

# MAINE STATE LEGISLATURE

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**LEGISLATIVE COUNCIL  
AGENDA  
September 22, 1999**

**CALL TO ORDER**

**ROLL CALL**

**SUMMARY OF AUGUST 31, 1999, COUNCIL MEETING**

**REPORTS FROM EXECUTIVE DIRECTOR AND COUNCIL STAFF  
DIRECTORS**

- Interim Studies: Update
- Bill Filing: Update
- Fiscal Update

**REPORTS FROM COUNCIL COMMITTEES**

- Personnel Committee
- Committee on Allocation of Legislative Space
- Migration Project Steering Committee

**OLD BUSINESS**

Item #1: Legislative Summit: Progress Report

Item #2: State House Renovations

- a. Status and Issues
- b. Presentation of Guaranteed Maximum Price for 1999 Work
- c. Dioramas: Report on Feasibility Studies for Reinstallation

**NEW BUSINESS**

**ANNOUNCEMENTS AND REMARKS**

**ADJOURNMENT**

SEN. MARK W. LAWRENCE  
CHAIR

REP. G. STEVEN ROWE  
VICE-CHAIR



119th MAINE STATE LEGISLATURE  
LEGISLATIVE COUNCIL

SEN. CHELLIE PINGREE  
SEN. JANE A. AMERO  
SEN. ANNE M. RAND  
SEN. RICHARD A. BENNETT  
REP. MICHAEL V. SAXL  
REP. THOMAS W. MURPHY, JR.  
REP. DAVID C. SHIAH  
REP. RICHARD H. CAMPBELL

SARAH C. TUBBESING  
EXECUTIVE DIRECTOR

**MEETING SUMMARY  
AUGUST 31, 1999**

**CALL TO ORDER**

The Chair, Senate President Lawrence, called the Legislative Council meeting to order at 10:17 a.m. in the Legislative Council Chamber.

**ROLL CALL**

Senators: President Lawrence, Sen. Amero, Sen. Rand,  
Sen. Bennett  
Absent: Sen. Pingree

Representatives: Speaker Rowe, Rep. Saxl, Rep. Murphy,  
Rep. Shiah, Rep. Campbell

Legislative Officers: Joy O'Brien, Secretary of the Senate  
Joseph Mayo, Clerk of the House  
Millicent MacFarland, Assistant Clerk  
of the House  
Sally Tubbesing, Executive Director,  
Legislative Council  
James Clair, Director, Office of Fiscal  
and Program Review  
David Boulter, Director, Office of Policy  
and Legal Analysis  
Margaret Matheson, Revisor of Statutes  
Lynn Randall, State Law Librarian  
Paul Mayotte, Director, Legislative  
Information Services

**SUMMARY OF THE JULY 14, 1999 COUNCIL MEETING**

**Motion:** That the Meeting Summary be approved. (Motion by Sen. Rand; second by Rep. Campbell; unanimous).

## **REPORTS FROM EXECUTIVE DIRECTOR AND COUNCIL STAFF DIRECTORS**

- **Interim Studies: Status**

David Boulter, OPLA Director, had prepared a memo summarizing the status of appointments to the nearly 50 interim study committees that have been authorized to work during the interim. He reported that appointments are well underway and that about half of the committees have scheduled their first meetings.

No Council action was required.

- **Y2K Validation Project: Status**

Paul Mayotte reported that the testing of hardware and software applications on the Wang system has been completed successfully, and that his staff is now working to ensure that data base applications that have been developed on the Legislature's client/server network are also Y2K-compliant. The Information Systems staff is also completing its contingency planning for the December 30 - January 3 period.

- **Legislative Record**

Mr. Mayotte reported that the Record for the 114th Legislature will be available for distribution shortly; that the 8-volume 115th Record is currently being printed; and that the 116th Record is ready to print and will be turned over to the printer upon completion of the 115th Record.

- **Computer System Upgrades**

Mr. Mayotte informed Council members that about 1/3 of the Legislature's PC's would be replaced by fall, in accordance with the Information Systems plan. He stated that those PC's that are "retired" from active service are used as servers in some cases, while others are used for parts. The Information Services Office is also finalizing plans to upgrade the Microsoft Office Suite to Office 2000 beginning in the late September-early-October period.

Rep. Saxl asked what arrangements are being made to provide a "cyber room" for legislators during the coming session, and, after brief discussion, it was agreed that this item should be referred to the Committee on Allocation of Legislative Space.

- **Fiscal Update**

Jim Clair, drew Council members' attention to a briefing package. He reported that the final revenue figures for Fiscal Year 1999 have now been released and that they track very closely with the preliminary figures that he had reported in July. He led members through summaries of the July, 1999 General Fund Revenues, the FY 99 Year-end Transfers, General Fund Status, Highway Fund Revenues and the Rainy Day Fund. Copies of these summaries are available from the Office of Fiscal and Program Review.

In the discussion that followed his presentation, Senator Bennett asked why the State Controller does not include comparable figures for the prior year on the monthly revenue report, noting that this information is very helpful. Mr. Clair replied that he would be happy to convey this information to the State Controller. Rep. Shiah then inquired about the current status of the unfunded liability in the State Retirement System. Mr. Clair responded that the unfunded liability as of June 30 was approximately \$2.4 billion. Senate President Lawrence asked Mr. Clair to prepare a graphic that depicts the unfunded liability over time for the September Council meeting; and Rep. Saxl asked that this report include background regarding “how we got there” as well.

This item required no formal Council action.

▪ **State House Renovations: Progress Report**

Sally Tubbesing opened the discussion by stating that she and the State House Renovation Team are working with the Department of Administrative and Financial Services to assure coordination wherever possible, with the objectives of minimizing disruption and identifying areas where cost savings might be realized. Stan Fairservice then updated Council members on the construction progress, reporting that lead and asbestos abatement are underway on the 2nd and 3rd floors. This process has been completed on the 4th floor, and workers have begun “building back” - trenching walls and floors for conduit and pipes and framing in ceilings. The dioramas have now moved out of the State House into storage; and the trenching for the utility pipes between the State House and State Office Building has begun. Mr. Fairservice offered to take Council members on a tour of the construction area following the Council meeting, and Speaker Rowe encouraged members to do this.

Bruce Hilfrank, Senior Project Manager for Granger Northern, Inc. reported that the demolition is about 1 week behind schedule presently, but that he has begun adding people to address this. He stressed that the build-back process cannot proceed until final decisions have been made about space allocation, noting that there are open issues on all floors at this point. President Lawrence assured Mr. Hilfrank that the Council is committed to making the decisions.

Rep. Campbell asked about the budget for this phase of the work. Mr. Hilfrank stated that Granger has not been able to finalize its Guaranteed Maximum Price (GMP) for this phase of the work since the full scope of work is not yet known. He anticipates having a final GMP to present at the September Council meeting. Rep. Campbell asked specifically about the casework involved in this project, adding that he hoped the design team was working to economize in this area. Mr. Hilfrank responded that the unit price for the Senate desks is actually below the unit price for the House desks.

• **Appropriations Committee Space**

The plan calls for enlargement of this hearing room to better accommodate the public, the addition of two “caucus offices” for committee members, and offices for the 2 Committee Chairs. Mr. Burt had a series of graphics that demonstrated the ramp that will provide handicapped access to the Committee table, as well as the riser on which the Committee table would sit. He stated that he had been asked to study additional risers. Council members’ discussion focused on the location of the handicapped ramp and the issue of putting the

committee table on a riser. Senator Rand stated that the Space Committee had had lengthy discussion about the riser, but had been convinced that it is necessary, since the room is being lengthened, to ensure good sight lines between the public and committee members.

**Motion:** That the plan be approved as presented, locating the Committee table on a single riser, and with the following amendments: 1) that the ramp and staff table be shifted in accordance with the discussion; and 2) that shelving be installed under the windows for members. (Motion by Rep. Campbell; second by Sen. Amero; unanimous).

- **Space for Office of Fiscal and Program Review**

Senator Rand stated that, after extended discussion, the Committee had voted to recommend that the Office of Fiscal and Program Review be assigned to Room 220, 222, 224 and 226, which comprises all of the South Wing Offices that had previously been occupied by some of the Governor's staff. The need to maintain clear egress paths to the fire escape significantly reduces the visible space available in Room 226. Rep. Saxl asked if the Council could separate this decision from the still-pending decisions about the space currently occupied by the Law Library, and Senator Rand responded that while some assignments must be temporary given that the renovations will span a 3-year period, it is important to make as many "permanent" decisions as possible for economic reasons.

**Motion:** That the Office of Fiscal and Program Review be assigned to Rooms 220, 222, 224 and both levels of Room 226 and that the Governor's staff be assigned to Rooms 221 and 223.

Discussion continued. Senator Bennett asked if additional space could be found on the mezzanine over Room 225. Rep. Campbell pointed out that this space is accessible only by stairs and does not, therefore, meet ADA requirements: it "works" for the Information Services staff only because they generally go to their customers. Senator Bennett asked if it would be possible to build a mezzanine over the room that was previously assigned to the Taxation Committee; and the architect, Rick Burt responded that the State Fire Marshall has essentially ruled out the construction of additional mezzanines because of emergency egress restrictions. He noted that a mezzanine in this particular space would also compromise one of the architecturally important rooms in the State House.

Rep. Murphy then turned the discussion to space for the Governor's staff, asking how much they had had in the South Wing. Senator Rand responded that the total space was over 1,600 square feet and pointed out that the proposed temporary assignment would assure more than 100 square feet per person.

The discussion resulted in an amended motion:

**Amended Motion:** That the Office of Fiscal and Program Review be assigned to Rooms 220, 222, 224 and both levels of Room 226; and that the Governor's staff be assigned to Rooms 221 and 223 with the Council's commitment that this is temporary space and the Council to continue working with the Governor's staff. (Motion by Sen. Rand; second by Rep. Campbell; approved 7-1)

Discussion related to the renovations continued. Senator Bennett asked who is making decisions about furnishings and finishes. Secretary of the Senate Joy O'Brien replied that she and Senate President Lawrence would make the final decision for the Senate Chamber and all Senate Offices and that she was close to having a plan to present to all Senate Leadership. Senator Bennett expressed an interest in being involved.

Senator Amero pursued the question of furnishings, and Secretary O'Brien replied that new furniture would be purchased and that she has involved staff in each of the Senate Offices in reviewing furnishings plans. She noted that, with the Senate President's approval, a certified interior designer has been hired to assist in developing functional furnishings plans that are appropriate for the historically-significant spaces.

### **Third Floor**

Mr. Burt briefly reviewed the proposed changes in the 3rd floor offices, all of which are assigned to the Senate and all of which have been approved by Senate leadership. Secretary O'Brien added that no changes in office assignment are contemplated, and that she is awaiting the report from Lisa Whited to finally confirm other changes.

Since these offices are all currently assigned to the Senate, no Council action was required.

### **Fourth Floor**

Mr. Burt summarized the current plans for space:

- Room 427 remains a hearing room
- Room 425 to be assigned to the senate Republican Office for use by staff in that office and for caucus activities.
- Room 423 had originally been assigned to the Press as a Satellite Office, but this area is still "on hold" pending completion of furnishings plans for all Senate Offices.
- Room 424 and 422 will be assigned to the Senate President's staff. Mr. Burt noted that Room 424 provides access to the fire escape on the west side of the State House and that a fire exit path must be maintained through this space at all times, thus limiting the usable space available.
- Room 420 will remain as a Senate Retiring Room. Secretary O'Brien reported that it is her intention to equip this as a "cyber area" with computers available for use by Senators.

**Motion:** That the proposed plans for the 4th floor rooms be approved as presented. (Motion by Sen. Amero; second by Sen. Rand; unanimous).

### **First Floor**

Speaker Rowe asked whether the Committee had designated space for both the Engrossing staff and the OPLA staff who are currently located in Room 135. Senator Rand, who was joined by Senator Amero responded that the Committee is still working on how best to do this, noting that the reassigned space available in the south wing is not sufficient to accommodate both of these offices. Senator Rand stated that she had proposed relocating

one of the two locker rooms to the State Office Building, thus freeing up some additional space on the 1st floor, but that both Secretary O'Brien and Clerk Mayo were opposed to this. Rep. Campbell expressed his agreement with the Secretary and Clerk; and Secretary O'Brien pointed out that Senator Rand's proposal would mean that 85 members would not have lockers during the coming session.

Senate President Lawrence asked about the area on the 1st floor that had been the "Cyber room" for legislators, and there appeared to be consensus that the cyber functionality could be accommodated in the Senate and House Retiring Rooms.

**Motion:** That the plans and allocation of space of 1st floor offices in the South Wing be approved and that the Space Committee return to the Council with recommendations for the Engrossing and OPLA staff groups. (Motion by Sen. Rand; second by Sen. Amero; approved 6-1).

Discussion of the renovations then turned to the West Wing, which is currently scheduled to begin as soon as the 119th Legislature adjourns next spring. Bruce Hilfrank, Granger Northern, stressed that it is not economically practical to do part of a wing, which would add another year and additional cost to the project and that the Council needs to develop a complete plan for use of space in the West Wing before final design can proceed.

Rep. Campbell asked for an update on design of the new tunnel; and, after brief discussion, the Chair, Senate President Lawrence, asked that plans for the Tunnel, including proposals for reinstalling the dioramas, be presented to the Space Committee and then to the Council at its September meeting.

## REPORTS FROM COUNCIL COMMITTEES

- Planning Committee for the Legislative Summit (Rep. Saxl, Chair)

Rep. Saxl, who chairs the Council's Planning Committee for the Summit reported that the Planning Committee had met with representatives from both the Muskie School and the Margaret Chase Smith Center to develop preliminary agendas for both the Leaders Forum on Sunday, September 26 and the Legislative Summit on Monday, the 29th. The Planning group is proposing 4 concurrent policy sessions in the morning which would be repeated in the afternoon, thus giving legislators an opportunity to attend two sessions. He turned to former Speaker Libby Mitchell and Burt Wechsler, the Dean of the Muskie School, who were present to answer questions. Rep. Campbell asked if the group had selected keynote speakers, and Dean Wechsler responded that the Committee is currently negotiating with a senior official at the Federal Reserve Bank in Boston, who has been recommended as a dynamic speaker. He added that the Committee was in the process of assembling panels for each of the four sessions. Senator Bennett expressed his regrets that he would be unable to attend the Summit due to a previous business commitment, and Speaker Rowe stated that he would work to schedule the first meeting of the Council's Subcommittee to Develop Framework for Review of the Legislative Process prior to the Summit.

This item required no further Council action.



### Migration Project Steering Committee

Senate President Lawrence, Who chairs this Committee reported that the Committee would be meeting prior to the September Council meeting to present recommendations related to how to proceed.

#### ▪ Personnel Committee

Speaker Rowe, Committee Chair, reported that he had two items to present to the Council on behalf of the Committee.

