MAINE STATE LEGISLATURE

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117th MAINE LEGISLATURE

FIRST REGULAR SESSION-1995

Legislative Document

No. 1388

H.P. 979

House of Representatives, April 19, 1995

An Act to Increase Employment and Training Opportunities for Welfare Recipients.

Received by the Clerk of the House on April 14, 1995. Referred to the Committee on Human Resources and ordered printed pursuant to Joint Rule 14.

OSEPH W. MAYO, Clerk

Presented by Representative MITCHELL of Portland.
Cosponsored by Senator BUSTIN of Kennebec and
Representatives: BERRY of Livermore, CLARK of Millinocket, DAVIDSON of Brunswick,
DORE of Auburn, ETNIER of Harpswell, FITZPATRICK of Durham, GATES of Rockport,
GWADOSKY of Fairfield, JACQUES of Waterville, JOHNSON of South Portland, JONES
of Bar Harbor, JOSEPH of Waterville, KERR of Old Orchard Beach, KONTOS of Windham,
LEMAIRE of Lewiston, MITCHELL of Vassalboro, NADEAU of Saco, ROWE of Portland,
SAMSON of Jay, STEVENS of Orono, THOMPSON of Naples, Senator: O'DEA of Penobscot.

Be i	t enacted by the People of the State of Maine as follows:
	Sec. 1. 5 MRSA §12004-I, sub-§36-C is enacted to read:
Hum	36-C. ASPIRE-Plus Expenses 22 MRSA an Services Advisory Only §3827 Board
385	Sec. 2. 22 MRSA §3760-H, sub-§1, as enacted by PL 1993, c., §14, is repealed.
	Sec. 3. 22 MRSA c. 1055-B is enacted to read:
	<u>CHAPTER 1055-B</u>
	ASPIRE-PLUS PROGRAM
<u>§38</u>	25. ASPIRE-Plus Program
	The ASPIRE-Plus program is established within the Department
pay	Human Services to provide jobs and wages as a replacement for ments from the Aid to Families with Dependent Children program the food stamp program. The ASPIRE-Plus program provides
gre	ater economic self-sufficiency for Maine families by ensuring t program participants, through individual employment
<u>edu</u>	elopment plans, have opportunities to improve work skills, cation and employability while maintaining necessary support
	vices, including child care, medical benefits, workers' pensation and job placement. It is intended that the
	IRE-Plus program enhance and supplement the ASPIRE-JOBS gram under chapter 1054-A.
<u>§38</u>	26. Definitions
	As used in this chapter, unless the context otherwise
ind	icates, the following terms have the following meanings.
cre	1. Board. "Board" means the ASPIRE-Plus Advisory Board ated in section 3827.
<u> </u>	
und	2. Council. "Council" means a regional council created er section 3828.
+ ^	3. Life skills. "Life skills" means the skills necessary
wor	meet the requirements of everyday life and to prepare for the kplace. "Life skills" includes, but is not limited to,
ski	ropriate care of self and family, social and interpersonal lls, time management and prioritization, personal budgeting
	money management skills, job-seeking and job-holding skills, enting skills and family planning.

2	4. Mandatory participant. "Mandatory participant" means a
	participant who is required to participate in the program under
4	section 3834.
6	5. Participant. "Participant" means a person who
	participates or registers and is willing to participate in the
8	ASPIRE-Plus program.
10	6. Program. "Program" means the ASPIRE-Plus program.
12	7. Voluntary participant. "Voluntary participant" means a
	person who volunteers to participate under section 3834 but who
14	is not required to participate.
16	§3827. ASPIRE-Plus Advisory Board
18	1. Board created. The ASPIRE-Plus Advisory Board, as
	established in Title 5, section 12004-I, subsection 36-C, is
20	created within the Department of Human Services to advise the
	department in the direction and administration of the ASPIRE-Plus
22	program.
24	2. Membership. The board has 7 members appointed as
	follows.
26	
28	A. The Governor shall appoint the following members:
20	(1) Four representatives of the business community, one
30	each from southern Maine, central Maine, western Maine
30	and northern Maine;
32	and northern marne,
02	(2) One person who is currently employed but who has
34	been a recipient of food stamps or Aid to Families with
-	Dependent Children within the previous 5 years; and
36	Depondent children within the previous 5 years, and
	(3) The commissioner or the commissioner's designee.
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	B. The Legislative Council shall appoint one member who is
40	a Legislator.
42	3. Chair. The Governor shall appoint one of the members to
	be chair. In addition to other duties, the chair shall work with
44	and coordinate the efforts of the councils created under section
	3828.
46	
	4. Terms of office. Members serve 3-year terms and may
48	serve no more than 3 consecutive terms.
50	5. Duties. The board has the following duties:

	A. To advise and monitor the department in the
	implementation and administration of the program;
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	B. To work with the councils to increase employer
6	participation in the program;
8	C. To make recommendations to the department, the
	Legislature and other public and private groups to
10	facilitate the operation of the program; and
12	D. To participate with the department in the rule-making
	process under this chapter.
14	<u> </u>
- 1	6. Meetings. The board shall meet with the Deputy
16	Commissioner for Programs in the department and the Executive
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1.0	Director of the Bureau of Employment and Training Programs in
18	the Department of Labor at least once every month.
2.0	
20	7. Quorum. A majority of the board constitutes a quorum.
22	8. Report. The board shall report at least annually to the
	Governor and the Legislature on the status and progress of the
24	program.
26	§3828. Regional councils
28	1. Establishment. The board shall establish 4 ASPIRE-Plus
	regional councils. The service area of one council may not
30	overlap with the service area of another council.
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	2. Membership. Each council is composed of 7 members
	2. Membership. Each council is composed of 7 members appointed by the board. Members must be residents of the region
34	appointed by the board. Members must be residents of the region
34	appointed by the board. Members must be residents of the region in which they are appointed, and they serve 3-year terms. At
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34 36	appointed by the board. Members must be residents of the region in which they are appointed, and they serve 3-year terms. At least 2 members must be current or former recipients, within the previous 5 years, of Aid to Families with Dependent Children or
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36 38 40	appointed by the board. Members must be residents of the region in which they are appointed, and they serve 3-year terms. At least 2 members must be current or former recipients, within the previous 5 years, of Aid to Families with Dependent Children or food stamps. 3. Duties. The councils shall: A. Recruit and encourage participation in the program by
36 38	appointed by the board. Members must be residents of the region in which they are appointed, and they serve 3-year terms. At least 2 members must be current or former recipients, within the previous 5 years, of Aid to Families with Dependent Children or food stamps. 3. Duties. The councils shall:
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36 38 40 42 44 46	appointed by the board. Members must be residents of the region in which they are appointed, and they serve 3-year terms. At least 2 members must be current or former recipients, within the previous 5 years, of Aid to Families with Dependent Children or food stamps. 3. Duties. The councils shall: A. Recruit and encourage participation in the program by local employers; and B. Assist the board and the department in implementation of the program. §3829. Participation

	under Aid to Families with Dependent Children shall participate
2	in the ASPIRE-Plus program. Mandatory participants include, but are not limited to:
4	are not ranged to:
6	A. Adult and caretaker relatives who are receiving Aid to Families with Dependent Children benefits; and
8	B. Adult food stamp program recipients except those who are:
10	(1) Employed full time;
12	(2) Enrolled full time in a postsecondary educational program;
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16	(3) Enrolled half time in a postsecondary educational program and employed at least 20 hours per week;
18	(4) Eligible for supplemental security income benefits or other ongoing state or federal maintenance benefits
20	based on age or disability; or
22	(5) Persons determined by the department to be permanently or temporarily incapacitated or persons who
24	are primary caretakers for those persons.
26	2. Exemptions. The following persons are exempt from participation in the ASPIRE-Plus program but may choose to
28	participate:
30	A. An individual with disabilities or a caretaker of an individual with disabilities;
32	B. Parents with a child under the age of one year;
34	b. Farencs with a child under the age of one year;
36	C. Teenage parents who have not graduated from secondary school and who are enrolled in school for that purpose;
38	D. A person who is participating in an approved educational program under the ASPIRE-JOBS Program;
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42	E. Persons who do not have access to affordable, reliable transportation; and
44	F. Persons who are employed or enrolled in a secondary or postsecondary educational program for a minimum of 20 hours
46	per week.
48	3. Voluntary participants. If funding permits, the following persons may volunteer to participate in the program:
50	rottoning persons may votanceer to partitipate in the program:

A. Unemployment compensation recipients if the qualifying base year wages used to establish the claim do not exceed \$10,000; and

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- B. Unemployed noncaretaker parents of children who are receiving Aid to Families with Dependent Children benefits.
- 8 4. Paternity. The program must attempt to establish the paternity of a child of a female participant when paternity is not acknowledged.

