

1	L.D. 936	
3	(Filing No. H-541)	
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7	STATE OF MAINE HOUSE OF REPRESENTATIVES	
9	114TH LEGISLATURE FIRST REGULAR SESSION	
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13	COMMITTEE AMENDMENT " , to H.P. 684, L.D. 936, Bill, "An Act to Provide for the Licensing of Counseling Professionals and	
15	to Create a Board of Counseling Professionals Licensure"	
17	Amend the bill by striking out everything after the enacting clause and before the statement of fact and inserting in its	
19	place the following:	
21	'Sec.1. 5 MRSA §12004-A, sub-§9-A is enacted to read:	
23	<u>9-A. Board of</u> <u>\$35/Day</u> <u>32 MRSA §13852</u> Counseling Professionals	
25	Licensure	
27 29	Sec. 2. 10 MRSA §8001, as repealed and replaced by PL 1987, c. 395, Pt. A, §34 and c. 488, §2, is repealed and the following enacted in its place:	
31	§8001. Department; organization	
33 35	There is created and established the Department of Professional and Financial Regulation, in this chapter referred to as the "department," to regulate financial institutions,	
	insurance companies, commercial sports, grantors of consumer	
37	credit and to license and regulate professions and occupations. The department shall be composed of the following bureaus, boards	
39	and commissions:	
41	1. Bureau of Banking. Banking, Bureau of;	
43	2. Bureau of Consumer Credit Protection. Consumer Credit Protection, Bureau of;	
45	3. Bureau of Insurance. Insurance, Bureau of;	
47	4. Maine Athletic Commission. Athletic Commission, Maine;	
49	5. Maine State Pilotage Commission. Pilotage Commission,	
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	COMMITTEE AMENDMENT "A" to H.P. 684, L.D. 936
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3	6. Real Estate Commission. Real Estate Commission;
5	7. Arborist Examining Board. Arborist Examining Board;
7	8. Board of Licensing of Auctioneers. Auctioneers, Board of Licensing of:
9	9. State Board of Barbers. Barbers, State Board of;
11	10. Board of Commercial Driver Education. Commercial Driver Education, Board of;
13 15	11. Board of Registration of Dietetic Practice. Dietetic Practice, Dietetic
17	12. Electricians' Examining Board, Electricians' Examining Board;
19	13. State Board of Registration for Professional
21	Foresters. Foresters, State Board of Registration for Professional;
23	14. State Board of Funeral Service. Funeral Service, State
25	Board of;
27 29	15. State Board of Certification for Geologists and Soil Scientists. Geologists and Soil Scientists, State Board of Certification for:
31	16. Board of Hearing Aid Dealers and Fitters. Hearing Aid Dealers and Fitters, Board of;
33	17. Manufactured Housing Board. Manufactured Housing Board;
35	18. Nursing Home Administrators Licensing Board. Nursing
37	Home Administrators Licensing Board;
39	19. Board of Occupational Therapy Practice. Occupational Therapy Practice, Board of:
41	20. Oil and Solid Fuel Board. Oil and Solid Fuel Board;
43	21. Board of Examiners in Physical Therapy. Physical
45	Therapy, Board of Examiners in;
47	22. Plumbers' Examining Board. Plumbers' Examining Board;
49	23. State Board of Examiners of Psychologists.
51	Psychologists, State Board of Examiners of:

