

L.D. 1883

DATE: 5-22-97

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STATE OF MAINE HOUSE OF REPRESENTATIVES 118TH LEGISLATURE FIRST SPECIAL SESSION

HOUSE AMENDMENT "B" to S.P. 663, L.D. 1883, Bill, "An Act
to Ask Voters in a Referendum Whether One Travel Lane in Each
Direction Should be Added to the Maine Turnpike, Paid for by
Turnpike Tolls, to Reduce Accidents and Congestion"

20 Amend the bill by striking out the title and substituting the following:

 'An Act to Ask Voters in a Referendum Whether the Maine Turnpike
 Authority Should Widen the Southern End of the Turnpike, Implement Alternative Transportation Modes and Be Authorized to
 Charge Variable Tolls'

Further amend the bill by striking out everything after the enacting clause and before the summary and inserting in its place the following:

PART A

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Sec. A-1. 23 MRSA §1965, sub-§1, ¶D-1 is enacted to read:

<u>D-1. Implement alternative modes of transportation pursuant</u> to section 1965-B;

Sec. A-2. 23 MRSA §1965-B is enacted to read:

<u>§1965-B.</u> Alternative modes of transportation

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1. Expenditures. The authority, in a fiscal year, must expend on implementation of alternative modes of transportation

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an amount equal to expenditures made during that fiscal year to widen the travel lane between mile 12 and mile 42 of the turnpike from 2 to 3 lanes. For the purposes of this section, "alternative modes of transportation" means local and regional nonwidening alternatives to transportation on the turnpike and includes, but is not limited to, commuter rail service and bus service.

 Contracting for services. The authority may enter into
 agreements with any person to implement alternative modes of transportation. For the purposes of this section, "person" means
 an individual, corporation, firm, partnership, joint venture, association, fiduciary, trust, estate, state agency or any other
 legal or commercial entity.

16 <u>3. Fares. The fare charged for a person to use an alternative mode of transportation that is subsidized by the authority must be 1/4 the toll charged to a turnpike patron for traveling a comparable distance.</u>

Sec. A-3. 23 MRSA §1966, sub-§2, as amended by PL 1991, c. 22 435, §1, is further amended to read:

24 Contracts for construction or reconstruction. A11 2. and agreements relating the contracts to construction or 26 reconstruction of the turnpike and the construction or reconstruction of connecting tunnels and bridges, overpasses, 28 underpasses, interchanges and toll facilities must be approved by the Department of Transportation and the turnpike and connecting 30 tunnels and bridges, overpasses, underpasses, interchanges and barriers must be constructed or reconstructed under the 3.2 supervision of the department.

34 Contractors and subcontractors on all authority construction and reconstruction projects and alternative modes of transportation 36 projects must be equal opportunity employers and, in connection with contracts in excess of \$250,000, also pursue in good faith 38 affirmative action programs designed to remedy women underrepresentation of minorities, and persons with 40 disabilities. The authority may by rule provide for the enforcement of this requirement. To the extent practical, the 42 authority may use program and technical information developed by and available through the Department of Transportation to carry 44 out this subsection.

- 46 Sec. A-4. 23 MRSA §1969, sub-§1, ¶A-1 is enacted to read:
- A-1. To the payment of costs of implementing alternative modes of transportation pursuant to section 1965-B;
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HOUSE AMENDMENT

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Sec. A-5. 23 MRSA §1970, as enacted by PL 1981, c. 595, §3, is amended to read:

4 §1970. Bonds; how secured

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б In the discretion of the authority, bonds may be secured by a trust indenture by and between the authority and a corporate 8 trustee_ which may be any trust company or bank having the powers of a trust company within or outside of the State, or by a loan 10 or other security agreement with a lender or with such a trustee containing provisions which that may be included in a bond 12resolution or trust indenture under this chapter. The trust indenture or loan or other security agreement may pledge or 14assign tolls or revenues to be received, but shall may not convey or mortgage the turnpike or any part thereof. Either the 16 resolution providing for the issuance of the bonds or the trust indenture or loan or other security agreement may contain 18 provisions for protecting and enforcing the rights and remedies of the bondholders as may be reasonable and proper and not in 20 violation of law, including covenants setting forth the duties of the authority in relation to the acquisition of properties and the construction, maintenance, operation and repair and insurance 22 of the turnpike and the provision of alternative modes of transportation and the custody, safeguarding and application of 24 all meneys money. It shall-be is lawful for any bank or trust 26 company incorporated under the laws of this State, to act as depositories of the proceeds of the bonds or revenues and to 28 furnish the indemnity bonds or to pledge such securities as may be required by the authority. That indenture or loan or other 30 security agreement may set forth the rights and remedies of the bondholders or other lenders and of the trustee, if any, and may restrict the individual right of action of bondholders or other 32 lenders as is customary in trust indentures securing bonds and 34 debentures of corporations. In addition to the foregoing, the trust indenture may contain such other provisions as the 36 authority may--deem determines reasonable and proper for the security of bondholders. All expenses incurred in carrying out 38 the trust indenture or loan or other security agreement may be treated as a part of the cost of maintenance, operation and 40 repair of the turnpike.