§3830. ASPIRE-Plus program

- 14 1. State plan. The department, with the advice of the board, shall obtain federal approval to amend the State's plans for Aid to Families with Dependent Children, the ASPIRE-JOBS Program and the food stamp program to coordinate those programs with the ASPIRE-Plus program.
- 2. Waivers. The department, with the advice of the board, shall obtain as expeditiously as possible any exemptions and waivers from federal statutes and regulations necessary to qualify the program for federal participation under section 1115 of the United States Social Security Act, 42 United States Code 1315, and section 17 of the Food Stamp Act, 7 United States Code 2026. The department, with the advice of the board, may make changes in the program that are necessary to achieve federal waiver approval while maintaining the general purpose of the program.

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3. Rules. The department, with the advice of the board, shall adopt any rules necessary for the implementation and operation of this chapter.

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- 4. Coordination with unemployment compensation. The department and the Department of Labor shall examine the feasibility of including recipients of unemployment compensation under the program and the methods of funding that participation. Those departments shall review specifically the experience of the State of Oregon with the inclusion of unemployment compensation recipients. If inclusion of unemployment compensation recipients seems feasible, the departments shall recommend to the Governor and the Legislature any changes in law necessary to accomplish that inclusion.
- 5. Caseworker training. The department shall ensure that caseworkers under the program have proper training to develop individual employment and education plans. This training must include, but is not limited to, training:

A. In long-term case management; and
B. To ensure that caseworkers recognize the need for
substance abuse services or life skills training for program
participants.
§3831. Employer participation
33631. Kmproyer participation
1. Employer selection. The department shall adopt by rule
a method to determine which employers, including public and
private sector employers, may participate in the program. No
employer is required to participate in the program.
2. Extent of participation. The maximum number of program
participants that an employer may receive at one time may not
exceed 10% of the total number of that employer's employees. A
employer with fewer than 10 employees may receive one
participant. The department may waive the 10% limitation in
special circumstances.
3. Conditions of participation. The department may
establish criteria for excluding employers:
A. That have failed to comply with program requirements; or
B. That have shown a pattern of terminating participant
before completion of training or of not offering
unsubsidized employment to participants who have
successfully completed training with that employer.
§3832. Conditions of employment
The department shall require that jobs made available to
participants meet the following standards.
1 Hours The job may not wasning and in amount of
1. Hours. The job may not require work in excess of 40 hours per week. The job must require a minimum of 20 hours per
hours per week. The job must require a minimum of 20 hours per week.
2. Unemployment compliance. The job must be in conformit
with section 3304(a)(5) of the Federal Unemployment Tax Act.
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3. No displacement. The job may not be used to displace
regular employees or to fill unfilled positions previously
established.
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4. Wage parity. The job may not pay a wage that is
substantially less than the wage paid for similar jobs in the
local economy with appropriate adjustments for experience and
training.

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	als at leas	st \$5.00	per hour.	The minim	num wage un	der this
	on is sub	ject to	cost-of-	living in	creases de	termined
biennial	ly by June	30th of	odd-number	ed years b	y the commi	ssioner.
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6.	Benefits.	Partic	ipants mu	st be ent	itled to t	he same
sick lea	ve, holida	y and vac	ation abs	ences as c	ther new e	mployees
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7.	_Health i	nsurance.	Theer	nployer sh	all provid	e_qroup
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benefits			-		-	
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8.	Considere	ed tempor	ary emple	oyees. Pa	rticipants	in the
program	must be c	onsidered	temporar	y employee	es of the	employer
					ired by st	_
federal :	law.					
<u>9.</u>	Workers'	compensat	ion. Emp	loyers sha	ll provide	workers'
compensa	tion covera	age for ea	ach partic	ipant.		
§3833.	Additional	employer	<u>obligatio</u>	ons		
<u>Par</u>	<u>ticipating</u>	employer:	s shall:			
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	_			o make <u>r</u>	program pl	acements
positive	learning e	<u>experience</u>	es;			
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§3834. Point of entry

1. Mandatory participants. The department shall assign a caseworker for each mandatory participant. The caseworker shall contact the participant and inform the participant of the participant's rights and obligations under the program.