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	COMMITTEE AMENDMENT " A " to H.P. 684, L.D. 936		
1	24. Radiologic Technology Board of Examiners. Radiologic Technology Board of Examiners;		
3 5	25. Board of Respiratory Care Practitioners. Respiratory Care Practitioners, Board of;		
7	26. State Board of Social Worker Registration. Social Worker Registration, State Board of;		
9	27. Board of Examiners on Speech Pathology and Audiology.		
11	Speech Pathology and Audiology, Board of Examiners on;		
13	28. Board of Registration of Substance Abuse Counselors. Substance Abuse Counselors, Board of Registration of:		
15 17	29. State Board of Veterinary Medicine. Veterinary Medicine, State Board of;		
19	<u>30. Acupuncture Licensing Board. Acupuncture Licensing</u> Board:		
21	31. Maine State Pharmacy Commission. Pharmacy Commission,		
23	Maine State;		
25	32. State Board of Registration for Land Surveyors. Registration for Land Surveyors, State Board of;		
27 29	33. Board of Chiropractic Examination and Registration. Chiropractic Examination and Registration, Board of;		
31	34. Board of Licensure of Railroad Personnel. Licensure of Railroad Personnel, Board of; and		
33	35. Board of Counseling Professionals Licensure.		
35	Counseling Professionals Licensure, Board of.		
37	Sec. 3. 32 MRSA c. 119 is enacted to read:		
39	CHAPTER 119		
41	COUNSELING PROFESSIONALS		
43	<u>§13851. Definitions</u>		
45	As used in this chapter, unless the context otherwise indicates, the following terms have the following meanings.		
47	1. Board. "Board" means the Board of Counseling		
49	Professionals Licensure.		
51	2. Clinical professional counselor. "Clinical professional counselor" means a professional counselor who renders or offers		
53	to render for a fee, monetary or otherwise, to individuals,		

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l	families, groups, organizations or the general public, a
3	counseling service involving the application of the principles and procedures of counseling to assess and treat intrapersonal and interpersonal problems and other dysfunctional behaviors and
5	to assist in the overall development and adjustment of those served.
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9	3. Commissioner. "Commissioner" means the Commissioner of Professional and Financial Regulation.
11	4. Conditional license. "Conditional license" means a
13	license granted to an individual who has met all the requirements for licensure as defined in section 13858 and is in the process of obtaining 2 years of supervised experience beyond the master
15	degree.
17	5. Department. "Department" means the Department of Professional and Financial Regulation.
19	
21	6. Marital and family therapy services. "Marital and family therapy services" means the assessment and treatment of intrapersonal and interpersonal problems through the application
23	of principles, methods and therapeutic techniques for the purpose of resolving emotional conflicts, modifying perceptions and
25	behavior, enhancing communication and understanding among all family members, and preventing family and individual crises.
27	
29	7. Marriage and family therapist. "Marriage and family therapist" means a person who renders or offers to render for a fee, monetary or otherwise, marital and family therapy services.
31	
33	8. Procedures of counseling. "Procedures of counseling" means methods and techniques that include, but are not limited to, the following.
35	
37	A. "Assessment" means selecting, administering and interpreting instruments designed to assess personal, interpersonal and group characteristics.
39	
41	B. "Consulting" means the application of scientific principles and procedures in counseling to provide assistance in understanding and solving a current or
43	potential problem that the client may have in relation to a 3rd party, be it an individual, a family, a group or an
45	organization.
47	<u>C. "Counseling" means assisting individuals, families or groups through the counseling relationship to develop</u>
49	understanding of intrapersonal and interpersonal problems, to define goals, to make decisions, to plan a course of
51	action reflecting their needs, and to use information and