- 1) A briefing on the Classification and Compensation Study; and
- 2) A recommendation related to Collective Bargaining

### **NCSL Classification and Compensation Study**

Speaker Rowe noted that the Committee had been overseeing the review of the Legislature's classification and compensation plans and related policies. He stated that NCSL staff have been working closely with senior management staff in the Senate, House and Legislative Council offices throughout the study and had presented preliminary conclusions and recommendations to both the Committee and the senior management group last week. The final report was issued last Friday, and a copy had been sent to each member of the Council. He then introduced the members of the NCSL team -- Rich Jones, Project Manager; Tim Storey; and Jo Donlin -- and asked Mr. Jones to provide Council members with an overview of the study and recommendations.

Discussion of the Report following the presentation included the following questions and comments.

- That the Report includes a recommendation to explore the use of 9-month positions to increase the resources available during peak times. (Rep. Campbell).

Mr. Jones responded that this is a recommendation.

- Whether NCSL had looked at buying down comp time in the course of its review of overtime.

Mr. Jones responded that NCSL's recommendations include paying employees in the lower salary classifications cash for all overtime accrued. He stressed that the Council needs overtime is a management issue that may require changing current work schedules, practices and staffing levels and encouraged the Council to undertake an assessment of these issues

As the discussion closed, Speaker Rowe stated that while the Report contains some technical errors that need to be addressed, the NCSL staff have done exactly what the Council had asked and thanked them once again for their work. Mr. Jones noted that both Council members and staff have been very generous with their time and helped his staff significantly. Noting that "it is now up to us", Speaker Rowe offered the following motions.

**Motion:** That the Council refer the Classification and Compensation Report to the Personnel Committee for the purpose of developing a proposed implementation plan for the Council's consideration and final vote at the September or October Council meeting. (Motion by Speaker Rowe; second by Rep. Shiah; unanimous).

### **Collective Bargaining**

Speaker Rowe stated that the Committee has discussed how best to undertake our management responsibilities as we enter a new era -- where most of our employees in the staff offices that work under the Council's direction will have the option of organizing for the purposes of collective bargaining.

The Committee concluded that it is in the Council's interest to retain an attorney to help guide the Council through this very specialized area of law and had reviewed resumes of several individuals with experience on this area. Speaker Rowe then presented the following motion on behalf of the Committee:

**Motion:** That the Council approve the Committee's recommendation to contract with Julie Armstrong, Esq. for the purpose of providing preliminary training and technical assistance to the Council and the Staff Office Directors related to its new responsibilities as a public employer; and that the services provided under this contract not exceed \$7,500.00 without further Council approval.

## **ADJOURNMENT**

The Council meeting was adjourned at 1:55 p.m. (Motion by Rep. Shiah; second by Rep. Murphy).

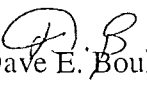


**Maine State Legislature**  
**OFFICE OF POLICY AND LEGAL ANALYSIS**

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**Memorandum**

**TO:** The Honorable Mark W. Lawrence, Chair  
Legislative Council  
  
The Honorable G. Steven Rowe, Vice-Chair  
Legislative Council  
  
And Honorable Members of the Legislative Council

**FROM:**  Dave E. Boulter, Director

**DATE:** September 22, 1999

**RE:** Status Report on Legislative Studies

Attached is a chart showing the status of legislative studies that are authorized for this interim.

I am happy to answer any questions you may have or provide additional information about the studies.

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*David E. Boulter, Director*  
Offices Located in the State House, Rooms 101/107/135

***Progress Report on Legislative Studies***  
**Status as of Wednesday, September 22, 1999**  
**(Studies authorized or undertaken during 119th Legislature, 1st Regular Session)**

| <u>Study Commission</u>  | <u>Date First Convened</u>                | <u>Date, Time &amp; Location of Next Meeting</u> | <u>Report Date</u>  | <u>Status/Progress of Study Commission</u>  |
|--|---|--|---------------------|---|
| Agricultural Vitality Zone Program, Resolve Establishing a Task Force to Study the Need for an   | -----                                     | Oct 7, 1999<br>last member just appointed        | 12/1/99             | Appointments completed. First meeting scheduled for October 7   |
| Carryover Bills, LVA Committee meetings -- To Discuss Issues Relating to   |   | TBA  | None                | First of two meeting planned late October/early November  |
| Child Care Financing, Resolve to Create the Business Advisory Commission on Quality  | Assistance with drafting legislation only | N/A  | 12/1/99             | Staffed by DECD   |
| Children in Need of Services, Resolve to Establish the Commission to Study   | -----                                     | Not scheduled                                    | 12/1/99             | Awaiting completion of appointments by Senate President   |
| Cold Water Observatory -- MAR Committee Study -- Review LD 1827  | 1 Meeting with Jt. Sel. RES               | Not scheduled                                    | None                | No meeting held to date   |
| Correction's Comprehensive Plan, CRJ Committee Meetings -- Review the Dept. of   | Wed, Sept 8                               | mid-October                                      | None                | On-going. Several additional meetings anticipated   |
| Dept. of Professional and Financial Regulation under Part L of PL 1999, c. 16 (budget), BEC Committee meetings -- Oversee Commissioner's review of the |   | TBA  | 12/31/99<br>by DPFR | The Department has met pursuant to Part L. Committee plans to meet in October   |
| Disability Access Issues as They Relate to Outdoor Sporting Activities, Staff Study  | N/A                                       | N/A  | -----               | Staff study on-going  |
| Education Research Institute   | on-going                                  | -----  | None                | 1) Awaiting payment by Legislature under FY99 contract<br>2) Anticipate Steering Committee meeting in late September/early October      |
| Educational Program and the Governance System of the Governor Baxter School for the Deaf, Joint Order Relative to the Task Force to Review the         | Fri, Sept 10                              | Fri, Oct 1 **<br>9-4<br>Gov. Baxter School       | 12/3/99             | Task Force has contract w/consultant. Request to Legislative Council for funds for ASL interpreters at public hearings is anticipated.. |
| Environmental Protection, Resolve to Establish a Task Force to Study the Operation of and Support for the Board of                                     |   | Wed, Sept 29 **<br>10 am<br>Rm 437 SH            | 12/1/99             | Appointments completed. First meeting scheduled for September 29  |

***Progress Report on Legislative Studies***  
**Status as of Wednesday, September 22, 1999**  
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| <u>Study Commission</u>   | <u>Date First Convened</u> | <u>Date, Time &amp; Location of Next Meeting</u> | <u>Report Date</u> | <u>Status/Progress of Study Commission</u>  |
|---|----------------------------|--|--------------------|---|
| Fire Protection Services Throughout the State, Resolve to Create the Commission to Study the Enhancement of                               | Wed, Sept 21               | Tues, Oct 26<br>9:30 am<br>SH TBA                | 12/1/99            | 4 more meetings; Initial meeting held. Staff is developing survey for fire chiefs             |
| Forensic Examinations for Sexual Assault Victims, An Act to Establish the Commission to Propose an Alternative Process for the Payment of |                            | Mon, Sept 27<br>9 am<br>Rm 437 SH                | 12/15/99           | Appointments completed. First meeting scheduled for September 27; 4 more meetings anticipated |
| Subcommittee to Review Issues and Formulate Guidelines Relating to LD 533, and Begin GEA Review of 3 Agencies, SLG Committee Study        |                            | Thu, Oct 7<br>1-3<br>161 Capitol St              | 11/26/99           | 2 - 3 meetings anticipated. Subcommittee members discussing redrafted bill w/DAFS             |
| Governor Baxter School for the Deaf, Committee study -- Review LD 1620, Victims of Abuse at the   | Fri, Aug 6                 | Thurs., Oct. 7<br>9:30<br>Rm. 438, SH            | 11/26/99           | 1 more meeting; significant work remaining.   |
| Uncontrolled Hazardous Substance Sites, Select Commission to Study State Participation in Funding Cleanup and Remediation of              | -----                      | Thu, Sept 30<br>12:30 pm<br>Rm 437 SH            | 12/31/99           | Appointments completed. 5 meetings scheduled  |
| Incorporations in Maine, Resolve to Establish a Commission to Encourage   | Mon. Aug. 23               | Oct. 8 or 12<br>(tentative)                      | 12/15/99           | Study is on-going; 3 more meetings.   |
| Internet Policy, Resolve to Establish the Blue Ribbon Commission to Establish a Comprehensive   |                            | Mon, Sept 27<br>9-12<br>Rm 438 SH                | 12/1/99            | Appointments completed. 5 meetings anticipated. First meeting is scheduled for September 27.  |
| Judicial Compensation Commission  |                            | No meetings planned.                             |                    | No meetings planned.  |
| Labor and Workers' Compensation Board, LAB Committee Meetings -- Start GEA Review of Dept. of   |                            | November<br>(TBA)                                | None               | Chairs have met in preparation for committee meeting in November                              |
| Legislative Council Study - Review Structure and Operation of the Legislature (Bennett/Lawrence proposal)                                 |                            | Wed, Sept 22<br>3 pm<br>Rm 334 SH                | ?                  | First meeting scheduled for September 22.   |
| Long-term Care and Pharmaceuticals, and Ombudsman Legislation involving 3 agencies, HHS Committee studies -- Review Matters Relating to   | Wed., Sept 8               | Tue, Sept 28 **<br>11-3:30<br>Rm 436 SH          | None               | Committee review on-going; 5 more meetings planned  |

***Progress Report on Legislative Studies***  
**Status as of Wednesday, September 22, 1999**  
**(Studies authorized or undertaken during 119th Legislature, 1st Regular Session)**

| <u>Study Commission</u>  | <u>Date First Convened</u> | <u>Date, Time &amp; Location of Next Meeting</u> | <u>Report Date</u>                               | <u>Status/Progress of Study Commission</u>   |
|--|----------------------------|--|--|--|
| MCJUSTIS-MEJIS -- Committee Study (Established in 118th Legislature)                                       | Thurs., Aug. 26            | Sept 27 or 28 (tentative)                        | 12/15/99   | Study is on-going; 2nd year of 2-year project  |
| Milk and Milk Products, Staff Study -- Review of Statutory and Regulatory Changes Regarding                | N/A                        | N/A  | None   | Staff study - ongoing  |
| MLURC and DEP, ACF, NAT Committee Study - Subcommittee to Review Regulatory Responsibilities of            | -----                      | -----  | 11/26/99   | Awaiting appointment of 1 member by Senate President; Authorized to meet 3 times.        |
| MTBE and Alternatives, Committee Study -- 5 Member NAT Subcommittee to Review use of MTBE and Alternatives | -----                      | Not scheduled                                    | 11/26/99   | Awaiting designation of Senate chair by Senate President. Authorized for 2 meetings.     |
| Multistate Tax Compact Advisory Committee  |                            |  |  |  |
| Patient's Bill of Rights, BAN Committee Study --   | -----                      | Wed, Sept 22<br>10-2<br>Rm 438 SH                | None   | Initial meeting scheduled for September 22. 5 meetings authorized.                       |
| Pension Equity, TAX Committee Study  |                            |  | 2nd Reg Session                                  | Meeting not scheduled.   |
| Performance Budgeting, Commission on   |                            |  |  | Meeting being planned.   |
| Prescription Drugs and Medical Supplies, Resolve to Establish the Commission to Study Bulk Purchasing of   | -----                      | Fri, Oct 8<br>9-noon<br>Rm 436 SH                | 12/1/99  | Appointments completed. First meeting scheduled for October 8th. 4 meetings authorized.. |
| Probation Services Study, CRJ Committee Study  | July 13                    | Sept 14  | 1/1/00   | Draft report in progress - probably 1 more meeting will be necessary.                    |
| Property Tax Exemptions contained in Title 36, Part 2, Statutory review                                    | Aug 25                     | Wed, Oct 6                                       | 30 leg. days after convening of 2nd Reg. Session | Work on-going.   |
| Public Water Supply Protection, Resolve to Establish a Task Force to Study the Improvement of              |                            | -----  | 1/14/00  | Appointments of members not yet completed; Staff assistance in drafting legislation only |
| Real estate transfer tax and corporate transfers, TAX Committee Study                                      | Aug 25                     | Wed, Oct 6                                       | 12/15/99   | On-going; gathering information  |

***Progress Report on Legislative Studies***  
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| <u>Study Commission</u>   | <u>Date First Convened</u> | <u>Date, Time &amp; Location of Next Meeting</u> | <u>Report Date</u> | <u>Status/Progress of Study Commission</u>  |
|---|----------------------------|--|--------------------|---|
| Salmonoid Sport Fish in Maine, Commission to Study the Needs and Opportunities Associated with the Production of                      |                            | Tues, Sept 28 **<br>10 am<br>Brewer              | 9/00               | Appointments completed. First meeting scheduled for September 28 in Brewer.   |
| Single-Sales Factor Apportionment, Commission to Study  | Sept 8                     | Wed, Oct 13                                      | 12/1/99            | Work on-going. Work plan and draft budget submitted; Staff gathering information and research for October meetings. |
| Current Regulations Imposed on Small Businesses to Require Greater Efficiency, Resolve to Study                                       |                            | Tues, Sept 28 **<br>10 am<br>Rm 438 SH           | 12/15/99           | Appointments completed. First meeting scheduled for September 28. 4 meetings anticipated.                           |
| Solid Waste Landfill Matters, NAT Committee Study -- 5 Member Subcommittee to Study Relating to                                       | Fri, Sept 3                | October<br>TBA                                   | 11/26/99           | Committee review on-going   |
| State Office Building Location and Other State Growth-related Capital Investments, Resolve to Establish the Task Force on             | Fri, Sept 17               | Tue, Sept 28 **<br>1 pm<br>Rm 437 SH             | 12/15/99           | Study on-going. Remaining 4 meetings all scheduled.   |
| Tax-exempt Bonds, Commission on the State Ceiling on  |                            |  |                    |   |
| Togus Veterans Administration Medical Center, Joint Study Order Establishing a Commission to Examine the Adequacy of Services at the  | -----                      | Mon, Oct 25                                      | 12/31/99           | Appointments completed. Chairs scheduled to meet in October for planning initial meeting on October 25.             |
| Traffic Congestion Including Truck Traffic Along the Route 1 York Corridor, Resolve to Review   | -----                      | Not scheduled                                    | 11/15/99           | Appointments completed. Chairs scheduled to meet on September 22 to plan for initial meeting.                       |
| Tribal Government Representatives of Maine's Native Sovereign Nations in the Legislature, Committee to Address the Recognition of the | Wed. Aug. 25               | Thu, Oct 14<br>9 am<br>Rm 438 SH                 | 12/1/99            | Study is on-going and on track, but may need more time than currently allotted.                                     |
| Unorganized Territories in Maine, SLG Committee Study -- Review Matters Relating to LD 299, Governance Structure for the              | -----                      | Not scheduled                                    | 11/26/99           | Committee review not yet started. 3 meetings authorized.  |
| Utility-related Laws Outside of Title 35-A, Staff Study To Examine  | -----                      | -----  | 1/1/00             | Staff study on-going. Substantial information gathered.   |

