

# MAINE STATE LEGISLATURE

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**LEGISLATIVE COUNCIL  
AGENDA  
September 22, 1999**

**CALL TO ORDER**

**ROLL CALL**

**SUMMARY OF AUGUST 31, 1999, COUNCIL MEETING**

**REPORTS FROM EXECUTIVE DIRECTOR AND COUNCIL STAFF DIRECTORS**

- Interim Studies: Update
- Bill Filing: Update
- Fiscal Update

**REPORTS FROM COUNCIL COMMITTEES**

- Personnel Committee
- Committee on Allocation of Legislative Space
- Migration Project Steering Committee

**OLD BUSINESS**

Item #1: Legislative Summit: Progress Report

Item #2: State House Renovations

- a. Status and Issues
- b. Presentation of Guaranteed Maximum Price for 1999 Work
- c. Dioramas: Report on Feasibility Studies for Reinstallation

**NEW BUSINESS**

**ANNOUNCEMENTS AND REMARKS**

**ADJOURNMENT**

SEN. MARK W. LAWRENCE  
CHAIR

REP. G. STEVEN ROWE  
VICE-CHAIR



119th MAINE STATE LEGISLATURE  
LEGISLATIVE COUNCIL

SEN. CHELLIE PINGREE  
SEN. JANE A. AMERO  
SEN. ANNE M. RAND  
SEN. RICHARD A. BENNETT  
REP. MICHAEL V. SAXL  
REP. THOMAS W. MURPHY, JR.  
REP. DAVID C. SHIAH  
REP. RICHARD H. CAMPBELL

SARAH C. TUBBESING  
EXECUTIVE DIRECTOR

**MEETING SUMMARY  
AUGUST 31, 1999**

**CALL TO ORDER**

The Chair, Senate President Lawrence, called the Legislative Council meeting to order at 10:17 a.m. in the Legislative Council Chamber.

**ROLL CALL**

Senators: President Lawrence, Sen. Amero, Sen. Rand,  
Sen. Bennett  
Absent: Sen. Pingree

Representatives: Speaker Rowe, Rep. Saxl, Rep. Murphy,  
Rep. Shiah, Rep. Campbell

Legislative Officers: Joy O'Brien, Secretary of the Senate  
Joseph Mayo, Clerk of the House  
Millicent MacFarland, Assistant Clerk  
of the House  
Sally Tubbesing, Executive Director,  
Legislative Council  
James Clair, Director, Office of Fiscal  
and Program Review  
David Boulter, Director, Office of Policy  
and Legal Analysis  
Margaret Matheson, Revisor of Statutes  
Lynn Randall, State Law Librarian  
Paul Mayotte, Director, Legislative  
Information Services

**SUMMARY OF THE JULY 14, 1999 COUNCIL MEETING**

**Motion:** That the Meeting Summary be approved. (Motion by Sen. Rand; second by Rep. Campbell; unanimous).

## REPORTS FROM EXECUTIVE DIRECTOR AND COUNCIL STAFF DIRECTORS

### ▪ **Interim Studies: Status**

David Boulter, OPLA Director, had prepared a memo summarizing the status of appointments to the nearly 50 interim study committees that have been authorized to work during the interim. He reported that appointments are well underway and that about half of the committees have scheduled their first meetings.

No Council action was required.

### ▪ **Y2K Validation Project: Status**

Paul Mayotte reported that the testing of hardware and software applications on the Wang system has been completed successfully, and that his staff is now working to ensure that data base applications that have been developed on the Legislature's client/server network are also Y2K-compliant. The Information Systems staff is also completing its contingency planning for the December 30 - January 3 period.

### ▪ **Legislative Record**

Mr. Mayotte reported that the Record for the 114th Legislature will be available for distribution shortly; that the 8-volume 115th Record is currently being printed; and that the 116th Record is ready to print and will be turned over to the printer upon completion of the 115th Record.

### ▪ **Computer System Upgrades**

Mr. Mayotte informed Council members that about 1/3 of the Legislature's PC's would be replaced by fall, in accordance with the Information Systems plan. He stated that those PC's that are "retired" from active service are used as servers in some cases, while others are used for parts. The Information Services Office is also finalizing plans to upgrade the Microsoft Office Suite to Office 2000 beginning in the late September-early-October period.

Rep. Saxl asked what arrangements are being made to provide a "cyber room" for legislators during the coming session, and, after brief discussion, it was agreed that this item should be referred to the Committee on Allocation of Legislative Space.

### ▪ **Fiscal Update**

Jim Clair, drew Council members' attention to a briefing package. He reported that the final revenue figures for Fiscal Year 1999 have now been released and that they track very closely with the preliminary figures that he had reported in July. He led members through summaries of the July, 1999 General Fund Revenues, the FY 99 Year-end Transfers, General Fund Status, Highway Fund Revenues and the Rainy Day Fund. Copies of these summaries are available from the Office of Fiscal and Program Review.

In the discussion that followed his presentation, Senator Bennett asked why the State Controller does not include comparable figures for the prior year on the monthly revenue report, noting that this information is very helpful. Mr. Clair replied that he would be happy to convey this information to the State Controller. Rep. Shiah then inquired about the current status of the unfunded liability in the State Retirement System. Mr. Clair responded that the unfunded liability as of June 30 was approximately \$2.4 billion. Senate President Lawrence asked Mr. Clair to prepare a graphic that depicts the unfunded liability over time for the September Council meeting; and Rep. Saxl asked that this report include background regarding “how we got there” as well.

This item required no formal Council action.

▪ **State House Renovations: Progress Report**

Sally Tubbesing opened the discussion by stating that she and the State House Renovation Team are working with the Department of Administrative and Financial Services to assure coordination wherever possible, with the objectives of minimizing disruption and identifying areas where cost savings might be realized. Stan Fairservice then updated Council members on the construction progress, reporting that lead and asbestos abatement are underway on the 2nd and 3rd floors. This process has been completed on the 4th floor, and workers have begun “building back” - trenching walls and floors for conduit and pipes and framing in ceilings. The dioramas have now moved out of the State House into storage; and the trenching for the utility pipes between the State House and State Office Building has begun. Mr. Fairservice offered to take Council members on a tour of the construction area following the Council meeting, and Speaker Rowe encouraged members to do this.

Bruce Hilfrank, Senior Project Manager for Granger Northern, Inc. reported that the demolition is about 1 week behind schedule presently, but that he has begun adding people to address this. He stressed that the build-back process cannot proceed until final decisions have been made about space allocation, noting that there are open issues on all floors at this point. President Lawrence assured Mr. Hilfrank that the Council is committed to making the decisions.

Rep. Campbell asked about the budget for this phase of the work. Mr. Hilfrank stated that Granger has not been able to finalize its Guaranteed Maximum Price (GMP) for this phase of the work since the full scope of work is not yet known. He anticipates having a final GMP to present at the September Council meeting. Rep. Campbell asked specifically about the casework involved in this project, adding that he hoped the design team was working to economize in this area. Mr. Hilfrank responded that the unit price for the Senate desks is actually below the unit price for the House desks.

• **Appropriations Committee Space**

The plan calls for enlargement of this hearing room to better accommodate the public, the addition of two “caucus offices” for committee members, and offices for the 2 Committee Chairs. Mr. Burt had a series of graphics that demonstrated the ramp that will provide handicapped access to the Committee table, as well as the riser on which the Committee table would sit. He stated that he had been asked to study additional risers. Council members’ discussion focused on the location of the handicapped ramp and the issue of putting the

committee table on a riser. Senator Rand stated that the Space Committee had had lengthy discussion about the riser, but had been convinced that it is necessary, since the room is being lengthened, to ensure good sight lines between the public and committee members.

**Motion:** That the plan be approved as presented, locating the Committee table on a single riser, and with the following amendments: 1) that the ramp and staff table be shifted in accordance with the discussion; and 2) that shelving be installed under the windows for members. (Motion by Rep. Campbell; second by Sen. Amero; unanimous).

- **Space for Office of Fiscal and Program Review**

Senator Rand stated that, after extended discussion, the Committee had voted to recommend that the Office of Fiscal and Program Review be assigned to Room 220, 222, 224 and 226, which comprises all of the South Wing Offices that had previously been occupied by some of the Governor's staff. The need to maintain clear egress paths to the fire escape significantly reduces the visible space available in Room 226. Rep. Saxl asked if the Council could separate this decision from the still-pending decisions about the space currently occupied by the Law Library, and Senator Rand responded that while some assignments must be temporary given that the renovations will span a 3-year period, it is important to make as many "permanent" decisions as possible for economic reasons.

**Motion:** That the Office of Fiscal and Program Review be assigned to Rooms 220, 222, 224 and both levels of Room 226 and that the Governor's staff be assigned to Rooms 221 and 223.

Discussion continued. Senator Bennett asked if additional space could be found on the mezzanine over Room 225. Rep. Campbell pointed out that this space is accessible only by stairs and does not, therefore, meet ADA requirements: it "works" for the Information Services staff only because they generally go to their customers. Senator Bennett asked if it would be possible to build a mezzanine over the room that was previously assigned to the Taxation Committee; and the architect, Rick Burt responded that the State Fire Marshall has essentially ruled out the construction of additional mezzanines because of emergency egress restrictions. He noted that a mezzanine in this particular space would also compromise one of the architecturally important rooms in the State House.

Rep. Murphy then turned the discussion to space for the Governor's staff, asking how much they had had in the South Wing. Senator Rand responded that the total space was over 1,600 square feet and pointed out that the proposed temporary assignment would assure more than 100 square feet per person.

The discussion resulted in an amended motion:

**Amended Motion:** That the Office of Fiscal and Program Review be assigned to Rooms 220, 222, 224 and both levels of Room 226; and that the Governor's staff be assigned to Rooms 221 and 223 with the Council's commitment that this is temporary space and the Council to continue working with the Governor's staff. (Motion by Sen. Rand; second by Rep. Campbell; approved 7-1)

Discussion related to the renovations continued. Senator Bennett asked who is making decisions about furnishings and finishes. Secretary of the Senate Joy O'Brien replied that she and Senate President Lawrence would make the final decision for the Senate Chamber and all Senate Offices and that she was close to having a plan to present to all Senate Leadership. Senator Bennett expressed an interest in being involved.

Senator Amero pursued the question of furnishings, and Secretary O'Brien replied that new furniture would be purchased and that she has involved staff in each of the Senate Offices in reviewing furnishings plans. She noted that, with the Senate President's approval, a certified interior designer has been hired to assist in developing functional furnishings plans that are appropriate for the historically-significant spaces.

### **Third Floor**

Mr. Burt briefly reviewed the proposed changes in the 3rd floor offices, all of which are assigned to the Senate and all of which have been approved by Senate leadership. Secretary O'Brien added that no changes in office assignment are contemplated, and that she is awaiting the report from Lisa Whited to finally confirm other changes.

Since these offices are all currently assigned to the Senate, no Council action was required.

### **Fourth Floor**

Mr. Burt summarized the current plans for space:

- Room 427 remains a hearing room
- Room 425 to be assigned to the senate Republican Office for use by staff in that office and for caucus activities.
- Room 423 had originally been assigned to the Press as a Satellite Office, but this area is still "on hold" pending completion of furnishings plans for all Senate Offices.
- Room 424 and 422 will be assigned to the Senate President's staff. Mr. Burt noted that Room 424 provides access to the fire escape on the west side of the State House and that a fire exit path must be maintained through this space at all times, thus limiting the usable space available.
- Room 420 will remain as a Senate Retiring Room. Secretary O'Brien reported that it is her intention to equip this as a "cyber area" with computers available for use by Senators.

**Motion:** That the proposed plans for the 4th floor rooms be approved as presented. (Motion by Sen. Amero; second by Sen. Rand; unanimous).

