MAINE STATE LEGISLATURE

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Page 1 - 130LR1182(02)

| United States Code, Section 152(3). "Agricultural employee" or "employee" includes any |
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| individual whose work has ceased as a consequence of, or in connection with, any current |
| labor dispute or because of any prohibited labor practice. "Agricultural employee" or |
| "employee" includes supervisors but does not include any higher level managers or those |
| employees whose duties necessarily imply a confidential relationship to the employer. |

- 2. Agricultural employer or employer. "Agricultural employer" or "employer" means a person or entity that directly or indirectly engages the services or suffers or permits the work of an agricultural employee, and includes any person acting on behalf of an employer directly or indirectly and any person or entity exercising control over the terms and conditions of employment. "Agricultural employer" or "employer" is limited to a person or entity that employs more than 5 agricultural employees.
- 3. Agriculture. "Agriculture" means farming in all its branches and includes but is not limited to the cultivation and tillage of the soil; dairying; the production, cultivation, growing and harvesting of any agricultural or horticultural commodities, including commodities defined as agricultural commodities in 12 United States Code, Section 1141j(f); the raising of livestock, bees, fur-bearing animals or poultry; and any practices, including any forestry or lumbering operations, performed by a farmer or on a farm incidental to or in conjunction with farming operations, including preparation for market and delivery to storage, to market or to carriers for transportation to market.
- 4. Bargaining agent. "Bargaining agent" means a lawful organization or association, or an individual representative of a lawful organization or association, that has as its primary purpose the representation of employees in their employment relations with employers and that has been determined by the employer or the executive director to be the choice of the majority of the bargaining unit as its certified representative.
- 5. Board. "Board" means the Maine Labor Relations Board as established in section 968, subsection 1.
- 6. Executive director. "Executive director" means the Executive Director of the Maine Labor Relations Board as appointed in section 968, subsection 2.

§3703. Rights of agricultural employees; organization, collective bargaining

Agricultural employees have the right to self-organize; to form, join or assist labor organizations; to bargain collectively through representatives of their own choosing; and to engage in other concerted activities for the purposes of collective bargaining or other mutual aid or protection. Agricultural employees also have the right to refrain from such activities.

§3704. Prohibited acts of agricultural employers, agricultural employees and agricultural employee organizations

- 1. Agricultural employer prohibitions. Agricultural employers and their representatives and agents are prohibited from:
 - A. Interfering with, restraining or coercing employees in the exercise of the rights guaranteed in section 3703;
 - B. Encouraging or discouraging membership in any employee organization by discrimination in regard to hire or tenure of employment or any term or condition of employment;

| | COMMITTEE AMENDMENT " A" to H.P. 107, L.D. 151 |
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| 1 2 | C. Dominating or interfering with the formation, existence or administration of any employee organization; |
| 3 4 5 | D. Discharging or otherwise discriminating against an agricultural employee because the employee has signed or filed any affidavit, petition or complaint or given any information or testimony under this chapter; |
| 6 7 | E. Refusing to bargain collectively with the bargaining agent of its employees as required by section 3705; |
| 8 9 | F. Blacklisting of any employee organization or its members for the purpose of denying them employment; |
| 0 | G. Requiring an employee to join a union, employee association or bargaining agent as a member; and |
| 2 | H. Terminating or disciplining an employee for not paying union dues or fees of any type. |
| 4 5 6 | 2. Agricultural employee and agricultural employee organization prohibitions. Agricultural employees and agricultural employee organizations and their agents, members and bargaining agents are prohibited from: |
| 7 8 9 | A. Interfering with, restraining or coercing employees in the exercise of the rights guaranteed in section 3703 or an employer in the selection of the employer's representative for purposes of collective bargaining or the adjustment of grievances; |
| .0 | B. Refusing to bargain collectively with an employer as required by section 3705; and |
| .1 .2 .3 | C. Causing or attempting to cause an agricultural employer to pay or deliver, or agree to pay or deliver, any money or other thing of value for services not performed or not to be performed. |
| .4 .5 | 3. Violations. The board shall process violations of this section in the manner provided in section 3709. |
| 6 | §3705. Obligation to bargain |
| .7 .8 .9 | 1. Negotiations. It is the obligation of the agricultural employer and the bargaining agent to bargain collectively. "Collective bargaining" means, for the purposes of this chapter, the mutual obligation of the agricultural employer and the bargaining agent: |
| 0 | A. To meet at reasonable times; |
| 1 2 3 | B. To meet within 10 days after receipt of written notice from the other party requesting a meeting for collective bargaining purposes, as long as the parties have not otherwise agreed in a prior written contract; |
| 4 5 6 | C. To confer and negotiate in good faith with respect to wages, hours and other terms and conditions of employment, except that, by the mutual obligation, neither party may be compelled to agree to a proposal or be required to make a concession; |
| 7 8 | D. To execute in writing any agreements arrived at, the terms of which are subject to negotiation; and |
| 9 | E. To participate in good faith in the mediation and arbitration procedures required by |

