

# SECOND REGULAR SESSION

#### ONE HUNDRED AND THIRTEENTH LEGISLATURE

Legislative Document

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NO. 2565

H.P. 1873 House of Representatives, March 22, 1988 Reference to the Committee on Business Legislation suggested and ordered printed.

EDWIN H. PERT, Clerk Presented by Representative STEVENS of Sabattus. Cosponsored by Senator BRANNIGAN of Cumberland.

### STATE OF MAINE

IN THE YEAR OF OUR LORD NINETEEN HUNDRED AND EIGHTY-EIGHT

AN ACT to Clarify Reporting Requirements Regarding Competency of Health Care Practitioners.

5 Be it enacted by the People of the State of Maine as 6 follows:

7 Sec. 1. 24 MRSA §2502, sub-§1, as enacted by PL 8 1977, c. 492, §3, is amended to read:

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Board. "Board" means the Board of Registration 1 1. 2 of Medicine the Board Osteopathic in θř, 3 Examination and Registration, the State Board of Nursing, the Board of Examiners of Podiatrists, the State Board of Optometry, the Board of Chiropractic 4 5 6 Examination and Registration, the Board of Examiners 7 in Physical Therapy, the Board of Dental Examiners, the State Board of Examiners of Psychologists, and any other board which licenses, registers, certifies or 8 9 regulates health care practitioners. 10

11 Sec. 2. 24 MRSA §2502, sub-\$4-A, as enacted by 12 PL 1985, c. 185, \$1, is amended to read:

13 Professional review committee. 4-A. "Professional review committee" means a committee of 14 physicians and other health care practitioners formed 15 by a professional society for the purpose of identifying and working with physicians and other health care practitioners who are disabled or impaired by virtue of physical or mental infirmity or by the 16 17 18 19 20 misuse of alcohol or drugs, as long as such committee operates pursuant to protocols approved by the Board 21 22 of Registration in Medicine or by such other relevant 23 board.

24 Sec. 3. 24 MRSA §2502, sub-§5, as enacted by PL 25 1977, c. 492, §3, is amended to read:

5. <u>Professional society</u>. "Professional society"
means a state professional organization of physicians,
surgeons or, osteopathic physicians or other health
care practitioners.

30 Sec. 4. 24 MRSA §2504, as enacted by PL 1977, c. 31 492, §3, is amended to read:

32 §2504. Professional societies

33 Every state professional society <u>for physicians</u> 34 shall establish a professional competence committee of 35 its members pursuant to written bylaws approved by the 36 society's governing board. The committee shall 37 receive, investigate and determine the accuracy of any 38 report made to the society of any member physician's

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acts amounting to gross or repeated medical malpractice, habitual drunkenness, addiction to the use of drugs or professional incompetence.

Sec. 5. 24 MRSA §2505, as amended by PL 1985, c. 185, §2, is further amended to read:

## §2505. Committee, physician and health care practitioner reports

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8 Any professional competence committee within this 9 State and any physician or other health care 10 practitioner licensed to practice or otherwise lawfully practicing within this State shall, and any other person may, report the relevant facts to the appropriate board relating to the acts of any 12 physician or other health care practitioner in this State if, in the opinion of the committee, physician, health care practitioner or other person, the committee or individual has reasonable knowledge of acts of the physician or other health care practitioner amounting to gross or repeated medical malpractice, habitual drunkenness, addiction to the use of drugs, unprofessional conduct or professional incompetence. The failure of any such professional competence committee or, any such physician or any such health care practitioner to report as required is a civil violation for which a fine of not more than \$1,000 may be adjudged.

27 Except for specific protocols developed by the 28 board Board of Registration in Medicine pursuant to Title 32, section 3298, or developed by another board pursuant to statutory authorization, no physician, other health care practitioner or committee is 29 30 31 responsible for reporting misuse of alcohol or drugs or professional incompetence or malpractice as a 32 33 34 result of physical or mental infirmity or by the 35 misuse of alcohol or drugs discovered by the physician, other health care practitioner or committee as a result of participation or membership in a 36 37 professional review committee or with respect to any 38 information acquired concerning misuse of alcohol or 39 drugs or professional incompetence or malpractice as a 40result of physical or mental infirmity or by the 41

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1 misuse of alcohol or drugs, as long as that 2 information is reported to the professional review 3 committee. Nothing in this section may prohibit an 4 impaired physician or other health care practitioner 5 from seeking alternative forms of treatment.

