MAINE STATE LEGISLATURE

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121st MAINE LEGISLATURE

FIRST REGULAR SESSION-2003

Legislative Document

No. 1522

S.P. 510

In Senate, April 7, 2003

An Act To Establish Fee Caps under the Maine Insurance Code

Submitted by the Department of Professional and Financial Regulation pursuant to Joint Rule 204

Reference to the Committee on Insurance and Financial Services suggested and ordered printed.

JOY J. O'BRIEN Secretary of the Senate

Presented by Senator TURNER of Cumberland. Cosponsored by Representative BREAULT of Buxton.

	c. 1. 24-A MRSA §601, as amended by PL 2001, c. 47, §1, amended to read:	is
§601.	ee schedule	
	e superintendent shall collect, and persons so ser	
	aneous charges as fellews set forth in this section.	and <u>The</u>
	tendent may adopt rules establishing the fees and char Terent amounts from those specified under this secti	-
except	that the amount of any such fee or charge may not exc established in this section. In the absence of s	eed
rules	the maximum amounts set forth in this section app	oly.
	adopted pursuant to this section are routine techni s defined in Title 5, chapter 375, subchapter 2-A.	<u>.cal</u>
]	Certificate of authority. Insurer's certificate	οf
	ty fees are may not exceed:	-
I	J L	
	thority, including all documents submitted as part of	
	plication. If an applicant requests deferral and new d lings respecting the application are required, a fee	
•	ual amount is required upon the filing of the new	
3	formation \$1,0	; 000
F	Issuance, and each annual continuation \$100;	
ā	d.	
(Reinstatement, under section 415 \$350;	
:		
	tion for certificate of authority. The fee: for filing rer for a reservation of a name; in addition to any ot	
	late filing of any information required to be filed b	
	e; registration of a branch location; and filing	_
	nt to certificate of organization, articles or certific orporation, charter, bylaws, power of attorney, as	
	cal insurers, and other constitutent documents of	
insur	is may not exceed \$25;	
	Annual statement. Filing annual statement of insur	er,
payab:	annually may not exceed \$100;	
!	Producers. Producers' license and appointment fees	are
may no	exceed:	
i	Issuance fee for original resident	
-	oducer license, including limited	
	cense \$30;	

52

2	B. Appointment of resident producer,	
4	each insurer, health maintenance organization, fraternal benefit society,	
*	nonprofit hospital or medical service organization,	viatical
6	settlement	
	provider or risk retention group	\$ 30;
8	Biennial fee for appointment, each	
10	insurer, health maintenance organization,	
	fraternal benefit society, nonprofit	
12	hospital or medical service organization,	
- 4	viatical settlement provider or risk	***
14	retention group	\$ 30;
16	C. Temporary license issuance fee	\$ 50;
	b! 1993, c. 637, @4 (amd). ?b	4
18		
	E. Issuance fee for original nonresident	
20	producer license	\$70 <i>;</i>
22	Appointment of such producer, each	
22	insurer, health maintenance organization,	
24	fraternal benefit society, nonprofit	
	hospital or medical service organization,	
26	viatical settlement provider or risk	
	retention group	\$70 ;
28	Dismuial for for anniutment and	
30	Biennial fee for appointment, each insurer, health maintenance organization,	
30	fraternal benefit society, nonprofit hospital	
32	or medical service organization, viatical	
	settlement or provider risk retention group	\$70;
34		
2.0	F. Issuance fee for resident agency license \$30;	
36	Biennial fee	\$ 30;
38	premitat fee	φ50,
	Biennial fee for appointment, each insurer,	
40	health maintenance organization, fraternal	
	benefit society, nonprofit hospital or	
42	medical service organization, viatical	
44	settlement provider or risk retention	\$30.
**	group	\$30;
46	G. Issuance fee for nonresident agency	
	license	\$ 70;
48		
E O	Biennial fee	\$70 ;
50		

