

DATE: 5-6-97

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L.D. 539

(Filing No. H-359)

BUSINESS AND ECONOMIC DEVELOPMENT

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STATE OF MAINE HOUSE OF REPRESENTATIVES 118TH LEGISLATURE FIRST SPECIAL SESSION

 18
 COMMITTEE AMENDMENT " H" to H.P. 394, L.D. 539, Bill, "An
 20 Act to Clarify the Laws Regarding the Board of Licensure in Medicine and Ensure That Physician Discipline Is Reported to the
 22 Appropriate Licensing Board"

Amend the bill by striking out everything after the enacting clause and before the summary and inserting in its place the following:

'**Sec. 1. 5 MRSA §9057, sub-§6,** as amended by PL 1989, c. 175, §1, is further amended to read:

Confidential information. Information may be disclosed 6. which that is confidential pursuant to Title 22, chapters 958-A 32 and 1071 and sections 7703 and 1828; Title 24, section 2506; and Title 34-A, except for information, the disclosure of which is 34 absolutely prohibited under Title 34-A, section 3003. Disclosure 36 be only for the determination of issues involving may unemployment compensation proceedings relating to a state 38 employee, state agency personnel actions and professional or occupational board licensure, certification or registration.

A. For the purpose of this subsection, "hearing officer" means presiding officer, judge, board chairman, arbitrator or any other person deemed <u>considered</u> responsible for conducting a proceeding or hearing subject to this subsection. In the case of the Civil Service Appeals Board, the presiding officer shall--be <u>is</u> the entire board. "Employees of the agency" means employees of a state agency or department or members, agents or employees of a board who are directly related to and whose official duties involve the matter at issue.

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B. The confidential information disclosed pursuant to this subsection is subject to the following limitations:

(1) The hearing officer determines that introduction of the confidential information is necessary for the determination of an issue before the hearing officer;

(2) During the introduction of confidential 10 information, the proceeding is open only to the hearing officer, employees of the agency, parties, parties' representatives, counsel of record and the witness 12 testifying regarding the information, and access to the information is limited to these people. Disclosure is 14 limited to information directly related to the matter at issue; 16

18 (3) Witnesses shall--be are sequestered during the introduction of confidential information, except when
 20 offering testimony at the proceeding;

(4) The names or identities of reporters of confidential information or of other persons shall may not be disclosed, except when disclosure is deemed determined necessary and relevant by the hearing officer; and

- 28 (5) After hearing, the confidential information is sealed within the record and shall may not be further
 30 disclosed, except upon order of court.
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Sec. 2. 24 MRSA §2502, sub-§§1-B and 2-A are enacted to read:

1-B. Carrier. "Carrier" has the same meaning as in Title 24-A, chapter 56-A.

2-A. Managed care plan. "Managed care plan" has the same
 38 meaning as in Title 24-A, chapter 56-A.

40 Sec. 3. 24 MRSA §2506, as amended by PL 1989, c. 462, §1, is further amended to read:

§2506. Provider and carrier reports

A health care provider shall, within 60 days, report in 46 writing to the disciplined practitioner's board or authority the name of any licensed, certified or registered employee or person 48 privileged by the provider whose employment or privileges have been revoked, suspended, limited or terminated <u>or who resigned</u>

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while under investigation or to avoid investigation for reasons 2 related to clinical competence or unprofessional conduct, together with pertinent information relating to that action. 4 Pertinent information includes a description of the adverse action, the date, the location and a description of the event or events giving rise to the adverse action. Upon request, the 6 following information must be released to the board or authority: medical records relating to the event or events; 8 written statements signed or prepared by any witness or 10 complainant to the event; and related correspondence between the practitioner and the provider. The report shall must include 12 situations in which employment or privileges have been revoked, suspended, limited or otherwise adversely affected by action of 14 the health care practitioner while the health care practitioner was the subject of disciplinary proceedings, and it also shall must include situations where employment or privileges have been 16 revoked, suspended, limited or otherwise adversely affected by 18 act of the health care practitioner in return for the health care provider terminating such proceeding. Any reversal, modification or change of action reported pursuant to this section shall must 20 be reported immediately to the practitioner's board or authority, 22 together with a brief statement of the reasons for that reversal, modification or change. The failure of any such health care 24 provider to report as required is a civil violation for which a fine of not more than \$1,000 may be adjudged.

Carriers providing managed care plans are subject to the reporting requirements of this section when they take adverse actions against a practitioner's credentials or employment for reasons related to clinical competence or unprofessional conduct that may adversely affect the health or welfare of the patient.

Sec. 4. 24 MRSA §2511, as amended by PL 1993, c. 600, Pt. A, §19, is further amended to read:

Any person acting without malice, any physician, podiatrist, health care provider, or professional society er, any member of a
 professional competence committee, or professional review committee er, any board or appropriate authority is and any
 entity required to report under this chapter are immune from civil liability:

Sec. 5. 32 MRSA §2954-B, sub-§1, as amended by PL 1993, c. 600, Pt. A, §185, is further amended to read:

 License required. A physician assistant may not practice under the supervision of an osteopathic physician until the physician assistant has applied for and obtained a license issued by the Board of Osteopathic Licensure, which must be renewed
 annually biennially.

