## MAINE STATE LEGISLATURE

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## 118th MAINE LEGISLATURE

## FIRST REGULAR SESSION-1997

Legislative Document

No. 1464

H.P. 1047

House of Representatives, March 11, 1997

An Act Relating to Whitewater Rafting Allocations.

Reference to the Committee on Inland Fisheries and Wildlife suggested and ordered printed.

Presented by Representative DEXTER of Kingfield.

## Be it enacted by the People of the State of Maine as follows:

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Sec. 1. 12 MRSA §7368-A, sub-§3, as amended by PL 1995, c.
4 455, §20, is repealed.

- Sec. 2. 12 MRSA §7369, sub-§2, as amended by PL 1989, c. 883, §11, is further amended to read:
- Allocation required; affiliated outfitters restricted. 10 Except as provided in subsection 10, operation of a commercial whitewater trip on the Kennebec River between Harris Station and West Forks or on the West Branch Penobscot River between McKay 12 Station and Pockwockamus Falls on allocated days without allocation or in excess of an allocation is prohibited. 14 allocation is not required for other rivers or for other stretches of those rivers. Net--mere--than--one-member--ef--an 16 affiliated-group-may-conduct-whitewater-trips-on-any-river-or stretch-of-river-for-which-a-specific-allocation-is-required, 18 even-on-days-for-which-an-allocation-is-not-required.

Three-or-more-years-after-the-period-of-affiliation,-the department-may,-in-its-discretion,-consider-requests-by-any former-member-of-an-affiliated-group-to-run-passengers-on allocated-rivers--The-burden-rests-on-the-former-member-of-an affiliated-group-to-demonstrate-that-the-reasons-for-any-finding of-affiliation-have-been-so-diminished-in-effect-that-the-public interest-will-be-served-by-considering-the-former-member-s request-to-run-passengers-on-an-allocated-river-

- Sec. 3. 12 MRSA §7369, sub-§3, as enacted by PL 1983, c. 502, §4, is repealed and the following enacted in its place:
- 3. Allocations. The department shall allocate the right to conduct whitewater trips to licensed outfitters. The department shall award allocations to licensed outfitters on the basis of the outfitters' respective market shares, provided that an outfitter may not receive more than 20% of the allocation of the river for which the allocation is sought. An outfitter's market share is determined by the percentage of the total number of passengers carried on the river by the outfitter on unallocated days on the Kennebec River and allocated days on the Penobscot River, calculated over a period of 5 years immediately preceding the time of application.
  - Sec. 4. 12 MRSA §7369, sub-§6, as amended by PL 1993, c. 438, §22, is repealed and the following enacted in its place:
- 6. Allocation procedure. This subsection governs the procedure by which licensed outfitters may apply for, and the department may award, allocations to licensed outfitters.

2	A. An outfitter desiring an allocation shall submit a
4	proposal to the department in the year preceding the year for which the allocation is desired, on or before a date
	specified by rule of the department. Allocations must be
б	awarded on or before December 1st, but, if an open
	allocation remains, it may be awarded at any time.
8	Allocations must be awarded in accordance with Title 5,
	chapter 375, subchapter V. The department shall adopt rules
10	as necessary to facilitate the allocation process.
12	B. The department may require a surety bond in an amount
	sufficient to cover deposits of customers and may require
14	sufficient evidence of financial stability prior to granting
	an allocation, including, but not limited to, financial
16	statements and references from financial institutions.
18	C. The department and other state employees directly
	involved in the allocation process shall hold all financial
20	information submitted under this section as confidential,
	except that the department may, within its discretion, allow
2.2	information received under this section to be revealed to an
	attorney for a party challenging an allocation decision of
24	the department. This information must be kept confidential
~ ~	and may not be disclosed to any unauthorized person,
26	including the attorney's client.
28	D. Allocations are issued on the condition that the level
	and quality of service demonstrated by the outfitter during
30	the previous allocation period be maintained by the
	outfitter during the term of the allocation. Substantial
3 2	failure to maintain the level and quality of service may
	result in suspension, revocation or reduction in all or part
34	of an allocation.
36	(1) The department may provide by rule for review of
	an outfitter's provision of service. Reviews may be
38	made, as provided by rule, within an allocation period
	or when a majority of the ownership of an outfitter is
40	sold or otherwise transferred. Sale or transfer of
	majority ownership may not be cause for reduction or
42	revocation of an allocation without showing that the
	sale or transfer creates a significant possibility of a
44	failure to substantially maintain the level and quality
	of service.
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4.0	E. The department shall review all allocations issued for a
48	period in excess of 3 years at the end of the 3rd year of

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the allocation period. This review is in addition to any other review provided by law or regulation. The department

2	shall review the allocation to determine if the outfitter has maintained a passenger level consistent with the
	allocation. Substantial failure to maintain a passenger
4	level consistent with the allocation may result in whole or partial loss of the allocation by that outfitter for the
6	remainder of the allocation period.
8	The rules adopted pursuant to this subsection are routine technical rules as defined in Title 5, chapter 375, subchapter
10	II-A.
12	Sec. 5. 12 MRSA §7369, sub-§7, as amended by PL 1993, c. 438, §23, is repealed.
14	Sec. 6. 12 MRSA §7369, sub-§8, as amended by PL 1989, c. 883,
16	§13, is further amended to read:
18	8. Allocation fee. Outfitters shall pay the department an allocation fee, for either river when allocations are required,
20	of \$250 per unit of 20 passengers or less allocated per day on either river in excess of a single unit on a single river. This
22	may be in quarterly payments, beginning 30 days after the allocation is awarded. The maximum allocation fee is \$1,750 for
24	the privilege of carrying 80 passengers per-day on both rivers.
26	Sec. 7. 12 MRSA $$7369$ , sub- $$10$ , $\P$ C, as amended by PL 1985, c. 571, $$7$ , is further amended to read:
28	C. An outfitter may occasionally exceed the allocation by 2
30	passengers <u>for every 40 passengers</u> on a trip of-up-to-40 passengers,ofupto-80
32	passengers, to accommodate problems in booking, provided that the average of the number of passengers carried on an
34	outfitter's 10 best allocated days for each river and-fere eachallocated-dayoftheweek does not exceed his the
36	outfitter's allocation for that river andday. Abuse of this privilege will result in its loss.
38	Sec. 8. 12 MRSA §7369, sub-§11, as enacted by PL 1983, c. 786,
40	§9, is repealed.
42	SUMMARY
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46	This bill amends the procedure by which a commercial whitewater outfitter may apply for, and the Department of Inland Fisheries and Wildlife may award, allocations for whitewater

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trips.