

MAINE STATE LEGISLATURE

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**LEGISLATIVE COUNCIL
JULY 31, 2001
AGENDA**

CALL TO ORDER

ROLL CALL

**SUMMARIES OF THE JUNE 6, JUNE 12 AND JUNE 27, 2001
COUNCIL MEETINGS**

**REPORTS FROM EXECUTIVE DIRECTOR AND STAFF OFFICE
DIRECTORS**

- Executive Director's Report
- Renovations: Status Report
 - West Wing Piping
 - West Wing Exterior
 - Connector
 - North Wing
- Fiscal Update
- Migration Project Status

REPORTS FROM COUNCIL COMMITTEES

- Personnel Committee
- Space Committee
- Technology and Migration Committee

OLD BUSINESS

NEW BUSINESS

Item #1: Update on CSOB Percent for Art - New East Entrance

Item #2: Proposed Cloture Schedule for the 120th/2nd Regular Session

ANNOUNCEMENTS AND REMARKS

ADJOURNMENT

REP. MICHAEL V. SAXL
CHAIR

SEN. RICHARD A. BENNETT
VICE-CHAIR



120th MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL

SEN. BEVERLY C. DAGGETT
SEN. MARY E. SMALL
SEN. PAUL T. DAVIS, SR.
SEN. SHARON ANGLIN TREAT
REP. PATRICK COLWELL
REP. JOSEPH BRUNO
REP. WILLIAM S. NORBERT
REP. WILLIAM J. SCHNEIDER

JAMES A. CLAIR
EXECUTIVE DIRECTOR

MEETING SUMMARY
JUNE 6, 2001

CALL TO ORDER

The Chair, Speaker Michael V. Saxl, called the Council meeting to order at 1:38 p.m. in the Legislative Council Chamber.

ROLL CALL

Senators:	Sen. Bennett, Sen. Small, Sen. Davis, Sen. Treat Absent: Sen. Daggett,
Representatives:	Speaker Saxl, Rep. Colwell, Rep. Bruno, Rep. Norbert, Rep. Schneider
Legislative Officers:	Millicent MacFarland, Clerk of the House James A. Clair, Executive Director, Legislative Council Grant Pennoyer, Director, Office of Fiscal and Program Review David Boulter, Director, Office of Policy and Legal Analysis

REPORTS FROM COUNCIL COMMITTEES

None.

OLD BUSINESS

None.

NEW BUSINESS

The only business before the Legislative Council today was to review the special study committees.

Item #1: 120th Legislature Study Requests

Speaker Saxl thought it might be helpful, before going through the list to explain how the Council was going to proceed. For the last several years they had prescribed for each study that had been approved a certain number of meetings, etc. and he asked David Boulter to give an overview of the structure.

Mr. Boulter explained that in terms of the layout for the studies themselves, it generally had been preference for studies by joint order, which allows the Legislature to act independent of the executive consistency in terms of appointment of chairs by the presiding officers, appointment of the members with the exceptions of other appointments by the Governor or others to make the appointments. Total amount to be budgeted within that was a requirement that the study commissions report their plan so the Council could see the plan on how the money was being allocated. Many of these studies proposed are not consistent with those guidelines and he proposed that the Council go through to identify them or to make general corrections to make them consistent. He did want to point out the compensation. In the past it was decided, the joint rules require, Legislative members to be compensated per diem and travel expenses and members of the public who are not otherwise compensated in some manner, would receive expenses only for travel as necessary.

Rep. Bruno commented that in the past only legislators were compensated and now it seemed the scope had been broaden to pay expenses and per diems for others. Mr. Boulter said the guidelines and the joint rules clearly express preference if it is a legislative study then it should be legislators primarily on it, with some exceptions. The committees, for various reasons, had chosen to go beyond that on a number of occasions. If they wanted other members to serve, the Council in the past, had played the role of making decisions as to how far beyond the guidelines to go. Those are committee decisions, but the preference, at least expressed in the joint rules, was to keep legislative studies principally among legislators.

Motion: To accept the guidelines and make it the rule and if there are certain studies believed did not fit within the guidelines to exempt them from that rule. (Motion by Rep. Colwell, second by Rep. Norbert, vote results: see p. 3).

Discussion: Rep. Bruno asked for clarification on exactly what the guidelines were. Was it that legislators would be funded and then would make exceptions, or that everyone would be funded. Speaker Saxl believed Mr. Boulter outlined that there would be a goal to conform between all the study groups, that preponderance of the membership should be legislative, they should meet for 4 meetings, every legislator should receive per diem and mileage, and those uncompensated members of the private sector could request reimbursement for mileage. Mr. Boulter said at the last Council meeting when the guidelines were talked about, the Council asked for clarification of the language regarding compensation for public members. "Public members not otherwise compensated by their employers, or other entities whom they represent, are entitled to receive reimbursement of necessary expenses." If you want per diem, which I understand you don't, you would have other language.

Senator Bennett had concern on the overall number of studies, the impact on the staff and the overall costs. While he believed it a working operating thesis, but believed at the end of the Council meeting, they should review what had been created so they could determine what would be best given the reality.

Sen. Treat asked if Sen. Daggett could revisit some decisions made regarding studies.

Speaker Saxl asked if there were other comments on the pending issue of the conformity of special study committees. Seeing none, it has been moved and seconded, the yeas have it.

Speaker Saxl asked Sen. Bennett to discuss his concerns of the number of study committees. Sen. Bennett said as few as possible studies should be approved. He had concern it appeared there would be more than a dozen. Sen. Treated believed the number of studies should be related to the issues, the number was certainly an issue but noted the range in cost. Some committees made recommendations that did not cost much, so hoped the Council could look at the overall cost as opposed to the number of studies. Speaker Saxl said according to the legislative budget, individual committees would be granted on their own to meet once a month during the off session to deal with a lot of issues before them and reminded members they agreed to a structure for all select committees unless explicitly exempt them from that structure, they will all be at a similar number of meetings, all will have a similar make up of members of the public and private side.

Before proceeding with the list of study requests, Speaker Saxl said it had been typical in the past that the Council had listened very closely to the priorities of the committees of jurisdiction whenever possible and tried to respect them and the members.

Proposed Interim Studies were considered by the Legislative Council. The Council's action on these requests are included on the attached list.

Speaker Saxl said there will not be another full meeting of the Council to discuss each and every item on the list but rather a meeting just to discuss selected items that members of the Council themselves wish to revisit or items sitting on the table.

Motion: Everything that was passed over, not acted on otherwise, is defeated. (Motion by Rep. Schneider, second by Rep. Bruno, failed 2-7).

ANNOUNCEMENTS AND REMARKS

None.

ADJOURNMENT

The Council meeting was adjourned at 3:15 p.m. (Motion by Rep. Colwell, second by Rep. Bruno, unanimous)

REP. MICHAEL V. SAXL
CHAIR

SEN. RICHARD A. BENNETT
VICE-CHAIR



120th MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL

SEN. BEVERLY C. DAGGETT
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REP. JOSEPH BRUNO
REP. WILLIAM S. NORBERT
REP. WILLIAM J. SCHNEIDER

JAMES A. CLAIR
EXECUTIVE DIRECTOR

MEETING SUMMARY
JUNE 12, 2001

CALL TO ORDER

The Chair, Speaker Michael V. Saxl, called the Council meeting to order at 12:37 p.m.

ROLL CALL

Senators:	Sen. Bennett, Sen. Daggett, Sen. Small, Sen. Davis, Sen. Treat
Representatives:	Speaker Saxl, Rep. Colwell, Rep. Bruno, Rep. Norbert, Rep. Schneider
Legislative Officers:	David Shiah, Assistant Clerk of the House James A. Clair, Executive Director, Legislative Council Grant Pennoyer, Director, Office of Fiscal and Program Review David Boulter, Director, Office of Policy and Legal Analysis

REPORTS FROM COUNCIL COMMITTEES

None.

OLD BUSINESS

Speaker Saxl said the only order of business for the Council meeting was to complete the work on the 120th Study Requests. He asked Mr. Clair to explain the document prepared for the Council members and then would talk about the process.

Item #1: Continuation of the 120th Legislature Study Requests

Mr. Clair referred members to the summary of action that the Council took at its June 6, 2001 meeting. The list is broken down into several different categories as follows: Page 1 are the items that had been moved in, along with an annotation of whether they were proceeding with the original document or substituting a joint study order for what was originally a legislative document. On Page 3 is subsequent action. There was a bill that the Council had made no recommendation and then learned the next day it was on the Governor's desk and he had subsequently signed it into law and there is a study component to it. On page 4 there are items that had been referred to the Appropriations Committee, tabled items, and a study request that a motion failed. On page 6 are items where no action was taken and page 9 are carryover requests that were included in the aggregate run of the studies. Mr. Clair said the Legislative Council authorized 20 studies at its June 6th meeting, plus the subsequent action of the Governor has led to 21 different study vehicles, joint study orders or orders so far and the aggregate cost at present is \$54,900.

The Legislative Council's action on study requests is included on the attached list.

NEW BUSINESS

None.

ANNOUNCEMENTS AND REMARKS

None.

ADJOURNMENT

The Legislative Council was adjourned at 1:51 p.m. (Motion by Sen. Bennett, second by Sen. Davis, unanimous).

REP. MICHAEL V. SAXL
CHAIR

SEN. RICHARD A. BENNETT
VICE-CHAIR



120th MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL

SEN. BEVERLY C. DAGGETT
SEN. MARY E. SMALL
SEN. PAUL T. DAVIS, SR.
SEN. SHARON ANGLIN TREAT
REP. PATRICK COLWELL
REP. JOSEPH BRUNO
REP. WILLIAM S. NORBERT
REP. WILLIAM J. SCHNEIDER

JAMES A. CLAIR
EXECUTIVE DIRECTOR

MEETING SUMMARY
June 27, 2001

CALL TO ORDER

The Chair, Speaker Michael V. Saxl, called the Legislative Council meeting to order at 1:22 p.m.

ROLL CALL

Senators:	Sen. Bennett, Sen. Daggett, Sen. Small, Sen. Davis, Sen. Treat
Representatives:	Speaker Saxl, Rep. Colwell, Rep. Bruno, Rep. Norbert, Rep. Schneider
Legislative Officers:	Joy O'Brien, Secretary of the Senate Pamela Cahill, Assistant Secretary of the Senate Millicent MacFarland, Clerk of the House David Shiah, Assistant Clerk of the House James A. Clair, Executive Director, Legislative Council Grant Pennoyer, Director, Office of Fiscal and Program Review David Boulter, Director, Office of Policy and Legal Analysis Margaret Matheson, Revisor of Statutes Lynn Randall, State Law Librarian Paul Mayotte, Director, Legislative Information Services

**SUMMARIES OF THE APRIL 25, MAY 7, AND MAY 10, 2001
COUNCIL MEETINGS**

That the Summaries of the April 25, May 7, and May 10, 2001 meetings be accepted and placed on file. (Motion by Sen. Bennett, second by Sen. Small, 9-0).

REPORTS FROM EXECUTIVE DIRECTOR AND STAFF OFFICE DIRECTORS

- Executive Director's Report

Mr. Clair presented a summary of his written report. He said he had a number of items alerting the Council members to items that will come before them later in the Council meeting.

First, he wanted to highlight 3 key issues on the North Wing renovations before the meeting got to New Business. One was the scope of the work, second was how to finance whatever that scope of work was and third, at a minimum, they needed to give the construction crew some affirmative step to take and he had a recommendation.

Second, was an update on the Legislative budget. For some of the summaries done previously for the Part I exercise and the Part II exercise, there was an attachment that listed for FY 02 and FY 03 all the different accounts and it took them sequentially from the Part I development through the Part II, etc. This was provided for the Council members' information.

Third, under Item 2 of Old Business, members had a separate attachment that provided an update on the final legislative action on studies.

The 4th item was information he received from the firm of Weinrich + Burt, our architects. Mr. Burt apologized for not being there, but he had an interest in hiring a consultant to look the granite work in the front of the building because there had been chipping and cracking. Mr. Burt was seeking Council approval to proceed with a consulting service at an estimated cost of \$5,469. Mr. Clair was putting that before the Council for consideration.

Number 5 was regarding the Press Room on the 4th floor off the Rotunda. They requested that phone lines be installed. Mr. Clair suggested they come back with a recommendation on a way to put in phone lines and a way to finance them. The question was whether the Legislative budget should be paying for the lines or whether they should be directly subsidized by the members of the press who used them. Speaker Saxl asked if the members of the Press paid fees for the space they have in the CSOB and in the State House. Mr. Clair said they leased the space in the CSOB, but paid nothing to the Legislature for the space in the State House. Sen. Bennett questioned the purpose of the phone lines. Mr. Clair said the previous Legislative Council had established the old custodian office to be a press satellite area. There was enough space for members of the press to use a lap top and, perhaps, a cell phone; there was not a lot of working area. There is the capacity to activate phone lines but only one member of the press is presently paying for privately. No others had been activated. There had been interest for a phone line because press conferences require at a minimum an ISDN line and also had interest in fax transmissions.

Sen. Treat asked if a decision had to be made regarding phone lines at the meeting. Mr. Clair said he had been discussing it with the Maine Association of Broadcasters, but there is not a group that serves both the print media and the tv media, so it had been difficult to get all groups together at the same time. Also, how the costs would be divided had also been an issue. This matter was deferred until a later time.

Mr. Clair announced his resignation as Executive Director effective September 15, 2001, saying it was not something that he did lightly or in any other capacity than what he believed to be in the best interest of his family and his professional future. It had been nothing short of an honor for him to work at the State House for 18 sessions, he had enjoyed it immensely but, nonetheless, it was a decision that had been painful but one that he had come to. He wanted to announce his resignation publicly and also to thank everyone for their support because everyone had been great to work with.

Speaker Saxl thanked Mr. Clair for his service to the Legislature, not just in his capacity as Executive Director, but for the last 18 years he had made a tremendous contribution to the people in the State of Maine and was very sorry to see him go. The Speaker wished they could convince him otherwise, but knew that the job came with a lot of sacrifices and wished him the very best in his future endeavors. He knew that it had not been an easy decision for him to make and thanked you for all your service.

- Renovations: Status Report

The topic of the granite consultant was raised again. Mr. Burt feels very strongly about it but, given our financial situation, Mr. Clair dragged his feet a little bit on it, quite frankly. Mr. Fairservice and Mr. Clair met with Rick Burt a couple of weeks ago and he was very interested in this. It had been pointed out to him where there are some of these problems. Mr. Clair believed it would be helpful to hear Mr. Fairservice's perspective.

Mr. Fairservice said they did meet with Rick Burt to discuss the chipping and cracking of the granite. They are at the front entrance of the building, some were before the construction, but some came during the process of the construction project and are fairly substantial. He asked Mr. Hilfrank and he also felt that a granite consultant would be beneficial to all, so Mr. Fairservice would also recommend it.

Sen. Treat asked if it was the existing or new granite, and Mr. Fairservice said it was the existing granite on the curve of the building. It would be the front doors of the future entrance. Rep. Norbert referring to the memo from Mr. Burt questioned why the Legislature would pay. Mr. Fairservice believed it was turned back to the architect because of the decision-making. He did not feel comfortable in pursuing it with a contractor, and felt he needed a consultant to give him recommendations. Some had been done earlier on the retaining wall and the type of process they used turned to yellow. That would not be something to use for the front entrance. Rep. Bruno asked if any type of contingency had been built in for cracking or chipping. Mr. Fairservice said some had been built in, but a lot of it had already been used, plus money not built in to do the Hall of Flags floor, which had bid about \$95,000. They tried to do that work with the Hall of Flags floor, inside the contract using the contingency instead of asking for any additional monies, and so had used quite a bit of it.

