

MAINE STATE LEGISLATURE

The following document is provided by the
LAW AND LEGISLATIVE DIGITAL LIBRARY
at the Maine State Law and Legislative Reference Library
<http://legislature.maine.gov/lawlib>



Reproduced from scanned originals with text recognition applied
(searchable text may contain some errors and/or omissions)

**LEGISLATIVE COUNCIL
AUGUST 31, 1999
REVISED AGENDA**

CALL TO ORDER

ROLL CALL

SUMMARY OF THE JULY 14, 1999 COUNCIL MEETING

**REPORTS FROM EXECUTIVE DIRECTOR AND COUNCIL STAFF
DIRECTORS**

- Interim Studies: Status (Dave Boulter)
- Y2K Validation Project: Status (Paul Mayotte)
- Legislative Record (Paul Mayotte)
- Fiscal Update (Jim Clair)
- State House Renovations: Progress Report

REPORTS FROM COUNCIL COMMITTEES

- Planning Committee for the Legislative Summit (Rep. Saxl, Chair)
- Personnel Committee
 - Classification and Compensation Study: Presentation of Report and Recommendations
- Committee on Allocation of Legislative Space
- Migration Project Steering Committee

OLD BUSINESS

NEW BUSINESS

ANNOUNCEMENTS AND REMARKS

ADJOURNMENT

SEN. MARK W. LAWRENCE
CHAIR

REP. G. STEVEN ROWE
VICE-CHAIR



119th MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL

SEN. CHELLIE PINGREE
SEN. JANE A. AMERO
SEN. ANNE M. RAND
SEN. RICHARD A. BENNETT
REP. MICHAEL V. SAXL
REP. THOMAS W. MURPHY, JR.
REP. DAVID C. SHIAH
REP. RICHARD H. CAMPBELL

SARAH C. TUBBESING
EXECUTIVE DIRECTOR

MEETING SUMMARY
JULY 14, 1999

CALL TO ORDER

The Chair, Senate President Lawrence, called the Council to order at 10:12 a.m. in the Council Chamber.

ROLL CALL

Senators:	President Lawrence, Sen. Pingree, Sen. Amero, Sen. Rand, Sen. Bennett Absent: Sen. Amero
Representatives:	Speaker Rowe, Rep. Saxl, Rep. Murphy, Rep. Shiah, Rep. Campbell
Legislative Officers:	Millicent MacFarland, Assistant Clerk of the House Sally Tubbesing, Executive Director, Legislative Council James Clair, Director, Office of Fiscal and Program Review David Boulter, Director, Office of Policy and Legal Analysis Margaret Matheson, Revisor of Statutes Lynn Randall, State Law Librarian Paul Mayotte, Director, Legislative Information Services

SUMMARIES OF JUNE COUNCIL MEETINGS

Motion: That the Summaries of the Council meetings on June 3, 4, 5 & 7 be accepted and placed on file (Motion by Rep. Murphy; second by Sen. Bennett; unanimous).

REPORTS FROM EXECUTIVE DIRECTOR AND COUNCIL STAFF DIRECTORS

- State House Renovations - Progress Report:

Members had received a written progress report with the agenda packet that had been mailed out prior to the meeting. Sen. Rand noted that it had been helpful and asked that this format be continued in the future.

Stan Fairservice reported that the relocation of all occupants of the South Wing had been completed and that the early stages of work in the South Wing were underway. He noted that the move out process had gone very smoothly and acknowledged the superb cooperation of all involved, including those whose offices were being relocated, the Information Services staff, who had worked closely with each office to coordinate the relocation of telephone and computer service, and the Executive Director's Office.

Bruce Hilfrank, Senior Project Manager for Granger Northern, drew Council members' attention to a graphic summarizing the schedule for the renovation of the South Wing. He pointed out that the relocation of South Wing offices had been completed approximately 2 weeks later than the original schedule had anticipated -- recognizing that the Legislature's final adjournment date had driven this -- and stated that Granger's goal is to try and get the schedule to "creep back". Demolition and abatement are underway on the 4th floor; the bid for windows has been awarded; and the installation of the air handlers is in process. Shop drawings for both the Front Desk furnishings in the Senate Chamber and Senators' desks are well underway, and Granger will build actual samples of these pieces to assist in final review and sign off as they had done in the House Chamber last summer.

The Chair, Senate President Lawrence, noted that the 3rd floor (Senate Chamber and Senate Offices) are due to be completed by December 1, according to the schedule. He asked Mr. Hilfrank whether it would be possible to reopen the 3rd floor even if work on other floors was not completed by this date. Mr. Hilfrank responded that this would be possible, but that he still felt confident that work on all floors could be completed by late December unless unanticipated problems develop.

Sound System

Mr. Hilfrank reported that the House system had been running for 3 weeks without problems and that the acoustical and electrical engineers were confident that they have isolated the problems. Plans to address these are in progress.

Preparations for the Utility Trench

Sally Tubbesing drew members' attention to a memo that they had received that described the impact of the new utility trench on the grassy area between the State House and the State Office Building. Virtually all of the trees need to be relocated if they are to be preserved. The trees have been evaluated by the State Horticulturist, who has concluded that the condition of two maples justifies cutting them down. All other trees can be relocated either to Capitol Park or some other area in the Capitol Complex. Stan Fairservice and Bruce Hilfrank stated that the area that needs to be dug up for the new utility lines is directly in the path of the two maples, and he assured Council members that Granger had studied options for the path of the trench in an effort to avoid the trees before making this recommendation.

Sen. Bennett asked if the ad hoc Community Liaison Committee had been informed of this proposal ; and Ms. Tubbesing responded that this could readily be done.

Motion: That the Legislative Council tentatively approve the removal of the trees with the advice and consent of the ad hoc Community Advisory Committee and that this Committee be convened as soon as possible for this purpose (Motion by Rep. Saxl; second by Rep. Murphy).

Discussion: Sen. Rand asked whether it was the intent of the motion that any decision involving the exterior of the State House will now require prior approval by this Committee. Rep. Saxl responded that this was not his intent, but stated his belief that it would be to the Council's benefit to involve the Committee. Senator Rand agreed that it is critical to keep people informed, but expressed concern about the "consent" aspect of the motion. The Chair, Senate President Lawrence, offered a friendly amendment to the original motion, which Rep. Saxl accepted.

Sen. Bennett asked whether the Advisory Committee had had an overview presentation of the renovations, suggesting that it is essential that decisions about individual items be made in the context of the long term view.

