# MAINE STATE LEGISLATURE

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## 114th MAINE LEGISLATURE

### FIRST REGULAR SESSION - 1989

### Legislative Document

No. 84

S.P. 84

In Senate, February 2, 1989

Reported by Senator ERWIN of Oxford for the Special Commission on Boating pursuant to Resolve 1987, chapter 111.

Reference to the Committee on Legal Affairs suggested and ordered printed pursuant to Joint Rule 18.

JOY J. O'BRIEN

Secretary of the Senate

#### STATE OF MAINE

IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-NINE

An Act to Amend the Laws Governing the Operation of a Watercraft While Under the Influence.



Be it enacted by the People of the State of Maine as follows:	
Sec. 1. 12 MRSA §7801, sub-§9, as amended by PL 1981, c. 69 §74, is repealed and the following enacted in its place:	В,
9. Operating watercraft while under the influence or wi	
excessive blood-alcohol level. A person is guilty of a crimin	
<u>violation if that person operates or attempts to operate a watercraft:</u>	<u>17</u>
watercraft:	
A. While under the influence of intoxicating liquor	or
drugs or a combination of liquor and drugs; or	
B. While having 0.08% or more by weight of alcohol in th	<u>at</u>
person's blood.	
Sec. 2. 12 MRSA §7801, sub-§9-A is enacted to read:	
9-A. Failure to comply with duty to submit. A person	<u>i.s</u>
guilty of failure to comply with the duty to submit to a	
complete a blood-alcohol test under section 7802 if that pers	
refuses to submit to or fails to complete a blood-alcohol te	<u>st</u>
when requested to do so by a law enforcement officer:	
A. Who has probable cause to believe that the pers	o n
operated or attempted to operate a watercraft while und	
the influence of intoxicating liquor; or	
B. When the person was the operator of a watercra	<u>ft</u>
involved in a watercraft accident which results in the dea	<u>th</u>
of any person, as provided in section 7912, subsection 11.	
Sec. 3. 12 MRSA §7802 is enacted to read:	
§7802. Implied consent to chemical tests	
Any person who operates or attempts to operate a watercra	ft
within this State shall have the duty to submit to a test	
<u>determine that person's blood-alcohol level by analysis of blo</u>	<u>od</u>
or breath, if there is probable cause to believe that person h	
<u>operated or attempted to operate a watercraft while under t</u>	
influence of intoxicating liquor. The duty to submit to	
blood-alcohol test includes the duty to complete either a blo	
or breath test. Tests and procedures applicable in determini	
whether a person is under the influence are governed by secti 7912.	תכ
1716.	
Sec. 4. 12 MRSA §7901, sub-§§13 and 14 are enacted to read:	
13. Penalties for operating or attempting to operate	<u>a</u>
watercraft while under the influence or with an excessi	<u>ve</u>
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. 1	subsection 9, is a Class D crime. In determining an appropriate
3	sentence, refusal to submit to a chemical test shall in every
3	case be an aggravating factor. In the following cases the
_	following minimum penalties shall apply.
, 5	A Frank of provided in paragraph P in the case of a
7	A. Except as provided in paragraph B, in the case of a
′	person having no previous convictions of a violation of
	section 7801, subsection 9, and having no previous
9	adjudications of failure to comply with the duty to submit
	to and complete a blood-alcohol test under section 7802,
11	within a 6-year period, the fine shall not be less than
	\$300. Beginning July 1, 1990, the penalties provided in
13	this paragraph may not be suspended.
15	B. In the case of a person having no previous convictions
	of a violation of section 7801, subsection 9, and having no
17	previous adjudications of failure to comply with the duty to
	submit to and complete a blood-alcohol test under section
. 19	7802, within a 6-year period, the fine shall not be less
	than \$300 and the sentence shall include a period of
21	incarceration of not less than 48 hours, which penalties may
	not be suspended, when the person:
23	
	(1) Was tested as having a blood-alcohol level of
25	0.15% or more;
27	(2) Failed or refused to stop upon request or signal
	of an officer in uniform, as defined in section 6953 or
29	7060, during the operation which resulted in
	prosecution for operating under the influence or with a
31	blood-alcohol level of 0.08% or more; or
33	(3) Failed to submit to a chemical test to determine
	that person's blood-alcohol level, at the request of a
35	law enforcement officer on the occasion which resulted
	in the conviction.
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	C. In the case of a person having one previous conviction
39	of a violation of section 7801, subsection 9, or one
	previous adjudication of failure to comply with the duty to
41	submit to and complete a blood-alcohol test under section
	7802, within a 6-year period, the fine shall not be less
43	than \$500 and the sentence shall include a period of
+0	incarceration of not less than 7 days, which penalties may
45	not be suspended.
13	not be suspended.
47	D. In the case of a menson begins 2 on more previous
<b>T</b> /	D. In the case of a person having 2 or more previous
	convictions of violations of section 7001 subsection 0
40	convictions of violations of section 7801, subsection 9, or
49	adjudications of failure to comply with the duty to submit
49 51	

