

# MAINE STATE LEGISLATURE

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# 119th MAINE LEGISLATURE

## FIRST REGULAR SESSION-1999

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Legislative Document

No. 576

H.P. 434

House of Representatives, January 19, 1999

### An Act to Update and Amend the Maine Pharmacy Act.

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Submitted by the Department of Professional and Financial Regulation pursuant to Joint Rule 204.

Reference to the Committee on Business and Economic Development suggested and ordered printed.

A handwritten signature in cursive script that reads "Joseph W. Mayo".

JOSEPH W. MAYO, Clerk

Presented by Representative CAMERON of Rumford.

Cosponsored by Representatives: BRUNO of Raymond, NUTTING of Oakland, WESTON of Montville.

Be it enacted by the People of the State of Maine as follows:

2  
4           **Sec. 1. 32 MRSA §13702, sub-§1-A, is enacted to read:**

6           **1-A. Automated pharmacy systems.** "Automated pharmacy  
8 systems" means mechanical systems that perform operations or  
10 activities, other than compounding, relative to the storage,  
12 packaging, labeling, dispensing or distribution of medications,  
14 and systems that collect, control and maintain all transactional  
16 information.

18           **Sec. 2. 32 MRSA §13702, sub-§2-A, is enacted to read:**

20           **2-A. Compounding.** "Compounding" means the preparation,  
22 mixing, assembling, packaging or labeling of a drug or device  
24 either for dispensing as the result of a practitioner's  
26 prescription drug order, or for the purpose of, or as an incident  
28 to, research, teaching or chemical analysis and not for sale or  
30 dispensing. "Compounding" also includes the preparation of drugs  
32 or devices in anticipation of prescription drug orders based on  
34 routine, regularly observed prescribing patterns.

36           **Sec. 3. 32 MRSA §13702, sub-§10-A, is enacted to read:**

38           **10-A. Electronic transmission.** "Electronic transmission"  
40 means transmission of information in electronic form or the  
42 transmission of the exact visual image of a document by way of  
44 electronic equipment.

46           **Sec. 4. 32 MRSA §13702, sub-§23, as enacted by PL 1987, c.**  
48 **710, §5, is amended to read:**

50           **23. Practitioner.** "Practitioner" means a---physieian,  
52 dentist,---pediatriist,---veterinarian,---seientific---investigater---or  
54 ether---person,---other---than---pharmacists,---licensed---in---the---United  
56 States---and---Canada---to---dispense,---conduct---research---with---respect---to  
58 er---administer---drugs---in---the---course---of---professional---practice---or  
60 researeh an individual who is licensed, registered or otherwise  
62 authorized in the appropriate jurisdiction to prescribe and  
64 administer drugs in the course of professional practice.

66           **Sec. 5. 32 MRSA §13702, sub-§25, as enacted by PL 1987, c.**  
68 **710, §5, is amended to read:**

70           **25. Prescription drug order.** "Prescription drug order"  
72 means a lawful written or oral order of a practitioner for a drug  
74 or device. Written orders may be issued on a prescription form  
76 or by electronic transmission.

78           **Sec. 6. 32 MRSA §13722, sub-§1, ¶B-1 is enacted to read:**

80           **B-1. Establish standards for the use, maintenance and**  
82 supervision of automated pharmacy systems.

2           **Sec. 7. 32 MRSA §13733, sub-§1, ¶G**, as enacted by PL 1987, c.  
710, §5, is amended to read:

4  
6           G. Have presented to the board proof of initial licensure  
by examination and proof that the license and any other  
8           license or licenses granted to the applicant by any other  
state or states have not been suspended, revoked, canceled  
10           or otherwise restricted for any reason except nonrenewal or  
the failure to obtain required continuing education credits  
12           in any state where the applicant is licensed, but not  
engaged in the practice of pharmacy. If an otherwise  
14           qualified applicant for licensure by reciprocity has had a  
license suspended, revoked, cancelled or otherwise  
16           restricted for any reason, the board may assess the prior  
disciplinary event and in its discretion issue the license;  
and

18           **Sec. 8. 32 MRSA §13735, first ¶**, as enacted by PL 1987, c. 710,  
20           §5, is amended to read:

22           No ~~An~~ annual renewal certificate may not be issued by the  
board until the applicant submits proof satisfactory to the board  
24           that, during the calendar year preceding an application for  
renewal, the applicant has participated in not less than 15 hours  
26           of approved courses of continuing professional pharmaceutical  
education as set out in this section. The continuing professional  
28           pharmaceutical educational courses ~~shall~~ consist of postgraduate  
studies, institutes, seminars, workshops, lectures, conferences,  
30           extension studies, correspondence courses or such other forms of  
continuing professional pharmaceutical education as may be  
32           approved by the board.