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- 2. Voluntary participants. Voluntary participants may enter the program by contacting a local job service office. The job service office shall refer the applicant to the department.
- The department shall assign a caseworker, who shall inform the participant of the participant's rights and obligations under the program.

§3835. Job inventory

With the assistance of the councils and the board, the department shall develop a job inventory of sufficient size to accommodate all of the participants who are required to participate or who desire to work in the program.

§3836. Individual employment development plan

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The caseworker, with participation by the participant, shall prepare an individual employment development plan designed to assist the participant in removing barriers to employment, acquiring work skills and obtaining unsubsidized employment. The caseworker shall periodically review the individual employment development plan and assess participant progress.

§3837. Job placement

1. Assignment. In consultation with the participant the caseworker shall try to match the profile of a participant with the needs of an employer when assigning a participant to work with the employer.

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2. Right to terminate. Either the employer or the participant may terminate the assignment by contacting the department. In the event of termination, the department shall reassess the needs of the participant and assign the participant to another program placement or another program component and, at the employer's request, provide the employer with another participant.

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3. Job search. If, after 6 months in a placement, a participant has not been hired for an unsubsidized position, the employer shall allow the worker to undertake 8 hours of job

time as hours worked for the purpose of paying wages.
4. Reassessment. If, after 9 months in a placement, a
participant has not been hired for an unsubsidized position, the
placement must be terminated, and the caseworker shall reassess
the participant's individual employment development plan.
5. No private employer available. If there are unassigned
participants whom no employer wants to employ, they may be
assigned to work for a public agency.
§3838. Priority in placement
Assignment to placement must be made according to the
following order of priority.
1. Mandatory participants. Mandatory participants must be
given first priority in the following order:
A. Unemployed caretaker parents of children receiving Aid
to Families with Dependent Children who request program
<u>services;</u>
B. Other unemployed caretaker relatives of children
receiving Aid to Families with Dependent Children who
request program services;
C. Recipients of food stamps who request program services;
<u>and</u>
D. Persons who would qualify under paragraphs A to C but
who have not requested services.
2. Voluntary participants. If additional placements are
available following assignments under subsection 1, voluntary
participants must be given priority in the following order:
A. Unemployed noncaretaker parents of children receiving
Aid to Families with Dependent Children; and
D. Other was 'n' and a community would be seen and the
B. Other recipients of unemployment compensation.
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§3839. Preplacement services
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1. Substance abuse treatment. If a participant is in need
of substance abuse services, the caseworker shall arrange for the
provision of those services before a job placement is made.

search per week. Participating employers shall consider this

- 2. Life skills training. If a participant is in need of
 life skills training, the caseworker shall arrange for the
 provision of that training before a job placement is made. The
 commissioner shall provide by rule a mechanism to ensure that a
 provider of life skills training is qualified to provide that
 service.
- 8 3. Noncooperation. Failure to participate in services determined necessary under subsection 1 or 2 is considered a refusal to participate in the program.

§3840. Effect on benefits

Aid to Families with Dependent Children and food stamp benefits must be suspended at the end of the calendar month in which an employer makes the first wage payment to a participant who is a custodial parent in a family that receives Aid to Families with Dependent Children or to any adult member of a household receiving food stamps. Failure of the participant to cooperate with the requirements of the program results in the participant's removal from the program and in the loss of one month's benefits for each month that the person fails to participate. Rules governing sanctions, hearings or conciliations for participants in the program are the same as those for the ASPIRE-JOBS Program and the food stamps program.

