MAINE STATE LEGISLATURE

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1	L.D. 1773
3	(Filing No. S-395)
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7	STATE OF MAINE SENATE
9	114TH LEGISLATURE FIRST REGULAR SESSION
11	
13	SENATE AMENDMENT "D" to H.P. 1282, L.D. 1773, Bill, "An Act Regarding Governmental Ethics"
15	Amend the bill in section 4 in that part designated "§1012."
17	by striking out all of subsection 4 (page 2, lines 11 to 23 in L.D.) and inserting in its place the following:
19	'4. Gift. "Gift" means anything of value given to a
21	'4. Gift. "Gift" means anything of value given to a person, including the forgiveness of an obligation, unless consideration of equal or greater value is received by the
23	donor. "Gift" does not include:
25 27	A. Gifts received from a single source during the reporting period with an aggregate value of \$300 or less:
29	B. A bequest or other form of inheritance; and
31	C. A gift received from a relative.
33	Further amend the bill in section 4 in that part designated "\$1012." in subsection 5 in the last line (page 2, line 29 in L.D.) by inserting after the following: "speech" the following:
35	'or an appearance or speech which is unrelated to the Legislator's official capacity or duties as a member of the
37	Legislature'
39	Further amend the bill in section 7 in that part designated "\$1016-A." in subsection 5 in the 3rd line (page 4, line 19 in
41	L.D.) by striking out the following: "the principal" and inserting in its place the following: 'each'
43	
45	Further amend the bill in section 9 in that part designated "\$1022." in subsection 1 in the 2nd and 3rd lines (page 4, lines 48 and 49 in L.D.) by striking out the following: "any joint
47	standing committee of"
49	Further amend the bill in section 9 in that part designated

SENATE AMENDMENT	מיי ז	**	to	H.P.	1282.	L.D.	1773
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1	" <u>\$1022.</u> " in subsection 1 in the 3rd line (page 4, line 48 in L.D.) by inserting after the following: " <u>Legislature</u> " the
3	following: 'for compensation'
5	Further amend the bill in section 9 in that part designated "\$1022." in subsection 1 by inserting after paragraph A the
7	following:
9	'B. For the purposes of this section "Legislature" includes but is not limited to individual members of the Legislature
11	and legislative committees.
L3	Further amend the bill in section 11 by striking out all of
L5	paragraph F (page 6, lines 7 to 20 in L.D.) and inserting in its place the following:
L7	'F. "Gift" means anything of value given to a person
L9	without equal consideration received, including forgiveness of an obligation or debt or excess consideration beyond
21	<pre>fair-market value for services or goods. "Gift" does not include:</pre>
23	(1) Gifts received from a single source during the
25	reporting period with an aggregate value of \$300 or less:
27	(2) A bequest or other form of inheritance; and
29	(3) A gift received from a relative.
31	Further amend the bill in section 11 in paragraph G in the
3	6th and 7th lines (page 6, lines 28 and 29 in L.D.) by striking out the following: "alimony or separate maintenance payments:"
5	Further amend the bill by striking out all of section 12 and inserting in its place the following:
37	
9	'Sec. 12. 5 MRSA §19, sub-§2, as enacted by PL 1979, c. 734, §2, is repealed and the following enacted in its place:
1	2. Statement of sources of income. Each executive employee
3	shall annually file with the Secretary of State a sworn and notarized statement of finances for the preceding calendar year.
	The statement shall indicate:
.5	A. The name of each specific source of income that the
7	executive employee received of \$300 or more:
9	B. The principal type of economic activity of the corporation, professional association, partnership, person
31	or other entity representing each source of income;

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1	C. The specific source of each received gift with a fair-market value in excess of \$300 except for gifts from
3	immediate family members:
5	D. The principal source of income of \$300 or more that any member of the immediate family of the executive employee
7	received;
9	E. Each executive branch agency before which the executive employee or any immediate family member has represented or
11	assisted others for compensation:
13	F. Each executive branch agency to which the executive employee or the employee's immediate family has sold goods
15	or services with a value in excess of \$300; and
17	G. Income received in-kind, including, but not limited to, the transfer of property, options to buy or lease and stock
19	certificates.
21	For the purposes of this subsection "immediate family" means the spouse or dependent children of the executive employee.
23	Further amend the bill by striking out all of section 13.
25	Further amend the bill in section 14 in subsection 8 in the
27	2nd line (page 7, line 34 in L.D.) by striking out the following: "a joint standing committee"
29	Further amend the bill in section 14 in subsection 8 in the
31	3rd line (page 7, line 35 in L.D.) by inserting after the following: "Legislature" the following: 'for compensation'
33	Further amend the bill by renumbering the sections to read
35	consecutively.
37	
39	STATEMENT OF FACT
41	This amendment makes some technical changes and a substantive change. This amendment:
43	 Provides that honoraria that are provided to Legislators
45	for an appearance or speech unrelated to the official capacity of the Legislator do not have to be reported;
47	2. Requires that the Legislator disclose the type of
49	2. Requires that the Legislator disclose the type of economic activity or entity for each source of income of immediate family members in contrast to the principal source of
51	income of immediate family members; and

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1	3. Authorizes former Legislators and former executive
	employees subject to the one-year restriction on lobbying
3	activities, to lobby without restriction if they lobby for no compensation.
5	

7 (Senator BERUBE)

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