Amended Motion: That the Executive Director be directed to seek input from the Advisory Committee and then poll Council members regarding the proposed removal of the trees. (Motion by Rep. Saxl; second by Sen. Bennett; unanimous).

- **Interim Studies - Status Report**

David Boulter reported that the Legislature had approved approximately 40 interim studies in the recently-completed session. The appointment process is underway, and he and Jim Clair are in the process of making staff assignments.

- **Fiscal Update**

Jim Clair provided members with preliminary figures regarding the surplus as of June 30, noting that these figures will continue to change until the FY99 books have finally been closed in late July. He reviewed the distribution of the surplus funds, which is controlled by statute and commented that the strong revenue picture is attributable to the booming stock market and strengthening employment levels in all areas of the State. He noted that the economic slowdown which had been predicted has not yet occurred and stated that the Revenue Forecasting Committee will meet later in the summer to analyze the numbers.

Questions and Discussion

1. Would the trigger (to reduce the Sales Tax by 1/2 cent) have been activated had it not been repealed? (Sen. Bennett)

Jim Clair: Yes

2. Is the Office of Fiscal & Program Review networked with other regions of the country to facilitate early detection of an economic slow down (Rep. Campbell).

Mr. Clair: Yes

3. Are these largely paper gains? (Rep. Campbell)

Mr. Clair: These figures reflect both increased earnings per capita and increased employment levels.

4. Impact on the TANFF caseload? (Sen. Bennett)

Mr. Clair: Although there is a 3 month lag in the data, the caseload continues to drop : it is now between 15,000 - 16,000 compared to 20,000 originally.

5. Does the State Budget now have the buffers to cushion a downturn? (President Lawrence)

Mr. Clair: Yes. The Rainy Day Fund is now poised to grow well beyond \$100 million. In addition, some of the decisions made in the early 1990's regarding receivables and payments have now been corrected.

- **Legislative Record**

Paul Mayotte, referring to a summary that had been distributed, reported that things are on track to completely clean up the backlog by the end of the year.

- **Y2K Status**

Mr. Mayotte, referring again to a report that has been distributed, noted that testing of those programs that have been modified has now been completed and that the Y2K compliance project is on schedule.

REPORTS FROM COUNCIL COMMITTEES

▪ Migration Project Steering Committee

The Chair, Senate President Lawrence, turned to Paul Mayotte, who reported that the Committee has resumed activity following adjournment. The current focus is on how to best support Chamber activities and to integrate systems designed to support Chamber work with systems required to support the work in the Council Staff offices. At this point, the Committee has asked KPMG and the International Roll Call Corporation (IRC) to work together to develop a plan that would assure that all work would conform to an overall systems architecture.

Rep. Saxl stated that he is trying to get an overall grasp on the Migration project and asked whether there is a mission statement, goals, budget and schedule for this project. Mr. Mayotte responded that these do exist, having been developed under the auspices of the 118th Council, and that he would provide them to this Council.

▪ Personnel Committee

Speaker Rowe, Committee Chair, reported that the Committee had begun the annual review of the staff office directors and that it is continuing to oversee the Classification and Compensation Study, which NCSL is doing. As his invitation, Rich Jones, the Project Manager for this Study, reported that his project team is nearly finished with the revision of the Job Class Specifications and that they are now engaged in collecting salary data. The NCSL Team has made a preliminary presentation to the senior management group and gotten valuable feedback.

OLD BUSINESS

Item #1: Proposal to Create a Council Subcommittee to Study Improvement of the Legislature's Structure and Process

Senator Bennett stated that his motion emerged from trends that he had identified during the recently-completed session:

1. The Legislative Branch is not as effective as it could be vis a vis the Executive Branch and needs to develop resources and ways to assert itself more as a coequal branch;
2. The increasing level of frustration that citizens have as they attempt to participate in the process; and
3. The Legislature needs to evaluate its session schedule in the context of Maine society as we approach the 21st century.

He stated that these trends raise significant issues that go beyond the Legislature's rules of procedure.

Speaker Rowe noted that this Council should review work that has been done by its predecessors before it embarks on rediscovering the wheel - - pointing to both the work of the TQM Committee in the 115th and 116th Legislatures and the Strategic Plan that had been developed by the 117th Legislature. He also advocated developing a process as the Council embarks on this review to involve other legislators.

After further discussions, Council members agreed that a Subcommittee should be established with the following charges:

1. Identification and clarification of the issues;
2. Development of objectives for the Review;
3. Gathering information (from prior reports and other states); and
4. Proposing a process for involving other legislators.

Members of this subcommittee are:

Speaker Rowe, Chair
Senator Rand
Senator Bennett
Representative Saxl
Representative Murphy
Representative Campbell

NEW BUSINESS

Item #1: Proposal from the Muskie School of Public Service to Conduct a Seminar for Legislators

The Chair, Senate President Lawrence, recognized the Honorable Elizabeth Mitchell, former Speaker and currently the Muskie Public Policy Fellow, and Bart Wechsler, Dean of the Muskie School, who presented a proposal to organize a Legislative Summit, designed to leverage the faculty resources of the University to empower the Legislature. Ms. Mitchell solicited Council members' suggestion of topics, and the ensuing discussion focused on whether there should be a single theme or many.

Motion: That the Council form a Subcommittee to work with Ms. Mitchell to define the format and focus for the Summit and report to the Council at its August meeting. (Motion by Rep. Saxl; second by Rep. Shiah; unanimous).

The Subcommittee was then appointed:

Rep. Saxl, Chair
Sen. Amero
Sen. Rand
Rep. Murphy

Item #2: Requests from Committees to Meet During the Interim

These items were referred to the presiding officers for review and action.

Item #3: Cloture Deadline for the Second Regular Session

Margaret Matheson drew members' attention to a proposed schedule for cloture for the Second Regular Session and the Council's subsequent screening of bills. The schedule was approved with one amendment.

Item #4: 1998 Report on Charitable Solicitation Activity

Motion: That the Report be accepted and placed on file (Motion by Sen. Rand; second by Rep. Campbell; unanimous).

ANNOUNCEMENTS AND REMARKS

Council members agreed to set the August meeting for Wednesday, August 18.

ADJOURNMENT

The Council adjourned at 12:20 p.m. on the motion of Rep. Murphy (second by Rep. Shiah).

**MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL
Office of Information Services
August 31, 1999**

Status - Legislative Record:

- 114th – complete, distribution underway
- 115th – being printed
- 116th – print ready, will be turned over when 115th printing is completed
- 117th – in final edit, 95% complete, final review

Status – Year 2000:

Completed Year 2000 testing for WANG hardware and software applications.