34           **Sec. 9. 32 MRSA §13741, third ¶**, as amended by PL 1993, c. 600,  
Pt. A, §271, is further amended to read:

36  
38           If, in the opinion of the board, the factual basis of the  
complaint is or may be true and the complaint is of sufficient  
40           gravity to warrant further action, the board may request an  
informal conference with the licensee. The board shall provide  
42           the licensee with adequate notice of the conference and of the  
issues to be discussed. The conference ~~must~~ may be conducted in  
44           executive session of the board, pursuant to Title 1, section 405,  
~~unless otherwise requested by the licensee.~~ Statements made at  
46           the conference may not be introduced at a subsequent formal  
hearing unless all parties consent.

48           **Sec. 10. 32 MRSA §13742, sub-§2**, as amended by PL 1993, c.  
600, Pt. A, §272, is further amended to read:

50           **2. Grounds for action.** The following ~~shall be~~ are grounds  
52           for discipline, for an action to refuse to issue a modification

2 of the license or for refusal to renew the license of a person  
licensed under this chapter:

4 A. The practice of fraud or deceit in obtaining a license  
under this chapter or in connection with service rendered  
6 within the scope of the license issued;

8 B. Habitual substance abuse that has resulted or is  
forseeably likely to result in the licensee performing  
10 duties in a manner that endangers the health or safety of  
patients;

12 C. A professional diagnosis of a mental or physical  
14 condition ~~which~~ that has resulted or may result in the  
licensee performing duties in a manner ~~which~~ that endangers  
16 the health or safety of the patients;

18 D. Aiding or abetting the practice of pharmacy by a person  
not duly licensed under this chapter and who was represented  
20 as duly licensed;

22 E. Incompetence in the practice for which the licensee is  
licensed. A licensee ~~shall-be-deemed~~ is incompetent in the  
24 practice if the licensee has:

26 (1) Engaged in conduct ~~which~~ that evidences a lack of  
ability or fitness to discharge the duty owed by the  
28 licensee to a client, patient or the general public; or

30 (2) Engaged in conduct ~~which~~ that evidences a lack of  
knowledge or inability to apply principles or skills to  
32 carry out the practice for which the licensee is  
licensed;

34 F. Engaging in unprofessional conduct by violating any  
36 standard of professional behavior, including but not limited  
to a breach of confidentiality of health care information  
38 pursuant to Title 22, section 1711-C, which that has been  
established in the practice for which the licensee is  
40 licensed;

42 G. Subject to the limitations of Title 5, chapter 341,  
conviction of a crime ~~which~~ that involves dishonesty or  
44 false statement or ~~which~~ that relates directly to the  
practice for which the licensee is licensed or conviction of  
46 any crime for which incarceration for one year or more may  
be imposed;

48 H. Engaging in false, misleading or deceptive advertising;  
50 or

2 I. Any violation of this Act or of any rule adopted by the  
board.

4 **Sec. 11. 32 MRSA §13752, sub-§2, ¶C**, as enacted by PL 1987, c.  
710, §5, is amended to read:

6  
8 C. Identity of the pharmacist licensed to practice in the  
State who shall ~~will~~ be the pharmacist in charge of the drug  
outlet, when one is required by this chapter, and such  
10 further information as the board may deem ~~determine~~  
necessary. A pharmacist may be the pharmacist in charge for  
12 only one drug outlet, except upon obtaining written  
permission from the board. The position of pharmacist in  
14 charge may not be held by a qualified assistant pharmacist.

16 **Sec. 12. 32 MRSA §13752-A** is enacted to read:

18 **§13752-A. Site inspection required**

20 1. Opening facility. Successful applicants for  
registration of a drug outlet pursuant to this subchapter may  
22 open and operate the approved facility only:

24 A. Upon the completion of a site inspection of the facility  
by a member of the board or an inspector for the board; or

26  
28 B. Upon the pharmacist in charge certifying to the board,  
on forms prescribed by the board, that the facility is  
secure, suitable for operation as a drug outlet and in  
30 compliance with applicable federal and state laws, rules and  
regulations governing the practice of pharmacy.

