# MAINE STATE LEGISLATURE

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# 120th MAINE LEGISLATURE

# SECOND REGULAR SESSION-2002

Legislative Document

No. 2056

H.P. 1553

House of Representatives, January 8, 2002

An Act to Strengthen the Laws Governing Inspections of Boilers, Pressure Vessels, Elevators and Tramways.

Submitted by the Department of Professional and Financial Regulation pursuant to Joint Rule 204.

Reference to the Committee on Business and Economic Development suggested and ordered printed.

MILLICENT M. MacFARLAND, Clerk

Millient M. Macfailan

Presented by Representative RICHARDSON of Brunswick. Cosponsored by Senator SHOREY of Washington and

Representative MICHAUD of Fort Kent, Senator: YOUNGBLOOD of Penobscot.

Be i	it	enacted	bv	the	Peo	ple	of	the	State	of	Maine	as	follows:

be it enacted by the reopte of the State of Maine as follows:
PART A
Sec. A-1. 32 MRSA §15104-A, sub-§1, as enacted by PL 1999, c.
386, Pt. W, §7, is amended to read:
1. Rules. The board shall, in accordance with Title 5, chapter 375, adopt rules to implement the purposes of this
chapter, including rules for the safe and proper construction, installation, repair, use and operation of boilers and pressure
vessels in this State. The rules must conform as nearly as practicable to the code. <u>Rules adopted by the board under this</u>
section are routine technical rules as defined in Title 5, chapter 375, subchapter II-A.
The board shall publish and distribute among boiler manufacturers and others requesting them copies of the rules adopted by the
board at a cost sufficient only to cover the printing and mailing expenses of distribution.
Sec. A-2. 32 MRSA §15104-B is enacted to read:
§15104-B. Appeals: variances
A person aggrieved by an order or act of the chief inspector
or a deputy inspector under this chapter may, within 15 days after notice of the order or act, appeal from the order or act to the board, which shall hold a hearing pursuant to Title 5,
chapter 375, subchapter IV. After the hearing, the board shall
issue an appropriate order either approving or disapproving the
order or act.
Any person who is or will be aggrieved by the application of
any law, code or rule relating to the installation or alteration
of boilers and pressure vessels may file a petition for a variance, whether compliance with that provision is required at
the time of filing or at the time that provision becomes
effective. The filing fee for a petition for a variance must be
set by the Director of the Office of Licensing and Registration
under section 15109, subsection 9. The chief inspector may grant
a variance if, owing to conditions especially affecting the
particular boiler or pressure vessel involved, the enforcement of
any law, code or rule relating to boilers or pressure vessels
would do manifest injustice or cause substantial hardship,
financial or otherwise, to the petitioner or would be unreasonable under the circumstances, provided that desirable
relief may be granted without substantial detriment to the public
good and without nullifying or substantially derogating from the

intent or purpose of that law, code or rule. In granting a

variance under this section, the chief inspector may impose limitations both of time and of use, and a continuation of the use permitted may be conditioned upon compliance with rules made and amended from time to time. A copy of the decision must be sent to all interested parties.

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Sec. A-3. 32 MRSA §15108-B, as amended by PL 1999, c. 547, Pt. B, §78 and affected by §80, is further amended to read:

# §15108-B. Investigations of complaints; revocation of license, registration or certificate

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- 1. Investigations. The board shall investigate or cause to be investigated all complaints made to it and all cases of noncompliance with or violation of this chapter. Any-person-may register—a—complaint—of—fraud,—deceit,—gross—negligenee, incompetency—or—misconduct—against—any—person—licensed—or required—to—be—licensed—under—this—chapter. These complaints must be in writing,—swern—to—by—the—person—making—them and filed with the Office of Licensing and Registration.
- 22 2. Suspension; revocation. The board may suspend or revoke a license, registration or certificate pursuant to Title 5, section 10004. The board may refuse to issue or renew a license, registration or certificate or the District Court may suspend or revoke a license of any lieensed person, firm, partnership, association, corporation or company who holds a license, registration, or certificate or any applicant whe-is-feund-guilty ef for a license, registration or certificate on any of the following grounds:
  - A. The practice of fraud or deceit in obtaining a license\_ registration or certificate;

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- B. Any gross negligence, incompetency or misconduct in the licensee's job performance;
- C. Operating or being in charge of a plant while under the influence of intoxicating beverages or narcotic drugs;

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D. Suffering from physical or mental incapacity of such nature as would jeopardize physical property or lives in the exercise of the license;

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- E. Operating or having charge of a plant over which the licensee or applicant lacked authority;
- F. Violating any provisions of this chapter or any rule of the board; or

G. Conviction of a crime, subject to the limitations of Title 5, chapter 341.

Sec. A-4. 32 MRSA §15117, first ¶, as amended by PL 2001, c. 323, §43, is further amended to read:

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Each boiler or pressure vessel used or proposed for use within this State, except boilers or pressure vessels exempt under section 15102, must be thoroughly inspected by the chief inspector er-one-of-the, a deputy inspector inspector or an authorized inspector, as to its design, construction, installation, condition and operation. The board shall adopt the Maine Administrative Procedure rules pursuant to specifying the method and frequency of inspection. When any boiler or pressure vessel inspected as specified by the board is found to be suitable and to conform to the rules of the board, the chief inspector shall issue to the owner or user of that boiler or pressure vessel, upon payment of a fee to the board, an inspection certificate for each boiler or pressure vessel. fee under subsection 9 must be set by the director. Inspection certificates must specify the maximum pressure that the boiler or pressure vessel inspected is allowed to carry. The inspection certificate may be valid for not more than 14 months from its the date of inspection in the case of boilers and 38 months from its the date of inspection in the case of pressure vessels and must be posted under glass in the engine or boiler room containing the boiler or pressure vessel or an engine operated by it or, in the case of a portable boiler, in the office of the plant where it is temporarily located. The board may adopt rules setting forth criteria by which a temporary extension of an inspection certificate beyond 14 months in the case of boilers and beyond 38 months in the case of pressure vessels may be authorized. adopted pursuant to this section are routine technical rules pursuant to Title 5, chapter 375, subchapter II-A.