***Progress Report on Legislative Studies***  
**Status as of Wednesday, September 22, 1999**  
**(Studies authorized or undertaken during 119th Legislature, 1st Regular Session)**

| <u>Study Commission</u>  | <u>Date First Convened</u> | <u>Date, Time &amp; Location of Next Meeting</u>                    | <u>Report Date</u> | <u>Status/Progress of Study Commission</u>   |
|--|----------------------------|---|--------------------|--|
| Veterans' Benefits, Resolve to Study Standardized Periods of Military Service and Other Matters Related to the Award of State of Maine | Mon., Sept. 20             | Mon, Oct 18<br>PH 4:30-6:30 pm<br>Me. Veterans' Home<br>Scarborough | 12/15/99           | Study on-going. Three public hearings scheduled throughout State.  |
| Violent and Disruptive Students, Joint Study Order to Develop an Alternative Program for   | Thu, Sept 16               | Mon, Oct 4<br>9:30-3<br>TBA   | 12/15/99           | Study on-going. Task Force will be requesting extension of report date to January 14, 2000.  |
| Wildlife and Fish, Resolve to Establish the Citizens' Advisory Committee to Secure the Future of                                       |                            | Not scheduled   | 12/00              | Appointments completed. Chairs scheduled to meet on September 28 to plan committee meetings; 6 more meetings to be scheduled.  |
| Year 2000 Computer Problem, Joint Select Committee on the  | Wed, Aug 18                | Mon, Nov 8<br>9 am<br>Rm 437 SH                                     | No date            | Committee study is underway. Informational materials on Y2K sent to legislators. Nov. 8th is 3rd meeting - 4th meeting will be held after Jan. 1 - committee recommendations will be provided to 2nd Regular Session |



*Other Studies Having Limited Legislative Involvement*  
Status as of Wednesday, September 22, 1999

| <u>Study Commission</u>  | <u>Nature of<br/>Legislative<br/>Involvement</u> | <u>Status</u> | <u>Report Date</u> |
|--|--|---------------|--------------------|
| Campaign Finance Reporting   |  |               |                    |
| Canadian Legislative Advisory Committee, Maine                                     |  |               |                    |
| Capitol Riverfront Improvement Governing Board                                     |  |               |                    |
| Child Care Advisory Council  |  |               |                    |
| Children's Mental Health Oversight Committee                                       |  |               |                    |
| Children's Trust Incorporated, Maine   |  |               |                    |
| Civilian Conservation Corps, Committee to Establish a<br>Memorial Dedicated to the |  |               |                    |
| Consumer Health Care Advisory Council  |  |               |                    |
| Dental Education, Advisory Committee on  |  |               |                    |
| Early Care and Education, Task Force on  |  |               |                    |
| Economic Development Incentive Commission  |  |               |                    |
| Emergency Response Commission  |  |               |                    |
| Global and Geographic Education, Maine Committee for                               |  |               |                    |
| HIV Advisory Committee, Maine  |  |               |                    |
| Hunger and Food Security, Maine Millennium Commission<br>on                        |  |               |                    |
|  |  |               |                    |
|  |  |               |                    |
| Radioactive-Waste and Decommissioning, Advisory<br>Commission on                   |  |               |                    |
| Sawmill Biomass, Committee on  |  |               |                    |

*Other Studies Having Limited Legislative Involvement*  
Status as of Wednesday, September 22, 1999

| <u>Study Commission</u>   | <u>Nature of<br/>Legislative<br/>Involvement</u> | <u>Status</u> | <u>Report Date</u> |
|---|--|---------------|--------------------|
| State House Complex and the Augusta Mental Health Institute Campus, Master Plan for the |  |               |                    |
| Substance Abuse Services Commission   |  |               |                    |

**119th LEGISLATIVE COUNCIL  
SECOND REGULAR SESSION**

**REVIEW OF REQUESTS TO INTRODUCE LEGISLATION**

**October 1st (Friday)  
4:00 p.m.**

Filing Deadline

- Legislators (Title & Summary)
- Departments/Agencies (Final Drafts)

**OCTOBER 25th (MONDAY)  
10:00 a.m.**

**LEGISLATIVE COUNCIL MEETING-SCREENING**

**October 29th (Friday)**

Notification of Council's action mailed to sponsors

**November 3rd (Wednesday)  
5:00 p.m.**

Deadline for:

- Submitting final drafts, or sufficient information to draft all bills accepted by the Council on October 25th
- Filing appeals in writing

**NOVEMBER 15th (Monday)  
3:00 p.m.**

**LEGISLATIVE COUNCIL MEETING TO CONSIDER  
APPEALS**

## **FISCAL BRIEFING FOR THE LEGISLATIVE COUNCIL:**

**SEPTEMBER 1999**

- August 1999 General Fund Revenues (see attachment # A)
- August 1999 Highway Fund Revenues (see attachment # B)
- Maine State Retirement System data: Rep. Saxl's request (see attachment # C)
- Question - and - Answer

PRELIMINARY; SUBJECT TO  
CHANGE!

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 1  
DATE: 09/21/99  
Program: c01.sqr

|                                | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          |             |
|--------------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|-------------|
|                                |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance | Source Acct |
| INT REAL EST TAX UNORGAN       | 0161 | 250                      |                    | -250                | 500                      |                    | -500                     |             |
| REAL ESTATE TRANSFER TAX       | 0170 | 511,634                  | 474,064            | -37,570             | 1,172,084                | 1,953,765          | 781,681                  |             |
| COMM FORESTRY EXCISE TAX       | 0175 |                          | -1,517             | -1,517              |                          | -1,474             | -1,474                   |             |
| EXCISE TAX NON RES M V         | 0186 | 36,000                   | 65,690             | 29,690              | 85,000                   | 123,636            | 38,636                   |             |
| <b>01 PROPERTY TAXES</b>       |      | <b>547,884</b>           | <b>538,237</b>     | <b>-9,647</b>       | <b>1,257,584</b>         | <b>2,075,927</b>   | <b>818,343</b>           |             |
| TAXES ON ESTATES               | 0211 |                          | 486,887            | 486,887             |                          | 486,887            | 486,887                  |             |
| ESTATE TAX                     | 0212 | 1,652,971                | 3,504,795          | 1,851,824           | 3,305,942                | 5,774,685          | 2,468,743                |             |
| INTEREST ON ESTATE TAX         | 0242 | 12,500                   | 61,297             | 48,797              | 25,000                   | 63,381             | 38,381                   |             |
| <b>02 INHERITANCE, ESTATE</b>  |      | <b>1,665,471</b>         | <b>4,052,979</b>   | <b>2,387,508</b>    | <b>3,330,942</b>         | <b>6,324,953</b>   | <b>2,994,011</b>         |             |
| USE FUEL TAX                   | 0311 |                          | 59,661             | 59,661              |                          | 59,661             | 59,661                   |             |
| TAX INT COMB ENGINE FUEL       | 0321 | 8,093                    | 11,343             | 3,250               | 20,146                   | 20,288             | 142                      |             |
| TAX AERONAUTICAL GAS           | 0331 | 105,774                  | 215,494            | 109,720             | 184,308                  | 349,600            | 165,292                  |             |
| GAS TAX REFUNDS AERONAUTI      | 0332 | -1,910                   |                    | 1,910               | -3,626                   | -143               | 3,483                    |             |
| <b>03 GASOLINE TAX</b>         |      | <b>111,957</b>           | <b>286,498</b>     | <b>174,541</b>      | <b>200,828</b>           | <b>429,406</b>     | <b>228,578</b>           |             |
| INDIV STATE INCOME TAX         | 0401 | -1,682,565               | 1,981,426          | 3,663,991           | 1,257,189                | 5,049,895          | 3,792,706                |             |
| INDIV INCOME WITHHOLDING       | 0402 | 31,418,400               | 50,424,526         | 19,006,126          | 94,626,200               | 106,274,210        | 11,648,010               |             |
| INDIV INCOME 1040 ESTIMATE     | 0403 | 1,972,080                | 2,909,064          | 936,984             | 3,808,738                | 5,420,301          | 1,611,563                |             |
| INDIVIDUAL FIDUCIARY           | 0404 | 245,836                  | 165,549            | -80,287             | 324,382                  | -52,350            | -376,732                 |             |
| REFUNDS INDIV INCOME TAX       | 0408 | -1,616,760               | -3,185,346         | -1,568,586          | -7,285,400               | -10,080,668        | -2,795,268               |             |
| EXCISE TAX BEER                | 0411 | 654,422                  | 737,598            | 83,176              | 1,343,027                | 1,450,495          | 107,468                  |             |
| EX TAX TBL WINE ME PRDTS       | 0412 | 700                      | 400                | -300                | 1,300                    | 1,009              | -291                     |             |
| EX TAX TBL WINE OUT STAT       | 0413 | 79,000                   | 81,720             | 2,720               | 149,000                  | 161,834            | 12,834                   |             |
| EX TAX SPARKLING WINES         | 0414 | 21,012                   | 26,648             | 5,636               | 37,293                   | 64,937             | 27,644                   |             |
| CORPORATE INCOME TAX           | 0415 | 5,477,868                | 3,259,733          | -2,218,135          | 9,498,894                | 6,680,321          | -2,818,573               |             |
| REFUNDS CORP INCOME TAX        | 0418 | -626,118                 | -12,433,299        | -11,807,181         | -1,182,775               | -12,532,389        | -11,349,614              |             |
| MALT PREMIUM                   | 0451 | 261,790                  | 295,039            | 33,249              | 517,226                  | 580,198            | 62,972                   |             |
| TABLE WINE PREMIUM             | 0452 | 79,565                   | 80,128             | 563                 | 149,678                  | 158,578            | 8,900                    |             |
| SPARKLING WINE PREMIUM         | 0453 | 3,744                    | 6,395              | 2,651               | 9,595                    | 15,585             | 5,990                    |             |
| LOW ALCOHOL SPIRITS TAX        | 0455 | 2,700                    | 1,424              | -1,276              | 5,500                    | 6,135              | 635                      |             |
| <b>04 INCOME TAX</b>           |      | <b>36,291,674</b>        | <b>44,351,005</b>  | <b>8,059,331</b>    | <b>103,259,847</b>       | <b>103,198,091</b> | <b>-61,756</b>           |             |
| TAX ON CIGARETTES              | 0511 | 7,520,615                | 8,869,811          | 1,349,196           | 13,642,973               | 14,788,117         | 1,145,144                |             |
| TAX ON TOBACCO PRODUCTS        | 0512 | 219,731                  | 287,480            | 67,749              | 445,904                  | 548,323            | 102,419                  |             |
| <b>05 TOBACCO PRODUCTS TAX</b> |      | <b>7,740,346</b>         | <b>9,157,291</b>   | <b>1,416,945</b>    | <b>14,088,877</b>        | <b>15,336,440</b>  | <b>1,247,563</b>         |             |
| SALES TAX                      | 0621 | 30,471,888               | 32,317,183         | 1,845,295           | 95,828,849               | 102,283,086        | 6,454,237                |             |
| USE TAX                        | 0623 | 2,949,294                | 13,947,834         | 10,998,540          | 9,275,022                | 21,605,531         | 12,330,509               |             |
| SALES TAX REFUNDS USE FU       | 0625 | 43,262                   | 114,631            | 71,369              | 136,051                  | 129,928            | -6,123                   |             |
| SALES TAX ABATEMENT            | 0631 | -131,161                 | -92,254            | 38,907              | -412,480                 | -413,639           | -1,159                   |             |

Attachment A

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 2  
DATE: 09/21/99  
Program: c01.sqr

|                           | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          | Source | Acct |
|---------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|--------|------|
|                           |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance |        |      |
| USE TAX ABATEMENT         | 0633 | -7,495                   | -6,146             | 1,349               | -23,570                  | -30,168            | -6,598                   |        |      |
| 06 OTHER SALES TAX        |      | 33,325,788               | 46,281,248         | 12,955,460          | 104,803,872              | 123,574,738        | 18,770,866               |        |      |
| CERT EXCISE CORP          | 0726 | 150                      | 100                | -50                 | 300                      | 315                | 15                       |        |      |
| CERT ORG NEW CORPS        | 0731 | 7,333                    | 7,050              | -283                | 14,666                   | 12,270             | -2,396                   |        |      |
| CHANGES CERT ORGANIZATION | 0733 | 2,083                    | 280                | -1,803              | 4,166                    | 955                | -3,211                   |        |      |
| REG FOREIGN CORPS         | 0735 | 25,000                   | 38,300             | 13,300              | 50,000                   | 67,695             | 17,695                   |        |      |
| ANNUAL LIC FEES FOREIGN C | 0737 | 15,000                   | 22,260             | 7,260               | 75,000                   | 113,865            | 38,865                   |        |      |
| ASSUMED NAME              | 0740 | 5,833                    | 11,350             | 5,517               | 11,666                   | 18,360             | 6,694                    |        |      |
| RESERVED NAME             | 0742 | 1,333                    | 1,495              | 162                 | 2,666                    | 2,975              | 309                      |        |      |
| DISSOLVED                 | 0744 | 1,333                    | 2,160              | 827                 | 2,666                    | 3,860              | 1,194                    |        |      |
| RESUMED                   | 0746 | 166                      | 400                | 234                 | 332                      | 480                | 148                      |        |      |
| 07 CORPORATIONS TAX       |      | 58,231                   | 83,395             | 25,164              | 161,462                  | 220,775            | 59,313                   |        |      |
| TAX R R COMPANIES         | 0801 |                          | -35,975            | -35,975             | -150,000                 | -150,000           |                          |        |      |
| TELECOMMUN PROP TAX       | 0822 |                          | 412                | 412                 |                          | 412                | 412                      |        |      |
| 08 PUBLIC UTILITIES TAX   |      |                          | -35,563            | -35,563             | -150,000                 | -149,588           | 412                      |        |      |
| INSURANCE COMPANIES TAX   | 0901 | 35,309                   | -49,050            | -84,359             | 101,592                  | 348,002            | 246,410                  |        |      |
| UNAUTHORIZED INS CO TAX   | 0903 |                          | 3,187              | 3,187               | 87,500                   | 4,025              | -83,475                  |        |      |
| SELF PROC INS PREM TAX    | 0904 |                          | 1,236              | 1,236               | 18,750                   | 1,942              | -16,808                  |        |      |
| PURCHASING GROUP PREM TAX | 0905 |                          | 954                | 954                 | 3,750                    | 1,730              | -2,020                   |        |      |
| AGENTS LICENSES-ORIG      | 0914 |                          | 10,140             | 10,140              |                          | 16,470             | 16,470                   |        |      |
| AGENTS LICENSES-REN       | 0915 |                          | 11,920             | 11,920              |                          | 12,020             | 12,020                   |        |      |
| COMPANY LICENSES-ORIG     | 0916 |                          |                    |                     |                          | 100                | 100                      |        |      |
| COMPANY LICENSES-REN      | 0917 |                          | 14,090             | 14,090              |                          | 20,305             | 20,305                   |        |      |
| ANNUAL STATEMENT FEES     | 0929 |                          | 14,075             | 14,075              |                          | 19,353             | 19,353                   |        |      |
| LICENSE EXAMINATION FEES  | 0932 |                          | 165                | 165                 |                          | 210                | 210                      |        |      |
| FORM FILING FEES          | 0934 |                          | 420                | 420                 |                          | 1,080              | 1,080                    |        |      |
| ASSES WKRS COMP SELK INS  | 0943 |                          |                    |                     |                          | 3,034              | 3,034                    |        |      |
| 09 INSURANCE              |      | 35,309                   | 7,137              | -28,172             | 211,592                  | 428,271            | 216,679                  |        |      |
| SEC AGENTS ORIG LIC FEES  | 1006 | 45,000                   | 58,730             | 13,730              | 90,000                   | 128,100            | 38,100                   |        |      |
| SEC DEALERS ORIG LIC FEES | 1008 | 3,000                    | 3,400              | 400                 | 6,000                    | 7,150              | 1,150                    |        |      |
| SEC DEALERS REN LIC FEES  | 1009 |                          |                    |                     |                          |                    |                          |        |      |
| SEC ISSUERS REG FEES      | 1010 | 500,000                  | 579,800            | 79,800              | 900,000                  | 1,026,100          | 126,100                  |        |      |
| SEC ISSUERS EXEMPT FEES   | 1011 | 6,300                    | 7,800              | 1,500               | 12,600                   | 12,900             | 300                      |        |      |
| SEC INVEST ADV ORIG       | 1019 | 1,000                    | 1,200              | 200                 | 2,000                    | 2,000              |                          |        |      |
| 10 BANKING                |      | 555,300                  | 650,930            | 95,630              | 1,010,600                | 1,176,250          | 165,650                  |        |      |
| CLASS I                   | 1101 | 29,295                   | 37,800             | 8,505               | 76,535                   | 77,840             | 1,305                    |        |      |
| CLASS A RESTAURANT/LOUNGE | 1105 | 18,900                   | 19,500             | 600                 | 47,400                   | 39,350             | -8,050                   |        |      |

