



114th MAINE LEGISLATURE

FIRST REGULAR SESSION - 1989

Legislative Document

No. 1397

S.P. 509

In Senate, April 27, 1989

Submitted by the Department of Educational and Cultural Services pursuant to Joint Rule 24.

Reference to the Committee on Education suggested and ordered printed.

JOY J. O'BRIEN Secretary of the Senate

Presented by Senator GILL of Cumberland. Cosponsored by Senator ESTES of York, Representative HANDY of Lewiston and Representative RYDELL of Brunswick.

STATE OF MAINE

IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-NINE

An Act to Amend and Clarify the Laws Relating to Services to Infants and Young Children, Ages 0 through 5, Who are Handicapped for at-risk for Developmental Delay.

Be it enacted by the People of the State of Maine as follows:

Sec. 1. 20-A MRSA §7701, as enacted by PL 1981, c. 693, §§5 and 8, is amended to read: (

§7701. Purpose

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The purpose of this chapter is to maintain coordinated delivery systems for preschool-handicapped-children infants and children, ages 0 through 5, who are handicapped or at-risk for developmental delay, based on the models developed through pilot projects. Local sites have been established to provide statewide coverage for the purpose of ensuring services for infants and children ages 0 through 5, who are handicapped or at-risk for developmental delay pursuant to this chapter.

17 Sec. 2. 20-A MRSA §7702, as enacted by PL 1981, c. 693, §§5 and 8, is repealed and the following enacted in its place:

<u>§7702. Definitions</u>

As used in this chapter, unless the context otherwise 23 indicates, the following terms have the following meanings.

 25 <u>1. Agency. "Agency" means the organizational unit, public</u> or private, at the state and local levels involved in the
 27 governance and provision of services under the interdepartmental service delivery system.

2. At risk for developmental delay. "At risk for 31 developmental delay" means those children and families at-risk under one or a combination of the 3 categories: identified, 33 biological or environmental.

35 <u>3. Commissioner. "Commissioner" means the Commissioner of</u> Educational and Cultural Services.

 4. Commissioners. "Commissioners" means the Commissioner
 39 of Educational and Cultural Services, the Commissioner of Human Services, the Commissioner of Mental Health and Mental
 41 Retardation and the Commissioner of Corrections.

 43 <u>5. Council. "Council" means the Committee for the</u> Interdepartmental Coordination of Services to Children and
 45 Families as established in Title 34-B, section 1214. The membership of the council includes the Commissioner of Human
 47 Services, the Commissioner of Mental Health and Mental Retardation, the Commissioner of Educational and Cultural
 49 Services and the Commissioner of Corrections.

51 <u>6. Department.</u> "Department" means the Department of Educational and Cultural Services.

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_	7. Departments. "Departments" means 2 or more of the
3	participating state agencies in the 0 through 5 interdepartmental
5	coordination system.
5	8. Early intervention services. "Early intervention
7	services" means:
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9	A. Those services which are designed to meet a young
	child's developmental needs in any one or more of the
11	following areas:
13	(1) Physical development;
15	(2) Cognitive development;
17	(3) Language and speech development;
10	(4) Developments or
19	(4) Psycho-social development; or
21	(5) Self-help skills.
<u>4</u> 1	(5) Sell-help skills.
23	B. These services can include, but are not limited to:
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25	(1) Family training, counseling or home-based services;
27	(2) Special instruction;
29	(3) Speech pathology and audiology;
31	(4) Occupational therapy;
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33	(5) Psychological services;
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35	(6) Case management services;
37	(7) Medical services for diagnostic or evaluation
57	purposes only;
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22	(8) Early identification, screening and assessment
41	services;
43	(9) Health services necessary to enable the child to
	benefit from the other early intervention services;
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	(10) Respite care services; and
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	(11) Transportation.
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- 1	9. Handicapped. "Handicapped" means individuals age 0
51	<u>through age 5, inclusive, who need early intervention services</u> because thev:

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3	A. Are experiencing developmental delay, as measured by appropriate diagnostic instruments and procedures in one or
	more of the following areas:
5	(1) Cognitive development;
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9	(2) Physical development;
2	(3) Language and speech development;
11	(4) Psychosocial development; or
13	(4) Psychosocial development; or
	(5) Self-help areas; or
15	B. Have a diagnosed physical or mental condition that has a
17	high probability of resulting in developmental delay.
19	10. Interdepartmental Coordinating Committee for Preschool
21	<u>Handicapped Children. "Interdepartmental Coordinating Committee</u> for Preschool Handicapped Children" meant the committee as
	established in section 7704 for the purposes of ensuring
23	<u>interdepartmental coordination at the state level for programs</u> and services for the target population.
25	and services for the target population.
27	11. Intermediate Educational Unit. "Intermediate Educational Unit" as defined in Public Law 94-142, means any
29	public authority, other than a local educational agency, that is under the general supervision of a state educational agency, this
31	is established by state law for the purpose of providing free public education on a regional basis and that provides special
33	<u>education and related services to handicapped children within</u> <u>that state.</u>
35	12. Local coordinating committee. "Local coordinating committee" means the committee as established in section 7703 at
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2.0	at the local level and provide governance for the local site
39	operations.
41	13. Site. "Site" means each of the local coordination
43	<u>programs, previously referred to as "pilot projects" or "preschool coordination projects".</u>
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45	14. Services. "Services" means those activities undertaken
47	<u>to screen, evaluate and provide early intervention services for infants and children ages 0 through 5, who are handicapped or</u>
	at-risk for developmental delay. Services may also include
49	<u>education and related services during the prenatal period and other activities designed to prevent developmental disabilities.</u>
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 15. Target population. "Target population" means infants and children ages 0 through 5 who are handicapped or who are at-risk for developmental delay. The target population does not include those children who are eligible for public school
 5 enrollment because of their age.

7 Sec. 3. 20-A MRSA §7703, as amended by PL 1983, c. 624, §2, is further amended to read:

§7703. Authorization for expenditure of funds

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The commissioner may, --- from -- funds --- authorized -- to --- the 13 department, authorize the Interdepartmental Coordinating Committee for Preschool Handicapped Children as established in section 7704 to make grants from funds authorized to the 15 department, to local coordinating committees as established in 17 section 7704, subsection 4 and agencies to and school administrative units to establish local coordinated delivery 19 systems to serve preschool--handicapped--children infants and children, under 6 years of age, who are handicapped or at-risk 21 for developmental delay.

 1. Grants. Grants <u>First time coordination grants</u> shall be made on a competitive basis, according to rules adopted or
 amended by the commissioner <u>department</u>. <u>Renewal grants may be</u> made on a noncompetitive basis, according to rules adopted or
 amended by the department.

29 2. Rules. The rules shall assure ensure participation at the local level by agencies currently serving preschool 31 handieapped-ohildren infants and children ages 0 through 5 who are handicapped or at-risk for developmental delay. They shall 33 require that existing resources for providing services to preschool-handicapped-children infants and children under 6 years 35 of age who are handicapped or at-risk for developmental delay be exhausted prior to using grant funds to provide services.

ageney, --- institution -- or -- school З. Fiscal agent. The 39 administrative--unit local coordinating committee, receiving a grant under this chapter may-function-only-in-the-role-of shall 41 ensure the services of a fiscal agent, as described in regulations promulgated by department the <u>departments</u> for 43 regulating the local administration of these programs.

45 4. Local coordinating committee. A local coordinating committee, organized prior to application for receipt of a grant,
47 shall be responsible for governance of each local program site. Membership shall include representatives of preschool programs in
49 the region to be served by the grant, representatives of the appropriate regional offices of the Department of Human Services
51 and the Department of Mental Health and Mental Retardation, representatives of participating school administrative units,

 parents of handicapped children and other community members as appropriate. Terms of membership and methods of appointment or
 election shall be determined by local coordinating committee bylaws, subject to approval of the department <u>Interdepartmental</u>
 <u>Coordinating Committee for Preschool Handicapped Children</u>. Responsibilities of local coordinating committees shall be detailed in the regulations promulgated by the department.