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	community resources, as these procedures are related to	
	personal, social, educational and vocational development.	
3	D. "Referral" means the evaluation of information to	
5	identify needs or problems of the counselee and to determine	
7	<u>the advisability of referral to other specialists, informing</u> the counselee of that judgment, and communicating as	
•	requested or deemed appropriate with referral sources.	
9		
11	9. Professional counselor. "Professional counselor" means	
11	a person who, for a fee, monetary or otherwise, renders or offers to render to individuals, families, groups, organizations or the	
13	general public a service involving the application of principles	
10	and procedures of counseling to assist those served in achieving	
15	<u>more effective personal, emotional, social, educational and vocational development and adjustment.</u>	
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	§13852. Board of Counseling Professionals Licensure;	
19	establishment; compensation	
21	1. Establishment. The Board of Counseling Professionals	
	Licensure within the Department of Professional and Financial	
23	Regulation as established by Title 5, section 12004-A, subsection 9-A, shall carry out the purposes of this chapter.	
25	<u>y-a, sudir carry out the purposes or this thapter.</u>	
	2. Members. The board shall consist of 8 members appointed	
27	by the Governor. Each member shall be a citizen of the United States and a resident of this State. Six members shall be	
29	licensed counseling professionals under this chapter, 2 of whom	
	shall be professional counselors, 2 shall be clinical	
31	professional counselors and 2 shall be marriage and family therapists. Each member shall have been, for at least 5 years	
33	immediately preceding appointment, actively engaged as a	
	practitioner, educator or researcher. Two members shall be	
35	representatives of the general public.	
37	3. Timetable. The Governor shall make initial appointments	
	by January 1, 1990. The initial appointees, with the exception	
39	of the representatives of the general public, shall be licensed in accordance with this chapter following their appointment and	
41	qualification as members of the board. The board shall elect a	
4.5	chair and secretary at its first meeting of each year, provided	
43	that no person may serve as chair for more than 3 years. The commissioner shall call the first meeting of the board which	
45	shall take place within 30 days of the completion of the	
47	appointments of the initial members.	
47	4. Terms of office. Of the first board members, the	
49	Governor shall appoint 3 for a term of one year; 3 for 2 years;	
	and 2 for 3 years. Their successors shall be appointed for terms	
51	of 3 years each, except that any person chosen to fill a vacancy shall be appointed only for the unexpired term of the board	
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 member to be succeeded. Upon the expiration of the term of office, a board member shall continue to serve until a successor
 has been appointed and gualified. No person may be appointed for more than 2 consecutive terms.

5. Removal. The Governor may remove any member of the 7 board for cause.

9 6. Compensation. Members of the board shall be compensated according to the provisions of Title 5. chapter 379. provided
 11 that expenses do not exceed the fees collected by the board. If the fees to be collected under this chapter are insufficient to
 13 pay the expenses provided by this section, the board members shall be entitled to a pro rata payment in any years in which
 15 those fees are insufficient.

 17 7. Meetings: guorum. The board shall hold at least 2 regular meetings each year. Additional meetings may be held upon
 19 the call of the chair or the secretary or upon the written reguest of any 2 board members. Five members of the board
 21 constitute a guorum.

23 §13853. Powers and duties of the board

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25 The board shall have the following powers and duties in addition to all other powers and duties otherwise set forth in 27 this chapter.

29 1. Standards. The board shall administer and enforce this chapter, set forth education and examination standards and
 31 evaluate the gualifications for licensure.

 2. Rules. The board may adopt, in accordance with the Maine Administrative Procedure Act, Title 5, chapter 375, rules
 necessary to carry out the purposes of this chapter.

 37 3. Complaints. The board shall investigate or cause to be investigated all complaints made on its own motion or on written
 39 complaint filed with the board and all cases of noncompliance with or violation of this chapter or any rules adopted by the
 41 board.

43 <u>4. Records. The board shall keep records and minutes as</u> are necessary to the ordinary dispatch of its functions.

5. Reports. The board shall submit to the commissioner its 47 annual report of its operations for the preceding fiscal year no later than August 1st of each year.

<u>6. Contracts.</u> The board may enter into contracts to carry
 <u>out its responsibilities under this chapter.</u>