42 Sec. A-6. 23 MRSA §1973, sub-§3, ¶A-1 is enacted to read:

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Sec. A-7. 23 MRSA §1973, sub-§4, as amended by PL 1995, c.

The cost of implementing alternative modes of

410, §1 and 2, is further amended to read:

transportation pursuant to section 1965-B;

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4. Rates. The rate of toll at each toll facility may be2 revised from time to time.

A. The authority shall may establish a system of commuter discounts to provide passenger vehicles with reduced rates
that , which may not exceed 50% of the normal passenger vehicle toll, during hours other than peak hours of turnpike
use. For the purposes of this paragraph, "peak hours of turnpike use" means 7 a.m. to 9 a.m. and 4:30 p.m. to 6:30
p.m., Monday to Friday.

12 A-1---The - authority-is-prohibited - from - imposing-variable surcharges-based-on-the-time-of-day---Notwithstanding-any 14 ether--provisions-of-law,--the-evaluation-of-congestion pricing-as--a-reasonable--transportation--alternative-to 16 widening-or-expansion-of-the-Maine-Turnpike-to-3-lanes-in each-direction-from-Exit-1-to-Exit-6A-on-a-projected-basis 18 without-actual-implementation-of-congestion-pricing-on-a demonstration-basis-meets-the-oriteria-of-section-73-and 20 ehapter-24-

B. Reduced rates of fees, fares and tolls shall must be made to any class of vehicle based upon volume of use.

C. The authority may impose variable surcharges during any
 time period designated by the authority.

28 Sec. A-8. 23 MRSA §1974, sub-§1, ¶A-1 is enacted to read:

30 <u>A-1. Implementation of alternative modes of transportation</u> pursuant to section 1965-B;

Sec. A-9. 23 MRSA §1974, sub-§2, as enacted by PL 1981, c. 34 . 595, §3, is amended to read:

36 2. Sinking fund for payment of bonds. The tolls and all other revenues derived from the turnpike, except such part 38 thereof as may be required to pay the cost of maintaining, repairing and operating the turnpike and to provide such reserves 40 therefor as may-be provided for in a resolution authorizing the issuance of the bonds or in the related trust indenture or loan or other security agreement, -shall and to implement alternative 42 modes of transportation pursuant to section 1965-B, must be set 44 aside at such regular intervals as may--be provided in the loan or other resolution or trust indenture or security agreement, in a sinking fund which that is pledged to, 46. and charged with, the payment of: 48

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A. The interest upon those bonds as that interest falls due;

The principal of the bonds as that principal falls due;

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C. The necessary fiscal agency charges for paying principal and interest; and

Any premiums upon bonds retired by call or purchase as D. provided in this subsection.

The use and disposition of the sinking fund shall-be are subject 8 to such the rules as--may--be provided in the resolution authorizing the issuance of bonds or in the related trust 10 indenture or loan or other security agreement, but, except as may 12 otherwise be provided in that resolution or trust indenture or loan or other security agreement, the sinking fund shall-be is a fund for the benefit of all bonds issued under this subsection 14 without distinction or priority of one over another. Subject to the provisions of the resolution authorizing the issuance of 16 bonds or of the trust indenture or loan or other security agreement, any moneys money in the sinking fund in excess of an 18 amount equal to one year's interest on all bonds then outstanding may be applied to the purchase or redemption of bonds. All bonds 20 so purchased or redeemed shall must immediately be canceled and shall may not again be issued. 22

Sec. A-10. Implementation of turnpike widening. Upon approval of this Part by the voters in accordance with section 11, the Maine Turnpike Authority shall exercise its powers, within the financial resources from time to time authorized and reasonably available to it, to file necessary permit applications for and to carry out the construction of one additional travel lane for each direction of travel on the turnpike between mile 12 and mile 42.

