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H.P. 1643

House of Representatives, March 26, 2008

An Act Regarding the Sunrise Review of Oral Health Care Issues

Reported by Representative SMITH of Monmouth for the Joint Standing Committee on Business, Research and Economic Development pursuant to Resolve 2007, chapter 85, section 2.

Reference to the Committee on Business, Research and Economic Development suggested and ordered printed under Joint Rule 218.

Millient M. MacFarland MILLICENT M. MacFARLAND

Clerk

1 Be it enacted by the People of the State of Maine as follows:

2 PART A

3 Sec. A-1. 32 MRSA §1078, as enacted by PL 2003, c. 669, §3, is amended to 4 read:

5 §1078. Subcommittee on Denturists

- 6 The Subcommittee on Denturist Discipline Denturists, referred to in this section as "the subcommittee," is established as follows. 7
 - 1. Membership. The subcommittee consists of 5 members as follows:
- 9 A. The denturist who is a member of the board;
- 10 Two denturists, appointed by the Governor, who are qualified pursuant to 11 subchapter 6, are legal residents of the State and, for appointments made after 12 January 1, 2006, have practiced in the State for at least 6 years immediately preceding appointment; and 13

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- C. Two dentists who are members of the board, appointed by the president of the 14 15 board.
- 16 The subcommittee shall annually elect a chair and a secretary.
 - 2. Terms. Each of the 3 members of the subcommittee who also are members of the board shall serve on the subcommittee for the duration of that member's term on the board. The term of a member of the subcommittee who is not a member of the board is 5 years.
 - 3. Duties. The subcommittee shall perform an initial review of all complaints initiated pursuant to section 1077 involving denturists. Upon completion of its review of a complaint, the secretary of the subcommittee shall report to the board the subcommittee's recommended disposition of the complaint in accordance with those dispositions authorized by section 1077. Notwithstanding the provisions of section 1077, the board shall adopt the subcommittee's recommended disposition of a complaint unless no fewer than 2/3 of the board members who are present and voting vote to reject that recommended disposition.:
- 29 A. Perform an initial review of all complaints initiated pursuant to section 1077 30 involving denturists. Upon completion of its review of a complaint, the secretary of the subcommittee shall report to the board the subcommittee's recommended 31 32 disposition of the complaint in accordance with those dispositions authorized by 33 section 1077. Notwithstanding the provisions of section 1077, the board shall adopt the subcommittee's recommended disposition of a complaint unless no fewer than 2/3 34 35 of the board members who are present and voting vote to reject that recommended disposition; 36
- 37 B. Perform an initial review of all applications for licensure as a denturist pursuant to 38 section 1100-D and all submissions relating to continuing education of denturists

- pursuant to section 1100-E-1. Upon completion of its review of an application or 1 2 submission, the secretary of the subcommittee shall repost to the board the subcommittee's recommended disposition of the application or submission, including 3 issuance, renewal, denial or nonrenewal of a denturist license. Notwithstanding the 4 5 provisions of section 1100-E, the board shall adopt the subcommittee's recommended disposition of an application or submission unless no fewer than 2/3 of the board 6 members who are present and voting vote to reject that recommended disposition; 7 8 and
- 9 C. Adopt rules in accordance with the Maine Administrative Procedure Act that are necessary for the implementation of the provisions of this chapter dealing with 10 denturists. Rules adopted pursuant to this section are routine technical rules as 11 defined in Title 5, chapter 375, subchapter 2-A. The rules may include, but are not 12 limited to, requirements for licensure, interviews for licensing and renewal, 13 continuing education and inactive licensure status. 14
 - 4. Compensation. The members of the subcommittee are entitled to compensation according to the provisions of Title 5, chapter 379. Expenses of the subcommittee members must be certified by the secretary of the board.