Sen. Bennett questioned if the Council was going to be approving all of the expenditures at that level and if there was a reason it was coming before them. Mr. Clair felt he was statutorily obligated to bring an expenditure of this sort on the building before the Council and also the entering of a contract for services. He needed to get the Council's approval and the nature of some of the work on the renovations was such that he was not anxious to bring someone on board unless he had a limited contractual obligation, so \$5,000 did not become \$15,000. Sen. Bennett asked if this was something the architect normally would contract for, and if so, why wasn't he doing so in this case. Mr. Clair said he believed the architect was prepared to tell the Council that they would pay his 10.8% commission on top of the contract amount if they went through him as an addendum to his present contract. Sen. Bennett did not want to see things of this nature

coming before them in the future. Sen. Treat agreed, but believed it would be best to have a contract and would like to see members move towards actually approving it and if others members were of the same mind, she would move to approve it.

Speaker Saxl asked if the engineers would actually do the work and Mr. Fairservice believed they would give them a set of direction and specification and then they would have to find a list of contractors that would be able to do the work. Mr. Fairservice believed they would garnish a lot of information and technical expertise from it that could be used in a lot of other places around the building that would need to be done in the future with doing this one contract.

Rep. Bruno questioned Mr. Fairservice about the procedure. You are bringing a consultant in to tell you there are cracks in the granite and who should be hired, but you will not know what that cost will be to fix it. Mr. Fairservice said yes.

Rep. Colwell asked if it was damage that resulted from the construction and Mr. Fairservice said anything damaged during the construction, the contractor would do. There are existing places where big chunks of concrete are gone. Rep. Colwell asked if that was why the specialist was needed, to make the distinction of where the liability lies and Mr. Fairservice said yes. Any repairs for those that were cracked or broken during the construction process, we will take care; this was for the other granite issues.

Motion: Move that the Legislative Council approve the contract with the specialists to review the granite. (Motion by Sen. Treat, second by Rep. Colwell, 8-2).

Speaker Saxl asked for further renovation updates. Mr. Fairservice said at present they had turned over the portion of the building on the 1st floor to the head of the stairs to the connector tunnel. The Snack Bar had moved in and was working on a limited basis at the present time. Also the "Interpretive Center", was able to be occupied. The other side of the Connector, they were doing the finishing touches, the drywall, plaster, the stone was being etched by the artists, and we hopeful would see the stone in mid-July. Also hoping the connector will be open by mid-August, along with the front entrance. They received the drawings for the front entrance and sent them out to bid. Bids had been received and they had issued a contract. That person had started to procure the stones.

Speaker Saxl asked if there were other updates or any questions for Mr. Fairservice.

Mr. Clair wanted to mention, with the awkwardness of the West Wing opening and the North Wing closing, they were presently using the Interpretive Center space for the custodial bins because there was no other place in the building right now. This was only a temporary use, but might be necessary for a short time after the West Wing entry way opened. Speaker Saxl asked if he could make a recommendation that the Space Committee would be the appropriate venue, but thought it would be good if the Legislature convened a subcommittee to work on the "Interpretive Center" to develop a program for it. He knew that Rep. McKee had been working on it, and thought incorporating some of the University of Maine in Augusta and some of Rep. McKee's suggestions in a bipartisan, bicameral effort to try to come up with a program to be ready once it was done being used by the custodial staff, there would a welcoming center and a program that they had a chance to review and approve.

Motion: That the Space Committee with an addition of four people, one from each caucus in the Legislature, to make recommendations over the programming that would occur in the Interpretive Center, the one individual to be from each of the caucuses to be appointed by the leaders of each of those individual caucuses. (Motion by Speaker Saxl, second by Sen. Treat, 10-0).

Speaker Saxl asked Mr. Clair to speak to those leaders within the next week. Rep. Linda McKee is his choice for the House Democrats.

- Fiscal Update

Mr. Pennoyer drew members to information in their packet. First he gave an update of the May revenues. The Commissioner of Administrative and Financial Services has released May revenues that day. The May general fund revenues were down \$3.2 million for the month, primarily as a result of corporate income tax and sales tax being under budget. However, to date, they remain \$30.1 million over budget and looking ahead to June, looks like sales tax had recovered in June and will be on or slightly ahead of budget, corporate tax will still be down, and individual tax will be right about on budget. There is one other adjustment that needed to be made in the budgeted revenue that provided the basis for the variance report did not include 2 legislative changes to FY 01 revenue. One was enacted in the emergency FY 01 budget and the current services budget also included additional revenue not reflected in the budgeted numbers. The \$30.1 million variance at the end of May was actually \$29 million. Absent any significant change in the other minor lines, it looks like there may be excess in revenue of approximate \$25 million. As part of the Part II budget there were yearend commitments totaling \$17.9 million. As of now, absent any major changes, those will all be able to be funded to yearend balances.

Rep. Bruno asked Mr. Pennoyer about his statement, the sales tax line had recovered. Did he mean year to date or just month to month. He said in June it was on budget, or slightly ahead for June. Some may be a timing issue, the late winter might have slowed some sales, hopefully this is a trend that will continue, it will be right on budget. There was a concern earlier if that were a continuing trend, it might result in a downward reprojection for that line, but would have to watch. If the trend holds up the downward reprojection may not happen.

Mr. Pennoyer said the second item was an update of the general fund cash position. The 12 month moving average on the graph decreasing over FY 01 and reflects the spending of the \$300 million plus surplus that was available at the beginning of FY 01. He expected the 12 month moving average to continue down slightly and then level off. The Administration thought they would need an additional tax anticipation note, or TAN, which would need additional authorization and the Legislature did approve that at \$100 million for FY 02. They thought it would be needed in late July or early August. Mr. Pennoyer said he would continue to keep the Council apprised of the cash position.

Mr. Pennoyer noted that normally some kind of update on the fiscal note production and other session end updates. However, they were not available at the time. He wanted to make sure the information was correct before he provided an update. It would be done shortly and also he would provide a comparison of how they had done this session as compared to past sessions. Also, as soon as the yearend closes and that information was available, an update would be provided as to the actual variance and revenues that will fund the year end commitments, as well as lapsed balances.

- Migration Project Status

Mr. Mayotte said the Migration Project was an on going effort, had been in the completion of user testing which was behind schedule for two reasons. There was not enough time allocated to do the testing and they ran into the end of session schedule and then the Revisor office move. At this point they estimate that it is 2/3 complete on the user testing. They estimate there are 4 weeks of remaining work to do and look at it as being 6 weeks of elapsed time. The Revisor's Office was moving and Compaq was on a corporate wide shutdown during the 4th of July week. The soonest testing could be resumed would be the week following the 4th. They estimated 6 weeks of elapsed time to complete it, which would have user testing completed in the middle of August. To date Compaq had made several hundred changes and stated that Compaq had been very responsive in correcting problems as soon as they had been found. Mr. Mayotte had been very pleased with Compaq's reaction to issues and problems. Because of the delay in completion of user testing there were several impacts. Compaq needed to keep staff on this project longer than anticipated and where possible, were trying to find other work for these people, but was not always possible. They had been working with Compaq to develop what they viewed as some equitable options to keep supporting the user testing function through completion to help Compaq get through a period of down time, and that was the goal he was putting before the Council. They were proposing and requesting Council approval to proceed on two different fronts. One request was to re-phase the deferred payments of \$150,000. He would like to accelerate payment to them into the user testing period and was proposing that as Compaq completed different segments of user testing, payment would be released to them. This would be a no cost impact to the Legislature as it would accelerate payments that were already budgeted. There was the risk that it reduced the Legislature's leverage. To date Compaq, with one small instance, had been very honorable and worked very hard to meet the Legislature's requirements. If the Legislature were to accelerate the \$150,000 it would take away some leverage, but it would still have \$50,000 in payments being withheld until the completion of the warranty period.

The Speaker asked Mr. Clair if he had been part of the renegotiations and he said he had. He then asked him if he had concern about paying the accelerated payments. Mr. Clair said his concern was more about the user testing and the fact that there was not a better integration of Compaq's time here and the way the session was proceeding, but that was a fact of life. We want to make sure that the user testing is thorough so the 2nd Regular Session product works wells. Mr. Clair recommended item one before the Council.

Motion: That permission be given to revise the Migration Project mile stone schedule to change the timing of the warranty payments. (Motion by Sen. Treat, second by Sen. Bennett, unanimous).

Mr. Mayotte's second item was to start designing, building and implementing the fiscal note system for the Office of Fiscal and Program Review. It would be an add on to the bill drafting system and would be integrated with the bill drafting system. Currently there was \$364,000 budgeted; originally the Part II budget included additional money for the effort, however, the Executive Branch was facing delays in developing their budgeting system and at this time, he did not know what or how they were going to present for the Legislature to integrate with. The integration piece with the budgeting system was on hold until the Executive Branch decided on what they were going to do. In the meantime, Mr. Pennoyer's Office did need a tool to replace the Wang tool currently used to develop fiscal notes. What was being proposed to the Council at this time, was a release of a change order to Compaq, not for the full \$364,000 but for just under \$75,000, which would allow Compaq to start the design effort. It would require

several stages, the first being for Compaq to come in and meet with Mr. Pennoyer and his people, review their requirements, and then based on those user requirements, come forward with a design and a cost estimate for what the office needs. At this point, he would come back to the Council with a recommendation on how best to proceed. They did not recommend releasing all \$364,000 at this time.

Motion: Move that the Council authorize a change order not to exceed \$75,000 to get started on the fiscal note design. (Motion by Sen. Treat, second by Sen. Daggett, unanimous).

Mr. Mayotte said now being out of session, and being at a critical stage in the project, he thought they should be issuing a bi-weekly Migration status report, at least to the Technology Committee, and if approved by Sen. Treat, copies to the rest of the Council members. Speaker Saxl said the Council would leave it to his discretion.

Sen. Treat asked if Mr. Mayotte was going to discuss the Internet access. It was her understanding that formal approval had not been given by the Council to proceed with its own Internet access.

Motion: That the Maine State Legislature proceed with establishing a direct connection with the Internet. (Motion by Sen. Treat, second by Rep. Bruno, unanimous).

REPORTS FROM COUNCIL COMMITTEES

- Personnel Committee

Speaker Saxl reported that the Personnel Committee had met earlier that day. Lynn Randall's anniversary date was soon and they would be conducting a review of her service, and would be meeting regarding that prior to the next Council meeting.

The second item discussed briefly in executive session was the upcoming vacancy of the Executive Director's position and they would be preparing a recommendation at the next meeting of the Council on how to proceed.

The third item was collective bargaining. It had been the tradition of the Legislature to adopt the same collective bargaining agreements that had been bargained for Executive Branch staff. The Legislature had usually honored the union agreement for Legislative employees. Discussion then centered around the funding of the legislative branch collective bargaining agreement. There was a sense of agreement to extend the increase to legislative branch employees, but there was concern among all parties about appropriately funding it.

Sen. Bennett said there was absolutely no issue about the fact that it had been the long standing policy of the Council to approve the same collective bargaining increases that Executive Branch employees received and he certainly would continue that. In doing so, he did want to underscore the issue that he felt that when they returned in January they were going to have a significant problem with the legislative budget and the additional information received (concerning agencies having to absorb the costs through their vacancies) extended to the Legislature. Sen. Bennett said the most important resource the Legislature has is the people, the nonpartisan and partisan staff.

Rep. Bruno felt it was not the employees' fault for any budget problems, they were entitled to their raises, but the problem was the way it was budgeted. There was a \$5 million short fall statewide and the unions approved the agreements. There would be a short fall and he did not think it was very good budgeting, did have a concern of where the money would come from, but it should not be laid on the employees who ratified a contract in good faith to deny them a raise.

Speaker Saxl agreed that it should have been fully funded as it had been in the past. He was operating under the assumption that it had been and said they will work together to make sure that it is fully and appropriately funded, but that legislative employees deserved to be treated with equity as the Executive employees are treated.

Motion: Moved to exercise the Council's authority in granting Legislative employees and, with reluctance, the Constitutional Officers and the State Auditor as well, the increase approved for the Executive Branch employees thus far. (Motion by Sen. Bennett, second by Rep. Bruno, unanimous).

Motion: That income protection for a Legislative employee be granted as reviewed and accepted by the Personnel Committee at its June 27, 2001 meeting. (Motion by Sen. Bennett, second by Sen. Davis, unanimous).

- Space Committee

Rep. Colwell reported that the Space Committee met on June 26 and referred Council members to information in their packet. The Executive Director had informed the Committee that the North Wing renovations project, after additional accounts had been factored in, would end up with approximately a \$750,000 deficit from the original estimate for the work. The original estimate was about \$6 million. Mr. Clair had put together a scenario of potential cost savings. The alternate cost savings measures that were recommended to help fill in the \$750,000 hole. The Space Committee reviewed them very carefully and it was their consensus that, although they agreed with most of them, there were some they felt they could not agree to. Alternate # 1, the structural repairs of the Law Library floor was an issue with Weinrich + Burt. They said the Library floor as it currently existed would not bare the load of all the books. The floor either needs to be repaired or remove about 28% of the books in the Library. They were getting a second opinion from another structural engineer regarding the structure itself. The Committee was not interested in removing books, so unless the second structural engineer gave a different opinion, the amount between \$87,000 and \$71,000 would have to be moved back into the account.

Alternates #2, #3, #4, #6, and #8, the Space Committee accepted those cuts; Alternate #5 was rejected. The Council had made it clear on a previous vote that the wheelchair lift to the Library Mezzanine was to stay in, \$39,000 was left in. Alternate #7 was split. The Committee accepted half the cut but the fan coil unit for the Clerk's Office, Room 300, was put back in, approximately \$2,500. Rep. Colwell said they had requested more information on Alternate #9 and Mr. Clair was checking on whether money had been budgeted in the BGS budget specifically for the State House, and if so if that money could pay for this work. Rep. Colwell said they coupled #10 with #12 and Earle Shettleworth felt the State House and Capitol Planning Commission's budget had approximately \$90,000 of additional money and felt it very important aesthetically to do Alternate #10 and 12 and he was optimistic that they would be able to come up with about \$29,000 to

fund the two items for the Legislature. Alternate #11 involved a conference room and a fixed wall so they could put floor and ceiling shelving, and that was moved back in the budget.