Attachment A  
(cont'd)

PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 3  
DATE: 09/21/99  
Program: c01.sqr

|                               | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          |             |
|-------------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|-------------|
|                               |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance | Source Acct |
| CIVIC ORGANIZATIONS           | 1107 | 300                      | 100                | -200                | 650                      | 300                | -350                     |             |
| AGENCY LIQ STORE FULL TIME    | 1108 | 900                      | 3,900              | 3,000               | 3,300                    | 61,100             | 57,800                   |             |
| CLASS I-A                     | 1110 | 4,400                    | 5,500              | 1,100               | 9,900                    | 13,200             | 3,300                    |             |
| SMALL MAINE BREWERY           | 1113 | 100                      |                    | -100                | 150                      | 50                 | -100                     |             |
| DIST & BREWERS                | 1114 | 1,000                    | 1,000              |                     | 3,000                    | 2,000              | -1,000                   |             |
| CLASS X                       | 1115 | 29,000                   | 60,800             | 31,800              | 60,000                   | 93,030             | 33,030                   |             |
| AUXILIARY LICENSE             | 1116 |                          |                    |                     | 100                      |                    | -100                     |             |
| MAINE FARM WINERY             | 1117 | 50                       |                    | -50                 | 50                       | 50                 |                          |             |
| MANUFACTURER WINERY           | 1119 |                          |                    |                     | 1,000                    |                    | -1,000                   |             |
| CLASS II                      | 1120 | 550                      |                    | -550                | 1,650                    | 550                | -1,100                   |             |
| B Y O B FUNCTION PERMIT       | 1129 | 110                      | 140                | 30                  | 210                      | 220                | 10                       |             |
| BOTTLE CLUB REGISTRATION      | 1130 | 100                      | 300                | 200                 | 150                      | 400                | 250                      |             |
| SPEC CATER OFF PREM S & V     | 1131 | 700                      | 1,120              | 420                 | 2,690                    | 2,140              | -550                     |             |
| CLASS III                     | 1132 | 3,600                    | 6,380              | 2,780               | 10,700                   | 9,900              | -800                     |             |
| CLASS IV                      | 1138 | 6,600                    | 9,900              | 3,300               | 16,100                   | 15,840             | -260                     |             |
| CLASS V                       | 1140 | 990                      | 1,485              | 495                 | 2,035                    | 2,970              | 935                      |             |
| CERT OF APPROVA-SPIRIT        | 1151 |                          | 600                | 600                 |                          | 600                | 600                      |             |
| WHOLESALE TABLE WINE          | 1153 | 1,200                    | 600                | -600                | 1,200                    | 600                | -600                     |             |
| CLASS VI                      | 1155 | 36,000                   | 39,200             | 3,200               | 65,000                   | 61,600             | -3,400                   |             |
| MALT LIQ CERT OF APPROVAL     | 1158 | 1,800                    | 2,400              | 600                 | 2,400                    | 3,600              | 1,200                    |             |
| WINE CERT OF APPROVAL         | 1159 | 4,200                    | 9,600              | 5,400               | 10,200                   | 15,600             | 5,400                    |             |
| WHOLESALE MALT LIQUOR         | 1161 | 1,200                    | 600                | -600                | 1,200                    | 600                | -600                     |             |
| CLASS VII                     | 1176 | 24,400                   | 34,200             | 9,800               | 40,600                   | 53,600             | 13,000                   |             |
| VESSEL SPIRITS                | 1198 | 1,800                    |                    | -1,800              | 1,800                    |                    | -1,800                   |             |
| <b>11 ALCOHOLIC BEVERAGES</b> |      | <b>167,195</b>           | <b>235,125</b>     | <b>67,930</b>       | <b>358,020</b>           | <b>455,140</b>     | <b>97,120</b>            |             |
| PULL EVENT PERMIT             | 1255 | 30                       | 50                 | 20                  | 80                       | 230                | 150                      |             |
| <b>12 AMUSEMENTS TAX</b>      |      | <b>30</b>                | <b>50</b>          | <b>20</b>           | <b>80</b>                | <b>230</b>         | <b>150</b>               |             |
| COMM PARI MUTUELS HARNESS     | 1301 | 119,550                  | 164,442            | 44,892              | 239,550                  | 273,527            | 33,977                   |             |
| CONCEALED LICENSE PERMIT      | 1378 | 5,500                    | 6,392              | 892                 | 15,500                   | 13,827             | -1,673                   |             |
| <b>13 BETTING TAXES</b>       |      | <b>125,050</b>           | <b>170,834</b>     | <b>45,784</b>       | <b>255,050</b>           | <b>287,354</b>     | <b>32,304</b>            |             |
| BOTTLERS LICENSES             | 1401 | 850                      | 1,533              | 683                 | 9,350                    | 7,483              | -1,867                   |             |
| MILK LICENSES                 | 1402 | 210                      | 747                | 537                 | 1,360                    | 1,147              | -213                     |             |
| NURSERY LICENSES              | 1403 | 375                      | 325                | -50                 | 515                      | 640                | 125                      |             |
| PRODUCT REGISTRATION FEE      | 1405 | 350                      | 1,030              | 680                 | 2,380                    | 2,856              | 476                      |             |
| REG OF FEEDING STUFFS         | 1406 | 1,500                    | 780                | -720                | 3,800                    | 970                | -2,830                   |             |
| LIVESTOCK AND POULTRY LIC     | 1409 | 36                       | 52                 | 16                  | 137                      | 91                 | -46                      |             |
| FOOD INSPECT LIC-PERMIT       | 1410 | 6,100                    | 7,000              | 900                 | 12,100                   | 14,590             | 2,490                    |             |
| TOBACCO PRODUCTS LICENSE      | 1415 |                          | 125                | 125                 | 150                      | 250                | 100                      |             |
| CIGARETTE DISTR LIC           | 1416 | 1,750                    |                    | -1,750              | 6,310                    | -250               | -6,560                   |             |
| CIGARETTE WHOLESALE LICEN     | 1418 | 500                      |                    | -500                | 1,500                    |                    | -1,500                   |             |
| RES COMMERCIAL FISH LICEN     | 1420 |                          | 2,285              | 2,285               | 2,500                    | 5,480              | 2,980                    |             |

Attachment #  
<cont'd>

PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 4  
DATE: 09/21/99  
Program: c01.sqr

|                              | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          |             |
|------------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|-------------|
|                              |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance | Source Acct |
| ORIG OCC/PRO LICENSES 2      | 1422 |                          |                    |                     |                          | 600                | 600                      |             |
| ORIG OCC/PRO LICENSES 3      | 1423 |                          |                    |                     |                          | 200                | 200                      |             |
| ORIG OCC/PRO LICENSES 5      | 1425 |                          | 1,002              | 1,002               |                          | 2,338              | 2,338                    |             |
| RENL OCC/PRO LICENSES 5      | 1431 | 200                      | 250                | 50                  | 300                      | 450                | 150                      |             |
| ORIG APPRENTICE LICENSES     | 1435 | 1,500                    | 1,099              | -401                | 1,500                    | 1,738              | 238                      |             |
| EQUIPMENT OPERATOR LIC 1     | 1438 |                          | 100                | 100                 |                          | 100                | 100                      |             |
| HOSPITAL LICENSES            | 1440 | 20,833                   | 17,470             | -3,363              | 41,667                   | 33,965             | -7,702                   |             |
| AIRCRAFT LICENSES            | 1444 | 1,000                    | 90                 | -910                | 2,000                    | 295                | -1,705                   |             |
| RETAIL SEAFOOD DEALERS LI    | 1445 | 4,000                    | 2,295              | -1,705              | 8,000                    | 4,845              | -3,155                   |             |
| LICENSE APPLICATION FEES     | 1446 |                          | 450                | 450                 |                          | 450                | 450                      |             |
| SPECIAL LICENSES & LEASES    | 1448 | 11,600                   | 14,510             | 2,910               | 23,100                   | 19,245             | -3,855                   |             |
| STAMP SALES BEDDING ETC      | 1449 | 3,000                    | 3,560              | 560                 | 3,000                    | 5,162              | 2,162                    |             |
| ORIG O/P REGISTRATION FEE    | 1450 |                          |                    |                     |                          | 25                 | 25                       |             |
| LOBSTER CRAB FISH LICENSE    | 1452 | 35,000                   | 22,353             | -12,647             | 60,000                   | 52,831             | -7,169                   |             |
| WHOLESALE SEAFOOD DEALERS    | 1455 | 5,000                    | 2,230              | -2,770              | 7,000                    | 5,719              | -1,281                   |             |
| INTERSTATE LOBSTER TRANS     | 1456 | 2,500                    | 1,023              | -1,477              | 5,000                    | 3,056              | -1,944                   |             |
| LOBSTER MEAT PERMITS         | 1458 |                          | 110                | 110                 |                          | 330                | 330                      |             |
| RES INTERSTATE SHELLFISH     | 1462 | 1,500                    | 264                | -1,236              | 1,500                    | 368                | -1,132                   |             |
| SEA MOSS LICENSES            | 1463 |                          | 50                 | 50                  |                          | 96                 | 96                       |             |
| RENL O/P CERTIFICATION FE    | 1467 |                          |                    |                     |                          | 100                | 100                      |             |
| SCHOOL LICENSES/PERMIT       | 1470 |                          | 102                | 102                 |                          | 972                | 972                      |             |
| BOAT REGISTRATION TRANSF     | 1472 | 120                      |                    | -120                | 191                      |                    | -191                     |             |
| LIC ROADSIDE EAT LODGE HOU   | 1474 | 50,000                   | 53,183             | 3,183               | 118,750                  | 84,786             | -33,964                  |             |
| COMMERCIAL SHELLFISH LICE    | 1478 | 5,000                    | 4,029              | -971                | 10,000                   | 9,570              | -430                     |             |
| MAHOGANY QUAHOG LICENSE      | 1479 |                          |                    |                     |                          | 89                 | 89                       |             |
| REG OF BOATS OVER 10 HP      | 1480 | 77,254                   | -26                | -77,280             | 162,490                  | -26                | -162,516                 |             |
| BOAT OPERATORS LICENSE       | 1482 | 3                        |                    | -3                  | 12                       |                    | -12                      |             |
| APPLICATION FOR ORIG CERT    | 1483 |                          | 825                | 825                 |                          | 1,080              | 1,080                    |             |
| RENEWAL OF CERTIFICATES      | 1484 |                          | 25,130             | 25,130              |                          | 33,950             | 33,950                   |             |
| MUSSEL LICENSE               | 1485 |                          | 119                | 119                 |                          | 196                | 196                      |             |
| BOAT DUPLICATE STICKERS      | 1487 | 70                       | 3,430              | 3,360               | 126                      | 4,760              | 4,634                    |             |
| DUPLICATE LICENSES           | 1488 | 93                       | 20                 | -73                 | 139                      | 47                 | -92                      |             |
| REGISTRATION XMAS TREES      | 1489 |                          |                    |                     |                          | 60                 | 60                       |             |
| BOAT LOCAL TEMP REG          | 1490 | 3,941                    |                    | -3,941              | 8,392                    |                    | -8,392                   |             |
| BOAT DEALER TEMP PLATES      | 1491 | 170                      |                    | -170                | 523                      |                    | -523                     |             |
| BOAT DEALER REGISTRATION     | 1496 | 115                      |                    | -115                | 275                      |                    | -275                     |             |
| HAIRDRESSERS LICENSE FEE     | 1497 |                          | 770                | 770                 |                          | 910                | 910                      |             |
| LICENSE FEE RENEW PROF EN    | 1499 |                          |                    |                     |                          | 60                 | 60                       |             |
| <b>14 OTHER TAX SPECIAL</b>  |      | <b>234,570</b>           | <b>168,315</b>     | <b>-66,255</b>      | <b>494,067</b>           | <b>301,624</b>     | <b>-192,443</b>          |             |
| LICENSE RESTORATION FEES     | 1503 |                          |                    |                     | 50,000                   |                    | -50,000                  |             |
| FEES TEMP DEALERS PLATES     | 1507 | 229                      |                    | -229                | 576                      |                    | -576                     |             |
| DUP CERTIFICATE              | 1511 | 5                        |                    | -5                  | 8                        |                    | -8                       |             |
| DRIVER REHAB COURSE          | 1515 | 66,502                   | 68,304             | 1,802               | 133,004                  | 128,200            | -4,804                   |             |
| NON-RES 3 DAY SNOWMOBILE REG | 1565 | 300                      |                    | -300                | 450                      |                    | -450                     |             |
| NON-RES 10 DAY SNOWMOBILED   | 1566 | 120                      |                    | -120                | 180                      |                    | -180                     |             |

Attachment A  
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PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