2	Biennial fee for appointment, each insurer, health maintenance organization, fraternal benefit society, nonprofit hospital or
4	medical service organization, viatical settlement provider or risk retention
6	group \$70; and
8	<pre>H. Issuance fee for resident independent producer authority \$70;</pre>
10	Biennial continuation \$70;
12	Issuance fee for nonresident, independent
14	producer authority \$150;
16	Biennial continuation \$150.
18	7. Consultants. Consultant license fees are may not exceed:
20	A. Issuance fee for original resident consultant license \$50;
22	Biennial fee \$50;
24	B. Issuance fee for original
26	nonresident consultant license \$100;
28	Biennial fee \$100;
30	C. Issuance fee for resident consultant agency license \$50;
32	Biennial fee \$50; and
34	D. Issuance fee for nonresident consultant
36	agency license \$100;
38	Biennial fee \$100.
40	8. Adjusters. Adjuster license fees are may not exceed:
42	A. Issuance fee for original resident adjuster license \$30;
44	Biennial fee \$30;
46	B. Issuance fee for original nonresident
48	adjuster license \$60;
50	Biennial fee \$60;

2	C. Temporary license \$50;
4	D. Issuance fee for resident adjuster agency license \$30;
6	Biennial fee \$30; and
8	E. Issuance fee for nonresident adjuster
10	agency license \$60;
12	Biennial fee \$60.
14	9-A. Application. Application for license fees are may not exceed:
16	A. Application filing fee, other than
18	consultants \$15; and
20	B. Consultant application filing fee \$25.
22	10. Vending machines. Insurance vending machines fees are may not exceed:
24	Issuance fee for license, each machine \$100; and
26	
28	Biennial continuation of license, each machine \$100.
30	11. Rating organizations and advisory organizations. Rating organizations and advisory organizations fees are may not exceed:
32	Original license issuance fee \$200; and
34	Biennial continuation of license \$200.
36	13. Copies of certificates. Certified copy of insurer
38	certificate of authority or other license issued under this Title may not exceed \$10.
40	14. Copies of other documents. Copies of other documents
42	on file in the bureau: reasonable charge as fixed by the superintendent; and for certifying and fixing official seal may
44	not exceed \$10.
46	16. Self-insurance authorization. Fees applicable to each self-insurer, individual or group, seeking authorization or
48	authorized to operate a workers' compensation self-insurance plan may not exceed:
50	

2	including all documents submitted as part of the application \$1,000;
4 6	A-1. For filing application for authority to self-insure under Title 39-A, section 403, subsection 16, including all documents submitted as part of the application \$500;
8	B. Authorization and each annual \$300; and
10	continuation
12	C. Filing yearly report of self-insurer \$100.
14	If a self-insurer terminates the plan or otherwise does not continue to self-insure, the fee applicable to filing of yearly
16	reports must apply to that period in which the making of these reports is mandated.
18	17. Rules, rates and forms filings. Rate filings, rating
20	rules filings, insurance policy, forms, riders, endorsements and certificate filings may not exceed \$20.
22	18. Third-party administrators. Third-party administrators
24	license fees are may not exceed:
26	A. Original issuance fee \$100; and
28	B. Annual renewal fee \$100.
30	19. Purchasing group registrations. Purchasing group registration fees are may not exceed:
34	A. Original issuance fee \$100; and
36	B. Annual renewal fee \$100.
30	20. Preferred provider arrangement administrator.
38	Preferred provider arrangement administrator fees are <u>may not</u> exceed:
40	
42	A. Original registration issuance fee \$100; and
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44	B. Annual renewal fee \$100.
46	21. Reinsurance intermediary. Reinsurance intermediary issuance fees and renewal fees are may not exceed:
48	A. Original license issuance fee \$50; and
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2	B. Annual continuation \$50.
4	22. Managing general agents. Managing general agents fees are may not exceed:
6	A. Original registration fee \$100; and
8	B. Annual continuation of registration fee \$100.
10	23. Continuing education vendors and courses. Filing fees for continuing education courses and vendors are may not exceed:
12	
14	A. Filing fee for each continuing education vendor \$100;
16	B. Biennial continuation of approval \$100; and
18	C. Filing fee for original approval of each continuing education course \$20.
20	
22	24. Multiple-employer welfare arrangements. Applications for authorization <u>may not exceed</u> \$500.
24	25. Transferees of structured settlement payment rights.
26	Transferees of structured settlement payment rights registration fees are may not exceed:
28	A. Original issuance fee \$100; and
30	B. Annual renewal fee \$100.
32	26. Accreditation as reinsurer. Application fee for accreditation as reinsurer may not exceed \$500.
34	accreditation as reinsurer <u>may not exceed</u> \$500.
36	SUMMARY
38	This bill authorizes the Superintendent of Insurance to
40	adopt rules establishing fees and miscellaneous charges under the Maine Insurance Code within a range that does not exceed the otherwise applicable current amounts in the law.