Sen. Daggett asked if the Committee had discussion regarding an issue of deleting some of the dimming switches, except for Engrossing or Proofing. Rep. Colwell said that was correct. Mr. Clair said Bruce Hilfrank, Stan Fairservice, Rose Breton and himself had discussed that and specifically the list that Sen. Daggett mentioned previously. He believed it might be instructive if Mr. Hilfrank and Mr. Fairservice went over the items, including Alternate #12, that Rep. Colwell referred to, as it had been tied to both some time savings and dollar savings. He drew members' attention to information in their packet regarding financing the North Wing, and what needed to be done at the Council meeting to make sure that Granger Northern's crews, with Mr. Fairservice's oversight, got to work as soon as possible. Mr. Fairservice said when they reviewed the proposed savings from E.S. Boulos, on item #1, not putting the card access intrusion detection in conduit he would not recommend. Speaking as the owners' representative, it should be in conduit, it was a security type system and did not want it tampered with or accidentally cut. Item 2, the sound systems on j-hooks, he would consider accepting that cost proposal. Item 3, the fire alarm cabling definite believed that should stay in conduit and should not be accepted for the Legislature's protection. Item 4, the dimming ballast, there were a lot in the building now, and are not being used very often except in the Proofreaders area. The C-5 and C-6 fixtures on item #5 were basically an architectural selection. Lynn Randall spoke on item #6, switching at the stacks, and felt a real need for them. The Space Committee asked that they look at Item 7, the Tel/Data outlets. He would like to work with Mr. Mayotte, get the stations as they are set up now with the furnishings plan and the electrical plans. In the plans there was a considerable amount of telephone and data on every wall in an office space and he believed some could be eliminated and would not eliminate the effectiveness. One thing that had come out with item #12, which also tied directly to this one, is he was concerned about the conduits above the ceilings for telephone and data, but Mr. Mayotte said he saw in the future they would be going to a wireless system anyway. Bearing that in mind, the cost savings on Item #7 probably should be looked at carefully. That cost still included the conduit being placed in the wall so they could further gain from that and requested that he work closely with Mr. Mayotte and Mr. Clair on this item. Also, if the Legislature was definitely going wireless in the near future, it could save a considerable amount of money and time.

Motion: That the Legislative Council move to accept the recommendations of the Space Committee in finding the cost savings and, for the ones not actually resolved, including the Tel/data outlets, direct the Executive Director to keep exploring options to find additional savings with the contracts. (Motion by Rep. Colwell, second by Rep. Schneider)

Discussion: Speaker Saxl asked about the additional funding and whether the East Wing steps were going to be considered an add on. Mr. Clair said yes, the way the draft GMP price had come in twice now was at about a \$5.3 million number or so. Everything that was to be added back, as Rep. Colwell took you through, was exactly that, an add back. What he understood Rep. Colwell's point to be was that some of the items he lead the Council through, would in fact be added now as part of the Guaranteed Maximum Price, that would come back before us. Additional items, the East Wing stairs for example, would be an alternate amount should the money be found somehow.

(Above Motion by Rep. Colwell, second by Rep. Schneider, voted on - unanimous).

Mr. Clair said given that they really were not in the position yet to have a Guaranteed Maximum Price before the Council for signature, it would assist both Bruce Hilfrank and Stan Fairservice to have a letter of intent as suggested. The dollar amounts were not quite ready to be finalized, but a letter of intent that the North Wing should proceed in a scope identified as the June 11th version, plus those "add-backs" that would take you to a number of approximately \$5.5 million. Speaker Saxl asked if that required Council action and Mr. Clair said if the motion was to endorse the work and to now have a guaranteed maximum price come before them, if that was all inclusive, then he was not sure they did need one now. Mr. Clair would prepare a letter of intent from the Chair and Vice-Chair to send to Granger Northern. Speaker Saxl asked if there was objection to that and hearing none, said perhaps by their next meeting Mr. Clair would be in the position of telling them where they stood financially.

- Technology and Migration Committee

No report.

OLD BUSINESS

Item #1: Proposed Drafting Guidelines of Legislative Studies-120th Legislature

David Boulter said members had proposed drafting guidelines for legislative studies. He did point out since the legislative session had ended there was no urgency with respect to taking action. The guidelines were back before the Council for two reasons. First, there was a requirement in the joint rules that the Council adopt guidelines to set the direction of drafting of studies. Second, the session had ended, there had been a number of changes with respect to studies and he felt it was an opportunity for the members to look at them and make any changes or suggestions before they were adopted. He said it would be helpful before they started drafting proposed legislation and participation of the 2nd Regular Session that they had guidelines before them.

Sen. Treat wanted to know if the Council was going to act on the guidelines. She would not agree if the Council wanted to bring them back for another meeting.

No Council action taken.

Item #2: Update on the 120th Legislature Study Requests

Mr. Clair said there was a lump sum appropriation of \$68,000 in the Part 2. There were some other items that were moved into the Budget. The rough math was about \$104,000. He drew members' attention to the Education Funding Reform Committee's request that was moved into the Part 2 of \$22,000. The aggregate cost of the studies was approximately \$104,000. Speaker Saxl asked if further action was needed on the failed studies and Mr. Clair said no, they failed. The update was for the Council members information and probably by the next meeting there would be staffing recommendations, etc. from OPLA and OFPR.

Sen. Treat asked Mr. Boulter to prepare a spreadsheet that showed more specific information about those that passed relating to the Legislative appointments.

NEW BUSINESS

Item #1: Proposed Scope and Budget for North Wing Renovations

This item was discussed and acted upon during the "Space Committee" presentation.

ANNOUNCEMENTS AND REMARKS

None.

ADJOURNMENT

The Council meeting was adjourned at 2:31 p.m. (Motion by Sen. Davis, second by Sen. Treat, unanimous).



Executive Director's Report

July 31, 2001

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1. Legislative Council's agreement re: DOT improvements on lower Capitol Street (*see pp. 1—4*)
2. Interim Studies: Staffing assignments
3. Sick Leave: Revisor's Office (*see p. 5*)
4. All other items of note are scheduled elsewhere on today's agenda

# Maine Dept of Transportation

Maine Dept. of Transportation  
Urban & Arterial Highway  
Program  
16 State House Station  
Augusta, ME 04333-0016

Phone: 207-287-2243  
FAX: 207-287-8357  
email:  
bill.leet@state.me.us

P-1

July 11, 2001

Maine Historic Preservation Commission  
Attn: Earle Shettleworth, Jr.  
55 Capitol Street, State House Station 65  
Augusta, Maine 04333-0065

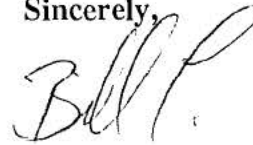
Re: Augusta  
Project: STP-9472(00)X  
PIN 009472.00

Dear Mr. Shettleworth:

Attached you will find the Work Permit needed, by The Department of Transportation, in order to proceed with the proposed reconstruction of lower Capitol St. here in Augusta.

I want to thank you for your help and cooperation in attending to this matter. Please do not hesitate to contact this office if you need assistance or clarification on any matter as it relates to this project.

Sincerely,



William B. Leet  
Review Appraiser

JUL 19 2001

STATE OF MAINE  
DEPARTMENT OF TRANSPORTATION

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WORK PERMIT

KNOW ALL MEN BY THESE PRESENTS, THAT The State of Maine (Bureau of General Services) (Formerly known as Bureau of Public Improvements) of Augusta, County of Kennebec, State of Maine and The Maine State Legislature, having jurisdiction over certain lands owned by The Bureau of General Services, for consideration paid, grant unto the MAINE DEPARTMENT OF TRANSPORTATION, the following described temporary rights over (our) (my) land situated in Augusta, County of Kennebec, and State of Maine:

Being The State of Maine (Bureau of General Services) (Formerly known as Bureau of Public Improvements) as shown on a Proposed Reconstruction Design Plan entitled, Capitol Street Reconstruction, Augusta, Maine, Project No. STP-9472(00)X (PIN) 9472.00 dated May 10, 2001 on file in the office of the Department of Transportation, Urban & Arterial Highway Program, at Augusta.

TEMPORARY RIGHTS

In preparation of the reconstruction of Capitol Street (State Aid Highway 14), Augusta, this is a request to secure the proper authority to do work outside of the street's existing Right of Way as follows:

The creation of a work zone between State Street and Gage Street, in the City of Augusta, whose limits are to be 25 feet (7.62 meters) parallel and outside the southerly (Capitol Park side) R/W Line for all purposes necessary to grade slopes; removal of certain trees and shrubs and the replacement or addition of trees and shrubs; excavating, filling, loaming, seeding; all as shown on a Proposed Reconstruction Design Plan entitled, Capitol Street Reconstruction, Augusta, Maine, Project No. STP-9472(00)X (PIN) 9472.00 dated May 10, 2001. This work zone would also allow for additional improvements, as may be requested by the property owner and/or The Maine State Legislature, outside the scope of this project, as well as improvements to the walkway access at the northwest corner of Capitol Park to improve its surface runoff and try to minimize existing erosion problems.

(Property Owner): State of Maine (Bureau of General Services) (Formerly known as Bureau of Public Improvements) and The Maine State Legislature having jurisdiction over the property known as Capitol Park.

(Location): Sta 1+00± to Sta 14+00± LT

Dated This Day of July, 2001

Witness:

Authorized Signature:

\_\_\_\_\_

Title: \_\_\_\_\_

\_\_\_\_\_

Title: \_\_\_\_\_

**8. Oversight of legislative appropriations and accounts.** To oversee the appropriations and other financial accounts of the Legislature and of all legislative agencies, departments and offices. Appropriations for carrying out the purposes of this chapter shall be made annually by the Legislature. All appropriations or allocations by the Legislature for specific studies to be carried out by the joint standing committees or joint select committees shall not lapse but shall be carried forward and expended for the purpose for which the appropriation or allocation was made. The balance of any appropriation or allocation for such studies that is not fully expended shall be refunded to the Legislature;

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[1973, c. 590, §4 (rpr).]

**8-A. Sale of publications.** If the Legislative Council determines it advisable for the effective dissemination of statutory information or other information of general interest to the public, to fix the price, sell and deliver publications and materials produced by legislative agencies;

[1991, c. 1 (new).]

**9.** [1975, c. 770, §4 (rp).]

**10. Intergovernmental, interstate and interlegislative organizations.** To coordinate and oversee intergovernmental relations programs on behalf of the Legislature, and to recommend to the Legislature participation by the Legislature and its members in interstate and inter-legislative organizations; and to apply for, receive and administer all grants and appropriations for these purposes;

[1973, c. 590, §4 (rpr).]

**11. Legislative equipment and supplies.** To provide necessary furniture, stationery and other supplies and equipment for the use of the members, committees, agencies and offices of the Legislature;

[1973, c. 590, §4 (rpr).]

**12. Physical facilities for Legislature.** To ensure that adequate physical facilities are provided for the efficient operation of the Legislature and to provide for and determine the utilization of legislatively controlled facilities both within and without the State House and, notwithstanding Title 5, section 1742, subsection 18, to control and assign the use of all rooms in the State House, except the immediate offices occupied by the Governor and the Governor's staff in the west wing of the State House. The Legislative Council shall ensure that the Governor and the Governor's staff occupy sufficient and appropriate office space within the State House.

The Legislative Council has the authority to authorize the Executive Director to enter into contracts for the purpose of maintaining or improving the physical facilities assigned to the Legislature, as long as the work to be performed is consistent with the official plan for the preservation and development of the aesthetic and historical integrity of the State House as described in section 902, subsection 1;

[1997, c. 671, §1 (amd).]

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**TITLE 3: LEGISLATURE**

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- CHAPTER 31: STATE HOUSE AND CAPITOL PARK COMMISSION (HEADING: PL 1987, c. 816, Pt. EE, @1 (new); 1989, c. 410, @7 (rpr))
  - § 902-A. Jurisdiction

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**PAGE < PAGE >****DOWNLOAD TEXT****§ 902-A. Jurisdiction**

The Legislative Council shall have jurisdiction over: [1989, c. 410, §11 (new).]

**1. State House.** The entire exterior and interior of the State House; and [1989, c. 410, §11 (new).]

**2. Immediate grounds.** The immediate grounds, including Capitol Park, the area bounded on the east by the Kennebec River, on the north by Capitol Street, on the south by Union Street and on the west by State Street, except that the private office of the Governor, at the Governor's discretion, shall be exempt from this chapter.

A. To ensure that the portion of Capitol Park that is controlled by the City of Augusta remains integrated with the portion of Capitol Park that is controlled by the State, the commission may, in consultation with the City of Augusta, plan for the preservation and development of a unified park area. [1989, c. 410, §11 (new).]

The Bureau of Public Improvements shall make no architectural, aesthetic or decorative addition, deletion or change to any external or internal part of the State House or its immediate grounds under the jurisdiction of the Legislative Council unless the council has approved the change in writing in conformance with the plan adopted by the council. The Governor shall be notified before the council votes on any change. The commission may make recommendations to the council in regard to any proposed architectural, aesthetic or decorative addition, deletion or change to the internal or external part of the State House. [1989, c. 410, §11 (new).]

Section History:

1989, c. 410, § 11 (NEW) .

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**PAGE < PAGE >****TOP ▲**

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Office of the Revisor of Statutes

7 State House Station  
State House Room 108  
Augusta, Maine 04333-0007  
Voice: (207) 287-1650 Fax: (207) 287-6468

[Disclaimer](#)

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JAMES A. CLAIR  
EXECUTIVE DIRECTOR  
OF THE LEGISLATIVE COUNCIL


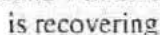



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MAINE STATE LEGISLATURE  
OFFICE OF THE EXECUTIVE DIRECTOR  
LEGISLATIVE COUNCIL

July 30, 2001

TO: Members of the Legislative Council  
FROM: Jim Clair   
SUBJECT: 

This is to inform you that  had surgery last week and will be out of the office for approximately six weeks. The surgery was successful and  is recovering nicely. In her absence,  is covering the office.

If you have any questions, please call.

cc: Joy O'Brien, Secretary of the Senate  
Pamela Cahill, Assistant Secretary of the Senate  
Millie MacFarland, Clerk of the House  
David Shiah, Assistant Clerk of the House  
Legislative Office Directors

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## **FY 01/FY 02 Budget Data**

- FY 01 Expenditures (through 6/30/01) - Summary
- FY 01 Expenditures - Detail by Account, Line Category and Expense Category
- Preliminary Unencumbered Balance Analysis
- Financing the North Wing Analysis
  - Attachment A - Bid Alternates
  - Attachment B - Other Cost Savings Options  
(E.S. Boulos Company Suggested Cost Savings)
  - Attachment C - Renovations Budget and Expenditure Analysis

**MAINE STATE LEGISLATURE  
FY 01 EXPENDITURES (through 06/30/01) - SUMMARY  
LEGISLATIVE ACCOUNTS**

| <b>APPROP NO</b><br><i>(col. 1)</i> | <b>APPROP TITLE</b><br><i>(col. 2)</i>      | <b>FY 01 ALLOTMENT</b><br><i>(col. 3)</i> | <b>EXP./ENCUMB.</b><br><b>THROUGH 06/30</b><br><i>(col. 4)</i> | <b>UNSPENT/UNENCUMB.<sup>1</sup></b><br><i>(col. 5)</i> |
|-------------------------------------|---------------------------------------------|-------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------|
| 01030A004001                        | LEGISLATIVE BRANCH-WIDE                     | 5,223,626.00                              | 4,391,540.14                                                   | 832,085.86                                              |
| 01030A005301                        | COMM ON INTERSTATE COOP                     | 160,724.00                                | 155,737.75                                                     | 4,986.25                                                |
| 01030A008101                        | LEGISLATIVE                                 | 18,790,167.00                             | 18,386,321.53                                                  | 403,845.47                                              |
| 01030A024201                        | COMM UNIFORM STATE LAWS                     | 20,600.00                                 | 18,960.11                                                      | 1,639.89                                                |
| 01030A041801                        | STATE HOUSE RENOVATIONS                     | 7,000,000.00                              | 1,001,171.78                                                   | 5,998,828.22                                            |
| 01030A044403                        | MISC STUDIES LEGISLATIVE                    | 132,640.00                                | 63,980.39                                                      | 68,659.61                                               |
| 01030A061501                        | LEG ST CAPITOL COMM                         | 139,350.00                                | 9,090.47                                                       | 130,259.53                                              |
| 01030A074701                        | RESERVE FUND FOR STATE HOUSE PRES. & MAINT. | 850,000.00                                | 775,424.78                                                     | 74,575.22                                               |
| 01030A082401                        | EDUC RESEARCH INSTITUTE                     | 150,000.00                                | 0.00                                                           | 150,000.00                                              |
| 01031A063601                        | LAW & LEGIS REF LIBRARY                     | 1,307,632.00                              | 1,280,917.85                                                   | 26,714.15                                               |
|                                     | <b>TOTAL GENERAL FUND</b>                   | <b>33,774,739.00</b>                      | <b>26,083,144.80</b>                                           | <b>7,691,594.20</b>                                     |
| 01430A008102                        | LEGISLATIVE                                 | 4,546.00                                  | 0.00                                                           | 4,546.00                                                |
| 01430A044402                        | MISC STUDIES LEGISLATIVE                    | 700                                       | 3.29                                                           | 696.71                                                  |
|                                     | <b>TOTAL DEDICATED REVENUE</b>              | <b>5,246.00</b>                           | <b>3.29</b>                                                    | <b>5,242.71</b>                                         |
|                                     | <b>GRAND TOTAL</b>                          | <b>33,779,985.00</b>                      | <b>26,083,148.09</b>                                           | <b>7,696,836.91</b>                                     |

**NOTES:**

<sup>1</sup> Please refer to "Preliminary" Unencumbered Balance Forward Analysis for projected anticipated need for unspent balances.