Sec. A-5. 32 MRSA §15119, sub-§§2 and 3, as amended by PL 1999, c. 386, Pt. W, §29, are further amended to read:

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- 2. Inspection certificates. Issue, suspend and revoke inspection certificates allowing boilers or pressure vessels to be operated, as provided in sections 15115 and 15117, and as provided in the Maine Administrative Procedure Act; and
- 3. Enforce laws and rules. Enforce the laws of the State governing the use of boilers and pressure vessels and enforce the rules of the board; and
  - Sec. A-6. 32 MRSA §15119, sub-§5 is enacted to read:

- 5. Order uninspected or unrepaired boilers and pressure vessels out of service. In addition to the chief inspector's 2 powers under section 15117, order that a boiler or pressure vessel be taken out of service if an inspection report is not submitted to the board within 60 days of the expiration of the most recent certificate or if the owner fails to make repairs as required by the board.
- Sec. A-7. 32 MRSA §15120, as amended by PL 1999, c. 687, Pt. E, §17, is further amended to read: 10

# §15120. Authorized inspectors; duties

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14 In-addition-to-any-deputy-boilor-inspectors-certified-and appointed-under-section-15106, -- the The board shall, upon the request of any company authorized to insure against loss from 16 explosion of boilers or pressure vessels in this State, issue to the boiler inspectors of the company certificates of authority as 18 licensed authorized inspectors. Each inspector before receiving a certificate of authority must hold a certificate as an 20 inspector of steam boilers for a state that has a standard of examination equal to that of this State or a certificate from the 22 National Board of Boiler and Pressure Vessel Inspectors, or its 24 successor organization. - Licensed Authorized inspectors are not entitled to receive a salary from, nor may any of their expenses the State. 26 be paid by, The continuance of a--lieensed an authorized inspector's certificate is conditioned upon the 28 lieensed authorized inspector continuing in the employ of a boiler inspection and insurance company duly authorized and upon maintenance of the standards imposed by this chapter. 30 Authorized inspectors shall inspect all boilers and pressure 32 vessels insured by their respective companies, and the owners or users of those insured boilers are exempt from the payment of the fees provided for in section 15118. Authorized inspectors may, 34 with the permission of the chief inspector, also inspect boilers 36 or pressure vessels for which an application for insurance against loss from explosion of boilers or pressure vessels has 38 been made or when a new boiler or pressure vessel is installed at an insured location and the prospective insured owner or user is 40 exempt from the payment of fees provided for in section 15118. Each company employing lieensed authorized inspectors shall, within 30 days following each certificate inspection made by the 42 inspectors, file a report of the inspection with the chief 44 inspector.

### Sec. A-8. 32 MRSA §15121 is enacted to read:

# §15121. Duties of owners of boilers and pressure vessels

- 1. Responsibility for inspection. It is the responsibility
  of the owner to arrange for an inspection of a boiler or pressure
  vessel and to prepare the boiler or pressure vessel for
  inspection.

  2. Obtain inspection certificate. The owner of a boiler or
  pressure vessel shall obtain an inspection certificate in a
- pressure vessel shall obtain an inspection certificate in a
  timely manner. A late certification fee may be set by the
  Director of the Office of Licensing and Registration within the
  Department of Professional and Financial Regulation under section
  15109, subsection 9 and must be assessed for failure to submit
  the application and inspection report in a timely manner.
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  3. Failure to qualify for inspection certificate. The owner of a boiler or pressure vessel that does not qualify for an inspection certificate shall take the boiler or pressure vessel out of operation until the required repairs have been made and a new inspection certificate has been issued.
- 4. Notify board when required repairs made. The owner of a boiler or pressure vessel shall notify the board when required repairs have been made and provide the board with satisfactory evidence of completion.
  - 5. Notify board when boiler or pressure vessel removed. The owner of a boiler or pressure vessel shall notify the board within 30 days of the removal of the boiler or pressure vessel.
  - 6. Change of ownership. The owner of a boiler or pressure vessel shall notify the board of a transfer of ownership within 30 days of such a transfer.
- 7. Failure to comply. In addition to the remedies
  available under this chapter, an owner of a boiler or pressure
  vessel who fails to comply with the provisions of this chapter or
  rules adopted by the board is subject to the provisions of Title
  10, section 8003, subsection 5 whether or not the boiler or
  pressure vessel has a current inspection certificate, except
  that, notwithstanding Title 10, section 8003, subsection 5,
  paragraph A-1, subparagraph 3, a civil penalty of up to \$3,000
  may be imposed for each violation.