this section.

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| COMMITTEE AMENDMENT "A" to H.P. 107, L.D. 151 |
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| Upon the filing by any person of a petition not earlier than the 90th day nor later than the 60th day preceding the expiration of the 12-month period following initial certification, the |
| board shall determine whether an employer has bargained in good faith with the currently |
| certified labor organization. If the board finds that the employer has not bargained in good |
| faith, it may extend the certification for up to one additional year, effective immediately upon the expiration of the previous 12-month period following initial certification. |
| 2. Mediation. This subsection governs the mediation of disputes between agricultural employers and agricultural employees. |
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| A. It is the declared policy of the State to provide full and adequate facilities for the settlement of disputes between employers and employees or their representatives and |
| other disputes subject to settlement through mediation. |
| B. Mediation procedures, as provided by section 965, subsection 2, must be followed when either party to a controversy requests mediation services prior to arbitration or |

- upon motion of the board or the executive director.

 C. The employer, labor organization or employees involved in collective bargaining shall notify the executive director, in writing, at least 30 days prior to the expiration of a contract, or at least 30 days prior to entering into negotiations for a first contract between the employer and the employees, or when a dispute arises between the parties
- D. Any information disclosed by either party to a dispute to the Panel of Mediators, established pursuant to section 965, subsection 2, paragraph C, or any of its members in the performance of this subsection is privileged.
- 3. Arbitration. When the parties are unable to reach an agreement on a contract, within 90 days from and including the date of their first meeting, either party may petition the board to initiate final and binding arbitration regarding all unresolved issues.

threatening interruption of work.

- A. Upon receipt of the petition, the executive director shall issue an order requiring arbitration and requesting the parties to select one or more arbitrators. If the parties have not selected an arbitrator or an arbitration panel within 5 days after the issuance of the order, the board shall order each party to select one arbitrator within 5 days and, if the 2 arbitrators cannot select a 3rd neutral arbitrator within 5 days, the board shall submit a list within 5 days from which the parties may alternately strike names until a single name is left, who the board shall appoint as arbitrator. The arbitration panel shall call a hearing to be held within 10 days after the date of appointment. In reaching a decision under this paragraph, the arbitration panel shall consider the following factors:
 - (1) A comparison between the wages, hours and working conditions of the employees involved in the arbitration proceeding with those of other employees who perform similar services in private employment in other jurisdictions competing in the same labor market and who are covered by a collective bargaining agreement with their employer;
 - (2) The overall compensation presently received by the employees, including direct wage compensation, vacation, holidays and excused time, insurance and pensions, medical and hospitalization benefits, the continuity and stability of employment and any other benefits received;

Page 4 - 130LR1182(02)

COMMITTEE AMENDMENT 10 to H.P. 107, L.D. 151 (3) The overall compensation presently received by the nonbargaining unit employees of the employer and the employer's overall financial condition, including but not limited to sales, income and assets; (4) Any other factors not included in subparagraphs (1) to (3) that are normally and traditionally taken into consideration in the determination of wages, hours and working conditions through voluntary collective bargaining, mediation-arbitration or otherwise between the parties, or in private employment, including the average Consumer Price Index; and (5) The need to establish fair and reasonable conditions in relation to job qualifications and responsibilities and the goal of promoting stability in the labor force and farm labor relations. B. The determination by the arbitration panel on all issues is final and binding on the parties. C. A hearing held pursuant to this subsection must be informal and the rules of evidence for judicial proceedings are not binding. Any documentary evidence and other information determined to be relevant by the arbitration panel may be received in evidence. The arbitration panel may administer oaths and require by subpoena

attendance and testimony of witnesses and production of books, records and other evidence relating to the issues presented. The hearing must be concluded within 20 days of the date of commencement.