Prepared by the Office of the Executive Director

|                                       |                         |           |                                      |                     |                               |                        |
|---------------------------------------|-------------------------|-----------|--------------------------------------|---------------------|-------------------------------|------------------------|
| FY 01 EXPENDITURES (through 06/30/01) |                         |           |                                      |                     |                               |                        |
| LEGISLATIVE ACCOUNTS                  |                         |           |                                      |                     |                               |                        |
|                                       |                         |           |                                      |                     |                               |                        |
| APPROP NO                             | APPROP TITLE            | EXP. CAT. | EXPENSE CAT TITLE                    | FY 01<br>ALLOTMENT  | EXP./ENCUMB.<br>THROUGH 06/30 | UNSPENT/UN-<br>ENCUMB. |
| (col. 1)                              | (col. 2)                | (Col. 3)  | (col. 4)                             | (col. 5)            | (col. 6)                      | (col. 7)               |
| 01030A004001                          | LEGISLATIVE BRANCH-WIDE | 4000      | PROF. SERVICES, NOT BY STATE         | 778,327.00          | 0.00                          | 778,327.00             |
|                                       | (Migration Project)     | 5300      | OFFICE SUPPLIES                      | 4,445,299.00        | 4,300,800.14                  | 144,498.86             |
|                                       |                         | 5500      | MINOR EQUIPMENT                      | 0.00                | 90,740.00                     | (90,740.00)            |
|                                       |                         |           | Total All Other                      | 5,223,626.00        | 4,391,540.14                  | 832,085.86             |
|                                       |                         |           | <b>TOTAL LEGISLATIVE BRANCH-WIDE</b> | <b>5,223,626.00</b> | <b>4,391,540.14</b>           | <b>832,085.86</b>      |
|                                       |                         |           |                                      |                     |                               |                        |
| 01030A005301                          | COMM ON INTERSTATE COOP | 4900      | GENERAL OPERATIONS                   | 160,724.00          | 155,737.75                    | 4,986.25               |
|                                       |                         |           | <b>TOTAL COMM ON INTERSTATE COOP</b> | <b>160,724.00</b>   | <b>155,737.75</b>             | <b>4,986.25</b>        |
|                                       |                         |           |                                      |                     |                               |                        |
| 01030A008101                          | LEGISLATIVE             | 3110      | PERMANENT REGULAR                    | 6,169,681.00        | 6,110,051.91                  | 59,629.09              |
|                                       |                         | 3120      | PERM PART TIME FULL BEN              | 102,789.00          | 102,788.38                    | 0.62                   |
|                                       |                         | 3180      | PERM VACATION PAY                    | 0.00                | 61,117.05                     | (61,117.05)            |
|                                       |                         | 3210      | LIMITED PERIOD REGULAR               | 2,209,747.00        | 2,023,727.48                  | 186,019.52             |
|                                       |                         | 3310      | SEASONAL REGULAR                     | 1,001,152.00        | 999,814.22                    | 1,337.78               |
|                                       |                         | 3380      | SEASONAL VACATION PAY                | 0.00                | 2,087.61                      | (2,087.61)             |
|                                       |                         | 3611      | STANDARD OVERTIME                    | 10,500.00           | 2,596.93                      | 7,903.07               |
|                                       |                         | 3612      | PREMIUM OVERTIME                     | 46,150.00           | 75,420.91                     | (29,270.91)            |
|                                       |                         | 3616      | RETRO LUMP SUM PYMT                  | 0.00                | 23,326.16                     | (23,326.16)            |
|                                       |                         | 3622      | STIPEND-DIVERS, TEACHERS             | 0.00                | 4,500.00                      | (4,500.00)             |
|                                       |                         | 3631      | LONGEVITY PAY                        | 37,256.00           | 30,331.00                     | 6,925.00               |
|                                       |                         | 3810      | UNEMPLOYMENT COMP COSTS              | 40,321.00           | 23,162.20                     | 17,158.80              |
|                                       |                         | 3890      | PER DIEM PAYMENT                     | 110,990.00          | 68,365.00                     | 42,625.00              |
|                                       |                         | 3901      | HEALTH INSURANCE                     | 1,938,580.00        | 1,804,107.07                  | 134,472.93             |
|                                       |                         | 3905      | DENTAL INSURANCE                     | 82,239.00           | 65,525.54                     | 16,713.46              |
|                                       |                         | 3906      | EMPLOYEE HLTH SVCS/WKRS COMP         | 0.00                | 514.00                        | (514.00)               |
|                                       |                         | 3908      | EMPLOYER RETIREE HEALTH              | 516,344.00          | 550,046.57                    | (33,702.57)            |
|                                       |                         | 3909      | EMPLOYEE RETIREMENT ADMINIS          | 72,684.00           | 71,284.12                     | 1,399.88               |
|                                       |                         | 3910      | EMPLOYER RETIREMENT COSTS            | 461,264.00          | 459,489.30                    | 1,774.70               |
|                                       |                         | 3911      | EMPLOYER GROUP LIFE                  | 23,991.00           | 21,779.53                     | 2,211.47               |
|                                       |                         | 3912      | EMPLOYER MEDICARE COST               | 101,247.00          | 98,690.69                     | 2,556.31               |
|                                       |                         | 3960      | RETIRE UNFUNDED LIABILTY-REG         | 653,710.00          | 651,114.45                    | 2,595.55               |
|                                       |                         | 3973      | CHILD CARE BENEFIT                   | 2,100.00            | 0.00                          | 2,100.00               |
|                                       |                         |           | Total Personal Services              | 13,580,745.00       | 13,249,840.12                 | 330,904.88             |

source: Data Warehouse

Prepared by the Office of the Executive Director  
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| FY 01 EXPENDITURES (through 06/30/01) |                         |           |                                      |                      |                               |                        |
|---------------------------------------|-------------------------|-----------|--------------------------------------|----------------------|-------------------------------|------------------------|
| LEGISLATIVE ACCOUNTS                  |                         |           |                                      |                      |                               |                        |
| APPROP NO                             | APPROP TITLE            | EXP. CAT. | EXPENSE CAT TITLE                    | FY 01<br>ALLOTMENT   | EXP./ENCUMB.<br>THROUGH 06/30 | UNSPENT/UN-<br>ENCUMB. |
| (col. 1)                              | (col. 2)                | (Col. 3)  | (col. 4)                             | (col. 5)             | (col. 6)                      | (col. 7)               |
|                                       |                         |           |                                      |                      |                               |                        |
|                                       |                         | 4000      | PROF. SERVICES, NOT BY STATE         | 313,720.00           | 238,459.07                    | 75,260.93              |
|                                       |                         | 4100      | PROF. SERVICES, BY STATE             | 109,515.00           | 0.00                          | 109,515.00             |
|                                       |                         | 4200      | TRAVEL EXPENSES, IN STATE            | 1,837,050.00         | 1,373,759.94                  | 463,290.06             |
|                                       |                         | 4300      | TRAVEL EXPENSES, OUT OF STATE        | 100,000.00           | 69,411.42                     | 30,588.58              |
|                                       |                         | 4500      | UTILITY SERVICES                     | 398,925.00           | 2,652.87                      | 396,272.13             |
|                                       |                         | 4600      | RENTS                                | 7,680.00             | 386,639.21                    | (378,959.21)           |
|                                       |                         | 4700      | REPAIRS                              | 97,304.00            | 88,401.29                     | 8,902.71               |
|                                       |                         | 4800      | INSURANCE                            | 22,770.00            | 25,632.56                     | (2,862.56)             |
|                                       |                         | 4900      | GENERAL OPERATIONS                   | 1,725,483.00         | 1,327,361.19                  | 398,121.81             |
|                                       |                         | 5000      | EMPLOYEE TRAINING                    | 0.00                 | 71,506.97                     | (71,506.97)            |
|                                       |                         | 5100      | COMMODITIES - FOOD                   | 10,575.00            | 9,704.70                      | 870.30                 |
|                                       |                         | 5200      | COMMODITIES - FUEL                   | 0.00                 | 24,358.58                     | (24,358.58)            |
|                                       |                         | 5300      | OFFICE SUPPLIES                      | 0.00                 | 895,860.36                    | (895,860.36)           |
|                                       |                         | 5400      | CLOTHING                             | 7,500.00             | 6,331.39                      | 1,168.61               |
|                                       |                         | 5500      | MINOR EQUIPMENT                      | 109,000.00           | 105,861.00                    | 3,139.00               |
|                                       |                         | 5600      | OFFICE & OTHER SUPPLIES              | 169,935.00           | 265,911.44                    | (95,976.44)            |
|                                       |                         | 5800      | HIGHWAY MATERIALS                    | 0.00                 | 1,536.00                      | (1,536.00)             |
|                                       |                         | 6800      | MISC GRANTS                          | 199,265.00           | 199,265.00                    | 0.00                   |
|                                       |                         | 6900      | PENSIONS (WORKERS' COMP)             | 5,000.00             | 0.00                          | 5,000.00               |
|                                       |                         | 8008      | INTEREST PAYMENT LATE FEE            | 0.00                 | 647.09                        | (647.09)               |
|                                       |                         |           | Total All Other                      | 5,113,722.00         | 5,093,300.08                  | 20,421.92              |
|                                       |                         |           |                                      |                      |                               |                        |
|                                       |                         | 7200      | EQUIPMENT                            | 95,700.00            | 43,181.33                     | 52,518.67              |
|                                       |                         | 7600      | ASSET CONSTRUCTION                   | 0.00                 | 0.00                          | 0.00                   |
|                                       |                         |           | Total Capital                        | 95,700.00            | 43,181.33                     | 52,518.67              |
|                                       |                         |           | <b>TOTAL LEGISLATIVE ACCOUNT</b>     | <b>18,790,167.00</b> | <b>18,386,321.53</b>          | <b>403,845.47</b>      |
|                                       |                         |           |                                      |                      |                               |                        |
| 01030A024201                          | COMM UNIFORM STATE LAWS | 4300      | TRAVEL EXPENSES, OUT OF STATE        | 7,500.00             | 4,253.36                      | 3,246.64               |
|                                       |                         | 4900      | GENERAL OPERATIONS                   | 13,100.00            | 12,915.75                     | 184.25                 |
|                                       |                         | 5000      | EMPLOYEE TRAINING                    | 0.00                 | 1,791.00                      | (1,791.00)             |
|                                       |                         |           | Total All Other                      | 20,600.00            | 18,960.11                     | 1,639.89               |
|                                       |                         |           | <b>TOTAL COMM UNIFORM STATE LAWS</b> | <b>20,600.00</b>     | <b>18,960.11</b>              | <b>1,639.89</b>        |

source: Data Warehouse

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| FY 01 EXPENDITURES (through 06/30/01) |                          |           |                                      |                     |                               |                        |
|---------------------------------------|--------------------------|-----------|--------------------------------------|---------------------|-------------------------------|------------------------|
| LEGISLATIVE ACCOUNTS                  |                          |           |                                      |                     |                               |                        |
| APPROP NO                             | APPROP TITLE             | EXP. CAT. | EXPENSE CAT TITLE                    | FY 01<br>ALLOTMENT  | EXP./ENCUMB.<br>THROUGH 06/30 | UNSPENT/UN-<br>ENCUMB. |
| (col. 1)                              | (col. 2)                 | (Col. 3)  | (col. 4)                             | (col. 5)            | (col. 6)                      | (col. 7)               |
| 01030A041801                          | STATE HOUSE RENOVATIONS  | 4000      | PROF. SERVICES, NOT BY STATE         | 2,100,000.00        | 258,268.92                    | 1,841,731.08           |
|                                       |                          | 4700      | REPAIRS                              | 4,900,000.00        | 693,877.86                    | 4,206,122.14           |
|                                       |                          | 5300      | OFFICE SUPPLIES                      | 0.00                | 49,025.00                     | (49,025.00)            |
|                                       |                          |           | Total All Other                      | 7,000,000.00        | 1,001,171.78                  | 5,998,828.22           |
|                                       |                          |           | <b>TOTAL STATE HOUSE RENOVATIONS</b> | <b>7,000,000.00</b> | <b>1,001,171.78</b>           | <b>5,998,828.22</b>    |
| 01030A044403                          | MISC STUDIES LEGISLATIVE | 3890      | PER DIEM PAYMENT                     | 29,040.00           | 11,165.00                     | 17,875.00              |
|                                       |                          |           | Total Personal Services              | 29,040.00           | 11,165.00                     | 17,875.00              |
|                                       |                          | 4000      | PROF. SERVICES, NOT BY STATE         | 50,500.00           | 20,802.75                     | 29,697.25              |
|                                       |                          | 4200      | TRAVEL EXPENSES, IN STATE            | 41,600.00           | 14,677.93                     | 26,922.07              |
|                                       |                          | 4300      | TRAVEL EXPENSES, OUT OF STATE        | 0.00                | 291.43                        | (291.43)               |
|                                       |                          | 4600      | RENTS                                | 0.00                | 50.00                         | (50.00)                |
|                                       |                          | 4900      | GENERAL OPERATIONS                   | 11,500.00           | 14,943.63                     | (3,443.63)             |
|                                       |                          | 5000      | EMPLOYEE TRAINING                    | 0.00                | 1,818.49                      | (1,818.49)             |
|                                       |                          | 5100      | COMMODITIES - FOOD                   | 0.00                | 231.16                        | (231.16)               |
|                                       |                          |           | Total All Other                      | 103,600.00          | 52,815.39                     | 50,784.61              |
|                                       |                          |           | <b>TOTAL MISC. STUDIES</b>           | <b>132,640.00</b>   | <b>63,980.39</b>              | <b>68,659.61</b>       |
| 01030A061501                          | LEG ST CAPITOL COMM      | 4000      | PROF. SERVICES, NOT BY STATE         | 62,480.00           | 6,962.12                      | 55,517.88              |
|                                       |                          | 4200      | TRAVEL EXPENSES, IN STATE            | 1,620.00            | 307.37                        | 1,312.63               |
|                                       |                          | 4300      | TRAVEL EXPENSES, OUT OF STATE        | 4,000.00            | 0.00                          | 4,000.00               |
|                                       |                          | 4700      | REPAIRS                              | 25,000.00           | 0.00                          | 25,000.00              |
|                                       |                          | 4900      | GENERAL OPERATIONS                   | 25,000.00           | 43.48                         | 24,956.52              |
|                                       |                          | 5600      | OFFICE & OTHER SUPPLIES              | 21,250.00           | 1,777.50                      | 19,472.50              |
|                                       |                          |           | Total All Other                      | 139,350.00          | 9,090.47                      | 130,259.53             |
|                                       |                          |           | <b>TOTAL LEG ST CAPITOL COMM</b>     | <b>139,350.00</b>   | <b>9,090.47</b>               | <b>130,259.53</b>      |
| 01030A074701                          | RESERVE FUND FOR STATE   | 4000      | PROF. SERVICES, NOT BY STATE         | 250,000.00          | 96,130.50                     | 153,869.50             |
|                                       | HOUSE PRESERVATION &     | 4600      | RENTS                                | 0.00                | 14,000.00                     | (14,000.00)            |
|                                       | MAINTENANCE              | 5500      | MINOR EQUIPMENT                      | 500,000.00          | 0.00                          | 500,000.00             |
|                                       |                          | 5600      | OTHER SUPPLIES                       | 0.00                | 639,167.04                    | (639,167.04)           |

