



119th MAINE LEGISLATURE

SECOND REGULAR SESSION-2000

Legislative Document

No. 2558

S.P. 996

In Senate, February 15, 2000

An Act to Improve the Regulation of Occupations and Professions.

Reported by Senator KONTOS of Cumberland for the Joint Standing Committee on Business and Economic Development pursuant to Joint Order S.P. 978.

Reference to the Committee on Business and Economic Development suggested and ordered printed.

Horen

JOY J. O'BRIEN Secretary of the Senate

Be it enacted by the People of the State of Maine as follows:

2	PART A
4	
6	Sec. A-1. 3 MRSA §959, sub- $\$1$, ¶C, as amended by PL 1999, c. 127, Pt. C, $\$2$ to 8, is further amended to read:
8	C. The joint standing committee of the Legislature having jurisdiction over business and economic development matters
10	shall use the following list as a guideline for scheduling reviews:
12	(1) Maine Development Foundation in 1997;
14	(2)Board-of-Examiners-in-Physical-Therapy-in-1997;
16	(3)BoardOfExaminersonSpeechPathologyand
18	Audiology-in-1997;
20	(4)Board-of-Hearing-Aid-Dealers-and-Fitters-in-1997;
22	(5) Department of Professional and Financial Regulation, in conjunction with the joint standing
24	committee of the Legislature having jurisdiction over banking and insurance matters, in 2007;
26	(6)Maine-Athletie-Commission-in-1997;
28	(7)Manufactured-Housing-Board-in-1997;
30	
32	(8)Maine-StateBeardforLicensure-ofArchitects, Landscape-Architects-and-Interior-Designers-in-1997;
34	(9)Oil-and-Solid-Fuel-Board-in-1997;
36	(10)PlumbersExamining-Beard-in-1997;
38	(11)Real-Estate-Commission-in-1997;
40	(12)Beard-ef-Barbering-and-Cosmetelegy-in-1997;
42	(13)State-Board-of-Cortification-for-Geologists -and Soil-Scientists-in-1997;
44	(15)State-Beard-ef-Examiners-ef-Psychologists-in-1997;
46	
48	(16)State-Board-of-Funeral-Service-in-1997;
50	(17)StateBoardofLicensureforProfessional Foresters-in-1997;

2	(18)State-Boardof-AlcoholandDrug-Counselorsin
4	1997;
б	(19) Department of Economic and Community Development in 1997;
8	(21)Board-of-Occupational-Therapy-Practice-in-1999;
10	(22)Board-of-Respiratory-Care-Practitioners-in-1999;
12	(23) Maine State Housing Authority in 1999;
14	(24)Radiologic-Technology-Board-of-Examiners-in-1999;
16	(25)Arborist-Examining-Board-in-1999;
18	(26)Board-of-Accountancy-in-1999;
20	(27)Maine-Beard-ef-Pharmaey-in-1999;
22	(28)Electricians'-Examining-Board-in-1999;
24	(29)State-Beard-ef-Secial-Werker-Licensure-in-1999;
26	(30)Board-ofGounselingProfessionals-Licensurein 2001;
28	(31)Board-of-Real-Estate-Appraisers-in-2001;
30	(32) Finance Authority of Maine in 2001;
32	(33) Petroleum Advisory Committee in 2001;
34	(34)State-Board-of-Veterinary-Medicine-in-2001;
36	-
38	(35)Beard-of-Chirepractic-Licensure-in-2003;
40	(36) Board of Dental Examiners in 2003;
42	(37) Board of Osteopathic Licensure in 2003;
44	(38) Board of Licensure in Medicine in 2003;
46	(39)Board-of-Licensure-of-Podiatrie-Medicine-in-2003;
	(40)Nursing-HomeAdministratorsLicensing-Board-in
48	2003;
50	(41) State Board of Nursing in 2003;

2	(42) State Board of Optometry in 2003;
4	(43)BoardofLieensure for ProfessionalLand Surveyers-in-2003;
6	(45) State Board of Registration for Professional
8	Engineers in 2003; and
10	(47)Beard-ef-Licensing-ef-Auctioneers-in-2005;
12	(48)Board-of-Licensing-of-Dietetic-Practice-in-2005;
14	(49)Board-of-Complementary-Health-Care-Providers-in 2007;
16 18	(50) Maine Science and Technology Foundation in 2007 ;
	(51)Board-of-Boiler-Rules-in-2007;-and
20	(52)Board-of-Elevator-and-Tramway-Safety-in-2007.
22	PART B
24	Sec. B-1. 5 MRSA §12004-A, as amended by PL 1999, c. 84, §1,
26	c. 146, §1, c. 185, §1, c. 355, §1 and c. 386, Pt. W, §1, is
	further amended to read:
28	further amended to read: §12004-A. Occupational and professional licensing boards
28 30	§12004-A. Occupational and professional licensing boards
30	§12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of licenses and rules of licensees with respect to the practice of a
30 32	§12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of
30 32 34	§12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of licenses and rules of licensees with respect to the practice of a particular occupation or profession. The primary powers of these boards include the authority to hold hearings, adopt rules,
30 32 34 36	§12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of licenses and rules of licensees with respect to the practice of a particular occupation or profession. The primary powers of these boards include the authority to hold hearings, adopt rules, establish standards and procedures, issue licenses and initiate action for the revocation or suspension of occupational or professional licenses.
30 32 34 36 38	§12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of licenses and rules of licensees with respect to the practice of a particular occupation or profession. The primary powers of these boards include the authority to hold hearings, adopt rules, establish standards and procedures, issue licenses and initiate action for the revocation or suspension of occupational or professional licenses. For purposes of any occupational or professional licensing boards which have a public member or members, "public member"
30 32 34 36 38 40	§12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of licenses and rules of licensees with respect to the practice of a particular occupation or profession. The primary powers of these boards include the authority to hold hearings, adopt rules, establish standards and procedures, issue licenses and initiate action for the revocation or suspension of occupational or professional licenses. Tor purposes of any occupational or professional licensing boards which have a public member or members, "public member" means a person who has no financial interest in the profession appointed
30 32 34 36 38 40 42	<pre>\$12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of licenses and rules of licensees with respect to the practice of a particular occupation or profession. The primary powers of these boards include the authority to hold hearings, adopt rules, establish standards and procedures, issue licenses and initiate action for the revocation or suspension of occupational or professional licenses.</pre> Ter purposes of any occupational or professional licensing boards which have a public member or members, "public member" means a person who has no financial interest in the profession regulated by the board to which that member has been appointed and who has never been licensed, certified or given a permit in this or any other state for the occupation or profession that
 30 32 34 36 38 40 42 44 	<pre>\$12004-A. Occupational and professional licensing boards The primary responsibilities of occupational and professional licensing boards include the examination of applicants, issuance of licenses or certificates, registration of licenses and rules of licensees with respect to the practice of a particular occupation or profession. The primary powers of these boards include the authority to hold hearings, adopt rules, establish standards and procedures, issue licenses and initiate action for the revocation or suspension of occupational or professional licenses.</pre> The purposes of any occupational or professional licensing boards which have a public member or members, "public member" means a person who has no financial interest in the profession regulated by the board to which that member has been appointed and who has never been licensed, certified or given a permit in