<b>1</b>	and the sentence shall include a period of incarceration of not less than 30 days, which penalties may not be suspended.
3	E. In addition to the penalties provided under paragraphs C
5	and D, the court may order the defendant to participate in the alcohol and other drug education, evaluation and
7	treatment programs for multiple offenders administered by
9	the Department of Human Services, as defined in Title 22, chapter 1602.
11	F. The penalties provided under paragraphs B, C, D and, beginning July 1, 1990, paragraph A, shall not be suspended
13	by the court.
15 17	G. If the State pleads and proves that, while operating a watercraft in violation of this section, the actor in fact caused serious bodily injury as defined in Title 17-A,
	section 2, subsection 23, to another person or in fact
19	caused the death of another person, the sentencing class for
	the offense in section 7801, subsection 9, is a Class C
21	crime. The minimum penalties specified in this subsection
	shall apply, but the minimum period of suspension shall be
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23	18 months unless a longer minimum period otherwise applies.
25	The alternatives defined in section 7801, subsection 9,
1,50	paragraphs A and B may be pleaded in the alternative. The State
27	may, but shall not be required to, elect prior to submission to
	the fact finder.
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- •	For purposes of this section, a prior conviction has occurred
31	within the 6-year period if the date of docket entry by the clerk
31	•
	of a judgment of conviction or adjudication is 6 years or less
33	from the date of the new conduct which is penalized or for which
	the penalty is or may be enhanced.
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	In determining the appropriate sentence, the court shall consider
37	the defendant's record of convictions for operating under the
	influence and for failure to comply with the duty to submit. The
39	court may rely upon oral representations based on records
	maintained by the courts, by the State Bureau of Identification,
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41	by the Secretary of State, including telecommunications of
	records maintained by the Secretary of State, or by the
43	Department of Inland Fisheries and Wildlife. If the defendant
	disputes the accuracy of any representation concerning a
45	conviction or adjudication, the court shall grant a continuance
	for the purposes of determining the accuracy of the record.
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	References in this Title to this section shall be determined also
49	to refer to the juvenile crime stated in Title 15, section 3103,
	subsection 1, paragraph E and to the disposition, including a
E 1	suspension for that juvenile drime as provided in Title 15