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|                                    | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          |             |
|------------------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|-------------|
|                                    |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance | Source Acct |
| NON-RES SEASON SNOWMOBILED         | 1567 | 1,540                    |                    | -1,540              | 2,310                    |                    | -2,310                   |             |
| SNOW REPLACE DEALER PLATE          | 1573 | 16                       |                    | -16                 | 16                       |                    | -16                      |             |
| SNOW DEALER PLATE                  | 1574 | 370                      |                    | -370                | 871                      |                    | -871                     |             |
| SNOWMOBILE REGISTRATION            | 1575 | 4,340                    |                    | -4,340              | 6,506                    |                    | -6,506                   |             |
| SNOWMOBILE DEALERS REGIS           | 1576 | 425                      |                    | -425                | 987                      |                    | -987                     |             |
| ATV-DEALER REGISTRATION            | 1577 | 200                      |                    | -200                | 550                      |                    | -550                     |             |
| ATV DEALER PLATES                  | 1578 | 136                      |                    | -136                | 399                      |                    | -399                     |             |
| ATV REPLACEMENT PLATES             | 1579 | 51                       |                    | -51                 | 69                       |                    | -69                      |             |
| ATV REGISTRATIONS                  | 1580 | 60,000                   | -16                | -60,016             | 90,000                   | -16                | -90,016                  |             |
| ATV LOCAL TEMP REG                 | 1581 | 7,000                    |                    | -7,000              | 7,500                    |                    | -7,500                   |             |
| ATV DUP REG                        | 1582 | 7                        |                    | -7                  | 13                       |                    | -13                      |             |
| ATV DUPLICATE STICKER              | 1583 | 10                       |                    | -10                 | 12                       |                    | -12                      |             |
| ATV REGISTRATION TRANSFER          | 1584 | 42                       |                    | -42                 | 58                       |                    | -58                      |             |
| ATV DEALER TEMP PLATES             | 1585 | 150                      |                    | -150                | 450                      |                    | -450                     |             |
| <b>15 M/V LICENSE RELATED FEES</b> |      | <b>141,443</b>           | <b>68,288</b>      | <b>-73,155</b>      | <b>293,959</b>           | <b>128,184</b>     | <b>-165,775</b>          |             |
| RES JUNIOR TRAP LICENSE            | 1701 | 109                      |                    | -109                | 119                      |                    | -119                     |             |
| 1-DAY FISH EXCHG COMB              | 1705 | 2,000                    | 351                | -1,649              | 4,000                    | 351                | -3,649                   |             |
| 3-DAY FISH EXCHG COMB              | 1706 | 500                      | 120                | -380                | 1,000                    | 120                | -880                     |             |
| NON RES SM GAME LIC                | 1708 | 1,000                    | 495                | -505                | 1,600                    | 495                | -1,105                   |             |
| BREED LIC FOR WILD ANIMAL          | 1709 | 78                       |                    | -78                 | 281                      |                    | -281                     |             |
| RES SERVICEMANS COMBO              | 1710 | 930                      | 1,129              | 199                 | 2,019                    | 1,129              | -890                     |             |
| FALCONRY LICENSE                   | 1711 | 12                       |                    | -12                 | 25                       |                    | -25                      |             |
| WILDLIFE EXHIBITORS                | 1712 | 122                      |                    | -122                | 648                      |                    | -648                     |             |
| NON RES HIDE DEALERS LIC           | 1715 |                          |                    |                     | 200                      |                    | -200                     |             |
| RES HIDE DEALERS LIC               | 1716 |                          |                    |                     | 1,500                    |                    | -1,500                   |             |
| BAIT WHOLESALERS                   | 1717 | 90                       |                    | -90                 | 90                       |                    | -90                      |             |
| ALIEN COMBINATION                  | 1720 | 660                      | 1,408              | 748                 | 660                      | 1,408              | 748                      |             |
| ALIEN FISHING                      | 1721 | 200                      | 1,820              | 1,620               | 700                      | 1,820              | 1,120                    |             |
| ALIEN SMALL GAME                   | 1722 | 113                      |                    | -113                | 169                      |                    | -169                     |             |
| ALIEN ARCHERY                      | 1723 | 70                       |                    | -70                 | 130                      |                    | -130                     |             |
| SPEC HIDE DEALER'S LICENSE         | 1724 | 36                       |                    | -36                 | 72                       |                    | -72                      |             |
| WILDLIFE IMPORT/POSS PERMIT        | 1725 | 83                       |                    | -83                 | 166                      |                    | -166                     |             |
| UNDISTR F&W COLLECTIONS            | 1726 | 130,000                  | 333,041            | 203,041             | 410,000                  | 1,913,559          | 1,503,559                |             |
| RES TRAP LIC STATEWIDE             | 1736 | 12,394                   |                    | -12,394             | 17,549                   |                    | -17,549                  |             |
| NON RES TRAP LIC                   | 1739 | 250                      |                    | -250                | 450                      |                    | -450                     |             |
| RESIDENT EXPANDED ARCHERY          | 1740 |                          | 2,281              | 2,281               |                          | 2,281              | 2,281                    |             |
| TAXIDERMY TRAINEE RENEWAL          | 1741 |                          | 80                 | 80                  |                          | 80                 | 80                       |             |
| NON RESIDENT EXPANDED ARCHERY      | 1742 |                          |                    |                     | 4,000                    |                    | -4,000                   |             |
| ONE DAY BASS TOURN LICENS          | 1743 | 40                       |                    | -40                 | 40                       |                    | -40                      |             |
| COMB FISH AND ARCHERY              | 1744 | 300                      | 1,584              | 1,284               | 1,000                    | 1,584              | 584                      |             |
| NON-RES 3 DAY SM GAME HUNT         | 1748 |                          | 330                | 330                 |                          | 330                | 330                      |             |
| SMALL GAME HUNTING LIC             | 1749 | 15                       | 120                | 105                 | 163                      | 120                | -43                      |             |
| RESIDENT JR HUNT LICENSE           | 1750 | 4,527                    | 1,495              | -3,032              | 8,070                    | 1,495              | -6,575                   |             |
| RES COMBINATION LICENSE            | 1751 | 50,000                   | 170,045            | 120,045             | 150,000                  | 170,045            | 20,045                   |             |
| RES HUNTING LICENSE                | 1752 | 62,533                   | 17,656             | -44,877             | 109,834                  | 17,637             | -92,197                  |             |

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PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
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|                               | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          |             |
|-------------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|-------------|
|                               |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance | Source Acct |
| RES FISHING LICENSE           | 1753 | 140,000                  | 431,041            | 291,041             | 410,000                  | 430,984            | 20,984                   |             |
| RESIDENT ARCHERY LICENSE      | 1754 | 2,500                    | 3,154              | 654                 | 4,500                    | 3,154              | -1,346                   |             |
| NON RES JUN SMALL GAME        | 1755 |                          | 600                | 600                 | 400                      | 600                | 200                      |             |
| EEL PERMITS                   | 1756 | 489                      |                    | -489                | 615                      |                    | -615                     |             |
| NON RES BIG GAME LIC          | 1757 | 483,026                  | 221,625            | -261,401            | 655,780                  | 221,625            | -434,155                 |             |
| NON RESIDENT ARCHERY LIC      | 1758 | 955                      | 825                | -130                | 1,592                    | 825                | -767                     |             |
| ALIEN BIG GAME HUNT LIC       | 1759 | 20,000                   | 1,250              | -18,750             | 25,000                   | 1,250              | -23,750                  |             |
| LICENSE TO SELL INLAND FI     | 1760 |                          |                    |                     | 20                       |                    | -20                      |             |
| DUPLICATE LICENSE FEES        | 1761 | 8                        |                    | -8                  | 16                       |                    | -16                      |             |
| ONE DAY FISHING               | 1762 | 12,000                   | 25,488             | 13,488              | 32,000                   | 25,479             | -6,521                   |             |
| ONE DAY FISHING EXCHANGE      | 1763 | 2,000                    | 1,904              | -96                 | 2,000                    | 1,904              | -96                      |             |
| NON RES 7 DAY FISH LIC.       | 1765 | 55,000                   | 78,971             | 23,971              | 135,000                  | 78,971             | -56,029                  |             |
| NON RES SEASON FISH LIC       | 1766 | 61,000                   | 231,893            | 170,893             | 183,000                  | 231,893            | 48,893                   |             |
| NON RES 15 DAY FISH LIC       | 1767 | 15,000                   | 24,715             | 9,715               | 39,000                   | 24,715             | -14,285                  |             |
| NON RES EXCHANGE FISH LIC     | 1768 |                          | 240                | 240                 |                          | 240                | 240                      |             |
| 3 DAY FISHING LIC             | 1769 | 47,000                   | 93,750             | 46,750              | 117,000                  | 93,750             | -23,250                  |             |
| BOYS GIRLS CAMP FISH LIC      | 1770 |                          |                    |                     | 362                      |                    | -362                     |             |
| NON RES FISH LIC JUNIOR       | 1771 | 2,000                    | 4,081              | 2,081               | 7,000                    | 4,081              | -2,919                   |             |
| NON RES COMBO FISH & HUNT     | 1772 | 25,000                   | 81,425             | 56,425              | 55,000                   | 81,425             | 26,425                   |             |
| COMM SHOOTING AREA LIC        | 1774 |                          |                    |                     | 110                      |                    | -110                     |             |
| RESIDENT GUIDE LICENSE        | 1778 | 4,000                    | -79                | -4,079              | 5,000                    | -79                | -5,079                   |             |
| MUZZLE LOADING LICENSE        | 1786 |                          | 330                | 330                 |                          | 330                | 330                      |             |
| NON-RES MUZZLE LD LIC         | 1787 | 78                       | 198                | 120                 | 78                       | 198                | 120                      |             |
| RESIDENT MOOSE PERMIT         | 1789 | 8,286                    |                    | -8,286              | 31,480                   |                    | -31,480                  |             |
| NONRESIDENT MOOSE PERMIT      | 1792 | 10,347                   |                    | -10,347             | 48,260                   |                    | -48,260                  |             |
| COM WH WATER OUTFIT LIC       | 1794 | 347                      |                    | -347                | 347                      |                    | -347                     |             |
| CAMP TRIP LEADERS PERMIT      | 1795 | 277                      |                    | -277                | 2,820                    |                    | -2,820                   |             |
| RES BEAR HUNT PERMIT          | 1796 | 97                       | 270                | 173                 | 436                      | 270                | -166                     |             |
| NON-RES BEAR HUNT PERMIT      | 1797 | 2,995                    | 630                | -2,365              | 4,739                    | 630                | -4,109                   |             |
| <b>17 HUNTING AND FISHING</b> |      | <b>1,158,467</b>         | <b>1,734,266</b>   | <b>575,799</b>      | <b>2,476,040</b>         | <b>3,314,699</b>   | <b>838,659</b>           |             |
| MAHOGANY QUAHOG TAX           | 1903 |                          |                    |                     |                          | -1,789             | -1,789                   |             |
| WEIGHTS AND MEASURES FEES     | 1909 | 7,735                    | 6,996              | -739                | 16,535                   | 13,048             | -3,487                   |             |
| FRANCHISE RECORDING FEE       | 1914 | 300                      |                    | -300                | 500                      |                    | -500                     |             |
| CONTAINER REGIST. FEE         | 1915 |                          | 50                 | 50                  |                          | 50                 | 50                       |             |
| COMM FOR JUSTICE PEACE ET     | 1916 | 7,083                    | 8,175              | 1,092               | 14,166                   | 14,700             | 534                      |             |
| AIR & SEAPLANE BASE LICEN     | 1935 | 233                      |                    | -233                | 466                      |                    | -466                     |             |
| AIRCRAFT DEALERS LICENSE      | 1936 | 433                      | 150                | -283                | 866                      | 150                | -716                     |             |
| LIC OPER WATER TREAT PLAN     | 1950 |                          | 265                | 265                 |                          | 280                | 280                      |             |
| <b>19 OTHER TAXES</b>         |      | <b>15,784</b>            | <b>15,636</b>      | <b>-148</b>         | <b>32,533</b>            | <b>26,439</b>      | <b>-6,094</b>            |             |
| MISCELLANEOUS FINES           | 2001 | 2,300,412                | 2,828,108          | 527,696             | 4,175,724                | 4,454,894          | 279,170                  |             |
| DOT FINES                     | 2002 | -157,500                 | -166,791           | -9,291              | -283,500                 | -319,460           | -35,960                  |             |
| IFW FINES                     | 2012 | -1,750                   |                    | 1,750               | 10,850                   |                    | -10,850                  |             |
| PUC FINES                     | 2017 | -65,000                  | -90,632            | -25,632             | -117,000                 | -190,018           | -73,018                  |             |

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BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

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|   | RSRC | AUGUST                |                  |                  | YEAR-TO-DATE          |                  |                       | Source Acct |
|---|------|-----------------------|------------------|------------------|-----------------------|------------------|-----------------------|-------------|
|   |      | Estimated Collections | Actual Receipts  | Monthly Variance | Estimated Collections | Actual Receipts  | Year-to-Date Variance |             |
| KEEP MAINE SCENIC FINES                 | 2020 | -183                  | -1,113           | -930             | -366                  | -1,446           | -1,080                |             |
| LOCAL ORDINANCE FINES                   | 2021 | -10,000               |                  | 10,000           | -18,000               | -150             | 17,850                |             |
| SURCHARGE FUND                          | 2076 | -38,000               | -41,402          | -3,402           | -68,400               | -83,839          | -15,439               |             |
| SURCHARGE-COURT ADMIN                   | 2077 | -16,250               | -18,544          | -2,294           | -29,250               | -37,365          | -8,115                |             |
| COLLECTION EXPENSE                      | 2078 | -190                  | -108,675         | -108,485         | -380                  | -74,306          | -73,926               |             |
| SURCHARGE-PUBLIC SAFETY                 | 2079 | -16,250               | -18,575          | -2,325           | -29,250               | -37,392          | -8,142                |             |
| DEP FINES                               | 2081 | 118,833               | 41,634           | -77,199          | 149,666               | 54,408           | -95,258               |             |
| IFW FINE WATERCRAFT                     | 2082 | 4,750                 | -595             | -5,345           | 3,750                 | -595             | -4,345                |             |
| TOBACCO ENFORCEMENT                     | 2086 | -4,000                | -18,378          | -14,378          | -7,200                | -35,318          | -28,118               |             |
| TOBACCO LICENSING VIOLAT                | 2087 | -1,241                |                  | 1,241            | -2,482                |                  | 2,482                 |             |
| LATE FEES                               | 2090 |                       |                  |                  |                       | 412              | 412                   |             |
| CHARGE FOR RETURNED CHECKS              | 2094 | 5,000                 | 6,420            | 1,420            | 10,000                | 11,500           | 1,500                 |             |
| <b>20 FINES, FORFEITS AND PENALTIES</b> |      | <b>2,118,631</b>      | <b>2,411,457</b> | <b>292,826</b>   | <b>3,794,162</b>      | <b>3,741,325</b> | <b>-52,837</b>        |             |
| INT BANK BALANCES                       | 2101 |                       | 17               | 17               |                       | 17               | 17                    |             |
| EARNINGS ON INVESTMENTS                 | 2105 | 833,333               | 1,989,150        | 1,155,817        | 833,333               | 1,989,150        | 1,155,817             |             |
| <b>21 REVENUE FROM USE OF MONEY</b>     |      | <b>833,333</b>        | <b>1,989,167</b> | <b>1,155,834</b> | <b>833,333</b>        | <b>1,989,167</b> | <b>1,155,834</b>      |             |
| DISTRIBUTED REVENUE-FED                 | 2223 | 26,726                |                  | -26,726          | 53,452                |                  | -53,452               |             |
| FED GRANTS FOR OTHER PURP               | 2226 | 724,397               | 57,958           | -666,439         | 1,448,795             | 129,244          | -1,319,551            |             |
| SERV FEES FEDERAL GOVERN                | 2296 | 23,811                | 13,268           | -10,543          | 61,622                | 31,136           | -30,486               |             |
| <b>22 FEDERAL</b>                       |      | <b>774,934</b>        | <b>71,226</b>    | <b>-703,708</b>  | <b>1,563,869</b>      | <b>160,380</b>   | <b>-1,403,489</b>     |             |
| PRO RATA SHARE OF ADMIN C               | 2461 | 167                   |                  | -167             | 334                   |                  | -334                  |             |
| <b>24 CITIES AND TOWNS</b>              |      | <b>167</b>            |                  | <b>-167</b>      | <b>334</b>            |                  | <b>-334</b>           |             |
| REIMBURSEMENT OF FIRE FIGHTING COSTS    |      |                       | 110              | 110              |                       | 110              | 110                   |             |
| CHILD SUPPORT COLLECTION                | 2520 | 39,833                |                  | -39,833          | 79,667                |                  | -79,667               |             |
| PRIV CONTR FOR OTHER PURP               | 2526 | 8,000                 | 3,726            | -4,274           | 17,000                | 10,547           | -6,453                |             |
| O A S I PAYMENTS                        | 2543 | 104,167               | 107,676          | 3,509            | 208,334               | 483,301          | 274,967               |             |
| <b>25 PRIVATE SOURCES</b>               |      | <b>152,000</b>        | <b>111,512</b>   | <b>-40,488</b>   | <b>305,001</b>        | <b>493,958</b>   | <b>188,957</b>        |             |
| RENT OF LANDS                           | 2601 |                       |                  |                  |                       | 834              | 834                   |             |
| RENT OF BLDGS                           | 2602 | 650                   |                  | -650             | 650                   |                  | -650                  |             |
| RENT OFFICES ROOMS                      | 2603 | 1,667                 |                  | -1,667           | 3,334                 | 1,547            | -1,787                |             |
| USE OF CONCESSIONS                      | 2604 | 5,000                 | 5,178            | 178              | 9,000                 | 6,204            | -2,796                |             |
| RECREATIONAL USE OF PARKS               | 2610 | 567,000               | 387,099          | -179,901         | 867,100               | 591,111          | -275,989              |             |
| JURY DUTY REIMB                         | 2611 | 5                     | 72               | 67               | 9                     | 257              | 248                   |             |
| CARE AND TREATMENT ARC                  | 2614 | 44,316                | 42,922           | -1,394           | 88,632                | 82,856           | -5,776                |             |
| MISC RENTS & LEASES                     | 2616 |                       | 866              | 866              | 1                     | 1,042            | 1,041                 |             |
| APPLIC & EXAM FEES                      | 2619 |                       | 4,208            | 4,208            |                       | 2,209            | 2,209                 |             |
| LAB SERVICES RENDERED                   | 2622 | 167                   | 645              | 478              | 333                   | 645              | 312                   |             |