6 Sec. 6. 24 MRSA §2506, as amended by PL 1985, c. 7 804, §§6 and 22, is further amended to read:

# 8 §2506. Provider reports

9 A health care provider shall, within 60 days, 10 report in writing to the appropriate board or authority the name of any licensed, certified 11 or registered employee, health 12 care practitioner or 13 person privileged by the provider whose employment or privileges have been revoked, suspended, limited or 14 15 terminated, together with pertinent information relating to that action. This shall include situations 16 where employment or privileges have been revoked, suspended, limited or terminated by action of the 17 18 care practitioner while 19 health the health care 20 practitioner was under investigation or the subject of proceedings, and it also shall include situations where employment or privileges have been revoked, suspended, limited or terminated by act of the health 21 22 23 care practitioner in return for the health care provider not conducting or for its ceasing such 24 25 26 investigation or proceeding. reversal, Any modification or change of action reported pursuant to 27 28 this section shall be reported immediately to the board or authority, together with a brief statement of 29 30 the reasons for that reversal, modification or change. The failure of any such health care provider to report 31 32 as required is a civil violation for which a fine of not more than \$1,000 may be adjudged. 33

34 Sec. 7. 24 MRSA §§2507 and 2508, as enacted by 35 PL 1977, c. 492, §3, are amended to read:

# 36 §2507. Society reports

37 Any professional society within this State which 38 takes formal disciplinary action against a member 39 relating to professional ethics, professional

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1 incompetence, moral turpitude, or drug or alcohol abuse shall, within 60 days of the action, report in 2 3 writing to the appropriate board the name of the 4 together with pertinent information relating member, the action. This shall include situations where to membership or privileges have been revoked, suspended, limited or terminated by action of the health care practitioner while the health care practitioner 8 was 9 under investigation or the subject of proceedings, and 10 it shall also include situations where employment or privileges have been revoked, suspended, limited or terminated by an act of the health care practitioner 11 12 13 in return for the professional society's not conducting or for its ceasing such investigation or 14 proceeding. The failure of any such society to report 16 as required is a civil violation for which a fine of 17 not more than \$1,000 may be adjudged.

#### 18 §2508. Effect of filing

19 The filing of a report with the board pursuant to 20 this chapter, investigation by the board or any 21 disposition by the board shall not, in and of itself, 22 preclude any action by a hospital or other health care 23 facility or professional society comprised primarily 24 of physicians or other health care practitioners to 25 suspend, restrict revoke the privileges or or 26 membership of the physician or other health care 27 practitioner.

#### STATEMENT OF FACT

29 The purpose of this bill is to clarify the 30 requirements for mandatory reporting of problemmatic practice and conduct by health care practitioners. 31 32 This bill will clarify the circumstances under which 33 practitioners, professional review health care 34 committees, professional societies and health care 35 providers are required to report health care 36 practitioners to their respective health care 37 licensure boards.

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Pursuant to the Maine Revised Statutes, Title 24,

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section 2502, subsection 1-A, the definition of health 1 2 care practitioners includes physicians and all others 3 practicing the healing arts. Despite the more 4 expansive reporting required by the 1985 amendments to 5 Title 24, chapter 21, subchapter I, it has been 6 requiring suggested that the statutory language 7 reporting of nonphysicians remains ambiguous. It is 8 the purpose of this bill to resolve affirmatively this 9 issue and to make it clear that reports are required regarding all health care practitioners. 10

11 It is not the purpose of this bill to require 12 every health care practitioner professional society to 13 establish a professional competence committee, 14 although each certainly may do so. Therefore, Title 15 24, section 2504 is being amended to maintain the 16 that current requirement only the professional societies for physicians must establish professional 17 competence committees. However, if other professional 18 do establish professional competence then they shall be required to report 19 societies do 20 committees, 21 their respective health care practitioners to the 22 appropriate boards, pursuant to Title 24, section 2507.

23 The amendments to Title 24, section 2505 clarify 24 that all health care practitioners are subject to the 25 mandatory reporting requirements which previously referred specifically only addition, the amendments to 26 physicians. to Ιn 27 the amendments to the 2nd paragraph of section 2505 authorize all boards to develop specific 28 29 protocols for handling impaired practitioners, such as 30 those currently existing between the Board of 31 Registration in Medicine and the Medical Maine 32 If such protocols are developed, they Association. 33 must be done pursuant to separate statutory 34 authorization and should be approved by the Department 35 of the Attorney General.

The amendments to Title 24, section 2506 further clarify that reports shall be made by health care providers regarding actions affecting the employment or privileges of all health care practitioners. This section makes it clear that health care providers must report health care practitioners to the appropriate board, even if a practitioner voluntarily resigns or

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otherwise limits employment or privileges. The purpose of this amendment is to bring relevant information before the appropriate board, regardless of whether formal action is taken by the health care provider or whether it is the practitioner who takes the action. The amendments to Title 24, section 2507 are intended to affect a similar clarification.

8 It is anticipated that this bill shall result in 9 the board's being better informed of problems 10 experienced by health care practitioners.

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