PART B

- Sec. B-1. 32 MRSA §15201, as enacted by PL 1995, c. 560, Pt. H, §14 and affected by §17, is amended to read:
  - §15201. Declaration of policy

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It is the policy of the State to protect its citizens and
visitors from unnecessary mechanical hazards in the operation of
elevators and tramways and to ensure that reasonable design and
construction are used, that accepted safety devices and
sufficient personnel are provided and that periodic maintenance,
inspections and adjustments considered essential for the safe
operation of elevators and tramways are made. The primary
responsibility for design, construction, maintenance and
inspection rests with the firm, person, partnership, association
er, corporation or company that owns er-operates elevators or
tramways.
Coo D 2 22 MDCA \$15202 cmb \$82 A 4 A 4 D 4 C and 5 A
Sec. B-2. 32 MRSA §15202, sub-§§2-A, 4-A, 4-B, 4-C and 5-A are enacted to read:
enacted to read:
2-A. Chief inspector. "Chief inspector" means an individual
in the employ of the State whose duties include the examination
and inspection of elevators and tramways and who has been
designated as chief inspector by the Commissioner of Professional
and Financial Regulation.
4-A. Deputy inspector. "Deputy inspector" means an
individual in the employ of the State whose duties include the
examination and inspection of elevators and tramways under the
direction of the chief inspector.
4-B. Direct supervision. "Direct supervision" means that a
helper is working in the presence of a licensed elevator or lift
mechanic at all times.
4-C. Director. "Director" means the Director of the Office
of Licensing and Registration.
AT THE CONTRACT CONTRACTORS
5-A. Elevator contractor. "Elevator contractor" means any
person, firm, partnership, association, corporation or company
engaged in the installation, sale, service, maintenance or
inspection of elevators in this State.
C. D 2 22 MDCA 915202
Sec. B-3. 32 MRSA §15202, sub-§7, as enacted by PL 1995, c.
560, Pt. H, §14 and affected by §17, is repealed.
Sec. B-4. 32 MRSA §15202, sub-§§7-A and 7-B are enacted to
read:
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7-A. Helper. "Helper" means a person who is not licensed
under this chapter as an elevator mechanic or lift mechanic and
who assists in the installation, service or maintenance of
elevators located in this State while working under the direct
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supervision of a licensed elevator mechanic or licensed lift

	mechanic. Helpers must be registered in accordance with the
2	provisions of this chapter.
4	7-B. Licensed private elevator inspector. "Licensed
	private elevator inspector" or "licensed private elevator and
6	lift inspector" means an individual who has been licensed by the
	board to inspect elevators pursuant to this chapter and who is
8	not a state employee whose duty is to inspect elevators.
	C. D.F. 24 MDCA (15204) (0
10	Sec. B-5. 32 MRSA §15202, sub-§8, as enacted by PL 1995, c. 560, Pt. H, §14 and affected by §17, is amended to read:
12	8. Licensed private tramway inspector. "Licensed private
14	tramway inspector" means an individual who has been licensed by
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	the Board of Elevator and Tramway Safety to inspect tramways
16	pursuant to this chapter and who is not a state employee whose
	duty is to inspect tramways.
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	Sec. B-6. 32 MRSA §15202, sub-§12, as enacted by PL 1995, c.
20	560, Pt. H, §14 and affected by §17, is repealed.
	and the second of the second o
22	Sec. B-7. 32 MRSA §15202, sub-§14, as enacted by PL 1995, c.
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	560, Pt. H, $\S14$ and affected by $\S17$ , is amended to read:
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	4. Skier. "Skier" means any person while wearing skis or
26	using a snowboard and any person while actually on a ski slope or
	trail located at a ski area for the purpose of skiing, including
28	a person engaged in <u>snowboarding or</u> cross-country, nordic or
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	telemark skiing.
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	Sec. B-8. 32 MRSA §15202, sub-§18, as enacted by PL 1995, c.
32	560, Pt. H, §14 and affected by §17, is repealed.
34	Sec. B-9. 32 MRSA §15203, first ¶, as enacted by PL 1995, c.
5.4	560, Pt. H, \$14 and affected by \$17, is amended to read:
2.6	500, Ft. H, 514 and affected by 517, is amended to read:
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	This chapter may not be construed to prevent the use or sale
38	of elevators in this State that were being used or installed
	prior to January 1, 1950 and that mustbe have been made to
40	conform to the rules of the board covering existing installations
	and must be inspected as provided for in this chapter.
4.2	and must be inspected as provided for in this chapter.
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	Sec. B-10. 32 MRSA §15204, as amended by PL 1999, c. 386, Pt.
44	X, §3, is further amended to read:
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46	§15204. Appeals; variances
48	A person aggrieved by an order or act of the chief inspector
	or the-state a deputy inspector under this chapter may, within 15
50	days after notice of the order or act, appeal from the order or
50	as a sect notice of the order of act, appear from the order of

act to the board, which shall hold a hearing pursuant to Title 5, chapter 375, subchapter IV. After the hearing, the board shall issue an appropriate order either approving or disapproving the order or act.

Any person who is or will be aggrieved by the application any law, code or rule relating to the installation or alteration of elevators or tramways may file a petition for a variance with that provision is required at the time of filing or at the time that provision becomes effective. The filing fee for a petition for a variance must-be-set-by-the-beard-and-may-not-exceed-\$100 must be set by the director under section 15225-A. The-board-shall-hold-a hearing-pursuant-to-Title-5,-chapter-375,-subchapter-IV. beard--shall chief inspector may grant a variance if, owing to conditions especially affecting the particular building or installation involved, the enforcement of any law, code or rule relating to elevators or tramways would do manifest injustice or cause substantial hardship, financial or otherwise, to the petitioner or any occupant of the petitioner's building or would be unreasonable under the circumstances or condition of the property, provided that desirable relief may be granted without substantial detriment to the public good and without nullifying or substantially derogating from the intent or purpose of that law, code or rule. In exereising-its-pewers granting a variance this section, the beard chief inspector may impose limitations both of time and of use, and a continuation of the use permitted may be conditioned upon compliance with rules made and amended from time to time. The-board-immediately-shall-send a--copy-of--its--decision-by-registered--mail--to--all--interested parties. A copy of the decision must be sent to all interested parties.