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1	7. Budget. The board shall submit to the commissioner its
3	<u>budgetary requirements in the same manner provided in Title 5,</u> section 1665.
5	8. Register. The department shall make available, at cost, a register that shall contain the names of all individuals
7	licensed by the board.
9	<u>9. Employees. The commissioner may appoint, subject to the Civil Service Law, such employees as may be necessary to carry</u>
11	out this chapter. Any person so employed shall be in the department and under the administrative and supervisory direction
13	of the commissioner.
15	10. Officers. The board shall elect from among its members a secretary and other officers as it deems necessary. The
17	secretary shall keep records and minutes of all activities and meetings.
19	11, Code of ethics. The board shall adopt a code of ethics
21	generally in keeping with standards established by the national professional associations concerned with the areas of board
23	responsibility.
25	12. Hearings. The board shall conduct hearings to assist with investigations and to determine whether grounds exist for
27	suspension, revocation or denial of a license, or as otherwise deemed necessary to the fulfillment of its responsibilities under
29	this chapter.
31	13. Disclosure statements. Under this chapter all licensees shall be required to provide disclosure statements
33	prior to treatments. The board may adopt, by rule, a standard disclosure statement. This disclosure statement shall include,
35	but not be limited to, the name and address of the licensee, the original date and the expiration date of the license, the
37	proposed course of treatment and financial arrangements for clients.
39	The board shall not refuse to renew a license for any reason
41	other than failure to pay a required fee, unless it has afforded the licensee an opportunity for an adjudicatory hearing. The
43	board shall hold an adjudicatory hearing at the written request of any person who is denied a license without a hearing for any
45	reason other than failure to pay a required fee, provided that the request for a hearing is received by the board within 30 days
47	of the applicant's receipt of a written notice of the denial of the application, the reasons therefor and the right to request a
49	hearing. Hearings shall be conducted in conformity with the
51	Maine Administrative Procedure Act, Title 5, chapter 375, subchapter IV, to the extent applicable.

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1 §13854. Licensing

3	 Licensing required. Effective October 1, 1990, no person, unless specifically exempted by this chapter, may
5	practice counseling or profess to be a clinical professional
_	counselor, professional counselor, marriage and family therapist,
7	or conditional license holder unless licensed in accordance with
•	this chapter.
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11	 Individual licensing. Only an individual may be licensed under this chapter.
13	<u>3. Violation; injunction. Any person who violates this chapter is guilty of a Class E crime. The State may bring an</u>
15	action in Superior Court to enjoin any person from violating this
	<u>chapter, regardless of whether proceedings have been or may be</u>
17	instituted in the Administrative Court or whether criminal
	proceedings have been or may be instituted.
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	<u>§13855. Psychological testing; assessment services</u>
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	Nothing in this chapter may be construed as permitting
23	clinical professional counselors, professional counselors,
	marriage and family therapists, or conditional license holders to
25	hold themselves out to the public as psychological examiners as
	defined in section 3811, subsection 1, or to offer primarily or
27	solely the services of psychological testing. The board shall
	adopt ethical standards relating to the utilization of assessment
29	techniques.
31	§13856. Exemptions to licensure
33	1. Other professionals. Nothing in this chapter may be
	construed to apply to the activities and services of members of
35	other professions licensed, certified or registered by the State
55	such as, but not limited to, psychiatrists, physicians,
37	psychologists, social workers and substance abuse counselors
57	performing counseling consistent with the laws of the State
39	governing their practices.
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41	2. Governmental employees. Nothing in this chapter may be
47	construed to apply to the activities and services of an employee
43	or other agent of a recognized academic institution; employee
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45	assistance program; a federal, state, county or local government
45	institution, program, agency or facility; or a school committee,
	school district, school board or board of trustees, provided that
47	the individual is performing those activities solely within the
	agency or under the jurisdiction of that agency and provided
49	further that a license granted under this chapter is not a
	requirement for employment.
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	3. Clergy. Nothing in this chapter may be construed to
3	apply to the activities and services of any priest, rabbi, clergyman or minister of the gospel of any religious denomination
5	when performing counseling services as part of religious duties
5	and in connection with a specific synagogue or church of any
7	religious denomination.
•	4. Interns. Nothing in this chapter may be construed to
9	apply to the activities and services of a student, intern or trainee in counseling or marriage and family therapy pursuing a
11	course of study in counseling or marriage and family therapy in a regionally accredited institution of higher education or training
13	institution, if these activities are performed under supervision and constitute a part of the supervised course of study.
15	and constitute a part of the supervised course of study.
	5. Lecturers; consultants. Nothing in this chapter may be
17	<u>construed to apply to the activities and services of visiting</u> <u>lecturers or the occasional services of gualified consultants</u>
19	from outside the State, or the use of occasional services of organizations from outside the State employing qualified
21	counselors.
23	6. Peer groups; self-help groups. Nothing in this chapter
25	shall be construed to prevent members of peer groups or self-help
25	groups from performing peer counseling solely in the context of the peer groups or self-help groups.
27	
29	<u>§13857. Comity</u>
	The board may waive examination for an applicant licensed or
31	certified as a professional in counseling, clinical counseling or
33	marriage and family therapy or a comparable field by another state whose requirements are determined by the board to be at
	least equivalent to those requirements in this chapter.
35	
37	<u>§13858. Requirements for licensure</u>
	To be eligible for a license to practice counseling at any
39	level, an applicant shall be at least 18 years of age and shall
41	<u>satisfactorily pass any examination as the board may prescribe by</u> its rules. Each applicant shall demonstrate trustworthiness and
	competence to engage in the practice of counseling in such a
43	manner as to safeguard the interests of the public.
45	The following shall be considered as minimum evidence
47	satisfactory to the board that an applicant is qualified for
47	licensure under this chapter.
49	1. Licensed professional counselor, To be qualified as a
51 _	licensed professional counselor, an applicant shall have demonstrated to the satisfaction of the board adherence to the
	ethics of the counseling profession, shall have successfully

