

L.D. 2215

(Filing No. H-966)

STATE OF MAINE HOUSE OF REPRESENTATIVES 114TH LEGISLATURE SECOND REGULAR SESSION

12 COMMITTEE AMENDMENT "C' to H.P. 1603, L.D. 2215, Bill, "An 14 Act to Reform County Government"

16 Amend the bill by striking out everything after the enacting clause and before the statement of fact and inserting in its 18 place:

20 'Sec. 1. 30-A MRSA §1, sub-§2, as enacted by PL 1987, c. 737, Pt. A, §2, and Pt. C, §106 and as amended by PL 1989, c. 6; c. 9, §2; and c. 104, Pt. C, §§8 and 10, is further amended to read:

24 2. County officers. "County officers" means the commissioners, treasurer, sheriff, register of deeds, judge of
 26 probate and register of probate of a county.

Sec. 2. 30-A MRSA §2, sub-§1, as amended by PL 1989, c. 6; c.
 9, §2; as repealed and replaced by PL 1989, c. 104, Pt. A, §1 and
 as amended by PL 1989, c. 104, Pt. C, §§8 and 10; as repealed and
 replaced by PL 1989, c. 476; and as amended by PL 1989, c. 517,
 \$1, is repealed and the following enacted in its place:

34 <u>1. County officers' salaries. The county commissioners, treasurers, sheriffs, judges of probate, registers of probate and registers of deeds are entitled to receive annual salaries from the county treasury in weekly, biweekly or monthly payments as provided in the county budget.</u>

40 Sec. 3. 30-A MRSA §51, sub-§§1 and 2, as enacted by PL 1987, c.
737, Pt. A, §2 and Pt. C, §106 and as amended by PL 1989, c. 6;
42 c. 9, §2; and c. 104, Pt. C, §§8 and 10, are repealed and the following enacted in their place:

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 Compensation. Each county commissioner is entitled to
 receive compensation as provided in the county budgets and reimbursement for travel at the same rate established for state
 employees.

2 Full compensation. The payments authorized in 2. subsection 1 are in full compensation for all services of the commissioners and for any expenses or their travel to and from 4 the county seat except as provided in section 105. 6 Sec. 4. 30-A MRSA §61-A, as enacted by PL 1989, c. 220, §§1 8 and 4, is repealed. 10 Sec. 5. 30-A MRSA §66, sub-§16, as amended by 1989, c. 6; c. 9, §2; c. 104, Pt. C, §§8 and 10; and c. 220, §§2 and 4, is further amended to read: 12 Creation of York County Commissioner Districts. York 14 16. County is divided into the following 3 districts. 16 Α. Commissioner District Number 1 consists of the municipalities of Acton, Berwick, Buxton, Cornish, Eliot, 18 Hollis, Kittery, Lebanon, Limerick, Limington, Newfield, North Berwick, Parsonsfield and South Berwick. The term of 20 office of the commissioner from this district shall-expire expires in 1992 and every 2 4 years thereafter. 22 Commissioner District Number 2 consists 24 в. the of Biddeford, municipalities of Arundel, Kennebunk, 26 Kennebunkport, Ogunguit, Wells and York. The term of office of the commissioner from this district shall-expire expires 28 in 1990 and every 4 years thereafter. 30 с. Commissioner District Number 3 consists of the municipalities of Alfred, Dayton, Lyman, Old Orchard Beach, 32 Saco, Sanford, Shapleigh and Waterboro. The term of office of the commissioner from this district shall-expire expires 34 in 1992 and every 2 4 years thereafter. Sec. 6. 30-A MRSA §81, sub-§1, as enacted by PL 1987, c. 737, 36 Pt. A, $\S2$ and Pt. C, $\S106$ and as amended by PL 1989, c. 6; c. 9, \$2; and c. 104, Pt. C, \$8 and 10, is further amended to read: 38 40 Appointment of clerk; term; clerk pro tempore. 1. The Except when a county has a county administrator, the county 42 commissioners in each county may shall appoint a suitable person to serve as clerk to the county commissioners. If the county has a county administrator, the commissioners may not appoint a 44 clerk. The clerk of the county commissioners shall is to be known as the county clerk. 46 48 Α. The county clerk serves at the will of the county commissioners. 50

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B. When a clerk is absent, the clerk may appoint a clerk pro tempore to the commissioners for whose actions the clerk is responsible.