Attachment A  
(cont'd)

## BUDGET MANAGEMENT SYSTEM

## REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 8  
DATE: 09/21/99  
Program: c01.sqr

|  | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          |             |
|--|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|-------------|
|  |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance | Source Acct |
| LEGAL SERVICES RENDERED                  | 2623 |                          | 25,000             | 25,000              |                          | 28,000             | 28,000                   |             |
| HOSP SERV RENDERED AUGUST                | 2625 |                          |                    |                     |                          | 160                | 160                      |             |
| MEDICAL SERVICES RENDERED                | 2627 |                          |                    |                     |                          | 246                | 246                      |             |
| HOSP SERV RENDERED PINELA                | 2629 | 36,480                   | 37,726             | 1,246               | 72,960                   | 76,275             | 3,315                    |             |
| RECNG FILNG RECORD DEEDS                 | 2630 | 29,500                   | 60,178             | 30,678              | 59,100                   | 106,259            | 47,159                   |             |
| REGISTRATION FEES                        | 2631 | 1,324                    | 3,491              | 2,167               | 8,108                    | 5,510              | -2,598                   |             |
| FILING FEES                              | 2632 | 92,000                   | 105,555            | 13,555              | 224,800                  | 225,517            | 717                      |             |
| HOSP SERV RENDERED LEVSON                | 2634 | 79,482                   | 82,762             | 3,280               | 158,963                  | 164,997            | 6,034                    |             |
| TUITION FEES                             | 2635 |                          | 625                | 625                 |                          | 1,375              | 1,375                    |             |
| WITNESS FEES                             | 2636 |                          | 33                 | 33                  |                          | 202                | 202                      |             |
| MISC SERVICES & FEES                     | 2637 | 38,316                   | 56,199             | 17,883              | 95,127                   | 95,409             | 282                      |             |
| MEDICAID CRISIS WORKERS                  | 2639 | 17,436                   | 5,788              | -11,648             | 34,872                   | 10,789             | -24,083                  |             |
| CERTIFIED DOCUMENT FEES                  | 2642 | 2,917                    | 3,395              | 478                 | 5,833                    | 6,100              | 267                      |             |
| EMPLOYEE MAINTENANCE                     | 2645 |                          | 5,575              | 5,575               |                          | 11,337             | 11,337                   |             |
| CARE OF CHILDREN                         | 2646 | 70,197                   |                    | -70,197             | 140,394                  |                    | -140,394                 |             |
| TESTING FEES                             | 2647 | 200                      |                    | -200                | 400                      |                    | -400                     |             |
| SALE OF MAPS                             | 2657 | 194                      |                    | -194                | 322                      |                    | -322                     |             |
| SALE OF BOOKS                            | 2658 | 1,737                    | 3,276              | 1,539               | 1,776                    | 7,167              | 5,391                    |             |
| SALE OF PRINTS                           | 2660 |                          |                    |                     | 430                      | 416                | -14                      |             |
| SALE OF SUPPLIES                         | 2663 | 395                      | 911                | 516                 | 420                      | 1,344              | 924                      |             |
| SALE MAILING LISTS                       | 2669 | 2,155                    | 2                  | -2,153              | 4,235                    | 82                 | -4,153                   |             |
| SALE GREASE TALLOW                       | 2671 | 500                      |                    | -500                | 1,500                    |                    | -1,500                   |             |
| SALE OF MEALS                            | 2674 | 161                      | 154                | -7                  | 323                      | 307                | -16                      |             |
| SALE OF LODGING AND MEALS                | 2676 | 9,008                    | 13,050             | 4,042               | 25,345                   | 33,490             | 8,145                    |             |
| AUDIT RETURNS                            | 2680 |                          | 281                | 281                 | 18,750                   | 281                | -18,469                  |             |
| OVERPAYMENTS TO BE REFUND                | 2681 | 30,000                   | -19                | -30,019             | 30,000                   | -433               | -30,433                  |             |
| SALE OF PUBL/COPIES/CERTS                | 2685 | 425                      | 789                | 364                 | 850                      | 575                | -275                     |             |
| MISCELLANEOUS INCOME                     | 2686 | 510,541                  | 31,968             | -478,573            | 521,582                  | 66,998             | -454,584                 |             |
| CASH OVER SHORT FORGN EX                 | 2689 |                          | -1,002             | -1,002              |                          | -1,002             | -1,002                   |             |
| RECOVERED COST                           | 2690 |                          | 1,340              | 1,340               | 25,000                   | 1,655              | -23,345                  |             |
| SERV AND FEES CHG OTHER D                | 2691 | 7,046                    | 4,924              | -2,122              | 15,092                   | 11,448             | -3,644                   |             |
| MR MED WAIVER-CASE MGMT                  | 2695 | 467,006                  | 828,518            | 361,512             | 934,012                  | 1,194,337          | 260,325                  |             |
| NON-WAIVER CASE MGMT                     | 2696 | 33,548                   | 23,690             | -9,858              | 67,096                   | 58,374             | -8,722                   |             |
| MEDICAID PROF SUPPORT SER                | 2697 | 20,874                   | 9,344              | -11,530             | 41,748                   | 12,022             | -29,726                  |             |
| ADMIN/COST ALLOCATION                    | 2698 |                          |                    |                     |                          | 767,895            | 767,895                  |             |
| <b>26 SERVICE CHARGES FOR CURR. SERV</b> |      | <b>2,070,247</b>         | <b>1,744,543</b>   | <b>-325,704</b>     | <b>3,458,097</b>         | <b>3,573,837</b>   | <b>115,740</b>           |             |
| INDIVIDUAL INCOME TAX                    | 2702 | -1,544,951               | -2,369,972         | -825,021            | -4,722,453               | -5,140,097         | -417,644                 |             |
| CORPORATE INCOME TAX                     | 2703 | -247,346                 | 469,471            | 716,817             | -423,963                 | 300,074            | 724,037                  |             |
| SALES TAX TRANSFER TO REVENUE SHARING    | 2706 | -1,699,337               | -1,977,128         | -277,791            | -5,344,122               | -5,919,096         | -574,974                 |             |
| TRANSFER ALCOHOL PREMIUM                 | 2705 | 132,000                  | 144,537            | 12,537              | 292,500                  | 311,990            | 19,490                   |             |
| TRANS FROM LIQUOR COMM                   | 2706 | 1,773,159                | 2,007,050          | 233,891             | 3,929,159                | 4,439,980          | 510,821                  |             |
| TRANS FROM LOTTERY COMM                  | 2707 | 3,159,551                | 2,988,581          | -170,970            | 6,315,305                | 6,358,060          | 42,755                   |             |
| STACAP - FROM HIGHWAY FND                | 2718 | 152,820                  | 155,662            | 2,842               | 373,560                  | 569,504            | 195,944                  |             |
| CONT FROM OTHER SPEC REV                 | 2719 | 2,500                    |                    | -2,500              | 2,500                    |                    | -2,500                   |             |
| STACAP - OTH SPCL REV FND                | 2721 | 131,600                  | 142,157            | 10,557              | 300,800                  | 506,978            | 206,178                  |             |

Attachment A  
(cont'd)

PRELIMINARY

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 010 GENERAL FUND

Page: 9  
DATE: 09/21/99  
Program: c01.sqr

|                                | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          | Source | Acct |
|--------------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|--------|------|
|                                |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance |        |      |
| FED BLOCK GRANT ST CAP         | 2728 | 18,630                   | 17,510             | -1,120              | 49,680                   | 62,966             | 13,286                   |        |      |
| STACAP - FEDRL EXPEND FND      | 2729 | 173,700                  | 202,905            | 29,205              | 328,100                  | 771,447            | 443,347                  |        |      |
| STACAP - ENTERPRISE FUNDS      | 2730 | 31,860                   | 28,884             | -2,976              | 67,260                   | 68,184             | 924                      |        |      |
| STACAP - INTRAGOVMTL FNDS      | 2731 | 24,000                   | 46,344             | 22,344              | 45,000                   | 110,631            | 65,631                   |        |      |
| STA-CAP HIGHWAY BOND FUND      | 2736 |                          | 62                 | 62                  |                          | 62                 | 62                       |        |      |
| 27 CONTRIB AND TRANSFERS       |      | 2,108,186                | 1,856,063          | -252,123            | 1,213,326                | 2,440,683          | 1,227,357                |        |      |
| SALE OF EQUIPMENT              | 2806 | 600                      |                    | -600                | 1,200                    |                    | -1,200                   |        |      |
| SALE OF STUMPAGE               | 2816 |                          |                    |                     |                          | 393                | 393                      |        |      |
| SALE OF AUTOS                  | 2821 | 500                      |                    | -500                | 1,000                    |                    | -1,000                   |        |      |
| OTHER SETTLEMENTS              | 2830 |                          | 700                | 700                 |                          | 700                | 700                      |        |      |
| 28 SALES AND COMP LOSS OF PROP |      | 1,100                    | 700                | -400                | 2,200                    | 1,093              | -1,107                   |        |      |
| **** Total ****                | 9999 | 90,233,097               | 115,950,339        | 25,717,242          | 243,255,675              | 269,529,376        | 26,273,701               |        |      |

Attachment A  
(cont'd)

PRELIMINARY; SUBJECT TO  
CHANGE

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 012 HIGHWAY FUND

Page: 1  
DATE: 09/21/99  
Program: c01.sqr

|                                    | RSRC | AUGUST                   |                    |                     | YEAR-TO-DATE             |                    |                          | Source Acct |
|------------------------------------|------|--------------------------|--------------------|---------------------|--------------------------|--------------------|--------------------------|-------------|
|                                    |      | Estimated<br>Collections | Actual<br>Receipts | Monthly<br>Variance | Estimated<br>Collections | Actual<br>Receipts | Year-to-Date<br>Variance |             |
| USE FUEL TAX                       | 0311 | -1,107,782               | -448,907           | 658,875             | 1,419,839                | 578,002            | -841,837                 |             |
| REFUNDS USE FUEL TAX               | 0312 | -285,000                 | -394,025           | -109,025            | -311,000                 | -556,201           | -245,201                 |             |
| TAX INT COMB ENGINE FUEL           | 0321 | 1,835,989                | 1,532,920          | -303,069            | 12,411,207               | 12,305,249         | -105,958                 |             |
| GAS TAX REFUNDS                    | 0322 | -42,834                  | -41,727            | 1,107               | -64,564                  | -122,446           | -57,882                  |             |
| DIESEL OFF-HIGHWAY                 | 0325 | -590,522                 | -284,479           | 306,043             | -855,088                 | -351,804           | 503,284                  |             |
| USE FUEL PENALTY AND INT           | 0326 | 9,399                    |                    | -9,399              | 15,698                   | 320                | -15,378                  |             |
| GAS TAX REFUNDS AERONAUTI          | 0332 |                          |                    |                     |                          | -44                | -44                      |             |
| GASOLINE SHRINKAGE                 | 0333 | -76,859                  | -96,731            | -19,872             | -87,904                  | -97,209            | -9,305                   |             |
| <b>03 GASOLINE TAX</b>             |      | <b>-257,609</b>          | <b>267,051</b>     | <b>524,660</b>      | <b>12,528,188</b>        | <b>11,755,867</b>  | <b>-772,321</b>          |             |
| REGISTRATION MOTOR VEHICL          | 1501 | 3,874,012                | 3,985,311          | 111,299             | 7,224,509                | 6,789,698          | -434,811                 |             |
| AUTO DRIVERS LICENSES              | 1502 | 490,000                  | 552,255            | 62,255              | 880,000                  | 960,493            | 80,493                   |             |
| LICENSE RESTORATION FEES           | 1503 | 100,000                  | 129,500            | 29,500              | 195,000                  | 228,880            | 33,880                   |             |
| TITLE LAW                          | 1504 | 485,000                  | 533,668            | 48,668              | 870,000                  | 1,016,338          | 146,338                  |             |
| INITIAL PLATE FEES                 | 1505 | 15,000                   | 87,545             | 72,545              | 39,000                   | 173,945            | 134,945                  |             |
| OPERATORS EXAM FEES                | 1506 | 70,000                   | 70,623             | 623                 | 138,000                  | 133,083            | -4,917                   |             |
| FEES TEMP DEALERS PLATES           | 1507 | 14,000                   | 20,101             | 6,101               | 30,000                   | 32,702             | 2,702                    |             |
| RES NUMBER FEES                    | 1508 | 2,800                    | 50,620             | 47,820              | 5,700                    | 113,195            | 107,495                  |             |
| DUP AUTO PLATES                    | 1509 | 15,000                   | 9,825              | -5,175              | 30,000                   | 17,565             | -12,435                  |             |
| DUPLICATE TABS                     | 1510 | 800                      | 815                | 15                  | 1,700                    | 1,405              | -295                     |             |
| DUP CERTIFICATE                    | 1511 | 57,000                   | 53,199             | -3,801              | 100,000                  | 94,002             | -5,998                   |             |
| REGISTRATION INCREASE              | 1512 | 104,000                  | 53,483             | -50,517             | 161,000                  | 113,854            | -47,146                  |             |
| REG PLATE EQUIP & PROD PRG         | 1520 | 102,000                  |                    | -102,000            | 207,000                  |                    | -207,000                 |             |
| IFTA APPL FEE                      | 1525 | 800                      | 2,387              | 1,587               | 1,300                    | 2,907              | 1,607                    |             |
| FUEL USE PERMITS                   | 1530 | 500                      |                    | -500                | 1,000                    |                    | -1,000                   |             |
| FEE CERTIFICATE PERMITS            | 1531 | 55,000                   | 61,705             | 6,705               | 92,000                   | 116,417            | 24,417                   |             |
| TRANSPORTER PERMITS                | 1532 | 6,700                    | 6,010              | -690                | 12,300                   | 10,292             | -2,008                   |             |
| TRANSFER FEE                       | 1533 | 72,000                   | 61,732             | -10,268             | 127,000                  | 101,073            | -25,927                  |             |
| MOTOR VEH REFUNDS                  | 1541 |                          | 644                | 644                 |                          | 3,526              | 3,526                    |             |
| ENVIRONMENTAL PLATES               | 1596 |                          | 53,497             | 53,497              |                          | 53,497             | 53,497                   |             |
| UNIVERSITY OF MAINE LICENSE PLATES |      |                          | 9,710              | 9,710               |                          | 16,635             | 16,635                   |             |
| <b>15 M/V LICENSE RELATED FEES</b> |      | <b>5,464,612</b>         | <b>5,742,630</b>   | <b>278,018</b>      | <b>10,115,509</b>        | <b>9,979,507</b>   | <b>-136,002</b>          |             |
| M V INSPECTION STICKERS            | 1922 | 129,500                  | 168,583            | 39,083              | 216,500                  | 264,230            | 47,730                   |             |
| DRIVER EDUCA COMMER LICEN          | 1924 | 700                      | 250                | -450                | 1,800                    | 1,000              | -800                     |             |
| DRIVERS EDUCA INSTR LICEN          | 1925 | 1,500                    | 2,260              | 760                 | 3,000                    | 4,320              | 1,320                    |             |
| INSPECTION MECHANIC'S CET          | 1926 | 180                      | 157                | -23                 | 180                      | 244                | 64                       |             |
| TRAFFIC MOVEMENT PERMITS           | 1929 | 4,500                    | 1,046              | -3,454              | 5,000                    | 1,046              | -3,954                   |             |
| OTHER PERMITS                      | 1930 |                          | 15                 | 15                  |                          | 15                 | 15                       |             |
| PERMIT TO OPEN HWYS                | 1931 | 10,000                   | 2,444              | -7,556              | 20,000                   | 10,729             | -9,271                   |             |
| PERMIT TO USE HWYS COMM            | 1932 | 42,000                   | 62,611             | 20,611              | 82,000                   | 121,098            | 39,098                   |             |
| <b>19 OTHER TAXES</b>              |      | <b>188,380</b>           | <b>237,366</b>     | <b>48,986</b>       | <b>328,480</b>           | <b>402,682</b>     | <b>74,202</b>            |             |
| DOT FINES                          | 2002 | 175,000                  | 166,791            | -8,209              | 350,000                  | 319,460            | -30,540                  |             |