- All test completed successfully
- No significant errors found, corrections made. (errors were not Y2K related)
- Addressing Access 2.0
- December 31, 1999 Information Systems Contingency Plan focus points being identified
 - full system backup on December 30, 1999
 - option taking systems offline December 31, 1999, restart January 1, 2000
 - OIS staff system test before the start of business January 3, 2000
 - vendor support emergency contact plan for the holiday weekend

FY 00 PC Replacement:

Objective – to replace PCs that have been in frontline service for more than 4 years

- Take out of service Micron and Proteva PCs
- Support Senate Chamber design requirements (new)
- Support Civic Center requirements (recycle existing)
- Old PCs will be kept on hand for the immediate future
- Phased implementation Sept./Oct.


Office Suite Upgrade:

Objective – upgrade the Office Suite used by the Legislature to one common release level

- Upgrade existing Microsoft Office Suites from Office 4.3, Office 95, and Office 97 to Office 2000
- Addresses Access 2.0 Y2K issue
- Upgrade related issues being identified
 - ensure RollCall applications function properly
 - ensure “macros” function properly
- Training
- Phased implementation Sept./Oct.

Time Accounting:

Objective – replace the existing system with a client/server-based time tracking system for Legislative Office use with the capability to support automated uploads to the State's payroll system

- Identify commercial off the shelf software packages
 - Determine if the software package to be purchased/developed for the State is an option
 - Look into the enhancement of one of the home grown packages for Legislative wide use
- 

FISCAL BRIEFING FOR THE LEGISLATIVE COUNCIL:

AUGUST 1999

- General Fund Status:
 - a) A Draft Update (see attachment # A)
 - b) FY 99 year-end transfers (see attachment # B)
 - c) July 1999 General Fund Revenue report (see attachment # C)
- Rainy Day Fund:
 - a) 8/31/99 balance (see attachment # D)
 - b) FY 00 authorized expenditures (see attachment # E)
- General Fund cash balances (see attachment #F)
- July 1999 Highway Fund Fund Revenues (see attachment # G)
- Question - and - Answer

OFPR

8/31/99 (updated)

g:\olpr\reports\glstat99.xls

DRAFT**GENERAL FUND STATUS: UPDATE***(Includes all actions through 6/30/99)*

	FY 00	FY 01	BIENNIUM
AVAILABLE FUNDS			
Projected Balance Forward - 7/1/99 (original estimate)	\$214,055,770		\$214,055,770
Projected Balance Forward - 7/1/99 (net actual increase)	5,986,700		\$5,986,700
Projected Balance Forward - 7/1/99 ¹	220,042,470	0	\$220,042,470
Adjustments to Balance: ²	4,478,437	139,364	4,617,801
- PL 1999, C. 16 (*Part 1* Budget)	421,892	823,543	1,245,435
- PL 1999, C. 401 (*Part 2* Budget)	12,128,776	1,600,000	13,728,776
- Subtotal: Adjustments to Balance	17,029,105	2,562,907	19,592,012
Undedicated Revenue per Executive Department:			
- Part 1 (Base Revenues)	2,147,702,233	2,230,762,573	4,378,464,806
- PL 1999, C. 16 (*Part 1* Budget)	1,787,261	1,813,257	3,600,518
- PL 1999, C. 7 (*1999 Elver Fishery*)	(60,977)	(60,977)	(121,954)
- 3/15/99 Reprojection ³	12,228,130	25,230,877	37,459,007
- 5/11/99 Reprojection ⁴	28,470,000		28,470,000
- PL 1999, C. 401 (*Part 2* Budget)	420,660	(55,396,656)	(54,975,996)
- Other Legislation	257,665	2,370,088	2,627,753
- Subtotal: Undedicated Revenue	2,190,804,972	2,204,719,162	4,395,524,134
VA TOTAL PROJECTED RESOURCES	\$2,427,876,547	\$2,207,282,069	\$4,629,171,916
APPROPRIATIONS			
- PL 1999, C. 16 (*Part 1* Budget)	2,159,897,758	2,241,357,100	4,401,254,858
- Resolves 1999, C. 10 (*State Historic Property*)		(1,805)	(1,805)
- PL 1999, C. 401 (*Part 2* Budget)	117,589,356	104,201,754	221,791,110
- Other Legislation	1,590,433	1,456,184	3,046,617
ROW B TOTAL APPROPRIATIONS	2,279,077,547	2,347,013,233	4,626,090,780
ROW C PROJECTED BALANCE	\$148,799,000	(\$139,731,164)	\$9,067,836

NOTES: ¹ Based on all FY 99 actions by the 118th and 119th Legislatures and the Bureau of Accounts & Control's closing actions through mid-August 1999

² See PL 1997, C. 777, §A-3

³ Includes 3/15/99 RFC reprojection as technically adjusted on 3/24/99

⁴ Includes 5/11/99 RFC reprojection

GENERAL FUND REVENUE SURPLUS & UNAPPROPRIATED SURPLUS

FY 99 only

1. FY 99 SURPLUS REVENUE

	Actual Collections	Budgeted Amounts ¹	Variance
• General Fund Revenue ²	\$ 2,237,123,270	\$ 2,158,505,916	\$ 78,617,354
• SUBTOTAL: "SURPLUS OR EXCESS REVENUE"			<u>\$ 78,617,354</u>

2. FY 99 "LAPSED BALANCES"

• Amount to be determined	(approximate)	\$ 7,443,286 ⁴
---------------------------	---------------	---------------------------

3. SUBTOTAL: FY 99 UNAPPROPRIATED SURPLUS

\$ 86,060,640

4. USES/AUTHORIZED TRANSFERS OF THE SURPLUS FUNDS

A) To Rainy Day Fund (see 5 MRSA §1513)	\$ 78,617,354	
» 50% of excess G.F. revenue	<u>X .50</u>	
		\$ (39,308,677)
B) To Retirement Allowance Fund (see 5 MRSA §1517)	\$ 39,308,677	
» 50% of remaining excess G.F. revenue ³	<u>X .50</u>	
		\$ (19,654,339)
C) To State Contingent Account (see 5 MRSA §1507)	\$ 349,603	
» "...up to \$350,000"		\$ (349,603)
D) To Tel. Prop. Tax Reserve (PL 1999, C. 401, Part EEE)	\$ 14,662,083	
» "...an amt. not to exceed \$30 million (at end of FY 99)"		\$ (14,662,083)
E) To Reserve for GF Oper. Capital (see 5 MRSA §1511)	\$ 1,000,000	
» "...up to \$1,000,000"		\$ (1,000,000)
F) To Loan Insurance Reserve (see 5 MRSA §1511)	\$ -	
» "...up to \$1,000,000"		\$ -
G) To Transportation Funding Res. (PL 1999, C. 401, Part ZZ)	\$ 4,154,139	
» "...up to total of Personal Services Lapsed Balances"		\$ (4,154,139)
H) To FAME for Feasibility Study (PL 1999, C. 513, §8)	\$ 150,000	
» "...up to \$150,000 All Other Lapsed Balances"		\$ (150,000)
I) To GPA for Westbrook & Livermore Falls (RES 1999, C. 77)	\$ 795,100	
» "...up to \$795,100 All Other Lapsed Balances"		\$ (795,100)