	pt when the context clearly requires otherwise.
The	14. Penalties for failure to comply with duty to submit. offense defined in section 7801, subsection 9-A, is a civil
	ation for which a forfeiture of up to \$500 may be adjudged.
	Sec. 5. 12 MRSA §7912 is enacted to read:
3791	<ol> <li>Tests and procedures for operating under the influence or with an excessive blood-alcohol level</li> </ol>
	1. Blood or breath test. If the law enforcement officer probable cause to believe a person operated or attempted to
liqu	ate a watercraft while under the influence of intoxicating or, then the officer shall inform the person that a breath
offi	will be administered, unless, in the determination of the cer, it is unreasonable for a breath test to be administered,
test	hich case a blood test shall be administered. When a blood is required, the test may be administered by a physician of
reas	accused's choice, at the request of the accused and if onably available. The law enforcement officer may determine
whic.	h type of breath test, as described in subsection 5, is to be
<u>admi</u>	nistered.  2. Prerequisites to tests. Before any test is given, the
that	enforcement officer shall inform the person to be tested, if that person fails to comply with the duty to submit to
	complete a blood-alcohol test to determine the level of d-alcohol at the direction of the officer, that person will
	committing a civil violation for which the person may be ired to pay a civil forfeiture of up to \$500. The officer
	l also inform the person that the failure to comply with the to submit to a blood-alcohol test shall be admissible in
	ence against that person at any trial for operating under the uence of intoxicating liquor.
No t	est results may be excluded as evidence in any proceeding
	re any administrative officer or court of this State as a It of the failure of the law enforcement officer to comply
	these prerequisites. The only effects of the failure of the cer to comply with the prerequisites shall be as provided in
	ection 7.
submi	3. Results of test. Upon the request of the person who
enfor	cement officer, full information concerning the test or shall be made available to that person or that person's
	rney by the law enforcement officer.

Blood-alcohol level. The following percentages by 1 weight of alcohol in the defendant's blood shall have the following evidentiary effect. 5 A. If there was, at the time alleged, 0.05% or less by weight of alcohol in the defendant's blood, it is prima 7 facie evidence that the defendant was not under the influence of intoxicating liquor. 9 If there was, at the time alleged, in excess of 0.05% 11 but less than 0.08% by weight of alcohol in the defendant's blood, it is relevant evidence, but it is not to be given 13 prima facie effect in indicating whether or not the defendant was under the influence of intoxicating liquor 15 within the meaning of this section, but that fact may be considered with other competent evidence in determining whether or not the defendant was under the influence of 17 intoxicating liquor. 19 C. For purposes of evidence in proceedings other than those arising under section 7801, subsection 9, it shall be 21 presumed that a person was under the influence of 23 intoxicating liquor when that person has a blood-alcohol level of 0.08% or more by weight. 25 D. Percent by weight of alcohol in the blood shall be based 27 upon grams of alcohol per 100 hundred milliliters of blood. 29 5. Administration of tests. Persons conducting analyses of blood or breath for the purpose of determining the blood-alcohol level shall be certified for this purpose by the Department of 31 Human Services under certification standards to be set by that 33 department. 35 Only a duly licensed physician, registered physician's assistant, registered nurse or a person certified by the Department of Human 37 Services under certification standards to be set by that department, acting at the request of a law enforcement officer, 39 may draw a specimen of blood to determine the blood-alcohol level of a person who is complying with the duty to submit to a blood-alcohol test. This limitation shall not apply to the 41 taking of breath specimens. When a person draws a specimen of blood at the request of a law enforcement officer, that person 43 may issue a certificate which states that the person is in fact a duly licensed or certified person as required by this paragraph 45 and that the person followed the proper procedure for drawing a specimen of blood to determine the blood-alcohol level. That 47 certificate, when duly signed and sworn to by the person, shall be admissible in evidence in any court of the State. It is prima 49 facie evidence that the person was duly licensed or certified and that the person followed the proper procedure for drawing a 51

specimen of blood to determine the blood-alcohol level, unless,

- with 10-days' written notice to the prosecution, the defendant requests that the person testify as to licensure or certification, or the procedure for drawing the specimen of blood.
- A law enforcement officer may take a sample specimen of the breath of any person whom the officer has probable cause to believe operated or attempted to operate a watercraft while under the influence of intoxicating liquor and who is complying with the duty to submit to and complete a blood-alcohol test. The sample specimen shall be submitted to the Department of Human Services or a person certified by the Department of Human Services for the purpose of conducting chemical tests of the
- Services for the purpose of conducting chemical tests of the sample specimen to determine the blood-alcohol level of that sample.

