and staff from the three national legislative organizations will conduct in-depth case studies of six term-limited legislatures and a control group of three non-term-limited legislatures. A legislative scholar will be paired with a staff person from one of the three national organizations to conduct the case study in each of the nine states. Field visits will occur at least once a year for three years. Methods that will be used will include personal interviews with legislators, legislative staff and legislative observers and collection of data on legislative operations.

5. *National Advisory Committee and Dissemination* A national committee composed of state legislators, legislative staff, political scientists and other legislative observers

will oversee the project and receive the information gathered during the course of the study. Political scientists on the project team will publish scholarly articles on various aspects of the research and will prepare a book for the academic market. Staff of the three organizations will write and publish articles, electronic reports and issue briefs for a national audience of legislators and legislative staff. All three national organizations will conduct training and professional development programs for legislators based on the results of the study. At the conclusion of the study the national organizations will jointly publish a practical guide to term limits that describes the principal effects of these reforms and recommends adaptation strategies to mitigate any negative impacts.

Finding effective ways to adapt to the effects of term limits is critical to the institutional health of the 19 state legislatures where they have been imposed. To remain independent, co-equal branches of state government, they must find ways to overcome the problems of loss of institutional memory, inexperience and lack of continuity. This study will identify effective adaptation strategies and recommend their implementation in the term-limited states. Non-term-limited states will also benefit from this research, because the training, professional development and procedural changes that term-limited states are developing are likely to be valuable strategies for legislative strengthening in the non-term-limited states as well.