5. SUBTOTAL: TRANSFERS/USES

\$ (80,073,941)

6. NET, PROJECTED UNAPPROP. SURPLUS

\$ 5,986,700

(LINE 3 - LINE 5)

NOTES: ¹ Includes both the 3/99 and 5/99 revenue reprojections recommended by the Revenue Forecasting Committee;

² Based on Preliminary Figures of Actual Revenue as of July 19, 1999

³ Of the amounts to be transferred to the Retirement Allowance Fund, the first \$2,308,986 is to be used to pay actuarial costs associated with PL 1999, C. 489.

⁴ Approximate value only; final lapsed balance data for FY 99 not yet available

STATE OF MAINE
Undedicated Revenues - General Fund
For the First Month Ending July 31, 1999

Jul-99

	Month				Year to Date				Total Budgeted Fiscal Year Ending 6-30-2000
	Actual	Budget	Variance Over/(under)	Percent Over/(under)	Actual	Budget	Variance Over/(under)	Percent Over/(under)	
Sales and Use Tax	77,293,490	71,478,084	5,815,406	8%	77,293,490	71,478,084	5,815,406	8%	813,611,686
Individual Income Tax	54,316,169	62,394,118	(8,077,949)	(13%)	54,316,169	62,394,118	(8,077,949)	(13%)	981,935,861
Corporate Income Tax	3,321,499	3,464,369	(142,870)	(4%)	3,321,499	3,464,369	(142,870)	(4%)	133,518,198
Cigarette and Tobacco Tax	6,179,149	6,348,531	(169,382)	(3%)	6,179,149	6,348,531	(169,382)	(3%)	75,569,552
Public Utilities Tax	(114,025)	(150,000)	35,975	(24%)	(114,025)	(150,000)	35,975	(24%)	29,125,000
Insurance Companies Tax	421,136	176,283	244,853	139%	421,136	176,283	244,853	139%	36,864,080
Estate Tax	2,271,974	1,665,471	606,503	36%	2,271,974	1,665,471	606,503	36%	20,225,651
Property Tax - Unorg Territory	0	0	0	0%	0	0	0	0%	7,992,708
Income from Investments	0	0	0	0%	0	0	0	0%	15,000,000
Transfer to Municipal Revenue Sharing	(6,881,489)	(6,998,904)	117,415	(2%)	(6,881,489)	(6,998,904)	117,415	(2%)	(98,300,625)
Transfer from Liquor Commission	2,432,930	2,156,000	276,930	13%	2,432,930	2,156,000	276,930	13%	20,149,531
Transfer from Lottery Commission	3,369,479	3,155,754	213,725	7%	3,369,479	3,155,754	213,725	7%	37,801,819
Other Revenues	10,280,528	9,332,872	947,656	10%	10,280,528	9,332,872	947,656	10%	117,311,511
Total Collected	152,890,839	153,022,578	(131,739)	(0%)	152,890,839	153,022,578	(131,739)	(0%)	2,190,804,972

NOTES: (1) Included in the above is \$6,881,489 for the month and \$6,881,489 year to date, that was set aside for Revenue Sharing with cities and towns.

(2) Figures reflect estimates of the Maine State Revenue Forecasting Committee approved in August 1999.

(3) This report has been prepared from preliminary month end figures and is subject to change.

B A L A N C E S H E E T A C C O U N T B A L A N C E

FUND	BS ACCOUNT	SHORT NAME	BS ACCOUNT BALANCE	BEGIN DAY BALANCE	ACCOUNT TYPE	EXCEPT IND
=====	=====	-----	-----	-----	-----	-----
01- 010	0304	RS/SALES TAX	11,128,776.41	11,128,776.41	03	
02- 010	0305	RES/W.CAP	8,500,000.00	8,500,000.00	03	
03- 010	0306	RS/WC ADV	1,977,261.00	1,977,261.00	03	
04- 010	0308	RAINY DAY	129,789,406.70	129,789,406.70	03	
05- 010	0309	RS/LEG AP	0.00	0.00	03	
06- 010	0310	TAX RELIEF	0.00	0.00	03	
07- 010	0312	RS/LN INS	1,000,000.00	1,000,000.00	03	
08- 010	0313	RES/ENCUM	55,613,085.45	55,613,085.45	03	
09- 010	0314	RS/PREENC	2,251,355.94	2,251,355.94	03	
10- 010	0315	RS/RETRMT	0.00	0.00	03	
11- 010	0316	PROPTAXREL	0.00	0.00	03	
12- 010	0319	EMP TIF	190,000.00	190,000.00	03	
13- 010	0320	MEMB CONT	0.00	0.00	03	
14- 010	0328	STATE TIF	409,809.00	409,809.00	03	