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| FY 01 EXPENDITURES (through 06/30/01) |                                                  |           |                                           |                    |                               |                        |
|---------------------------------------|--------------------------------------------------|-----------|-------------------------------------------|--------------------|-------------------------------|------------------------|
| LEGISLATIVE ACCOUNTS                  |                                                  |           |                                           |                    |                               |                        |
| APPROP NO                             | APPROP TITLE                                     | EXP. CAT. | EXPENSE CAT TITLE                         | FY 01<br>ALLOTMENT | EXP./ENCUMB.<br>THROUGH 06/30 | UNSPENT/UN-<br>ENCUMB. |
| (col. 1)                              | (col. 2)                                         | (Col. 3)  | (col. 4)                                  | (col. 5)           | (col. 6)                      | (col. 7)               |
|                                       |                                                  |           | Total All Other                           | 750,000.00         | 749,297.54                    | 702.46                 |
|                                       |                                                  | 7100      | BUILDINGS                                 | 100,000.00         | 0.00                          | 100,000.00             |
|                                       |                                                  | 7200      | EQUIPMENT                                 | 0.00               | 26,127.24                     | (26,127.24)            |
|                                       |                                                  |           | Total Capital                             | 100,000.00         | 26,127.24                     | 73,872.76              |
|                                       |                                                  |           | <b>TOTAL STATE HOUSE PRES &amp; MAINT</b> | <b>850,000.00</b>  | <b>775,424.78</b>             | <b>74,575.22</b>       |
| <b>01030A082401</b>                   | <b>EDUC RESEARCH INSTITUTE</b>                   | 4000      | PROF. SERVICES, NOT BY STATE              | 150,000.00         | 0.00                          | 150,000.00             |
|                                       |                                                  |           | <b>TOTAL EDUC RESEARCH INSTITUTE</b>      | <b>150,000.00</b>  | <b>0.00</b>                   | <b>150,000.00</b>      |
| <b>01031A063601</b>                   | <b>LAW AND LEGISLATIVE<br/>REFERENCE LIBRARY</b> | 3110      | PERMANENT REGULAR                         | 662,814.00         | 658,079.20                    | 4,734.80               |
|                                       |                                                  | 3120      | PERM PART TIME FULL BEN                   | 56,375.00          | 60,467.17                     | (4,092.17)             |
|                                       |                                                  | 3616      | RETRO LUMP SUM PAYMENT                    | 0.00               | 563.75                        | (563.75)               |
|                                       |                                                  | 3631      | LONGEVITY PAY                             | 5,824.00           | 5,824.00                      | 0.00                   |
|                                       |                                                  | 3901      | HEALTH INSURANCE                          | 71,848.00          | 71,848.15                     | (0.15)                 |
|                                       |                                                  | 3905      | DENTAL INSURANCE                          | 3,397.00           | 3,394.75                      | 2.25                   |
|                                       |                                                  | 3908      | EMPLOYER RETIREE HEALTH                   | 42,453.00          | 42,401.09                     | 51.91                  |
|                                       |                                                  | 3909      | EMPLOYEE RETIREMENT ADMINIS               | 5,580.00           | 5,581.72                      | (1.72)                 |
|                                       |                                                  | 3910      | EMPLOYER RETIREMENT COSTS                 | 43,794.00          | 43,786.32                     | 7.68                   |
|                                       |                                                  | 3911      | EMPLOYER GROUP LIFE                       | 2,324.00           | 2,372.16                      | (48.16)                |
|                                       |                                                  | 3912      | EMPLOYER MEDICARE COST                    | 4,732.00           | 4,732.45                      | (0.45)                 |
|                                       |                                                  | 3960      | RETIRE UNFUNDED LIABILTY-REG              | 63,589.00          | 63,576.55                     | 12.45                  |
|                                       |                                                  |           | Total Personal Services                   | 962,730.00         | 962,627.31                    | 102.69                 |
|                                       |                                                  | 4000      | PROF SERVICES, NOT BY STATE               | 1,500.00           | 0.00                          | 1,500.00               |
|                                       |                                                  | 4200      | TRAVEL EXPENSES, IN STATE                 | 700.00             | 860.30                        | (160.30)               |
|                                       |                                                  | 4300      | TRAVEL EXPENSES, OUT OF STATE             | 4,505.00           | 1,443.42                      | 3,061.58               |
|                                       |                                                  | 4500      | UTILITY SERVICES                          | 14,000.00          | 127.76                        | 13,872.24              |
|                                       |                                                  | 4600      | RENTS                                     | 250.00             | 77.86                         | 172.14                 |
|                                       |                                                  | 4700      | REPAIRS                                   | 1,100.00           | 196.25                        | 903.75                 |
|                                       |                                                  | 4800      | INSURANCE                                 | 1,850.00           | 1,182.77                      | 667.23                 |
|                                       |                                                  | 4900      | GENERAL OPERATIONS                        | 271,697.00         | 280,139.99                    | (8,442.99)             |

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| FY 01 EXPENDITURES (through 06/30/01) |                                   |           |                                          |                      |                               |                        |
|---------------------------------------|-----------------------------------|-----------|------------------------------------------|----------------------|-------------------------------|------------------------|
| LEGISLATIVE ACCOUNTS                  |                                   |           |                                          |                      |                               |                        |
| APPROP NO                             | APPROP TITLE                      | EXP. CAT. | EXPENSE CAT TITLE                        | FY 01<br>ALLOTMENT   | EXP./ENCUMB.<br>THROUGH 06/30 | UNSPENT/UN-<br>ENCUMB. |
| (col. 1)                              | (col. 2)                          | (Col. 3)  | (col. 4)                                 | (col. 5)             | (col. 6)                      | (col. 7)               |
|                                       |                                   | 5000      | EMPLOYEE TRAINING                        | 0.00                 | 889.50                        | (889.50)               |
|                                       |                                   | 5300      | OFFICE SUPPLIES-CONSUMABLES              | 0.00                 | 11,438.75                     | (11,438.75)            |
|                                       |                                   | 5500      | MINOR EQUIPMENT                          | 8,800.00             | 6,972.00                      | 1,828.00               |
|                                       |                                   | 5600      | OTHER SUPPLIES                           | 25,500.00            | 14,961.94                     | 10,538.06              |
|                                       |                                   | 6900      | PENSIONS (WORKERS' COMP)                 | 5,000.00             | 0.00                          | 5,000.00               |
|                                       |                                   |           | Total All Other                          | 334,902.00           | 318,290.54                    | 16,611.46              |
|                                       |                                   |           | EQUIPMENT                                | 10,000.00            | 0.00                          | 10,000.00              |
|                                       |                                   |           | <b>TOTAL LAW &amp; LEGIS REF LIBRARY</b> | <b>1,307,632.00</b>  | <b>1,280,917.85</b>           | <b>26,714.15</b>       |
|                                       |                                   |           |                                          |                      |                               |                        |
|                                       | <b>GRAND TOTAL GENERAL FUND</b>   |           |                                          | <b>33,774,739.00</b> | <b>26,083,144.80</b>          | <b>7,691,594.20</b>    |
|                                       |                                   |           |                                          |                      |                               |                        |
| 01430A008102                          | LEGISLATIVE                       | 4000      | PROF. SERVICES, NOT BY STATE             | 4,546.00             | 0.00                          | 4,546.00               |
|                                       |                                   |           | Total All Other                          | 4,546.00             | 0.00                          | 4,546.00               |
|                                       |                                   |           | <b>TOTAL LEGISLATIVE</b>                 | <b>4,546.00</b>      | <b>0.00</b>                   | <b>4,546.00</b>        |
|                                       |                                   |           |                                          |                      |                               |                        |
| 01430A044402                          | MISC STUDIES LEGISLATIVE          | 4000      | PROF. SERVICES, NOT BY STATE             | 700.00               | 0.00                          | 700.00                 |
|                                       |                                   | 4900      | GENERAL OPERATIONS                       | 0.00                 | 3.19                          | (3.19)                 |
|                                       |                                   | 8511      | TRANS TO GEN FUND STACAP                 | 0.00                 | 0.10                          | (0.10)                 |
|                                       |                                   |           | Total All Other                          | 700.00               | 3.29                          | 696.71                 |
|                                       |                                   |           | <b>TOTAL MISC STUDIES LEGISLATIVE</b>    | <b>700.00</b>        | <b>3.29</b>                   | <b>696.71</b>          |
|                                       |                                   |           |                                          |                      |                               |                        |
|                                       | <b>GRAND TOTAL DEDICATED REV.</b> |           |                                          | <b>5,246.00</b>      | <b>3.29</b>                   | <b>5,242.71</b>        |
|                                       |                                   |           |                                          |                      |                               |                        |
|                                       | <b>GRAND TOTAL ALL FUNDS</b>      |           |                                          | <b>33,779,985.00</b> | <b>26,083,148.09</b>          | <b>7,696,836.91</b>    |

|                                                                                                                                     |                                  |                                      |                                             |                                |                              |
|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|--------------------------------------|---------------------------------------------|--------------------------------|------------------------------|
| 07/25/01                                                                                                                            |                                  |                                      |                                             |                                |                              |
| <b>MAINE STATE LEGISLATURE</b>                                                                                                      |                                  |                                      |                                             |                                |                              |
| <b>FY 01 Unencumbered Balance Forward Analysis - PRELIMINARY</b>                                                                    |                                  |                                      |                                             |                                |                              |
| <b>GENERAL FUND</b>                                                                                                                 |                                  |                                      |                                             |                                |                              |
|                                                                                                                                     |                                  |                                      |                                             |                                |                              |
| <b>Account Number</b>                                                                                                               | <b>Account Name</b>              | <b>Unenc. Bal.<br/>through FY 01</b> | <b>FY 02 Proj.<br/>Anticipated<br/>Need</b> | <b>Projected<br/>Available</b> | <b>Proposed<br/>Transfer</b> |
|                                                                                                                                     |                                  |                                      |                                             |                                |                              |
| 01030A004001                                                                                                                        | Legislative Branch-Wide          | 995,254                              | 995,254                                     | 0                              | 0                            |
| 01030A005301                                                                                                                        | Comm on Interstate Coop          | 4,986                                | 0                                           | 4,986                          | (4,986)                      |
| 01030A008101                                                                                                                        | Legislative                      | 1,236,368                            | 353,988                                     | 882,380                        | (882,380)                    |
| 01030A024201                                                                                                                        | Comm Uniform State Laws          | 1,639                                | 0                                           | 1,639                          | (1,639)                      |
| 01030A041801                                                                                                                        | State House Renovations          | 5,998,828                            | 7,161,828                                   | (1,163,000)                    | 949,005 <sup>1</sup>         |
| 01030A044403                                                                                                                        | Misc Studies Legislative         | 68,659                               | 8,659                                       | 60,000                         | (60,000)                     |
| 01030A061501                                                                                                                        | Leg St Capitol Comm              | 130,260                              | 130,260                                     | 0                              | 0                            |
| 01030A072201                                                                                                                        | Apportionment Commission         | 0                                    | 0                                           | 0                              | 0                            |
| 01030A074701                                                                                                                        | Res Fund State Hse Pres & Maint  | 93,711                               | 93,711                                      | 0                              | 0                            |
| 01030A082401                                                                                                                        | Educ Research Institute          | 150,000                              | 150,000                                     | 0                              | 0                            |
|                                                                                                                                     | <b>TOTALS</b>                    | <b>7,684,451</b>                     | <b>7,898,446</b>                            | <b>(213,995)</b>               | <b>0</b>                     |
|                                                                                                                                     |                                  |                                      |                                             |                                |                              |
| 01031A063601                                                                                                                        | Law and Legislative Ref. Library | 44,609                               | 44,609                                      | 0                              | 0                            |
|                                                                                                                                     |                                  |                                      |                                             |                                |                              |
|                                                                                                                                     |                                  |                                      |                                             |                                |                              |
| Notes: <sup>1</sup> \$600,000 was originally estimated to be available for use to offset the cost of renovations to the State House |                                  |                                      |                                             |                                |                              |



**MAINE STATE LEGISLATURE  
STATE HOUSE RENOVATIONS - PHASE III**

**Financing the North Wing**

(Estimated values in hundreds of thousands; as of July 20, 2001)

| ITEM<br>(col. 1)                                                                                                    | AMOUNT<br>(col. 2) | BALANCE<br>(col. 3) |
|---------------------------------------------------------------------------------------------------------------------|--------------------|---------------------|
| <b><u>COST ITEMS</u></b>                                                                                            |                    |                     |
| <b>1. NORTH WING (see Attachments A &amp; B)</b>                                                                    |                    |                     |
| • North Wing bids (do not have final GMP estimate yet)                                                              | (\$6.000)          |                     |
| Less:                                                                                                               |                    |                     |
| Alternate #2                                                                                                        | \$0.058            |                     |
| Alternate #3                                                                                                        | \$0.024            |                     |
| Alternate #4                                                                                                        | \$0.064            |                     |
| Alternate #6                                                                                                        | \$0.017            |                     |
| Alternate #7 - Post Office fan coils not recessed                                                                   | \$0.003            |                     |
| Alternate #8                                                                                                        | \$0.018            |                     |
| • Estimated Balance after West Wing (see Attachment C)                                                              | <u>\$4.250</u>     |                     |
| • Net Cost                                                                                                          |                    | (\$1.566)           |
| <b>2. OUTSTANDING COST ITEMS (estimated)</b>                                                                        |                    |                     |
| • CSOB (balance)                                                                                                    | (\$0.200)          |                     |
| • DIORAMAS (restoration on site)                                                                                    | (\$0.112)          |                     |
| • LAW LIBRARY (moves; shelving; etc.)                                                                               | (\$0.250)          |                     |
| • FLAG CASES                                                                                                        | <u>SHCPC</u>       |                     |
| PROJECTED ADDITIONAL COSTS                                                                                          |                    | (\$0.562)           |
| <b>NET, PROJECTED AMOUNT NEEDED (#1 + #2)</b>                                                                       |                    | <b>(\$2.128)</b>    |
| <b><u>AVAILABLE FUNDS</u></b>                                                                                       |                    |                     |
| • RESERVE FUND (FY 02; needs SHCPC recommendation)                                                                  | \$0.650            |                     |
| • FY 01 - ESTIMATED AVAILABLE BALANCE <sup>1</sup> (see note and Preliminary Unencumbered Balance Forward analysis) | \$0.949            |                     |
| • SH&CPC to fund Alternate #10 and #12                                                                              | \$0.028            |                     |
| • PL 2001, c. 439 ("Part 2") (LD 855, as amended)                                                                   | <u>\$0.287</u>     |                     |
| PROJECTED FUNDS AVAILABLE                                                                                           |                    | \$1.914             |
| <b>PROJECTED FUNDING SHORTFALL</b>                                                                                  |                    | <b>(\$0.214)</b>    |

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7/25/01 (updated)

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## MAINE STATE LEGISLATURE STATE HOUSE RENOVATIONS - PHASE III

### Financing the North Wing

(Estimated values in hundreds of thousands; as of July 20, 2001)

| ITEM<br>(col. 1) | AMOUNT<br>(col. 2) | BALANCE<br>(col. 3) |
|------------------|--------------------|---------------------|
|------------------|--------------------|---------------------|

#### COST REDUCTIONS TO DATE:

|                                                  |       |               |
|--------------------------------------------------|-------|---------------|
| Lengthen replacement policy on certain equipment | 0.045 |               |
| Eliminate Non-partisan staff out-of-state travel | 0.030 |               |
|                                                  |       | <hr/> \$0.075 |

**NET PROJECTED FUNDING SHORTFALL** **(\$0.139)**

#### OTHER POTENTIAL COST REDUCTIONS:

|                                        |  |       |
|----------------------------------------|--|-------|
| Reduce number of computer replacements |  |       |
| WAN changes                            |  |       |
| Limit mailings                         |  |       |
| Review/limit certain publication costs |  |       |
| Reduce purchase of office supplies     |  |       |
| OIS On-line training vs. class room    |  |       |
|                                        |  | <hr/> |

**NET PROJECTED FUNDING SHORTFALL** **(\$0.139)**

#### Notes:

<sup>1</sup>Preliminary Amount; Per Bureau of the Budget will be adjusting downward by a "nominal" amount related to attrition savings. Amount not yet determined.