### **First Floor**

Speaker Rowe asked whether the Committee had designated space for both the Engrossing staff and the OPLA staff who are currently located in Room 135. Senator Rand, who was joined by Senator Amero responded that the Committee is still working on how best to do this, noting that the reassigned space available in the south wing is not sufficient to accommodate both of these offices. Senator Rand stated that she had proposed relocating

one of the two locker rooms to the State Office Building, thus freeing up some additional space on the 1st floor, but that both Secretary O'Brien and Clerk Mayo were opposed to this. Rep. Campbell expressed his agreement with the Secretary and Clerk; and Secretary O'Brien pointed out that Senator Rand's proposal would mean that 85 members would not have lockers during the coming session.

Senate President Lawrence asked about the area on the 1st floor that had been the "Cyber room" for legislators, and there appeared to be consensus that the cyber functionality could be accommodated in the Senate and House Retiring Rooms.

**Motion:** That the plans and allocation of space of 1st floor offices in the South Wing be approved and that the Space Committee return to the Council with recommendations for the Engrossing and OPLA staff groups. (Motion by Sen. Rand; second by Sen. Amero; approved 6-1).

Discussion of the renovations then turned to the West Wing, which is currently scheduled to begin as soon as the 119th Legislature adjourns next spring. Bruce Hilfrank, Granger Northern, stressed that it is not economically practical to do part of a wing, which would add another year and additional cost to the project and that the Council needs to develop a complete plan for use of space in the West Wing before final design can proceed.

Rep. Campbell asked for an update on design of the new tunnel; and, after brief discussion, the Chair, Senate President Lawrence, asked that plans for the Tunnel, including proposals for reinstalling the dioramas, be presented to the Space Committee and then to the Council at its September meeting.

## REPORTS FROM COUNCIL COMMITTEES

- Planning Committee for the Legislative Summit (Rep. Saxl, Chair)

Rep. Saxl, who chairs the Council's Planning Committee for the Summit reported that the Planning Committee had met with representatives from both the Muskie School and the Margaret Chase Smith Center to develop preliminary agendas for both the Leaders Forum on Sunday, September 26 and the Legislative Summit on Monday, the 29th. The Planning group is proposing 4 concurrent policy sessions in the morning which would be repeated in the afternoon, thus giving legislators an opportunity to attend two sessions. He turned to former Speaker Libby Mitchell and Burt Wechsler, the Dean of the Muskie School, who were present to answer questions. Rep. Campbell asked if the group had selected keynote speakers, and Dean Wechsler responded that the Committee is currently negotiating with a senior official at the Federal Reserve Bank in Boston, who has been recommended as a dynamic speaker. He added that the Committee was in the process of assembling panels for each of the four sessions. Senator Bennett expressed his regrets that he would be unable to attend the Summit due to a previous business commitment, and Speaker Rowe stated that he would work to schedule the first meeting of the Council's Subcommittee to Develop Framework for Review of the Legislative Process prior to the Summit.

This item required no further Council action.

### Migration Project Steering Committee

Senate President Lawrence, Who chairs this Committee reported that the Committee would be meeting prior to the September Council meeting to present recommendations related to how to proceed.

#### ▪ Personnel Committee

Speaker Rowe, Committee Chair, reported that he had two items to present to the Council on behalf of the Committee.

- 1) A briefing on the Classification and Compensation Study; and
- 2) A recommendation related to Collective Bargaining

### **NCSL Classification and Compensation Study**

Speaker Rowe noted that the Committee had been overseeing the review of the Legislature's classification and compensation plans and related policies. He stated that NCSL staff have been working closely with senior management staff in the Senate, House and Legislative Council offices throughout the study and had presented preliminary conclusions and recommendations to both the Committee and the senior management group last week. The final report was issued last Friday, and a copy had been sent to each member of the Council. He then introduced the members of the NCSL team -- Rich Jones, Project Manager; Tim Storey; and Jo Donlin -- and asked Mr. Jones to provide Council members with an overview of the study and recommendations.

Discussion of the Report following the presentation included the following questions and comments.

- That the Report includes a recommendation to explore the use of 9-month positions to increase the resources available during peak times. (Rep. Campbell).

Mr. Jones responded that this is a recommendation.

- Whether NCSL had looked at buying down comp time in the course of its review of overtime.

Mr. Jones responded that NCSL's recommendations include paying employees in the lower salary classifications cash for all overtime accrued. He stressed that the Council needs overtime is a management issue that may require changing current work schedules, practices and staffing levels and encouraged the Council to undertake an assessment of these issues

As the discussion closed, Speaker Rowe stated that while the Report contains some technical errors that need to be addressed, the NCSL staff have done exactly what the Council had asked and thanked them once again for their work. Mr. Jones noted that both Council members and staff have been very generous with their time and helped his staff significantly. Noting that "it is now up to us", Speaker Rowe offered the following motions.

**Motion:** That the Council refer the Classification and Compensation Report to the Personnel Committee for the purpose of developing a proposed implementation plan for the Council's consideration and final vote at the September or October Council meeting. (Motion by Speaker Rowe; second by Rep. Shiah; unanimous).

### **Collective Bargaining**

Speaker Rowe stated that the Committee has discussed how best to undertake our management responsibilities as we enter a new era -- where most of our employees in the staff offices that work under the Council's direction will have the option of organizing for the purposes of collective bargaining.

The Committee concluded that it is in the Council's interest to retain an attorney to help guide the Council through this very specialized area of law and had reviewed resumes of several individuals with experience on this area. Speaker Rowe then presented the following motion on behalf of the Committee:

**Motion:** That the Council approve the Committee's recommendation to contract with Julie Armstrong, Esq. for the purpose of providing preliminary training and technical assistance to the Council and the Staff Office Directors related to its new responsibilities as a public employer; and that the services provided under this contract not exceed \$7,500.00 without further Council approval.

## **ADJOURNMENT**

The Council meeting was adjourned at 1:55 p.m. (Motion by Rep. Shiah; second by Rep. Murphy).



*Maine State Legislature*  
**OFFICE OF POLICY AND LEGAL ANALYSIS**

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**Memorandum**

**TO:** The Honorable Mark W. Lawrence, Chair  
Legislative Council  
  
The Honorable G. Steven Rowe, Vice-Chair  
Legislative Council  
  
And Honorable Members of the Legislative Council

**FROM:**   
Dave E. Boulter, Director

**DATE:** September 22, 1999

**RE:** Status Report on Legislative Studies

Attached is a chart showing the status of legislative studies that are authorized for this interim.

I am happy to answer any questions you may have or provide additional information about the studies.

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*David E. Boulter, Director*  
Offices Located in the State House, Rooms 101/107/135

***Progress Report on Legislative Studies***  
**Status as of Wednesday, September 22, 1999**  
**(Studies authorized or undertaken during 119th Legislature, 1st Regular Session)**

<u>Study Commission</u>	<u>Date First Convened</u>	<u>Date, Time &amp; Location of Next Meeting</u>	<u>Report Date</u>	<u>Status/Progress of Study Commission</u>
Agricultural Vitality Zone Program, Resolve Establishing a Task Force to Study the Need for an	-----	Oct 7, 1999 last member just appointed	12/1/99	Appointments completed. First meeting scheduled for October 7
Carryover Bills, LVA Committee meetings -- To Discuss Issues Relating to		TBA	None	First of two meeting planned late October/early November
Child Care Financing, Resolve to Create the Business Advisory Commission on Quality	Assistance with drafting legislation only	N/A	12/1/99	Staffed by DECD
Children in Need of Services, Resolve to Establish the Commission to Study	-----	Not scheduled	12/1/99	Awaiting completion of appointments by Senate President
Cold Water Observatory -- MAR Committee Study -- Review LD 1827	1 Meeting with Jt. Spl. RES	Not scheduled	None	No meeting held to date
Correction's Comprehensive Plan, CRJ Committee Meetings -- Review the Dept. of	Wed, Sept 8	mid-October	None	On-going. Several additional meetings anticipated
Dept. of Professional and Financial Regulation under Part L of PL 1999, c. 16 (budget), BEC Committee meetings -- Oversee Commissioner's review of the		TBA	12/31/99 by DPFR	The Department has met pursuant to Part L. Committee plans to meet in October
Disability Access Issues as They Relate to Outdoor Sporting Activities, Staff Study	N/A	N/A	-----	Staff study on-going
Education Research Institute	on-going	-----	None	1) Awaiting payment by Legislature under FY99 contract 2) Anticipate Steering Committee meeting in late September/early October
Educational Program and the Governance System of the Governor Baxter School for the Deaf, Joint Order Relative to the Task Force to Review the	Fri, Sept 10	Fri, Oct 1 ** 9-4 Gov. Baxter School	12/3/99	Task Force has contract w/consultant. Request to Legislative Council for funds for ASL interpreters at public hearings is anticipated..
Environmental Protection, Resolve to Establish a Task Force to Study the Operation of and Support for the Board of		Wed, Sept 29 ** 10 am Rm 437 SH	12/1/99	Appointments completed. First meeting scheduled for September 29

***Progress Report on Legislative Studies***  
**Status as of Wednesday, September 22, 1999**  
**(Studies authorized or undertaken during 119th Legislature, 1st Regular Session)**

<u>Study Commission</u>	<u>Date First Convened</u>	<u>Date, Time &amp; Location of Next Meeting</u>	<u>Report Date</u>	<u>Status/Progress of Study Commission</u>
Fire Protection Services Throughout the State, Resolve to Create the Commission to Study the Enhancement of	Wed, Sept 21	Tues, Oct 26 9:30 am SH TBA	12/1/99	4 more meetings; Initial meeting held. Staff is developing survey for fire chiefs
Forensic Examinations for Sexual Assault Victims, An Act to Establish the Commission to Propose an Alternative Process for the Payment of		Mon, Sept 27 9 am Rm 437 SH	12/15/99	Appointments completed. First meeting scheduled for September 27; 4 more meetings anticipated
Subcommittee to Review Issues and Formulate Guidelines Relating to LD 533, and Begin GEA Review of 3 Agencies, SLG Committee Study		Thu, Oct 7 1-3 161 Capitol St	11/26/99	2 - 3 meetings anticipated. Subcommittee members discussing redrafted bill w/DAFS
Governor Baxter School for the Deaf, Committee study -- Review LD 1620, Victims of Abuse at the	Fri, Aug 6	Thurs., Oct. 7 9:30 Rm. 438, SH	11/26/99	1 more meeting; significant work remaining.
Uncontrolled Hazardous Substance Sites, Select Commission to Study State Participation in Funding Cleanup and Remediation of	----	Thu, Sept 30 12:30 pm Rm 437 SH	12/31/99	Appointments completed. 5 meetings scheduled
Incorporations in Maine, Resolve to Establish a Commission to Encourage	Mon, Aug. 23	Oct. 8 or 12 (tentative)	12/15/99	Study is on-going; 3 more meetings.
Internet Policy, Resolve to Establish the Blue Ribbon Commission to Establish a Comprehensive		Mon, Sept 27 9-12 Rm 438 SH	12/1/99	Appointments completed. 5 meetings anticipated. First meeting is scheduled for September 27.
Judicial Compensation Commission		No meetings planned.		No meetings planned.
Labor and Workers' Compensation Board, LAB Committee Meetings -- Start GEA Review of Dept. of		November (TBA)	None	Chairs have met in preparation for committee meeting in November
Legislative Council Study - Review Structure and Operation of the Legislature (Bennett/Lawrence proposal)		Wed, Sept 22 3 pm Rm 334 SH	?	First meeting scheduled for September 22.
Long-term Care and Pharmaceuticals, and Ombudsman Legislation involving 3 agencies, HHS Committee studies -- Review Matters Relating to	Wed., Sept 8	Tue, Sept 28 ** 11-3:30 Rm 436 SH	None	Committee review on-going; 5 more meetings planned

***Progress Report on Legislative Studies***  
**Status as of Wednesday, September 22, 1999**  
**(Studies authorized or undertaken during 119th Legislature, 1st Regular Session)**