Sec. B-11. 32 MRSA §15206, sub-§1, as enacted by PL 1999, c. 386, Pt. X, §6, is amended to read:

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The board shall, in accordance with Title 5, Rules. chapter 375, adopt rules to implement the purposes of this chapter, including rules for the safe and proper construction, installation, alteration, repair, use, operation and inspection of elevators and tramways in the State. The rules must include standards for the review and audit of inspections performed by licensed private elevator inspectors not employed by the State. The rules must conform as nearly as practicable to established standards as approved by the American National Standards Institute. Rules adopted by the board under this section are routine technical rules as defined in Title 5, chapter 375, subchapter II-A.

The board shall publish and distribute among elevator and tramway owners, lessees, manufacturers, repair companies and others requesting them copies of the rules as adopted by the board, at a cost sufficient only to cover the printing and mailing expenses of distribution, except those rules that are American National Standards Institute standards, which must be obtained from the publisher.

Sec. B-12. 32 MRSA  $\S15206$ -A, as enacted by PL 1999, c. 386, Pt. X,  $\S7$  and amended by c. 547, Pt. B,  $\S78$  and affected by  $\S80$ , is further amended to read:

# §15206-A. Investigations of complaints; revocation of license, registration or certificate

1. Investigations. The board shall investigate or cause to be investigated all complaints made to it and all cases of noncompliance with or violation of this chapter. Any-person-may register—a—eemplaint—of—fraud,—deceit,—gross—negligenee, incompetency—or—misconduct—against—any—person—licensed—or required—to—be—licensed—under—this—chapter. These complaints must be in writing,—swern—to—by—the—person—making—them and filed with the Office of Licensing and Registration.

2. Suspension; revocation. The board may suspend or revoke a license, registration or certificate pursuant to Title 5, section 10004. The board may refuse to issue or renew a license, registration or certificate or the District Court may suspend or revoke a license, registration or certificate of any lieensed person—who—is—found—guilty—of person, firm, partnership, association, corporation or company who holds a license, registration or certificate or any applicant for a license, registration or certificate on any of the following grounds:

A. The practice of fraud or deceit in obtaining a license\_registration or certificate;

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B. Any gross negligence, incompetency or misconduct in the licensee's job performance;

 $\ensuremath{\text{\textbf{C.}}}$  Violating any provision of this chapter or any rule of the board; or

D. Conviction of a crime, subject to the limitations of Title 5, chapter 341.

Sec. B-13. 32 MRSA §15208, as amended by PL 1999, c. 627, §1, is repealed and the following enacted in its place:

### §15208. Examination of private elevator and lift inspectors;

#### licenses and renewals

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The board shall set standards necessary for the licensure and renewal of private elevator and lift inspectors. The board may adopt rules relating to the qualifications for licensure and renewal of private elevator and lift inspectors, including requirements for examination and continuing education. Rules adopted pursuant to this section are routine technical rules as defined in Title 5, chapter 375, subchapter II-A. The fee for applications, examinations, licenses and renewals must be established by the director pursuant to section 15225-A and Title 10, section 8003, subsection 2-A, paragraph D. Licenses are issued for a period of one year.

A person who is licensed as a private elevator and lift inspector and who installs, services or sells elevator or lift equipment may not inspect any equipment that person has installed, serviced or sold or that was installed, serviced or sold by that person's employer.

# Sec. B-14. 32 MRSA §§15208-A and 15208-B are enacted to read:

# §15208-A. Registration of elevator contractors

Any person, firm, partnership, association, corporation or company engaged in the installation, sale, service, maintenance or inspection of elevators in this State shall register with the board annually. The registration must be submitted on a form provided by the board and must include the names and addresses of all licensed private inspectors, licensed mechanics and all helpers employed by the elevator contractor. An elevator contractor shall notify the board of any change in the information required under this section within 30 days of the change. The required fee for registration must be set by the director under section 15225-A.

### §15208-B. Registration; helpers

All persons employed as helpers shall register annually with the board. The registration must be on a form provided by the board and include the name and address of the helper and the name and address of the helper's employer. A person registered as a helper shall notify the board of any change in the information required under this section within 30 days of the change. The required fee for registration must be set by the director under section 15225-A.

Sec. B-15. 32 MRSA §15209, as amended by PL 1999, c. 386, Pt. X, §9, is further amended to read:

#### §15209. Examination of private tramway inspectors; licenses

The board shall license an applicant as a <u>private</u> tramway inspector, who may perform the inspections required on tramways, if that applicant:

- 1. Registration. Is a professional engineer with a current valid registration in some state. If an applicant for a <u>private</u> tramway inspector's license demonstrates to the board that the applicant possesses more than 6 years' experience in the construction, design, inspection and operation of tramways, this registration requirement may be waived by the board;
- 2. Experience. Has considerable experience in the construction, design or maintenance of tramways;
- 3. Experience in inspecting. Has 4 years' experience inspecting tramways while working for an insurance company, a government agency or a company performing tramway or similar equipment inspections;
  - 4. Capability and aptitude. Has the physical capability and aptitude to perform the duties of a <u>private</u> tramway inspector in a safe and thorough manner; and
    - 5. Examination. Has sufficient experience and knowledge to achieve a satisfactory rating in an examination designed to test the applicant's knowledge of orders and principles of tramway safety. When an applicant for a <u>private</u> tramway inspector's license demonstrates more than 6 years' experience in the construction, design, inspection and operation of tramways, the provisions for examination must be waived.
      - A. The examination for a licensed <u>private</u> tramway inspector must be given by the chief inspector or by 2 or more examiners appointed by the chief inspector. The examination must be written, in whole or in part, and must be confined to questions the answers to which will aid in determining the fitness and competency of the applicant for the intended service and must be of uniform standard throughout the State.
      - B.---The--record--of--the--applicant's--examination--must--be accessible-to-the-applicant.--The-examinations-must--be-kept on-file-in-the-office-for-a-period-of-not-less-than-2-years.
      - C. A <u>private</u> tramway inspector's license expires-en-the-3rd anniversary-date-of-the-original-issue is issued for a <u>period of one year</u>. The license fee must be set by the beard-and-may-net-exceed-\$150 <u>director under section 15225-A</u>.