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## MAINE STATE LEGISLATURE STATE HOUSE RENOVATIONS - PHASE III

### North Wing Estimate

| ITEM<br>(col. 1)                               | AMOUNT<br>(col. 2) | BALANCE<br>(col. 3) |
|------------------------------------------------|--------------------|---------------------|
| <b><u>COST ITEMS</u></b>                       |                    |                     |
| 6/11 GMP                                       | \$5,313,640        |                     |
| 6/27 Legislative Council Add-backs:            |                    |                     |
| •Alternate #1 - Law Library Structural Repairs | 87,000             |                     |
| •Alternate #5 - Wheelchair Lift @ LL Mezzanine | 39,000             |                     |
| •Alternate #7 - Room 300 Fancoil               | 2,500              |                     |
| •Alternate #9 - Lightning Protection           | ?                  |                     |
| •Alternate #10 - Pilasters/arched opening      | 6,000              | *                   |
| •Alternate #11 - ROS fixed walls               | 12,000             |                     |
| •Alternate #12 - Hard ceilings                 | 22,000             | *                   |
| Subtotal                                       |                    | 5,482,140           |
| • 5.5% Fee on Add-backs                        |                    | 9,268               |
|                                                |                    | <hr/> \$5,491,408   |
| 2. 6/26 E.S. Boulos "Cost-Savings"             |                    |                     |
| •#2 - Sound Systems on J Hooks                 | (10,500)           |                     |
| •#4 - Deletion of dimming ballasts             | (7,500)            |                     |
| •#5 - Substitute Metalux P410 light fixture    | (8,950)            |                     |
| •#6 - Law Library 3-way switches, etc.         | (3,500)            |                     |
| •#7 - Tel/data outlet savings                  | ?                  |                     |
| Subtotal                                       |                    | (30,450)            |
| • 5.5% Fee Savings on "Cost Savings"           |                    | (1,675)             |
|                                                |                    |                     |
|                                                |                    | \$5,459,283         |
| * SH&CPC funded                                |                    | <hr/> (28,000)      |
| NET PROJECTED ESTIMATED COST                   |                    | \$5,431,283         |

**MAINE STATE LEGISLATURE**  
**RENOVATIONS - BUDGET TO ACTUAL EXPENDITURES BY FUNDING SOURCE**  
 (as of JULY 20, 2001)

| <b>FUNDING SOURCE</b><br><i>(col. 1)</i>                   | <b>BUDGET</b><br><i>(col. 2)</i> | <b>EXPENDED</b><br><i>(col. 3)</i> | <b>UNEXPENDED</b><br><i>(col. 4)</i> | <b>%<br/>SPENT</b><br><i>(col. 5)</i> | <b>PRESENT OBLIGATIONS:<br/>BALANCE</b><br><i>(col. 6)</i> | <b>NET<br/>AVAILABLE</b><br><i>(col. 7)</i> |
|------------------------------------------------------------|----------------------------------|------------------------------------|--------------------------------------|---------------------------------------|------------------------------------------------------------|---------------------------------------------|
| ADA BOND                                                   | 2,000,000.00                     | 2,000,000.00                       | 0.00                                 | 100.00%                               |                                                            | 0.00                                        |
| STATE HOUSE RENOVATIONS                                    | 7,000,000.00                     | 1,139,991.80                       | 5,860,008.20                         | 16.29%                                |                                                            | 5,860,008.20                                |
| RESERVE FUND FOR STATE HOUSE<br>PRESERVATION & MAINTENANCE | 850,000.00                       | 784,959.16                         | 65,040.84                            | 92.35%                                | 60,000.00                                                  | 5,040.84                                    |
| GOVERNMENTAL FACILITIES<br>AUTHORITY - RENOVATIONS         | 19,283,830.00                    | 19,283,830.00                      | 0.00                                 | 100.00%                               | 401,152.49                                                 | (401,152.49)                                |
| GOVERNMENTAL FACILITIES<br>AUTHORITY - CONNECTOR           | 4,000,000.00                     | 3,915,661.48                       | 84,338.52                            | 97.89%                                | 895,641.80                                                 | (811,303.28)                                |
| ARCHITECTURAL <sup>1</sup>                                 |                                  |                                    |                                      |                                       | 157,746.93                                                 | (157,746.93)                                |
| PER CENT FOR ART                                           |                                  |                                    |                                      |                                       | 240,000.00                                                 | (240,000.00)                                |
| <b>TOTAL ALL FUNDING SOURCES</b>                           | <b>33,133,830.00</b>             | <b>27,124,442.44</b>               | <b>6,009,387.56</b>                  | <b>81.86%</b>                         | <b>1,754,541.22</b>                                        | <b>4,254,846.34</b>                         |

<sup>1</sup> Estimate of \$188,620 based on W & B; Final amount subject to Granger Northern GMP (Guaranteed Maximum Price)  
 Balance is net of FY 02 payment to W & B of \$30,873.07.

# **Fiscal Briefing for the Legislative Council**

July 31, 2001

*Prepared by the  
Office of Fiscal & Program Review*

- 1. Preliminary June 2001 and FY01 Revenue Variances**
- 2. Preliminary General Fund Year-end Information**
- 3. General Fund Cash Balances**
- 4. Fiscal Note Production Comparison (Next Meeting)**

## Revenue Update

| General Fund Revenue Variance Summary            |              |                                  |
|--------------------------------------------------|--------------|----------------------------------|
| For the Month of June 2001                       |              |                                  |
| (Note: Based on Final Budgeted Amounts for FY01) |              |                                  |
| In Millions                                      |              |                                  |
| Revenue Source                                   | June 2001    | Fiscal Year-to-Date<br>June 2001 |
| Sales and Use Tax                                | \$7.4        | (\$5.3)                          |
| Individual Income Tax                            | (\$1.1)      | \$45.8                           |
| Corporate Income Tax                             | (\$4.5)      | (\$17.9)                         |
| Cigarette and Tobacco Tax                        | (\$0.9)      | \$0.0                            |
| Public Utilities Tax                             | \$0.0        | (\$0.0)                          |
| Insurance Companies Tax                          | (\$1.6)      | \$3.6                            |
| Inheritance and Estate Tax                       | \$0.5        | (\$0.4)                          |
| Property Tax - Unorganized Territories           | (\$0.2)      | \$0.6                            |
| Income from Investments                          | (\$0.4)      | (\$0.6)                          |
| Transfer to Municipal Revenue Sharing            | (\$0.1)      | (\$1.1)                          |
| Transfer from Liquor                             | \$0.4        | \$2.8                            |
| Transfer from Lottery                            | (\$0.6)      | (\$2.5)                          |
| Other Revenues                                   | \$3.4        | \$7.8                            |
| <b>Total General Fund - Variances</b>            | <b>\$2.5</b> | <b>\$32.6</b>                    |

| Highway Fund Revenue Variance Summary            |              |                                  |
|--------------------------------------------------|--------------|----------------------------------|
| For the Month of June 2001                       |              |                                  |
| (Note: Based on Final Budgeted Amounts for FY01) |              |                                  |
| In Millions                                      |              |                                  |
| Revenue Source                                   | June 2001    | Fiscal Year-to-Date<br>June 2001 |
| Fuel Taxes                                       | \$1.5        | \$4.9                            |
| Motor Vehicle Registration and Fees              | \$0.7        | \$4.0                            |
| Inspection Fees                                  | \$0.1        | \$0.1                            |
| Other Revenues                                   | \$0.4        | \$0.4                            |
| <b>Total Highway Fund - Variances</b>            | <b>\$2.8</b> | <b>\$9.3</b>                     |

*Prepared by the Office of Fiscal and Program Review*

*Based on Preliminary Data; Subject to Change*

## GENERAL FUND REVENUE SURPLUS & UNAPPROPRIATED SURPLUS

### FY 01 only - Preliminary

|                                                                                                      | Actual<br>Collections <sup>1</sup> | Budgeted<br>Amounts <sup>2</sup> | Variance               |
|------------------------------------------------------------------------------------------------------|------------------------------------|----------------------------------|------------------------|
| <b><u>1. FY 01 SURPLUS REVENUE</u></b>                                                               |                                    |                                  |                        |
| • General Fund Revenue                                                                               | \$ 2,390,637,551                   | \$ 2,358,010,018                 | \$ 32,627,533          |
| • <b><u>SUBTOTAL: "SURPLUS OR EXCESS REVENUE"</u></b>                                                |                                    |                                  | <u>\$ 32,627,533</u>   |
| <b><u>2. FY 01 "LAPSED BALANCES"</u></b>                                                             |                                    |                                  |                        |
| • Lapsed Balances (excludes \$6,465,431 of budgeted lapsed balances)                                 |                                    |                                  | \$ 5,261,760           |
| <b><u>3. SUBTOTAL: FY 01 UNAPPROPRIATED SURPLUS</u></b>                                              |                                    |                                  | <u>\$ 37,889,293</u>   |
| <b><u>4. USES/AUTHORIZED TRANSFERS OF THE SURPLUS FUNDS</u></b>                                      |                                    |                                  |                        |
| A) To Rainy Day Fund (MRDF) (see 5 MRSA §1513)                                                       | \$ 32,627,533                      |                                  |                        |
| » 50% of excess G.F. revenue; unless at statutory limit                                              | <u>X .50</u>                       |                                  | \$ -                   |
| A-1) To Retiree Health Insurance Fund (see 5 MRSA §1519)                                             | \$ 32,627,533                      |                                  |                        |
| » Amounts otherwise accruing to MRDF, if MRDF at limit<br>(Capped at \$2,000,000 by PL 2001, c. 439) | <u>X .50</u>                       |                                  | \$ (2,000,000)         |
| B) To Retirement Allowance Fund (see 5 MRSA §1517)                                                   | \$ 30,627,533                      |                                  |                        |
| » 50% of remaining excess G.F. revenue<br>(Capped at \$1,700,000 by PL 2001, c. 439)                 | <u>X .50</u>                       |                                  | \$ (1,700,000)         |
| C) To State Contingent Account (see 5 MRSA §1507)                                                    | \$ 338,795                         |                                  |                        |
| » "...up to \$350,000"                                                                               |                                    |                                  | \$ (338,795)           |
| D) To Reserve for GF Oper. Capital (see 5 MRSA §1511)                                                | \$ 1,000,000                       |                                  |                        |
| » "...up to \$1,000,000"                                                                             |                                    |                                  | \$ (1,000,000)         |
| E) To Loan Insurance Reserve (see 5 MRSA §1511)                                                      | \$ 1,000,000                       |                                  |                        |
| » "...up to \$1,000,000" unless at statutory limit                                                   |                                    |                                  | \$ -                   |
| F) For Demolition of State Prison (see PL 2001, c. 358, Part X)                                      | \$ 3,800,000                       |                                  |                        |
| » "...up to \$3,800,000" from available balances                                                     |                                    |                                  | \$ (3,800,000)         |
| G) PL 2001, c. 439 Part EE (excluding EE-4 Revenue Reserve)                                          | \$ 9,100,572                       |                                  |                        |
|                                                                                                      |                                    |                                  | <u>\$ (9,100,572)</u>  |
| <b><u>5. SUBTOTAL: TRANSFERS/USES</u></b>                                                            |                                    |                                  | <u>\$ (17,939,367)</u> |
| <b><u>6. NET OTHER ACCOUNTING ADJUSTMENTS</u></b>                                                    |                                    |                                  | \$ (4,306,834)         |
| <b><u>7. AMOUNT FOR TRANSFER TO REVENUE RESERVE</u></b>                                              |                                    |                                  | <u>\$ 15,643,092</u>   |
| <b><u>8. NET CHANGE UNAPPROPRIATED SURPLUS</u></b>                                                   |                                    |                                  | \$ -                   |

NOTES: <sup>1</sup> Based on preliminary numbers through July 30, 2001; Maine Revenue Services will be submitting additional adjustments

<sup>2</sup> Includes revenue rejections through June 2001 and actions of the 120th Legislature, 1st Regular Session

**Transfers of Year-end Balances - Fiscal Year 2000-01**  
**In order of priority for available balances:**  
**Part 2 Budget - PL 2001, c. 439, Part EE**

| Department | Purpose                                                             | Amount      |
|------------|---------------------------------------------------------------------|-------------|
| DAFS       | Maine Rainy Day Fund Transfer (5 MRSA §1513)                        | \$0         |
| DAFS       | Retiree Health Insurance - Reduce Unfunded Liability (5 MRSA §1519) | \$2,000,000 |
| RET        | Retirement Allowance Transfer (5 MRSA §1517) for Part HH (LD 1584)  | \$1,700,000 |
| EXEC       | State Contingent Account Transfer (5 MRSA §1507)                    | \$338,795   |
| DAFS       | General Fund Working Capital Reserve Transfer (5 MRSA §1511)        | \$1,000,000 |
| DAFS       | Demolition of State Prison at Thomaston (PL 01, c. 358, Pt. X)      | \$3,800,000 |
| DECD       | Tourism promotion                                                   | \$2,193,844 |
| MAR        | Alternative stock models & biological reference points              | \$139,418   |
| DEF        | State share of federal disaster assistance                          | \$424,396   |
| AGR        | Low-flow Study for Aroostook Water & Soil Mgmt Board                | \$5,000     |
| MHMRSAS    | Modernization of management information system                      | \$26,490    |
| MHMRSAS    | Cont. of contract nurses at AMHI                                    | \$133,894   |
| MHMRSAS    | Contract for nurse coverage at BMHI                                 | \$132,530   |
| DAFS       | HETL Building                                                       | \$400,000   |
| DAFS       | Phase II Downeast Corr.                                             | \$350,000   |
| DAFS       | Greenville Stobie Hangar                                            | \$125,000   |
| DAFS       | Harlow Building                                                     | \$350,000   |
| GBSD       | Provides additional educational services                            | \$100,000   |
| COR        | Technology Plan                                                     | \$1,800,000 |
| DECD       | Calais Cultural Center                                              | \$1,000,000 |
| DHS        | Bureau of Health - Mercury Study                                    | \$25,000    |
| DEF        | National Guard Scholarships                                         | \$300,000   |
| DAFS       | BMHI Upgrades                                                       | \$1,000,000 |
| EDB        | State Board - essential programs and services                       | \$150,000   |
| MUS        | State House Portraits and Flags (Move to the Museum)                | \$445,000   |