Sec. 7. 30-A MRSA §101, sub-§6-A, as enacted by PL 1989, c. 561, §18, is amended to read:

6-A. Adopt ethics policy. In <u>Adopt</u>, at their discretion,
 the--county-commissioners-may--adopt an ethics policy governing
 the conduct of elected and appointed county officials-;

Sec. 8. 30-A MRSA §101, sub-§6-B is enacted to read:

14 <u>6-B. Employ counsel.</u> Employ counsel as necessary to represent the county; and

Sec. 9. 30-A MRSA §282, sub-§§1 and 5, as enacted by PL 1987, 18. c. 737, Pt. A, §2 and Pt. C, §106 and as amended by PL 1989, c. 6; c. 9, §2; and c. 104, Pt. C, §§8 and 10, are repealed.

Sec. 10. 30-A MRSA §701, sub-§2, as enacted by PL 1987, c. 737, Pt. A, §2 and Pt. C, §106 and as amended by PL 1989, c. 6; c. 9, §2; and c. 104, Pt. C, §§8 and 10, is further amended to read:

26 2. Preparation of estimates. In order to assess a county tax, the county commissioners, prior to November 7th <u>lst</u> in each year, shall prepare estimates of the sums necessary to pay the expenses which <u>that</u> have accrued or may prebably accrue for the coming year, including the building and repairing of jails, courthouses and appurtenances, with the debts owed by their 32 counties.

34 The estimates must be drawn so as to authorize the appropriations to be made to each department or agency of the county government 36 for the year. The estimates must provide specific amounts for personal services, contractual services, commodities, debt 38 service and capital expenditures.

Sec. 11. 30-A MRSA §701, sub-§§3 and 4, as amended by PL 1989,
 c. 6; c. 9, §2; c. 23; and c. 104, Pt. C, §§8 and 10, are
 repealed.

44 Sec. 12. 30-A MRSA §702, as enacted by PL 1987, c. 737, Pt.
 A, §2 and Pt. C, §106 and as amended by PL 1989, c. 6; c. 9, §2;
 46 and c. 104, Pt. C, §§8 and 10, is repealed.

- 48 Sec. 13. 30-A MRSA §702-A is enacted to read:
- 50 **§702-A. Final budget; filing**

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	The county commissioners shall file 'a copy of the final
2	budget and subsequent amendments, on forms approved by the
	Department of Audit, with the State Auditor, who shall retain
4	them for 3 years.
6	Sec. 14. 30-A MRSA §705, as enacted by PL 1987, c. 737, Pt.
8	A, §2 and Pt. C, §106 and as amended by PL 1989, c. 6; c. 9, §2; and c. 104, Pt. C, §§8 and 10, is further amended by adding at
10	the end a new paragraph to read:
10	Any agency outside the regular county departments that
1.2	receives a grant from a county to fund its activities shall file
14	with the county treasurer an audited annual financial report for the year in which grant funds are received. The commissioners
14	shall withhold further funds from any agency that is not in
16	compliance with this paragraph.
18	Sec. 15. 30-A MRSA c. 3, sub-c. I, art. 1-A is enacted to read:
20	Article 1-A
22	COUNTY BUDGETS, GENERALLY
24	<u>§711. Purpose</u>
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26	This article replaces the previous statutory method of
	approving county budgets by transferring authority from the
26 28	approving county budgets by transferring authority from the legislative delegation and the Legislature to a committee
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28 30	approving county budgets by transferring authority from the legislative delegation and the Legislature to a committee composed of county citizens and municipal officials. Except for counties that have adopted an alternative method of appropriating money for county expenditures as part of a county charter under
28	approving county budgets by transferring authority from the legislative delegation and the Legislature to a committee composed of county citizens and municipal officials. Except for counties that have adopted an alternative method of appropriating money for county expenditures as part of a county charter under section 1353-A, this article applies to all counties, including
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28 30 32 34 36	approving county budgets by transferring authority from the legislative delegation and the Legislature to a committee composed of county citizens and municipal officials. Except for counties that have adopted an alternative method of appropriating money for county expenditures as part of a county charter under section 1353-A, this article applies to all counties, including those that have previously adopted a budget committee in any form. §712. Definitions As used in this article, unless the context otherwise
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28 30 32 34 36 38 40	approving county budgets by transferring authority from the legislative delegation and the Legislature to a committee composed of county citizens and municipal officials. Except for counties that have adopted an alternative method of appropriating money for county expenditures as part of a county charter under section 1353-A, this article applies to all counties, including those that have previously adopted a budget committee in any form. §712. Definitions As used in this article, unless the context otherwise indicates, the following terms have the following meanings.
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28 30 32 34 36 38 40 42 44	<pre>approving county budgets by transferring authority from the legislative delegation and the Legislature to a committee composed of county citizens and municipal officials. Except for counties that have adopted an alternative method of appropriating money for county expenditures as part of a county charter under section 1353-A, this article applies to all counties, including those that have previously adopted a budget committee in any form.</pre> <pre>S712. Definitions</pre> <pre>As used in this article, unless the context otherwise indicates, the following terms have the following meanings.</pre> <pre>1. County commissioners. "County commissioners" means the county commissioners of the county in guestion.</pre> <pre>2. Municipal officers. "Municipal officers" means the</pre>
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28 30 32 34 36 38 40 42 44 46	<pre>approving county budgets by transferring authority from the legislative delegation and the Legislature to a committee composed of county citizens and municipal officials. Except for counties that have adopted an alternative method of appropriating money for county expenditures as part of a county charter under section 1353-A, this article applies to all counties, including those that have previously adopted a budget committee in any form. \$712. Definitions As used in this article, unless the context otherwise indicates, the following terms have the following meanings. 1. County commissioners. "County commissioners" means the county commissioners of the county in question. 2. Municipal officers. "Municipal officers" means the mayor, councilors or selectmen of a municipality in the county in question.</pre>