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completed the examination prescribed by the board and shall have ŝ. 3 <u>received:</u> <u>A master's degree in counseling or an allied mental</u> <u>A.</u> 5 health field from an accredited institution or program approved by the board. Such schooling shall have included a 7 minimum core curriculum as adopted by the board; and 9 B. Two years of experience after obtainment of a master's degree with a minimum of 2,000 hours of supervised 11 experience. 13 2. Licensed clinical professional counselor. To be qualified as a licensed clinical professional counselor, an applicant shall have demonstrated to the satisfaction of the 15 board adherence to the ethics of the counseling profession, shall have successfully completed the examination prescribed by the 17 board and shall have received: 19 A. A master's degree in counseling or an allied mental 21 health field from an accredited institution or program approved by the board. Such schooling shall include a 23 minimum core curriculum, as adopted by the board, of at least 45 semester hours; and 25 B. Two years of experience after obtainment of a master's 27 degree, to include at least 3,000 hours of supervised clinical experience with a minimum of 100 hours of personal 29 supervision. 31 3. Licensed marriage and family therapist. To be qualified as a licensed marriage and family therapist, an applicant shall 33 have demonstrated to the satisfaction of the board adherence to the ethics of the counseling profession, shall have successfully completed the examination prescribed by the board and shall have 35 received: 37 A. A master's degree in marriage and family therapy or its equivalent from an accredited institution or program 39 approved by the board. Such schooling shall have included a 41 minimum core curriculum to include a one-year clinical practicum adopted by the board; and 43 B. Two years of experience after obtainment of a master's 45 degree comprised of at least 1,000 hours of direct clinical contact with couples and families and 200 hours of 47 supervision, at least 100 of which shall be individual supervision. 49 4. Supervision. Supervision may be provided by a qualified and duly certified or licensed counseling professional, clinical 51

1 social worker, psychologist or psychiatrist. Any other supervisor must be individually approved by the board.
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 5. Examination. All applicants shall be required to pass a
 written examination in subjects the board deems necessary to determine the fitness of the applicant to practice. The board
 shall establish the passing score for all examinations. Examinations will be held at such times as the board deems
 necessary.

11 §13859. Fees

 Amount. Application and examination fees may be established by the board in amounts which are reasonable and necessary for their respective purposes. License fees may not exceed the following amounts.

- <u>A. Original and renewal fees for clinical professional counselor, professional counselor or marriage and family counselor \$300 biennially.</u>
- <u>B. Original and renewal fees for conditional counselor -</u> **\$150 biennially.**
- 25 §13860. Terms of licenses

 27 1. Biennial renewal. Licenses shall expire biennially on December 31st or on such other date as the commissioner
 29 determines. Notice of expiration shall be mailed to each licensee's last known address at least 30 days in advance of the
 31 expiration of the license. The notice shall include any requests for information necessary for renewal.