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2	NAME OF ORGANIZATION	RATE OF COMPENSATION	STATUTORY REFERENCE
4	 Board of Accountancy 	Legislative Per-Diem	32 MRSA §3971
6	Accountancy	<u>\$35/Day</u>	
8	 Maine State Board for Licensure of 	\$35/Day	32 MRSA §211
10	Architects, Landscape Architects and Interior		
12	Designers		
14	5. Board of Licensing of Auctioneers	\$30 	32 MRSA §288
16 18	5-A. Board of Bar Examiners	Set by Supreme Judicial Court	4 mrsa §801
20	6. Board of Barbering and	\$35/Day	32 mrsa §14211
22	Cosmetology		
24	7. Board of Boilers and Pressure	Expenses Only	32 MRSA §15103
26	Vessels	<u>\$35/Day</u>	
28 30	8. Board of Chiropractic Examination and Registration	\$25	32 MRSA §501
32	8-A. Board of Complementary Health	Expenses Only	32 mrsa §12502
34	Care Providers	\$35/Day	
36	9-B. Board of Real Estate Appraisers	\$35/Day	32 mrsa §14011
38	10. Board of	legislative	32 mrsa §1072
40	Dental Examiners	<u>Legislative</u> per diem	
42		secretary- treasurer,	
44		as determined by board	
46	11. Board of	\$35/Day	32 mrsa §9903
48	Licensing of Dietetic Practice		
50	13. Electricians'	\$ 30	32 mrsa §1151

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2	Examining Board				
4	14. Board of Elevator and Tramway	Expenses Only	32	MRSA	§15205
	Safety	\$35/Day			
6	15. Emergency	\$20/Day	32	MRSA	§88
8	Medical Services' Board				C
10	16. State Board of Registration for	Expenses Only	32	MRSA	§1301
12	Professional Engineers	<u>\$35/Day</u>			0
14 16	17. State Board of Licensure for Profes- sional Foresters	\$3 9 <u>\$35</u> /Day	32	MRSA	§5004
18	18. State Board of Funeral Service	\$ 35/Day	32	MRSA	§1451
20	19. State Board	\$30 <u>\$35</u> /Day	32	MRSA	§4907
22	of Certification for Geologists and Soil Sci-	•			-
24	entists				
26 28	20. Board of Hearing Aid Dealers and Fitters	\$35/Day	32	MRSA	§1658
30	21. Board of	\$35/Day	32	MRSA	§13902
32	Licensure for Professional Land Surveyors	-			•
34	22. Manufactured Housing Board	\$35/Day	10	MRSA	§9003
36	23. Nursing Home	\$30 <u>\$35</u> /Day	32	MRSA	§63-A
38	Administrators Licensing Board				
40	24. Board of	\$1,250/Year	32	MRSA	§3263
42	Registration in Medicine	- Member \$1,500/Year			
44		- Chair \$7,500/Year			
46		- Secretary			
48	25. State Board of Nursing	Legislative Per Diem	32	MRSA	§2151
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2	26. Board of Occupational Therapy Practice	\$35/Day Y	32 MRSA §	2273
4	27. Oil and	\$30 <u>\$35</u> /Day	32 MRSA §	2351
6	Solid Fuel Board	2	5	
8	28. State Boas of Optometry	rd \$25 <u>\$35</u>/Day	32 mrsa §	2415
10	29. Board of	Legislative	32 MRSA §	2561
12	Osteopathic Examina and Registration	2	JZ MICH S	2001
14	30-A. Maine B	oard \$35/Day	32 MRSA §	13711
16	of Pharmacy	Jara \$357 Day	JZ MASA S	13/11
18	31. Board of Examiners in Physic	\$25 <u>\$35</u> /Day	32 MRSA §	3112
20	Therapy	al		
22	32. Plumbers' Examining Board	\$35/Day	32 MRSA §	3401
24	-		-	
26	33. Board of Examiners of Podiat	\$25 <u>\$35</u> /Day rists	32 MRSA §	3601
28	33-A. Propane Natural Gas Board	and Expenses Only	32 MRSA §14803	
30		<u>\$35/Day</u>		
32	34. State Boa of Examiners of Psy	-	32 MRSA §	3821
34	gists			
36	35. Board of Respiratory Care	\$35/Day	32 MRSA §	9703
38	Practitioners			
40	36. Radiologi Technology Board of		32 MRSA §	9853
42	Examiners	<u>\$35/Day</u>		
44	37. Real Esta Commission	te \$35/Day	32 MRSA §	13062
46	38. State Boa	rd \$35/Day	32 MRSA §	37026
48	of Social Worker Li		JE MICH S	1020
50	39. Board of	\$25 <u>\$35</u> /Day	32 MRSA §	6010

