MAINE STATE LEGISLATURE

The following document is provided by the

LAW AND LEGISLATIVE DIGITAL LIBRARY

at the Maine State Law and Legislative Reference Library

http://legislature.maine.gov/lawlib



Reproduced from scanned originals with text recognition applied (searchable text may contain some errors and/or omissions)



119th MAINE LEGISLATURE

FIRST REGULAR SESSION-1999

Legislative Document

No. 1920

H.P. 1337

House of Representatives, March 17, 1999

An Act to Prevent Conflicts of Interest.

Reference to the Committee on State and Local Government suggested and ordered printed.

JOSEPH W. MAYO, Clerk

Presented by Representative TOWNSEND of Portland.
Cosponsored by Senator KILKELLY of Lincoln and
Representatives: AHEARNE of Madawaska, BUMPS of China, DUNLAP of Old Town,
ETNIER of Harpswell, MARTIN of Eagle Lake, PERKINS of Penobscot, PIEH of Bremen,
Senator: NUTTING of Androscoggin.

- Be it enacted by the People of the State of Maine as follows: 2 Sec. 1. 5 MRSA §18, sub-§1, ¶¶C and D, as enacted by PL 1979, c. 734, §2, are amended to read: "Participate in his an official capacity" means to take 6 in reaching a decision or recommendation in a 8 proceeding that is within the authority of the position he helds held or to take part in activities that relate to 10 pending legislation or rulemaking, including but not limited to assisting the planning or implementation of policies or 12 procedures and presenting or preparing testimony before a legislative or governing body or board. 14 "Proceeding" means a proceeding, application, request, ruling, determination, award, contract, claim, controversy, 16 charge, accusation, arrest or other matter relating to 18 governmental action or inaction or activities that relate to proposed legislation or rulemaking, planning or implementation of policies or procedures and presenting or 20 preparing testimony before a legislative or governing body 22 or board. 24
- Sec. 2. 5 MRSA §18, sub-§2, as enacted by PL 1979, c. 734, §2, is amended to read:
- Executive employee. An executive employee commits a 28 civil violation if he that employee personally and substantially participates in his an official capacity in any proceeding in 30 which, to his that employee's knowledge, any of the following have has a direct and substantial financial interest:
- Himself The employee, his the employee's spouse or his the employee's dependent children; 34
- 36 His The employee's partners;

26

32

44

- 38 A person or organization with whom he the employee is negotiating or has agreed to an arrangement concerning 40 prospective employment;
- 42 An organization in which he the employee has a direct and substantial financial interest; or
- Any person with whom he the employee has been associated 46 as a partner or a fellow shareholder in a professional service corporation pursuant to Title 13, chapter 22, during the preceding year. 48
- Sec. 3. 5 MRSA §18, sub-§8 is enacted to read: 50

2	8.	Disclo	sure of	conflic	t of	interes	t. An	executive
	employee	shall	immediate	ly disc	lose to	that	employee	's direct
4	superviso	r all	conflic	ts of	intere	st, in	cluding	potential
	conflicts	of int	erest in	subsecti	on 2.			

б

8

SUMMARY

10

12

14

16

This bill prohibits executive employees from taking part in activities that relate to pending legislation or rulemaking or preparing or presenting testimony before a legislative or governing body or board if a conflict of interest exists or a potential conflict of interest exists. It also requires an employee to immediately notify the employee's direct supervisor in the event that a potential conflict of interest arises.