2	D. Applications for examination and license must be on forms furnished by the board. The examination fee for a
4	private tramway inspector's license must be set by the beard and-may-met-exceed-\$100 director under section 15225-A.
6	Sec. B-16. 32 MRSA §15209-A, as enacted by PL 1999, c. 386,
8	Pt. X, §10, is amended to read:
10	§15209-A. Private wire rope inspectors; licenses
12	The board shall license an applicant as a <u>private</u> wire rope inspector, who may perform the inspections required for each
14	tramway equipped with wire rope, if that applicant has a total of 5 years' experience in wire rope manufacture, installation,
16	maintenance or inspection. A <u>private</u> wire rope inspector's license expires-on-the-3rd-anniversary-date-of-the-original-issue
18	is issued for a period of one year. The license fee must be set by the beard-and-may-not-exceed-\$150 director under section
20	<u>15225-A</u> .
22	Sec. B-17. 32 MRSA §15210, as amended by PL 1999, c. 386, Pt. X, §11, is further amended to read:
24	§15210. Revocation of private tramway or elevator inspector's
26	license
28	The board may revoke a <u>private</u> tramway, elevator or lift inspection license or remove inspection endorsements from an
30	elevator or lift mechanic's license for the following causes:
32	<ol> <li>Failure to submit true reports. For failure to submit true reports concerning the conditions of a tramway or elevator</li> </ol>
34	or for conduct determined by the board to be contrary to the best interests of tramway or elevator safety or the board; or
36	2. Physical infirmities. For physical infirmities that
38	develop to a point at which it appears that an inspector or mechanic is no longer able to perform the required duties in a
40	thorough and safe manner +; or
42	3. Failure to comply with chapter or rules. Failure to
44	comply with any provision of this chapter or any rule adopted by the board. Rules adopted by the board pursuant to this chapter are routine technical rules as defined in Title 5, chapter 375,
46	subchapter II-A.
48	Sec. B-18. 32 MRSA §15211, as amended by PL 1999, c. 386, Pt.

X, §12 and amended by c. 547, Pt. B, §78 and affected by §80, is

further amended to read:

#### §15211. Notice of accidents

Each elevator or tramway accident eaused-by-equipment failure, resulting in injury to a person or in substantial damage to equipment, must be reported by the owner or lessee to the chief inspector in accordance with the board's rules. When an elevator or tramway accident occurs, the inspection certificate for the involved elevator or tramway must may be summarily revoked in accordance with Title 5, section 10004, pending decision on any application with the District Court for a further suspension.

Sec. B-19. 32 MRSA §15212, as enacted by PL 1995, c. 560, Pt. H, §14 and affected by §17, is amended to read:

#### \$15212. Examination of accidents

The beard chief inspector may examine or cause to be examined the cause, circumstances and origin of all elevator or tramway accidents within the State. Upon request, the beard chief inspector shall furnish to the proper district attorney the names of witnesses and all information obtained.

Sec. B-20. 32 MRSA §15213, as amended by PL 1999, c. 386, Pt. X, §13, is further amended to read:

#### §15213. Elevator or lift mechanics; license; definition

A person may not service, repair, alter or install any elevator unless that person is licensed as an elevator or lift mechanic under seetiens-15214-and-15216 this chapter. Elevater werk-in-industrial-plants, manufacturing-plants-and-hospitals-may be-performed-by-plant-personnel-who-are-not-licensed-under sections-15214-and-15216-if-the-work-is-supervised-by-the-plant engineer-and-performed-in-compliance-with-rules-adopted-by-the beard.

A licensed elevator or lift mechanic may not service or repair an elevator or lift that does not have a current inspection certificate unless those repairs are being made as required by an initial elevator inspection or to correct violations noted in an inspection report.

The word "elevator," as used in this seetien-and-seetiens 15214--and--15216 chapter, includes all electrical equipment, wiring, steelwork and piping in the elevator machine room, hoistway and pit pertaining to the operation and control of an elevator, except power feeders and required power equipment up to

the control panel, heating, lighting, ventilation and drainage equipment.

Sec. B-21. 32 MRSA §15214, as amended by PL 1999, c. 386, Pt. X, §14, is further amended to read:

# §15214. Issuance; qualifications

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The board shall issue an elevator or lift mechanic's license to any applicant who has at least 2 years' experience in the service, repair, alteration or installation of elevators and lifts while employed by an elevator company, or has equivalent experience as defined by rules of the board, and satisfactorily passes-the-examination--provided--for--in meets the requirements established pursuant to section 15216.

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A licensed elevator or lift mechanic may not have more than 2 helpers under direct supervision. These helpers need--not--be licensed must be registered with the board as required by section 15208-B.

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A licensed elevator or lift mechanic shall comply with the elevater-rules-of-this-State provisions of this chapter and the rules adopted by the board. Rules adopted pursuant to this section are routine technical rules as defined in Title 5, chapter 375, subchapter II-A.

Sec. B-22. 32 MRSA §15215, as amended by PL 1999, c. 386, Pt. X, §15, is repealed.