§3841. Supplemental payments

If the net monthly full-time wage paid to a participant is less than the level of income from the Aid to Families with Dependent Children program and the food stamp program benefit amount equivalent that the participant would otherwise receive, the department shall determine and pay a supplemental payment as necessary to provide the participant with that level of net income. The department by rule shall adopt an equivalency scale to be adjusted for household size and other factors. The purpose of this equivalency scale is to ensure that participants are not economically disadvantaged in terms of net income by accepting a job under the program. The department shall determine and pay in advance supplemental payments to participants on a monthly basis as necessary to ensure equivalent net program wages.

§3842. Child support

In addition to, and not in lieu of, supplemental payments under section 3842, participants may retain the full child support payments collected by the department.

§3843. Individual education account

- 1. Establishment. The department shall establish an individual education account to receive the contributions provided for under this section in order to improve the position of participants in the workforce by increasing their access to continuing education. The amount attributable to each individual participant must be separately accounted.
 - 2. Employer contribution. After the participant has been employed in a program placement for 30 days, in addition to the participant wage, the employer shall pay one dollar for each participant hour worked into the participants individual education account. Contributions to the account are tax-deferred or tax-exempt to the extent permitted by federal and state law.
- 3. Reimbursement. If the employer hires a participant in an unsubsidized position for at least 30 days, the department shall reimburse 1/2 of the employer's contribution to the individual education account of that employee.
- 20 **4.** Use of account. A participant for whom an individual education account contribution is made may use the account to pay for eligible education costs at any time.
- 5. Eligible education costs. Amounts available in an individual education account may be used for continuing education and training at any of the State's institutions of higher education, including technical colleges, for the participant and the participant's immediate family.

§3844. Supplemental services

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- 1. Medical assistance. Participants who are eligible for federal and state medical assistance at the time they enter the program remain eligible while they participate in the program.
- 2. Child care. The department shall provide child day-care assistance to all participants who require it by means of direct payments, vouchers, provision of services or any other means feasible.

§3845. Transitional services

Once a participant ceases to participate in the program and until that individual is eligible for the federal earned income tax credit or a period of 24 months elapses, whichever occurs earlier, that individual is eligible for the following transitional services:

1. Child care. Child day-care assistance by any means authorized under section 3844, subsection 2;

2. Medical assistance. Medical assistance to the extent
authorized by federal law; and
3. Transportation. Reasonable transportation assistance as determined by the department.
§3846. Applicability of taxes
Wages of participants are subject to federal and state
Wages of participants are subject to federal and state income taxes, social security taxes and unemployment insurance tax. Taxes must be withheld and paid in accordance with federal
and state laws. Supplemental payments made pursuant to section 3842 are not subject to state income taxes and, to the extent
allowed by federal law, are not subject to federal income taxes or social security taxes.
§3847. Reimbursement to employers
33847. Reimbursement to employers
1. Reimbursement. The department shall reimburse employers for the employer share of social security, unemployment insurance
and workers compensation premiums paid on behalf of participants, as well as the minimum wage earnings paid to participants by the employer.
emproyer.
2. Exception. If the department finds that an employer has
violated any of the rules of the program, the department shall withhold any amounts due to the employer under subsection 1 and
may seek repayment of any amounts paid to the employer under
subsection 1.
§3848. Termination of employer participation
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1. Violation of program requirements. The department may
terminate an employer's participation in the program if the
department finds that the employer has violated the terms of this chapter, rules adopted by the department or the terms of any
agreement of participation signed by the employer.
agreement of participation bigined by the employer.
2. Failure to comply with labor laws. The department may
terminate an employer's participation if the department finds
that the employer has violated any provision of state or federal labor laws, workplace safety laws or tax laws.
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3. Displacement of workers. If the department finds that,
as a result of participation in the program, the employer has

§3849. Sanctions

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displaced any worker who would have been employed in the absence of the employer's participation in the program, the department

may terminate the employer's participation in the program.

In addition to termination of the employer's participation under section 3848, if the department finds that, as a result of the employer's participation in the program, the employer has displaced any worker who would have been employed in the absence of the employer's participation in the program, the department shall notify the Bureau of General Services, which shall provide that the State may not enter into a contract with the employer to provide goods or services to the State.

§3850. Appeals

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An employer who is aggrieved by the action of the department under this chapter may appeal the department's decision pursuant to the Maine Administrative Procedure Act.