Licenses may be renewed up to 90 days after the date of expiration upon payment of a late fee of \$10 in addition to the renewal fee. Any person who submits an application for renewal more than 90 days after the license renewal date shall be subject to all requirements governing new applicants under this chapter. except that the board may, giving due consideration to the protection of the public, waive examination if that renewal examination is made within 2 years from the date of that expiration.

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 2. Continuing education. The board, by rule, may establish
 45 continuing education requirements. Applicants for renewal of license must show proof of satisfying the continuing education
 47 requirements set forth by the board.

- 49 §13861. Disciplinary action grounds; procedure; complaints
- 51 **1. Grounds.** The board may suspend, revoke or refuse to renew a license pursuant to Title 5, section 10004. In addition,

L. the board may take any other action pursuant to Title 10, section 8003, subsection 5. The board's actions may be taken based on n. 08 3 any of the following grounds: 5 A. The practice of fraud or deceit in obtaining a license under this chapter or in connection with service rendered 7 within the scope of the license issued; 9 B. Habitual intemperance in the use of alcohol or the habitual use of narcotic, hypnotic or other drugs listed as controlled substances by the drug enforcement 11 administration, which use has resulted in the licensee being 13 unable to perform duties or perform those duties in a manner which would endanger the health or safety of the patients to 15 be served; 17 C. A medical finding of mental incompetency; 19 D. Aiding or abetting a person not duly licensed under this chapter who represents that person as being so; 21 E. Incompetence in the practice of counseling. A licensee shall be deemed incompetent in the practice if the licensee 23 has engaged in conduct which evidences a lack of ability or 25 fitness to discharge the duty owed by the licensee to a client, patient or the general public, or has engaged in 27 conduct which evidences a lack of knowledge or inability to apply principles or skills to carry out the practice for 29 which that person is licensed; 31 F. Unprofessional conduct, which is the violation of any standard of professional behavior or code of ethics adopted by the board; 33 G. Subject to the limitations of Title 5, chapter 341, 35 conviction of a crime that involves dishonesty or false 37 statement or that relates directly to the practice of counseling, or conviction of any crime for which 39 incarceration for one year or more may be imposed: H. The licensee has had any professional or occupational 41 license revoked for disciplinary reasons, or any application rejected for reasons relating to untrustworthiness, within 3 43 years of the date of application; or 45 I. Violation of any provisions of this chapter or any rule of the board. 47 2. Procedure. Except as provided in Title 5, section 49 10004, no license may be denied, suspended, or revoked nor 51 renewal refused for the reasons set forth in subsection 1, without prior written notice and opportunity for hearing on that