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Sec. B-23. 32 MRSA §15216, as amended by PL 1999, c. 386, Pt. X, §16, is repealed and the following enacted in its place:

### §15216. Examination of elevator or lift mechanics; applications; licenses; renewals

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The board shall set standards necessary for the licensure and renewal of elevator or lift mechanics. The board may adopt rules relating to the qualifications for licensure and renewal of elevator or lift mechanics, including requirements for examination and continuing education. Rules adopted pursuant to this section are routine technical rules as defined in Title 5, chapter 375, subchapter II-A. The fee for applications, examinations, licenses and renewals must be established by the director pursuant to section 15225-A and Title 10, section 8003, subsection 2-A, paragraph D. Licenses are issued for a period of one year.

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Sec. B-24. 32 MRSA §§15216-A and 15216-B, as enacted by PL 1999, c. 386, Pt. X, §17, are repealed.

Sec. B-25. 32 MRSA §15216-C, as enacted by PL 1999, c. 386, Pt. X, §17, is amended to read:

# \$15216-C. License renewal

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Any license issued under this chapter is autematically renewable upon satisfaction of the applicable requirements for renewal and payment of the renewal-fee-as-set-forth-in-this chapter required fee set by the director under section 15225-A. The expiration dates for licenses issued under this chapter may be established at such other times as the commissioner may designate. The board shall notify persons licensed under this chapter of the date of expiration of the license and the fee required for its renewal fer-a-3-year-peried. The notice must be mailed to the person's last known address at least 30 days in advance of the expiration date of the license.

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A license may be renewed up to 90 days after the date of its expiration upon payment of a late fee ef-\$10 in addition to the renewal fee. Any person who submits an application for renewal more than 90 days after the license expiration date is subject to all requirements governing new applicants under this chapter, except that the board may in its discretion waive the examination The board may levy penalties for and other requirements. nonrenewal in an amount not to exceed \$100. Notwithstanding any other provision of this chapter, the board shall waive the examination if a renewal application is made within 90 days after separation from the United States Armed Forces, under conditions other than dishonorable, by a person who failed to renew that person's license because that person was on active duty in the Armed Forces; except that the waiver of examination may not be granted if the person served a period of more than 4 years in the Armed Forces, unless that person is required by some mandatory provision to serve a longer period and that person submits satisfactory evidence of this mandatory provision to the board.

Sec. B-26. 32 MRSA §15221, as amended by PL 1999, c. 386, Pt. X, §18 and amended by c. 547, Pt. B, §78 and affected by §80, is further amended to read:

#### §15221. Inspection of elevators and tramways

1. Fees; inspection certificate. Each elevator or tramway proposed to be used within this State must be thoroughly inspected by either the chief inspector, a state deputy inspector or a licensed private elevator or tramway inspector and, if found to conform to the rules of the board, the board shall issue to the owner ex-usex an inspection certificate. Fees for inspection and certification of elevators and tramways must be set by the

beard-pursuant-te-section-15225 director under section 15225-A and must be paid by the owner er-user of the elevator or tramway. The certificate must specify the maximum load to which the elevator or tramway may be subjected, the date of its issuance and the date of its expiration. The elevator certificate must be posted in the elevator and the tramway certificate at a conspicuous place in the machine area.

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- Scheduled inspections. 2. A-state--inspector-or-licensed elevator -- inspector -- shall -- inspect -- every -- elevator -- on -- a-- sehedule determined-by-the-board--The-schedule-must-be-based-on-the-elass, size-and-usage-of-the-elevater.-- A-state-inspector-or-lieensed tramway-inspector-shall-inspect-every-tramway-twise-each-year. The owner of an elevator shall have the elevator inspected annually by a licensed private elevator inspector, the chief inspector or a deputy inspector. The owner of a tramway shall have the tramway inspected by a licensed private tramway inspector, the chief inspector or a deputy inspector twice each One tramway inspection must be made when weather year. conditions permit a complete inspection of all stationary and moving parts. The 2nd tramway inspection must be made while the tramway is in operation.
- 3. Temporary suspension of inspection certificate: condemnation card. When, in the inspector's opinion, elevator or tramway can not continue to be operated without menace to the public safety, the chief inspector or state deputy inspector may temporarily suspend an inspection certificate in accordance with Title 5, section 10004 and post or direct the posting of a red card of condemnation at every entrance to the elevator or tramway. The condemnation card is a warning to the public and must be of such type and dimensions as the board determines. The suspension continues, pending decision on any application with the District Court for a further suspension. The condemnation card may be removed only by the inspector posting it or by the chief inspector.
- 4. Special certificate; special conditions. When, upon inspection, an elevator or tramway is found by the inspector to be in reasonably safe condition but not in full compliance with the rules of the board, the inspector shall certify to the chief inspector the inspector's findings and the chief inspector may issue a special certificate, to be posted as required in this section. This certificate must set forth any special conditions under which the elevator or tramway may be operated.

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5. Inspection reports. Licensed <u>private</u> tramway and elevator inspectors shall submit inspection reports to the beard <u>owner</u> on a form provided by the board <u>fer-all-inspections</u> within 15 working days from the date of the inspection.

- 6. Follow-up inspections. All follow-up inspections necessary to enforce compliance must be performed by either the chief inspector or a state deputy inspector. A fee as-set-ferth in-section-15225 set by the director under section 15225-A must be charged for those follow-up inspections.
  - 7. Certificate not transferable. An inspection certificate may not be transferred to any other person, firm, corporation or association. If ownership of an elevator or tramway is transferred, the new owner must apply for a new inspection certificate as required by section 15229, subsection 7.
- Sec. B-27. 32 MRSA §15223, as enacted by PL 1995, c. 560, Pt. H, §14 and affected by §17, is amended to read:

# §15223. Certificate required

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The Notwithstanding Title 17-A, section 4-B, subsection 3 and section 1301, the owner, lessee or agent of an elevator or tramway who operates that elevator or tramway without an a current and valid inspection certificate displayed commits a Class E crime and remember netwithstanding-Title 17-A, sections 1252 and 1301, must be punished by a fine of not more than \$50 \$100 for each day of illegal operation.