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Only equipment approved by the Department of Human Services shall 17 be used by a law enforcement officer to take a sample specimen of the defendant's breath for submission to the Department of Human 19 Services or a person certified by the Department of Human Services for the purpose of conducting tests of the sample 21 specimen to determine the blood-alcohol level of that sample. Approved equipment shall have a stamp of approval affixed by the Department of Human Services. Evidence that the equipment was in 23 a sealed carton bearing the stamp of approval shall be accepted in court as prima facie evidence that the equipment was approved 25 by the Department of Human Services for use by the law 27 enforcement officer to take the sample specimen of the defendant's breath.

- As an alternative to the method of breath testing described in 31 this subsection, a law enforcement officer may test the breath of any person, whom the officer has probable cause to believe 33 operated or attempted to operate a watercraft while under the influence of intoxicating liquor, by use of a self-contained, 35 breath-alcohol testing apparatus to determine the blood-alcohol level, provided the testing apparatus is reasonably available. 37 The procedures for the operation and testing of self-contained, breath-alcohol testing apparatuses shall be as provided by rule 39 adopted by the Department of Human Services. The result of any such test shall be accepted as prima facie evidence of the 41 blood-alcohol level in any court.
- Approved self-contained, breath-alcohol testing apparatuses shall have a stamp of approval affixed by the Department of Human Services after periodic testing. That stamp of approval shall be valid for a limited period of no more than one year. Testimony or other evidence that the equipment was bearing the stamp of approval shall be accepted in court as prima facie evidence that the equipment was approved by the Department of Human Services for use by the law enforcement officer to collect and analyze a sample specimen of the defendant's breath.

Failure to comply with any provision of this subsection or with any rule adopted under this subsection shall not, by itself, result in the exclusion of evidence of blood-alcohol level, unless the evidence is determined to be not sufficiently reliable.

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Testimony or other evidence that any materials used in operating or checking the operation of the equipment were bearing a statement of the manufacturer or of the Department of Human Services shall be accepted in court as prima facie evidence that the materials were of a composition and quality as stated.

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A person certified by the Maine Criminal Justice Academy, under certification standards to be set by the academy, as qualified to operate approved self-contained, breath-alcohol testing apparatuses may operate those apparatuses to collect and analyze a sample specimen of a defendant's breath.

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6. Liability. No physician, physician's assistant, registered nurse, person certified by the Department of Human Services or hospital or other health care provider in the exercise of due care may be liable in damages or otherwise for any act done or omitted in performing the act of collecting or withdrawing specimens of blood at the request of a law enforcement officer pursuant to this section.

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7. Evidence. The percentage by weight of alcohol in the defendant's blood at the time alleged, as shown by the chemical analysis of the defendant's blood or breath or by results of a self-contained, breath-alcohol testing apparatus authorized by subsection 5, shall be admissible in evidence.

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When a person, certified under subsection 5, conducts a chemical analysis of blood or breath to determine blood-alcohol level, the 33 person may issue a certificate stating the results of the 35 analysis. That certificate, when duly signed and sworn to by the certified person, shall be admissible in evidence in any court of 37 the State. It shall be prima facie evidence that the person taking a specimen of blood was a person authorized by subsection 5; that the equipment, chemicals and other materials used in the 39 taking of the blood specimen or a breath sample were of a quality 41 appropriate for the purpose of producing reliable test results; that any equipment, chemicals or materials required by subsection 5 to be approved by the Department of Human Services were in fact 43 approved; that the sample tested by the person certified under 45 subsection 5 was in fact the same sample taken from the defendant; and that the percentage by weight of alcohol in the defendant's blood was, at the time the blood or breath sample was 47 taken, as stated in the certificate, unless with 10-days' written 49 notice to the prosecution, the defendant requests that a qualified witness testify as to any of the matters as to which 51 the certificate constitutes prima facie evidence. The notice