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1	denial, suspension or revocation. The burden of proof shall be		
[,] 3	<u>on the board in any proceeding to suspend or revoke a license.</u> No license may be denied, suspended or revoked under this section		
5	except by majority vote of the board.		
	3. Complaints. Any person may file a complaint with the		
7	<u>board seeking disciplinary action against the holder of a license</u> issued by the board. Complaints shall be in writing in a form		
9	prescribed by the board by rule. If the board determines that a complaint alleges facts that, if true, would require denial,		
11	revocation, suspension, nonrenewal of a license or other disciplinary action, the board shall conduct a hearing pursuant		
13	to the Maine Administrative Procedure Act, Title 5, chapter 375. Whenever the board establishes that a complaint does not state		
15	facts that warrant a hearing, the complaint may be dismissed.		
17	<u>Persons making complaints shall be advised in writing of each</u> formal decision made by the board regarding that complaint.		
19	Any individual whose license has been denied, suspended or		
21	revoked may apply to the board for licensure reinstatement one year after the date of the board's original action. A competency		
23	<u>review shall be a condition of reinstatement. The board shall determine the nature of this review.</u>		
25	The board shall conduct its proceedings in accordance with the		
27	provisions of Title 5, chapter 375, subchapter IV.		
21	§13862. Privileged communication		
29			
31	Except at the request or consent of the client, no person licensed under this chapter may be required to testify in any		
31	licensed under this chapter may be required to testify in any		
31 33	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have		
33	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family		
	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual		
33	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When		
33 35	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When the physical or mental condition of the client is an issue in that action, suit or proceeding or when a court in the exercise		
33 35 37	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When the physical or mental condition of the client is an issue in that action, suit or proceeding or when a court in the exercise of sound discretion deems the disclosure necessary to the proper administration of justice, no information communicated to or		
33 35 37 39	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When the physical or mental condition of the client is an issue in that action, suit or proceeding or when a court in the exercise of sound discretion deems the disclosure necessary to the proper		
33 35 37 39 41 43	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When the physical or mental condition of the client is an issue in that action, suit or proceeding or when a court in the exercise of sound discretion deems the disclosure necessary to the proper administration of justice, no information communicated to or otherwise learned by that licensed person in connection with the		
33 35 37 39 41	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When the physical or mental condition of the client is an issue in that action, suit or proceeding or when a court in the exercise of sound discretion deems the disclosure necessary to the proper administration of justice, no information communicated to or otherwise learned by that licensed person in connection with the provision of counseling or marriage and family therapy services may be privileged and disclosure may be required.		
33 35 37 39 41 43	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When the physical or mental condition of the client is an issue in that action, suit or proceeding or when a court in the exercise of sound discretion deems the disclosure necessary to the proper administration of justice, no information communicated to or otherwise learned by that licensed person in connection with the provision of counseling or marriage and family therapy services may be privileged and disclosure may be required. Nothing in this section may prohibit disclosure by a person licensed under this chapter of information concerning a client		
33 35 37 39 41 43 45	licensed under this chapter may be required to testify in any civil or criminal action, suit or proceeding at law or in equity respecting any information that the person licensed may have acquired in providing counseling services or marriage and family therapy services to the client in a professional and contractual capacity if that information was necessary to enable the licensee to furnish professional counseling services to the client. When the physical or mental condition of the client is an issue in that action, suit or proceeding or when a court in the exercise of sound discretion deems the disclosure necessary to the proper administration of justice, no information communicated to or otherwise learned by that licensed person in connection with the provision of counseling or marriage and family therapy services may be privileged and disclosure may be required.		

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1	determining eligibility for or entitl	
3	all records relating to the diagn services provided to any persons	, including a minor or
5	incompetent, for which coverage, be claimed as long as the policy or c	ertificate under which the
7	claim is made provides that acce permitted. This section may not be (construed to prevent access
9	to any records pursuant to any peer r procedures applied and implemented in c	
11	Sec. 4. Allocation. The following Other Special Revenue funds to carry or	funds are allocated from
13	Other Special Revenue lunds to carry of	1989-90 1990-91
15	PROFESSIONAL AND FINANCIAL	
17	REGULATION, DEPARTMENT OF	
19	Division of Licensing and Enforcement	
21	Personal Services	\$3,780
23	All Other	16,220
25	TOTAL	\$20,000
27	Authorizes the Division of Licensing and Enforcement to	
29	pay for the start-up costs of the Board of Counseling	
31	Professionals Licensure from the available revenues of the	
33	division. The Board of	
35	Counseling Professionals Licensure will reimburse the division when sufficient	
37	revenues are collected by the board.	·
39	Board of Counseling Professionals	
41	Licensure	
43	Positions	(1.0) \$ 26,672
45	Personal Services All Other	33,328
47	Capital Expenditures	\$70,000
49	TOTAL	\$70,000