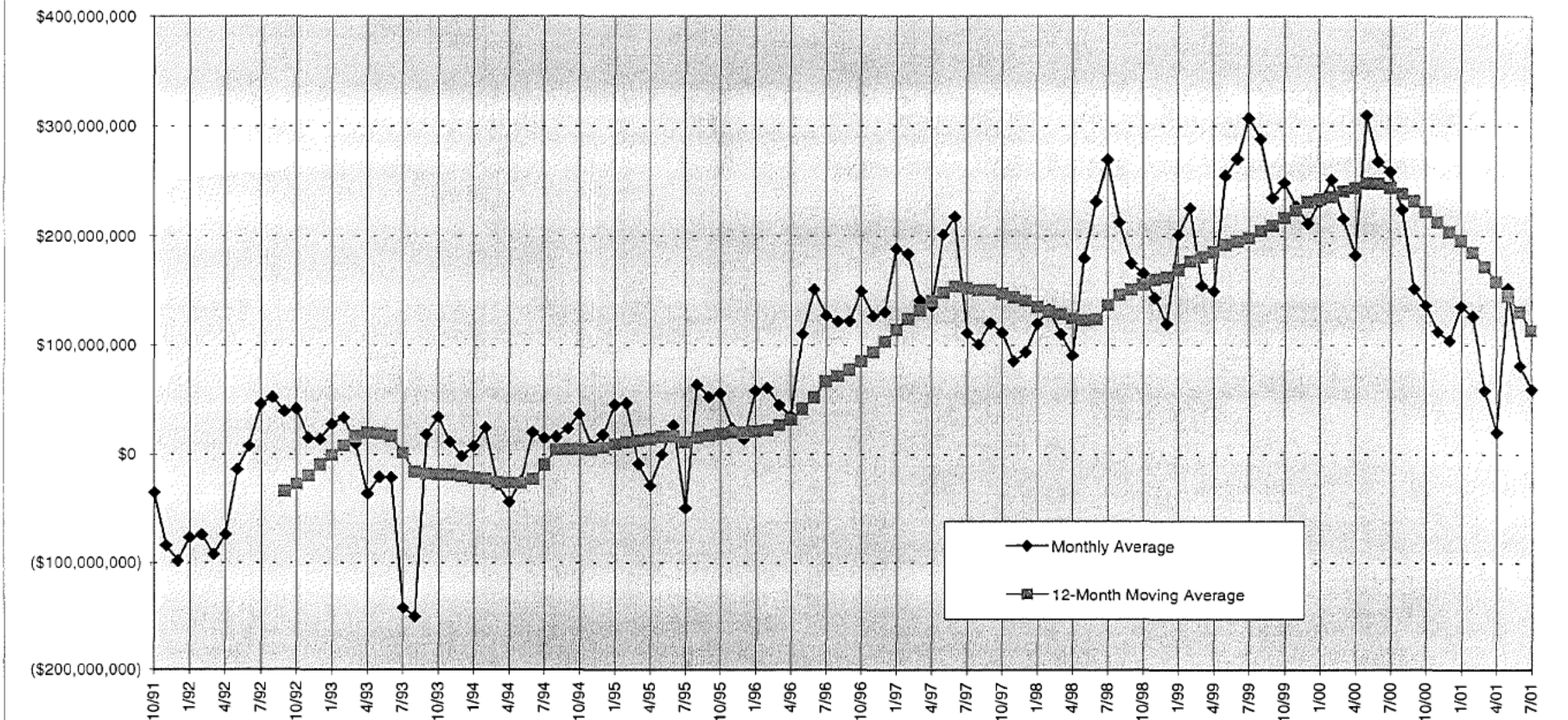
|                                                 |                     |
|-------------------------------------------------|---------------------|
| <b>Total Transfers - FY01 Year-end Balances</b> | <b>\$17,939,367</b> |
|-------------------------------------------------|---------------------|

|      |                 |                     |
|------|-----------------|---------------------|
| DAFS | Revenue Reserve | <b>\$15,643,092</b> |
|------|-----------------|---------------------|

*Note: Revenue Reserve amounts are preliminary and subject to change.*



# GENERAL FUND DAILY STARTING CASH BALANCES



## TAX ANTICIPATION NOTES <sup>(1)</sup> FY 1990-91 to FY 1997-98

| Fiscal Year | Amount Authorized            | Amount Issued | Reference              |
|-------------|------------------------------|---------------|------------------------|
| 1997-98     | \$100,000,000 <sup>(2)</sup> | \$0           | PL 1997, c. 24, §F-1   |
| 1996-97     | \$190,000,000                | \$150,000,000 | PL 1995, c. 665, §P-1  |
| 1995-96     | \$182,000,000                | \$182,000,000 | PL 1995, c. 368, §V-1  |
| 1994-95     | \$175,000,000                | \$175,000,000 | PL 1993, c. 707, §P-2  |
| 1993-94     | \$170,000,000                | \$170,000,000 | PL 1993, c. 382, §1    |
| 1992-93     | \$170,000,000                | \$170,000,000 | PL 1991, c. 780, §BB-1 |
| 1991-92     | \$150,000,000                | \$150,000,000 | PL 1991, c. 589, §1    |
| 1990-91     | \$125,000,000                | \$115,000,000 | PL 1991, c. 5, §1      |

Notes: <sup>(1)</sup> 5 MRSA, §150 authorizes Tax Anticipation Notes up to \$30,000,000 and, beginning in fiscal year 2001-02 up to \$100,000,000. Special exceptions to the general authorization levels are detailed in this table.

<sup>(2)</sup> Authorization subsequently repealed by PL 1997, c. 643, §E-5.

**120<sup>th</sup> MAINE STATE LEGISLATURE**  
**LEGISLATIVE COUNCIL**

**Technology Update**  
**July 31, 2001**

**I. Migration Project:**

- a. Status
  - i. User Acceptance Testing Continues
  - ii. Goal remains to complete testing by the end of August
  - iii. Compaq working directly with OFPR to develop Fiscal Notes process requirements
  - iv. Good support provided by Compaq
- b. Concerns
  - i. Implementing a final working version of the Statutes Database
  - ii. Improving speed and performance of the application
  - iii. Improving the look and feel of the graphical user interface
  - iv. Cloture date

**II. Legislative Internet Access:**

- a. Project Plan developed
- b. Currently developing final how to approach for each major task
- c. Targeted conversion dates, November 2 – 4, 2001

**III. Drafting proposed "Legislative Technology Standards" for Technology Committee consideration:**

- a. Computer Usage
- b. Internet Usage
- c. ADA Compliance Guideline

**IV. FY 2002 PC and laptop replacement process underway:**

- a. 40 PCs / 5 laptops
- b. Oldest "frontline" PCs to be rotated to "light duty", Committee Chairs Offices
- c. PCs for Information Desks in State House and Office Building

**V. Other:**

- a. Supporting IRC as required
- b. Completed support of North Wing move out
- c. Significant number of Virus attacks over the past month

**Date:** July 24, 2001

**To:** Michael V. Saxl, Chair of the Legislative Council  
Richard A. Bennett, Vice Chair of the Legislative Council  
Members of the Legislative Council

**Cc:** Michael H. Michaud, Senate President

**From:** Margaret E. Matheson, Revisor, Office of the Revisor of Statutes  
Grant T. Pennoyer, Director, Office of Fiscal and Program Review  
David E. Boulter, Director, Office of Policy and Legal Analysis

**RE:** Cloture Schedule for Second Regular Session of the 120<sup>th</sup> Legislature:  
Alternatives

In accordance with Joint Rule 203, which grants authority to the Legislative Council to establish procedures for filing bills in the second regular session, leadership asked that we explore the feasibility of establishing a cloture date and schedule that is earlier than the date used in past sessions. The goal of the proposed schedule is to enable legislative committees to begin their work earlier than in the past. The attached schedule provides an alternative schedule that may be taken in whole or in part as discussed below.

#### **Earlier cloture date**

The proposal sets up a cloture date of September 14, which is three weeks earlier than the more traditional schedule. An earlier cloture date would allow the Legislature to determine and identify the complete workload of the session well in advance of the session and presents an opportunity for committees to establish priorities for drafting and to allow scheduling in concert with the bills carried over to the second regular session.

#### **Policy decisions**

Traditionally, the ability of the Legislature to 'hit the ground running' in the first few weeks of the Second Regular Session has been limited by the process of review, revision, and gathering of cosponsor signatures for bill requests. The attached schedule attempts to address these issues by incorporating three changes that are departures from past policy. The following three changes can be addressed independently of the earlier cloture date and of each other.

1. Signing day. The Legislative Council could establish a signing day that would allow Legislators to meet and talk with their peers and leadership about their bill requests, gather signatures and turn in the

signed bills. Such a signing day is proposed for December 14<sup>th</sup>, which is late enough so that, dependent on the number of bills accepted, half of bills could be out for review, but early enough so that bills signed as a result of that day could be referred and advertised and scheduled for hearing.

2. Sufficiency of information. In contrast to first regular session cloture, a Legislator filing a bill request in the second regular session has not been required to file sufficient information to draft a bill until after the Legislative Council has accepted the bill request for introduction. As set out in the proposed early cloture schedule, the Legislative Council could require that a bill request must be accompanied by sufficient information to draft upon filing or else the Legislator is presumed to have authorized a concept draft.
3. Review of concept drafts/ failure to sign. The attached schedule suggests that the Legislative Council might determine that no signature or second review is needed for any bill request that is drafted as a concept draft. The Legislative Council could also authorize printing of bills that are ripe for signature but are not signed in a timely manner; however, the Legislative Council would need to consider whether failure to sign is a tacit withdrawal by the sponsor.

The alternative schedule is attached for your consideration. If you have suggestions or questions, we would be happy to meet with you at your convenience to discuss them or to elaborate on the alternative schedule. Because of the preliminary nature of the request, we have not consulted with other offices in developing the proposal. If, after review, you want to further consider this alternative schedule, we would be happy to work with the Clerk of the House, the Secretary of the Senate and others as you wish.

# DRAFT

## 120TH LEGISLATIVE COUNCIL SECOND REGULAR SESSION REVIEW OF REQUESTS TO INTRODUCE LEGISLATION

*Note: Italics indicate policy decision to be made*

| Early Cloture      | Traditional Cloture | Day/Time          | Action                                                                                                                                                                                                                                                                                                                                             |
|--------------------|---------------------|-------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| September 14, 2001 | October 5, 2001     | Friday, 5 p.m.    | <b>Filing Deadline (Cloture)</b> <ul style="list-style-type: none"> <li>Legislators (Title &amp; Summary)</li> </ul> <p style="text-align: center;"><b>OR</b></p> <ul style="list-style-type: none"> <li><i>Legislators (Sufficient information to draft or authorize a concept draft)</i></li> <li>Departments/Agencies (Final Drafts)</li> </ul> |
| October 4, 2001    | October 25, 2001    | Thursday, 5 p.m.  | Release list of bill titles, etc.                                                                                                                                                                                                                                                                                                                  |
| October 9, 2001    | October 30, 2001    | Tuesday, 10 a.m.  | <b>Legislative Council Meeting – Screening</b>                                                                                                                                                                                                                                                                                                     |
| October 12, 2001   | November 2, 2001    | Friday            | <b>Notification of Council's action mailed to sponsors</b>                                                                                                                                                                                                                                                                                         |
| October 17, 2001   | November 7, 2001    | Wednesday, 5 p.m. | <b>Deadline for:</b> <ul style="list-style-type: none"> <li><del>Submitting final drafts, or sufficient information to draft all bills accepted by the Council on October 9th</del></li> <li>Filing appeals in Revisor's Office</li> </ul>                                                                                                         |
| October 26, 2001   | November 16, 200    | Friday, 2 p.m.    | <b>Legislative Council Meeting to consider appeals</b> <i>and incomplete requests</i>                                                                                                                                                                                                                                                              |
|                    |                     |                   |                                                                                                                                                                                                                                                                                                                                                    |

|                     |                 |                   |                                                                                                                                                                                                                                               |
|---------------------|-----------------|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| November 29, 2001   | --              | Thursday          | <ul style="list-style-type: none"> <li>Depending on # accepted, send at least 50% for review (5 days to make change, else authorization required)</li> </ul>                                                                                  |
| December 7, 2001    | --              | Friday            | <b>Response due in Revisor's Office for all requests mailed on Nov. 29<sup>th</sup></b><br><br><i>No signature or second review needed for concept draft</i>                                                                                  |
| December 14, 2001   | --              | Friday            | <i>Signing Day for all those:</i> <ul style="list-style-type: none"> <li><i>Mailed and no changes requested in 5 days; or</i></li> <li><i>Those changed and ready to sign</i></li> </ul> <i>If not signed, print as is without signatures</i> |
| December 17, 2001   | --              | Monday            | Referrals and scheduling begin                                                                                                                                                                                                                |
| Dec. 20 & 21, 2001  | --              | Thursday & Friday | Committee chairs meet with analysts to schedule referred bills for public hearing and prioritize remaining requests                                                                                                                           |
| Dec. 29 & 30, 2001  | --              | Saturday & Sunday | Public Hearings advertised                                                                                                                                                                                                                    |
| January 2, 2002     | January 2, 2002 | Wednesday         | <b>120th Legislature, Second Regular Session convenes</b><br>and<br><b>Signing Day</b>                                                                                                                                                        |
| January 5 & 6, 2002 | --              | Saturday & Sunday | Public Hearings advertised                                                                                                                                                                                                                    |
| January 7, 2002     | --              | Monday            | Public Hearings begin                                                                                                                                                                                                                         |
|                     |                 |                   |                                                                                                                                                                                                                                               |