<u>Study Commission</u>	<u>Date First Convened</u>	<u>Date, Time &amp; Location of Next Meeting</u>	<u>Report Date</u>	<u>Status/Progress of Study Commission</u>
MCJUSTIS-MEJIS -- Committee Study (Established in 118th Legislature)	Thurs., Aug. 26	Sept 27 or 28 (tentative)	12/15/99	Study is on-going; 2nd year of 2-year project
Milk and Milk Products, Staff Study -- Review of Statutory and Regulatory Changes Regarding	N/A	N/A	None	Staff study - ongoing
MLURC and DEP, ACF, NAT Committee Study - Subcommittee to Review Regulatory Responsibilities of	-----	-----	11/26/99	Awaiting appointment of 1 member by Senate President; Authorized to meet 3 times.
MTBE and Alternatives, Committee Study -- 5 Member NAT Subcommittee to Review use of MTBE and Alternatives	-----	Not scheduled	11/26/99	Awaiting designation of Senate chair by Senate President. Authorized for 2 meetings.
Multistate Tax Compact Advisory Committee				
Patient's Bill of Rights, BAN Committee Study --	-----	Wed, Sept 22 10-2 Rm 438 SH	None	Initial meeting scheduled for September 22. 5 meetings authorized.
Pension Equity, TAX Committee Study			2nd Reg Session	Meeting not scheduled.
Performance Budgeting, Commission on				Meeting being planned.
Prescription Drugs and Medical Supplies, Resolve to Establish the Commission to Study Bulk Purchasing of	-----	Fri, Oct 8 9-noon Rm 436 SH	12/1/99	Appointments completed. First meeting scheduled for October 8th. 4 meetings authorized..
Probation Services Study, CRJ Committee Study	July 13	Sept 14	1/1/00	Draft report in progress - probably 1 more meeting will be necessary.
Property Tax Exemptions contained in Title 36, Part 2, Statutory review	Aug 25	Wed, Oct 6	30 leg. days after convening of 2nd Reg. Session	Work on-going.
Public Water Supply Protection, Resolve to Establish a Task Force to Study the Improvement of		-----	1/14/00	Appointments of members not yet completed; Staff assistance in drafting legislation only
Real estate transfer tax and corporate transfers, TAX Committee Study	Aug 25	Wed, Oct 6	12/15/99	On-going; gathering information

***Progress Report on Legislative Studies***  
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<u>Study Commission</u>	<u>Date First Convened</u>	<u>Date, Time &amp; Location of Next Meeting</u>	<u>Report Date</u>	<u>Status/Progress of Study Commission</u>
Salmonoid Sport Fish in Maine, Commission to Study the Needs and Opportunities Associated with the Production of		Tues, Sept 28 ** 10 am Brewer	9/00	Appointments completed. First meeting scheduled for September 28 in Brewer.
Single-Sales Factor Apportionment, Commission to Study	Sept 8	Wed, Oct 13	12/1/99	Work on-going. Work plan and draft budget submitted; Staff gathering information and research for October meetings.
Current Regulations Imposed on Small Businesses to Require Greater Efficiency, Resolve to Study		Tues, Sept 28 ** 10 am Rm 438 SH	12/15/99	Appointments completed. First meeting scheduled for September 28. 4 meetings anticipated.
Solid Waste Landfill Matters, NAT Committee Study -- 5 Member Subcommittee to Study Relating to	Fri, Sept 3	October TBA	11/26/99	Committee review on-going
State Office Building Location and Other State Growth-related Capital Investments, Resolve to Establish the Task Force on	Fri, Sept 17	Tue, Sept 28 ** 1 pm Rm 437 SH	12/15/99	Study on-going. Remaining 4 meetings all scheduled.
Tax-exempt Bonds, Commission on the State Ceiling on				
Togus Veterans Administration Medical Center, Joint Study Order Establishing a Commission to Examine the Adequacy of Services at the	-----	Mon, Oct 25	12/31/99	Appointments completed. Chairs scheduled to meet in October for planning initial meeting on October 25.
Traffic Congestion Including Truck Traffic Along the Route 1 York Corridor, Resolve to Review	-----	Not scheduled	11/15/99	Appointments completed. Chairs scheduled to meet on September 22 to plan for initial meeting.
Tribal Government Representatives of Maine's Native Sovereign Nations in the Legislature, Committee to Address the Recognition of the	Wed, Aug. 25	Thu, Oct 14 9 am Rm 438 SH	12/1/99	Study is on-going and on track, but may need more time than currently allotted.
Unorganized Territories in Maine, SLG Committee Study -- Review Matters Relating to LD 299, Governance Structure for the	-----	Not scheduled	11/26/99	Committee review not yet started. 3 meetings authorized.
Utility-related Laws Outside of Title 35-A, Staff Study To Examine	-----	-----	1/1/00	Staff study on-going. Substantial information gathered.

*Progress Report on Legislative Studies*  
**Status as of Wednesday, September 22, 1999**  
**(Studies authorized or undertaken during 119th Legislature, 1st Regular Session)**

<u>Study Commission</u>	<u>Date First Convened</u>	<u>Date, Time &amp; Location of Next Meeting</u>	<u>Report Date</u>	<u>Status/Progress of Study Commission</u>
Veterans' Benefits, Resolve to Study Standardized Periods of Military Service and Other Matters Related to the Award of State of Maine	Mon., Sept. 20	Mon, Oct 18 PH 4:30-6:30 pm Me. Veterans' Home Scarborough	12/15/99	Study on-going. Three public hearings scheduled throughout State.
Violent and Disruptive Students, Joint Study Order to Develop an Alternative Program for	Thu, Sept 16	Mon, Oct 4 9:30-3 TBA	12/15/99	Study on-going. Task Force will be requesting extension of report date to January 14, 2000.
Wildlife and Fish, Resolve to Establish the Citizens' Advisory Committee to Secure the Future of		Not scheduled	12/00	Appointments completed. Chairs scheduled to meet on September 28 to plan committee meetings; 6 more meetings to be scheduled.
Year 2000 Computer Problem, Joint Select Committee on the	Wed, Aug 18	Mon, Nov 8 9 am Rm 437 SH	No date	Committee study is underway. Informational materials on Y2K sent to legislators. Nov. 8th is 3rd meeting - 4th meeting will be held after Jan. 1 - committee recommendations will be provided to 2nd Regular Session

*Other Studies Having Limited Legislative Involvement*  
 Status as of Wednesday, September 22, 1999

<u>Study Commission</u>	<u>Nature of Legislative Involvement</u>	<u>Status</u>	<u>Report Date</u>
Campaign Finance Reporting			
Canadian Legislative Advisory Committee, Maine			
Capitol Riverfront Improvement Governing Board			
Child Care Advisory Council			
Children's Mental Health Oversight Committee			
Children's Trust Incorporated, Maine			
Civilian Conservation Corps, Committee to Establish a Memorial Dedicated to the			
Consumer Health Care Advisory Council			
Dental Education, Advisory Committee on			
Early Care and Education, Task Force on			
Economic Development Incentive Commission			
Emergency Response Commission			
Global and Geographic Education, Maine Committee for			
HIV Advisory Committee, Maine			
Hunger and Food Security, Maine Millennium Commission on			
Radioactive-Waste and Decommissioning, Advisory Commission on			
Sawmill Biomass, Committee on			

*Other Studies Having Limited Legislative Involvement*  
 Status as of Wednesday, September 22, 1999

<u>Study Commission</u>	<u>Nature of Legislative Involvement</u>	<u>Status</u>	<u>Report Date</u>
State House Complex and the Augusta Mental Health Institute Campus, Master Plan for the			
Substance Abuse Services Commission			

**119th LEGISLATIVE COUNCIL  
SECOND REGULAR SESSION**

**REVIEW OF REQUESTS TO INTRODUCE LEGISLATION**

**October 1st (Friday)  
4:00 p.m.**

Filing Deadline

- Legislators (Title & Summary)
- Departments/Agencies (Final Drafts)

**OCTOBER 25th (MONDAY)  
10:00 a.m.**

**LEGISLATIVE COUNCIL MEETING-SCREENING**

**October 29th (Friday)**

Notification of Council's action mailed to sponsors

**November 3rd (Wednesday)  
5:00 p.m.**

Deadline for:

- Submitting final drafts, or sufficient information to draft all bills accepted by the Council on October 25th
- Filing appeals in writing

**NOVEMBER 15th (Monday)  
3:00 p.m.**

**LEGISLATIVE COUNCIL MEETING TO CONSIDER  
APPEALS**

## FISCAL BRIEFING FOR THE LEGISLATIVE COUNCIL:

SEPTEMBER 1999

- August 1999 General Fund Revenues (see attachment # A)
- August 1999 Highway Fund Revenues (see attachment # B)
- Maine State Retirement System data: Rep. Saxl's request (see attachment # C)
- Question - and - Answer

PRELIMINARY; SUBJECT TO CHANGE!

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 1  
DATE: 09/21/99  
Program: c01.sqr

	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
INT REAL EST TAX UNORGAN	0161	250		-250	500		-500	
REAL ESTATE TRANSFER TAX	0170	511,634	474,064	-37,570	1,172,084	1,953,765	781,681	
COMM FORESTRY EXCISE TAX	0175		-1,517	-1,517		-1,474	-1,474	
EXCISE TAX NON RES M V	0186	36,000	65,690	29,690	85,000	123,636	38,636	
<b>01 PROPERTY TAXES</b>		<b>547,884</b>	<b>538,237</b>	<b>-9,647</b>	<b>1,257,584</b>	<b>2,075,927</b>	<b>818,343</b>	
TAXES ON ESTATES	0211		486,887	486,887		486,887	486,887	
ESTATE TAX	0212	1,652,971	3,504,795	1,851,824	3,305,942	5,774,685	2,468,743	
INTEREST ON ESTATE TAX	0242	12,500	61,297	48,797	25,000	63,381	38,381	
<b>02 INHERITANCE, ESTATE</b>		<b>1,665,471</b>	<b>4,052,979</b>	<b>2,387,508</b>	<b>3,330,942</b>	<b>6,324,953</b>	<b>2,994,011</b>	
USE FUEL TAX	0311		59,661	59,661		59,661	59,661	
TAX INT COMB ENGINE FUEL	0321	8,093	11,343	3,250	20,146	20,288	142	
TAX AERONAUTICAL GAS	0331	105,774	215,494	109,720	184,308	349,600	165,292	
GAS TAX REFUNDS AERONAUTI	0332	-1,910		1,910	-3,626	-143	3,483	
<b>03 GASOLINE TAX</b>		<b>111,957</b>	<b>286,498</b>	<b>174,541</b>	<b>200,828</b>	<b>429,406</b>	<b>228,578</b>	
INDIV STATE INCOME TAX	0401	-1,682,565	1,981,426	3,663,991	1,257,189	5,049,895	3,792,706	
INDIV INCOME WITHHOLDING	0402	31,418,400	50,424,526	19,006,126	94,626,200	106,274,210	11,648,010	
INDIV INCOME 1040 ESTIMATE	0403	1,972,080	2,909,064	936,984	3,808,738	5,420,301	1,611,563	
INDIVIDUAL FIDUCIARY	0404	245,836	165,549	-80,287	324,382	-52,350	-376,732	
REFUNDS INDIV INCOME TAX	0408	-1,616,760	-3,185,346	-1,568,586	-7,285,400	-10,080,668	-2,795,268	
EXCISE TAX BEER	0411	654,422	737,598	83,176	1,343,027	1,450,495	107,468	
EX TAX TBL WINE ME PRDTS	0412	700	400	-300	1,300	1,009	-291	
EX TAX TBL WINE OUT STAT	0413	79,000	81,720	2,720	149,000	161,834	12,834	
EX TAX SPARKLING WINES	0414	21,012	26,648	5,636	37,293	64,937	27,644	
CORPORATE INCOME TAX	0415	5,477,868	3,259,733	-2,218,135	9,498,894	6,680,321	-2,818,573	
REFUNDS CORP INCOME TAX	0418	-626,118	-12,433,299	-11,807,181	-1,182,775	-12,532,389	-11,349,614	
MALT PREMIUM	0451	261,790	295,039	33,249	517,226	580,198	62,972	
TABLE WINE PREMIUM	0452	79,565	80,128	563	149,678	158,578	8,900	
SPARKLING WINE PREMIUM	0453	3,744	6,395	2,651	9,595	15,585	5,990	
LOW ALCOHOL SPIRITS TAX	0455	2,700	1,424	-1,276	5,500	6,135	635	
<b>04 INCOME TAX</b>		<b>36,291,674</b>	<b>44,351,005</b>	<b>8,059,331</b>	<b>103,259,847</b>	<b>103,198,091</b>	<b>-61,756</b>	
TAX ON CIGARETTES	0511	7,520,615	8,869,811	1,349,196	13,642,973	14,788,117	1,145,144	
TAX ON TOBACCO PRODUCTS	0512	219,731	287,480	67,749	445,904	548,323	102,419	
<b>05 TOBACCO PRODUCTS TAX</b>		<b>7,740,346</b>	<b>9,157,291</b>	<b>1,416,945</b>	<b>14,088,877</b>	<b>15,336,440</b>	<b>1,247,563</b>	
SALES TAX	0621	30,471,888	32,317,183	1,845,295	95,828,849	102,283,086	6,454,237	
USE TAX	0623	2,949,294	13,947,834	10,998,540	9,275,022	21,605,531	12,330,509	
SALES TAX REFUNDS USE FU	0625	43,262	114,631	71,369	136,051	129,928	-6,123	
SALES TAX ABATEMENT	0631	-131,161	-92,254	38,907	-412,480	-413,639	-1,159	

