MAINE STATE LEGISLATURE

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114th MAINE LEGISLATURE

SECOND REGULAR SESSION - 1990

Legislative Document

No. 2418

H.P. 1755

House of Representatives, March 8, 1990

Reported by Representative CARTER for the Joint Standing Committee on Appropriations and Financial Affairs pursuant to Public Law 1989, chapter 501, Part P, section 46.

Reference to the Joint Standing Committee on Appropriations and Financial Affairs suggested and printing ordered under Joint Rule 18.

EDWIN H. PERT, Clerk

STATE OF MAINE

IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND NINETY

An Act to Implement Certain Recommendations of a Subcommittee of the Joint Standing Committee on Appropriations and Financial Affairs.



Be it enacted by the People of the State of Maine as fo

Sec. 1. 3 MRSA §521-A is enacted to read:

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§521-A. Federal and Dedicated Funds Subcommittee

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The joint standing committee of the Legislature having jurisdiction over appropriations and financial affairs is authorized to establish the Federal and Dedicated Funds Subcommittee for the following purposes:

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1. Research. To examine and conduct research on new and expanded federal fund budget requests and to report all findings and recommendations to the committee;

16 18 2. Data. To compile historical and program data on federal and dedicated fund programs in a report format for use by the committee;

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3. Monitor. To monitor federal budgeting activities; and

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4. Special studies. To conduct special studies on federal and dedicated fund issues as needed.

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The committee chairs shall appoint 5 subcommittee members and select one subcommittee member to serve as subcommittee chair for that legislative biennium. The subcommittee may meet monthly or as often as is determined necessary. Members of the subcommittee are entitled to receive the legislative per diem and to be reimbursed for expenses as defined in section 2 upon application to the Executive Director of the Legislative Council.

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Sec. 2. 5 MRSA §1581 is amended to read:

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§1581. Form of appropriation bill

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The general-fund General Fund appropriation bill provided for in section 1664 shall must be drawn so as to authorize the appropriation to be made to each department or agency of the State Government for each fiscal year of the biennium. Sueh <u>The</u> appropriation shall must provide specific amounts for personal capital expenditures and amounts for all other Appropriations for the acquisition of departmental expenses. property shall must be in such detail under each department or agency as the Governor-elect or the Governor shall--determine Such The appropriations shall may not be segregated determines. in greater detail than the major classes or projects for which they are expendable during each fiscal year of the biennium. In the first regular session of each Legislature, the Governor shall present funding requests that distinguish between current services and new and expanded services, as defined in section

1661, for all funding sources including the General Fund, Highway Fund, Federal Expenditure Fund, Federal Block Grant Fund, Other Special Revenue funds and any other funds of the State.

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Sec. 3. 5 MRSA $\S1583$, as amended by PL 1977, c. 696, $\S42$, is repealed and the following enacted in its place:

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§1583. Exceeding appropriation, allocation and authorized available resources prohibited

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(2)

Any agent, officer or employee of the State who either affixes a written signature or a facsimile or uses an electronic signature to authorize expenditures, to make legally binding commitments or to establish written policy and procedure which in the aggregate exceed the resources approved by the Legislature and authorized by law for a fiscal year for an appropriation or allocation account is personally liable for the amount in excess of those resources. If a commissioner, an agency head or other state official determines that the resources made available to an appropriation or allocation account will be insufficient to implement or to continue a program authorized and funded by the Legislature, the commissioner, agency head or other state official must take the steps necessary to stay within the resources available. If a decision is made to curtail a program, the joint standing committee of the Legislature having jurisdiction over appropriations and financial affairs must be notified 30 working days before the curtailment goes into effect.

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Sec. 4. 5 MRSA §1661, as amended by PL 1987, c. 402, Pt. A, §39, is repealed and the following enacted in its place:

§1661. Definitions

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As used in this chapter, unless the context otherwise indicates, the following terms have the following meanings.

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1. Current services. "Current services" means budget estimates based on the costs of continuing all current legislatively authorized programs at present levels.

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2. Governor-elect. "Governor-elect," whenever used in this chapter and chapter 145, means the candidate most recently elected to the office of Governor of the State in the November election for choice of Governor, or the Governor's successor.

46 48 3. New or expanded services. "New or expanded services" means new programs or initiatives or the expansion of existing programs beyond the scope of those programs already established, recognized or approved by the Legislature.

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Sec. 5	5. 5	MRSA	\$1667-A	is	enacted	to	read:
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\$	1667-A. Prohibition	
	Positions or any other program expenditures that	are
i	ntended to be ongoing may not be created with any state	or
£	ederal funds unless those funds are specifically appropriated	lor
a	llocated by the Legislature.	
0	Sec. 6. 5 MRSA $\$1669$ , 2nd $\P$ , as enacted by PL 1989, c. 7, $\$2$ , is amended to read:	Pt.
	All departments and agencies that receive federal fu	nda
	hall, within 10 working days of receipt of any office otification from the Federal Government concerning the potent	ial
0	or actual increase or reduction in present funding, submit a color that notification to the Director of the Legislative Office	юру
F	iscal and Program Review. <u>A statement outlining the extent</u>	to
£	ederal funding increases or can be reduced due to decrea ederal funding must accompany each notification. In additi	sed
đ	epartments and agencies shall, within 25 working days of t	
L	egislative Office of Fiscal and Program Review their propo lan of action to address the notification which may include	sed
a	appeal or an outline of the options that will be examined letail and a time frame for the examination.	
	Soc 7 Appropriation my 5.13 to 5.1	
£	Sec. 7. Appropriation. The following funds are appropriation the General Fund to carry out the purposes of this Act.	itea
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	Personal Services \$1,	650
		500
	Provides funds for the per diem and related	
	expenses of the 5-member Federal and	
	Dedicated Funds Subcommittee of the Joint	
	Standing Committee on Appropriations and	
	Financial Affairs.	
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FISCAL NOTE 2 The Federal and Dedicated Funds Subcommittee created in this 4 bill will create additional workload to nonpartisan legislative staff, the exact nature of which cannot be determined at this time. A request for additional staff assistance may have to be presented to a future Legislature. 10 STATEMENT OF FACT 12 This bill implements the recommendations of the interim 14 subcommittee of the Joint Standing Committee on Appropriations and Financial Affairs studying the manner in which new and 16 expanded services are presented to the Legislature for review. 18 The bill: 20 Standing Joint Committee Authorizes the Appropriations and Financial Affairs to organize an ongoing 22 subcommittee to review federal and dedicated funding issues; 24 Clarifies that all new and expanded service requests, regardless of the funding source, must be presented to the 26 Legislature in such a way as to distinguish between new and expanded services and current services; 28 Strengthens and clarifies the statutory language that 30 prohibits a state employee from exceeding approved resources; 32 Defines "current services" and "new services" as currently used in a budgeting context; 34

5. Places into law language that is currently unallocated;

6 Clarifies an existing provision of law.

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and