D. The arbitration panel shall submit the panel's report to the parties and the board

within 30 days after the conclusion of the hearing, unless that time limitation is

4. Costs. The costs for the services of the mediator and of the neutral arbitrator including per diem expenses, actual and necessary travel and subsistence expenses and the costs of hiring the premises where any mediation or arbitration proceedings are conducted must be shared equally by the parties to the proceedings except that any party that intentionally and unreasonably prolongs the proceedings or causes excessive costs or expenses is responsible for excessive costs or expenses. All other costs are assumed by the party incurring them.

extended by the executive director.

5. Arbitration administration. The cost for services rendered and expenses incurred by the State Board of Arbitration and Conciliation, as described in section 931, and any state cost allocation program charges are shared equally by the parties to the proceedings and must be deposited into a nonlapsing special fund administered by the board. Authorization for services rendered and expenditures incurred by members of the State Board of Arbitration and Conciliation is the responsibility of the executive director. All costs are paid from the special fund administered by the board. The executive director may estimate costs upon receipt of a request for services and collect the estimated costs before providing the services. The executive director shall bill or reimburse the parties for any difference between the estimated costs that were collected and the actual costs of providing the services, the matter is scheduled for hearing. A party that has not paid the estimated or actual cost of providing services within 60 days of the date the invoice for those costs was issued is, in the absence of good cause shown, liable for the amount of the invoice and a penalty of 25% of the amount of the invoice. Any penalty amount collected pursuant to

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- termination or modification not less than 60 days before the expiration date of the contract or, if the contract contains no expiration date, 60 days before the proposed termination or modification time;
- (2) Offers to meet and confer with the other party for the purpose of negotiating a new contract or a contract containing the proposed modification;
- (3) Notifies the board within 30 days after notice of existence of a dispute, as long as no agreement has been reached by that time; and
- (4) Continues in effect, without resorting to strike or lockout, all the terms and conditions of the existing contract for a period of 60 days after the notice under subparagraph (1) is given or until the expiration of the contract, whichever occurs later.
- B. The duties imposed upon agricultural employers and labor organizations by paragraph A, subparagraphs (2) to (4) become inapplicable upon an intervening certification of the board that the labor organization or individual that is a party to the contract has been superseded as, or has ceased to be, the representative of the employees, subject to sections 3706 and 3707, and the duties so imposed may not be construed to require either party to discuss or agree to any modification of the terms and conditions contained in a contract for a fixed period, if the modification is to become effective before the terms and conditions can be renegotiated under the provisions of the contract. Any agricultural employee who engages in a strike within the 60-day period specified in this section is deemed to no longer meet the definition of an agricultural employee under section 3702, subsection 1 of the agricultural employer engaged in the particular labor dispute, for the purposes of sections 3704 and 3706 to 3709, but the change in definition for that employee terminates if and when that employee is reemployed by the employer.

§3706. Bargaining unit; how determined

1. Unit determination. If there is a dispute between the agricultural employer and an employee or employees over the appropriateness of a bargaining unit for purposes of collective bargaining or between the agricultural employer and an employee or employees over whether a supervisory or other position is included in the bargaining unit, the executive director or the executive director's designee shall conduct a proceeding to make the determination, except that anyone excepted from the definition of "agricultural

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employee" under section 3702, subsection 1 may not be included in a bargaining unit. The executive director or the executive director's designee conducting bargaining unit determination proceedings may administer oaths and require by subpoena the attendance and testimony of witnesses and the production of books, records and other evidence relative or pertinent to the issues represented.

