MAINE STATE LEGISLATURE

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117th MAINE LEGISLATURE

SECOND REGULAR SESSION-1996

Legislative Document

No. 1851

H.P. 1350

House of Representatives, March 13, 1996

An Act to Clarify the Process for Referendum Recount.

Reported by Representative TRUE for the Joint Standing Committee on Legal and Veterans Affairs pursuant to Public Law 1995, chapter 506, section 2.

✓OSEPH W. MAYO, Clerk

Be it enacted by the People of the State of Maine as follow	lows
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Sec. 1.	5 MRSA	\$1513.	sub-§1-G	is	enacted	to	read:

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- 1-G. Transfer for funding of referendum recount. Notwithstanding subsection 2 and section 1585, in any fiscal year in which a referendum recount is conducted pursuant to Title 21-A, section 738, an amount not to exceed the amount necessary to fund the costs of conducting the recount may be transferred from the available balance in the Rainy Day Fund to the Referendum Recount Fund created in Title 21-A, section 738, subsection 17 and allotted by financial order, upon recommendation of the State Budget Officer and approval of the Governor, to be used by the Secretary of State and the Commissioner of Public Safety to fund the costs of conducting the referendum recount.
 - Sec. 2. 5 MRSA §1518 is enacted to read:

§1518. Transfer to the Referendum Recount Fund

- 22 At the close of any fiscal year in which the Secretary of State or the Department of Public Safety has incurred costs associated with a referendum recount pursuant to Title 21-A, 24 section 738 that have not adequately been funded by other means, including by transfers from the Rainy Day Fund pursuant to 26 section 1513, subsection 1-G, the State Controller shall transfer 28 from the unappropriated surplus of the General Fund to the Referendum Recount Fund established in Title 21-A, section 738, subsection 17 an amount equal to the amount recommended by the 30 State Budget Officer and approved by the Governor to be necessary to adequately fund costs of conducting the referendum recount. 32
- Sec. 3. 21-A MRSA §738, as amended by PL 1995, c. 506, §1 and affected by §3, is repealed and the following enacted in its place:

§738. Recount of referendum

- The Secretary of State shall conduct a recount of a referendum question if the Secretary of State receives within 7 business days after the official tabulation is submitted to the Governor a petition signed by 100 or more affected voters requesting a recount on a referendum question.
- 1. Definitions. For purposes of this section, unless the context otherwise indicates, the following terms have the following meanings.
- A. "Agent" means a person identified pursuant to this section and for purposes of a referendum recount as the

representative of persons who signed a petition pursuant to subsection 2.

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- 2. Agent. Each separately filed petition must have an agent associated with it. A petition must identify one person to serve as the agent for purposes of the recount. If the petition fails to identify an agent, the person who files the petition is the agent for that petition for the purposes of this section.
- 10 3. Limited recount. At the request of an agent, in order to facilitate an orderly recount, the Secretary of State may limit a 12 recount to those ballots cast in certain municipalities or regions of the State. If, after a limited recount, there are enough challenged or disputed ballots to affect the result of the 14 referendum, the Secretary of State shall complete a recount of the entire return. If, after a limited recount, the results of 16 the referendum are not changed and there are not enough 18 challenged or disputed ballots to affect the result of the referendum, the recount ends unless an agent requests that the 20 recount continue.
- 4. Control of ballots; State Police. When a recount is requested, the Secretary of State shall notify the State Police,
 who shall take physical control of ballots and related materials as directed by the Secretary of State. In order to facilitate an orderly recount, the Secretary of State may request the State Police to take physical control of ballots and related materials from certain regions of the State or in sequence from various municipalities or regions of the State as the recount progresses.

Except when the counting is being conducted by the Secretary of

State and except as otherwise directed by the Secretary of State,
the State Police shall store and maintain exclusive control over

the ballots and other materials involved in the recount pending and during the recount.

5. Second recount. If, after the official tabulation is submitted to the Governor, the original results of the referendum are reversed, affected voters may request another recount if they submit a petition signed by 100 voters within 7 business days after the date the Governor receives the tabulation.

6. Deposit for recount. All deposits required by this section must be made with the Secretary of State when a recount is requested. The agent for the first petition filed for a recount is responsible for paying the deposit. Once the State Police take custody of any ballots and other election materials, the deposit is forfeited to the State unless the resulting count reverses the outcome of the referendum. If the recount reverses the outcome of the referendum, the deposit must be returned to

the person who paid the deposit. The amount of the deposit is calculated as follows.

- A. If the percentage difference shown by the official tabulation between the "yes" and "no" votes is 2% or less of the total votes cast on the referendum, a deposit is not required.
- B. If the percentage difference shown by the official tabulation between the "yes" and "no" votes is more than 2% and less than 4% of the total votes cast on the referendum, the deposit is \$500.