Attachment B

BUDGET MANAGEMENT SYSTEM

REVENUE VARIANCE REPORT FOR AUGUST 1999

FORM.....: E Undedicated Revenues  
Fund.....: 012 HIGHWAY FUND

Page: 2  
DATE: 09/21/99  
Program: c01.sqr

|                                    | RSRC | AUGUST                |                 |                  | YEAR-TO-DATE          |                 |                       |             |
|------------------------------------|------|-----------------------|-----------------|------------------|-----------------------|-----------------|-----------------------|-------------|
|                                    |      | Estimated Collections | Actual Receipts | Monthly Variance | Estimated Collections | Actual Receipts | Year-to-Date Variance | Source Acct |
| 20. FINES, FORFEITS AND PENALTIES  |      | 175,000               | 166,791         | -8,209           | 350,000               | 319,460         | -30,540               |             |
| EARNINGS ON INVESTMENTS            | 2105 | 150,000               | 307,474         | 157,474          | 150,000               | 307,474         | 157,474               |             |
| 21. REVENUE FROM USE OF MONEY      |      | 150,000               | 307,474         | 157,474          | 150,000               | 307,474         | 157,474               |             |
| SERVICES OUTSIDE AGENCIES          | 2438 |                       | 36              | 36               |                       | 408             | 408                   |             |
| SERV & FEES CHGD CTYS TWN          | 2498 |                       | 129             | 129              |                       | 129             | 129                   |             |
| 24. CITIES AND TOWNS               |      |                       | 165             | 165              |                       | 537             | 537                   |             |
| PRIV CONTR FOR OTHER PURP          | 2526 |                       | -2,186          | -2,186           |                       |                 |                       |             |
| 25. PRIVATE SOURCES                |      |                       | -2,186          | -2,186           |                       |                 |                       |             |
| JURY DUTY REIMB                    | 2611 | 300                   | 100             | -200             | 600                   | 134             | -466                  |             |
| INSPECTION SERVICES                | 2621 | 500                   |                 | -500             | 1,000                 |                 | -1,000                |             |
| WITNESS FEES                       | 2636 |                       | 34              | 34               |                       | 83              | 83                    |             |
| MISC SERVICES & FEES               | 2637 | 301,166               | 264,418         | -36,748          | 541,666               | 614,791         | 73,125                |             |
| IDENT CARD FEES                    | 2638 | 5,000                 | 7,635           | 2,635            | 12,700                | 13,755          | 1,055                 |             |
| SALE OF PLANS AND SPECIFA          | 2656 | 3,500                 | 4,720           | 1,220            | 12,500                | 7,664           | -4,836                |             |
| SALE OF BOOKS                      | 2658 | 490                   | 665             | 175              | 800                   | 840             | 40                    |             |
| SALE OF PRINTS                     | 2660 | 645                   |                 | -645             | 645                   | 624             | -21                   |             |
| SALE OF PUBL/COPIES/CERTS          | 2685 | 100                   | 762             | 662              | 200                   | 762             | 562                   |             |
| MISCELLANEOUS INCOME               | 2686 | 132,707               | 57,493          | -75,214          | 232,307               | 107,100         | -125,207              |             |
| CASH OVER SHORT FORGN EX           | 2689 |                       | 2,162           | 2,162            |                       | 1,046           | 1,046                 |             |
| SERV AND FEES CHG OTHER D          | 2691 | 27,870                | 21,006          | -6,864           | 53,050                | 38,076          | -14,974               |             |
| 26. SERVICE CHARGES FOR CURR. SERV |      | 472,278               | 358,995         | -113,283         | 855,468               | 784,875         | -70,593               |             |
| SALE OF LAND                       | 2802 | 1,000                 | 2,200           | 1,200            | 2,000                 | 2,200           | 200                   |             |
| SALE OF AUTOS                      | 2821 |                       |                 |                  | 45,000                |                 | -45,000               |             |
| OTHER SETTLEMENTS                  | 2830 | 100                   |                 | -100             | 1,100                 |                 | -1,100                |             |
| INSURANCE SETTLEMENT OTHE          | 2832 | 500                   |                 | -500             | 1,000                 |                 | -1,000                |             |
| 28. SALES AND COMP LOSS OF PROP    |      | 1,600                 | 2,200           | 600              | 49,100                | 2,200           | -46,900               |             |
| **** Total ****                    | 9999 | 6,194,261             | 7,080,486       | 886,225          | 24,376,745            | 23,552,602      | -824,143              |             |

Attachment B  
(cont'd.)

## UAL History

OFPR

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9/21/99

**UNFUNDED ACTUARIAL LIABILITY (UAL)**  
**RECENT HISTORY**  
(all amounts in millions)

| Fiscal Period | UAL Beginning Balance | UAL Projected Increase | UAL Actual Increase/(Decrease) | UAL Ending Balance |
|---------------|-----------------------|------------------------|--------------------------------|--------------------|
| 1994-95       | \$2,828               | \$106                  | (\$36)                         | \$2,792            |
| 1995-96       | \$2,792               | \$101                  | \$97                           | \$2,889            |
| 1996-97       | \$2,889               | \$103                  | (\$277)                        | \$2,612            |
| 1997-98       | \$2,612               | \$91                   | (\$158)                        | \$2,454            |

## Notes on UAL Changes:

1994-95 - \$71m of the decrease resulted from asset gain (investment return); other gains from differences between assumptions and actual experience in certain areas, including salary increases that were lower than expected.

1995-96 - \$127m decrease from asset gain; \$89m increase from prefunding ancillary benefits; the other increase, \$34m, from assumption v. actual differences, primarily in teacher group.

1996-97 - \$217m decrease from asset gain; remaining decrease, \$163m from assumption v. actual differences.

1997-98 - \$287m decrease from asset gain; \$98m decrease from assumption v. actual differences; \$136m increase from changes in assumptions.

Source: Maine State Retirement System Valuations



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9/21/99

**Maine State Retirement System  
Amortization of 7/1/98 Unfunded Actuarial Liability  
Amortized over 25 years from 7/1/98**

| Fiscal Year | Total Unfunded<br>Actuarial Liability | Total Payment |
|-------------|---------------------------------------|---------------|
| 1999-00     | 2,511,497,702                         | 140,519,293   |
| 2000-01     | 2,565,935,342                         | 148,247,854   |
| 2001-02     | 2,616,671,474                         | 156,401,486   |
| 2002-03     | 2,662,966,868                         | 165,003,567   |
| 2003-04     | 2,703,998,785                         | 174,078,764   |
| 2004-05     | 2,738,852,958                         | 183,653,096   |
| 2005-06     | 2,766,514,848                         | 193,754,016   |
| 2006-07     | 2,785,860,141                         | 204,410,487   |
| 2007-08     | 2,795,644,384                         | 215,653,064   |
| 2008-09     | 2,794,491,714                         | 227,513,982   |
| 2009-10     | 2,780,882,599                         | 240,027,251   |
| 2010-11     | 2,753,140,489                         | 253,228,750   |
| 2011-12     | 2,709,417,311                         | 267,156,331   |
| 2012-13     | 2,647,677,687                         | 281,849,929   |
| 2013-14     | 2,565,681,776                         | 297,351,676   |
| 2014-15     | 2,460,966,636                         | 313,706,018   |
| 2015-16     | 2,330,825,952                         | 330,959,849   |
| 2016-17     | 2,172,288,023                         | 349,162,640   |
| 2017-18     | 1,982,091,839                         | 368,366,586   |
| 2018-19     | 1,756,661,103                         | 388,626,748   |
| 2019-20     | 1,492,076,013                         | 410,001,219   |
| 2020-21     | 1,184,042,628                         | 432,551,286   |
| 2021-22     | 827,529,601                           | 456,341,607   |
| 2022-23     | 418,382,078                           | 481,440,395   |
| 2023-24     | 0                                     | 0             |
|             |                                       | 6,680,005,894 |

Source: Milliman & Robertson, Inc. (Actuary for the Maine State Retirement System)

OFPR  
9/21/99 (Updated)  
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**SUPPLEMENTAL TRANSFERS/APPROPRIATIONS  
TO THE MAINE STATE RETIREMENT SYSTEM**

| <i>Fiscal Year</i> | <i>Amount Transferred<br/>Pursuant to 5 MRSA<br/>§1517 <sup>1</sup></i> | <i>Amount<br/>Appropriated</i> | <i>Reference</i>     |
|--------------------|---|--------------------------------|----------------------|
| 1994-95            | \$1,620,576   | <sup>2</sup>                   |                      |
| 1995-96            | \$7,206,796   | <sup>3</sup>                   |                      |
| 1996-97            | \$14,892,189  |                                | PL 1997, C. 1, § A-1 |
| 1997-98            | \$11,737,572  |                                | PL 1997, C.24, § E-1 |
| 1998-99            | \$19,654,339  | \$1,000,000                    | PL 1999, C.4, § A-1  |

Total payments to the Maine State Retirement System during this 5-year period were approximately \$58.5 million, and reduced payments toward the unfunded liability by approximately \$200 million.

<sup>1</sup> Enacted by PL 1995, C.464, effective July 3, 1995

<sup>2</sup> According to the FY 95 Single State Audit, the FY 95 transferred amount was based on preliminary revenue amounts and was overstated by \$600,000.

<sup>3</sup> The FY 96 transferred amount was adjusted downward by \$600,000 to account for the FY 95 overstatement.



# MAINE STATE RETIREMENT SYSTEM

Kay R. H. Evans, *Executive Director*  
Gail Drake Wright, *Chief Deputy Director*

## BOARD OF TRUSTEES

David S. Wakelin, *Chair*  
John H. Kimball, *Vice Chair*  
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Charles M. Jackson  
Peter M. Leslie  
Eunice Mercier  
Catherine R. Sullivan

*Ex-officio Member*  
Dale McCormick,  
*State Treasurer*

Attachment C  
< p. 4 >

DATE: September 3, 1999

TO: Grant Pennoyer, Principal Analyst, Office of Fiscal & Program Review

FROM: *Gail Drake Wright*  
Gail Drake Wright, Chief Deputy

RE: Unfunded Liability Question

For future reference I wanted to let you know the answer to your question about the "worth" of \$1 million thrown at the unfunded liability over the amortization period. As I mentioned to you on the phone, this answer falls out of each annual valuation that is done by the System's actuary and changes with each valuation. The latest valuation that we have is for 1998. The 1999 valuation is expected later this fall. Based on the 1998 valuation, \$1 million put against the unfunded liability saves \$2.8 million in interest costs over the amortization period. (By the way, our actuary always insists on pointing out that the worth of one dollar put into the fund today is one dollar.)

When we receive the 1999 valuation we will determine an updated number, which I will send to you.