1 shall specify those matters concerning which the defendant requests testimony. 3 A person certified under subsection 5, as qualified to operate a 5 self-contained, breath-alcohol testing apparatus to determine the blood-alcohol level, may issue a certificate stating the results of the analysis. That certificate, when duly signed and sworn to 7 by the certified person, shall be admissible in evidence in any court of the State. It shall be prima facie evidence that the 9 percentage by weight of alcohol in the defendant's blood was, at the time the breath sample was taken, as stated in the 11 certificate, unless, with 10-days' written notice to the prosecution, the defendant requests that the operator or other 13 qualified witness testify as to the results of the analysis. 15 Transfer of sample specimens to and from a laboratory for purposes of analysis may be by certified or registered mail and, 17 when so made, shall be deemed to comply with all requirements regarding the continuity of custody of physical evidence. 19 21 The failure of a person to comply with the duty to submit to and complete a blood-alcohol test under section 7802 shall be admissible in evidence on the issue of whether that person was 23 under the influence of intoxicating liquor. If the law enforcement officer having probable cause to believe that the 25 person operated or attempted to operate a watercraft under the influence of intoxicating liquor fails to give either of the 2.7 warnings required under subsection 2, the failure of the person to comply with the duty to submit to a blood-alcohol test shall 29 not be admissible, except when a test was required pursuant to 31 subsection 11. If a failure to submit to and complete a blood-alcohol test is not admitted into evidence, the court may inform the jury of the fact that no test result is available. 33 35 If a test result is not available for a reason other than failing to comply with the duty to submit to and complete a blood-alcohol 37 test, the unavailability and the reason shall be admissible in evidence. 39 8. Statements by accused. Any statement by a defendant that the defendant was the operator of a watercraft, which the 41 defendant is accused of operating in violation of section 7801, subsection 9, shall be admissible if it was made voluntarily and 43 is otherwise admissible under the United States Constitution or 45 the Constitution of Maine. The statement may constitute sufficient proof by itself, without further proof of corpus delicti, that the watercraft was operated and was operated by the 47 defendant.

9. Payment for tests. Persons authorized to take specimens of blood at the direction of a law enforcement officer and

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persons authorized to perform chemical tests of specimens of blood or breath shall be paid from the General Fund. 3 10. Accidents and officer's duties. The law enforcement officer shall have the following duties. A. After a person has been charged with operating or 7 attempting to operate a watercraft under the influence of intoxicating liquor or drugs or with an excessive 9 blood-alcohol level, the investigating or arresting officer 11 shall investigate to determine whether the charged person has any previous convictions of a violation of section 7801, 13 subsection 9, or adjudications for failure to comply with the duty to submit to and complete a blood-alcohol test 15 under section 7802. As part of that investigation, the officer shall review the records maintained by the courts, 17 the State Bureau of Indentification, the Secretary of State, including telecommunications of records maintained by the Secretary of State, or the Department of Inland Fisheries 19 and Wildlife. 21 B. A law enforcement officer may arrest, without a warrant, any person whom the officer has probable cause to believe 23 operated or attempted to operate a watercraft while under 25 the influence of intoxicating liquor or drugs if the arrest occurs within a period following the offense reasonably 27 likely to result in the obtaining of probative evidence of blood-alcohol level. 29 11. Watercraft fatality. Notwithstanding any other provision of this section, each operator of a watercraft involved 31 in a watercraft accident which results in the death of any person 33 shall submit to and complete a test to determine that person's blood-alcohol level by analysis of blood or breath. A law 35 enforcement officer may determine which type of test shall be administered. The result of a test taken pursuant to this 37 paragraph is not admissible at trial unless the court is satisfied that probable cause exists, independent of the test 39 result, to believe that the operator was under the influence of intoxicating liquor or drugs or had an excessive blood-alcohol 41 level. 43 Sec. 6. 15 MRSA §3103, sub-§1, ¶E, as amended by PL 1985, c. 214, \$1, is further amended to read: 45 Offenses involving the operation or attempted operation

defined in Title 12, section 7406, subsection 15; and

of a snewmebile-er watercraft or snowmobile while under the influence of intoxicating liquor or drugs, as defined in

Title 12, section 7801, subsection 9, and section 7827, subsection 9, respectively, and offenses involving failing

to aid an injured person or to report a hunting accident as

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Commission of Boating, created by Resolve 1987, chapter 111.

This bill amends the offense of operating a watercraft while under the influence of liquor or drugs. Operating a watercraft under the influence, regardless of whether or not it is motorized, is raised from a Class E crime to a Class D crime, tracking the motor vehicle operating-under-the-influence laws.