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Sec. 6. 32 MRSA §2599, as amended by PL 1993, c. 600, Pt. A, $\S192$, is further amended by adding at the end a new paragraph to read:

6 Provision of information protected by this section to the board pursuant to Title 24, section 2506 does not waive or 8 otherwise affect the confidentiality of the records or the exemption from discovery provided by this section for any other 10 purpose.

12 Sec. 7. 32 MRSA §3270-B, as amended by PL 1993, c. 600, Pt. A, §206, is further amended by repealing the headnote and replacing it with the following:

16 §3270-B. License and regulation

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Sec. 8. 32 MRSA §3270-B, first ¶, as amended by PL 1993, c. 600, Pt. A, §206, is further amended to read:

- A physician assistant is not permitted to practice until the physician assistant has applied for and obtained a sertificate-of 22 qualification license issued by the Board of Licensure in 24 Medicine, which must be renewed biennially, and a certificate of registration,-which-must-be-renewed-biannually. All applications for certificate of qualification registration must be accompanied 26 by an application by the proposed supervisory physician,-which applieation that must contain a statement that that physician is 28 for all medical activities of the responsible physician 30 assistant. The Board of Licensure in Medicine is authorized to adopt rules regarding the training and eertifieation licensure of physician assistants and the agency relationship between the 32 physician assistant and the supervising physician. Those rules may pertain, but are not limited, to the following matters: 34
 - Sec. 9. 32 MRSA §3270-B, sub-§1, as enacted by PL 1975, c. 680, §1, is amended to read:

Application information. The information to be contained
 in the application for a certificate of qualification
 registration;

Sec. 10. 32 MRSA §3270-B, sub-§11, as amended by PL 1993, c. 600, Pt. A, §206, is further amended to read:

46 11. Fees for biennial license renewal. Fees for the biennial registration license renewal of physician assistants in
 48 an amount not to exceed \$100.

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Sec. 11. 32 MRSA 3286, 2nd \P , as amended by PL 1993, c. 600, Pt. A, 219, is further amended to read:

For the purpose of this section <u>chapter</u>, by practicing or by making and filing a biennial license to practice medicine in this State, every physician licensed under this chapter who accepts the privilege to practice medicine in this State is deemed to have given consent to a mental or physical examination when directed in writing by the board and to have waived all objections to the admissibility of the examining physicians' testimony or examination reports on the grounds that the testimony or reports constitute a privileged communication.

14 Sec. 12. 32 MRSA §3296, as amended by PL 1993, c. 600, Pt. A, §223, is further amended by adding at the end a new paragraph to read:

18 Provision of information protected by this section to the board pursuant to Title 24, section 2506 does not waive or 20 otherwise affect the confidentiality of the records or the exemption from discovery provided by this section for any other 22 purpose.'

24 Further amend the bill by inserting at the end before the summary the following:

FISCAL NOTE

30 The Board of Licensure in Medicine and the Board of Osteopathic Licensure, affiliated with the Department of 32 Professional and Financial Regulation, will incur some minor additional costs to investigate complaints. These costs can be 34 absorbed within the boards' existing budgeted resources.

36 Changing the licensure terms for physician assistants from annual to biennial will result in insignificant reductions of 38 dedicated revenue to the Board of Osteopathic Licensure from license fees.'

SUMMARY

This amendment strikes the bill and enacts new language to clarify concerns on various sections. This amendment amends the Maine Revised Statutes, Title 5, section 9057, subsection 6 to apply the procedures for handling confidential information in administrative hearings to information provided to the boards of medicine and osteopathic licensure pursuant to Title 24, section 2506.

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COMMITTEE AMENDMENT

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2 This amendment amends the Maine Health Security Act to include health maintenance organizations, preferred provider arrangements and similar organizations as entities that must 4 report practitioner discipline related to clinical competence or unprofessional conduct and to apply the immunities provided by 6 the Maine Health Security Act to these organizations. This amendment clarifies when a report pursuant to the Maine Health 8 Security Act must be made to the Board of Licensure in Medicine and the Board of Osteopathic Licensure and what information 10 related to the report must be provided to the boards.

This amendment changes the licensure of the physician 14 assistants under the supervision of the Board of Osteopathic Licensure from annual to biennial.

This amendment clarifies that the exemption from discovery does not apply to information that must be reported to the boards pursuant to Title 24, section 2506. This amendment applies only to the Board of Licensure in Medicine and the Board of Osteopathic Licensure and the materials protected by Title 32, section 2599 and section 3296 remain confidential for all other purposes.

This amendment clarifies that physician assistants are licensed to practice and are registered with the Board of Licensure in Medicine under a supervisory physician. This amendment also clarifies the board's authority to require licensees to submit to mental or physical examination.

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This amendment also adds a fiscal note to the bill.

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