- 2. Determination of exclusion of supervisory position; criteria. In determining, pursuant to subsection 1, whether a supervisory position should be excluded from the proposed bargaining unit, the executive director or the executive director's designee shall consider, among other criteria, if the principal functions of the position are characterized by performing management control duties such as scheduling, assigning, overseeing and reviewing the work of subordinate employees or performing duties that are distinct and dissimilar from those performed by the employees supervised or exercising judgment in adjusting grievances, applying other established personnel policies and procedures and enforcing a collective bargaining agreement or establishing or participating in the establishment of performance standards for employees and taking corrective measures to implement those standards.
- 3. Determination of bargaining unit appropriateness. In determining the bargaining unit that is appropriate for purposes of collective bargaining, the executive director or the executive director's designee shall ensure that employees have the fullest freedom in exercising the rights guaranteed by this chapter, ensure a clear and identifiable community of interest among employees and avoid excessive fragmentation among bargaining units.
- 4. Bargaining unit clarification. If there is a certified or currently recognized bargaining agent and the circumstances surrounding the formation of an existing bargaining unit are alleged to have changed sufficiently to warrant modification in the composition of that bargaining unit, the agricultural employer or any certified or recognized bargaining agent may file with the executive director a petition for a unit clarification when the parties are unable to agree on appropriate modifications and there is no question concerning representation.

§3707. Determination of bargaining agent

- 1. Voluntary recognition. An agricultural employee organization may file a request with an agricultural employer alleging that a majority of the agricultural employees in an appropriate bargaining unit wish to be represented for the purposes of collective bargaining between the agricultural employer and the employees' organization. The request must describe the grouping of jobs or positions that constitute the unit considered appropriate and include a demonstration of majority support. The request for recognition must be granted by the agricultural employer.
- 2. Majority sign-up. If a request by an agricultural employee organization for recognition pursuant to subsection 1 is not granted by the agricultural employer, the executive director or the executive director's designee shall examine the demonstration of support. If the executive director or the executive director's designee finds that a majority of the employees in a unit appropriate for bargaining have signed valid authorizations designating the employees' organization specified in the petition as their bargaining representative and that no other individual or labor organization is currently certified or recognized as the exclusive representative of any of the employees in the unit, the board

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- States mail and the procedures adopted and employed must ensure that neither the employee organizations nor the management representatives involved in the election have access to information that identifies a voter.
- B. The ballot must contain the name of the employee organization and that of any other employee organization showing written proof of at least 10% representation of the agricultural employees within the unit, together with a choice for an agricultural employee to designate that the employee does not desire to be represented by a bargaining agent.

If more than one organization is on the ballot and none of the 3 or more choices receives a majority vote of the agricultural employees voting, a run-off election must be held. The run-off ballot must contain the 2 choices that received the largest and next largest number of votes.

- 4. Bargaining agent certified. When an organization receives the majority of votes of those voting, the executive director shall certify that organization as the bargaining agent. The agricultural employer shall recognize the bargaining agent certified as representing a bargaining unit as the exclusive bargaining agent for all of the employees in the bargaining unit unless a decertification election by secret ballot is held and the bargaining agent is declared by the executive director as not representing a majority of the unit.
- 5. Decertification. When 30% of the employees in a certified bargaining unit petition for a bargaining agent to be decertified, the procedures for conducting an election on the question are the same as for representation as a bargaining agent set forth in this section.
- 6. Questions concerning representation. A question concerning representation may not be raised within one year of a certification or attempted certification. When a valid collective bargaining agreement is in effect, a question concerning unit or representation may not be raised except during the period neither more than 90 days nor less than 60 days prior to either the expiration date of the agreement or its anniversary date if the agreement contains no expiration date. Matters of unit clarification may be raised at any time.
- 7. Representation of employees within unit regardless of membership. The bargaining agent certified by the executive director as the exclusive bargaining agent is required to represent all the agricultural employees within the unit without regard to membership in the organization certified as bargaining agent. An agricultural employee at any time may present the employee's grievance to the agricultural employer and have the

Page 8 - 130LR1182(02)

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grievance adjusted without the intervention of the bargaining agent if the adjustment is not inconsistent with the terms of a collective bargaining agreement then in effect and if the bargaining agent's representative has been given reasonable opportunity to be present at any meeting of the parties called for the resolution of the grievance.