Examiners on Speech 2 Speech-language Pathology and Audiology 4 Not Autho-38 MRSA §89 40. Maine 6 Pilotage Commission rized 32 MRSA §6201 8 41. State Board \$35/Day Plus of Alcohol and Drug Expenses 10 Counselors 32 MRSA §4854 12 42. State Board Legislative of Veterinary Medicine Per-Diem 14 \$35/Day 16 32 MRSA §10001 43. Board of Expenses Underground Oil Storage Only 18 Tank Installers 44. Maine 20 \$35/Day Plus 32 MRSA §13501 Athletic Commission Expenses 22 46. Board of Expenses Only 22 MRSA §2624-A 24 Licensure of Water Treatment Plant Operators 26 Sec. B-2. 5 MRSA §12015, sub-§1, as enacted by PL 1983, c. 28 814, is amended to read:

Membership; terms; vacancies. Each board shall may have 30 1. Boards regulating professions or no fewer than 3 members. 32 occupations may have no more than 9 members, including at least 2 public members. Law establishing the board shall must provide 34 for appointments, terms of office, qualifications and removal of its members. In the event of the death, resignation or removal 36 of any member, the vacancy for his that member's unexpired term shall must be filled in the same manner as his that member's 38 original appointment.

42 Sec. C-1. 10 MRSA §8001, first ¶, as amended by PL 1995, c. 502, Pt. H, §6, is further amended to read:

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PART C

There is created and established the Department of Professional and Financial Regulation, in this chapter referred to as the "department," to regulate financial institutions, insurance companies, grantors of consumer credit and to license and regulate professions and occupations. <u>The mission of the</u> department is to protect consumers and encourage sound, ethical business practices through high-quality, impartial and efficient
 regulation of insurers, financial institutions, creditors, investment providers and numerous professions and occupations.
 The department is composed of the following:

Sec. C-2. 10 MRSA §8002, first ¶, as amended by PL 1997, c. 727, Pt. A, §3, is further amended to read:

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The Commissioner of Professional and Financial Regulation, 10 referred to in this chapter as the "commissioner," is the chief administrative officer of the department and is responsible for 12 supervising the administration of the department. The commissioner is appointed by the Governor, subject to review by 14 standing committee the joint of the Legislature having and jurisdiction over banking insurance matters, and to 16 confirmation by the Legislature. The commissioner serves at the pleasure of the Governor. Unless otherwise provided in law, the 18 commissioner may not exercise or interfere with the exercise of discretionary regulatory authority granted by statute to the 20 bureaus, offices, boards or commissions within and affiliated with the department. As chief administrative officer of the 22 department, the commissioner has the following duties and authority to:

24

Sec. C-3. 10 MRSA §8002, sub-§10, as enacted by PL 1999, c. 184, §14, is amended to read:

28 10. Confidentiality shared information. of Keep confidential any information provided by or to the commissioner that has been designated confidential by the agency, bureau, 30 board or commission within or affiliated with the department that furnished the information and that is the property of the agency, 32 bureau, board or commission that furnished the information. Anv information provided pursuant to this subsection may not be 34 disclosed by the recipient of the information unless disclosure has been authorized by the agency, bureau, board or commission 36 that furnished the information.

Sec. C-4. 10 MRSA §8002, last ¶, as amended by PL 1995, c. 502, 40 Pt. H, §9, is repealed.

42 Sec. C-5. 10 MRSA §8003, sub-§2, as amended by PL 1999, c. 184, §§15 to 17 and c. 386, Pt. B, §2, is repealed:

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Sec. C-6. 10 MRSA §8003, sub-§2-A is enacted to read:

2-A. Office of Licensing and Registration. There is 48 created an Office of Licensing and Registration, referred to in this subsection as the "office," composed of the boards, 50 commissions and regulatory functions set forth in section 8001,