MAINE RAINY DAY FUND - EXPENDITURE AUTHORIZATIONS

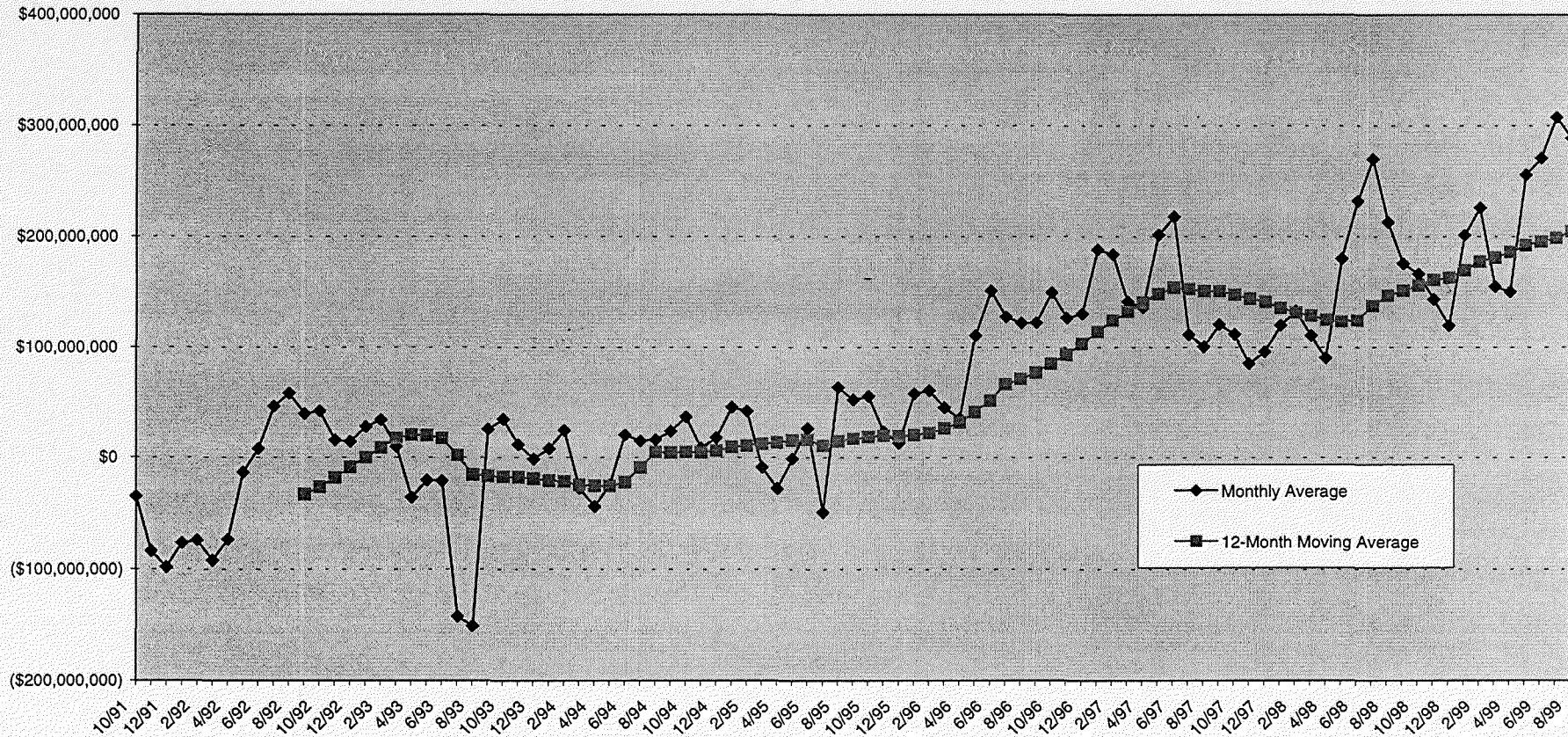
Fiscal Year	Department/ Agency	Law Citation	Capital Construction	Disaster Assistance	Highways/ Bridges	Retirement	Other	Total - All Categories	Description
1985	DAFS	PL85, c. 814, H	\$800,000					\$800,000	Cultural Building Roof/Asbestos
	Fiscal Year - Total		\$800,000	\$0	\$0	\$0	\$0	\$800,000	Fiscal Year - Total
1986	Fiscal Year - Total	No Authorizations	\$0	\$0	\$0	\$0	\$0	\$0	Fiscal Year - Total
1987	DAFS	PL87, c. 68, sec. 7	\$1,200,000					\$1,200,000	Cultural Building Entrance
	Fiscal Year - Total		\$1,200,000	\$0	\$0	\$0	\$0	\$1,200,000	Fiscal Year - Total
1988	DAFS	PL87, c. 349, C	\$8,612,285					\$8,612,285	Essential Maintenance/Repair-State Facilities
	Transportation	PL87, c. 349, C	\$809,500					\$809,500	Essential Maintenance/Repair-State Facilities
	Conservation	PL87, c. 349, C	\$50,000					\$50,000	Repair of Montpelier
	Education	PL87, c. 788				\$8,429,434		\$8,429,434	Ensure Fiscal Soundness of MSRS
	MSRS	PL87, c. 788				\$1,108,915		\$1,108,915	Ensure Fiscal Soundness of MSRS
	Transportation	PL87, c. 793			\$1,200,000			\$1,200,000	Salt & Sand Storage Facilities
	Transportation	PL87, c. 793			\$12,000,000			\$12,000,000	Highway & Bridge Improvements
	Fiscal Year - Total		\$9,471,785	\$0	\$13,200,000	\$9,538,349	\$0	\$32,210,134	Fiscal Year - Total
1989	Education	PL87, c. 788				\$11,063,930		\$11,063,930	Ensure Fiscal Soundness of MSRS
	Fiscal Year - Total		\$0	\$0	\$0	\$11,063,930	\$0	\$11,063,930	Fiscal Year - Total
1990	DAFS	PL89, c. 501, C	\$13,171,013					\$13,171,013	Statewide Capital Const./Repair/ Improvement
	DVEM	PL89, c. 501, C		\$860,000				\$860,000	State Share Local Disaster Assistance
	Sec. of State	PL89, c. 501, C	\$6,000,000					\$6,000,000	New Motor Vehicle Building
	Transportation	PL89, c. 501, C	\$900,000					\$900,000	Statewide Capital Const./Repair/ Improvement
	Fiscal Year - Total		\$20,071,013	\$860,000	\$0	\$0	\$0	\$20,931,013	Fiscal Year - Total
1991	DAFS	PL89, c. 501, C	\$2,500,000					\$2,500,000	Statewide Capital Const./Repair/ Improvement
	Transportation	PL89, c. 501, C	\$100,000					\$100,000	Statewide Capital Const./Repair/ Improvement
	Fiscal Year - Total		\$2,600,000	\$0	\$0	\$0	\$0	\$2,600,000	Fiscal Year - Total
1992	DAFS	PL91, c. 591, UU	\$1,000,000					\$1,000,000	Capital Repairs to State Facilities
	Fiscal Year - Total		\$1,000,000	\$0	\$0	\$0	\$0	\$1,000,000	Fiscal Year - Total
1993	DAFS	PL93, c. 6, D	\$250,000					\$250,000	Capital Repairs to State Facilities
	DAFS	PL93, c. 6, D					\$250,000	\$250,000	Unappropriated Surplus
	Fiscal Year - Total		\$250,000	\$0	\$0	\$0	\$250,000	\$500,000	Fiscal Year - Total
1994	DAFS	PL93, c. 410, II	\$2,154,370					\$2,154,370	Statewide Capital Const./Repair/ Improvement
	DAFS	PL93, c. 410, II					\$2,623,287	\$2,623,287	Reimburse Risk Management Reserve Fund
	DVEM	PL93, c. 410, II		\$600,000				\$600,000	State Match Local Disaster Relief
	DVEM	PL93, c. 508, F		\$725,000				\$725,000	State Match Local Disaster Relief
	Fiscal Year - Total		\$2,154,370	\$1,325,000	\$0	\$0	\$2,623,287	\$6,102,657	Fiscal Year - Total
1995	DAFS	PL93, c. 410(&707)	\$4,000,000					\$4,000,000	Statewide Capital Const./Repair/ Improvement
	DVEM	PL93, c. 707		\$650,000				\$650,000	State Match Local Disaster Relief
	DAFS	PL95, c. 99, B					\$9,700,000	\$9,700,000	State Employee Payroll Remodification
	Fiscal Year - Total		\$4,000,000	\$650,000	\$0	\$0	\$9,700,000	\$14,350,000	Fiscal Year - Total