Sec. B-28. 32 MRSA §15224, as amended by PL 1999, c. 386, Pt. X, §19, is further amended to read:

#### §15224. Installation of new elevators and tramways; fees

Detailed plans or specifications of each new or altered elevator or tramway must be submitted to and approved by the chief inspector before the construction may be started. Fees for examination of the plans or specifications must be \$5-per-\$1,000 of the -valuation-of-the-elevator-or-tramway-as-eevered-by-the blueprints set by the director under section 15225-A. The-minimum fee-may-not-be-less than-\$35-and-the-maximum-fee-may-not-be-more than-\$100.

40 Sec. R-20 32

Sec. B-29. 32 MRSA §15225, as amended by PL 1999, c. 386, Pt. X, §20, is repealed.

44 Sec. B-30. 32 MRSA 15225-A is enacted to read:

# 46 **§15225-A. Fees**

The director, pursuant to Title 10, section 8003, subsection 2-A, paragraph D, may establish by rule fees authorized under

	this chapter in amounts that are reasonable and necessary for
2	their respective purposes, subject to the following limitations.
4	1. Annual inspection or special inspection of elevators;
6	fee. The fee for each annual or special inspection of elevators may not exceed \$100, plus \$10 for each landing.
8	2. Annual inspection of tramways; fee. The annual fee for
10	the required inspections of tramways may not exceed \$200.
12	3. Application fee. The fee for an application may not exceed \$25.
14	4. Examination. The fee for examination for licensure may not exceed \$100.
16	5. Initial inspection of elevators. The initial inspection
18	of elevators must be made by the chief inspector or a deputy inspector or the commissioner's designee, and the fee for the
20	initial inspection of each new or altered elevator may not exceed \$500, plus expenses.
22	6. Initial inspection of tramways; fee. The initial
24	inspection of tramways must be made by the chief inspector or a
	deputy inspector or the commissioner's designee or a licensed
26	private tramway inspector and the fee for the initial inspection of each new or altered tramway may not exceed \$500, plus expenses.
28	7. Inspection certificate fee. The inspection certificate
30	fee may not exceed \$100.
32	8. Late inspection certificate fee. The late inspection certificate fee may not exceed \$250.
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36	9. License or registration. The fee for licensure may not exceed \$100 per year.
38	10. Renewal of license, registration or inspection
40	certificate. The fee for renewal of a license, registration or inspection certificate may not exceed \$100 per year.
42	11. Review of plans. The fee for review of elevator plans
44	may not exceed \$5 per \$1,000 of valuation as covered by the blueprints, except that the minimum fee for a review may not be
77	less than \$35 and the maximum fee may not be more than \$100.
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48	The fee for any other authorized purpose not specified in this section may not exceed \$100. Rules adopted pursuant to this
50	section are routine technical rules as defined in Title 5, chapter 375, subchapter II-A.

Sec. B-31. 32 MRSA §15226, as amended by PL 1999, c. 386, Pt. X, §21, is further amended to read:

# §15226. Reports by inspectors

Examinations.

A state <u>deputy</u> inspector or licensed <u>private</u> inspector shall make a full report to the chief inspector, giving all data required by the rules adopted by the board and shall report to the chief inspector and to the owner er-lessee all defects found and all noncompliances with the rules. When any serious infraction of the rules is found by a state <u>deputy</u> inspector or licensed <u>private</u> inspector and that infraction is, in the opinion of the inspector, dangerous to life, limb or property, the inspector shall report that infraction immediately to the chief inspector.

Sec. B-32. 32 MRSA §15227, sub-§2, as amended by PL 1999, c. 386, Pt. X, §22, is further amended to read:

2. Free access to premises or location. To provide free access for state <u>deputy</u> inspectors, including the chief inspector, at all reasonable times to any premises in the State where an elevator or tramway is installed or is under construction for the purpose of ascertaining whether that elevator or tramway is installed, operated, repaired or constructed in accordance with this chapter;

Sec. B-33. 32 MRSA §15227, sub-§§3, 4 and 5, as enacted by PL 1995, c. 560, Pt. H, §14 and affected by §17, are amended to read:

3. Supervise inspectors. To allocate and supervise the work of state <u>deputy</u> inspectors;

4. Certificates. To issue and temporarily suspend certificates allowing elevators and tramways to be operated pursuant to Title 5, chapter 375; and

fitness of applicants to become <u>licensed private</u> elevator or tramway inspectors or elevator mechanics, and to issue certificates or licenses to those persons who have successfully passed required examinations and been approved by the board as licensed <u>private</u> elevator or tramway inspectors or elevator mechanics; and

To hold examinations and establish the

Sec. B-34. 32 MRSA  $\S15227$ , sub- $\S6$  is enacted to read:

6. Take uninspected or unrepaired elevators and tramways out of service. To take an elevator or tramway out of service in 2 accordance with Title 5, section 10004 if an inspection report has not been submitted to the board within 60 days of the expiration of the most recent certificate or if the owner has failed to make repairs as required by the board. This power is 6 in addition to the chief inspector's powers under section 15221, 8 subsection 3. Sec. B-35. 32 MRSA §15229 is enacted to read: 10 12 \$15229. Duties of owners of elevators or tramways 14 1. Owner responsibility. The responsibility for design, construction, maintenance and inspection of an elevator or 16 tramway rests with the person, firm, partnership, association, corporation or company that owns the elevator or tramway. 18 2. Obtain inspection certificate. The owner of an elevator or tramway shall submit an annual application for an annual 20 inspection certificate together with the inspection report within 30 business days of the inspection and prior to the expiration of 22 the current certificate. The application must be on a form 24 provided by the board and must be accompanied by the required fee set by the director under section 15225-A. A late fee set by the 26 director under section 15225-A may be assessed for failure to submit the application and inspection report in a timely manner. 2.8 3. Failure to qualify for inspection certificate. The owner of an elevator that does not qualify for an inspection 30 certificate shall take the elevator out of operation until the 32 required repairs have been made and a new inspection certificate has been issued. 34

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4. Notify board when required repairs made. The owner of an elevator or tramway shall notify the board when required repairs have been made and provide the board with satisfactory evidence of completion.