	subsection 38. The commissioner may appoint a Director of the
2	Office of Licensing and Registration and those clerical and technical assistants who are necessary to discharge the duties of
4	the office and shall outline their duties and fix their
-	compensation, subject to the Civil Service Law. Notwithstanding
б	any other provision of law granting authority to a board or commission, the Director of the Office of Licensing and
8	Registration has the following superseding powers, duties and functions:
10	
12	A. To administer the office and maximize and direct the use of personnel and financial resources to regulate professionals in the best interest of the public;
14	-
16	B. To prepare and administer an office budget necessary to carry out the administrative and regulatory functions of the office;
18	
	C. To provide all staffing necessary and appropriate to
20	administer the office and carry out the statutory missions
22	of the boards, commissions and regulatory functions. All clerks, technical support staff and supervisors must be
24	assigned to the office and allocated by the director to perform functions on behalf of the various boards,
24	commissions and regulatory functions according to need;
26	<u> </u>
	D. To establish by rule all fees necessary and appropriate
28	for all boards, commissions and regulatory functions within the office. A separate fee must be established for each
30	application, license, service or activity, except that a fee
	for any one purpose may not exceed \$300 annually. The
32	director shall set criteria for fees. The criteria must
34	include, but are not limited to, the costs, statutory requirements and enforcement requirements of each regulatory
24	program. A fee cap may not apply to the cost of an
36	examination unless the examination is administered directly
	to an applicant by the office. Rules adopted pursuant to
38	<u>this paragraph are routine technical rules pursuant to Title</u> 5, chapter 375, subchapter II-A;
40	5, Chapter 5/5, Subchapter II-A;
	E. To pay to the Treasurer of State and credit to the
42	account of the office all money received, including, but not limited to, money paid for fees, reimbursements and
44	recovered costs of investigations. The money must be used for the expenses of administering the office's statutory
46	responsibilities, including, but not limited to, the costs
48	of licensing, conducting investigations, taking testimony and procuring the attendance of witnesses and the costs of
50	all legal proceedings initiated for enforcement and administrative expenses. Any balance of money may not lapse

but must be carried forward as a continuing account to be 2 expended for the same purposes in the following fiscal years; 4 F. To establish by rule, such processes and procedures necessary to administer the various boards, commissions and regulatory functions of the office, including, but not 6 limited to, a uniform complaint procedure, a uniform procedure regarding protested checks and a uniform procedure 8 for substantiating continuing education requirements. Rules 10 adopted pursuant to this paragraph are routine technical rules pursuant to Title 5, chapter 375, subchapter II-A; 12 G. To keep records of public meetings, proceedings and 14 actions and to make those records available to the public at cost upon request, unless otherwise prohibited by state or 16 federal law; 18 H. To enter into contracts to ensure the provision of goods and services necessary to perform regulatory functions and 20 to fulfill statutory responsibilities. This authority includes the ability to employ and engage experts, professionals or other personnel of other state or federal 22 regulatory agencies as necessary to assist the office in 24 carrying out its regulatory functions and to contract office staff to other state and federal regulatory agencies to 26 assist those agencies in carrying out their regulatory functions; 28 I. To perform licensing functions for other state agencies 30 on a fee-for-service basis; 32 J. To enter into cooperative agreements with other state, federal or foreign regulatory agencies to facilitate the 34 regulatory functions of the office, including, but not limited to, information sharing, coordination of 36 examinations or inspections and joint examinations or inspections. Any information furnished pursuant to this 38 paragraph by or to the office that has been designated confidential by the agency furnishing the information 40 remains confidential and the property of the agency furnishing the information and may not be disclosed by the 42 recipient of the information unless disclosure has been authorized by the agency that furnished the information; 44 K. To direct staff to review and approve applications for 46 licensure or renewal. Licensing decisions made by staff may be appealed to the full board or commission; and 48 L. To prepare and submit to the commissioner an annual 50 report of the office's operations, activities and goals.

2 Sec. C-7. 10 MRSA §8003, sub-§5, ¶F is enacted to read:

F. A bureau, office, board or commission may establish, by rule, procedures for licensees in another state to be licensed in this State by written agreement with another state, by entering into written licensing compacts with other states or by any other method of license recognition considered appropriate that ensures the health, safety and welfare of the public. Rules adopted pursuant to this paragraph are routine technical rules pursuant to Title 5, chapter 375, subchapter II-A.

14 Sec. C-8. 10 MRSA §8003, sub-§6, as amended by PL 1995, c. 502, Pt. H, §10, is further amended to read:

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6. Funding. The commissioner may assess each internal office,---board---or---semmission served 18 bureau, and by the commissioner's office, the Division of Administrative Services or 20 by the Office of Licensing and Registration its reasonable share of an amount sufficient to cover the cost of operating those 22 service agencies. The commissioner may assess anv board affiliated with the department for the services the board 24 receives from the department. The commissioner may assess other state agencies for licensing functions performed on behalf of 26 those agencies by the Office of Licensing and Registration.

28 Sec. C-9. 10 MRSA §8003, sub-§9, as amended by PL 1995, c. 502, Pt. H, §10, is repealed.

Sec. C-10. 10 MRSA §8003-B, sub-§1, ¶B, as enacted by PL 1989, 32 c. 173, is repealed.

Sec. C-11. 10 MRSA §8003-B, sub-§2-A, as enacted by PL 1993,
 c. 552, §1, is amended by amending the first paragraph to read:
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2-A. Certain client records confidential. Notwithstanding 38 subsections 1 and 2, a treatment record provided to a licensing board or commission within or affiliated with the department during investigation of a person licensed by the department in a 40 medical, mental health, substance abuse, psychological or health 42 field that contains information personally identifying а licensee's client or patient is confidential during the pendency 44 of the investigation and remains confidential upon the conclusion of the investigation. A treatment record may be disclosed only 46 if:

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Sec. C-12. 10 MRSA §§8003-C to 8003-E are enacted to read:

§8003-C. Unlicensed practice

	<u>38003-C. Unilcensed practice</u>
2	1. Complaints of unlicensed practice. A board or
4	commission listed in section 8001, subsection 38 or section
-	8001-A may receive or initiate complaints of unlicensed practice.
6	
	2. Investigation of unlicensed practice. Complaints or
8	allegations of unlicensed practice may be investigated by the
	Office of Licensing and Registration, the Attorney General's
10	office or a board's or commission's complaint officer or
	inspector. If sufficient evidence of unlicensed practice is
12	uncovered, the evidence must be compiled and presented to the
	<u>Department of the Attorney General or the local district</u>
14	attorney's office for prosecution.
16	3. Unlicensed practice; criminal penalties.
	Notwithstanding any other provision of law, any person who
18	practices or represents to the public that the person is
	authorized to practice a profession or trade and intentionally,
20	knowingly or recklessly fails to obtain a license as required by
	this Title or intentionally, knowingly or recklessly practices or
22	represents to the public that the person is authorized to
24	practice after the license required by this Title has expired or
24	been suspended or revoked commits a Class E crime. Violation of
26	this subsection is a Class D crime if the person has a prior
20	conviction under this subsection. For purposes of this
28	subsection, the date of the prior conviction must precede the commission of the offense being enhanced by no more than 3 years.
20	commission of the offense being emhanced by no more than 5 years.
30	4. Unlicensed practice; civil penalties. Any person who
	practices or represents to the public that the person is
32	authorized to practice a profession or trade without first
	obtaining a license as required by this Title or after the
34	license has expired or has been suspended or revoked commits a
	civil violation punishable by a fine of not less than \$100 but
36	not more than \$2,000 for each violation. An action under this
	subsection may be brought in District Court or, in combination
38	with an action under subsection 5, in Superior Court.
40	5. Unlicensed practice: injunctions. The Attorney General
4.2	may bring an action in Superior Court to enjoin any person from
42	violating subsection 4 and to restore to any person who has
4.4	suffered any ascertainable loss by reason of that violation any
44	money or personal or real property that may have been acquired by means of that violation and to compel the return of compensation
46	received for engaging in that unlawful conduct.
IU	19961464 for Suñadina in cust muramini conduct.
48	A person who violates the terms of an injunction issued under
	this subsection shall pay to the State a fine of not more than
50	\$10,000 for each violation. In any action under this subsection,
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	when a permanent injunction has been issued, the court may order
2	the person against whom the permanent injunction is issued to pay
	to the General Fund the costs of the investigation of that person
4	by the Attorney General and the costs of suit, including
	attorney's fees. In any action by the Attorney General brought
6	against a person for violating the terms of an injunction issued
_	under this subsection, the court may make the necessary orders or
8	judgments to restore to any person who has suffered any
	ascertainable loss of money or personal or real property or to
10	compel the return of compensation received by reason of such
	conduct found to be in violation of an injunction.
12	
14	<u>§8003-D. Investigations; enforcement duties; assessments</u>
14	When there is a finding of a violation, a board or
16	commission listed in section 8001, subsection 38 or section
IU	8001-A may assess the licensed person or entity for all or part
18	of the actual expenses incurred by the board, commission or its
τ¢	agents for investigations and enforcement duties performed.
20	<u> </u>
	"Actual expenses" include, but are not limited to, travel
22	expenses and the proportionate part of the salaries and other
	expenses of investigators or inspectors, hourly costs of hearing
24	officers, costs associated with record retrieval and the costs of
	transcribing or reproducing the administrative record.
26	
	The board or commission, as soon as feasible after finding a
28	violation, shall give the licensee notice of the assessment. The
	licensee shall pay the assessment in the time specified by the
30	<u>board or commission, which may not be less than 30 days.</u>
32	<u>\$8003-B. Citations and fines</u>
52	yours-b. citations and times
34	Any board or commission listed in section 8001, subsection
• -	38 or section 8001-A may adopt by rule a list of violations for
36	which citations may be issued by professional technical support
	staff. A violation may carry a fine not to exceed \$200.
38	Citations issued by employees of the Office of Licensing and
	Registration or an affiliated board must expressly inform the
40	licensee that the licensee may pay the fine or request a hearing
	before the board or commission regarding the violation.
42	
	Sec. C-13. 10 MRSA §8004, as enacted by PL 1975, c. 767, §9,
44	is amended to read:
46	§8004. Annual reports
48	Notwithstanding any other provision of law all annual
40	Notwithstanding any other provision of law, all annual reports or statements required of bureaus,-beards-and-commissions
50	and offices within the department shall must be submitted to the
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commissioner not later than August 1st of each year and shall
<u>must</u> summarize the operations and financial position of the bureau,-board-or-commission or office for the preceding fiscal
year ending June 30th. After reviewing such reports and statements, the commissioner shall compile them into a report for
submission to the Governor, together with such analysis as the Governor may direct.

Sec. C-14. Rules in effect. Any rules adopted by a board or
commission listed in the Maine Revised Statutes, Title 10, section 8001, subsection 38 in effect on June 1, 2000 remain in
effect until rules are adopted by the Director of the Office of Licensing and Registration as set forth by Title 10, section
8003, subsection 2, paragraph D.

16 PART D

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- 18 Sec. D-1. 32 MRSA §60, first ¶, as enacted by PL 1993, c. 600, Pt. A, §26, is repealed.
- Sec. D-2. 32 MRSA §60, 2nd ¶, as enacted by 1993, c. 600, Pt. 22 A, §26, is amended to read:

24 Notwithstanding any other provision of law, upon expiration of a professional or occupational licensing board member's term, that member serves until a successor is appointed. The 26 successor's term commences at the expiration of the preceding term, regardless of the date of appointment. A vacancy occurring 28 prior to the expiration of a specified term must be filled by of а similarly gualified individual 30 appointment as а replacement. The replacement member serves for the remainder of the unexpired term, regardless of the date of appointment. 32

34 Sec. D-3. 32 MRSA §§60-B and 60-C, as amended by PL 1995, c. 502, Pt. H, §18, are repealed.

Sec. D-4. 32 MRSA §60-D, as amended by PL 1999, c. 386, Pt. 38 D, §1, is repealed.