Attachment E

Fiscal Year	Department/ Agency	Law Citation	Capital Construction	Disaster Assistance	Highways/ Bridges	Retirement	Other	Total - All Categories	Description
1996	DVEM	PL95, c. 489	\$500,000					\$500,000	Expand and Renovate Norway Armory
	Fiscal Year - Total							\$0	Fiscal Year - Total
1997	DECD	PL95, c. 706					\$1,250,000	\$1,250,000	Job Retention Program
	DVEM	PL97, c. 1		\$1,670,579				\$1,670,579	State Match Local Disaster Assistance
	Fiscal Year - Total		\$0	\$1,670,579	\$0	\$0	\$1,250,000	\$2,920,579	Fiscal Year - Total
1998	FAME	PL97, c. 563		\$2,000,000				\$2,000,000	Replenish Economic Recovery Program
	DVEM	PL97, c. 564		\$785,000				\$785,000	Maine National Guard Payrolls
	DVEM	PL97, c. 565(&780)		\$8,000,000				\$8,000,000	Match for Federal Assistance (1/98 ice storm)
	Fiscal Year - Total		\$0	\$10,785,000	\$0	\$0	\$0	\$10,785,000	Fiscal Year - Total
1999	DAFS	PL97, c. 643, E-2				\$3,200,000		\$3,200,000	Retirement Federal Recovery
	DAFS	PL97, c. 643, GGG					\$5,000,000	\$5,000,000	Transfer to Unappropriated Surplus
	DAFS	PL99, c. 4				\$6,350,000		\$6,350,000	Restoration of Retirement Federal Recovery
	Fiscal Year - Total		\$0	\$0	\$0	\$9,550,000	\$5,000,000	\$14,550,000	Fiscal Year - Total
2000	DVEM	PL99, c. 401, Z		\$300,000				\$300,000	Retirement Federal Recovery
	Conservation	PL99, c. 401, Z					\$5,260,000	\$5,260,000	Public Reserved Lands Acquisition
	Conservation	PL99, c. 401, Z					\$1,600,000	\$1,600,000	State Parks Acquisition
	FAME	PL99, c. 505					\$3,100,000	\$3,100,000	Waste Oil Site Remediation
	Treasury	PL99, c. 528					\$1,152,347	\$1,152,347	Transfer for Municipal Revenue Sharing
	Fiscal Year - Total		\$0	\$300,000	\$0	\$0	\$11,112,347	\$11,412,347	Fiscal Year - Total
Totals - All Fiscal Years - By Category			\$41,547,168	\$15,590,579	\$13,200,000	\$30,152,279	\$29,935,634	\$130,425,660	
% of Total			31.9%	12.0%	10.1%	23.1%	23.0%	100.0%	

Attachment E
<cont'd>

GENERAL FUND DAILY STARTING CASH BALANCES



TAX ANTICIPATION NOTES FY 1990-91 to FY 1998-99

Fiscal Year	Amount Authorized	Amount Issued	Reference
1998-99	\$0	\$0	
1997-98	\$100,000,000 (1)	\$0	PL 1997, c. 24, §F-1
1996-97	\$190,000,000	\$150,000,000	PL 1995, c. 665, §P-1
1995-96	\$182,000,000	\$182,000,000	PL 1995, c. 368, §V-1
1994-95	\$175,000,000	\$175,000,000	PL 1993, c. 707, §P-2
1993-94	\$170,000,000	\$170,000,000	PL 1993, c. 382, §1
1992-93	\$170,000,000	\$170,000,000	PL 1991, c. 780, §BB-1
1991-92	\$150,000,000	\$150,000,000	PL 1991, c. 589, §1
1990-91	\$125,000,000	\$115,000,000	PL 1991, c. 5, §1

(1) Authorization subsequently repealed by PL 1997, c. 643, §E-5

Attachment F

July 99

STATE OF MAINE
Undedicated Revenues - Highway Fund
For First Month Ending July 31, 1999

Exhibit V

	Month				Year to Date				Total Budgeted Fiscal Year Ending 6-30-2000
	Actual	Budget	Variance Over/(under)	Percent Over/(under)	Actual	Budget	Variance Over/(under)	Percent Over/(under)	
Fuel Taxes	11,488,818	12,785,797	(1,296,979)	(10%)	11,488,818	12,785,797	(1,296,979)	(10%)	172,640,123
Motor Vehicle Registration & Fees	4,236,877	4,650,897	(414,020)	(9%)	4,236,877	4,650,897	(414,020)	0%	66,491,998
Inspection Fees	165,316	140,100	25,216	18%	165,316	140,100	25,216	18%	2,385,034
All Other	581,105	605,690	(24,585)	(4%)	581,105	605,690	(24,585)	(4%)	8,946,360
Total Revenue	16,472,116	18,182,484	(1,710,368)	(9%)	16,472,116	18,182,484	(1,710,368)	(9%)	250,463,515

NOTE: This report has been prepared from preliminary month end figures and is subject to change.