COMMITTEE AMENDMENT "A" to H.P. 684, L.D. 936 1 Allocates funds for the expenses of the board, including funds to reimburse 3 the Division of Licensing and 5 Enforcement. DEPARTMENT OF PROFESSIONAL AND 7 FINANCIAL REGULATION TOTAL \$20,000 9 \$70,000 Sec. 5. Sunset. This Act shall be repealed effective October 11 1, 1999. 13 **FISCAL NOTE** 15 This bill will result in an increase of dedicated revenue to 17 the Board of Counseling Professionals Licensure of approximately \$70,000 in fiscal year 1990-91. Approximately \$20,000 of the 19 additional 1990-91 revenue will be transferred to the Division of Licensing and Enforcement to reimburse the division for start-up 21 costs incurred in fiscal year 1989-90.' 23 25 STATEMENT OF FACT 27 This amendment changes the original intent of the bill from 29 a registration act to a strict licensure act, which will prohibit practice in this State by those individuals not licensed by the Board of Counseling Professionals Licensure and makes it a Class 31 E crime to do so. 33 The amendment more clearly defines the responsibilities of the board, restructures the board to make it more manageable, 35 license categories and more clearly defines the various requirements and, lastly, details more clearly the exemptions to 37 licensure. 39 The amendment adds an allocation and a fiscal note that 41 indicates that start-up costs for the board will be loaned to the board from existing revenues of the department to be repaid when 43 licensing begins and fee money becomes available. 45 In compliance with the Maine Revised Statutes, Title 5, section 12015, subsection 3, paragraphs A to G, the following is 47 a concise report addressing specific issues involved in the establishment of a professional licensing board: 49

 1. The committee heard testimony that there are many documented cases of individuals proclaiming themselves to be professional counselors who have little or no training in the profession. This has often led to tragic results such as divorce, sexual improprieties and suicide. Also, recently publicized cases in Maine have demonstrated the damage that can occur through such improprieties.

9 Persons seeking help with their emotional problems are under significant emotional stress. Also, being under emotional
11 stress, the consumer is clearly not in the best position to make informed and careful decisions on choosing one professional over
13 another. The emotional stress may also make it difficult if not impossible for the consumer to extricate himself or herself from
15 a substandard professional relationship.

17 2. Many psychologically abusive and unprofessional acts are not categorized as criminal behaviors, leaving the courts with
19 little or no power to protect the consumer from the unethical counselor. Civil cases are costly and lengthy, and the personal
21 nature of the issues involved often discourage public trial.

3. Since some credentialing bodies are unregulated, and it is often possible to purchase credentials, membership in professional organizations alone does not guarantee competence. The State Government exists to serve the public interest and, therefore, consumers can rely upon state licensure to indicate both a level of professional competence as well as to provide recourse if the licensee fails to act in an ethical and professional manner.

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 The several professional organizations involved all do
 have strict codes of ethics governing the behavior of their members. However, often those who would violate such ethical
 standards avoid memberships in these voluntary associations. Furthermore, a lack of membership in these organizations is no
 barrier to proclaiming oneself an expert professional in the field. Thus, one can be censured or one's membership terminated
 from a national voluntary organization and still continue to practice in this State.

41

The standards proposed meet the guidelines set forth by 5. 43 national professional organizations cited above. These the organizations combined have set the standards for counselor and 45 therapist licensing in over 30 states. This proposed bill is closely modeled after that recently enacted in the state of 47 Massachusetts. The bill further states that the board has the ability to amend and establish new standards as appropriate and 49 in compliance with pertinent legislation.

7

 6. The board shall consist of 8 members appointed by the Governor who meet the following qualifications: six members
 3 shall be licensed practicing counseling professionals, and 2 members shall be representatives of the general public who have
 5 no direct affiliation with the practice of professional counseling.

7. This bill will assure that those individuals calling themselves licensed professional counselors and licensed marriage 9 and family therapists will have met the educational and 11 experiential standards set by their respective professions. State licensing provides the public with an easily recognizable standard for choosing a counselor, as well as direct and 13 effective recourse in the event of inappropriate behavior on the part of the licensed professional. The regulatory board shall 15 publish and make available to consumers a list of names and 17 addresses of all persons licensed under this law.

Reported by the Majority of the Committee on Business Legislation Reproduced and distributed under the direction of the Clerk of the House (Filing No. H-541)

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