Attachment A

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 2  
DATE: 09/21/99  
Program: c01.sqr

	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
USE TAX ABATEMENT	0633	-7,495	-6,146	1,349	-23,570	-30,168	-6,598	
<b>06 OTHER SALES TAX</b>		<b>33,325,788</b>	<b>46,281,248</b>	<b>12,955,460</b>	<b>104,803,872</b>	<b>123,574,738</b>	<b>18,770,866</b>	
CERT EXCISE CORP	0726	150	100	-50	300	315	15	
CERT ORG NEW CORPS	0731	7,333	7,050	-283	14,666	12,270	-2,396	
CHANGES CERT ORGANIZATION	0733	2,083	280	-1,803	4,166	955	-3,211	
REG FOREIGN CORPS	0735	25,000	38,300	13,300	50,000	67,695	17,695	
ANNUAL LIC FEES FOREIGN C	0737	15,000	22,260	7,260	75,000	113,865	38,865	
ASSUMED NAME	0740	5,833	11,350	5,517	11,666	18,360	6,694	
RESERVED NAME	0742	1,333	1,495	162	2,666	2,975	309	
DISSOLVED	0744	1,333	2,160	827	2,666	3,860	1,194	
RESUMED	0746	166	400	234	332	480	148	
<b>07 CORPORATIONS TAX</b>		<b>58,231</b>	<b>83,395</b>	<b>25,164</b>	<b>161,462</b>	<b>220,775</b>	<b>59,313</b>	
TAX R R COMPANIES	0801		-35,975	-35,975	-150,000	-150,000		
TELECOMMUN PROP TAX	0822		412	412		412	412	
<b>08 PUBLIC UTILITIES TAX</b>			<b>-35,563</b>	<b>-35,563</b>	<b>-150,000</b>	<b>-149,588</b>	<b>412</b>	
INSURANCE COMPANIES TAX	0901	35,309	-49,050	-84,359	101,592	348,002	246,410	
UNAUTHORIZED INS CO TAX	0903		3,187	3,187	87,500	4,025	-83,475	
SELF PROC INS PREM TAX	0904		1,236	1,236	18,750	1,942	-16,808	
PURCHASING GROUP PREM TAX	0905		954	954	3,750	1,730	-2,020	
AGENTS LICENSES-ORIG	0914		10,140	10,140		16,470	16,470	
AGENTS LICENSES-REN	0915		11,920	11,920		12,020	12,020	
COMPANY LICENSES-ORIG	0916					100	100	
COMPANY LICENSES-REN	0917		14,090	14,090		20,305	20,305	
ANNUAL STATEMENT FEES	0929		14,075	14,075		19,353	19,353	
LICENSE EXAMINATION FEES	0932		165	165		210	210	
FORM FILING FEES	0934		420	420		1,080	1,080	
ASSES WKRS COMP SELK INS	0943					3,034	3,034	
<b>09 INSURANCE</b>		<b>35,309</b>	<b>7,137</b>	<b>-28,172</b>	<b>211,592</b>	<b>428,271</b>	<b>216,679</b>	
SEC AGENTS ORIG LIC FEES	1006	45,000	58,730	13,730	90,000	128,100	38,100	
SEC DEALERS ORIG LIC FEES	1008	3,000	3,400	400	6,000	7,150	1,150	
SEC DEALERS REN LIC FEES	1009							
SEC ISSUERS REG FEES	1010	500,000	579,800	79,800	900,000	1,026,100	126,100	
SEC ISSUERS EXEMPT FEES	1011	6,300	7,800	1,500	12,600	12,900	300	
SEC INVEST ADV ORIG	1019	1,000	1,200	200	2,000	2,000		
<b>10 BANKING</b>		<b>555,300</b>	<b>650,930</b>	<b>95,630</b>	<b>1,010,600</b>	<b>1,176,250</b>	<b>165,650</b>	
CLASS I	1101	29,295	37,800	8,505	76,535	77,840	1,305	
CLASS A RESTAURANT/LOUNGE	1105	18,900	19,500	600	47,400	39,350	-8,050	

Attachment A  
(cont'd)

PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

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DATE: 09/21/99  
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	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
CIVIC ORGANIZATIONS	1107	300	100	-200	650	300	-350	
AGENCY LIQ STORE FULL TIME	1108	900	3,900	3,000	3,300	61,100	57,800	
CLASS I-A	1110	4,400	5,500	1,100	9,900	13,200	3,300	
SMALL MAINE BREWERY	1113	100		-100	150	50	-100	
DIST & BREWERS	1114	1,000	1,000		3,000	2,000	-1,000	
CLASS X	1115	29,000	60,800	31,800	60,000	93,030	33,030	
AUXILIARY LICENSE	1116				100		-100	
MAINE FARM WINERY	1117	50		-50	50	50		
MANUFACTURER WINERY	1119				1,000		-1,000	
CLASS II	1120	550		-550	1,650	550	-1,100	
B Y O B FUNCTION PERMIT	1129	110	140	30	210	220	10	
BOTTLE CLUB REGISTRATION	1130	100	300	200	150	400	250	
SPEC CATER OFF PREM S & V	1131	700	1,120	420	2,690	2,140	-550	
CLASS III	1132	3,600	6,380	2,780	10,700	9,900	-800	
CLASS IV	1138	6,600	9,900	3,300	16,100	15,840	-260	
CLASS V	1140	990	1,485	495	2,035	2,970	935	
CERT OF APPROVA-SPIRIT	1151		600	600		600	600	
WHOLESALE TABLE WINE	1153	1,200	600	-600	1,200	600	-600	
CLASS VI	1155	36,000	39,200	3,200	65,000	61,600	-3,400	
MALT LIQ CERT OF APPROVAL	1158	1,800	2,400	600	2,400	3,600	1,200	
WINE CERT OF APPROVAL	1159	4,200	9,600	5,400	10,200	15,600	5,400	
WHOLESALE MALT LIQUOR	1161	1,200	600	-600	1,200	600	-600	
CLASS VII	1176	24,400	34,200	9,800	40,600	53,600	13,000	
VESSEL SPIRITS	1198	1,800		-1,800	1,800		-1,800	
<b>11 ALCOHOLIC BEVERAGES</b>		<b>167,195</b>	<b>235,125</b>	<b>67,930</b>	<b>358,020</b>	<b>455,140</b>	<b>97,120</b>	
PULL EVENT PERMIT	1255	30	50	20	80	230	150	
<b>12 AMUSEMENTS TAX</b>		<b>30</b>	<b>50</b>	<b>20</b>	<b>80</b>	<b>230</b>	<b>150</b>	
COMM PARI MUTUELS HARNESS	1301	119,550	164,442	44,892	239,550	273,527	33,977	
CONCEALED LICENSE PERMIT	1378	5,500	6,392	892	15,500	13,827	-1,673	
<b>13 BETTING TAXES</b>		<b>125,050</b>	<b>170,834</b>	<b>45,784</b>	<b>255,050</b>	<b>287,354</b>	<b>32,304</b>	
BOTTLERS LICENSES	1401	850	1,533	683	9,350	7,483	-1,867	
MILK LICENSES	1402	210	747	537	1,360	1,147	-213	
NURSERY LICENSES	1403	375	325	-50	515	640	125	
PRODUCT REGISTRATION FEE	1405	350	1,030	680	2,380	2,856	476	
REG OF FEEDING STUFFS	1406	1,500	780	-720	3,800	970	-2,830	
LIVESTOCK AND POULTRY LIC	1409	36	52	16	137	91	-46	
FOOD INSPECT LIC-PERMIT	1410	6,100	7,000	900	12,100	14,590	2,490	
TOBACCO PRODUCTS LICENSE	1415		125	125	150	250	100	
CIGARETTE DISTR LIC	1416	1,750		-1,750	6,310	-250	-6,560	
CIGARETTE WHOLESALE LICEN	1418	500		-500	1,500		-1,500	
RES COMMERCIAL FISH LICEN	1420		2,285	2,285	2,500	5,480	2,980	

Attachement A  
<cont'd>

PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
 Fund.....: 010 GENERAL FUND