§3708. Maine Labor Relations Board; procedure and review

- 1. Proceedings subject to rules. Proceedings conducted under this chapter are subject to the rules and procedures of the board adopted under section 968, subsection 3.
- 2. Review of representation proceedings. Any person aggrieved by any ruling or determination of the executive director under section 3706 or 3707 may appeal to the board, within 15 days of the announcement of the ruling or determination, except that in the instance of objections to the conduct of an election or challenged ballots the time period is 5 working days. Upon receipt of an appeal, the board shall within a reasonable time give notice in writing 7 days in advance of the time and place of a hearing to the aggrieved party, the labor organizations or the bargaining agent and the agricultural employer and the board shall hold the hearing. The hearing must be in accordance with section 968. Decisions of the board made pursuant to this subsection are subject to review by the Superior Court under the Maine Rules of Civil Procedure, Rule 80C, in accordance with the standards specified in section 1292, if the complaint is filed within 15 days of the date of issuance of the decision. The complaint must be served upon the board and all parties to the board proceedings by certified mail, return receipt requested.

§3709. Prevention of prohibited acts

- 1. Prevention of prohibited acts; board powers. The board may prevent a person, an agricultural employer, an agricultural employee, an agricultural employee organization or a bargaining agent from engaging in a prohibited act under section 3704. This subsection is not affected by any other means of adjustment or prevention that has been or may be established by agreement, law or otherwise.
- 2. Complaints. An agricultural employer, an agricultural employee, an agricultural employee organization or a bargaining agent that believes that a person, an agricultural employer, an agricultural employee, an agricultural employee organization or a bargaining agent has engaged in or is engaging in a prohibited practice may file a complaint with the executive director stating the charges. The complaint may not be filed with the executive director until the complaining party has served a copy of it upon the party named in the complaint. Upon receipt of a complaint, the executive director or the executive director's designee must review the charge to determine whether the facts as alleged constitute a prohibited act. If it is determined that the facts do not, as a matter of law, constitute a violation, the charge must be dismissed by the executive director, subject to review by the board. If the executive director or the board determines that a formal hearing is necessary, the executive director must serve upon the parties to the complaint a notice of the prehearing conference and of the hearing before the board. The notice must include the time and place of hearing for the prehearing conference or the hearing, as appropriate. A hearing may not be held based upon an alleged prohibited practice occurring more than 6 months before the filing of the complaint with the executive director. The party named in the complaint has the right to file a written answer to the complaint and to appear in person or otherwise and give testimony at the place and time fixed for the hearing. Through the discretion of the board, any other person or organization may be allowed to intervene in

- 3. Cease and desist order. If after hearing and argument, upon a preponderance of the evidence received, the board is of the opinion that a party named in the complaint has engaged in or is engaging in a prohibited practice, the board shall state in writing its findings of fact and the reasons for its conclusions and issue and cause to be served upon that party an order requiring the party to cease and desist from the prohibited practice and to take affirmative action, including reinstatement of employees with or without back pay, and making employees whole, when the board considers relief appropriate, for the loss of pay resulting from the employer's refusal to bargain and to provide other relief, including payment of attorney's fees and costs. An order of the board may not require the reinstatement of an individual as an employee who has been suspended or discharged or the payment to the employee of any back pay if the individual was suspended or discharged for cause.
- 4. Dismissal of complaint. If after hearing and argument, upon a preponderance of the evidence received, the board is not of the opinion that the party named in the complaint has engaged in or is engaging in any prohibited practice, the board shall state in writing its findings of fact and the reasons for its conclusions and issue an order dismissing the complaint.
- 5. Enforcement action; scope of review; consolidation of actions. If, after the issuance of an order by the board requiring a party to cease and desist or to take any other affirmative action, the party fails to comply with the order of the board, the party in whose favor the order operates or the board may file a civil action in Superior Court to compel compliance with the order of the board. Upon application of a party in interest or the board, the court may grant temporary relief or a restraining order and may impose terms and conditions as determined just and proper. The board's decision may not be stayed except when it is clearly shown to the satisfaction of the court that substantial and irreparable injury will be sustained or that there is a substantial risk of danger to the public health or safety. In an action to compel compliance, the Superior Court may not review the action of the board other than to determine whether the board has acted in excess of its jurisdiction. If an action to review the decision of the board is pending at the time of the commencement of an action for enforcement pursuant to this subsection or is filed later, the 2 actions must be consolidated.
- 6. Review. Either party may seek a review by the Superior Court of a decision or order of the board by filing a complaint in accordance with the Maine Rules of Civil Procedure, Rule 80C, if the complaint is filed within 15 days of the date of issuance of the decision. The complaint must be served upon the board and all parties to the board proceeding by certified mail, return receipt requested. Upon the filing of the complaint, the court shall schedule the hearing at the earliest possible time and notify all interested parties and the board. Pending review and upon application of an interested party, the court may grant temporary relief or a restraining order and impose terms and conditions that the court determines just and proper, except that the board's decision or order is not stayed