Section 1 of the bill repeals and replaces the current offense by making it the same as the motor vehicle offense. It is a 2-prong offense, making it illegal to operate or attempt to operate under the influence or with a blood-alcohol level of 0.08% or more, as was recently enacted by the Legislature for motor vehicles.

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Sections 2 and 3 of the bill establish the concept of implied consent to chemical tests and make it a civil violation, with a forfeiture of up to \$500, for a person to fail to comply with the duty to submit to a test. The concept of implied consent is the same as in the motor vehicle laws: Any person who operates or attempts to operate a watercraft within the State implicitly gives their consent to submit to a chemical test to determine if that person is operating under the influence of alcohol or drugs. This implied consent is referred to as the duty to submit to, and complete, a chemical test. Section 2 makes it illegal to fail to submit to and complete the test when asked to do so by a law enforcement officer who has probable cause to believe that the person operated or attempted to operate a watercraft while under the influence of intoxicating liquor.

"Probable cause" as used in this bill is the same as for all other crimes, including the motor vehicle offense of operating under the influence. The law enforcement officer must have, based on observations, sufficient evidence to believe the operator is in violation of the law.

Section 4 of the bill creates 2 new subsections in the penalty section concerning watercraft. New subsection 12 makes operating a watercraft while under the influence of intoxicating liquor or drugs, or both, a Class D crime and imposes the same minimum mandatory penalties as the current law imposes for operating a motor vehicle while under the influence, with a few The penalty for a first offender will not be exceptions. mandatory until July 1, 1990. This will provide sufficient time for all persons to realize the seriousness of the offense and to understand the penalty which may be imposed before July 1990, and This will also provide which will be imposed after that. sufficient time to notify out-of-state visitors who otherwise may not know in advance about the seriousness of the offense and its penalties. By delaying the mandatory nature of the penalties for a first offense, this bill is not impinging on judges' discretion to impose any penalty available for a Class D crime. There are 3 aggravating circumstances which will require the imposition of mandatory minimum penalties for a first offense. One is to be

operating with a blood-alcohol level of 0.15% or more. The 2nd is failing or refusing to stop for a uniformed law enforcement. officer when requested to do so, which is already an offense. The 3rd is failing to submit to a chemical test to determine the person's blood-alcohol level at the request of a law enforcement officer.

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For 2nd and subsequent offenders, this bill specifically authorizes judges to order the operator to participate evaluation and treatment for multiple offenders education, administered by the Department of Human Services. These programs are supported by the fees paid by participants.

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This bill requires the court to consider the operator's record concerning all operating-under-the-influence convictions and adjudications for failing to comply with the duty to submit. These records will include court records and the records of the State Bureau of Investigation, the Secretary of State and the Department of Inland Fisheries and Wildlife.

21 New subsection 14 makes failure to comply with the duty to submit to a chemical test a civil violation for which, 23 adjudicated as having violated the statute, the person may have to pay a forfeiture of up to \$500. This is necessary because 25 there is no watercraft operator's license to suspend for failing to take a test, so the only incentive for an operator to take a test is to make it illegal to fail to take the chemical test.

Section 5 inserts all the same provisions and procedures governing motor vehicle tests into the law governing operating a watercraft while under the influence. When a law enforcement officer has probable cause to believe a person is operating a watercraft while under the influence, the officer must warn the person that failure to comply with the duty to submit to and complete a chemical test is a civil violation and what the consequences are for failing to comply with that duty. warning is not given, evidence that the operator failed to take test will admissible not be the in operating-under-the-influence trial.

Sections 6, 7 and 8 make the adjudication procedure of the juvenile crime of operating a watercraft while under influence the same as for the juvenile crime concerning motor This eliminates the necessity of going through the informal adjustment process, and the arresting officer can go directly to the district attorney for a juvenile petition. Section 6 corrects references to operating a watercraft under the influence in the juvenile code.

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Section 9 requires the Department of Inland Fisheries and Wildlife to report to the Legislature next year on the effect of this new law.