Attachment Cg

SEN. MARK W. LAWRENCE
CHAIR

REP. G. STEVEN ROWE
VICE-CHAIR



119th MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL

August 24, 1999

SEN. CHELLIE PINGREE
SEN. JANE A. AMERO
SEN. ANNE M. RAND
SEN. RICHARD A. BENNETT
REP. MICHAEL V. SAXL
REP. THOMAS W. MURPHY, JR.
REP. DAVID C. SHIAH
REP. RICHARD H. CAMPBELL

SARAH C. TUBBESING
EXECUTIVE DIRECTOR

TO: Honorable Mark W. Lawrence, Chair
Honorable G. Steven Rowe, Vice-Chair
and Members of the Legislative Council

FROM: Michael V. Saxl, House Majority Leader NVS
Jane A. Amero, Senate Minority Leader
Thomas W. Murphy, Jr., House Minority Leader
Anne M. Rand, Senate Assistant Majority Leader

SUBJECT: Legislative Summit : Proposed Budget

The Subcommittee to work on the Legislative Summit met with Elizabeth Mitchell of the Muskie Institute and has developed both a preliminary agenda and a proposed budget for the Legislative Summit on September 26-27.

Sunday, September 26 : Leaders Forum
Retreat for Council Members and Staff
Stone House, Freeport

Monday, September 27 : Legislators Forum
Harraseeket Inn, Freeport

We have enclosed preliminary agendas for both days.

Our Committee has also developed a preliminary budget for the Summit. **We need to lock in both the Stone House and the Harraseeket Inn by the end of this week; and, with the postponement of the Council meeting, we need to handle this by ballot.**

The proposed budget is enclosed, and Sally's office has prepared a ballot. Sally confirms that our budget includes the funds required. Sally has reminded us that we specifically increased the legislative budget to support increased training and professional development for both legislators and staff.

We would be happy to go over this with any of you and encourage your support of our Committee's unanimous recommendation. Thank you.

Enclosures

LEGISLATIVE SUMMIT

DRAFT

Working agenda for September 26-27, 1999

Organized jointly by: Edmund S. Muskie School of Public Service, USM
Margaret Chase Smith Center for Public Policy, UM

LEADERS FORUM — Sunday, September 26th

THEME — How to create a "best in the nation" legislative process, consistent with Maine's history of a part-time, citizen-based Legislature *in an era of term limits*.

SPECIFIC TOPICS:

- The effects and experience of term limits (Session I)
- Enhancing the procedures and structure of Maine's Legislature (Session II)
- Enhancing the education/training for new legislators (Session III)

OVERALL STRUCTURE:

10:00 - 10:30 a.m. *Registration/Coffee (and time to tour the grounds)*

10:30 - 12:00 p.m. **Session I**

12:00 - 1:00 p.m. *Lunch*

1:00 - 2:30 p.m. **Session II**

2:30 - 3:00 p.m. *Break*

3:00 - 4:30 p.m. **Session III**

LEGISLATORS FORUM — Monday, September 27th**DRAFT****THEME** — Increasing Maine's per capita income.**SPECIFIC TOPICS:**

- Enhancing Maine's human capital: The link between education and income
- The link between physical, mental, and social health and income
- Economic incentives, regulation, and accountability
- The link between ~~transportation~~ infrastructure investments and per capita income

OVERALL STRUCTURE:**9:00 - 10:15 a.m. Keynote Speaker**

This dynamic speaker will provide an overview of Maine's economy and will talk about its strengths and weaknesses from an overall and regional perspective. S/he also will articulate the link between per capita income and quality of life for a state's citizenry.

10:15 - 10:30 a.m. Break**10:30 - 12:00 p.m. Morning Session (four sessions offered concurrently)**

Each session will consist of 20-30 participants (legislators), a facilitator (who may also be a faculty member), and a 2-3 faculty resources. The session will be opened by the facilitator; each faculty member will speak briefly; and then the session will be opened to discussion.

The purpose of each session will be to explore new ways of thinking about the issue at hand, and to examine the range of possible policy directions that stem from this current state of knowledge and understanding. The purpose will *not* be to come to consensus about what is the "best" possible course of action. In addition, each session will be forward thinking rather than focused on a diagnosis of the issue or problem.

12:00 - 1:30 p.m. Lunch**1:30 - 3:00 p.m. Afternoon Session (four sessions repeated)****3:00 - 3:15 p.m. Break****3:15 - 4:00 p.m. Summary & Wrap-up**

Each facilitator will summarize from the morning and afternoon sessions the key points and main thrust of the discussions, including the range of options explored for future policy development.

LEGISLATIVE SUMMIT
September 26-27, 1999
Preliminary Budget

Leaders Forum

Rental (based on 25 participants)	\$ 385.00
Food (@ \$13.50/person)	
(Coffee; lunch; coffee/soda/break)	337.50
Lodging (@ \$70/person; based on 15)	<u>1,050.00</u>

Subtotal	\$ 1,772.50
-----------------	--------------------

Legislators Forum

Rental	\$1,200.00
Food (based on 125 participants	
@ \$25.50)	3,187.50
Lodging (based on 50 @ \$70)	3,500.00
Mileage (@ \$.25/mile)	<u>4,000.00</u>

Subtotal	<u>\$11,887.50</u>
-----------------	---------------------------

Total	\$13,660.00
--------------	--------------------

SEN. MARK W. LAWRENCE
CHAIR

REP. G. STEVEN ROWE
VICE-CHAIR



119th MAINE STATE LEGISLATURE
LEGISLATIVE COUNCIL

SEN. CHELLIE PINGREE
SEN. JANE A. AMERO
SEN. ANNE M. RAND
SEN. RICHARD A. BENNETT
REP. MICHAEL V. SAXL
REP. THOMAS W. MURPHY, JR.
REP. DAVID C. SHIAH
REP. RICHARD H. CAMPBELL

SARAH C. TUBBESING
EXECUTIVE DIRECTOR

August 31, 1999

TO: Members of the 119th Legislature
FROM: Mark W. Lawrence, Chair *MWL*
G. Steven Rowe, Vice-Chair *JSR*
Legislative Council

SUBJECT: Legislative Summit

The Legislative Council has been working with the Muskie School of Public Service to plan a "Legislative Summit" that will provide us an opportunity to explore several policy issues in depth in preparation for the upcoming session.

This summit has now been set for

Monday, September 27
9:00 a.m. - 4:00 p.m.
Harraseeket Inn, Freeport

The theme for the day will be Increasing Maine's Per Capita Income. Staff and faculty from the Muskie School will facilitate four morning workshops that will be repeated in the afternoon. Participants will have the opportunity to attend two different sessions. The purpose of each session will be to explore new ways of thinking about the issue at hand and to examine the range of possible policy directions available.

This is an exciting opportunity for the entire legislature to discuss issues here at home in a setting outside the pressures of a session. We have enclosed a preliminary agenda and related information about lodging for those of you who will need it. You will be reimbursed for mileage, meals and lodging.