32  
34 2. Facility inspection. Registered drug outlets that open  
and operate pursuant to subsection 1, paragraph B must be  
inspected by a member of the board or an inspector for the board  
36 within 30 days of opening. Facilities that are found to be  
insecure, not suitable for operation as a drug outlet or not in  
38 compliance with applicable federal and state laws, rules and  
regulations governing the practice of pharmacy are subject to a  
40 board-ordered emergency revocation of registration. The outlet  
may not operate after revocation. The emergency revocation is a  
42 final agency action and is not subject to judicial review, but a  
new application for registration may be submitted pursuant to  
44 section 13752, and if approved, a site inspection must be  
performed pursuant to subsection 1, paragraph A.

46  
48 **Sec. 13. 32 MRSA §13785, last ¶**, as enacted by PL 1987, c. 710,  
§5, is amended to read:

50 A patient profile record must be maintained for a period of  
not less than ~~5-years~~ the amount of time required under federal  
52 Medicare laws, beginning from the date of the last entry in the

profile record. As used in this section, "Medicare" means the Health Insurance for the Aged Act, Title XVIII of the Social Security Amendments of 1965, as amended.

**Sec. 14. 32 MRSA §13794**, as enacted by PL 1987, c. 710, §5, is amended to read:

**§13794. Labeling of prescriptions**

Every drug dispensed pursuant to prescription, whether for a legend drug or not, shall must carry on the label the following information: The the prescription number; the date of filling; the patient's name; directions for use; the name and strength of the drug and the amount dispensed, including either the brand name of the drug or, if a generic and therapeutically equivalent drug is dispensed, it shall must be in accordance with section 13781; the beyond use date of the drug; the name of the practitioner prescribing the drug; and the name, address and telephone number of the pharmacy where the prescription was compounded and dispensed. For purposes of this section, "beyond use date" means a date beyond which the contents of the prescription are not recommended to be used.

**Sec. 15. Statutory Review Committee**

**1. Establishment.** The Commissioner of Professional and Financial Regulation shall establish a statutory review committee within 30 days of the effective date of this Act. The size and composition of the committee is determined by the commissioner, except that, membership must include a licensed pharmacist in independent practice, a representative of a corporate pharmacy chain, a licensed pharmacist working in an institutional setting, a consumer representative and a representative of prescribing practitioners. At least one pharmacist member must be a member of the Board of Pharmacy.

**2. Charge.** The committee is charged with the following duties:

A. To review the current scope of practice for pharmacists, as set forth in the Maine Revised Statutes, Title 32, section 13702, subsection 22 and to recommend whether changes should be made to reflect current professional practices or prospective professional practices that would be advantageous to the public. The discussion regarding scope of practice must include, but is not limited to, drug or device administration and collaborative practice.

B. To review the adequacy of the current regulatory relationship between the Maine Board of Pharmacy and institutional pharmacies and propose changes if necessary.

2 3. **Report.** No later than January 1, 2000, the statutory  
review committee shall submit a written report together with  
4 recommended legislation, if any, to the Governor and the joint  
standing committee of the Legislature having jurisdiction over  
business and economic development matters with a copy to the  
6 Executive Director of the Legislative Council and the Law and  
Legislative Reference Library. The statutory review committee  
8 shall make an oral report to the joint standing committee of the  
Legislature having jurisdiction over business and economic  
10 development matters no later than February 1, 2000. The joint  
standing committee of the Legislature having jurisdiction over  
12 business and economic development matters may submit legislation  
based on the recommendations of the statutory review committee.  
14

## 16 SUMMARY

18 This bill implements the recommendations of the Pharmacy Act  
Review Group, which was established by the Department of  
20 Professional and Financial Regulation to update the Maine  
Pharmacy Act.  
22

The bill defines automated pharmacy systems and authorizes  
24 the Maine Board of Pharmacy to establish standards for their  
use. The bill redefines practitioner, reflecting the increased  
26 number of professions that are authorized to prescribe. It  
allows the board to use discretion in issuing a license by  
28 reciprocity, and aligns the board's license and continuing  
education cycles with the calendar year. The bill removes the  
30 automatic provision of executive session for informal conferences  
and adds breach of confidentiality to the area of unprofessional  
32 conduct. The bill allows a pharmacist to be in charge of more  
than one outlet with written permission from the board and allows  
34 drug outlets to open prior to state site inspection. The bill  
ties record retention requirements to those of federal Medicare  
36 laws and requires dispensed drug labels to include the beyond use  
date of the drug.  
38

Finally, the bill establishes a statutory review committee  
40 to review the current scope of practice for pharmacists and to  
make recommendations for change if necessary. The review must  
42 address the issues of drug administration and collaborative  
practice and the current regulatory relationship between the  
44 Board of Pharmacy and institutional pharmacies. The review  
committee is charged with making recommendations back to the  
46 Governor and Legislature.