Sec. A-2. 32 MRSA §1079, sub-§5 is enacted to read:

19 5. Rules. The subcommittee shall adopt rules in accordance with the Maine 20 Administrative Procedure Act that are necessary for the implementation of the provisions of this chapter dealing with dental hygienists. Rules adopted pursuant to this subsection are routine technical rules as defined in Title 5, chapter 375, subchapter 2-A. The rules 22 23 may include, but are not limited to, requirements for licensure, interviews for licensing and renewal, continuing education and inactive licensure status. 24

PART B 25

Sec. B-1. 32 MRSA c. 16, sub-c. 3-B is enacted to read: 26

27 **SUBCHAPTER 3-B**

INDEPENDENT PRACTICE DENTAL HYGIENISTS

§1094-I. Independent practice

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An independent practice dental hygienist licensed by the board pursuant to this subchapter may practice without supervision by a dentist to the extent permitted by this subchapter. An independent practice dental hygienist, or a person employing one or more independent practice dental hygienists, may be the proprietor of a place where independent practice dental hygiene is performed and may purchase, own or lease equipment necessary for the performance of independent practice dental hygiene.

A person practicing independent practice dental hygiene as an employee of another shall cause that person's name to be conspicuously displayed at the entrance of the place where the practice is conducted.

§1094-J. Qualifications for licensure

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- To qualify for licensure under this subchapter as an independent practice dental hygienist, a person must:
- 4 <u>1. 18 years of age.</u> Be 18 years of age or older;
- 2. Licensure as dental hygienist. Possess a valid license to practice dental hygiene
 issued by the board pursuant to subchapter 4 or qualify for licensure as an independent
 practice dental hygienist by endorsement pursuant to section 1094-L; and
- 8 <u>3. Education and experience. Meet the educational and experience requirements</u> described in section 1094-K.

10 §1094-K. Education and experience

- An applicant for licensure under this subchapter as an independent practice dental hygienist must:
- 13 1. Bachelor's degree and 2,000 hours experience. Possess a bachelor's degree from a dental hygiene program accredited by the American Dental Association Commission on Dental Accreditation, or its successor organization, and document one year or 2,000 work hours of clinical practice in a private dental practice during the 2 years preceding application; or
- 2. Associate degree and 6,000 hours experience. Possess an associate degree from a dental hygiene program accredited by the American Dental Association Commission on Dental Accreditation, or its successor organization, and document 3 years or 6,000 work hours of clinical practice in a private dental practice during the 6 years preceding application.

23 §1094-L. Licensure by endorsement

A person eligible for licensure as a dental hygienist by endorsement pursuant to section 1098-D, subsection 2 or 1099 is also eligible for licensure under this subchapter as an independent practice dental hygienist by endorsement if the applicant meets the education and experience requirements set forth in section 1094-K.

28 §1094-M. Application

- An applicant for licensure as an independent practice dental hygienist shall apply to the board on forms provided by the board. The applicant shall include as part of the application such information and documentation as the board may require to act on the application. The application must be accompanied by the application fee set under section 1094-O.
- 34 §1094-N. License; biennial renewal; discontinuation of dental hygienist license
- The board shall issue a license to practice as an independent practice dental hygienist to a person who has met the requirements for licensure set forth in this subchapter and has

- paid the application fee under section 1094-O. There is an initial license fee only for 1 independent practice dental hygienists licensed by endorsement. The license must be 2 exhibited publicly at the person's place of business or employment. The initial date of 3 expiration of the license is the original expiration date of the person's dental hygienist 4 5 license issued by the board pursuant to subchapter 4 or, for independent practice dental hygienists licensed by endorsement, January 1st of the first odd-numbered year following 6 7 initial licensure. On or before January 1st of each odd-numbered year, the independent 8 practice dental hygienist shall pay to the board a license renewal fee. Independent 9 practice dental hygienists who have not paid the renewal fee on or before January 1st 10 must be reinstated upon payment of a late fee before February 1st of the year in which license renewal is due. Failure to be properly licensed by February 1st results in 11 12 automatic suspension of a license to practice as an independent practice dental hygienist. Reinstatement of the independent practice dental hygienist license may be made, if 13 14 approved by the board, by payment of a reinstatement fee to the board.
- A dental hygienist license issued by the board pursuant to subchapter 4 of this chapter
 automatically expires upon issuance under this subchapter of an independent practice
 dental hygienist license to the same person.

§1094-O. Fees

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The board may establish by rule fees for purposes authorized under this subchapter in amounts that are reasonable and necessary for their respective purposes, except that the fee for any one purpose may not exceed \$275. Rules adopted pursuant to this section are routine technical rules as defined in Title 5, chapter 375, subchapter 2-A.

