### MAINE STATE LEGISLATURE

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	L.D. 930
2	DATE: 5-9-01 (Filing No. H-402)
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	MINORITY UTILITIES AND ENERGY
6	UTILITIES AND ENERGY
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10	Reproduced and distributed under the direction of the Clerk of the House.
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	STATE OF MAINE
14	HOUSE OF REPRESENTATIVES
16	120TH LEGISLATURE FIRST REGULAR SESSION
10	FIRST REGULAR SESSION
18	Λ
	COMMITTEE AMENDMENT "H" to H.P. 715, L.D. 930, Bill, "An
20	Act Authorizing the Public Utilities Commission to Award
	Restitution to Customers Damaged by Inferior Utility Service"
22	local the bill be studied out the title and substitution
24	Amend the bill by striking out the title and substituting the following:
41	the following.
26	'An Act Relating to Customer Damages Resulting from Inferior
	Utility Service'
28	
• •	Further amend the bill by striking out everything after the
30	enacting clause and before the summary and inserting in its place
32	the following:
J <b>J</b>	'Sec. 1. 35-A MRSA §1308-A is enacted to read:
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	§1308-A. Mediation of monetary damage claims
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	1. Investigation of complaints. The commission may
38	investigate a complaint filed by a customer alleging ar
40	unresolved claim of damages resulting from an unreasonable utility practice. The commission may hold a public hearing or
	the complaint in accordance with section 1303.
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	2. Confidentiality. Unless the commission holds a hearing
44	on the complaint, information and documents obtained or created
	by the commission in connection with a complaint filed under this

Page 1-LR0900(2)

section are confidential and may not be disclosed by the commission to any person other than the complainant, the public



## COMMITTEE AMENDMENT " Ho H.P. 715, L.D. 930

utility and the Public Advocate. Except as otherwise provided by law or by a protective order issued by the commission pursuant to section 1311-A, information and documents obtained or created by the commission in connection with a complaint filed under this section cease to be confidential and are public documents upon the issuance of notice by the commission of a public hearing on the complaint.

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- 3. Mediation. A public utility against which a complaint described in subsection 1 has been filed shall work cooperatively with the commission, the complainant and, if requested by the Public Advocate, the Public Advocate to informally resolve the complaint prior to the holding of a hearing on the complaint or the appointment of a mediator pursuant to this subsection. If a customer of a public utility claims that the customer has suffered monetary damages not exceeding the maximum amount that may be awarded in a small claims proceeding pursuant to Title 14, section 7482 as a result of an unreasonable utility practice, and if the commission finds after investigation that the utility practice was unreasonable, the commission shall, at the request of the customer, designate a mediator to attempt to mediate a resolution to the customer's claim. The commission shall designate the mediator in writing. The mediator may be an employee of the commission. The mediator during mediation may, if the mediator finds it appropriate, propose for consideration by the customer and the public utility a monetary settlement to resolve the claim. The results of the mediation are not binding on the public utility or the customer. The admissibility in court of conduct or statements made during mediation, including offers of settlement, is governed by the Maine Rules of Evidence, Rule 408(a) for matters subsequently heard by a state court and Federal Rules of Evidence, Rule 408 for matters subsequently heard in a federal court. This subsection is repealed October 1, 2003.
- Sec. 2. Report. By January 1, 2003, the Public Utilities Commission shall provide a report to the joint standing committee of the Legislature having jurisdiction over utilities matters evaluating the effectiveness of the mediation program established under the Maine Revised Statutes, Title 35-A, section 1308-A, subsection 3 and providing its recommendations on whether the program should be continued.'
  - Further amend the bill by inserting at the end before the summary the following:

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#### 'FISCAL NOTE

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The Public Utilities Commission will incur some minor

Page 2-LR0900(2)



# COMMITTEE AMENDMENT " to H.P. 715, L.D. 930

additional costs to investigate complaints regarding damages caused by an unreasonable utility practice. The commission will also incur some minor additional costs to establish a mediation program, through September 30, 2003, to assist customers in resolving such complaints and to submit the required report. These costs can be absorbed within the commission's existing budgeted resources.

This bill may decrease the number of civil suits filed in the court system. The Judicial Department may realize some minor savings from reductions of workload and administrative costs associated with the minimal number of cases that will no longer be filed. Reductions in the collection of filing fees may decrease General Fund revenue by minor amounts.'

#### **SUMMARY**

This amendment, which is the minority report of the Joint Standing Committee on Utilities and Energy, replaces the bill and changes the title to reflect the content of the amendment. This amendment authorizes the commission to investigate a complaint regarding damages caused by an unreasonable utility practice. The amendment also establishes a mediation program at the commission to assist customers in resolving such complaints. The mediation program is repealed on October 1, 2003 and the commission is directed to provide a report by January 1, 2003 evaluating the program and providing recommendations on whether it should be continued. It also adds a fiscal note to the bill.

Page 3-LR0900(2)