- 40 Sec. D-5. 32 MRSA §§60-E and 60-F, as amended by PL 1995, c. 502, Pt. H, §18, are repealed.
- Sec. D-6. 32 MRSA §60-G, as amended by PL 1997, c. 727, Pt. 44 C, §7, is repealed.
- 46 Sec. D-7. 32 MRSA §60-H, as amended by PL 1999, c. 386, Pt. D, §2, is repealed.
- Sec. D-8. 32 MRSA §60-I, as amended by PL 1999, c. 386, Pt. 50 D, §3, is repealed.

2	PART E
4	Sec. E-1. 10 MRSA §9005, sub-§3, as amended by PL 1977, c. 694, §192, is repealed.
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8	Sec. E-2. 32 MRSA §211, first ¶, as amended by PL 1993, c. 389, §5, is further amended to read:
10	The Maine State Board for Licensure of Architects, Landscape Architects and Interior Designers, established by Title 5,
12	section 12004-A, subsection 4, referred to in this chapter as the "board," shall administer this chapter. The board consists of 11
14	<u>9</u> members appointed by the Governor: Five must be licensed and practicing architects, one of whom may be a professor of
16	architecture; 2 $\underline{1}$ must be \underline{a} licensed and practicing landscape arehiteets architect; 2 $\underline{1}$ must be \underline{a} certified and practicing
18	interior designers <u>designer</u> and 2 must be representatives of the public.
20	Sec. E-3. 32 MRSA §213, last ¶, as amended by PL 1993, c. 389,
22	
24	The board shall annually elect a chair and a secretary. Seven <u>Five</u> members of the board constitute a quorum for all
26	purposes. A-license-or-certificate -may-net-be-issued, except-in an-affirmative-vete-ef-at-least-7-members-ef-the-beard.
28	Sec. E-4. 32 MRSA §1660-A, sub-§2, as amended by PL 1993, c.
30	659, Pt. A, §4, is further amended to read:
32	2. Membership. Members of the board must be residents of the State. The board consists of the following 9 <u>7</u> members: five
34	4 licensed hearing aid dealers and fitters, onelicensed
36	physician, one licensed audiologist and 2 representatives of the public. Each hearing aid dealer and fitter on the board must have had not less than 5 years of experience and must hold a valid
38	license as a hearing aid dealer and fitter, as provided under this chapter.
40	-
42	Sec. E-5. 32 MRSA §1660-C, as amended by PL 1983, c. 413, §85, is further amended to read:

§1660-C. Meetings of board

The board shall meet twice each year at a place, day and hour determined by the board. Additional meetings shall must be held as necessary to conduct the business of the board, and may be convened at the call of the ehairman chair or 5 $\underline{4}$ of the board members. A quorum of the board shall--gonsist consists of not less than 5 4 members. The board shall keep correct records of
2 all of its proceedings.

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Sec. E-6. 32 MRSA §6010, first ¶, as amended by PL 1997, c. 379, §11, is further amended to read:

The Board of Examiners on Speech-language Pathology and Audiology, as established by Title 5, section 12004-A, subsection 8 39, consists of 7 members appointed by the Governor. All members must have been residents of this State for at least one year 10 immediately preceding their appointment. Two Three members must 12 have been engaged full time in the practice of speech-language for at least one year immediately preceding pathology 14 appointment. Two members must have been engaged full time in the practice of audiology for at least one year immediately preceding appointment. All such professional members at all times must be 16 holders of valid licenses for the practice of speech-language pathology or audiology. The additional members consist of a 18 physician, -- licensed -- pursuant -- to -- chapter -- 48, -- with -- specialized 20 training-in-the-field-of-otolaryngology-and-of 2 representatives of the public.

Sec. E-7. 32 MRSA §9853, first ¶, as amended by PL 1985, c. 24 295, §48, is further amended to read:

26 The Radiologic Technology Board of Examiners, as authorized by Title 5, chapter 379, shall administer this chapter. The 28 board shall-consist consists of 12 9 members appointed by the Governor.

Sec. E-8. 32 MRSA §9853, sub-§1, as amended by PL 1985, c. 32 748, §42, is further amended to read:

34 1. Appointment and membership. The membership of the board shall-consist consists of 2 radiologists; 3 2 radiographers; one 36 nuclear medicine technologist; one radiation therapy technologist; one radiation physicist; 2-licensed-practitioners 38 who-are-not-radiologists;-one-representative-of-the-Department-of Professional-and-Financial-Regulation--who-shall-be-the-executive 40 secretary-and-nonvoting-member; and one 2 public member members who shall are not be affiliated with the medical or any allied 42 health profession. The Governor may appoint these members from lists submitted by the following organizations or their 44 successors.