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	1. Membership. The budget committee consists of 9
2	members: 2 elected members and one appointed member from each
-	county commissioner district selected as provided for in this
4	section. The county commissioners shall serve on the committee
-	in an advisory capacity only and may not vote on any committee
6	matters.
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8	Starting in 1991, at least 90 days before the end of every fiscal
•	year, the municipal officers from each county commissioner
10	district shall choose the elected members as provided in
10	paragraphs A and B.
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++	A. The county commissioners shall notify all municipal
14	officers in the county to caucus by county commissioner
TI	districts at a specified date, time and place for the
16	purpose of nominating at least one municipal officer from
10	each district as a candidate for the county budget
18	committee, except that in 1991 at least 2 municipal officers
10	must be nominated from each district. The county
20	commissioner shall serve as nonvoting moderator for that
20	district caucus. Nominations must be received from the
22	floor. A municipal officer may vote for more than one
<i>L L</i>	nominee to be placed on the written ballot for that
24	district. The nominee receiving the most votes is approved
27	for placement on the ballot. Any other nominee who receives
26	a majority vote of those present is also approved for
20	placement on the ballot. The names of those duly approved
28	must be recorded and forwarded to the county commissioners
	to be placed on a written ballot.
30	XXXXEPYXXXX.XXX
•••	B. The county commissioners shall have written ballots
32	printed with the names of those candidates selected in their
	districts under paragraph A. Each commissioner district
34	requires a separate ballot and each ballot must specify each
	candidate's full name and municipality. The county
36	commissioners shall distribute the appropriate ballots to
	each municipality within a commissioner district. The
38	municipal officers shall vote as a board for one budget
	committee member from the candidates on the ballot and
40	return the ballot to the county commissioners by a certain
	date, except that in 1991, the municipal officers shall vote
42	as a board for 2 budget committee members. The ballots must
	<u>be counted at a regular meeting of the county</u>
44	commissioners. Each vote must be weighted according to that
	<u>municipality's population as a proportion of the district's</u>
46	total population. The county commissioners shall notify
	each municipality, in writing, of the results of the
48	<u>election and certify the results to the Secretary of State.</u>
50	Each county commissioner shall appoint one member of the general
	public to serve on the budget committee from that commissioner's

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district.

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2. Duties. The county budget committee shall review the budget estimates prepared by the county commissioners and approve a final county budget.

3. Term of office. The term of office for the elected 6 members is 2 years beginning on October 1st, provided that a budget committee member remains a municipal officer in that 8 officer's municipality, except that of those elected in 1991, one 10 from each district must be elected for a term of one year and one from each district must be elected for a term of 2 years. The term of office for the appointed members is 2 years beginning on 12 October 1st in even-numbered years, provided that a budget 14 committee member remains a resident of the county commissioner district. Those appointed in 1991 must be appointed for a term 16 of one year.