§1094-P. Continuing education

- As a condition of renewal under this subchapter of a license to practice, an independent practice dental hygienist must submit evidence of successful completion of 30 hours of continuing education consisting of board-approved courses in the 2 years preceding the application for renewal. The board and the independent practice dental hygienist shall follow and are bound by the provisions of section 1084-A in the implementation of this section.
- Continuing education completed pursuant to section 1098-B may be recognized for purposes of this section in connection with the first renewal of an independent practice dental hygienist license.
- The board may refuse to issue a license under this subchapter to a person who has not completed continuing education required by section 1098-B or may issue the license on terms and conditions set by the board.

§1094-Q. Scope of practice

- 1. Independent practice. An independent practice dental hygienist licensed under
 this subchapter may perform only the following duties without supervision by a dentist:
- 39 A. Interview patients and record complete medical and dental histories;

- B. Take and record the vital signs of blood pressure, pulse and temperature;
- 2 C. Perform oral inspections, recording all conditions that should be called to the
- 3 attention of a dentist;
- D. Perform complete periodontal and dental restorative charting;
- 5 E. Perform all procedures necessary for a complete prophylaxis, including root
- 6 planing;
- 7 F. Apply fluoride to control caries;
- 8 G. Apply desensitizing agents to teeth;
- 9 H. Apply topical anesthetics;
- 10 <u>I. Apply sealants;</u>
- J. Smooth and polish amalgam restorations, limited to slow speed application only;
- 12 K. Cement pontics and facings outside the mouth;
- 13 L. Take impressions for athletic mouth guards and custom fluoride trays;
- M. Place and remove rubber dams;
- N. Place temporary restorations in compliance with the protocol adopted by the
- 16 board; and
- O. Apply topical antimicrobials, excluding antibiotics, including fluoride, for the
- purposes of bacterial reduction, caries control and desensitization in the oral cavity.
- 19 The independent practice dental hygienist shall follow current manufacturer's
- 20 <u>instructions in the use of these medicaments.</u>
- 21 For the purposes of this subsection, "topical" includes superficial and intraoral
- 22 application.
- 23 2. Practice under supervision. An independent practice dental hygienist licensed
- 24 under this subchapter may perform duties under the supervision of a dentist as set forth in
- 25 the rules of the board pursuant to section 1095.

26 §1094-R. Responsibilities

- 27 An independent practice dental hygienist licensed under this subchapter has the duties
- 28 and responsibilities set out in this section with respect to each patient seen in an
- independent capacity pursuant to section 1094-Q, subsection 1.
- 1. Acknowledgment. Prior to an initial patient visit, an independent practice dental
- 31 hygienist licensed under this subchapter shall obtain from the patient or the parent or
- 32 guardian of a minor patient written acknowledgment of the patient's or parent's or
- 33 guardian's understanding that the independent practice dental hygienist is not a dentist
- and that the service to be rendered does not constitute restorative care or treatment.
- 2. Referral plan. An independent practice dental hygienist licensed under this
- 36 subchapter shall provide to a patient or the parent or guardian of a minor patient a written

plan for referral to a dentist for any necessary dental care. The referral plan must identify all conditions that should be called to the attention of the dentist.

§1094-S. Mental or physical examination

For the purposes of this section, by application for and acceptance of a license to practice under this subchapter, an independent practice dental hygienist is considered to have given consent to a mental or physical examination when directed by the board. The board may direct an independent practice dental hygienist to submit to an examination whenever the board determines the independent practice dental hygienist may be suffering from a mental illness that may be interfering with the competent independent practice of dental hygiene or from the use of intoxicants or drugs to an extent that they are preventing the independent practice dental hygienist from practicing dental hygiene competently and with safety to patients. An independent practice dental hygienist examined pursuant to an order of the board may not prevent the testimony of the examining individual or prevent the acceptance into evidence of the report of an examining individual. Failure to comply with an order of the board to submit to a mental or physical examination results in the immediate suspension of the license to practice independent dental hygienist submits to the examination.