46 A. Radiologist members may be appointed from lists submitted by the Maine Radiological Society.

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The radiation physicist member may в. Radiation be appointed from lists submitted by the Maine Radiological 2 Society. 4 C. Radiologic technologist members may be appointed from submitted by the Maine Society of Radiologic lists 6 Technologists, the Society of Nuclear Medicine Technologists and the New England Society of Radiation Therapy 8 Technologists. 10 D.--The-Department-of-Professional-and-Financial-Regulation 12 member -- may -- be -- appointed -- from -- lists -- submitted -- by -- the Commissioner-of-Professional-and-Financial-Regulation. 14 E. -- The-licensed -practitioner -members - may- be -appointed -from lists-submitted-by-the-Maine-Ghiropractic-Association,-Maine 16 Medical - Association -- Maine - Osteopathic - Association - and - the 18 Maine-Pediatry-Asseeiation-20 The list submitted by each organization shall--inelude must include at least 2 names for each position to be filled from that 22 organization. 24 The consumer member--shall--be members are appointed by the Governor. 26 Sec. E-9. 32 MRSA §9853, sub-§2, as amended by PL 1993, c. 600, Pt. A, §261, is further amended to read: 28 30 2. Term of office. The term of office is 3 years, -except that -- of -- the -- first -- appointed -- members. Of -- the -- members -- first 32 appointed-to-the-board -- Four-members, -including -one-radiologist, one-radiographer, - one-licensed - practitioner - and - one - public - member are--appointed-to-terms--of-3-years;--4-members--including-one 34 nuclear----medicine----technologist/----one----radiation----therapy 36 technologist, -- onc---licensed--practitioner---and-- one---radiation physicist-are-appointed-to-terms-of-2-years;-and-one-radiologist 38 and--2--radiographers--are--appointed--to--a--term--of--one--year. Appointments of members must comply with section 60. 40 A member may be removed by the Governor for cause. 42 Sec. E-10. 32 MRSA §13852, sub-§2, as amended by PL 1991, c. 263, \S 2, is further amended to read: 44 Members. The board consists of 13 9 members, 12 8 of 46 2. them appointed by the Governor. Each member must be a citizen of the United States and a resident of this State. 48 Eight Six members must be licensed counseling professionals under this 50 chapter, 2 of whom must be professional counselors, 2 must be

clinical professional counselors, 2 one must be a marriage and family therapists therapist and 2 one must be a pastoral 2 counselors counselor. Two-members-must-be-registered-counseling 4 professionals -- who---are--not---qualified--for---licensure. Each counselor member must have been, for at least 5 years immediately б preceding appointment, actively engaged as a practitioner, educator or researcher. Two members must be representatives of the general public and may not be currently practicing counseling 8 or receiving compensation for counseling services. One of the 2 10 public members must be a consumer of counseling services. One member, appointed by the Chancellor of the University of Maine System, must be a member of the university faculty involved in 12 the training of counselors.

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Sec. E-11. 32 MRSA §13852, sub-§3, as enacted by PL 1989, c. 465, §3, is amended to read:

Officers. The-Covernor-shall-make-initial-appointments 18 3. by-January-1/-1/990---The-initial-appointees,-with-the-exception 20 of - the -representatives - of - the -general -public, - shall - be -licensed in-accordance-with-this-chapter-fellowing-their-appointment-and 22 qualification-as-members-of-the-board. The board shall elect a chair and secretary at its first meeting of each year, provided that no person may serve as chair for more than 3 years. 24 The commissioner--shall--call--the--first-meeting--of--the-board--which shall--take--place--within--30--days--of--the--completion--of--the 26 appointments-of-the-initial-members.

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Sec. E-12. 32 MRSA §13852, sub-§4, as amended by PL 1993, c. 30 600, Pt. A, §273, is further amended to read:

 32 4. Terms of office. Of--the--first--board--members,--the Governor-shall-appoint-3-for-a-term-of-one-year;-3-for-2-years;
 34 and-2-for-3-years,---Their-successors-are-appointed Appointments are for terms of 3 years each. Appointments of members must
 36 comply with section 60.

38 Sec. E-13. 32 MRSA §13852, sub-§7, as amended by PL 1989, c. 895, §4, is further amended to read:

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7. Meetings; quorum. The board shall hold at least 2
42 regular meetings each year. Additional meetings may be held upon the call of the chair or the secretary or upon the written
44 request of any 2 board members. Seven Five members of the board constitute a quorum.
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Sec. E-14. 32 MRSA §14211-A, sub-§1, as enacted by PL 1997, c. 48 771, §8, is amended to read:

1. Membership. The Board of Barbering and Cosmetology, as established by Title 5, section 12004-A, subsection 6, consists 2 of 10 9 members including-the-Commissioner-of-Education-or-the commissioner's-designee-who-is-an-ex-officio-and-a-nenvoting 4 member appointed by the Governor. The-Governor-shall-appoint-the 6 remaining---9---members ---of---whem---2 Two members must be representatives of the public; 3 must be licensed and practicing 8 cosmetologists; 2 must be licensed and practicing barbers; one must be a licensed instructor having no affiliation with any 10 school currently licensed in the State; and one must be the owner of a licensed barbering or cosmetology school. 12 Sec. E-15. 32 MRSA §15116. first ¶. as amended by PL 1999, c. 14 386, Pt. W, §26, is further amended to read: 16 When a boiler or pressure vessel is insured and inspected by a duly accredited insurance company licensed to do business in this State, a copy of the record of each internal certificate 18 inspection of the boiler or pressure vessel must be filed with 20 the board. 22 Sec. E-16. 32 MRSA §15117, first ¶, as amended by PL 1999, c. 386, Pt. W, §27, is further amended to read: 24 Each boiler or pressure vessel used or proposed for use 26 within this State, except boilers or pressure vessels exempt under section 15102, must be thoroughly inspected by the chief 28 inspector or one of the deputy inspectors or authorized inspectors, design, construction, as to its installation, 30 condition and operation. The board shall adopt rules pursuant to the Maine Administrative Procedure Act specifying the method and 32 frequency of inspection. When any boiler or pressure vessel inspected as specified by the board is found to be suitable and 34 to conform to the rules of the board, the chief inspector shall issue to the owner or user of that boiler or pressure vessel, 36 upon payment of a fee to the board, an inspection certificate for each boiler or pressure vessel. The fee must be set by the board 38 and may not exceed \$100. Inspection certificates must specify the maximum pressure that the boiler or pressure vessel inspected is allowed to carry. The inspection certificate may be valid for 40 not more than 14 months from its date and must be posted under 42 glass in the engine or boiler room containing the boiler or pressure vessel or an engine operated by it or, in the case of a 44 portable boiler, in the office of the plant where it is temporarily located. The board may adopt rules setting forth 46 criteria by which a temporary extension of an inspection certificate beyond 14 months may be authorized. Rules adopted 48 pursuant to this section are routine technical rules pursuant to