18 4. Vacancies. The budget committee shall fill a vacancy occurring in an elected position on the committee for the balance of the unexpired term. The person selected to fill the vacant office must be a municipal officer from the same municipality as the person vacating the office. A vacancy in an appointed position must be filled by appointment by the commissioner for that district for the balance of the unexpired term.

26 <u>5. Expenses. Members shall serve without compensation, but</u>
 are entitled to reimbursement from the county treasury for
 28 expenses incurred in performing their duties.

30 §714. Budget committee organization

32 The budget committee shall conduct its meetings in public at the county courthouse or other convenient public building. The 34 county commissioners shall call an organizational meeting of the budget committee no later than 60 days before the end of the 36 county's fiscal year. The county commissioners shall provide the committee with necessary clerical assistance, office expenses and 38 suitable meeting space, as well as access to county files and information. The budget committee shall adopt its own rules, 40 procedures and bylaws.

42 §715. Budget procedures

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 44 1. Proposed budget. The county commissioners shall submit an itemized budget estimate, as described in section 701, to the budget committee in a timely fashion, no later than 60 days before the end of the county's fiscal year.

2. Budget review process. The budget committee shall
 50 review the proposed itemized budget prepared by the county commissioners, together with any supplementary material prepared
 52 by the head of each county department or provided by any independent board or institution or another governmental agency.

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<u>The budget committee may increase, decrease, alter or revise the</u> proposed budget, provided that:

A. The budget committee must enter into its minutes an explanation for any change in the estimated expenditures and revenues as initially presented by the county commissioners; and

B. The total estimated revenues, together with the amount of county tax to be levied, must equal the total estimated expenditures.

3. Public hearing. The budget committee shall hold a public hearing in the county on the proposed budget before the 14 end of the county's fiscal year and before the final adoption of the budget. At least 10 days before the hearing, the budget 16 committee shall publish a notice in a newspaper of general circulation within the county. Written notice and a copy of the 18 proposed budget must be sent by registered or certified mail with 20 return receipt requested, or delivered in person with proof received of the delivery, to the clerk of each municipality in the county. The municipal clerk shall notify the municipal 22 officers of the proposed budget.

4. Adoption of budget. After the public hearing is 26 completed, the budget committee may further increase, decrease, alter and revise the proposed itemized budget, subject to the 28 conditions and restrictions imposed in subsection 2. The budget committee shall adopt a final itemized budget by a majority vote and immediately deliver that budget to the county commissioners. 30 The county commissioners may not further revise the budget as 32 adopted by the budget committee except by unanimous vote of the county commissioners within 10 days after receiving the adopted 34 budget. If the adopted budget is changed by the county commissioners, the commissioners shall immediately deliver the 36 revised budget to the budget committee. The budget committee may reject any change by a 2/3 vote of its members within 10 days 38 after receiving the revised budget from the county commissioners. Those actions are final and not subject to 40 further action by the county commissioners or the budget committee. The approved budget is the final authorization for 42 the assessment of county taxes. The final budget must be sent to the county commissioners and the county tax authorized must be 44 apportioned and collected in accordance with section 706.

46 5. Interim budget. If the budget is not approved before the start of a fiscal year, until a budget is finally adopted the
48 county shall operate on an interim budget that may not exceed 90% of the previous year's budget.

<u>6. Transfer of funds.</u> The county commissioners may
 <u>transfer funds as provided in section 922.</u>