- 9 5.----Coordination---services.--Coordination---and--referral services-may-be-provided-to-preschool-handicapped-children-below 11 the-age-of-3-years.
- 13 Sec. 4. 20-A MRSA §7704, as amended by PL 1985, c. 295, §31, is repealed and the following enacted in its place:
 - <u>§7704. Interdepartmental coordination</u>

The council as established, pursuant to Title 34-B, section 19 <u>1214, to encourage the coordination of policies and programs for</u> <u>Maine children and families.</u>

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An Interdepartmental Coordinating Committee for Preschool Handicapped Children pursuant to Title 5, chapter 379, representing the department, the Department of Human Services, the Department of Mental Health and Mental Retardation and the public, shall be appointed by the Governor to work with the departments to establish guidelines and rules, including continuation applications, to monitor grants and to evaluate the performance of programs developed through the grants.

 31 The Interdepartmental Coordinating Committee for Preschool Handicapped Children is established as an Intermediate Education
 33 Unit for the purposes of carrying out those duties and obligations as outlined and assigned in this chapter.

 Membership. A member appointed by an agency serves for
 a term of 2 years or until the person terminates employment with the agency, whichever occurs first. Members appointed by the
 Governor serve for a term of 2 years expiring July 1 of every odd-numbered year. Individual members may be reappointed for no
 more than 2 consecutive terms.

- 43 <u>2. Chair. The members of the Interdepartmental</u> <u>Coordinating Committee for Preschool Handicapped Children shall</u>
 45 <u>annually elect one member to serve as chair.</u>
- 47 <u>3. Meetings. The Interdepartmental Coordinating Committee</u> for Preschool Handicapped Children shall meet at least bi-monthly
 49 and shall adopt rules for the conduct of its meetings.

4. Consensus. Any action taken by the Interdepartmental 1 Coordinating Committee for Preschool Handicapped Children must be 3 approved by a majority vote of the members present. 5 5. Policies. The Interdepartmental Coordinating Committee for Preschool Handicapped Children shall establish a policy for carrying out its duties under this chapter. 7 Allocation of resources. The Interdepartmental 9 6. Coordinating Committee for Preschool Handicapped Children shall direct the departments to allocate funds as appropriated to the 11 departments under this chapter to each local coordinating 13 committee that assumes implementation responsibilities. Complaint resolution. 15 7. The Interdepartmental Coordinating Committee for Preschool Handicapped Children shall 17 develop a method for local coordinating committees funded under this chapter to respond to individual complaints regarding services provided by or through the interdepartmental service 19 delivery system. 21 8. Conflict resolution. The Interdepartmental Coordinating Committee for Preschool Handicapped Children shall facilitate the 23 development and implementation of a method for resolution of 25 conflicts as identified through the Interdepartmental Coordinating Committee for Preschool Handicapped Children. 27 Subcommittee and advisory activities. 9. The Interdepartmental Coordinating Committee for Preschool 29 Handicapped Children shall establish subcommittees and other 31 advisory groups composed of parents, professionals and advocacy groups. 33 The subcommittees shall meet and serve under the rules of the 35 Interdepartmental Coordinating Committee for Preschool Handicapped Children. 37 Sec. 5. 20-A MRSA §7705, as enacted by PL 1981, c. 693, §§5 and 8, is amended to read: 39 §7705. Early childhood consultant 41 43 The position of early childhood consultant to within the Division of Special Education shall be established continued to diffeet ensure the department's participation in the coordinated 45 <u>service</u> delivery system for preschool--handicapped--children 47 infants and children, ages 0 through 5, who are handicapped or at-risk for developmental delay. 49 Additional consultant positions may be established in each 51 of the participating departments to provide joint staffing and administrative support and ensure the departments' participation