LOCATION: Two Central Plaza, Corner of Capitol & Sewall St., Augusta, Maine  
MAILING ADDRESS: 46 State House Station, Augusta, Maine 04333-0046

TELEPHONE: (207) 287-3461 or toll free 800-451-9800

TDD: (207) 287-8446

FAX: (207) 287-1032

G:\OFPR\GENGOVTAMORTIZE.XLS  
Updated: 4/6/1995 (Revised: 9/22/1999)

**MAINE STATE RETIREMENT SYSTEM**  
**Effect of \$1 M Payment Towards Unfunded Liability**  
**Amortization Factor**

|           | Value of \$1M<br>Payment | Reduction of<br>UAL<br>Payments | Value of \$1M<br>Payment | Reduction of<br>UAL<br>Payments | Value of \$1M<br>Payment | Reduction of<br>UAL<br>Payments |
|-----------|--------------------------|---------------------------------|--------------------------|---------------------------------|--------------------------|---------------------------------|
| FY1995-96 | 1,000,000                | 43,445                          |                          |                                 |                          |                                 |
| FY1996-97 | 1,036,565                | 46,052                          | 1,000,000                | 44,428                          |                          |                                 |
| FY1997-98 | 1,073,428                | 48,815                          | 1,035,572                | 47,093                          | 1,000,000                | 45,476                          |
| FY1998-99 | 1,110,487                | 51,744                          | 1,071,325                | 49,919                          | 1,034,524                | 48,204                          |
| FY1999-00 | 1,147,583                | 54,848                          | 1,107,112                | 52,914                          | 1,069,082                | 51,096                          |
| FY2000-01 | 1,184,541                | 58,139                          | 1,142,767                | 56,089                          | 1,103,513                | 54,162                          |
| FY2001-02 | 1,221,166                | 61,627                          | 1,178,100                | 59,454                          | 1,137,632                | 57,412                          |
| FY2002-03 | 1,257,231                | 65,325                          | 1,212,894                | 63,021                          | 1,171,230                | 60,857                          |
| FY2003-04 | 1,292,485                | 69,245                          | 1,246,904                | 66,803                          | 1,204,072                | 64,508                          |
| FY2004-05 | 1,326,639                | 73,399                          | 1,279,854                | 70,811                          | 1,235,890                | 68,378                          |
| FY2005-06 | 1,359,871                | 77,803                          | 1,311,431                | 75,059                          | 1,266,383                | 72,481                          |
| FY2006-07 | 1,390,317                | 82,471                          | 1,341,286                | 79,563                          | 1,295,213                | 76,830                          |
| FY2007-08 | 1,419,071                | 87,420                          | 1,369,026                | 84,337                          | 1,322,000                | 81,440                          |
| FY2008-09 | 1,445,177                | 92,665                          | 1,394,212                | 89,397                          | 1,346,320                | 86,326                          |
| FY2009-10 | 1,468,127                | 98,225                          | 1,416,352                | 94,761                          | 1,367,699                | 91,506                          |
| FY2010-11 | 1,487,352                | 104,118                         | 1,434,899                | 100,446                         | 1,385,610                | 96,996                          |
| FY2011-12 | 1,502,222                | 110,365                         | 1,449,245                | 106,473                         | 1,399,462                | 102,816                         |
| FY2012-13 | 1,512,034                | 116,987                         | 1,458,711                | 112,862                         | 1,408,604                | 108,985                         |
| FY2013-14 | 1,516,010                | 124,006                         | 1,462,546                | 119,633                         | 1,412,307                | 115,524                         |
| FY2014-15 | 1,513,284                | 131,447                         | 1,459,917                | 126,811                         | 1,409,768                | 122,455                         |
| FY2015-16 | 1,502,900                | 139,334                         | 1,449,899                | 134,420                         | 1,400,094                | 129,803                         |
| FY2016-17 | 1,483,798                | 147,694                         | 1,431,471                | 142,485                         | 1,382,299                | 137,591                         |
| FY2017-18 | 1,454,808                | 156,555                         | 1,403,503                | 151,034                         | 1,355,292                | 145,846                         |
| FY2018-19 | 1,414,638                | 165,949                         | 1,364,749                | 160,096                         | 1,317,869                | 154,597                         |
| FY2019-20 | 1,361,860                | 175,906                         | 1,313,833                | 169,702                         | 1,268,702                | 163,873                         |
| FY2020-21 | 1,294,903                | 186,460                         | 1,249,237                | 179,884                         | 1,206,325                | 173,705                         |
| FY2021-22 | 1,212,036                | 197,648                         | 1,169,292                | 190,677                         | 1,129,126                | 184,127                         |
| FY2022-23 | 1,111,351                | 209,506                         | 1,072,158                | 202,118                         | 1,035,329                | 195,175                         |
| FY2023-24 | 990,753                  | 222,077                         | 955,813                  | 214,245                         | 922,980                  | 206,886                         |
| FY2024-25 | 847,936                  | 235,401                         | 818,033                  | 227,100                         | 789,933                  | 219,299                         |
| FY2025-26 | 680,370                  | 249,525                         | 656,376                  | 240,728                         | 633,829                  | 232,457                         |
| FY2026-27 | 405,274                  | 264,497                         | 468,160                  | 255,169                         | 452,079                  | 246,404                         |
| FY2027-28 | 259,599                  | 280,367                         | 250,444                  | 270,479                         | 241,841                  | 261,188                         |
| FY2028-29 | 0                        | 0                               | 0                        | 0                               | 0                        | 0                               |
|           |                          | \$4,229,065                     |                          | \$4,038,011                     |                          | \$3,856,403                     |

Value of \$1M Payment = Value at the beginning of the fiscal year.

UAL = Unfunded Actuarial Liability

Source: Milliman & Robertson, Inc. (Actuary for the Maine State Retirement System)

# COMMITTEE ON ALLOCATION OF LEGISLATIVE SPACE

## Report to the Legislative Council September 22, 1999

- Committee has met with the Governor and, again, today to try and complete our work in the South Wing.
- Governor indicated that he is not supportive of any reuse of the Law Library - either for his use or any other legislative use.

Implication of this decision is that we need to rethink how we use space in the State Office Building if we are to assure good, healthy working conditions for legislators and legislative staff.

- With the Governor's position in mind, the Committee has agreed to recommend the following to you.
  1. That, in addition to Rooms 221 and 223 (which was previously assigned to the Taxation Committee), the Governor's staff be allocated Room 225, which was formerly part of the Office of Fiscal and Program Review.

This will give the Governor a total of 1,189 square feet in the South Wing for a proposed full-time staff of 7-8 people, yielding an aver of 148.6 square feet per person.

2. That the Engrossing Division be assigned to Rooms 120A and 120B on a temporary basis until we have completed renovation of the North Wing.
3. That the OPLA Staff who are currently in Room 135 be assigned to one of the portable units for the 2nd Regular Session.
  - The implication of the Governor's position on the Law Library space is that we are going to have to move one staff office to the State Office Building.
  - Acknowledge Dave Boulter's cooperative spirit
    - He would prefer to stay in the State House, but his higher priority is to have all of his staff in a single, contiguous area.
4. That Sally and Dave be authorized to work with Rick Burt and the architects for the State Office Building to analyze the best way to accommodate OPLA in the State Office Building and present plans and options to the Committee for review and final approval by the Council.

## PERSONNEL COMMITTEE

### Report to the Legislative Council September 22, 1999

The Personnel Committee met on Friday, September 10. The primary purpose of this meeting was to meet with Julie Armstrong, the attorney whom we have now hired to guide us through the development of a framework for collective bargaining, pursuant to the Council's approval at our August meeting.

- Julie provided Committee members with an overview of the law, which focused on the Council's role as managers in this process, working in concert with Sally and the Directors of our non-partisan staff offices. She has since had a similar session with the Staff Office Directors.
- Our next step will be to schedule a training session for the Directors and all Council members, whose purpose will be to insure that we have the information we need carry out our responsibilities in a manner that is both consistent with the law and that assures a fair and open process.

#### Employee Recognition Day

I would like to remind everyone that Senate President Lawrence and I have scheduled our annual Employee Recognition Day for **Wednesday, October 6, beginning at 1:00 p.m.**

I understand that the 118th Council established this tradition last year and that it was very successful. It is an opportunity to recognize the service and dedication of all our legislative employees. It is an **important** opportunity, and I encourage all members of the Council to attend.

#### NCSL Classification and Compensation Study

We are in the process of scheduling a Committee meeting to continue our review of NCSL's report and to develop a proposal for implementation, which we hope to present to the Council later in October.

**LEGISLATIVE COUNCIL  
LEGISLATIVE SUMMIT**

**Registration as of September 20**

**Leaders Forum, Sunday, September 26**

Senators: Amero

Representatives: Rowe; Saxl; Murphy; Shiah; Campbell

Staff: O'Brien; Mayo; DelFranco  
Boulter; Clair; Matheson; Mayotte; Randall; Tubbesing  
Bigos; Kelleher

**Legislative Summit, Monday, September 27**

67 legislators and 9 members of the legislative staff have registered to date.

|  | a.m. | p.m. |
|--|------|------|
| Enhancing Maine Capital -- the Link Between<br>Education & Income  | 34   | 9    |
| The Link Between Physical, Mental and Social<br>Health and Income  | 6    | 9    |
| How Do You Know When Incentives and<br>Regulation Work? -- Measuring Impacts and<br>Ensuring Accountability            | 16   | 18   |
| How Maine is Connected to the Work and Implications<br>for Growth -- Transportation, Energy, and<br>Telecommunications | 15   | 31   |

## LEGISLATIVE SUMMIT

September 26-27, 1999

*Sponsored jointly by:  
Edmund S. Muskie School of Public Service  
Margaret Chase Smith Center for Public Policy*

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## LEADERS FORUM

Sunday, September 26, 1999

### THEME:

How to create a "best in the nation" legislative process, consistent with Maine's history of a part-time, citizen-based Legislature *in an era of term limits.*

### AGENDA:

- 10:00 - 10:30 a.m. *Registration/Coffee (and time to tour the grounds)*
- 10:30 - 11:45 p.m. **Session I: The effects and experience of term limits**
- 11:45 - 12:45 p.m. *Lunch*
- 12:45 - 2:00 p.m. **Session II: Enhancing the procedures and structure of Maine's Legislature**
- 2:00 - 2:15 p.m. *Break*
- 2:15 - 3:30 p.m. **Session III: Enhancing the education/training for new legislators**

### RESOURCES:

*Barton Wechsler, Dean & Professor, Edmund S. Muskie School of Public Service, USM*

*Matthew Moen, Professor of Political Science, Department of Political Science, UM*

*Kenneth Palmer, Professor of Political Science, Department of Political Science, UM*

*Charles Colgan, Professor of Public Policy and Management, Edmund S. Muskie School of Public Service, USM*

*James Claire, Director, Office of Fiscal and Program Review*

*Deirdre M. Mageean, Interim Director, Margaret Chase Smith Center for Public Policy & Associate Professor of Resource Economics and Policy, UM*



**LEGISLATORS FORUM**  
**Monday, September 27, 1999**

**THEME: Increasing Maine's per capita income**

**SPECIFIC TOPICS:**

- **Enhancing Maine's Human Capital — The Link Between Education And Income**

*Moderator: Deirdre M. Mugeean, Interim Director, Margaret Chase Smith Center for Public Policy & Associate Professor of Resource Economics and Policy, UM*

*David Silvernail, Director, Center for Educational Policy, Applied Research and Evaluation & Professor of Educational Research and Evaluation, USM*

*Russ Quaglia, Director, National Center for Student Aspirations & Professor of Education Leadership, UIM*

*Jim Breece, Associate Professor of Economics, UM*

- **The Link Between Physical, Mental, and Social Health and Income**

*Moderator: Andrew Coburn, Associate Dean, Edmund S. Muskie School of Public Service & Director, Institute for Health Policy, USM*

*Gail Werrbach, Associate Professor of Social Work, UM*

*Freda Bernotavicz, Director, Institute for Public Sector Innovation and Research, USM*

*Marcella Sorg, Director, Interdisciplinary Training for Health Care in Rural Areas Project, Margaret Chase Smith Center for Public Policy & Associate Professor of Anthropology, UM*

*Paul Saucier, Senior Policy Analyst, Institute for Health Policy, USM*

- **How Do You Know When Incentives and Regulation Work? — Measuring Impacts and Ensuring Accountability**

*Moderator: Barian Wechsler, Dean & Professor, Edmund S. Muskie School of Public Service, USM*

*Todd Gabe, Assistant Professor of Resource Economics and Policy, UM*

*Mark Lapping, Provost, Vice President for Academic Affairs & Professor, USM*

*James Claire, Director, Office of Fiscal and Program Review*

- **How Maine Is Connected to the World and the Implications for Growth — Transportation, Energy, and Telecommunications**

*Moderator: Jonathan Rubin, Research Associate, Margaret Chase Smith Center for Public Policy & Assistant Professor of Resource Economics and Policy, UIM*

*Charles Colgan, Professor of Public Policy and Management, Edmund S. Muskie School of Public Service, USM*

*George Markowsky, Professor of Computer Science, UM*

*Richard Hill, Director Emeritus, Department of Industrial Cooperation & Professor Emeritus of Mechanical Engineering, UM*

**AGENDA:**

9:00 - 9:15 a.m. Welcome & Introductions

*Mark Lawrence, Senate President*

*G. Steven Rowe, Speaker of the House*

*Deirdre M. Magee, Interim Director, Margaret Chase Smith Center for Public Policy & Associate Professor of Resource Economics and Policy, UM*

9:15 - 10:00 a.m. Keynote Speaker

*Yolanda Kndrzycki,*

*Assistant Vice President & Economist, Federal Reserve Bank of Boston*

10:00 - 10:15 a.m. Question & Answer Session

10:15 - 10:30 a.m. Break

10:30 - 12:00 p.m. Morning Session (four sessions offered concurrently)

Each session will explore new ways of thinking about the issue at hand, and will examine the range of possible policy directions that stem from this best available knowledge. The purpose of the sessions *will not be* to come to consensus about what are the "best" possible courses of action. Rather, they will be forward thinking and focused on new innovations in policy development.

12:00 - 1:30 p.m. Lunch

1:30 - 3:00 p.m. Afternoon Session (four sessions repeated)

3:00 - 3:15 p.m. Break

3:15 - 4:00 p.m. Reflection on the Dialogue: What Have We Learned and Where Do We Go From Here?

*Elizabeth Mitchell, Senior Fellow, Edmund S. Muskie School of Public Service, USM*

*Mark Lawrence*

*G. Steven Rowe*

**Natural History Dioramas**  
**Budget/Expenditure Analysis for Removal and Restoration**  
**as of September 20, 1999**

**Note:** These figures do **not** include costs associated with re-installation, which cannot be estimated until a specific location has been designated and the appropriate architectural and engineering studies completed.

|   | Budget              | Actual Data        | Balance            |
|---|---------------------|--------------------|--------------------|
| <b>Project Planning &amp; Management</b><br>(Tuckerbrook Conservation)                | \$ 23,400.00        | \$13,585.00        | \$ 9,815.00        |
| <b>Paint Conservation &amp; Restoration</b><br>(Williamstown Art Conservation Center) | 20,000.00           | 9,404.50           | 10,595.50          |
| <b>Restoration of Flora &amp; Fauna</b>   | 37,900.00           | (future)           | 37,900.00          |
| <b>Structural Engineer</b>  | 6,000.00            | 6,375.00           | ( 375.00)          |
| <b>Testing</b>  | 2,500.00            | 1,669.00           | 831.00             |
| <b>Moving &amp; Storage Costs</b>   | 14,500.00           | 9,715.48*          | 4,784.52           |
| <b>Misc. Construction Support</b><br>(Granger Northern)                               | 10,000.00           | 12,972.88          | (2,972.88)         |
| <b>Archival Photos/Documentation</b>  | 1,500.00            | 1,309.13           | 190.87             |
| <b>Contingency (15%)</b>  | 17,370.00           |                    |                    |
| <b>Materials and Supplies</b>   | -- --               | 10,890.34          | (1,520.34)         |
| <b>Foam Insulation</b>  | -- --               | <u>8,000.00</u>    | <u>-- --</u>       |
| <b>Total</b>  | <b>\$133,170.00</b> | <b>\$73,921.33</b> | <b>\$59,248.67</b> |