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- C. If the percentage difference shown by the official tabulation between the "yes" and "no" votes is 4% or more of the total votes cast on the referendum, the deposit is \$1,000.
- 7. Notice of recount. The Secretary of State shall send written notice of a recount to each agent, stating the time and place of the recount.
- 8. Time and manner of recount. The recount must be held as soon as reasonably possible at a time and place that affords agents and other interested persons a reasonable opportunity to be present. An agent may designate other individuals to attend the recount with the agent or on behalf of the agent, as long as the agent provides to the Secretary of State the names and addresses of all designees. The recount is held under the supervision of the Secretary of State, who shall allow the agent or the designees of the agent to recount the ballots.
- 9. Persons prohibited from working at recount.

 Confidential state employees, employees of the Legislature, candidates and elected state officials may not participate in ballot recounts in any capacity. This subsection does not prohibit employees within the Department of the Secretary of State, election officials and staff of the Department of the Attorney General and the Judicial Department from performing their duties with respect to a recount.
- 42 <u>10. List of recount personnel.</u> The Secretary of State shall maintain a list of recount personnel for 2 years after the recount.

ballot is not a public record and must be kept separate from the original ballots.

- 4 12. Mistake in ballot count. If it is found that a mistake was made in counting the ballots on election day, the Secretary of State shall submit a corrected tabulation to the Governor.
- 13. Package resealed and marked. After a recount, the Secretary of State shall reseal the packages of ballots and incoming voting lists, noting the fact and date of the recount on the packages. All challenged and disputed ballots must be packaged separately. The challenged and disputed ballots must be kept until released to the Supreme Judicial Court in case of an appeal.
- 16 14. Supreme Judicial Court determination. If there are enough challenged or disputed ballots to affect the result of the referendum, the Secretary of State shall forward the ballots and related records for that referendum to the clerk of the Supreme Judicial Court. The Supreme Judicial Court shall determine the result of the referendum pursuant to procedures adopted by court rule. The decision of the Supreme Judicial Court is final and must be certified to the Governor by the Chief Justice of the Supreme Judicial Court.
- - 16. Rulemaking. The Secretary of State may adopt rules consistent with this section in order to ensure that referendum recounts are conducted in an orderly and fair manner. Rules adopted pursuant to this subsection are major substantive rules as defined in Title 5, chapter 375, subchapter II-A.

17. Costs of referendum recount; Referendum Recount Fund. The Referendum Recount Fund is established in which must be deposited any funds transferred from the Rainy Day Fund and from unappropriated surplus pursuant to Title 5, section 1513, subsection 1-G and Title 5, section 1518 to be used by the Secretary of State and the Commissioner of Public Safety for paying the costs of conducting a referendum recount under this section.

FISCAL NOTE

This bill may result in future reductions of balances in the Rainy Day Fund or the unappropriated surplus of the General Fund in the event of a referendum recount. The amounts and timing of

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the amounts transferred to the Referendum Recount Fund from the Rainy Day Fund or, if necessary, the unappropriated surplus of the General Fund can not be determined at this time. The total costs of a recount of a statewide referendum incurred by the Department of the Secretary of State and the Department of Public Safety that are eligible to be funded from the Referendum Recount Fund may be as much as \$90,000. However, this bill may also reduce the costs of statewide referendum recounts by authorizing the Secretary of State to conduct them in stages.

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The Department of the Secretary of State will incur some minor additional costs to adopt rules. These costs can be absorbed within the Department of the Secretary of State's existing budgeted resources.

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STATEMENT OF FACT

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This bill, which is reported by the Joint Standing Committee on Legal and Veterans' Affairs pursuant to Public Law 1995, chapter 506, section 2, clarifies the law relating to referendum recounts.

Under current law, referendum recounts are required to be conducted in accordance with the law related to election recounts. The application of several provisions of the election recount law is unclear in the context of referendum recounts due to the different nature of referendum recounts. This bill recasts the election recount process in the context of referendum recounts to clarify the various procedural requirements.

This bill also specifies that for a referendum recount, agents of persons petitioning for a recount can request that the recount initially be limited to certain regions or areas of the State.

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This bill also provides that the Secretary of State may conduct a recount in stages and direct the State Police to take physical control over ballots in a sequence or order that facilitates the orderly recount of the ballots.

Currently, the costs of conducting a referendum recount are not budgeted since the costs are speculative until a recount is actually requested and conducted. A full statewide recount could cost more than can reasonably be absorbed by the Department of the Secretary of State or the Department of Public Safety. This bill provides that the costs of conducting a referendum recount are paid from the Rainy Day Fund and, to the extent necessary, from the unappropriated surplus of the General Fund.

This bill also provides that rules adopted by the Secretary of State regarding referendum recounts are major substantive rules under the Maine Administrative Procedure Act.

Consequently, all rules adopted on referendum recounts will require Legislative review before becoming effective.

This bill includes a fiscal note.