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2 §716. Budget amendments

4	The approved budget governs the expenditures of the county
6	during the fiscal year. Expenses may not be incurred in excess of those shown in the approved budget, but the budget may be revised from time to time by the preparation and submission of a
8	proposed amended budget by the county commissioners to the budget committee. The proposed budget amendment is not effective until
10	it is approved by the budget committee. Except in emergencies, the budget committee shall render a decision on any revised
12	budget not less than 15 calendar days nor more than 30 days after it is submitted to the committee. An approved revised budget
14	must be transmitted to the State Auditor within 15 days of the budget committee's action.
16	Sec. 16. 30-A MRSA c. 3, sub-c. I, art. 2, 3-A, 4, 6, 7 and 8, as
18	amended, are repealed.
20	Sec. 17. 30-A MRSA §1353, as enacted by PL 1987, c. 737, Pt. A, \S 2, and Pt. C, \S 106 and as amended by PL 1989, c. 6; c. 9, \S 2;
22	and c. 104, Pt. C, §§8 and 10, is repealed.
24	Sec. 18. 30-A MRSA §1353-A is enacted to read:
26	§1353-A. County budget
28	A county adopting a charter under this chapter may provide for a method of appropriating money for county expenditures other
30	than the method in chapter 3, subchapter I, article 1-A. If the budget is not approved before the start of a fiscal year, the
32	county shall, until a budget is finally adopted, operate on an interim budget that may not exceed the previous year's budget.
34	Any county adopting an alternative method of appropriating money for county expenditures under this section shall require in the
36	charter that one or more public hearings be held in the county on the budget estimates before the budget is adopted. A copy of the
38	final budget estimates must be filed with the State Auditor as provided in section 702-A.
40	Sec. 19. 34-A MRSA §1210, sub-§5, as amended by PL 1989, c.
42	127, §2, is further amended by adding at the end a new blocked paragraph to read:
44	Notwithstanding any other provision of this section, the entire
46	amount of reimbursement calculated under this subsection must be provided to the counties for their use under subsection 6.
48	Sec. 20. 34-A MRSA §1210, sub-§6, as enacted by PL 1985, c.
50	821, §18, is amended to read:

County Correctional Improvement Account. 6. The county shall establish each county the County 2 commissioners of Correctional Improvement Account for funds received from the State under this section,-which-shall. The funds must be used 4 for improving, maintaining and developing correctional programs, community-based correctional programs, standards compliance and, 6 capital improvements and for the support of prisoners in that 8 county, including personal services, contractual services, Funds in this commodities, debt service and capital outlay. account unexpended at the end of the year do may not lapse, but 10 shall-earry must be carried forward into subsequent years. 12 Sec. 21. PL 1989, c. 220, §3 is repealed. 14 Sec. 22. PL 1989, c. 220, §4, is amended to read: 16 Sec. 4. Effective date. Section-1-of-this-Act-takes-offect 18 January 1, 1990. 20 Sec. 23. Retroactivity. Section 4 of this Act applies retroactively to September 30, 1989. 22 Sec. 24. Transition; Aroostook County. Aroostook County is 24 deemed to have adopted, in compliance with the Maine Revised Statutes, Title 30-A, section 1353-A, the provisions of the 26 repealed Maine Revised Statutes, Title 30-A, chapter з, subchapter I, article 3-A, and those provisions are incorporated 28 into the charter of Aroostook County. 30 Sec. 25. Transition; 1991 county budgets. Notwithstanding the provisions of this Act, the county budgets for 1991 are to be 32 established in accordance with the Maine Revised Statutes, Title 34 30-A, chapter 3, subchapter I, as effective on December 31, 1990. Sec. 26. Effective date. This Act takes effect January 1, 1991.' 36 38 STATEMENT OF FACT 40 This amendment completely replaces the original bill. The amendment makes the following reforms to the laws governing 42 county government. 44 It makes a technical change to clarify the status of 1. 46 judges of probate as county officers. 48 2. It repeals the authorization for York County to have 5 county commissioners instead of 3. 50

3. It requires all counties to have a county clerk, except 2 when a county has a county administrator. 4 4. It makes a technical correction in the language concerning the county commissioners' authority to adopt an ethics policy for county officials. 6 8 5. It repeals the district attorneys' duty to represent counties in civil matters. 10 It requires counties to receive financial reports from б. 12 recipients of county grants. 14 7. It allows state reimbursement to county jails to be used for the general support of prisoners. 16 Finally, the amendment provides for a uniform county 8. budget process without legislative review. Each county sets 18 its own budget through a budget committee composed of 20 municipal officers and members of the general public. The county commissioners may veto this budget and insert their 22 own figures but the budget committee may override that action by a 2/3 vote. The amendment also permits a county to modify the statutory budget process or adopt an entirely 24 different budget process under a county charter approved by 26 a referendum vote. Since Aroostook County has already done this, the amendment provides for the continued use of the 28 special Aroostook County budget provisions by incorporating the present statutory provisions into the Aroostook County 30 charter.

Reported by Report C of the Committee on State and Local Government Reproduced and distributed under the direction of the Clerk of the House 3/20/90 (Filing No. H-966)

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