§1094-T. Use of former employers' lists

An independent practice dental hygienist may not use or attempt to use in any manner whatsoever any prophylactic lists, call lists, records, reprints or copies of those lists, records or reprints, or information gathered from these materials, of the names of patients whom the independent practice dental hygienist might have served in the office of a prior employer, unless these names appear on the bona fide call or prophylactic list of the present employer and were caused to so appear through the independent practice of dentistry, denturism or independent practice dental hygiene as provided for in this chapter. A dentist, denturist or independent practice dental hygienist who employs an independent practice dental hygienist may not aid or abet or encourage an independent practice dental hygienist employed by such person to make use of a so-called prophylactic call list, or to call by telephone or to use written letters transmitted through the mails to solicit patronage from patients formerly served in the office of a dentist, denturist or independent practice dental hygienist.

34 PART C

Sec. C-1. 32 MRSA §1062-A, sub-§1, as corrected by RR 1995, c. 2, §79, is amended to read:

1. Penalties. A person who practices or falsely claims legal authority to practice dentistry, dental hygiene, independent practice dental hygiene, denturism or dental radiography in this State without first obtaining a license as required by this chapter, or after the license has expired, has been suspended or revoked or has been temporarily suspended or revoked, commits a Class E crime.

- Sec. C-2. 32 MRSA §1081, sub-§2, ¶E, as amended by PL 1993, c. 600, Pt. A, §63, is further amended to read:
- 3 E. The filling of prescriptions of a licensed dentist by any person, association, 4 corporation or other entity for the construction, reproduction or repair of prosthetic 5 dentures, bridges, plates or appliances to be used or worn as substitutes for natural 6 teeth, provided that this person, association, corporation or other entity does not 7 solicit nor advertise, directly or indirectly, by mail, card, newspaper, pamphlet, radio 8 or otherwise, to the general public to construct, reproduce or repair prosthetic 9 dentures, bridges, plates or other appliances to be used or worn as substitutes for 10 natural teeth; and
- Sec. C-3. 32 MRSA §1081, sub-§2, ¶G, as amended by PL 1993, c. 600, Pt. A, §63, is further amended to read:
- G. The taking of impressions by dental hygienists, independent practice dental hygienists or dental assistants for study purposes only-; and
- 15 **Sec. C-4. 32 MRSA §1081, sub-§2, ¶H** is enacted to read:
- 16 H. Practice by an independent practice dental hygienist pursuant to subchapter 3-B.
- Sec. C-5. 32 MRSA §1081, sub-§3, as amended by PL 2007, c. 210, §2, is further amended to read:
- 3. **Proprietor.** The term proprietor, as used in this chapter, includes a person who:
- A. Employs dentists or dental hygienists, <u>independent practice dental hygienists</u>, denturists or other dental auxiliaries in the operation of a dental office;
- B. Places in possession of a dentist or a dental hygienist, independent practice
 dental hygienist or other dental auxiliary or other agent dental material or equipment
 that may be necessary for the management of a dental office on the basis of a lease or
 any other agreement for compensation for the use of that material, equipment or
 office; or
- 27 Retains the ownership or control of dental equipment or material or a dental 28 office and makes the same available in any manner for the use by dentists or dental 29 hygienists, independent practice dental hygienists or other agents, except that nothing 30 in this subsection applies to bona fide sales of dental equipment or material secured 31 by a chattel mortgage or retain title agreement. A person licensed to practice dentistry 32 may not enter into arrangements with a person who is not licensed to practice 33 dentistry, with the exception of licensed denturists and independent practice dental 34 hygienists, or the legal guardian or personal representative of a deceased or 35 incapacitated dentist, pursuant to the provisions of Title 13, section 732.
- 36 Sec. C-6. 32 MRSA §1081, sub-§6 is enacted to read:
- 6. Dental hygienist. "Dental hygienist" or "independent practice dental hygienist,"
 as used in this chapter, means a dental auxiliary licensed pursuant to subchapter 3-B or 4

- who delivers preventive and educational services for the control of oral disease and the promotion of oral health within the scope of practice authorized by the person's license.
- Sec. C-7. 32 MRSA §1092, sub-§1, ¶J, as enacted by PL 2003, c. 452, Pt. R, §1 and affected by Pt. X, §2, is amended to read:
- J. Practice as a dental hygienist <u>or independent practice dental hygienist</u> without having a license to do so; or
- Sec. C-8. 32 MRSA §1092, sub-§1, ¶K, as enacted by PL 2003, c. 452, Pt. R, §1 and affected by Pt. X, §2, is amended to read:
- 9 K. Employ a person as a dental hygienist <u>or independent practice dental hygienist</u> who is not licensed to practice.
- Sec. C-9. 32 MRSA §1094-D, as enacted by PL 2005, c. 322, §1, is amended to read:

13 §1094-D. Definitions

- 14 As used in this subchapter, unless the context otherwise indicates, "expanded 15 function dental assistant" means an individual who holds a current valid certification under this subchapter to perform reversible intraoral procedures authorized by this 16 17 subchapter under the direct supervision of a licensed dentist and under an assignment of 18 duties by a dentist. As used in this subchapter, unless the context otherwise indicates, 19 "reversible intraoral procedures" means placing and removing rubber dams and matrices; 20 placing and contouring amalgam, composite and other restorative materials; applying 21 sealants; supra gingival polishing; and other reversible procedures defined by the board not designated by this chapter to be performed only by licensed dentists of, dental 22 23 hygienists or independent practice dental hygienists.
- Sec. C-10. 32 MRSA §1100-A, as amended by PL 2005, c. 322, §2, is further amended to read:

26 §1100-A. Definition

- Duties of dental auxiliaries other than dental hygienists and expanded function dental assistants must be defined and governed by the rules of the Board of Dental Examiners, except that duties of independent practice dental hygienists set forth in section 1094-Q, subsection 1 may not be restricted or expanded by the board. Dental auxiliaries include, but are not limited to, dental hygienists, independent practice dental hygienists, dental assistants, expanded function dental assistants, dental laboratory technicians and denturists.
- Sec. C-11. 32 MRSA §1100-B, sub-§3, ¶C, as amended by PL 1993, c. 600, Pt. A, §88, is further amended to read:
- C. The procedures incidental to the procedures specified in paragraphs A and B, as defined by the board Subcommittee on Denturists.

Sec. C-12. 32 MRSA §1100-C, sub-§1, as amended by PL 1995, c. 590, §5, is further amended to read:

- 1. Rules required. The board <u>Subcommittee on Denturists</u> shall adopt rules necessary to implement this subchapter. Rules adopted may pertain, but are not limited to, continuing education, statement of oral conditions and other record retention requirements, prelicensure permits and the specification of other procedures incidental to the practice of denturism.
- **Sec. C-13. 32 MRSA §1100-D, sub-§1,** as amended by PL 1995, c. 590, §6, is further amended to read:
- 1. Authority. The board <u>Subcommittee on Denturists</u> is authorized to prepare and give examinations in the area of denturism for the purpose of licensing denturists. All examinations prepared and given under this subchapter may be prepared and given by the full board, by an appointed subcommittee of the board <u>Subcommittee on Denturists</u> or by an entity authorized by the <u>board subcommittee</u>. The <u>board Subcommittee on Denturists</u> may also recognize a nationally or regionally administered examination given at least annually for applicants to practice denturism in the State.

17 PART D

- Sec. D-1. 13 MRSA §732, sub-§4, as enacted by PL 2001, c. 640, Pt. B, §2 and affected by §7, is amended to read:
 - **4. Dentists, denturists and independent practice dental hygienists.** For the purposes of this chapter, a denturist or independent practice dental hygienist licensed under Title 32, chapter 16 and may become a shareholder of a dental practice incorporated under the corporation laws. At no time may a-denturist one or more denturists or independent practice dental hygienists in sum have an equal or greater ownership interest in a dental practice than the dentist or dentists have in that practice.

27 SUMMARY

This bill creates the new license category of independent practice dental hygienist. An independent practice dental hygienist must meet the ordinary requirements for licensure as a dental hygienist and, in addition, must have an associate degree in dental hygiene with 3 years' experience or a bachelor's degree in dental hygiene with one year's experience. The bill authorizes an independent practice dental hygienist to perform specified procedures without supervision by a dentist, but requires an independent practice dental hygienist to provide a patient with a referral plan to a dentist for any necessary dental care. Under this bill an independent practice dental hygienist could be the proprietor of a business or could be an employee of a dentist, denturist, another independent practice dental hygienist or a business owned by persons who are not dental professionals. The bill also provides the Subcommittee on Denturists with the authority to review applications for licensure and submissions relating to continuing education of

- denturists, as well as provides the subcommittee with rule-making authority. It also
- 2 provides the Subcommittee on Dental Hygienist Submissions with rule-making authority.