Sec. A-11. Statutory referendum procedure; submission at statewide election: form of question: effective date. This Part must be submitted to the legal voters of the State of Maine at a statewide election held on the Tuesday following the first Monday of November following passage of this Act. The municipal officers of this State shall notify the inhabitants of their respective cities, 38 towns and plantations to meet, in the manner prescribed by law for holding a statewide election, to vote on the acceptance or rejection of this Part by voting on the following question: 40

42 "Do you favor widening the southern end of the Maine Turnpike, paid for by toll revenues, requiring the Maine 44 Turnpike Authority to spend on alternative modes of transportation an equal amount to what is spent on the widening and authorizing the Maine Turnpike Authority to 46 charge variable tolls?"

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The legal voters of each city, town and plantation shall vote by ballot on this question, and shall designate their choice 2 by a cross or check mark placed within a corresponding square below the word "Yes" or "No." The ballots must be received, 4 sorted, counted and declared in open ward, town and plantation meetings and returns made to the Secretary of State in the same б manner as votes for members of the Legislature. The Governor shall review the returns and proclaim the results without delay. 8 If it appears that a majority of the legal votes are cast in favor of this Part, the Governor shall proclaim that fact, and 10 this Part takes effect 30 days after the date of the proclamation.

The Secretary of State shall prepare and furnish to each city, town and plantation all ballots, returns and copies of this Part necessary to carry out the purpose of this referendum.

PART B

Sec. B-1. 23 MRSA §1965, sub-§1, ¶D, as amended by PL 1995, c. 341, §1, is further amended to read:

D. Construct, maintain, reconstruct and operate a toll turnpike from a point at or near Kittery in York County to a point at or near Augusta in Kennebec County, except that the traveled way may not be widened or expanded beyond 3 lanes for each direction of travel from-Exit-1-to,-and-ineluding, Exit-6A in those areas of the turnpike that are 3 lanes on June 1, 1997 and beyond 2 lanes for each direction of travel elsewhere on the turnpike without the express approval of the Legislature.

Except as provided in section 1965-A, a license, permit, or approval necessary for the widening or expansion of the turnpike may not be issued by any state agency unless that agency makes an affirmative finding that the widening or expansion is consistent with state transportation policy as well as rules implementing that policy;

Sec. B-2. 23 MRSA §1965-A, sub-§2, ¶A, as enacted by PL 1995, c. 341, §2, is amended to read:

A. A final license, permit, or approval necessary for the
 widening or expansion of the turnpike may be issued by the
 appropriate state agency, except that a license, permit or
 approval necessary for the widening or expansion of the
 traveled way may not be issued unless the widening or
 expansion of the traveled way is approved by the Legislature
 in accordance with section 1965, subsection 1, paragraph D;
 and

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MOUSE AMENDMENT

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Sec. B-3. Contingent effective date. This Part takes effect on the day of the proclamation required by Part A of this Act, only if the question submitted to voter referendum under Part A is not approved by the voters.'

FISCAL NOTE

This amendment may significantly increase the operating 10 expenditures of the Maine Turnpike Authority, if the referendum is approved and the widening proceeds. The financial impact on 12 the operating expenses and future transfers to the Department of Transportation as Highway Fund revenue can not be determined at 14this time.

SUMMARY

This amendment establishes a voter referendum regarding the 20 Maine Turnpike. Approval of the referendum question results in:

22 The Maine Turnpike Authority being directed by law to 1. proceed with adding one travel lane in each direction between mile 12 and mile 42 of the Maine Turnpike; 24

A requirement that the Maine Turnpike Authority spend on 2.6 2. alternative modes of transportation an amount equal to that spent on the widening; 28

3. The elimination of commuter discounts:

32 The Maine Turnpike Authority being authorized to offer 4. discount tolls during nonpeak hours; and

5. The Maine Turnpike Authority being authorized to charge 36 variable surcharges during any time period.

question 38 Rejection of the referendum results in а prohibition on widening or expanding the Maine Turnpike unless the widening or expansion is approved by the Legislature. 40

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SPONSORED BY:

(Representative O'NEIL)

TOWN: Saco 48

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