7. Privileges seeking injunctive relief. In a judicial proceeding authorized by this section in which injunctive relief is sought, sections 5 and 6 apply, except that neither an allegation nor proof of unavoidable substantial and irreparable injury to the complainant's property is required to obtain a temporary restraining order or injunction.

§3710. Hearings

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- 1. Hearings; rules of evidence; evidence. Hearings conducted by the board must be informal and the rules of evidence prevailing in judicial proceedings are not binding. Any documentary evidence and other evidence determined to be relevant by the board may be received.
- 2. Subpoenas; evidence; witness fees. The chair of the board may administer oaths and require by subpoena the attendance and testimony of witnesses and the presentation of books, records and other evidence relative or pertinent to the issues presented to the board for determination. Witnesses subpoenaed by the board are allowed the same fees paid to witnesses in the Superior Court. These fees, together with all necessary expenses of the board, must be paid by the Treasurer of State on warrants drawn by the State Controller.

§3711. Binding contract arbitration

A collective bargaining agreement between an agricultural employer and a bargaining agent may provide for binding arbitration as the final step of a grievance procedure. An arbitrator with the power to make binding decisions pursuant to any such provision has no authority to add to, subtract from or modify the collective bargaining agreement.

§3712. Suits by and against unincorporated employee organizations

In any judicial proceeding brought under this chapter or to enforce the rights guaranteed by this chapter, an unincorporated employee organization may sue or be sued in the name by which it is known.

§3713. Review

Either party may seek a review of a binding determination by an arbitration panel or arbitrator pursuant to the Uniform Arbitration Act.

§3714. Federal precedents

The board must consult precedents under the federal National Labor Relations Act, as amended, as appropriate.'

Amend the bill by relettering or renumbering any nonconsecutive Part letter or section number to read consecutively.

COMMITTEE AMENDMENT " to H.P. 107, L.D. 151

| 1 | SUMMARY |
|--------------|---|
| 2 | This amendment replaces the bill, which is a concept draft. |
| 3 | This amendment: |
| 4 | 1. Gives employees of agricultural employers the right to bargain collectively; |
| 5 | 2. Specifies the parties' mutual obligation to bargain; |
| 6 7 | Creates procedures for determining bargaining units and bargaining agents, including procedures for appeal of such determinations; and |
| 8 9 10 | 4. Prohibits specified acts of agricultural employers, agricultural employees and agricultural labor organizations and provides for enforcement of those prohibitions by the Maine Labor Relations Board and subsequently through civil action in Superior Court. |

FISCAL NOTE REQUIRED (See attached)

Page 12 - 130LR1182(02)



130th MAINE LEGISLATURE

LD 151

LR 1182(02)

An Act To Protect Farm Workers by Allowing Them To Organize for the Purpose of Collective
Bargaining

Fiscal Note for Bill as Amended by Committee Amendment A-(H-679)
Committee: Labor and Housing

Fiscal Note Required: Yes

Fiscal Note

Minor cost increase - General Fund Minor revenue increase - General Fund Minor revenue increase - Other Special Revenue Funds

'orrectional and Judicial Impact Statements

This bill may increase the number of civil suits filed in the court system.

The additional workload associated with the minimal number of new cases filed in the court system does not require additional funding at this time.

The collection of additional filing fees may increase General Fund and other dedicated revenue by minor amounts.

Fiscal Detail and Notes

Additional costs to the Maine Labor Relations Board to implement the requirements of this legislation can be absorbed within existing budgeted resources. Revenue from penalties assessed due to nonpayment of a party's share of the cost of services provided by the State Board of Arbitration and Conciliation is expected to be minor.