# Interim Legislative Studies Authorized by Action of the 120th Legislature, First Regular Session

| Chapter #            | LD #                                                           | Emergency-? | Name of Study                                                                                                                                                                                    | Policy Area | Purpose                                                                                                                                                                              | Appt Deadline                                      | Study Start Date                                         | Report Date | Fiscal Costs                               | Staffing                                    | Budgeted Mtgs | Staff Assigned                     |
|----------------------|----------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|----------------------------------------------------------|-------------|--------------------------------------------|---------------------------------------------|---------------|------------------------------------|
| Resolve 1999, c. 124 | LD2005                                                         | Emergency   | Round Table to Study Economic and Labor Issues Relating to the Forest Products Industry                                                                                                          | ACF         | Examine economic and labor issues relating to the forest products industry                                                                                                           | n/a                                                | n/a (2nd year of 2-year study)                           | 05-Dec-01   |                                            | OPLA; DOL & MFS upon request                | 6             | Christopher Spruce                 |
| HP 1387              | formerly LD1810                                                |             | Joint Study Order - Joint Study Committee to Study Access to Private and Public Lands in Maine                                                                                                   | ACF         | Determine the status of public access to flowed lakes in the state; review division & sale of lands and private acquisition of lands surrounding great ponds; & public access policy | N/a Members from JO 1999, HP1951 continue to serve | 30 days following adjournment (July 23, 2001)            | 05-Dec-01   | PS \$1,000<br>AO \$4,900<br>Total: \$6,000 | OPLA                                        | 4             | Jill Ippoliti & Danielle Fox       |
| HP 1391/1393         | formerly LD1823                                                |             | Joint Study Order - Joint Study Committee to Study Issues Concerning Changes to the Traditional Uses of Maine Forests and Lands                                                                  | ACF         | Study economic & societal effects of terminating camp lot leases & loss of public enjoyment of leased lands                                                                          | 30 days following passage (July 23, 2001)          | August 15, 2001                                          | 01-Nov-01   | PS \$1,100<br>AO \$1,800<br>Total \$2,900  | OPLA                                        | 4             | Deborah Friedman & Jill Ippoliti   |
| PL 2001, c. 439      | formerly LD 1277, moved into Part II budget (LD 855, Part ZZZ) | Non-Emerg.  | Health Care System and Health Security Board                                                                                                                                                     | BAN         | Develop recommendations to provide and finance health care coverage for all Maine people                                                                                             | 30 days after effective date (October 22, 2001)    | 30 days after appointments (November 21, 2001)           | 01-Mar-02   | PS \$ 660<br>AO \$10,000<br>Total \$10,660 | contract with health policy organization    | 6             | Colleen McCarthy Reid              |
| HP 1389              | formerly LD455                                                 |             | Joint Study Order - Joint Study Committee to Study Reimbursement Rates for Maine's Bottle Redemption Businesses and Other Issues Related to the Handling and Collection of Returnable Containers | BEC         | Examine reimbursement rates for Maine's bottle redemption centers and other issues related to the handling and collection of returnable containers                                   | 30 days following passage (July 23, 2001)          | 15 days after appointments are complete (August 7, 2001) | 05-Dec-01   | PS \$ 660<br>AO \$3,400<br>Total \$4,060   | OPLA; DAFRR, DECD, FAME, & SPO upon request | 4             | Christopher Spruce & David Elliott |
| HP 1383              | formerly LD1099                                                |             | Joint Study Order - Joint Study Committee to Study the Needs of Persons with Mental Illness Who Are Incarcerated                                                                                 | CRJ         | Study the availability and appropriateness of mental health services for persons incarcerated in state and county corrections facilities                                             | 45 days after passage (August 6, 2001)             | 45 days after passage (August 6, 2001)                   | 05-Dec-01   | PA \$4,290<br>AO \$7,700<br>Total \$11,990 | OPLA                                        | 6             | Marion Hylan Barr                  |
| Resolve 1999, c. 126 | LD2651                                                         | Emergency   | Resolve, to Establish the Commission to Study Domestic Violence                                                                                                                                  | CRJ         | Study problem of domestic violence determine ways to alleviate it, including strengthening protection from abuse orders, increasing enforcement improving agency communications      | n/a                                                | n/a (2nd year of 2-year study)                           | 05-Dec-01   |                                            | OPLA                                        | 6             | Marion Hylan Barr & Peggy Reinsch  |
| Resolve 2001, c. 66  | LD1797                                                         | Emergency   | Blue Ribbon Commission on Postsecondary Educational Achievement                                                                                                                                  | EDU         | Study impact of improving postsecondary educational attainment on economy                                                                                                            | 30 days after effective date (July 30, 2001)       | 30 days after appointments (August 29, 2001)             | 05-Dec-01   | PS \$1,100<br>AO \$3,300<br>Total \$4,400  | OPLA                                        | 5             | David Elliott                      |

Prepared by the Office of Policy and Legal Analysis  
7/31/01

# Interim Legislative Studies Authorized by Action of the 120th Legislature, First Regular Session

| Chapter #                                                   | LD #                                                           | Emergency? | Name of Study                                                                                                                       | Policy Area | Purpose                                                                                                                                                            | Appt Deadline                                | Study Start Date                                 | Report Date | Fiscal Costs                                            | Staffing                              | Budgeted Mtgs | Staff Assigned                  |
|-------------------------------------------------------------|----------------------------------------------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------------------|-------------|---------------------------------------------------------|---------------------------------------|---------------|---------------------------------|
| n/a                                                         | Legislative Council Authorized                                 |            | Committee Study to Review Transition to a New School Funding Formula Based on the Essential Programs and Service Model              | EDU         | Study the school funding formula and review education finance policy issues relating to a new formula based on the "Essential Programs and Services" model         | n/a                                          | July 31, 2001                                    | 31-Dec-01   | PS \$6,160<br>AO \$6,400<br><br>Total \$12,560          | OPLA/ OFPR                            | 8             | Phil McCarthy & Jim Dionne      |
| PL 2001, c. 439                                             | formerly LD1779, moved into Part II budget (LD 855, Part PPPP) | Non-Emerg. | Legislative Youth Advisory Council                                                                                                  | EDU         | Establishes an on-going Legislative Youth Advisory Council to provide advice to the Legislature on issues of importance to youth. Requires report by 2/15 annually | n/s                                          | n/s                                              | 15-Feb-02   | PS \$11,660<br>AO \$36,200<br><br>Total \$47,860        | OPLA & Muskie School                  | 8 per year    | Alison Ames                     |
| P&S 2001, c. 36                                             | LD853                                                          | Emergency  | Commission to Study Assisted Living                                                                                                 | HHS         | Study assisted living, existing housing and program options for elderly and disabled persons, and develop an assisted living plan                                  | 30 days after effective date (July 27, 2001) | August 15, 2001                                  | 05-Dec-01   | PS \$1,760<br>AO \$4,400<br><br>Total \$6,160           | OPLA                                  | 4             | Jane Orbeton                    |
| Resolve 1997, c. 117, PL 1999, c. 127 & Resolve 2001, c. 63 | LD1021                                                         | Non-Emerg. | Maine Millennium Commission on Hunger and Food Security                                                                             | HHS         | Extends the report date for the commission authorized in Resolve 1997, c. 117 until 12/15/01                                                                       | n/a                                          | n/a continuation of existing commission          | 05-Dec-01   | PS \$1,320<br>AO \$1,200<br><br>Total \$2,520           | DHS/ SPO                              | 6             | --                              |
| PL 2001, c. 445                                             | LD1024                                                         | Emergency  | Youth in Need of Services Oversight Committee                                                                                       | HHS         | Extends oversight committee until 6/30/02. Reports annually                                                                                                        | n/a                                          | n/a continuation of existing oversight committee |             | PS \$ 825<br>AO \$750<br><br>Total \$1,575              | DHS                                   | 3             | --                              |
| PL 2001, c. 462                                             | LD1732                                                         | Emergency  | Commission to Study the Needs and Opportunities Associated with the Production of Salmonid Sport Fish in Maine                      | IFW         | Study needs associated with production of salmon and issues relating to fish hatcheries. Interim report due by 12/1/01 and final report by 10/31/02                | n/s                                          | August 15, 2001                                  | 01-Dec-01   | PS \$1,320<br>AO \$3,600<br><br>Total \$4,920 each year | Legislative Council                   | 4 each year   | Curtis Bentley                  |
| HP 613                                                      |                                                                |            | Staff Study: Recodification of Title 12, chapters 701-721                                                                           | IFW         | OPLA and ROS to prepare a proposed recodification of the inland fisheries and wildlife laws                                                                        | n/a                                          | n/a                                              | 15-Jan-02   | Absorbed by staff offices                               | OPLA/ ROS                             | n/a           | Patrick Norton & Curtis Bentley |
| Resolve 2001, c. 68                                         | LD28                                                           | Emergency  | Commission to Study Equity in the Distribution of Gas Tax Revenues Attributable to Snowmobiles, All-terrain Vehicles and Watercraft | IFW         | Extends the study to examine the distribution of gas tax revenues                                                                                                  | 30 days after effective date (July 30, 2001) | August 15, 2001                                  | 05-Dec-01   | Total \$2,957 (from unexpended balance)                 | OPLA/ OFPR; DMR/IFW/BR S upon request | 2             | Patrick Norton                  |

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7/31/01



Interim Legislative Studies Authorized by Action of the 120th Legislature, First Regular Session

| Chapter #                                                       | LD #            | Emergency-? | Name of Study                                                                                                                           | Policy Area | Purpose                                                                                                                                                                                                                | Appt Deadline                               | Study Start Date                        | Report Date             | Fiscal Costs                                  | Staffing                     | Budgeted Mtgs | Staff Assigned                     |
|-----------------------------------------------------------------|-----------------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-----------------------------------------|-------------------------|-----------------------------------------------|------------------------------|---------------|------------------------------------|
| HP 1385                                                         | formerly LD1793 |             | Commission to Review the Child Protective System                                                                                        | JUD         | Study child protective system and recommend changes in laws, rules and procedures                                                                                                                                      | 30 days after passage (July 23, 2001)       | August 15, 2001                         | 05-Dec-01               | PS \$1,375<br>AO \$5,300<br><br>Total \$6,675 | OPLA                         | 5             | Peggy Reinsch                      |
| Resolve 1997, c. 105, PL 1999, c. 451, PL 1999, c. 790, Resolve | LD1739          | Non-Emerg.  | MCJUSTIS Board                                                                                                                          | JUD         | Extends final report date for board to prepare and submit legislation amending criminal and civil violations to create a one-to-one relationship between each crime or civil violation and a unique statutory citation | n/a                                         | n/a continuation of existing board      | 15-Dec-01 and 15-Dec-02 | Costs absorbed by agencies                    | Legislative Council          | n/a           | Marion Hylan Barr & Peggy Reinsch  |
| HP 1386                                                         | formerly LD1466 |             | Committee to Study the Benefits and Costs for Increasing Access to Family and Medical Leave for Maine Families                          | LAB         | Study the benefits and costs of providing family and medical leave benefits to families in the state.                                                                                                                  | 30 days after passage (July 23, 2001)       | August 15, 2001                         | 05-Dec-01               | PS \$ 440<br>AO \$1,200<br><br>Total \$1,640  | OPLA                         | 4             | Deborah Friedman                   |
| Resolve 2001, c. 49                                             | LD297           |             | Advisory Committee to the Department of Administrative and Financial Services Regarding the Worker's Compensation System                | LAB         | Formation of committee to advise DAFS during its study of workers' compensation                                                                                                                                        | n/s                                         | n/s                                     | 15-Dec-01               | PS \$1,320<br>AO \$1,200<br><br>Total \$2,520 | DAFS                         | 6             | --                                 |
| PL 2001, c. 358                                                 | LD300           | Emergency   | Commission to Develop a Plan to Implement the Closure of State Liquor Stores                                                            | LVA         | Develop a plan for statewide liquor distribution system, including strategies to rely on agency stores and consider effects on wholesale distribution and sales                                                        | 30 days after effective date (July 5, 2001) | After July 5, 2001                      | 31-Jan-02               | PS \$1,320<br>AO \$2,600<br><br>Total \$3,920 | OPLA                         | 6             | Danielle Fox                       |
| PL 2001, c. 353                                                 | LD1808          | Non-Emerg.  | Commission to Recognize Vietnam Veterans in the Hall of Flags                                                                           | LVA         | Extends the reporting date of the study commission from 11/1/00 to 12/1/01                                                                                                                                             | n/a                                         | n/a continuation of existing commission | 01-Dec-01               | n/a                                           | OPLA                         | n/a           | David Boulter                      |
| HP 1384                                                         | formerly LD1489 |             | Committee to Study the Loss of Commercial Fishing Waterfront Access and Other Economic Development Issues Affecting Commercial Fishing. | MAR         | Review current policies regarding fishing industry, including commercial fishing waterfront access and economic development issues affecting commercial fishing                                                        | 30 days after passage (July 23, 2001)       | August 15, 2001                         | 05-Dec-01               | PS \$1,110<br>AO \$2,800<br><br>Total \$3,900 | OPLA; DMR & SPO upon request | 5             | Susan Johannesman                  |
| HP 1330                                                         |                 |             | Joint Study Committee to Study Growth Management                                                                                        | NAT         | Study issues related to urban sprawl and growth management in Maine                                                                                                                                                    | 30 days after passage (July 23, 2001)       | August 1, 2001                          | 05-Dec-01               | PS \$1,485<br>AO \$2,150<br><br>Total \$3,635 | OPLA                         | 3             | Susan Johannesman & Todd Jorgensen |

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# Interim Legislative Studies Authorized by Action of the 120th Legislature, First Regular Session

| Chapter #            | LD #                                                         | Emergency-? | Name of Study                                                                                                                            | Policy Area | Purpose                                                                                                                                                                 | Appt Deadline                                      | Study Start Date                              | Report Date | Fiscal Costs                                     | Staffing                       | Budgeted Mtgs | Staff Assigned                          |
|----------------------|--------------------------------------------------------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|-----------------------------------------------|-------------|--------------------------------------------------|--------------------------------|---------------|-----------------------------------------|
| Resolve 2001, c. 65  | LD1454                                                       | Emergency   | Commission to Study the Implementation of a Unified Emergency Response for Emergency Releases and Spills of Toxic or Hazardous Materials | NAT         | Study manner in which governments & private industry respond to unplanned and unlawful releases of hazardous materials                                                  | 30 days after effective date (July 30, 2001)       | August 15, 2001                               | 05-Dec-01   | PS \$1,375<br>AO \$4,300<br><br>Total \$5,675    | OPLA                           | 5             | Todd Jorgensen & Alison Ames            |
| PL 1999, c. 773      | LD2442                                                       | Non-Emerg.  | Task Force to Study Market Power Issues Related to the Solid Waste Hauling and Disposal Industry                                         | NAT         | 2nd year of a 2-year study of market power and control issues related to solid waste transportation and disposal. (AG's Office will study issues in lieu of task force) | n/a                                                | n/a                                           | 05-Dec-01   | not funded in 2nd year                           | AG's Office, in lieu of OPLA   | 4             | --                                      |
| Resolve 1999, c. 134 | LD1562                                                       | Non-Emerg.  | Commission to Study the Establishment of an Environmental Leadership Program                                                             | NAT         | 2nd year of a 2-year study to explore the creation of an environmental leadership program in Maine (commission was not convened in the 1st year)                        | 2/15/01                                            | n/a 2nd year of 2-year study                  | 01-Dec-01   |                                                  | OPLA                           | 4             | --                                      |
| Resolve 2001, c. 17  | LD607                                                        | Non-Emerg.  | Joint Review of Tax Expenditure Review Process                                                                                           | TAX         | Bureau of Revenue Services and Office of Fiscal & Program Review to study tax expenditure review process established in Title 36, Chapter 9                             | n/a                                                | n/a                                           | 01-Oct-01   | Costs absorbed by agencies                       | BRS / OFPR                     | n/s           | Julie Jones                             |
| PL 2001, c. 439      | formerly LD970, moved into Part II budget (LD 855, Part SSS) | Non-Emerg.  | Education Funding Reform Committee                                                                                                       | TAX         | Develop comprehensive package of tax reform legislation and to equalize method of raising money for public education                                                    | 30 days following effective date -October 22, 2001 | 15 days after appointments (November 6, 2001) | 31-Dec-01   | PS \$ 7,700<br>AO \$14,300<br><br>Total \$22,000 | Legislative Council; BRS & DOE | 10            | Julie Jones & Phil McCarthy (secondary) |

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