We need your response by next Friday, September 10, so that we can get an accurate head count for hotel rooms, meals and workshops.

We hope you will be able to join us for this important opportunity to exchange ideas with both your colleagues and faculty from the University and look forward to seeing you on the 27th.

Enclosures

LEGISLATORS FORUM — Monday, September 27th**THEME —** Increasing Maine's per capita income**SPECIFIC TOPICS/WORKING TITLES:**

- Enhancing Maine's human capital — the link between education and income
- The link between physical, mental, and social health and income
- How do you know when incentives and regulation work? — measuring impacts and ensuring accountability
- How Maine is connected to the world and the implications for growth — transportation, energy, and telecommunications

OVERALL STRUCTURE:**9:00 - 10:15 a.m. Keynote Speaker**

This dynamic speaker will provide an overview of Maine's economy and will talk about its strengths and weaknesses from an overall and regional perspective. S/he also will articulate the link between per capita income and quality of life for a state's citizenry.

10:15 - 10:30 a.m. Break**10:30 - 12:00 p.m. Morning Session (four sessions offered concurrently)**

Each session will consist of 20-30 participants (legislators), a facilitator (who may also be a faculty member), and a 2-3 faculty resources. The session will be opened by the facilitator; each faculty member will speak briefly; and then the session will be opened to discussion.

The purpose of each session will be to explore new ways of thinking about the issue at hand, and to examine the range of possible policy directions that stem from this current state of knowledge and understanding. The purpose *will not be* to come to consensus about what is the "best" possible course of action. In addition, each session will be forward thinking rather than focused on a diagnosis of the issue or problem.

12:00 - 1:30 p.m. Lunch**1:30 - 3:00 p.m. Afternoon Session (four sessions repeated)****3:00 - 3:15 p.m. Break****3:15 - 4:00 p.m. Summary & Wrap-up**

Each facilitator will summarize from the morning and afternoon sessions the key points and main thrust of the discussions, including the range of options explored for future policy development.

LEGISLATIVE SUMMIT
Monday, September 27
Harasseeket Inn, Freeport
9:00 a.m. - 4 p.m.

I plan to attend the Legislative Summit

Yes _____

No _____

I will require overnight accommodations in Freeport

Sunday, September 26 Yes _____ No _____

Monday, September 27 Yes _____ No _____

~ ~ ~ ~ ~

The program will include 4 concurrent policy sessions in the morning, which will be repeated in the afternoon.

Please select the policy sessions you wish to participate in so that we can plan the room accommodations:

	a.m.	p.m.
▪ Enhancing Maine Capital -- the Link Between Education & Income	_____	_____
▪ The Link Between Physical, Mental and Social Health and Income	_____	_____
▪ How Do You Know When Incentives and Regulation Work? -- Measuring Impacts and Ensuring Accountability	_____	_____
▪ How Maine is Connected to the World and Implications for Growth -- Transportation, Energy, and Telecommunications	_____	_____

SARAH C. TUBBESING
EXECUTIVE DIRECTOR
OF THE LEGISLATIVE COUNCIL



MAINE STATE LEGISLATURE
OFFICE OF THE EXECUTIVE DIRECTOR
LEGISLATIVE COUNCIL

August 26, 1999

TO: Members of the Legislative Council

SUBJECT: Classification and Compensation Study: NCSL Report

The NCSL Study Team made a presentation to the Personnel Committee and the Senior Managers last week; and, based on the discussion, are making final changes to the report.

At the Speaker's request, NCSL is going to mail a copy of the final report to each of you so that you will have an opportunity to review it prior to next Tuesday's Council meeting. These will be delivered via Federal Express.

Please call if you have questions.

A handwritten signature in cursive script, appearing to read "Sally", located at the end of the letter.

G:\COUNCIL\PRSNL-CO\CLAS-STD\NCSL&LRD.DOC

SARAH C. TUBBESING
EXECUTIVE DIRECTOR
OF THE LEGISLATIVE COUNCIL



MAINE STATE LEGISLATURE
OFFICE OF THE EXECUTIVE DIRECTOR
LEGISLATIVE COUNCIL

August 30, 1999

TO : Honorable G. Steven Rowe, Chair
Personnel Committee

FROM : Sally *Sally*

SUBJECT : Committee Report for Tomorrow's Council Meeting

I've drafted the enclosed for your review. **Note that I have included a proposed motion** in your report that would refer the NCSL Report back to the Committee for the purpose of developing a proposed implementation plan for the Council's consideration at its September meeting.

Please let me know if there is anything else I can do in preparation for the meeting tomorrow.

Enclosure

PERSONNEL COMMITTEE

Report to the Legislative Council

August 30, 1999

The Personnel Committee has two items to bring to your attention today:

- 1) Briefing on the Classification and Compensation Study; and
- 2) A recommendation related to Collective Bargaining

NCSL Classification and Compensation Study

- ☐ Committee has, we you know, been overseeing the review of our classification and compensation plans and related policies over the past several weeks.

-- NCSL staff have been working closely with our senior management staff in the Senate, House and Legislative Council offices throughout the study
- ☐ NCSL presented its conclusions and recommendations to this entire group -- the Committee and the senior management group -- last week. The final report was issued last Friday, and a copy was sent to each member of the Council by Federal Express to give you an opportunity to review prior to today's meeting.
- ☐ On behalf of the Committee, we have invited the NCSL team to be here today to present its report to you so that we would all have an opportunity to discuss it with them in person.
- ☐ Introduce them once again:

Rich Jones, Project Manager
Tim Storey
Jo Donlin

This team has done a great deal of work and they have worked closely with our staff.

TURN IT OVER TO RICH FOR PRESENTATION

DISCUSSION OF PRESENTATION

Motion: That the Council refer the Classification and Compensation Report to the Personnel Committee for the purpose of developing a proposed implementation plan for the Council's consideration and final vote at the September Council meeting.

Collective Bargaining

The Committee has discussed how best to undertake our management responsibilities as we enter a new era -- where most of our employees in the staff offices that work under the Council's direction will have the option of organizing for the purposes of collective bargaining.

- ☐ This is a very specialized area of law, and it is essential that both members of the Council and the non-partisan staff office directors fully understand our rights and responsibilities as managers in a process that we are committed to being fair.
- ☐ Present the following motion on behalf of the Committee which is designed to guide the Council through this initial phase:

Motion: That the Council approve the Committee's recommendation to contract with _____ for the purpose of providing preliminary training and technical assistance to the Council and the Staff Office Directors related to its new responsibilities as a public employer; and that the services provided under this contract not exceed without further Council approval.