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Legislative Document

No. 768

S.P. 263

In Senate, February 18, 2003

An Act To Improve the Administration of the Baxter Compensation Program

Reference to the Committee on Judiciary suggested and ordered printed.

JOY J. O'BRIEN Secretary of the Senate

Presented by Senator TREAT of Kennebec. Cosponsored by Representative BULL of Freeport and Senators: BRENNAN of Cumberland, CATHCART of Penobscot, EDMONDS of Cumberland, TURNER of Cumberland, WOODCOCK of Franklin, Representatives: BOWLES of Sanford, McKEE of Wayne, NORBERT of Portland.

| - | Be it enacted by the People of the State of Maine as follows: |
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| 2 | Sec. 1. 5 MRSA §22002, sub-§6, ¶H, as enacted by PL 2001, c. 439, Pt. T, §5, is amended to read: |
| 7 | 439, FC. I, 33, IS allended to read: |
| б | H. The authority shall submit an annual written report by January 15th of each year to the Governor, the Attorney |
| 8 | General and the joint standing committee of the Legislature having jurisdiction over judiciary matters. The report must |
| 10 | include information on the following: |
| 12 | (1) The activities of the authority within the last 12 months; |
| 14 16 | (2) The number of employees and volunteers and their responsibilities; |
| 18 | (3) Data pertaining to the following: |
| 20 | (a) Requests for information; |
| 22 | (b) Applications filed; |
| 24 | (c) Claims submitted to the compensation panel; |
| 26 | (d) Decisions made by the compensation panel, and the nature of the decisions; |
| 28 | (e) Decisions appealed to the appeal board $_{\tau}$ and |
| 30 | the grounds for and outcomes of the appeals; |
| 32 | (f) Claims pending completion prior to being submitted to the compensation panel; |
| 34 | (g) Claims pending decision by the compensation |
| 36 | panel; |
| 38 | (h) Claims pending resolution by the appeal board; and |
| 40 | (i) Awards made and paid; |
| 42 | (4) Amount of compensation paid; |
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| 46 | (5) Any other information that would help to evaluate the performance of the program; and |
| 48 | (6) Any recommended legislation the authority determines is necessary to carry out its duties; and |
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(7) A detailed account of the administrative budget 2 and the previous year's expenditures. Sec. 2. 5 MRSA §22002. sub-§8. as enacted by PL 2001, c. 439, 4 Pt. T, $\S5$, is repealed. 6 Sec. 3. 5 MRSA §22009, sub-§2, as enacted by PL 2001, c. 439, Pt. T, $\S5$, is amended to read: 8 10 2. Confidential. All information provided by a claimant or a claimant's family is confidential until the claim is submitted to the compensation panel. Once the claim is submitted to the 12 compensation panel, the elaim following information is a public 14 record.: 16 A. The claimant's name; B. The claimant's eligibility for compensation; 18 20 C. The amount of the compensation award, if any; and 22 D. A summary of the compensation panel's rationale in deciding eligibility and the compensation award amount. 24 All other information supporting or corroborating the claim 26 continues to be confidential and may be released by the authority to only the Attorney General, the Governor and the chairs of the 28 joint standing committee of the Legislature having jurisdiction over the authority. The information remains confidential and the 30 Attorney General, the Governor and chairs of the committee may not release it. 32 Sec. 4. 5 MRSA §22025. sub-§§4 and 5. as enacted by PL 2001, c. 34 439, Pt. T, $\S5$, are repealed and the following enacted in their place: 36 4. Appeal on record and appeal documents. In considering 38 an appeal, the appeal board, except as otherwise provided in this section, shall consider only the record of the proceedings before 40 the compensation panel, including any documents or testimony presented to the panel. The appeal board may also consider any 42 oral or written arguments that the claimant may wish to make in support of the claim. 44 5. Standard of review. The appeal board may either affirm 46 or increase a compensation award of the compensation panel, but may not reduce an award. The appeal board may overrule a 48 decision of the compensation panel only if the appeal board determines the decision of the compensation panel is arbitrary 50 and capricious, inconsistent with the statute or inconsistent with the policies adopted by the authority.

Sec. 5. 5 MRSA §22025, sub-§5-A is enacted to read:

4 <u>5-A. New information.</u> If a claimant wishes to offer on appeal new information not presented to the compensation panel, 6 the appeal board shall determine whether the new information is appropriate for consideration in connection with the claim. If 8 the appeal board determines that the new information is appropriate for consideration, it shall return the matter to the 10 compensation panel and order that the compensation panel reconsider the claim in light of the new information.

SUMMARY

16 This bill contains recommendations of the Baxter Compensation Authority.

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This bill requires the Baxter Compensation Authority to 20 include in its annual report to the Governor, the Attorney General and the joint standing committee of the Legislature having jurisdiction over judiciary matters information about the 22 administrative budget and the previous year's expenses. Current law limits administrative expenses to 15% of the 24 Baxter Compensation Authority Other Special Revenue Fund account per 26 The fund balance, from which the 15% is calculated, will year. necessarily decrease as the compensation payments are made. This could have the effect of limiting the ability of the authority to 28 fulfill its obligation to administer claims as thev are This bill repeals the 15% limitation because the submitted. 30 annual reporting will allow continuing oversight the of 32 administrative budget.

This bill addresses confidentiality concerns of claimants 34 and their families. Current law states that once a claim is submitted, the claim becomes a public record. This bill provides 36 that certain pieces of information become public and allows public oversight of the program. The information that becomes 38 public is the claimant's name; the claimant's eligibility for compensation; the amount of the compensation award, if an award 40 is made; and a summary of the compensation panel's rationale for 42 making the eligibility and award determinations. All information supporting or corroborating the claims remains confidential, although it may be released to the Attorney General, the Governor 44 and the chairs of the joint standing committee of the Legislature having jurisdiction over the Baxter Compensation Authority. 46

This bill also addresses the appeal process. It allows the 2 appeal board to affirm or increase a compensation award, but prohibits a reduction in the amount awarded by the compensation 4 panel. The appeal board may consider records and testimony presented to the compensation panel. It may also accept oral and written arguments from the claimant in support of the claim. If 6 the claimant wants to present new information on appeal, the appeal board will make a determination on whether the new 8 information is appropriate to be considered in connection with 10 the claim. If so, then the appeal board must return the claim to the compensation panel and order the compensation panel to reconsider the decision in light of the new information. 12