- <u>Title 5, chapter 375, subchapter II-A.</u>
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Sec. E-17. 32 MRSA §15120, as amended by PL 1999, c. 386, Pt. 2 W, $\S30$, is further amended to read:

4 §15120. Authorized inspectors; duties

In addition to any deputy boiler inspectors certified and 6 appointed under section 15106, the board shall, upon the request of any company authorized to insure against loss from explosion 8 of boilers or pressure vessels in this State, issue to the boiler 10 inspectors of the company certificates of authority as licensed inspectors. Each inspector before receiving a certificate of authority must hold a certificate as an inspector of steam 12 boilers for a state that has a standard of examination equal to 14 that of this State or a certificate from the National Board of Boiler and Pressure Vessel Inspectors, or its successor 16 organization. Licensed inspectors are not entitled to receive a salary from, nor may any of their expenses be paid by, the State. The continuance of a licensed inspector's certificate is 18 conditioned upon the licensed inspector continuing in the employ 20 of a boiler inspection and insurance company duly authorized and upon maintenance of the standards imposed by this chapter. 22 Licensed inspectors shall inspect all boilers and pressure vessels insured by their respective companies, and the owners or 24 users of those insured boilers are exempt from the payment of the fees provided for in section 15118. Each company employing 26 licensed inspectors shall, within 30 days following each annual internal certificate inspection made by the inspectors, file a report of the inspection with the chief inspector. 28

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Sec. E-18. Implementation of reduction in board membership. The reconfiguration of membership of the Maine State Board for Licensure of Architects, Landscape Architects 32 and Interior Designers; the Board of Hearing Aid Dealers and Fitters; the 34 Board of Examiners on Speech-language Pathology and Audiology; the Radiologic Technology Board of Examiners; the Board of 36 Counseling Professionals Licensure; and the Board of Barbering and Cosmetology must be achieved by attrition. All appointments 38 to positions eliminated by this Act that become vacant or expire after September 1, 2000 may not be filled.

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PART F

- Sec. F-1. Appropriation. The following funds are appropriated 44 from the General Fund to carry out the purposes of this Part.
- 46

2000-01

48 **PROFESSIONAL AND FINANCIAL REGULATION, DEPARTMENT OF**

- 2 **Administration - Professional and Financial Regulation** 4 Positions - Legislative Count (1.000)Personal Services \$56,525 6 Provides for the appropriation of funds for 8
- one health board investigator position. 10

PART G

Conforming amendment. Sec. G-1. The joint standing 14 committee of the Legislature having jurisdiction over business and economic development shall review the changes to the laws governing the regulation of occupations and professions that are 16 contained in this Act and shall report out, to the First Regular Session of the 120th Legislature, a bill proposing statutory 18 changes necessary to correct cross-references to repealed 20 sections of law.

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SUMMARY

This bill enacts the recommendations of the Commissioner of Professional and Financial Regulation to the Joint Standing 26 Committee on Business and Economic Development, pursuant to the report required by Public Law 1999, chapter 16, Part L. 28

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Part A of the bill revises the Maine Revised Statutes, Title 3, the State Government Evaluation Act, to remove board-by-board 32 sunset review by the committee. Individual boards will be reviewed as part of the Department of Professional and Financial 34 Regulation, Office of Licensing and Registration in conjunction with the joint standing committee of the Legislature having jurisdiction over banking and insurance. 36

Part B amends 38 Title 5 to standardize the per diem compensation rate for board members of boards within the 40 department and two boards affiliated with the department at \$35 dollars per day. The "sunrise" provision of Title 5 is also 42 amended to limit new professional and occupational regulatory boards to a maximum of 9 members, including 2 public members.

Part C amends Title 10 regarding the department powers and structure in the following manner: it adds the department's 4б mission statement; it allows for the sharing of confidential information with the affiliated boards; and it increases the 48 administrative authorities of the Office of Licensing and 50 Registration, including the power to set fees below a cap and the

elimination of separate board accounts, and allows for license
recognition between states. The bill also transfers existing law
from Title 32 to Title 10, consolidating all department general
authorities into one location.

Part D amends and repeals the "General Provisions" in Title 32 that are archaic or that have been relocated to Title 10.

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Part E amends individual board statutes. Title 10 is 10 amended to remove a burdensome requirement that all Manufactured Housing Board licensees receive personal notice of all meetings and hearings and copies of rules. Title 32 is amended to allow 12 the Board of Boilers and Pressure Vessels to extend an inspection 14 certificate beyond 14 months. The number of board members on the Board for Licensure of Architects, Landscape Architects and Interior Designers; the Radiologic Technology Board of Examiners; 16 the Board of Counseling Professionals Licensure; and the Board of Barbering and Cosmetology is reduced to 9. Physician members are 18 removed from the Board of Hearing Aid Dealers and Fitters, the Board of Examiners on Pathology and Audiology and the Radiologic 20 Technology Board of Examiners. All changes in board composition 22 will occur through attrition.

- 24 Part F appropriates funds for one health board investigator position.
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Part G directs the joint standing committee of the 28 Legislature having jurisdiction over business and economic development to report out to the First Regular Session of the 30 120th Legislature a bill to make statutory changes necessary to correct cross-references to sections of law repealed by this Act.