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	RSRC	AUGUST			YEAR-TO-DATE			Source	Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance		
ORIG OCC/PRO LICENSES 2	1422					600		600	
ORIG OCC/PRO LICENSES 3	1423					200		200	
ORIG OCC/PRO LICENSES 5	1425		1,002	1,002		2,338		2,338	
RENL OCC/PRO LICENSES 5	1431	200	250	50	300	450		150	
ORIG APPRENTICE LICENSES	1435	1,500	1,099	-401	1,500	1,738		238	
EQUIPMENT OPERATOR LIC 1	1438		100	100		100		100	
HOSPITAL LICENSES	1440	20,833	17,470	-3,363	41,667	33,965		-7,702	
AIRCRAFT LICENSES	1444	1,000	90	-910	2,000	295		-1,705	
RETAIL SEAFOOD DEALERS LI	1445	4,000	2,295	-1,705	8,000	4,845		-3,155	
LICENSE APPLICATON FEES	1446		450	450		450		450	
SPECIAL LICENSES & LEASES	1448	11,600	14,510	2,910	23,100	19,245		-3,855	
STAMP SALES BEDDING ETC	1449	3,000	3,560	560	3,000	5,162		2,162	
ORIG O/P REGISTRATION FEE	1450					25		25	
LOBSTER CRAB FISH LICENSE	1452	35,000	22,353	-12,647	60,000	52,831		-7,169	
WHOLESALE SEAFOOD DEALERS	1455	5,000	2,230	-2,770	7,000	5,719		-1,281	
INTERSTATE LOBSTER TRANS	1456	2,500	1,023	-1,477	5,000	3,056		-1,944	
LOBSTER MEAT PERMITS	1458		110	110		330		330	
RES INTERSTATE SHELLFISH	1462	1,500	264	-1,236	1,500	368		-1,132	
SEA MOSS LICENSES	1463		50	50		96		96	
RENL O/P CERTIFICATION FE	1467					100		100	
SCHOOL LICENSES/PERMIT	1470		102	102		972		972	
BOAT REGISTRATION TRANSF	1472	120		-120	191			-191	
LIC ROADSDE EAT LODGE HOU	1474	50,000	53,183	3,183	118,750	84,786		-33,964	
COMMERCIAL SHELLFISH LICE	1478	5,000	4,029	-971	10,000	9,570		-430	
MAHOGANY QUAHOG LICENSE	1479					89		89	
REG OF BOATS OVER 10 HP	1480	77,254	-26	-77,280	162,490	-26		-162,516	
BOAT OPERATORS LICENSE	1482	3		-3	12			-12	
APPLICATION FOR ORIG CERT	1483		825	825		1,080		1,080	
RENEWAL OF CERTIFICATES	1484		25,130	25,130		33,950		33,950	
MUSSEL LICENSE	1485		119	119		196		196	
BOAT DUPLICATE STICKERS	1487	70	3,430	3,360	126	4,760		4,634	
DUPLICATE LICENSES	1488	93	20	-73	139	47		-92	
REGISTRATION XMAS TREES	1489					60		60	
BOAT LOCAL TEMP REG	1490	3,941		-3,941	8,392			-8,392	
BOAT DEALER TEMP PLATES	1491	170		-170	523			-523	
BOAT DEALER REGISTRATION	1496	115		-115	275			-275	
HAIRDRESSERS LICENSE FEE	1497		770	770		910		910	
LICENSE FEE RENEW PROF EN	1499					60		60	
<b>14 OTHER TAX SPECIAL</b>		<b>234,570</b>	<b>168,315</b>	<b>-66,255</b>	<b>494,067</b>	<b>301,624</b>		<b>-192,443</b>	
LICENSE RESTORATION FEES	1503				50,000			-50,000	
FEES TEMP DEALERS PLATES	1507	229		-229	576			-576	
DUP CERTIFICATE	1511	5		-5	8			-8	
DRIVER REHAB COURSE	1515	66,502	68,304	1,802	133,004	128,200		-4,804	
NON-RES 3 DAY SNOWMOBILE REG	1565	300		-300	450			-450	
NON-RES 10 DAY SNOWMOBILED	1566	120		-120	180			-180	

Attachment A  
 (cont'd)

PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

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NON-RES SEASON SNOWMOBILED	1567	1,540		-1,540	2,310		-2,310	
SNOW REPLACE DEALER PLATE	1573	16		-16	16		-16	
SNOW DEALER PLATE	1574	370		-370	871		-871	
SNOWMOBILE REGISTRATION	1575	4,340		-4,340	6,506		-6,506	
SNOWMOBILE DEALERS REGIS	1576	425		-425	987		-987	
ATV-DEALER REGISTRATION	1577	200		-200	550		-550	
ATV DEALER PLATES	1578	136		-136	399		-399	
ATV REPLACEMENT PLATES	1579	51		-51	69		-69	
ATV REGISTRATIONS	1580	60,000	-16	-60,016	90,000	-16	-90,016	
ATV LOCAL TEMP REG	1581	7,000		-7,000	7,500		-7,500	
ATV DUP REG	1582	7		-7	13		-13	
ATV DUPLICATE STICKER	1583	10		-10	12		-12	
ATV REGISTRATION TRANSFER	1584	42		-42	58		-58	
ATV DEALER TEMP PLATES	1585	150		-150	450		-450	
<b>LS M/V LICENSE RELATED FEES</b>		<b>141,443</b>	<b>68,288</b>	<b>-73,155</b>	<b>293,959</b>	<b>128,184</b>	<b>-165,775</b>	
RES JUNIOR TRAP LICENSE	1701	109		-109	119		-119	
1-DAY FISH EXCHG COMB	1705	2,000	351	-1,649	4,000	351	-3,649	
3-DAY FISH EXCHG COMB	1706	500	120	-380	1,000	120	-880	
NON RES SM GAME LIC	1708	1,000	495	-505	1,600	495	-1,105	
BREED LIC FOR WILD ANIMAL	1709	78		-78	281		-281	
RES SERVICEMANS COMBO	1710	930	1,129	199	2,019	1,129	-899	
FALCONRY LICENSE	1711	12		-12	25		-25	
WILDLIFE EXHIBITORS	1712	122		-122	648		-648	
NON RES HIDE DEALERS LIC	1715				200		-200	
RES HIDE DEALERS LIC	1716				1,500		-1,500	
BAIT WHOLESALERS	1717	90		-90	90		-90	
ALIEN COMBINATION	1720	660	1,408	748	660	1,408	748	
ALIEN FISHING	1721	200	1,820	1,620	700	1,820	1,120	
ALIEN SMALL GAME	1722	113		-113	169		-169	
ALIEN ARCHERY	1723	70		-70	130		-130	
SPEC HIDE DEALER'S LICENSE	1724	36		-36	72		-72	
WILDLIFE IMPORT/POSS PERMIT	1725	83		-83	166		-166	
UNDISTR F&W COLLECTIONS	1726	130,000	333,041	203,041	410,000	1,913,559	1,503,559	
RES TRAP LIC STATEWIDE	1736	12,394		-12,394	17,549		-17,549	
NON RES TRAP LIC	1739	250		-250	450		-450	
RESIDENT EXPANDED ARCHERY	1740		2,281	2,281		2,281	2,281	
TAXIDERMY TRAINEE RENEWAL	1741		80	80		80	80	
NON RESIDENT EXPANDED ARCHERY	1742				4,000		-4,000	
ONE DAY BASS TOURN LICENS	1743	40		-40	40		-40	
COMB FISH AND ARCHERY	1744	300	1,584	1,284	1,000	1,584	584	
NON-RES 3 DAY SM GAME HUNT	1748		330	330		330	330	
SMALL GAME HUNTING LIC	1749	15	120	105	163	120	-43	
RESIDENT JR HUNT LICENSE	1750	4,527	1,495	-3,032	8,070	1,495	-6,575	
RES COMBINATION LICENSE	1751	50,000	170,045	120,045	150,000	170,045	20,045	
RES HUNTING LICENSE	1752	62,533	17,656	-44,877	109,834	17,637	-92,197	

Attach lowest A  
(can't id)

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
 Fund.....: 010 GENERAL FUND

Page: 6  
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	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
RES FISHING LICENSE	1753	140,000	431,041	291,041	410,000	430,984	20,984	
RESIDENT ARCHERY LICENSE	1754	2,500	3,154	654	4,500	3,154	-1,346	
NON RES JUN SMALL GAME	1755		600	600	400	600	200	
EEL PERMITS	1756	489		-489	615		-615	
NON RES BIG GAME LIC	1757	483,026	221,625	-261,401	655,780	221,625	-434,155	
NON RESIDENT ARCHERY LIC	1758	955	825	-130	1,592	825	-767	
ALIEN BIG GAME HUNT LIC	1759	20,000	1,250	-18,750	25,000	1,250	-23,750	
LICENSE TO SELL INLAND FI	1760				20		-20	
DUPLICATE LICENSE FEES	1761	8		-8	16		-16	
ONE DAY FISHING	1762	12,000	25,488	13,488	32,000	25,479	-6,521	
ONE DAY FISHING EXCHANGE	1763	2,000	1,904	-96	2,000	1,904	-96	
NON RES 7 DAY FISH LIC.	1765	55,000	78,971	23,971	135,000	78,971	-56,029	
NON RES SEASON FISH LIC	1766	61,000	231,893	170,893	183,000	231,893	48,893	
NON RES 15 DAY FISH LIC	1767	15,000	24,715	9,715	39,000	24,715	-14,285	
NON RES EXCHANGE FISH LIC	1768		240	240		240	240	
3 DAY FISHING LIC	1769	47,000	93,750	46,750	117,000	93,750	-23,250	
BOYS GIRLS CAMP FISH LIC	1770				362		-362	
NON RES FISH LIC JUNIOR	1771	2,000	4,081	2,081	7,000	4,081	-2,919	
NON RES COMBO FISH & HUNT	1772	25,000	81,425	56,425	55,000	81,425	26,425	
COMM SHOOTING AREA LIC	1774				110		-110	
RESIDENT GUIDE LICENSE	1778	4,000	-79	-4,079	5,000	-79	-5,079	
MUZZLE LOADING LICENSE	1786		330	330		330	330	
NON-RES MUZZLE LD LIC	1787	78	198	120	78	198	120	
RESIDENT MOOSE PERMIT	1789	8,286		-8,286	31,480		-31,480	
NONRESIDENT MOOSE PERMIT	1792	10,347		-10,347	48,260		-48,260	
COM WH WATER OUTFIT LIC	1794	347		-347	347		-347	
CAMP TRIP LEADERS PERMIT	1795	277		-277	2,820		-2,820	
RES BEAR HUNT PERMIT	1796	97	270	173	436	270	-166	
NON-RES BEAR HUNT PERMIT	1797	2,995	630	-2,365	4,739	630	-4,109	
<b>17 HUNTING AND FISHING</b>		<b>1,158,467</b>	<b>1,734,266</b>	<b>575,799</b>	<b>2,476,040</b>	<b>3,314,699</b>	<b>838,659</b>	
MAHOGANY QUAHOG TAX	1903					-1,789	-1,789	
WEIGHTS AND MEASURES FEES	1909	7,735	6,996	-739	16,535	13,048	-3,487	
FRANCHISE RECORDING FEE	1914	300		-300	500		-500	
CONTAINER REGIST. FEE	1915		50	50		50	50	
COMM FOR JUSTICE PEACE ET	1916	7,083	8,175	1,092	14,166	14,700	534	
AIR & SEAPLANE BASE LICEN	1935	233		-233	466		-466	
AIRCRAFT DEALERS LICENSE	1936	433	150	-283	866	150	-716	
LIC OPER WATER TREAT PLAN	1950		265	265		280	280	
<b>19 OTHER TAXES</b>		<b>15,784</b>	<b>15,636</b>	<b>-148</b>	<b>32,533</b>	<b>26,439</b>	<b>-6,094</b>	
MISCELLANEOUS FINES	2001	2,300,412	2,828,108	527,696	4,175,724	4,454,894	279,170	
DOT FINES	2002	-157,500	-166,791	-9,291	-283,500	-319,460	-35,960	
IFW FINES	2012	-1,750		1,750	10,850		-10,850	
PUC FINES	2017	-65,000	-90,632	-25,632	-117,000	-190,018	-73,018	

Attachment A  
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PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