\$3850-A. Private right of action

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A person who believes that the person has been terminated from a job as a result of an employer's participation in the program has a right of action against the employer as a result of that termination. If the court finds that the person was terminated from a job because of the employer's participation in the program, the court shall order that the person be reinstated in the person's former job with the employer and be awarded attorney's fees and 3 times the amount of salary that the person lost while unemployed.

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§3850-B. Evaluation

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- 1. Report. By January 1, 2000 the department shall submit a written report to the Governor and the Legislature containing a full and complete analysis of the program. The report must include recommendations from the department and the board regarding appropriate revisions to the program and the desirability of its continuation.
- 2. Evaluation. The department shall provide for an 38 evaluation of the program by an independent evaluator using a 40 combination of group comparison, survey and individualized event and attitude recording techniques. Performance in attaining the 42 program goals must be matched against performance using the traditional welfare and employment characteristics. 44 evaluation must include measurements of whether program participation has improved the quality of life of participants. 46 As part of the evaluation, the Department of Labor shall determine whether the program has displaced unsubsidized employment opportunities that would otherwise have been available 48 and whether the program has had any discernible impact on the 50 economy or general wage levels.

- 3. Target goals. The target goals of the program are to reduce the Aid to Families with Dependent Children and food stamp caseloads by 50% by the end of the 4th year of the program and to reduce the costs associated with these caseloads by 25% over the first 4 years of the program.
 - 4. Preliminary report. Twelve months after the beginning of the program, the department shall present to the Legislature a report on the cost-neutrality projections of the program. If it appears that the program is not likely to be cost-neutral over the first 3 years of the program, the department shall also present a plan for the early termination of the program.

Sec. 4. Transition. The Department of Human Services shall begin implementation of the ASPIRE-Plus program as soon as permissible under federal law, and as soon as any necessary federal waivers are granted. It is the intent of this Act that the ASPIRE-Plus program supplement the ASPIRE-JOBS Program. department shall notify the joint standing committees of the Legislature having jurisdiction over appropriations and financial affairs matters and human resource matters monthly of progress accomplishing toward this intent. The department immediately report any barriers encountered to the accomplishment of the intent and any legislative actions necessary to continue progress toward implementation of that intent.

Any funds appropriated or allocated to Aid to Families for Dependent Children or the ASPIRE-JOBS Program must be transferred to the ASPIRE-Plus program for purposes of its implementation and operation at the time that the department certifies to the Bureau of the Budget that the ASPIRE-Plus program is ready for implementation.

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STATEMENT OF FACT

The purpose of this bill is to move people into the work force and toward self-sufficiency and away from government assistance. It requires people to get the training necessary for successful employment and supports the entry of people into the workplace.

This bill provides incentives for employment while removing barriers welfare recipients commonly face. It protects child care benefits, child support payments and medical coverage while maintaining income. Specifically, the bill contains the following provisions.

- 1. It uses short-term, subsidized employment by requiring
 most Aid to Families with Dependent Children (AFDC) recipients to
 participate and cooperate with job placement to help them become
 economically self-sufficient for the long term.
- 2. It shifts the participant's dependence on AFDC to a reliance on earned income by providing a paycheck from an employer for actual work instead of a welfare check from the government.

3. It provides program recipients with work skills, education, work site training, mentoring and individual education account credits to support continuing education.

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4. It provides all necessary support services including child care, workers' compensation and job placement, as well as a guarantee to participants that their net income will not be reduced.

- 5. It tailors individual employment plans by matching job skills and interests of the participant to the needs of employers.
- 6. It develops a partnership between the public and private sectors for job creation.
- 7. It pays wages for participants from funds that otherwise would have gone into welfare benefits. Employers will issue paychecks and be reimbursed by the State up to the amount of the minimum wage.
 - 8. It is intended to reduce the caseload for AFDC and food stamps by 50% in the 4th year of the program and to reduce overall caseload costs by 25%.
- 9. It allows participants receiving child support payments to receive the entire amount of child support paid.
- 38 10. It provides requirements and authorization for the Department of Human Services to expeditiously seek and obtain any federal permission necessary to implement the program.