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5. Elevator declared idle or placed out of service. The owner of an elevator that has been declared idle or placed out of service in accordance with rules adopted by the board shall notify the board within 30 days of declaring the elevator idle.

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6. Removal. The owner of an elevator or tramway shall notify the board within 30 days of the removal of the elevator or tramway.

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7. Change of ownership. The owner of record of an elevator or tramway shall notify the board of a transfer of ownership of

- an elevator within 30 days of such transfer. The new owner shall apply, on a form provided by the board, for a new inspection certificate that will be issued without the need for an additional inspection for the remainder of the term of the current certificate. A fee for issuance of a new inspection certificate may be set by the director under section 15225-A.
- 8. Failure to comply. In addition to the remedies available under this chapter, an owner who fails to comply with the provisions of this chapter or rules adopted by the board is subject to the provisions of Title 10, section 8003, subsection 5 whether or not the elevator or tramway has a current inspection certificate, except that, notwithstanding Title 10, section 8003, subsection 5, paragraph A-1, subparagraph 3, a civil penalty of up to \$3,000 may be imposed for each violation.

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**Sec. B-36. Transition.** In order to accomplish the transition from licenses issued for a 3-year period to licenses issued for a one-year period, the license or renewal fee assessed will be 1/3 of the fee for a 3-year license until annual license and renewal fees can be adopted by the Director of the Office of Licensing and Registration pursuant to the Maine Revised Statutes, Title 10, section 8003, subsection 2-A, paragraph D and Title 32, section 15225-A.

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#### **SUMMARY**

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Part A of the bill amends the laws governing boiler and pressure vessels to:

- 1. Provide the chief inspector with the authority to grant variances and to provide any party aggrieved by an order or act of the chief inspector or a deputy inspector with the right to appeal from the order or act to the Board of Boilers and Pressure Vessels;
- 2. Provide the chief inspector with the ability to take a boiler or pressure vessel out of service if the owner has failed to have it inspected in a timely fashion or has failed to make required repairs;

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- 3. Clarify that it is the owner's responsibility to have a boiler or pressure vessel inspected in a timely manner;
- 46 4. Clarify the existing duties of owners of boilers and pressure vessels, including that it is the owner's responsibility to have a boiler or pressure vessel inspected in a timely fashion and establish additional requirements, such as a requirement that

the board must be notified when a unit is removed or when required repairs have been completed;

- 5. Clarify that the board has the authority to adopt rules to implement the purposes of the chapter;
- 6. Clarify that an insurance company inspector may inspect
  a boiler or pressure vessel not insured by that company if it is
  in connection with an application for insurance or when a new
  unit is installed at an insured location; and
- 7. Make technical and conforming changes, including changes necessary to conform the Maine Revised Statutes, Title 32, chapter 131 with the provisions of Public Law 1999, c. 687, which provided the Director of the Office of Licensing and Registration with the authority to establish fees for authorized purposes through rulemaking.

Part B of the bill amends the laws governing elevators and tramways to:

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- 1. Provide the chief inspector with the ability to take an elevator out of service if the owner has failed to have the elevator inspected in a timely fashion or has failed to make required repairs;
  - 2. Clarify the duties and responsibilities of elevator and tramway owners, including the responsibility to obtain an inspection certificate, have the elevator inspected on an annual basis, notify the Board of Elevator and Tramway Safety when required repairs have been made, notify the Board of Elevator and Tramway Safety when ownership of a unit changes and notify the board when a unit is removed or no longer in use.
    - 3. Provide the chief inspector with the authority to grant variances, subject to the existing right of any party aggrieved by an order or act of the chief inspector or a deputy inspector with the right to appeal from the order or act to the board;
- 40 4. Clarify the board's ability to fine an owner or operator for failure to comply with the requirements of the chapter;
- 5. Strengthen the administrative remedies available against owners who fail to comply with the applicable statutes by giving the board the ability to assess fines of up to \$3,000 per violation;
- 6. Change the terms of licenses issued by the board from a 3-year term to a one-year term and provide the board with the

authority to adopt standards through rulemaking for licensure, renewal and continuing education of elevator and lift mechanics;

7. Prohibit licensed elevator mechanics from repairing elevators that do not have current inspection certificates unless the repairs are to correct violations noted in an inspection report;

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- 8. Frohibit a licensed private elevator inspector from ever inspecting an elevator that he or she sold, serviced or installed or that was sold, serviced or installed by the inspector's employer;
- 9. Change the license name of licensed elevator inspectors to differentiate private inspectors from state-employed inspectors;
- 18 10. Require elevator contractors and helpers to register with the board;
- 11. Clarify that the board has the authority to adopt rules to implement the purposes of the chapter; and
- 12. Make technical and conforming changes, including changes necessary to conform the Maine Revised Statutes, Title 32, chapter 133 with the provisions of Public Law 1999, c. 687, which provided the Director of the Office of Licensing and Registration with the authority to establish fees for authorized purposes through rulemaking.