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	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
KEEP MAINE SCENIC FINES	2020	-183	-1,113	-930	-366	-1,446	-1,080	
LOCAL ORDINANCE FINES	2021	-10,000		10,000	-18,000	-150	17,850	
SURCHARGE FUND	2076	-38,000	-41,402	-3,402	-68,400	-83,839	-15,439	
SURCHARGE-COURT ADMIN	2077	-16,250	-18,544	-2,294	-29,250	-37,365	-8,115	
COLLECTION EXPENSE	2078	-190	-108,675	-108,485	-380	-74,306	-73,926	
SURCHARGE-PUBLIC SAFETY	2079	-16,250	-18,575	-2,325	-29,250	-37,392	-8,142	
DEP FINES	2081	118,833	41,634	-77,199	149,666	54,408	-95,258	
IFW FINE WATERCRAFT	2082	4,750	-595	-5,345	3,750	-595	-4,345	
TOBACCO ENFORCEMENT	2086	-4,000	-18,378	-14,378	-7,200	-35,318	-28,118	
TOBACCO LICENSING VIOLAT	2087	-1,241		1,241	-2,482		2,482	
LATE FEES	2090					412	412	
CHARGE FOR RETURNED CHECKS	2094	5,000	6,420	1,420	10,000	11,500	1,500	
<b>20 FINES, FORFEITS AND PENALTIES</b>		<b>2,118,631</b>	<b>2,411,457</b>	<b>292,826</b>	<b>3,794,162</b>	<b>3,741,325</b>	<b>-52,837</b>	
INT BANK BALANCES	2101		17	17		17	17	
EARNINGS ON INVESTMENTS	2105	833,333	1,989,150	1,155,817	833,333	1,989,150	1,155,817	
<b>21 REVENUE FROM USE OF MONEY</b>		<b>833,333</b>	<b>1,989,167</b>	<b>1,155,834</b>	<b>833,333</b>	<b>1,989,167</b>	<b>1,155,834</b>	
DISTRIBUTED REVENUE-FED	2223	26,726		-26,726	53,452		-53,452	
FED GRANTS FOR OTHER PURP	2226	724,397	57,958	-666,439	1,448,795	129,244	-1,319,551	
SERV FEES FEDERAL GOVERN	2296	23,811	13,268	-10,543	61,622	31,136	-30,486	
<b>22 FEDERAL</b>		<b>774,934</b>	<b>71,226</b>	<b>-703,708</b>	<b>1,563,869</b>	<b>160,380</b>	<b>-1,403,489</b>	
PRO RATA SHARE OF ADMIN C	2461	167		-167	334		-334	
<b>24 CITIES AND TOWNS</b>		<b>167</b>		<b>-167</b>	<b>334</b>		<b>-334</b>	
REIMBURSEMENT OF FIRE FIGHTING COSTS			110	110		110	110	
CHILD SUPPORT COLLECTION	2520	39,833		-39,833	79,667		-79,667	
PRIV CONTR FOR OTHER PURP	2526	8,000	3,726	-4,274	17,000	10,547	-6,453	
O A S I PAYMENTS	2543	104,167	107,676	3,509	208,334	483,301	274,967	
<b>25 PRIVATE SOURCES</b>		<b>152,000</b>	<b>111,512</b>	<b>-40,488</b>	<b>305,001</b>	<b>493,958</b>	<b>188,957</b>	
RENT OF LANDS	2601					834	834	
RENT OF BLDGS	2602	650		-650	650		-650	
RENT OFFICES ROOMS	2603	1,667		-1,667	3,334	1,547	-1,787	
USE OF CONCESSIONS	2604	5,000	5,178	178	9,000	6,204	-2,796	
RECREATIONAL USE OF PARKS	2610	567,000	387,099	-179,901	867,100	591,111	-275,989	
JURY DUTY REIMB	2611	5	72	67	9	257	248	
CARE AND TREATMENT ARC	2614	44,316	42,922	-1,394	88,632	82,856	-5,776	
MISC RENTS & LEASES	2616		866	866	1	1,042	1,041	
APPLIC & EXAM FEES	2619		4,208	4,208		2,209	2,209	
LAB SERVICES RENDERED	2622	167	645	478	333	645	312	

Attachment A  
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BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
 Fund.....: 010 GENERAL FUND

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	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
LEGAL SERVICES RENDERED	2623		25,000	25,000		28,000	28,000	
HOSP SERV RENDERED AUGUST	2625					160	160	
MEDICAL SERVICES RENDERED	2627					246	246	
HOSP SERV RENDERED PINELA	2629	36,480	37,726	1,246	72,960	76,275	3,315	
RECVNG FILNG RECORD DEEDS	2630	29,500	60,178	30,678	59,100	106,259	47,159	
REGISTRATION FEES	2631	1,324	3,491	2,167	8,108	5,510	-2,598	
FILING FEES	2632	92,000	105,555	13,555	224,800	225,517	717	
HOSP SERV RENDERED LEVSON	2634	79,482	82,762	3,280	158,963	164,997	6,034	
TUITION FEES	2635		625	625		1,375	1,375	
WITNESS FEES	2636		33	33		202	202	
MISC SERVICES & FEES	2637	38,316	56,199	17,883	95,127	95,409	282	
MEDICAID CRISIS WORKERS	2639	17,436	5,788	-11,648	34,872	10,789	-24,083	
CERTIFIED DOCUMENT FEES	2642	2,917	3,395	478	5,833	6,100	267	
EMPLOYEE MAINTENANCE	2645		5,575	5,575		11,337	11,337	
CARE OF CHILDREN	2646	70,197		-70,197	140,394		-140,394	
TESTING FEES	2647	200		-200	400		-400	
SALE OF MAPS	2657	194		-194	322		-322	
SALE OF BOOKS	2658	1,737	3,276	1,539	1,776	7,167	5,391	
SALE OF PRINTS	2660				430	416	-14	
SALE OF SUPPLIES	2663	395	911	516	420	1,344	924	
SALE MAILING LISTS	2669	2,155	2	-2,153	4,235	82	-4,153	
SALE GREASE TALLOW	2671	500		-500	1,500		-1,500	
SALE OF MEALS	2674	161	154	-7	323	307	-16	
SALE OF LODGING AND MEALS	2676	9,008	13,050	4,042	25,345	33,490	8,145	
AUDIT RETURNS	2680		281	281	18,750	281	-18,469	
OVERPAYMENTS TO BE REFUND	2681	30,000	-19	-30,019	30,000	-433	-30,433	
SALE OF PUBL/COPIES/CERTS	2685	425	789	364	850	575	-275	
MISCELLANEOUS INCOME	2686	510,541	31,968	-478,573	521,582	66,998	-454,584	
CASH OVER SHORT FORGN EX	2689		-1,002	-1,002		-1,002	-1,002	
RECOVERED COST	2690		1,340	1,340	25,000	1,655	-23,345	
SERV AND FEES CHG OTHER D	2691	7,046	4,924	-2,122	15,092	11,448	-3,644	
MR MED WAIVER-CASE MGMT	2695	467,006	828,518	361,512	934,012	1,194,337	260,325	
NON-WAIVER CASE MGMT	2696	33,548	23,690	-9,858	67,096	58,374	-8,722	
MEDICAID PROF SUPPORT SER	2697	20,874	9,344	-11,530	41,748	12,022	-29,726	
ADMIN/COST ALLOCATION	2698					767,895	767,895	
<b>26 SERVICE CHARGES FOR CURR. SERV</b>		<b>2,070,247</b>	<b>1,744,543</b>	<b>-325,704</b>	<b>3,458,097</b>	<b>3,573,837</b>	<b>115,740</b>	
INDIVIDUAL INCOME TAX	2702	-1,544,951	-2,369,972	-825,021	-4,722,453	-5,140,097	-417,644	
CORPORATE INCOME TAX	2703	-247,346	469,471	716,817	-423,963	300,074	724,037	
SALES TAX TRANSFER TO REVENUE SHARING	2705	-1,699,337	-1,977,128	-277,791	-5,344,122	-5,919,096	-574,974	
TRANSFER ALCOHOL PREMIUM	2705	132,000	144,537	12,537	292,500	311,990	19,490	
TRANS FROM LIQUOR COMM	2706	1,773,159	2,007,050	233,891	3,929,159	4,439,980	510,821	
TRANS FROM LOTTERY COMM	2707	3,159,551	2,988,581	-170,970	6,315,305	6,358,060	42,755	
STACAP - FROM HIGHWAY FND	2718	152,820	155,662	2,842	373,560	569,504	195,944	
CONT FROM OTHER SPEC REV	2719	2,500		-2,500	2,500		-2,500	
STACAP - OTH SPCL REV FND	2721	131,600	142,157	10,557	300,800	506,978	206,178	

Attachment A  
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PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

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	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
FED BLOCK GRANT ST CAP	2728	18,630	17,510	-1,120	49,680	62,966	13,286	
STACAP - FEDRL EXPEND FND	2729	173,700	202,905	29,205	328,100	771,447	443,347	
STACAP - ENTERPRISE FUNDS	2730	31,860	28,884	-2,976	67,260	68,184	924	
STACAP - INTRAGOVMTL FNDS	2731	24,000	46,344	22,344	45,000	110,631	65,631	
STA-CAP HIGHWAY BOND FUND	2736		62	62		62	62	
<b>27 CONTRIB AND TRANSFERS</b>		<b>2,108,186</b>	<b>1,856,063</b>	<b>-252,123</b>	<b>1,213,326</b>	<b>2,440,683</b>	<b>1,227,357</b>	
SALE OF EQUIPMENT	2806	600		-600	1,200		-1,200	
SALE OF STUMPAGE	2816					393	393	
SALE OF AUTOS	2821	500		-500	1,000		-1,000	
OTHER SETTLEMENTS	2830		700	700		700	700	
<b>28 SALES AND COMP LOSS OF PROP</b>		<b>1,100</b>	<b>700</b>	<b>-400</b>	<b>2,200</b>	<b>1,093</b>	<b>-1,107</b>	
<b>**** Total ****</b>	<b>9999</b>	<b>90,233,097</b>	<b>115,950,339</b>	<b>25,717,242</b>	<b>243,255,675</b>	<b>269,529,376</b>	<b>26,273,701</b>	

Attachment A  
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PRELIMINARY; SUBJECT TO CHANGE

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 012 HIGHWAY FUND

Page: 1  
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	RSRC	AUGUST			YEAR-TO-DATE			Source Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance	
USE FUEL TAX	0311	-1,107,782	-448,907	658,875	1,419,839	578,002	-841,837	
REFUNDS USE FUEL TAX	0312	-285,000	-394,025	-109,025	-311,000	-556,201	-245,201	
TAX INT COMB ENGINE FUEL	0321	1,835,989	1,532,920	-303,069	12,411,207	12,305,249	-105,958	
GAS TAX REFUNDS	0322	-42,834	-41,727	1,107	-64,564	-122,446	-57,882	
DIESEL OFF-HIGHWAY	0325	-590,522	-284,479	306,043	-855,088	-351,804	503,284	
USE FUEL PENALTY AND INT	0326	9,399		-9,399	15,698	320	-15,378	
GAS TAX REFUNDS AERONAUTI	0332					-44	-44	
GASOLINE SHRINKAGE	0333	-76,859	-96,731	-19,872	-87,904	-97,209	-9,305	
<b>03 GASOLINE TAX</b>		<b>-257,609</b>	<b>267,051</b>	<b>524,660</b>	<b>12,528,188</b>	<b>11,755,867</b>	<b>-772,321</b>	
REGISTRATION MOTOR VEHICL	1501	3,874,012	3,985,311	111,299	7,224,509	6,789,698	-434,811	
AUTO DRIVERS LICENSES	1502	490,000	552,255	62,255	880,000	960,493	80,493	
LICENSE RESTORATION FEES	1503	100,000	129,500	29,500	195,000	228,880	33,880	
TITLE LAW	1504	485,000	533,668	48,668	870,000	1,016,338	146,338	
INITIAL PLATE FEES	1505	15,000	87,545	72,545	39,000	173,945	134,945	
OPERATORS EXAM FEES	1506	70,000	70,623	623	138,000	133,083	-4,917	
FEES TEMP DEALERS PLATES	1507	14,000	20,101	6,101	30,000	32,702	2,702	
RES NUMBER FEES	1508	2,800	50,620	47,820	5,700	113,195	107,495	
DUP AUTO PLATES	1509	15,000	9,825	-5,175	30,000	17,565	-12,435	
DUPLICATE TABS	1510	800	815	15	1,700	1,405	-295	
DUP CERTIFICATE	1511	57,000	53,199	-3,801	100,000	94,002	-5,998	
REGISTRATION INCREASE	1512	104,000	53,483	-50,517	161,000	113,854	-47,146	
REG PLATE EQUIP & PROD PRG	1520	102,000		-102,000	207,000		-207,000	
IFTA APPL FEE	1525	800	2,387	1,587	1,300	2,907	1,607	
FUEL USE PERMITS	1530	500		-500	1,000		-1,000	
FEE CERTIFICATE PERMITS	1531	55,000	61,705	6,705	92,000	116,417	24,417	
TRANSPORTER PERMITS	1532	6,700	6,010	-690	12,300	10,292	-2,008	
TRANSFER FEE	1533	72,000	61,732	-10,268	127,000	101,073	-25,927	
MOTOR VEH REFUNDS	1541		644	644		3,526	3,526	
ENVIRONMENTAL PLATES	1596		53,497	53,497		53,497	53,497	
UNIVERSITY OF MAINE LICENSE PLATES			9,710	9,710		16,635	16,635	
<b>15 M/V LICENSE RELATED FEES</b>		<b>5,464,612</b>	<b>5,742,630</b>	<b>278,018</b>	<b>10,115,509</b>	<b>9,979,507</b>	<b>-136,002</b>	
M V INSPECTION STICKERS	1922	129,500	168,583	39,083	216,500	264,230	47,730	
DRIVER EDUCA COMMER LICEN	1924	700	250	-450	1,800	1,000	-800	
DRIVERS EDUCA INSTR LICEN	1925	1,500	2,260	760	3,000	4,320	1,320	
INSPECTION MECHANIC'S CET	1926	180	157	-23	180	244	64	
TRAFFIC MOVEMENT PERMITS	1929	4,500	1,046	-3,454	5,000	1,046	-3,954	
OTHER PERMITS	1930		15	15		15	15	
PERMIT TO OPEN HWYS	1931	10,000	2,444	-7,556	20,000	10,729	-9,271	
PERMIT TO USE HWYS COMM	1932	42,000	62,611	20,611	82,000	121,098	39,098	
<b>19 OTHER TAXES</b>		<b>188,380</b>	<b>237,366</b>	<b>48,986</b>	<b>328,480</b>	<b>402,682</b>	<b>74,202</b>	
DOT FINES	2002	175,000	166,791	-8,209	350,000	319,460	-30,540	

