



116th MAINE LEGISLATURE

FIRST REGULAR SESSION-1993

Legislative Document

No. 668

H.P. 510

House of Representatives, February 25, 1993

An Act to Require Legislative Oversight of Agency Rulemaking.

Reference to the Committee on State and Local Government suggested and ordered printed.

✔JOSEPH W. MAYO, Clerk

Presented by Representative TARDY of Palmyra.

Cosponsored by Representatives: DiPIETRO of South Portland, GOULD of Greenville, KERR of Old Orchard Beach, PLOURDE of Biddeford, SPEAR of Nobleboro, Senator: CIANCHETTE of Somerset.

Be it enacted by the People of the State of Maine as follows:

Sec. 1. 5 MRSA §8002, sub-§9, ¶C is enacted to read:

C.There are 2 types of rules, technical rules and6substantive rules.Technical rules establish procedural
requirements or process-related obligations or duties of8affected persons and include rules of practice as provided
in section 8051.All other rules are substantive rules.10Unless specific reference is made to either type of rule,
all references to rule or rules in this chapter are to both12substantive and technical rules.

- Sec. 2. 5 MRSA §8052, sub-§6, as amended by PL 1981, c. 524, §5, is further amended to read:
- 6. Effective date. No <u>A technical</u> rule, except emergency
 rules adopted under section 8054, may <u>not</u> become effective until at least 5 days after filing with the Secretary of State under
 section 8056, subsection 1, paragraph B. <u>Substantive rules take</u> <u>effect as provided in section 8053-B.</u>
- Sec. 3. 5 MRSA §8053-A, sub-§1, as repealed and replaced by PL 1989, c. 574, §5, is amended to read:

At the time of giving notice 26 1. Proposed rules. of rulemaking under section 8053 or within 10 days following the adoption of an emergency rule, the agency shall provide to the 28 Legislature, in accordance with subsection 3, a fact sheet 30 providing the information as described in section 8057-A, At that time, the agency shall determine and subsection 1. indicate on the fact sheet whether the proposed rule is a 32 substantive rule or a technical rule.

A. If an agency determines that a rule which it intends to adopt will be substantially different from the proposed rule, it shall provide the Legislature with a revised fact sheet with the information defined in section 8057-A, subsection 1, as it relates to the substantially different rule. The revised fact sheet shall must be provided to the Legislature in accordance with subsection 3.

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Sec. 4. 5 MRSA §8053-B is enacted to read:

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<u>§8053-B. Legislative review of substantive rules</u>

The adopting agency shall submit copies of each substantive48rule adopted by it to the Legislature for review as provided in
this section. That rule must be submitted to the Executive50Director of the Legislative Council within 5 days of adoption.

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The Legislative Council shall provide for introduction of a bill for consideration by the Legislature at the current legislative 2 session or the next regular or special session if the Legislature 4 is not currently in session. The form of the bill must be to approve the substantive rule as adopted by the agency. The bill 6 must be submitted first to the House of Representatives and then to the Senate for consideration and, if passed in both Houses by 8 a majority of the members present and voting, presented to the Governor for approval. If the bill is enacted, the rule takes 10 effect 90 days after adjournment of the Legislature. If the bill is not enacted, the rule may not take effect. 12

STATEMENT OF FACT

16 This bill amends rule-making provisions of the Maine Administrative Procedure Act by establishing 2 types of rules,
18 substantive and technical. Technical rules are governed by rule-making procedures currently in place. Substantive rules are
20 automatically subject to legislative review and may be overruled by a majority vote in both Houses of the Legislature and approval
22 of the Governor.

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