1	in the coordinated service delivery system for infants and
3	<u>children ages 0 through 5 who are handicapped or at-risk for</u> <u>developmental delay.</u>
5	Sec. 6. 20-A MRSA §§7707, 7708 and 7709, as enacted by PL 1985, c. 721, §3, are repealed.
7 9	Sec. 7. 20-A MRSA §7710 is enacted to read:
, 11	§7710. Duties, powers and obligations
13	<u>The Interdepartmental Coordinating Committee for Preschool</u> <u>Handicapped Children shall:</u>
15	1. Address. Address, with the advice of the local coordinating committees, contemporary issues affecting
17	intervention services in the State including, but not limited to, the following:
19	A. Successful early intervention strategies;
21 23	B. Personnel preparation and continuing education;
25	C. Child find activities and methods;
27	D. Public awareness; and
29	E. Contemporary research;
31	2. Advise. Advise with the advice of the local coordinating committees, the departments on legislation that is
33	needed to develop further and to maintain a statewide system of quality intervention services for the target population. The
35	Interdepartmental Coordinating Committee for Preschool Handicapped Children may assist in the development and submission of legislation to the departments or comment on pending
37	legislation that affects the target population.
39	3. Select. Select an executive director and shall direct the Department of Educational and Cultural Services to employ
41	that person.
43	4. Assist. Assist in the development and implementation of rules, through the council, as may be necessary to carry out the
45	<u>duties and purposes of this chapter. Any regulation adopted by</u> the departments shall be promulgated in conformity with the Maine
47	Administrative Procedure Act, Title 5, chapter 375.
49	5. Accept. Accept grants and gifts on behalf of the local sites and pay such funds directly to the sites upon receipt.
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6. Hire. Hire staff and subject to the approval of the council enter into contracts for services.

7. Fulfill duties. Fulfill other duties assigned by the Legislature.

7 §7711. Reimbursement

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 9 Agency representatives on the Interdepartmental Coordinating Committee for Preschool Handicapped Children are entitled to
 11 reimbursement for expenses incurred in the performance of their committee duties by the appointing agencies in accordance with
 13 the travel provisions for state employees in the General Appropriations Act. The lay member and advisory committee
 15 members are entitled to reimbursement from the Interdepartmental Coordinating Committee for Preschool Handicapped Children for
 17 actual and necessary expenses incurred in the performance of their duties.

Sec. 8. 20-A MRSA §7722, as enacted by PL 1985, c. 487, §3, 21 is amended to read:

23 §7722. Preschool services to handicapped children

In addition to the programs authorized in subchapter I, the commissioner may authorize expenditures to school administrative units for services to-preschool-handicapped-students for infants and children ages 0 through 5 who are handicapped or at-risk for developmental delay.

31 1.---School--year--1985-86.--For--the--school--year--1985-86, allowable-special-education-expenditures-as--defined-in-section 33 15603,-subsection-22,-paragraph-D,-subparagraph-(-1),-will-be-made directly-to-school-administrative-units-based-on-costs-for-the 35 base-year-1983-84-updated-to-1984-85-expenditures.

37 2. School year 1986-87 and subsequent years. Starting in
 1986-87 for base year costs in 1984-85 expenditures will be made
 39 through the school subsidy formula.

41 Federal and state funds. Federal and state grants 3. awarded to school administrative units to initiate these services 43 funds in computing shall be considered local the units educational costs in chapter 606. For-the-base-year-1984-85 45 only,-federal-money-passed-through-to-the-local-units-under-the federal-Public-Law-94-142-legislation-and-used-to-fund-preschool elassrooms-for-handicapped-students-may-be-considered-local-funds 47 in-computing-the-units-educational-costs-under-chapter-606.

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4. Governance and financial responsibility. The school 51 board responsible for operating the preschool service shall assume the financial responsibility for the program. It shall 1 receive the state subsidy for the program and may charge tuition for costs which exceed expenditures made for those programs in 3 the base year.

 5 5. Dedication of funds. Funds generated under the school subsidy formula through expenditures for programs for infants and children ages 0 through 5 who are handicapped or at-risk to developmental delay shall be committed to continue to fund
 9 programs and services for the target population at the local level.

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 <u>6. Coordination of services and resource development</u>
 <u>activities.</u> School administrative units shall coordinate their program and service activities for infants and children ages 0
 through 5, who are handicapped or at-risk for developmental delay with their local site to avoid duplication, maximize the use of
 <u>available funds and resources, and to ensure compliance with</u> rules as promulgated by the Interdepartmental Coordinating
 <u>Committee for Preschool Handicapped Children.</u>

STATEMENT OF FACT

The interdepartmental coordination system, operating between 25 the Department of Mental Health and Mental Retardation, the Department of Human Services and the Department of Educational 27 and Cultural Services for over 10 years, has successfully developed a statewide system serving children ages 0 through 5, 29 who are handicapped or at-risk. Passage by Congress of Public Law 99-457 requires that this State respond to mandated services for this population by 1990-91. The bill contains the necessary 31 expansions and considerations required under changes, this 33 federal law.