Attachment B

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
 Fund.....: 012 HIGHWAY FUND

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 Program: c01.sqr

	RSRC	AUGUST			YEAR-TO-DATE			Source	Acct
		Estimated Collections	Actual Receipts	Monthly Variance	Estimated Collections	Actual Receipts	Year-to-Date Variance		
20 FINES, FORFEITS AND PENALTIES		175,000	166,791	-8,209	350,000	319,460	-30,540		
EARNINGS ON INVESTMENTS	2105	150,000	307,474	157,474	150,000	307,474	157,474		
21 REVENUE FROM USE OF MONEY		150,000	307,474	157,474	150,000	307,474	157,474		
SERVICES OUTSIDE AGENCIES	2438		36	36		408	408		
SERV & FEES CHGD CTYS TWN	2498		129	129		129	129		
24 CITIES AND TOWNS			165	165		537	537		
PRIV CONTR FOR OTHER PURP	2526		-2,186	-2,186					
25 PRIVATE SOURCES			-2,186	-2,186					
JURY DUTY REIMB	2611	300	100	-200	600	134	-466		
INSPECTION SERVICES	2621	500		-500	1,000		-1,000		
WITNESS FEES	2636		34	34		83	83		
MISC SERVICES & FEES	2637	301,166	264,418	-36,748	541,666	614,791	73,125		
IDENT CARD FEES	2638	5,000	7,635	2,635	12,700	13,755	1,055		
SALE OF PLANS AND SPECIFA	2656	3,500	4,720	1,220	12,500	7,664	-4,836		
SALE OF BOOKS	2658	490	665	175	800	840	40		
SALE OF PRINTS	2660	645		-645	645	624	-21		
SALE OF PUBL/COPIES/CERTS	2685	100	762	662	200	762	562		
MISCELLANEOUS INCOME	2686	132,707	57,493	-75,214	232,307	107,100	-125,207		
CASH OVER SHORT FORGN EX	2689		2,162	2,162		1,046	1,046		
SERV AND FEES CHG OTHER D	2691	27,870	21,006	-6,864	53,050	38,076	-14,974		
26 SERVICE CHARGES FOR CURR. SERV		472,278	358,995	-113,283	855,468	784,875	-70,593		
SALE OF LAND	2802	1,000	2,200	1,200	2,000	2,200	200		
SALE OF AUTOS	2821				45,000		-45,000		
OTHER SETTLEMENTS	2830	100		-100	1,100		-1,100		
INSURANCE SETTLEMENT OTHE	2832	500		-500	1,000		-1,000		
28 SALES AND COMP LOSS OF PROP		1,600	2,200	600	49,100	2,200	-46,900		
**** Total ****	9999	6,194,261	7,080,486	886,225	24,376,745	23,552,602	-824,143		

Attachment B  
 (cont'd.)

## UAL History

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 9/21/99

**UNFUNDED ACTUARIAL LIABILITY (UAL)**  
**RECENT HISTORY**  
 (all amounts in millions)

Fiscal Period	UAL Beginning Balance	UAL Projected Increase	UAL Actual Increase/(Decrease)	UAL Ending Balance
1994-95	\$2,828	\$106	(\$36)	\$2,792
1995-96	\$2,792	\$101	\$97	\$2,889
1996-97	\$2,889	\$103	(\$277)	\$2,612
1997-98	\$2,612	\$91	(\$158)	\$2,454

## Notes on UAL Changes:

1994-95 - \$71m of the decrease resulted from asset gain (investment return); other gains from differences between assumptions and actual experience in certain areas, including salary increases that were lower than expected.

1995-96 - \$127m decrease from asset gain; \$89m increase from prefunding ancillary benefits; the other increase, \$34m, from assumption v. actual differences, primarily in teacher group.

1996-97 - \$217m decrease from asset gain; remaining decrease, \$163m from assumption v. actual differences.

1997-98 - \$287m decrease from asset gain; \$98m decrease from assumption v. actual differences; \$136m increase from changes in assumptions.

Source: Maine State Retirement System Valuations

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9/21/99

**Maine State Retirement System  
Amortization of 7/1/98 Unfunded Actuarial Liability  
Amortized over 25 years from 7/1/98**

Fiscal Year	Total Unfunded Actuarial Liability	Total Payment
1999-00	2,511,497,702	140,519,293
2000-01	2,565,935,342	148,247,854
2001-02	2,616,671,474	156,401,486
2002-03	2,662,966,868	165,003,567
2003-04	2,703,998,785	174,078,764
2004-05	2,738,852,958	183,653,096
2005-06	2,766,514,848	193,754,016
2006-07	2,785,860,141	204,410,487
2007-08	2,795,644,384	215,653,064
2008-09	2,794,491,714	227,513,982
2009-10	2,780,882,599	240,027,251
2010-11	2,753,140,489	253,228,750
2011-12	2,709,417,311	267,156,331
2012-13	2,647,677,687	281,849,929
2013-14	2,565,681,776	297,351,676
2014-15	2,460,966,636	313,706,018
2015-16	2,330,825,952	330,959,849
2016-17	2,172,288,023	349,162,640
2017-18	1,982,091,839	368,366,586
2018-19	1,756,661,103	388,626,748
2019-20	1,492,076,013	410,001,219
2020-21	1,184,042,628	432,551,286
2021-22	827,529,601	456,341,607
2022-23	418,382,078	481,440,395
2023-24	0	0
		6,680,005,894

Source: Milliman & Robertson, Inc. (Actuary for the Maine State Retirement System)

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**SUPPLEMENTAL TRANSFERS/APPROPRIATIONS  
 TO THE MAINE STATE RETIREMENT SYSTEM**

<i>Fiscal Year</i>	<i>Amount Transferred Pursuant to 5 MRSA §1517 <sup>1</sup></i>		<i>Amount Appropriated</i>	<i>Reference</i>
1994-95	\$1,620,576	<sup>2</sup>		
1995-96	\$7,206,796	<sup>3</sup>	\$2,427,518	PL 1997, C. 1, § A-1
1996-97	\$14,892,189			PL 1997, C.24, § E-1
1997-98	\$11,737,572			
1998-99	\$19,654,339		\$1,000,000	PL 1999, C.4, § A-1

Total payments to the Maine State Retirement System during this 5-year period were approximately \$58.5 million, and reduced payments toward the unfunded liability by approximately \$200 million.

- <sup>1</sup> Enacted by PL 1995, C.464, effective July 3, 1995
- <sup>2</sup> According to the FY 95 Single State Audit, the FY 95 transferred amount was based on preliminary revenue amounts and was overstated by \$600,000.
- <sup>3</sup> The FY 96 transferred amount was adjusted downward by \$600,000 to account for the FY 95 overstatement.



# MAINE STATE RETIREMENT SYSTEM

Kay R. H. Evans, *Executive Director*  
Gail Drake Wright, *Chief Deputy Director*

BOARD OF TRUSTEES

David S. Wakelin, *Chair*  
John H. Kimball, *Vice Chair*  
George A. Burgoyne  
Charles M. Jackson  
Peter M. Leslie  
Eunice Mercier  
Catherine R. Sullivan

*Ex-officio Member*  
Dale McCormick,  
*State Treasurer*

Attachment C  
< p. 4 >

DATE: September 3, 1999

TO: Grant Pennoyer, Principal Analyst, Office of Fiscal & Program Review

FROM: *Gail Drake Wright*  
Gail Drake Wright, Chief Deputy

RE: Unfunded Liability Question

For future reference I wanted to let you know the answer to your question about the "worth" of \$1 million thrown at the unfunded liability over the amortization period. As I mentioned to you on the phone, this answer falls out of each annual valuation that is done by the System's actuary and changes with each valuation. The latest valuation that we have is for 1998. The 1999 valuation is expected later this fall. Based on the 1998 valuation, \$1 million put against the unfunded liability saves \$2.8 million in interest costs over the amortization period. (By the way, our actuary always insists on pointing out that the worth of one dollar put into the fund today is one dollar.)

When we receive the 1999 valuation we will determine an updated number, which I will send to you.

LOCATION: Two Central Plaza, Corner of Capitol & Sewall St., Augusta, Maine  
MAILING ADDRESS: 46 State House Station, Augusta, Maine 04333-0046

TELEPHONE: (207) 287-3461 or toll free 800-451-9800

TDD: (207) 287-8446

FAX: (207) 287-1032

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 Updated: 4/6/1995 (Revised: 9/22/1999)

**MAINE STATE RETIREMENT SYSTEM**  
**Effect of \$1 M Payment Towards Unfunded Liability**  
**Amortization Factor**

	Value of \$1M Payment	Reduction of UAL Payments	Value of \$1M Payment	Reduction of UAL Payments	Value of \$1M Payment	Reduction of UAL Payments
FY1995-96	1,000,000	43,445				
FY1996-97	1,036,565	46,052	1,000,000	44,428		
FY1997-98	1,073,428	48,815	1,035,572	47,093	1,000,000	45,476
FY1998-99	1,110,487	51,744	1,071,325	49,919	1,034,524	48,204
FY1999-00	1,147,583	54,848	1,107,112	52,914	1,069,082	51,096
FY2000-01	1,184,541	58,139	1,142,767	56,089	1,103,513	54,162
FY2001-02	1,221,166	61,627	1,178,100	59,454	1,137,632	57,412
FY2002-03	1,257,231	65,325	1,212,894	63,021	1,171,230	60,857
FY2003-04	1,292,485	69,245	1,246,904	66,803	1,204,072	64,508
FY2004-05	1,326,639	73,399	1,279,854	70,811	1,235,890	68,378
FY2005-06	1,359,871	77,803	1,311,431	75,059	1,266,383	72,481
FY2006-07	1,390,317	82,471	1,341,286	79,563	1,295,213	76,830
FY2007-08	1,419,071	87,420	1,369,026	84,337	1,322,000	81,440
FY2008-09	1,445,177	92,665	1,394,212	89,397	1,346,320	86,326
FY2009-10	1,468,127	98,225	1,416,352	94,761	1,367,699	91,506
FY2010-11	1,487,352	104,118	1,434,899	100,446	1,385,610	96,996
FY2011-12	1,502,222	110,365	1,449,245	106,473	1,399,462	102,816
FY2012-13	1,512,034	116,987	1,458,711	112,862	1,408,604	108,985
FY2013-14	1,516,010	124,006	1,462,546	119,633	1,412,307	115,524
FY2014-15	1,513,284	131,447	1,459,917	126,811	1,409,768	122,455
FY2015-16	1,502,900	139,334	1,449,899	134,420	1,400,094	129,803
FY2016-17	1,483,798	147,694	1,431,471	142,485	1,382,299	137,591
FY2017-18	1,454,808	156,555	1,403,503	151,034	1,355,292	145,846
FY2018-19	1,414,638	165,949	1,364,749	160,096	1,317,869	154,597
FY2019-20	1,361,860	175,906	1,313,833	169,702	1,268,702	163,873
FY2020-21	1,294,903	186,460	1,249,237	179,884	1,206,325	173,705
FY2021-22	1,212,036	197,648	1,169,292	190,677	1,129,126	184,127
FY2022-23	1,111,351	209,506	1,072,158	202,118	1,035,329	195,175
FY2023-24	990,753	222,077	955,813	214,245	922,980	206,886
FY2024-25	847,936	235,401	818,033	227,100	789,933	219,299
FY2025-26	680,370	249,525	656,376	240,728	633,829	232,457
FY2026-27	405,274	264,497	468,160	255,169	452,079	246,404
FY2027-28	259,599	280,367	250,444	270,479	241,841	261,188
FY2028-29	0	0	0	0	0	0
		\$4,229,065		\$4,038,011		\$3,856,403

Value of \$1M Payment = Value at the beginning of the fiscal year.

UAL = Unfunded Actuarial Liability

Source: Milliman & Robertson, Inc. (Actuary for the Maine State Retirement System)

# COMMITTEE ON ALLOCATION OF LEGISLATIVE SPACE

## Report to the Legislative Council September 22, 1999

- Committee has met with the Governor and, again, today to try and complete our work in the South Wing.
- Governor indicated that he is not supportive of any reuse of the Law Library - either for his use or any other legislative use.

Implication of this decision is that we need to rethink how we use space in the State Office Building if we are to assure good, healthy working conditions for legislators and legislative staff.

- With the Governor's position in mind, the Committee has agreed to recommend the following to you.

1. That, in addition to Rooms 221 and 223 (which was previously assigned to the Taxation Committee), the Governor's staff be allocated Room 225, which was formerly part of the Office of Fiscal and Program Review.

This will give the Governor a total of 1,189 square feet in the South Wing for a proposed full-time staff of 7-8 people, yielding an aver of 148.6 square feet per person.

2. That the Engrossing Division be assigned to Rooms 120A and 120B on a temporary basis until we have completed renovation of the North Wing.
3. That the OPLA Staff who are currently in Room 135 be assigned to one of the portable units for the 2nd Regular Session.
  - The implication of the Governor's position on the Law Library space is that we are going to have to move one staff office to the State Office Building.
  - Acknowledge Dave Boulter's cooperative spirit
    - He would prefer to stay in the State House, but his higher priority is to have all of his staff in a single, contiguous area.
4. That Sally and Dave be authorized to work with Rick Burt and the architects for the State Office Building to analyze the best way to accommodate OPLA in the State Office Building and present plans and options to the Committee for review and final approval by the Council.

## PERSONNEL COMMITTEE

### Report to the Legislative Council September 22, 1999

The Personnel Committee met on Friday, September 10. The primary purpose of this meeting was to meet with Julie Armstrong, the attorney whom we have now hired to guide us through the development of a framework for collective bargaining, pursuant to the Council's approval at our August meeting.

- Julie provided Committee members with an overview of the law, which focused on the Council's role as managers in this process, working in concert with Sally and the Directors of our non-partisan staff offices. She has since had a similar session with the Staff Office Directors.
- Our next step will be to schedule a training session for the Directors and all Council members, whose purpose will be to insure that we have the information we need carry out our responsibilities in a manner that is both consistent with the law and that assures a fair and open process.

#### Employee Recognition Day

I would like to remind everyone that Senate President Lawrence and I have scheduled our annual Employee Recognition Day for **Wednesday, October 6, beginning at 1:00 p.m.**

I understand that the 118th Council established this tradition last year and that it was very successful. It is an opportunity to recognize the service and dedication of all our legislative employees. It is an **important** opportunity, and I encourage all members of the Council to attend.

#### NCSL Classification and Compensation Study

We are in the process of scheduling a Committee meeting to continue our review of NCSL's report and to develop a proposal for implementation, which we hope to present to the Council later in October.

**LEGISLATIVE COUNCIL  
LEGISLATIVE SUMMIT**

**Registration as of September 20**

**Leaders Forum, Sunday, September 26**

Senators: Amero

Representatives: Rowe; Saxl; Murphy; Shiah; Campbell

Staff: O'Brien; Mayo; DelFranco  
Boulter; Clair; Matheson; Mayotte; Randall; Tubbesing  
Bigos; Kelleher

**Legislative Summit, Monday, September 27**

67 legislators and 9 members of the legislative staff have registered to date.

	a.m.	p.m.
Enhancing Maine Capital -- the Link Between Education & Income	34	9
The Link Between Physical, Mental and Social Health and Income	6	9
How Do You Know When Incentives and Regulation Work? -- Measuring Impacts and Ensuring Accountability	16	18
How Maine is Connected to the Work and Implications for Growth -- Transportation, Energy, and Telecommunications	15	31

**LEGISLATIVE SUMMIT****September 26-27, 1999**

*Sponsored jointly by:  
Edmund S. Muskie School of Public Service  
Margaret Chase Smith Center for Public Policy*

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**LEADERS FORUM**  
**Sunday, September 26, 1999****THEME:**

How to create a "best in the nation" legislative process, consistent with Maine's history of a part-time, citizen-based Legislature *in an era of term limits.*

**AGENDA:**

- 10:00 - 10:30 a.m. *Registration/Coffee (and time to tour the grounds)*
- 10:30 - 11:45 p.m. **Session I: The effects and experience of term limits**
- 11:45 - 12:45 p.m. *Lunch*
- 12:45 - 2:00 p.m. **Session II: Enhancing the procedures and structure of Maine's Legislature**
- 2:00 - 2:15 p.m. *Break*
- 2:15 - 3:30 p.m. **Session III: Enhancing the education/training for new legislators**

**RESOURCES:**

*Barton Wechsler, Dean & Professor, Edmund S. Muskie School of Public Service, USM*

*Matthew Moen, Professor of Political Science, Department of Political Science, UM*

*Kenneth Palmer, Professor of Political Science, Department of Political Science, UM*

*Charles Colgan, Professor of Public Policy and Management, Edmund S. Muskie School of Public Service, USM*

*James Claire, Director, Office of Fiscal and Program Review*

*Deirdre M. Mageean, Interim Director, Margaret Chase Smith Center for Public Policy & Associate Professor of Resource Economics and Policy, UM*

**LEGISLATORS FORUM**  
**Monday, September 27, 1999**

**THEME: Increasing Maine's per capita income**

**SPECIFIC TOPICS:**

- **Enhancing Maine's Human Capital — The Link Between Education And Income**

*Moderator: Deirdre M. Mugeean, Inetim Director, Margaret Chase Smith Center for Public Policy & Associate Professor of Resource Economics and Policy, UM*

*David Silvernall, Director, Center for Educational Policy, Applied Research and Evaluation & Professor of Educational Research and Evaluation, USM*

*Russ Quaglia, Director, National Center for Student Aspirations & Professor of Education Leadership, UIM*

*Jim Breece, Associate Professor of Economics, UM*

- **The Link Between Physical, Mental, and Social Health and Income**

*Moderator: Andrew Coburn, Associate Dean, Edmund S. Muskie School of Public Service & Director, Institute for Health Policy, USM*

*Gail Werrbach, Associate Professor of Social Work, UM*

*Freda Bernotavicz, Director, Institute for Public Sector Innovation and Research, USM*

*Marcella Sorg, Director, Interdisciplinary Training for Health Care in Rural Areas Project, Margaret Chase Smith Center for Public Policy & Associate Professor of Anthropology, UM*

*Paul Saucier, Senior Policy Analyst, Institute for Health Policy, USM*

- **How Do You Know When Incentives and Regulation Work? — Measuring Impacts and Ensuring Accountability**

*Moderator: Barton Wechsler, Dean & Professor, Edmund S. Muskie School of Public Service, USM*

*Todd Gabe, Assistant Professor of Resource Economics and Policy, UM*

*Mark Lapping, Provost, Vice President for Academic Affairs & Professor, USM*

*James Claire, Director, Office of Fiscal and Program Review*

- **How Maine Is Connected to the World and the Implications for Growth — Transportation, Energy, and Telecommunications**

*Moderator: Jonathan Rubin, Research Associate, Margaret Chase Smith Center for Public Policy & Assistant Professor of Resource Economics and Policy, UIM*

*Charles Colgan, Professor of Public Policy and Management, Edmund S. Muskie School of Public Service, USM*

*George Markowsky, Professor of Computer Science, UM*

*Richard Hill, Director Emeritus, Department of Industrial Cooperation & Professor Emeritus of Mechanical Engineering, UM*

**AGENDA:**

9:00 - 9:15 a.m. Welcome & Introductions

*Mark Lawrence, Senate President*

*G. Steven Rowe, Speaker of the House*

*Deirdre M. Mageean, Interim Director, Margaret Chase Smith Center for Public Policy & Associate Professor of Resource Economics and Policy, UM*

9:15 - 10:00 a.m. Keynote Speaker

*Yolanda Kadrycki,*

*Assistant Vice President & Economist, Federal Reserve Bank of Boston*

10:00 - 10:15 a.m. Question & Answer Session

10:15 - 10:30 a.m. Break

10:30 - 12:00 p.m. Morning Session (four sessions offered concurrently)

Each session will explore new ways of thinking about the issue at hand, and will examine the range of possible policy directions that stem from this best available knowledge. The purpose of the sessions *will not be* to come to consensus about what are the "best" possible courses of action. Rather, they will be forward thinking and focused on new innovations in policy development.

12:00 - 1:30 p.m. Lunch

1:30 - 3:00 p.m. Afternoon Session (four sessions repeated)

3:00 - 3:15 p.m. Break

3:15 - 4:00 p.m. Reflection on the Dialogue: What Have We Learned and Where Do We Go From Here?

*Elizabeth Mitchell, Senior Fellow, Edmund S. Muskie School of Public Service, USM*

*Mark Lawrence*

*G. Steven Rowe*

**Natural History Dioramas  
Budget/Expenditure Analysis for Removal and Restoration  
as of September 20, 1999**

**Note:** These figures do **not** include costs associated with re-installation, which cannot be estimated until a specific location has been designated and the appropriate architectural and engineering studies completed.

	Budget	Actual Data	Balance
<b>Project Planning &amp; Management</b> (Tuckerbrook Conservation)	\$ 23,400.00	\$13,585.00	\$ 9,815.00
<b>Paint Conservation &amp; Restoration</b> (Williamstown Art Conservation Center)	20,000.00	9,404.50	10,595.50
<b>Restoration of Flora &amp; Fauna</b>	37,900.00	(future)	37,900.00
<b>Structural Engineer</b>	6,000.00	6,375.00	( 375.00)
<b>Testing</b>	2,500.00	1,669.00	831.00
<b>Moving &amp; Storage Costs</b>	14,500.00	9,715.48*	4,784.52
<b>Misc. Construction Support</b> (Granger Northern)	10,000.00	12,972.88	(2,972.88)
<b>Archival Photos/Documentation</b>	1,500.00	1,309.13	190.87
<b>Contingency (15%)</b>	17,370.00		
<b>Materials and Supplies</b>	-- --	10,890.34	(1,520.34)
<b>Foam Insulation</b>	-- --	<u>8,000.00</u>	<u>-- --</u>
<b>Total</b>	<b>\$133,170.00</b>	<b>\$73,921.33</b>	<b>\$59,248.67</b>